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Tunable electronic properties and related functional devices for ferroelectric $\text{In}_2\text{Se}_3/\text{MoSSe}$ van der Waals heterostructures

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In recent years, two-dimensional (2D) materials have attracted increasing attraction in a number of scientific research fields. In particular, ferroelectric materials with reversible spontaneous electric polarization and Janus transition metal dichalcogenides (TMDs) with intrinsic dipoles exhibit novel properties for many practical applications. Here, the electronic properties of van der Waals (vdW) heterostructures consisting of In_2Se_3 and MoSSe were investigated based on a first-principles approach. It was demonstrated that four studied $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructures exhibited obvious band gap (E_g) differences, ranging 0.13 to 0.90 eV for PBE (0.47 to 1.50 eV for HSE06) owing to the reversible spontaneous electric polarization of In_2Se_3 and different intrinsic dipole of MoSSe, and different band alignments of type-I or type-II could also be obtained. The energy bands of the four vdW heterostructures could be obviously regulated by varying degrees of vertical (horizontal) strain and vertical interface electric field, and the E_g varied from zero to 1.27 eV. Then, M4-based mechanical switching devices and ferroelectric diodes were designed based on the significant strain and electric field function. These results provide one possible mechanism for how the polarization direction regulates the physical properties of the system due to the different charges on the two surfaces of the out-of-plane polarized ferroelectric material, which may lead to different proximity effects on the face of the material.

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1 Introduction

Since the exploration into graphene in 2004, numerous two-dimensional (2D) materials have been reported,^{1,2} including h-BN,³ g-C₃N₄ (ref. 4 and 5) and transition metal dichalcogenides (TMDs).^{6–8} These 2D materials have the advantages of large surface areas and short carrier migration paths; thus, they have good photocatalytic properties.^{9–11} Among these materials, TMDs have become one of the most interesting 2D materials in the scientific community because of their novel properties, and they have consequently been applied in many fields, such as energy storage, field effect transistors (FETs), and solar cells. The Janus MoSSe monolayer in TMDs imparts unique electronic and optical properties,^{12–15} which emerges when the out-of-plane structural symmetry of MoS₂ monolayers is broken by chemical vapor deposition.^{16,17} When one side of the MoS₂ monolayer has S atoms replaced by Se, the Janus MoSSe monolayer can be obtained. In terms of its electronic properties, the Janus MoSSe monolayer has built-in electric dipoles due to the broken symmetrical structure.^{18,19} Optically, it is a material with efficient hydrogen evolution reaction (HER) activity,²⁰ but its oxygen evolution reaction (OER) activity is poor in

photocatalytic applications.²¹ The ferroelectric material In_2Se_3 is also in the spotlight with unique electronic and optical properties. It has been proved that the ultrathin In_2Se_3 can form spontaneous polarization as it has a special asymmetric structure according to the existing theoretical calculations and experimental results.^{22,23} Moreover, In_2Se_3 can also act as an effective photocatalyst owing to the existence of a built-in electric field, which can separate the different charge carriers.²⁴ However, owing to the existence of a critical thickness effect, reaching a proper thin film is a long-standing challenge. van der Waals (vdW) materials provide a good opportunity for exploring two-dimensional ferroelectric properties as a single atomic layer. A common approach is to construct vdW heterostructures by stacking different 2D monolayers in a specific order, which plays a large role in the combination of various different two-dimensional materials.^{25–27} The geometry and band structure of the single layer can be protected by the stacked monolayer formed by the extremely weak vdW interactions, on the basis of which there is an opportunity to obtain excellent physical or optical properties that are different from the previous ones.^{28,29} For example, Wang *et al.* constructed a vdW heterostructure with two-dimensional Janus MoSSe and black phosphorus monolayers, which could significantly overcome the weak OER activity of the Janus MoSSe and the problem of the excessively fast recombination of generated carriers, thus facilitating the conditions for the spontaneous overall water splitting of black

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phosphorene/SMoSe heterostructures.³⁰ The two-dimensional GeC/GaN vdW heterostructure demonstrated excellent optical advantages with a suitable band edge position, and an absorption coefficient as high as 10^5 .³¹ A heterostructure composed by blue phosphorene and ZrS₂ monolayers showed a type-I band alignment with an indirect band gap (E_g), and their band alignments could be obviously regulated by the electric field.³²

In this study, we constructed four vdW heterostructures consisting of In₂Se₃ and Janus MoS₂ monolayers, and defined them as In₂Se₃/SMoSe (SeMoS) according to the different interfaces between the two monolayers and the electric dipole direction of the In₂Se₃ monolayer. The results demonstrated that these heterostructures exhibited an obvious E_g difference owing to the reversible spontaneous electric polarization of In₂Se₃ and different intrinsic dipoles of MoS₂, and also that the electronic properties of the four devices could be regulated by varying the degrees of the vertical (horizontal) strain and the vertical interface electric field, thus proving the design of a mechanical switch device and ferroelectric diode based on the M4 stacking order (see Section 3.1) according to the strain and electric field function.

2 Models and methods

The geometry construction and calculations of the device properties were performed by density functional theory (DFT) using the Atomistix ToolKit software,³³ which has been widely employed in simulation studies of low-dimensional materials.^{34,35} The residual force on each atom was less than 0.01 eV Å⁻¹ and the maximum step size was 0.2 Å for ionic relaxation. The exchange–correlation potential was described through the Perdew–Burke–Ernzerhof (PBE) functional within the generalized gradient approximation (GGA). Because PBE does not account for van der Waals interactions,^{36–38} we added the empirical dispersion correction DFT-D2 method described by the pairwise force field.³⁸ The temperature in the calculation was set to 300 K and the cutoff energy was 150 Ry, which was required in the numerical integration and solving of the Poisson equation. In order to assess the accuracy, we did a convergence test on the cutoff energy for the monolayer and heterostructure, and the test results showed that when the cutoff energy was greater than 150 Ry, the relative atomic energy would be less than 0.001 eV; that is, the cutoff energy converges. The k -point grid sampling was set as $11 \times 11 \times 1$ for norm conservation of the Troullier–Martins pseudopotential. We set a vacuum layer of length 30 Å in the z -axis direction to avoid the effect of repeated images.

3 Results and discussion

3.1 Structure and electronic properties of the In₂Se₃/MoS₂ vdW heterostructures

The supercellular vdW heterostructures consisted of 4×4 In₂Se₃ and $3\sqrt{3} \times 3\sqrt{3}$ MoS₂ monolayers, and the lattice constants for the unit cell for In₂Se₃ (MoS₂) were 4.19 (3.23 Å).

We stacked In₂Se₃ on the MoS₂ monolayer vertically to construct the vdW heterostructure, and the lattice parameters of the heterostructure 16.76 Å. There were different surface atoms of MoS₂ and different electric dipole orientations of the In₂Se₃ monolayer. In order to better match the In₂Se₃ and SeMoS monolayers, the generalized lattice matching (GLM) method was used to balance the relationship between the mismatched strain and atomic number.^{39,40} Four possible stacking orders of In₂Se₃/MoS₂ were considered, namely M1, M2, M3, and M4. For M1 (M2), the Se (S) atoms of MoS₂ faced In₂Se₃, with the electric dipole of the In₂Se₃ monolayer pointing upwards, as seen in Fig. 1(a) and (b), defined as M1(In₂Se₃/SeMoS) and M2(In₂Se₃/SMoSe), respectively. For M3 (M4), the S (Se) atoms of MoS₂ faced In₂Se₃ with the electric dipole of In₂Se₃ pointing downwards, defined as M3(SeMoS/In₂Se₃) and M4(SMoSe/In₂Se₃) in Fig. 1(c) and (d). The interlayer distances were 3.70, 3.56, 3.64, and 3.58 Å for M1–M4 with the most stable stacking structures, respectively. In the following, we calculate the binding energy of M1–M4, and the formula for the binding energy is: $E_b = (E_{HS} - E_{In_2Se_3} - E_{MoS_2})$, where E_{HS} , $E_{In_2Se_3}$, and E_{MoS_2} represent the total energy of the heterostructure, In₂Se₃, and MoS₂ monolayer, respectively. If the components of a system are separated to infinity, a certain amount of energy is required to overcome the attraction. The calculated binding energies were –940, –1220, –1310, and –1120 meV for M1–M4. To gain an insight into the nature of the binding energy, based on previous studies,^{41,42} we calculated the binding energy again using the formula: $E_b = E_{vdW} + E_{SR}$, where the short-range energy is E_{SR} , as described by the PBE exchange–correlation functional, and the long-range vdW attraction energy is E_{vdW} . For M1–M4, the values of E_{SR} were 75, 108, 130, and 85 meV, and the E_{vdW} values were –1015, –1328, –1440, and –1205 meV. These calculations prove that the formation of these heterostructures were energetically advantageous and were in agreement with the literature.^{41,42}

We calculated the energy band structures of the isolated In₂Se₃ and MoS₂ monolayers with PBE (HSE06) calculations, as shown in Fig. 2(a) and (b). It could be seen that the In₂Se₃ exhibited an indirect E_g with 0.81 eV (1.34 eV), and the conduction band minimum (CBM) was located at the Γ -point, while the valence band maximum (VBM) was located between the Γ - and k -points. For the MoS₂ monolayer, both the VBM and CBM were seated at the Γ -points, and the value of the direct E_g was 1.64 eV (2.08 eV), which basically agreed with the theoretical values (0.81 and 1.54 eV).^{43,44} The energy band structures for M1–M4 with the PBE (HSE06) calculation in Fig. 2(c) and (d), and the values of E_g were 0.87 (1.49), 0.90 (1.50), 0.56 (0.70), and 0.13 eV (0.47 eV). We could see that the band structures calculated by the PBE and HSE06 were basically similar, especially for those around the Fermi level, and the band gap types were exactly the same. The PBE calculation obviously underestimated the band gap size, and thus the HSE06 calculation is generally believed to give more precise results for the band gap of a material. However, there are larger calculation difficulties if the HSE06 is used. Therefore, we only performed PBE calculations rather than HSE06 calculations to reduce the calculation



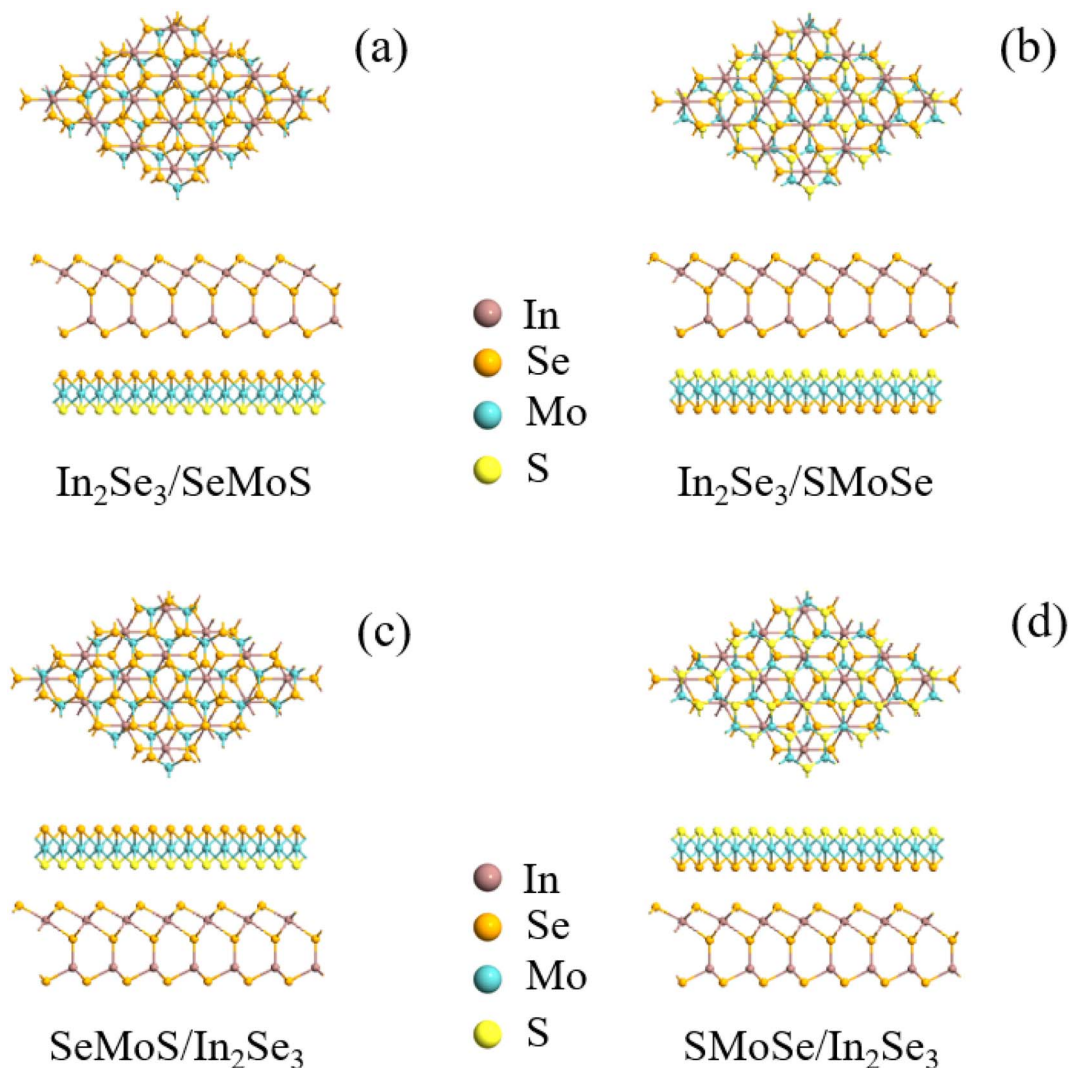


Fig. 1 Four In₂Se₃/MoSe heterostructures as M1–M4: top and side views of (a) M1, (b) M2 (c) M3, (d) M4. The brown, gold, yellow, and blue represent In, Se, S, and Mo atoms, respectively.

cost for various modulations of the heterostructures and we believe that such an impact on the calculated results was acceptable.

Fig. 3 shows the projected band structures (Fig. 3(a), (c), (e) and (g)) and the projected density of states (PDOS) (Fig. (b), (d), (f) and (h)) for the four different M1–M4 systems. As shown in Fig. 3(a), M1 clearly showed a direct E_g of 0.87 eV, with both the CBM and VBM seated at the Γ -points. The PDOS of the M1 heterostructure indicated that the CBM originated from the In₂Se₃ monolayer, while the VBM was contributed by the In₂Se₃ and MoSe monolayers in Fig. 3(b). For M2, which also showed an indirect E_g of 0.90 eV in Fig. 3(c), the CBM (VBM) was also derived from the In₂Se₃ monolayer, which was a typical type-I band arrangement, as shown in Fig. 3(d). Therefore, two types of charge carriers tend to be transported from MoSe to In₂Se₃ due to the band shift, which favors recombining holes and electrons, and so type-I heterostructures have promising applications in light-emitting diodes (LEDs) and lasers.^{45,46} For

M3, it had a direct E_g of 0.56 eV, as seen in Fig. 3(e), and CBM originated from the In₂Se₃ monolayer, while the VBM was contributed mainly by MoSe monolayers and a small amount by the In₂Se₃ monolayer, as seen in Fig. 3(f). While for M4, there was a narrow direct E_g of 0.13 eV, and the VBM was further away from the Fermi level (E_f) than the CBM. Moreover, the conduction and valence bands with a broad energy region originated from the In₂Se₃ and MoSe monolayers, respectively. These data indicate a typical arrangement of type-II bands. According to our previous study on the type-II band alignment,⁴⁷ the electrons in the MoSe monolayer will move toward the CB of the In₂Se₃ monolayer, while the holes in the In₂Se₃ monolayer will shift to the VB of the MoSe monolayer. This band alignment could effectively separate electrons and holes, and thus could be used in the field of optoelectronic devices.^{48,49}

From the analysis of the above results, it can be seen that the In₂Se₃/MoSe vdW heterostructures have the characteristics of various energy band structures, including a type-I band



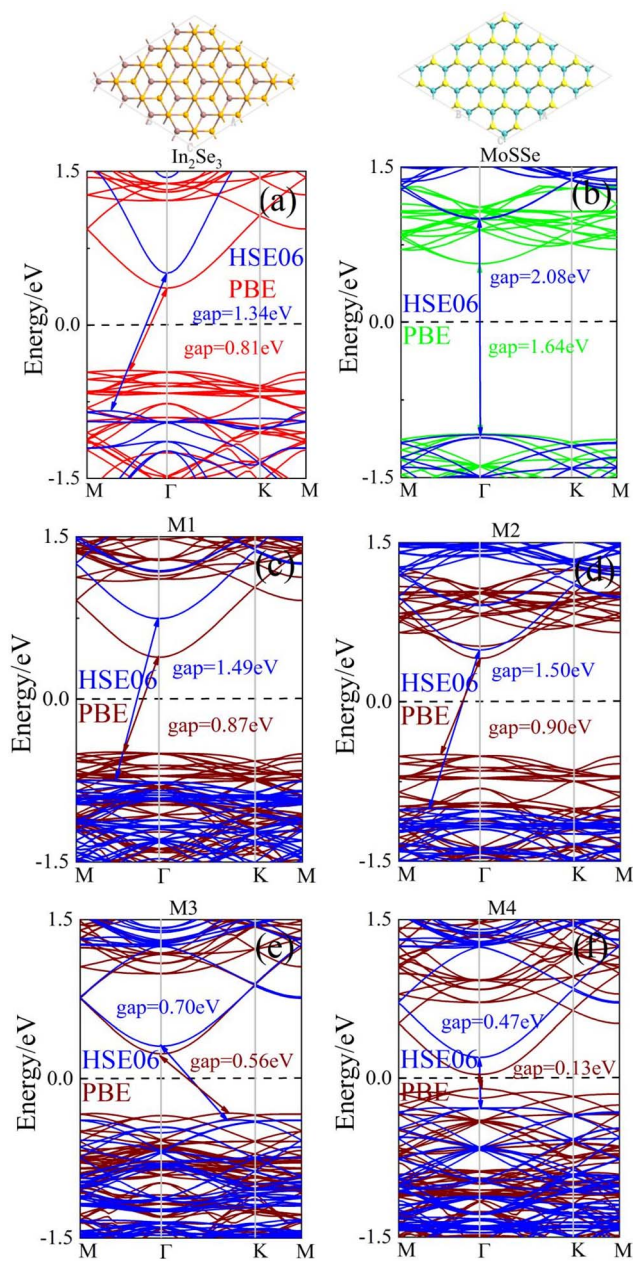


Fig. 2 Band structures for (a) In_2Se_3 monolayer, (b) MoSSe monolayer, (c) M1, (d) M2, (e) M3, and (f) M4 by PBE (HSE06).

arrangement and type-II band arrangement. To better understand them, we calculated the charge density difference between M1–M4 to show the charge transfer between In_2Se_3 and MoSSe , as shown in Fig. 4. It is well known that Janus MoSSe monolayers have an intrinsic layer dipole moment from Se to S atoms in the layer.⁵⁰ Meanwhile, the In_2Se_3 monolayer also has upward or downward electric dipoles.⁵¹ In addition, a weak dipole moment also existed in the interface region between the S in MoSSe and the Se atomic layers in In_2Se_3 . The plane charge density difference $\Delta\rho(Z)$ calculation formula is $\Delta\rho(Z) = \int \rho_{\text{H}}(x, y, z) dx dy - \int \rho_{\text{In}_2\text{Se}_3}(x, y, z) dx dy - \int \rho_{\text{MoSSe}}(x, y, z) dx dy$, where $\rho_{\text{H}}(x, y, z)$, $\rho_{\text{In}_2\text{Se}_3}(x, y, z)$, and $\rho_{\text{MoSSe}}(x, y, z)$ represent the charge densities of the heterostructure, In_2Se_3 ,

and MoSSe at a certain point (x, y, z) , respectively. The positive ($\Delta\rho(Z) > 0$) and negative values ($\Delta\rho(Z) < 0$) represent electron accumulation and depletion (hole accumulation). Fig. 4 shows the corresponding isosurfaces for the difference in charge density, and it is clear that the charge was transferred from MoSSe to the In_2Se_3 monolayer, but the degree of charge transfer was different for the four systems. The electrons and holes accumulate in the In_2Se_3 and MoSSe region. There was more charge transfer in M2 (M3) than M1 (M4), which originated from the different interfacial effects as well as the BP/ MoSSe heterostructures.⁵⁰

3.2 Vertical strain effects on the $\text{In}_2\text{Se}_3/\text{MoSSe}$ vdW heterostructures

To explore the regulation of the interlayer distance on the electronic properties affecting the coupling interaction and electron distribution of the interface, we calculated the E_g changes of M1–M4 under a series of vertical strains (ε) from -0.8 \AA to $+0.8 \text{ \AA}$ with a step of 2%. Here, $\varepsilon = d - d_0$, where d and d_0 represent the compressed (stretched) and equilibrium state interlayer distances. A positive (negative) ε corresponds to stretch (compressive) strain in Fig. 5(a) and (b). The E_g of M1–M4 decreased monotonously when applying stretch strain, and there was a transition between a semiconductor and metal for M1 when ε was over 0.6 \AA . With the increasing compressive strain, the E_g of M2 (M3) showed a slight increase at first, and then gradually dropped when ε was over 0.4 \AA for M3. However, the E_g of M1 and M4 showed a marked increase under compressive strain, and the maximum values reached 1.27 and 0.47 eV, which were about 1.5 and 4 times that of the equilibrium E_g . When ε was over 0.6 \AA , the E_g of M4 decreased to zero. Therefore, as shown in Fig. 7(h), an M4-based mechanical switching device could be designed, in which M4 was used as the material for the central diffusion region of the device, and the two electrodes were made of graphene and the metal. When the two electrodes are properly biased, the CBM and VBM enter the bias window to achieve a narrow E_g and a lower potential barrier, allowing the device to be in the “On” state, resulting in a higher current flow. By applying pressure to the heterostructure, the CBM and VBM will exit the bias window, and the higher barrier keeps the device in the “Off” state with negligible current flow. Therefore, a device with a high on/off ratio ($I_{\text{on}}/I_{\text{off}}$) can be obtained.⁵² Once the heterostructure is stretched back to equilibrium, the device returns back to the “On” state.

Fig. 5(c)–(j) show the change in band edge position of M1–M4 under $\varepsilon = \pm 0.8 \text{ \AA}$, which is conducive to a clear understanding of the physical mechanism of E_g modulation. We can see that the VBM (CBM) of M1 was shifted downwards (upwards) obviously at -0.8 \AA compared with 0.8 \AA and the equilibrium state, leading to a distinctly increased E_g in Fig. 5(c) and (d). For M2, it still showed a type-I band alignment at 0.8 \AA as well as the equilibrium state, and $E_{\text{C-In}_2\text{Se}_3}$ ($E_{\text{C-MoSSe}}$) was shifted upwards (downwards) at -0.8 \AA , thus the CBM was contributed by the MoSSe monolayers. Therefore, the transition from a type-II to type-I heterostructure for M2



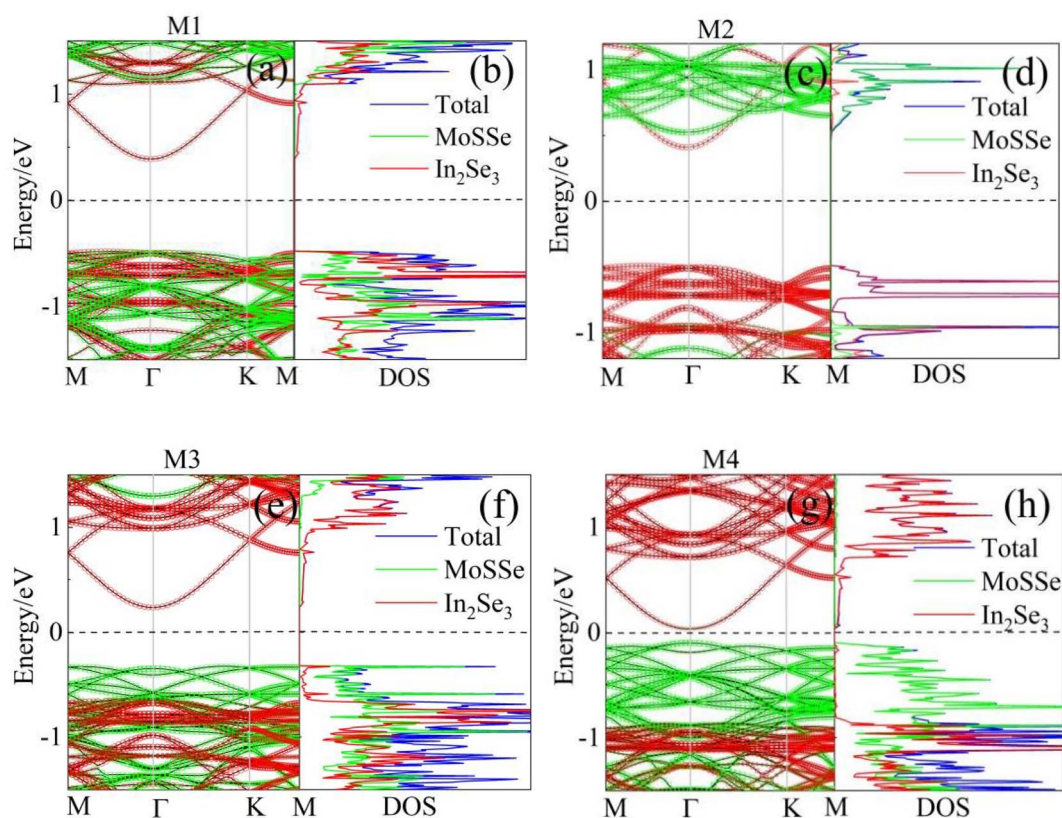


Fig. 3 Projected band structures of (a) M1, (c) M2, (e) M3, and (g) M4. Projected density of states (PDOS) of (b) M1, (d) M2, (f) M3, and (h) M4.

will be achieved with the vertical strain effect. The VBM showed a little decrease and CBM showed an opposite change compared with 0.8 Å and the equilibrium state, and the E_g was a little augmented, as shown in Fig. 5(e) and (f). For M3, the CBM (VBM) at 0.8 Å and -0.8 Å kept almost the same position, which contributed the In_2Se_3 monolayer, while the VBM was contributed by the MoSSe (In_2Se_3) monolayer at 0.8 Å (-0.8 Å), as shown in Fig. 5(g) and (h). Therefore, the E_g of M3 showed only a little change at -0.8 Å and 0.8 Å strain; while the CBM contributed by the MoSSe reached the Fermi level compared with the equilibrium state for M4, and thus the E_g was zero at 0.8 Å, as shown in Fig. 5(i), and the VBM (CBM) of M4 shifted further away from the Fermi level at -0.8 Å, leading to an increased E_g , as shown in Fig. 5(j).

3.3 Horizontal strain effects on $\text{In}_2\text{Se}_3/\text{MoSSe}$ vdW heterostructures

The horizontal stress application method is also a simple and effective method for tuning the electronic properties of a device.⁵³ Therefore, we investigated the E_g variation of M1–M4 under a range of biaxial strains (ϵ) from -8 to 8% with a step of 2%, and $\epsilon = (a - a_0)/a_0$, where a and a_0 are the lattice constants with and without biaxial strain. The biaxial strain was mainly applied on the basis of the vector directions A and B of the heterostructure lattice. Fig. 6(a) exhibits the schematic diagram of the $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructure by applying horizontal

stretch (positive) or compressive (negative) strain. The E_g values of the four models showed a decreasing trend under the biaxial stretch strain in Fig. 6(b), and it was noticeable that the E_g of M3(M4) reached zero when the stretch strain $\epsilon \geq 2\%$, and it decreased to zero under 6%–8% strain for M3. It was found that the E_g of these heterostructures increased monotonously at first, reached a maximum at $\epsilon = 2\%$, and then gradually decreased with the increasing compressive strain. The maximum E_g values of M1–M4 were 1.22, 1.10, 0.65, and 0.19 eV, respectively. Fig. 6(c) and (d) exhibit the band structures of M1–M4 with 6% and -6% strain. The 6% stretch strain decreased the CBM and crossed with E_f with an obvious shape change for M2–M4, while the VBM moved upwards, resulting in a transformation from a semiconductor to a metal. For M1, the CBM was still located at the Γ -point, but the VBM moved from the Γ - to the k -point, thus it converted the E_g from indirect to direct with $\epsilon = 6\%$. On the other hand, -6% compressive strain made the band edge position change, but had no obvious effects on the band shape. For M4, 6% compressive strain pushed the CBM to reach the E_f and the E_g value was zero.

Fig. 6(e)–(h) show the band structures and PDOS of M1 under 6% and -6% strain, in which we can see that the band alignment of M1 demonstrated the type-II nature with $\epsilon = 6\%$, and the states in the VBM comprised the In_2Se_3 monolayer, but the CBM originated from the MoSSe monolayer which was different from that for the equilibrium state shown in Fig. 3(a). Moreover,



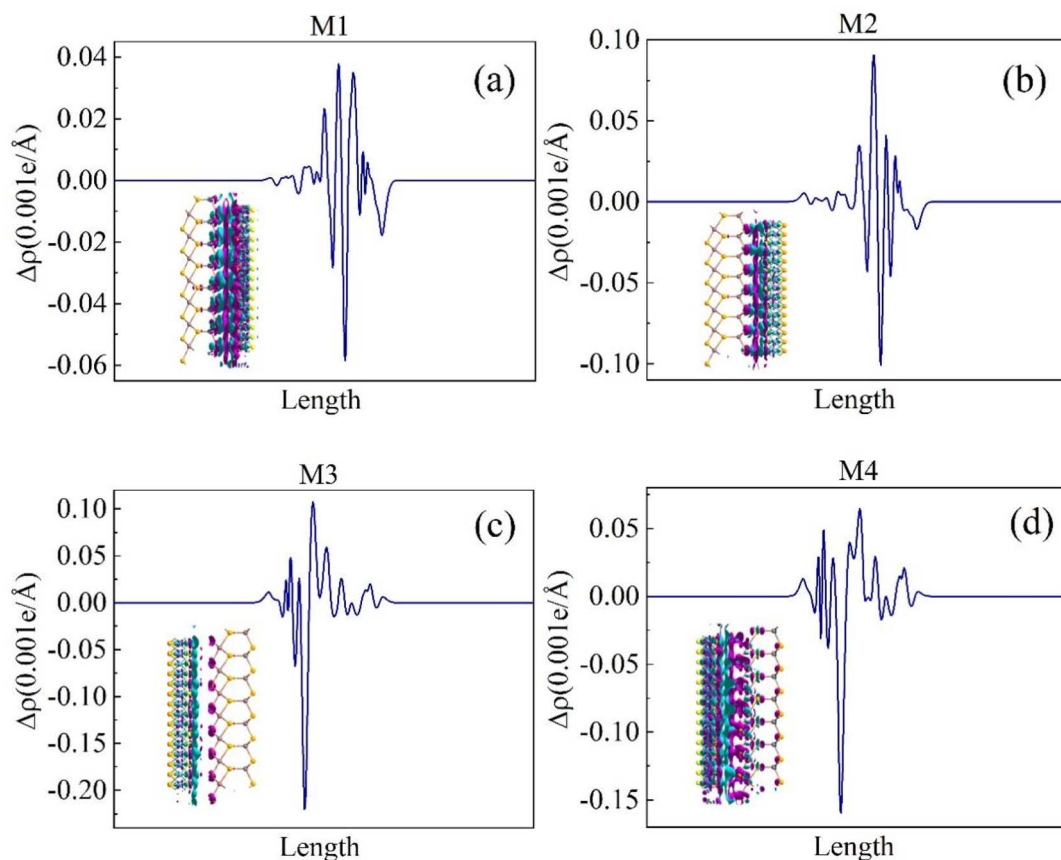


Fig. 4 Integrated charge density difference along the direction perpendicular to the interface of (a) M1, (b) M2, (c) M3, and (d) M4. Purple and cyan areas denote electron accumulation and depletion, respectively.

the CBM and VBM moved to the Fermi level, which resulted in a decrease in E_g . The conduction bands of MoSSe exhibited a down shift, while the valence bands of In_2Se_3 moved up compared with the equilibrium state. When applying -6% compressive strain, it is worth noting that the $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructure had an indirect E_g of 1.13 eV for both the CBM and VBM, showing the trend of moving away from the E_f , which was in the proper range of 1.0–1.5 eV for solar cell devices. The CBM and VBM originated from the two constituents of the heterostructure, indicating that the coupling between In_2Se_3 and MoSSe was relatively strong, as shown in Fig. 6(h). As a result, the horizontal strain can greatly affect the band alignment type, the band structure shape, and the transition between a semiconductor and metal for the $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructure because the strain can induce changes in the bonds between atoms, the interfacial distances and interactions, as well as the PC_6/WS_2 heterostructure.⁵⁴

3.4 Electric field effects on $\text{In}_2\text{Se}_3/\text{MoSSe}$ vdW heterostructures

In addition, the different charge densities on the two surfaces of the out-of-plane polarized ferroelectric material can lead to different near-neighbor effects produced by the material bound to it. In conclusion, 2D ferroelectric materials with out-of-plane

polarization provide a new avenue for electric field regulation of the physical properties of stacked 2D vdW heterostructures. Tuning the external electric field (E_{ext}) is an important way to regulate the electronic properties of these heterostructures, whereby E_{ext} is related to the vertical interface of these $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructures, as shown in Fig. 7(a), where the positive direction of E_{ext} was from the MoSSe to In_2Se_3 monolayer. The electronic structure of the $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructure can vary greatly, but the geometry does not change much under different E_{ext} values.⁵⁵ Fig. 7(b) shows the variation of E_g with E_{ext} in the range of -0.6 – 0.6 V \AA^{-1} with steps of 0.1 V \AA^{-1} , where the E_g of M2 showed an obvious difference with other models under E_{ext} , whereby when a positive E_{ext} is applied to these models, the E_g of M1 (M3 and M4) decreased linearly, especially the E_g of M3, which was zero after $E_{\text{ext}} = 0.5 \text{ V \AA}^{-1}$. The E_g of M2 reached a maximum value of 1.05 eV with $E_{\text{ext}} = 0.1 \text{ V \AA}^{-1}$, and then dropped continuously with an increasing positive E_{ext} . The E_g of M2 decreased rapidly with the increasing E_{ext} under negative E_{ext} , whereby the E_g of M2 was zero after $E_{\text{ext}} = -0.4 \text{ V \AA}^{-1}$, and it undergoes a transition in electronic properties from a semiconductor to metal. Noticeably, when $E_{\text{ext}} < 0$, the E_g of M1 (M3 and M4) first linearly increased with E_{ext} , reaching a maximum value of 0.90 (1.03, 1.19) eV at $E_{\text{ext}} = -0.1$ (-0.2 , -0.5) V \AA^{-1} . Then, it decreased gradually with



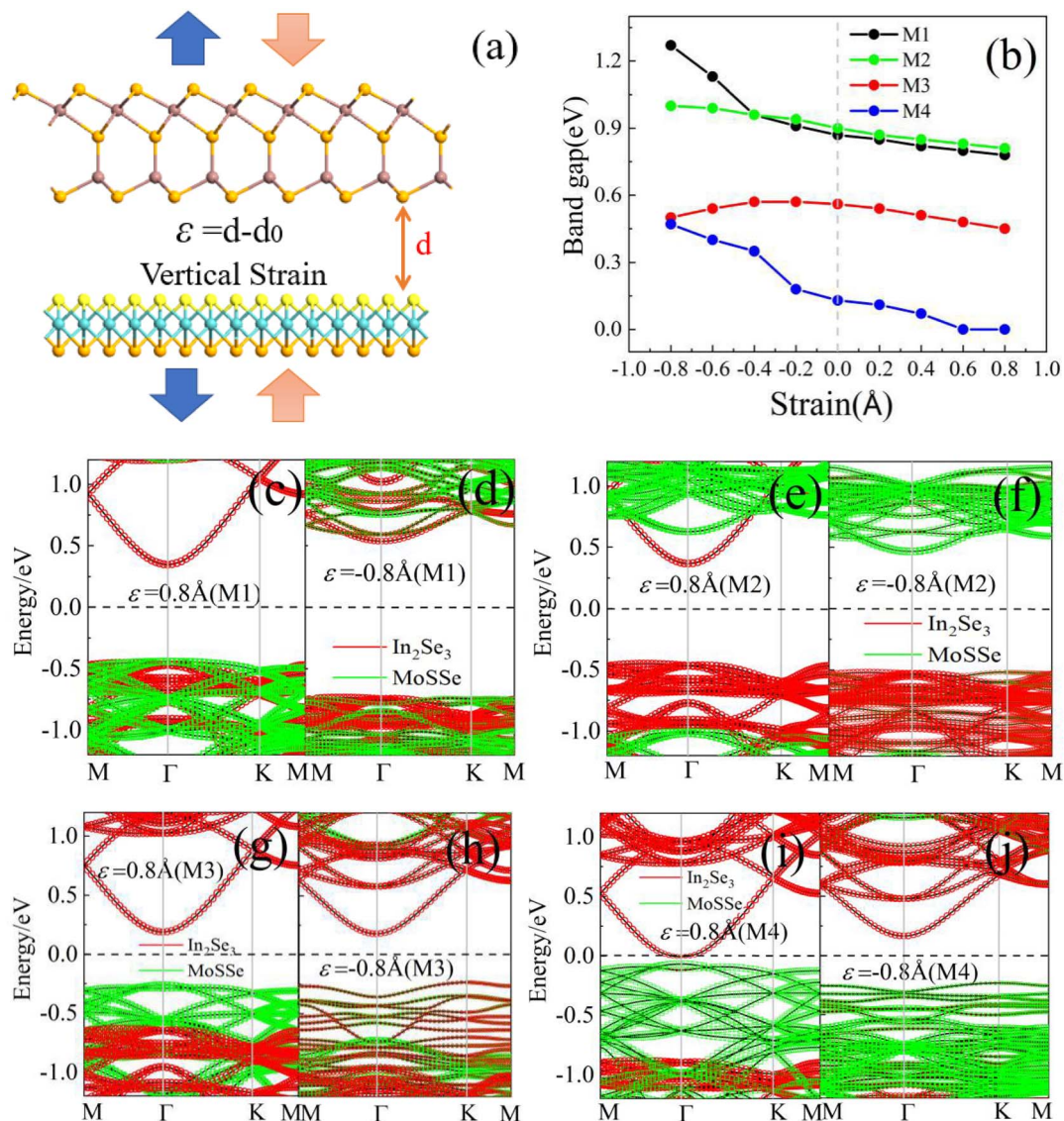


Fig. 5 (a) Schematic illustration of the synergistic effects of the vertical strain, (b) E_g as a function of the vertical strain, (c)–(j) projected band structures of the heterostructure under the selected vertical strain of $\pm 0.8 \text{ \AA}$.

increasing E_{ext} . Fig. 7(c) shows the variation of band structures in detail for M2 with $E_{\text{ext}} = \pm 0.2, \pm 0.4, \text{ and } \pm 0.6 \text{ V \AA}^{-1}$, respectively. It is clear that the band edge positions changed significantly under positive and negative E_{ext} , which fully reflected an obvious electric field effect. The DOS and PDOS of M2 at $E_{\text{ext}} = \pm 0.2, \pm 0.4, \text{ and } \pm 0.6 \text{ V \AA}^{-1}$ are given in Fig. 7(d)–(f). For M2, it was found to belong to a type-I heterostructure at the equilibrium state, as shown in Fig. 3(c). The electric field effects led to differences in the local electrostatic potential energy (LEPE) between the MoSSe and In_2Se_3 monolayers. When a positive E_{ext} acted on M2, the LEPE on the MoSSe monolayer was larger than that on the In_2Se_3 monolayer, and the energy band of MoSSe (In_2Se_3) moved monotonically upward (downward) as E_{ext} increased. We can see that the CBM states were consistently provided by In_2Se_3 , but the VBM states originated by MoSSe with the applied positive $E_{\text{ext}} =$

$0.2, 0.4, \text{ and } \pm 0.6 \text{ V \AA}^{-1}$. This means M2 was a type-II heterostructure when E_{ext} exceeded 0.2 V \AA^{-1} . The value of the E_g of M2 depended on the CBM of In_2Se_3 and the VBM of MoSSe, whereby the CBM of In_2Se_3 decreased while the VBM state of MoSSe increased with the enhancement of E_{ext} , thus the value of the E_g gradually decreased. The E_g of M2 reached a maximum when the CBM states of MoSSe and In_2Se_3 crossed at $\sim 0.1 \text{ V \AA}^{-1}$. When the electric field direction becomes a negative value, the energy band of MoSSe (In_2Se_3) will move downward (upward), and the VBM will be provided by In_2Se_3 , but the CBM states originate from MoSSe, and thus, M2 will change from a type-I to type-II heterostructure when applying a negative E_{ext} . Also, the E_g will decrease further with the CBM and VBM approaching the E_f gradually; especially, when the negative E_{ext} is over 0.4 V \AA^{-1} , the E_g will be zero for the VBM of In_2Se_3 to reach or pass through the E_f . According to the above



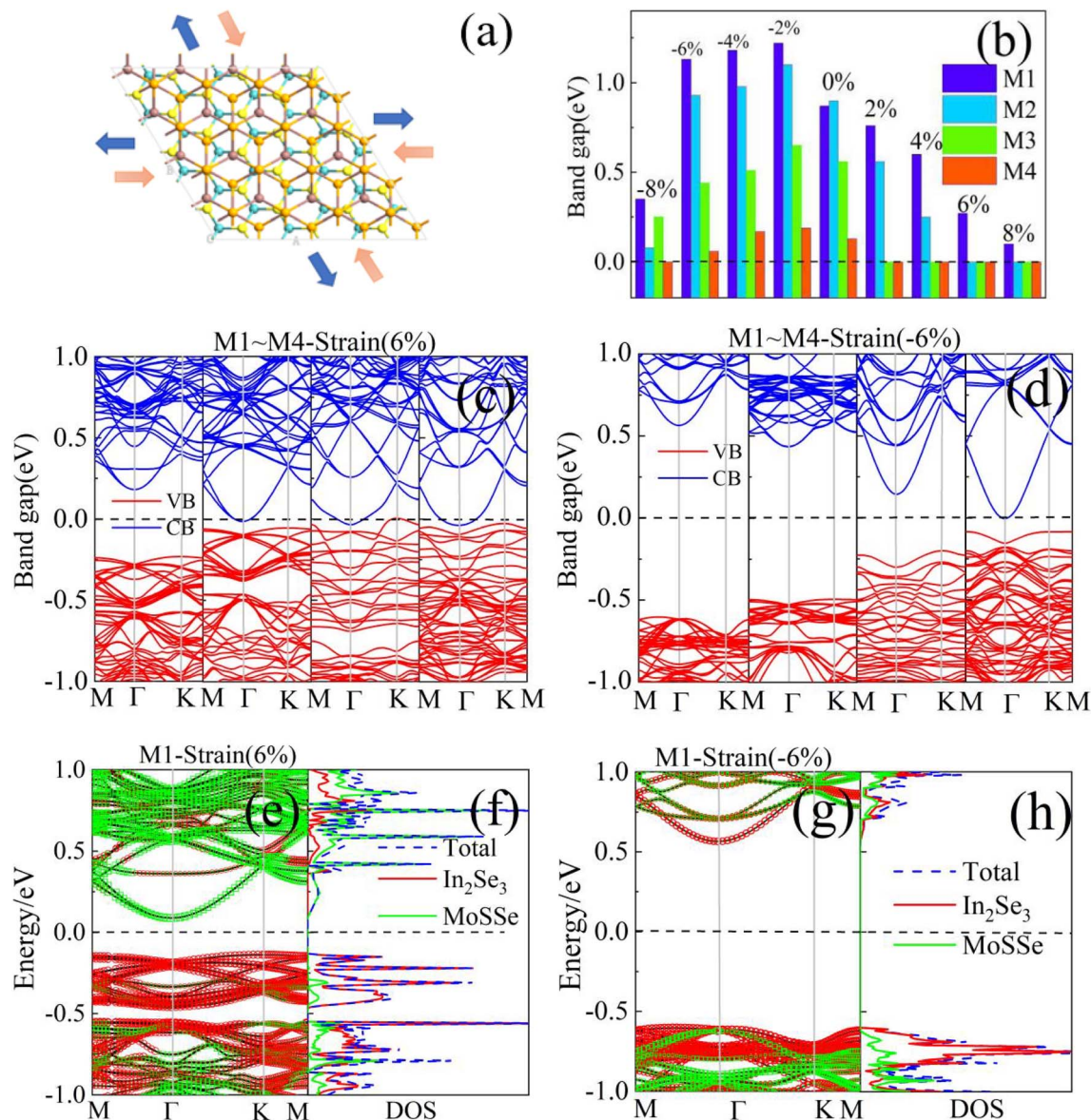


Fig. 6 (a) Schematic diagram of the In₂Se₃/MoSSe heterostructure under biaxial strain and (b) the E_g as a function of the horizontal biaxial strain. (c) and (d) Band structures of M1–M4 with -6% and 6% strain, (e) and (g) the band structures, (f) and (h) the projected density of states (PDOS) under 6% , and -6% strain for M1.

analysis process, we can also explain the various E_g values on the E_{ext} for other models. A type-II band alignment at the equilibrium state with a small E_g was observed for M4, as shown in Fig. 3(g). When a positive E_{ext} was applied, the CBM of In₂Se₃ and the VBM of MoSSe shifted toward the E_f because of the electric field effect, resulting in the E_g diminishing rapidly at a small E_{ext} . On the contrary, the CBM of In₂Se₃ and the VBM of MoSSe moved far away the E_f , leading to an increasing E_g under the negative E_{ext} , as shown in Fig. 7(g). For M3, the natures of the band structures were like as for M4, and the E_g showed a similar altering trend under the E_{ext} . The maximum values of E_g (0.9, 1.05, 1.03, and 1.09 eV) were obtained under different E_{ext} (-0.1 , 0.1 , -0.2 , and 0.5 eV Å⁻¹) because of the

different moving speeds of the band edges for M1–M4. Ultimately, we briefly discuss the possibility based on the M4 heterostructure acting as a ferroelectric diode. The proposed device geometry is shown in Fig. 7(h), with the electrodes made of graphene molecular layers or metals located at each end of the heterostructured system. As can be noted from what was discussed above, E_{ext} can tune the E_g of heterogeneous structures, especially in small negative biases, where the E_g of heterogeneous structures increased dramatically in a linear form. Therefore, the transmitting current between two electrodes was very small. However, when a small positive bias was applied, the electrons could easily transit and a larger current could be obtained, showing a rectifying effect.



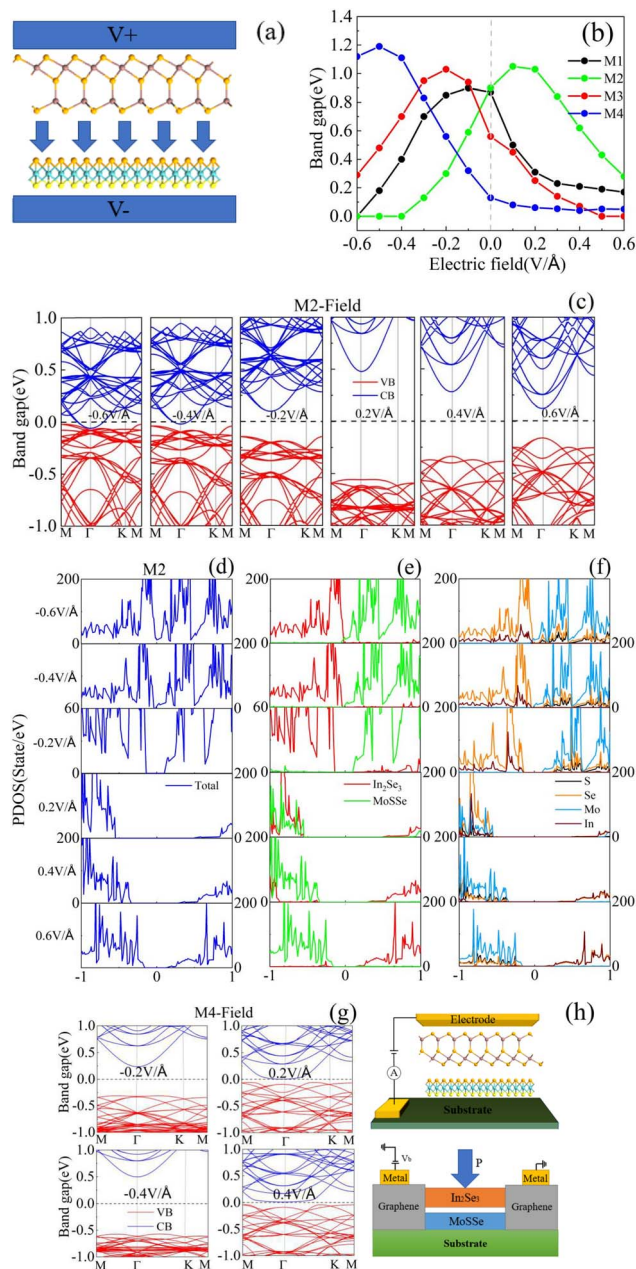


Fig. 7 (a) Schematic illustration of the synergistic effects of the external perpendicular electric field, and the positive direction of the E_{ext} from In_2Se_3 to MoSSe , (b) E_g as a function of the E_{ext} for M1–M4, (c) and (g) the band structure of M2 and M4 with selected E_{ext} , (d)–(f) PDOS of M2 under the selected E_{ext} of ± 0.2 , ± 0.4 , and $\pm 0.6 \text{ \AA}^{-1}$. (h) Ferroelectric diode (up) and mechanical switch device (down) based on M4.

4 Conclusion

In summary, the electronic properties of four $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructures were studied using density functional theory based on first-principles calculations. These results showed that these heterostructures had type-I, type-II, and other band arrangements in the intrinsic state. The four $\text{In}_2\text{Se}_3/\text{MoSSe}$ heterostructures exhibited obvious E_g differences ranging from

0.13 to 0.90 eV by PBE (0.47 to 1.50 eV by HSE06). The energy band could be regulated effectively by the vertical (horizontal) strain, and vertical interface electric field, and then the transformation from a semiconductor to metal could be obtained. The vertical strains (ϵ) more obviously affected the electronic properties for M1 and M4 than for the other models. The E_g values of M1–M4 showed a decreasing trend under the biaxial stretch strain, while they increased monotonously at first, reached the maximum value at $\epsilon = 2\%$, and then gradually lessened with the compressive strain. The E_g variation trend of M2 was opposite to that of the other models (M1, M3, and M4) when an electric field was applied. We designed a mechanical switching device and a ferroelectric diode based on the calculated data and applied vertical strain to the model and an external electric field effect. These results demonstrated the vdW heterostructures based on reversible spontaneous electric polarization materials have more flexible regulating avenues, which could be expected to aid the design of new functional devices.

Author contribution

Y. Zhang: investigation, formal analysis, writing the original draft. X. Q. Deng: investigation, formal analysis, conceptualization. Q. Jing: methodology, formal analysis. Z. H. Zhang: resources, supervision, project administration. X. Ding: methodology, formal analysis.

Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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