Check for updates

Chiral self-recognition in a bispericyclic cyclodimerisation reaction of 1-azadienes†

Adrián López-Francés, [ID] [a] Xabier del Corte, [ID] [a] Zuriñe Serna-Burgos, [ID] [a] Jesús M. de los Santos, [ID] [a] Abel de Cózar [ID] *[b,c] and Javier Vicario [ID] *[a]

Hermaphroditism of molecules: as in nature some species behave as male or female depending on the environment, herein we report a bispericyclic dimerisation of cyclic 1-azadienes where a molecule can behave as either diene or dienophile, depending on its location at the transition state. In a symmetrical reactive complex, here represented by an arbitrary reference system, a molecule that is positioned on top acts as the diene unit, while the dienophile partner is the one situated at the bottom. In addition, a strong chiral self-recognition phenomenon is observed, where each enantiomer within a racemic mixture of chiral 1-azadienes exclusively recognises itself. In order to shed some light into the understanding of the chiral self-recognition effect, an extensive DFT study of the reaction pathway is provided, concluding that a combination of attractive π-stacking forces and repulsive steric interactions is at the origin of the high stereospecificity of the reaction.

Introduction

The discovery of molecular chirality by Louis Pasteur in the 19th century is probably one of the most amazing experiments in the history of science,[1] with enormous consequences in the understanding of the mechanisms of life. Nowadays, more than one-and-a-half centuries after Pasteur's experiment, it is widely agreed that receptors in living cells are able to discriminate between the two specular images of both enantiomers of one molecule, which has been defined as "chiral recognition". The driving forces leading to chiral recognition are still not fully understood, but it is clear that non-covalent interactions are involved in the process. Electrostatic forces, hydrogen bonding, steric hindrance, π–π-stacking or dipolar interactions

are the most common attractive or repulsive effects engaged in the chiral recognition phenomenon.[2]

Particularly interesting in this domain of chemical science, "chiral self-recognition" is an intriguing phenomenon of "narcissism of molecules" that occurs when one of the two enantiomers within a racemic mixture exclusive and selectively recognises itself. The complementary event where an enantiomer recognises its opposite is defined as "chiral self-discrimination". A limited number of examples of self-recognition or self-discrimination have been described thus far and, to the best of our knowledge, most of them are related to non-covalent interactions, mainly in solution,[3,4] or gas phase[5] and by the formation of metallic complexes[6] or supramolecular structures.[7] However, reports implying a chiral self-recognition effect within a racemic mixture leading to the formation of covalent bonds, where each enantiomer reacts selectively itself (or with its opposite), are scarce and, in those examples, the mechanisms accounting for the observed selectivity remain to be established. For example, in the design of first-generation light-driven molecular motors, Feringa and co-workers described the McMurry self-coupling of racemic cyclic ketones where a diastereomerically pure mixture of (*R*,*R*)- and (*S*,*S*)-olefins is formed instead of the expected statistical mixture.[8] In addition, the thermal dimerisation of chiral allenes furnishes *trans*-cyclobutanes with a moderate degree of chiral self-recognition.[9] Nevertheless, in both cases, the explanation for this exceptional behaviour is not discussed in detail, and it seems clear that a combination of attractive π-stacking interactions and repulsive steric effects is the main factor involved in the chiral self-recognition outcome, in view of the numer-

[a]Department of Organic Chemistry I, Faculty of Pharmacy, University of the Basque Country, UPV/EHU, Paseo de la Universidad 7, 01006 Vitoria-Gasteiz, Spain. E-mail: javier.vicario@ehu.eus

[b]Department of Organic Chemistry I, Donostia International Physics Centre (DIPC), University of the Basque Country, UPV/EHU, Paseo Manuel de Lardizabal, 3, 20018 Donostia-San Sebastián, Spain. E-mail: abel.decozar@ehu.eus

[c]Ikerbasque, Basque Foundation for Science, Plaza Euskadi 5, 48009 Bilbao, Spain

This journal is © the Partner Organisations 2023

Org. Chem. Front., 2023, **10**, 6103–6111 | **6103**

ous π-systems present in the structure of the substrates involved in these examples.

Stereoselective cycloaddition reactions are probably some of the most interesting processes to be chosen for the study of the chiral recognition or chiral discrimination phenomenon, considering the diverse non-covalent interactions involved in their theorised transition states.[10] In particular, bispericyclic Diels–Alder dimerisation is a striking process, where a 4π-system of two identical molecules can act as both the diene and dienophile species, of which the most simple example is the cyclopentadiene dimerisation reaction, widely studied by Caramella.[11] In the same context, an analogous study of bispericyclic cycloheptatriene dimerisation has been recently reported by Jørgensen and Houk.[12] An elegant example of bispericyclic Diels–Alder dimerisation is the [4 + 2] cyclodimerisation of *ortho*-quinols, applied for the synthesis of diverse natural products.[13] As in nature in several hundred species of fish, angiosperms or invertebrate animals, experiencing life both as a male and as a female is the reproductive norm,[14] we can consider bispericyclic reactions as a kind of "hermaphroditism of molecules".

Among the very many established approaches for the construction of N-heterocycles, the aza-Diels–Alder reaction, analogous to all-carbon Diels–Alder cycloaddition, is one of the most efficient methods, leading to the formation of substituted pyridine derivatives.[10,15] In this context, as a part of our ongoing research on the chemistry of 1-azadienes, in 2011 we reported an example of a cyclodimerisation reaction of 1-azadienes derived from α-aminoacids, where our 4π-system acted as both azadiene and dienophile species.[16] Within a different research field, in 2006, we reported an efficient multicomponent protocol for the synthesis of unsaturated 3-amino-γ-lactam derivatives **II** making use of amines, aldehydes and pyruvate derivatives as starting materials.[17] More recently, we have developed an enantioselective organocatalytic system for this transformation, using chiral phosphoric acids as catalysts, and we have extended this strategy to the preparation of phosphorus- and fluorine-substituted γ-lactam substrates.[18] Key features of the structure of those substrates **II** are the presence of a reactive enamine moiety and a chiral stereocentre at the 5-membered ring. Taking advantage of those two attributes, we have used unsaturated 3-amino-γ-lactam as substrate in diverse stereoselective reactions.[19] At the intersection of both fields, and considering that unsaturated 3-amino-γ-lactam substrates **II** can be easily obtained through a simple multicomponent reaction at a multigram scale and from readily available starting materials, we thought that they could be excellent precursors for the preparation of rigid 1-azadienes **I** embedded in a γ-lactam structure, through a simple olefination reaction of the enamine moiety (Fig. 1). The locked pseudo-*cis* configuration of the 4π-system would enhance the reactivity towards dienophiles and, in addition, the presence of a chiral centre at the 5-membered ring may allow the use of the 1-azadiene moiety in diastereoselective cycloaddition reactions, leading to highly functionalised bicyclic substrates.

Continuing with our ongoing research on the synthesis of 1-azadienes and their applications in aza-Diels–Alder
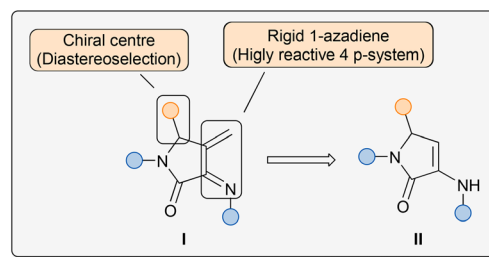

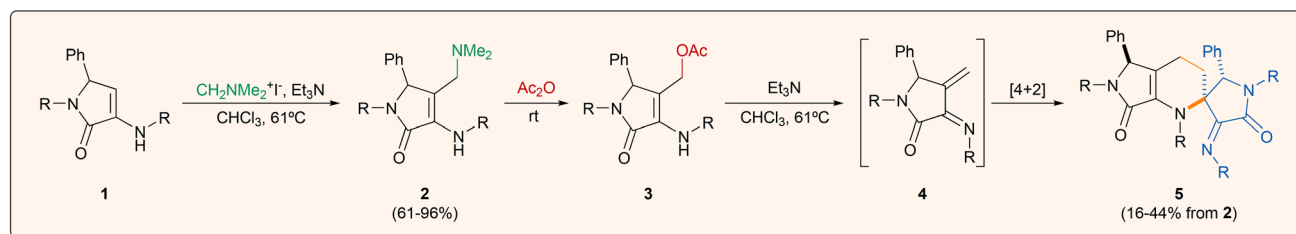**Fig. 1** Features of γ-lactam-derived rigid 1-azadienes **I** from γ-lactam-based enamines **II**.

reactions,[16,20] herein we report the generation of chiral rigid 1-azadienes derived from γ-lactams and their spontaneous cyclodimerisation reaction through a highly stereoselective bispericyclic process, where a strong chiral self-recognition phenomenon is observed. Moreover, strong evidences of the self-recognition effect and the forces driving this phenomenon are provided through an extensive DFT study of the reaction pathway.

Results and discussion

Taking into account the considerations mentioned above, the starting 3-amino-γ-lactam derivatives **1** were prepared following a known procedure,[18,19] consisting of a multicomponent reaction of benzaldehyde, amines and ethyl pyruvate in the presence of a Brønsted acid catalyst (see ESI†).

In our previous report on the synthetic potential of γ-lactam derivatives, β-phosphorated cyclic enamine substrates proved to be ineffective in Horner–Wadsworth–Emmons reactions, providing vinylogous nucleophilic addition products instead of the expected 1-azadienes.[18b] Keeping in mind these results, we projected the formation of the azadiene moiety through a Mannich reaction with Eschenmoser's salt followed by the elimination of dimethylamine. For this reason, the functionalisation of substituted γ-lactam substrates **1** with Eschenmoser's salt was accomplished in very good yields using a slight excess of triethylamine as a base in refluxing chloroform (Scheme 1). Following this procedure, several functionalised γ-lactams derived from *para*-halogen-substituted anilines (**2a–c**), *p*-toluidine (**2d**), simple aniline (**2e**) and *p*-anisidine (**2f**) were prepared in good to excellent yields (61–96%). Unfortunately, the use of γ-lactams **1** derived from anilines bearing electron withdrawing substituents such as NO_2 or CF_3 did not provide the functionalised substrates, which may be due to a deactivation of the enamine moiety. Considering the assorted reactivity usually observed in similar 3-amino-γ-lactam derivatives **1**,[19] functionalised γ-lactams **2** were extensively characterised on the basis of their ^1H, ^{13}C, ^{19}F NMR, 2D NMR spectra, IR and HRMS (see ESI†). As usual, the multiplicity of the carbons was verified by DEPT experiments and could be also deduced from the interactions observed in the HSQC spectrum. Dimethylaminomethyl-substituted γ-lactams **2** may be suitable starting materials for the generation of chiral 1-azadienes.

6104 | *Org. Chem. Front.*, 2023, **10**, 6103–6111

This journal is © the Partner Organisations 2023

Scheme 1 Cyclodimerisation of *in situ* generated 1-azadienes **4**. [a]Isolated yield for a 50% maximum.

Unfortunately, the direct elimination of trimethylamine by the classical treatment with methyl iodide or Me_2SO_4 failed to provide the target conjugated alkene. For this reason, the replacement of the dimethylamino moiety by a better leaving group was performed by the treatment of functionalised γ-lactams **2** in neat acetic anhydride at room temperature, leading in a few minutes to the formation of substituted γ-lactams **3** (Scheme 1). Purification of γ-lactams **3** by chromatography was unfeasible, due to the fast decomposition of the substrates under exposure to silica or alumina. However, we were able to isolate and fully characterise *p*-tolyl-derived lactam **3d** (R = *p*-MeC$_6$H$_4$) straight from the crude reaction mixture as red crystals. In view of the low stability of these substrates, acetoxy-substituted γ-lactams **3** were used without purification in the further steps, after the elimination of acetic acid and the excess of acetic anhydride under low pressure.

In order to generate the target azadiene substrates, the elimination of acetic acid was promoted upon treatment of acetoxy-substituted γ-lactams **3** with freshly distilled triethylamine as the basic source. Surprisingly, under those conditions, only spirocyclic tetrahydropyridines **5** were obtained as single diastereomers. Although the isolation of azadiene species **4** was not feasible, we theorised that the reaction may proceed through the dimerisation of intermediate **4** by a regio- and diastereo-specific aza-Diels–Alder cycloaddition mechanism, where the α,β-unsaturated imine system acts both as diene and dienophile source (Scheme 1).

The reaction was applied to six γ-lactam derivatives **2** derived from different anilines (Table 1). The reaction using γ-lactams **2a–c** (R = *p*-BrC$_6$H$_4$, *p*-ClC$_6$H$_4$, *p*-FC$_6$H$_4$), having halogen atoms at the aniline moieties, provided spirocyclic tetrahydropyridines **5a–c** in moderate to good yields (16–38%) as a single diastereomer (Table 1, entries 1–3). Moreover, the use of γ-lactam **2d** derived from *p*-toluidine (R = *p*-MeC$_6$H$_4$) furn-

ished a single diastereomer of the corresponding spirocyclic substrate **5d** in good yield (Table 1, entry 4). To our surprise, the *in situ* generation of 1-azadiene **4e** derived from simple unsubstituted aniline (R = Ph) provided a complex mixture resulting from the decomposition of the azadiene species (Table 1, entry 5). Interestingly, the presence of a strong electron-donating group at the aromatic ring in γ-lactam **2f** (R = *p*-MeOC$_6$H$_4$) led to the formation of spirocyclic tetrahydropyridine **5f** in good yield (42%) as a mixture of diastereomers (dr = 2 : 1) (Table 1, entry 6). Substrates **5**, obtained from the cyclodimerisation of *in situ* generated 1-azadienes **4**, were extensively characterised on the basis of their ^{1}H, ^{13}C, ^{19}F NMR, 2D NMR spectra and HRMS (see ESI†). The most characteristic signals for the spirocyclic substrates **5** in the ^{1}H NMR spectrum are the two singlets at δ_H ~5.5 and 4.9 ppm, corresponding to the two CH groups at both stereogenic carbons of the two γ-lactam rings, and four multiplets, two of them at δ_H ~2.3 ppm and the other two at δ_H ~1.5 ppm, attributed to the two CH$_2$ groups at the tetrahydropyridine ring, the multiplicity of which is consistent with their diastereotopic character. In the ^{13}C NMR spectrum, the formation of substrates **5** is evident from the presence of two signals at δ_C ~70 and 65 ppm corresponding to the two CH groups at the two lactam rings, while the two methylene groups appear at δ_C ~25 and 18 ppm and the quaternary carbon at the spirocycle shows a chemical shift at δ_C ~65 ppm.

Remarkably, although spirocyclic tetrahydropyridines **5** contain three stereogenic carbons and racemic functionalised γ-lactams **1** are used as starting materials, a single diastereomer is formed in the case of substrates **5a–d**. With the aim of fully understanding the mechanism of the cycloaddition process and the nature of the generation of the stereocentres, a single crystal of spirocyclic tetrahydropyridine **5a** was isolated from a mixture dichloromethane/hexane and X-ray diffraction experiments were performed in order to determine the relative configuration of the chiral carbons (Fig. 2). The crystal structure of **5a** revealed a 2*S**,3*S**,5′*S** relative configuration. Moreover, in order to get more solid evidence for the relative configuration of the stereocentres, a second X-ray structure was obtained also for spirocycle **5b**, providing the same configuration as **5a** (see ESI†). In congruity with this configuration, there must be an exclusive reaction within the racemic mixture between 1-azadienes **4** owning the same configuration (either *R* with *R* or *S* with *S*) through a stereospecific *endo* transition state relative to the imine substituent.

Table 1 Reaction scope

Entry	Compound	R	Yield[a] (%)	dr
1	**5a**	*p*-BrC$_6$H$_4$	38	>99 : <1
2	**5b**	*p*-ClC$_6$H$_4$	37	>99 : <1
3	**5c**	*p*-FC$_6$H$_4$	16	>99 : <1
4	**5d**	*p*-MeC$_6$H$_4$	44	>99 : <1
5	**5e**	C$_6$H$_5$	Nd	>99 : <1
6	**5f**	*p*-MeOC$_6$H$_4$	42	66 : 34

[a] Isolated yield for a 50% maximum.

This journal is © the Partner Organisations 2023
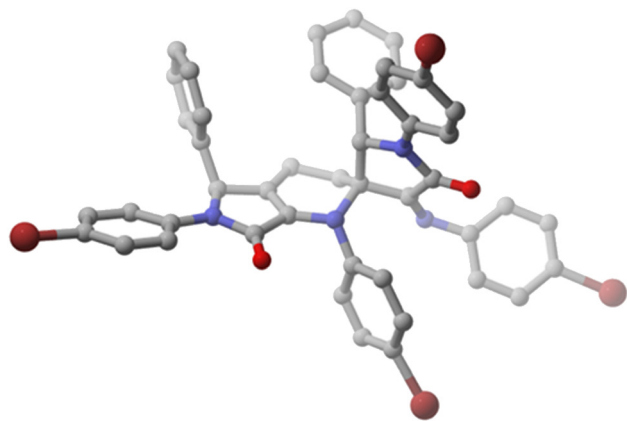
Org. Chem. Front., 2023, **10**, 6103–6111 | **6105**

Fig. 2 X-ray structure of functionalised spirocyclic tetrahydropyridine **5a** (H, white; C, grey; O, red; N, blue; Br, brown) (2S,3S,5′S enantiomer shown).

Wishing to firmly comprehend the cycloaddition process, we performed an NMR study of the reaction using *p*-bromoaniline-derived γ-lactam **2a** as a model (see ESI†). After generating the acetylated substrate **3a**, trimethylamine was added at rt and a temperature ramp-up regimen with NMR spectra acquired at 5-degree intervals was performed. Throughout the initial temperature increments (from rt to 50 °C), no significant changes were observed. Consequently, a temperature of 55 °C was determined as the optimum for the generation of 1-azadiene **4a**. Then a ¹H NMR spectrum was recorded every 30 minutes and the course of the reaction and the side products formed were analysed. Our conclusion is that, once the 1-azadiene species is formed, it reacts extremely fast avoiding the determination of the presence of any intermediate clearly. However, at a certain point, we were able to detect the signals corresponding to the vinylic protons of the 1-azadiene species at δ_H ~5.8 ppm that disappear very fast, confirming that 1-azadiene **4a** is the real intermediate in this reaction. We could also conclude that, as soon as the 1-azadiene is formed, it reacts instantaneously with itself and a minor part of 1-azadiene suffers from degradation leading to a complex mixture derived from reactions at the highly reactive conjugated C=C bond and/or the hydrolysis of imine moiety. For these reasons, we theorise that the different yields obtained in the scope depend on the stability of the 1-azadiene (faster decomposition than dimerisation, lower yield; slower decomposition than dimerisation, higher yield).

In order to get a more in-depth understanding of the empirical results and despite the complexity of the system involved in our reaction (88 to 104 atoms), we decided to perform computational calculations within the DFT framework. We focused on understanding the excellent chiral self-recognition towards homo-spirocycles **5a–d** stemming from the reaction of two γ-lactams bearing the same absolute configuration, as well as the selectivity loss observed for 1-azadiene **4f** (R = *p*-MeOC₆H₄) where both homo- and heterodimeric cycloadducts were isolated (experimental dr = 2:1, Scheme 1).

In view of the NMR experiments that point out the fast reactivity of the diene species once it is formed (*vide supra*), we hypothesized that the chiral self-recognition phenomenon would start already within the acetylated precursors **3a** and **3f**. In order to prove this theory, an initial optimisation of the structures of **3a** and **3f** was performed. Our calculations show that the phenyl substituent in position 5 and the acetyl group are placed perpendicular to the five-membered ring (see Fig. 3A), thus affecting the possible intermolecular interactions related to the self-recognition phenomenon. Therefore, we considered three possible approaches for the dimer formation: (a) homo-acetylated aggregate **AA_homo**, where the two phenyl groups are in outside positions (*i.e.* *R*-**3a/f** interacts with *R*-**3a/f**, and *S*-**3a/f** interacts with *S*-**3a/f**); (b) hetero-acetylated aggregate **AA_hetero**, where one of the phenyl groups is placed in an inside position (*i.e.* *R*-**3a/f** interacts with *S*-**3a/f**); furthermore we considered (c) an additional homo-acetylated aggregate **AA_homo-inin** where the two phenyl groups are in an inside position. Note that in the calculations only *R* enantiomers will be considered as reference homo-aggregates for clarity. We evaluated the relative stability of the different acetylated aggregates using Gibbs binding free energies as follows:

$$\Delta G_b = \Delta G_{dimer} - (\Delta G_{(R/S)-monomer} + \Delta G_{(R/S)-monomer}) \quad (1)$$

The computed ΔG_b values for brominated compounds **3a** (Fig. 3B) show that formation of **AAa_homo** is strongly favoured compared to **AAa_hetero** (Gibbs binding energies of −12.7 and −5.4 kcal mol⁻¹, respectively). These results indicate the energetic penalty associated with the phenyl and AcO substituents placed preferentially interacting with another *R*-enantiomer, placing the substituents in an outer position; and the same is expected for *S*-enantiomers. In fact, the formation of **AAa_homo-inin** is strongly disfavoured, showing a Gibbs binding energy of +21.1 kcal mol⁻¹.

In order to have more insights about these aggregates, we further analysed the bonding mechanism of these later dimers using a canonical energy decomposition analysis (EDA).[21] Within this method, the interaction energy is decomposed into four terms: (i) classical electrostatic interactions, (ii) two-centre four-electron Pauli repulsions, (iii) stabilising orbital interactions and (iv) dispersion energy. The obtained results show that formation of **AAa_homo** aggregates is favoured compared to **AAa_hetero** due to more stabilising dispersion interaction and less destabilising Pauli repulsion, pointing towards the relevance of the π–π interactions for this process.

When MeO-derived acetylated precursors **3f** are considered, the calculations show that the aggregation process is not energetically favoured, as reflected by the positive ΔG_b values. In this case, no self-recognition phenomenon can be addressed at this initial reaction stage. Next, we performed the optimisation of isolated γ-lactam dienes **4a** and **4f**. Geometrical inspection of these compounds indicates that the phenyl substituent in position 5 effectively blocks one of the prochiral faces of the azadiene moiety (Fig. 4). Analogously to the acetylated aggregate case, we anticipated that the formation of reactive complexes **RC_homo**, where the two 5-phenyl substituents
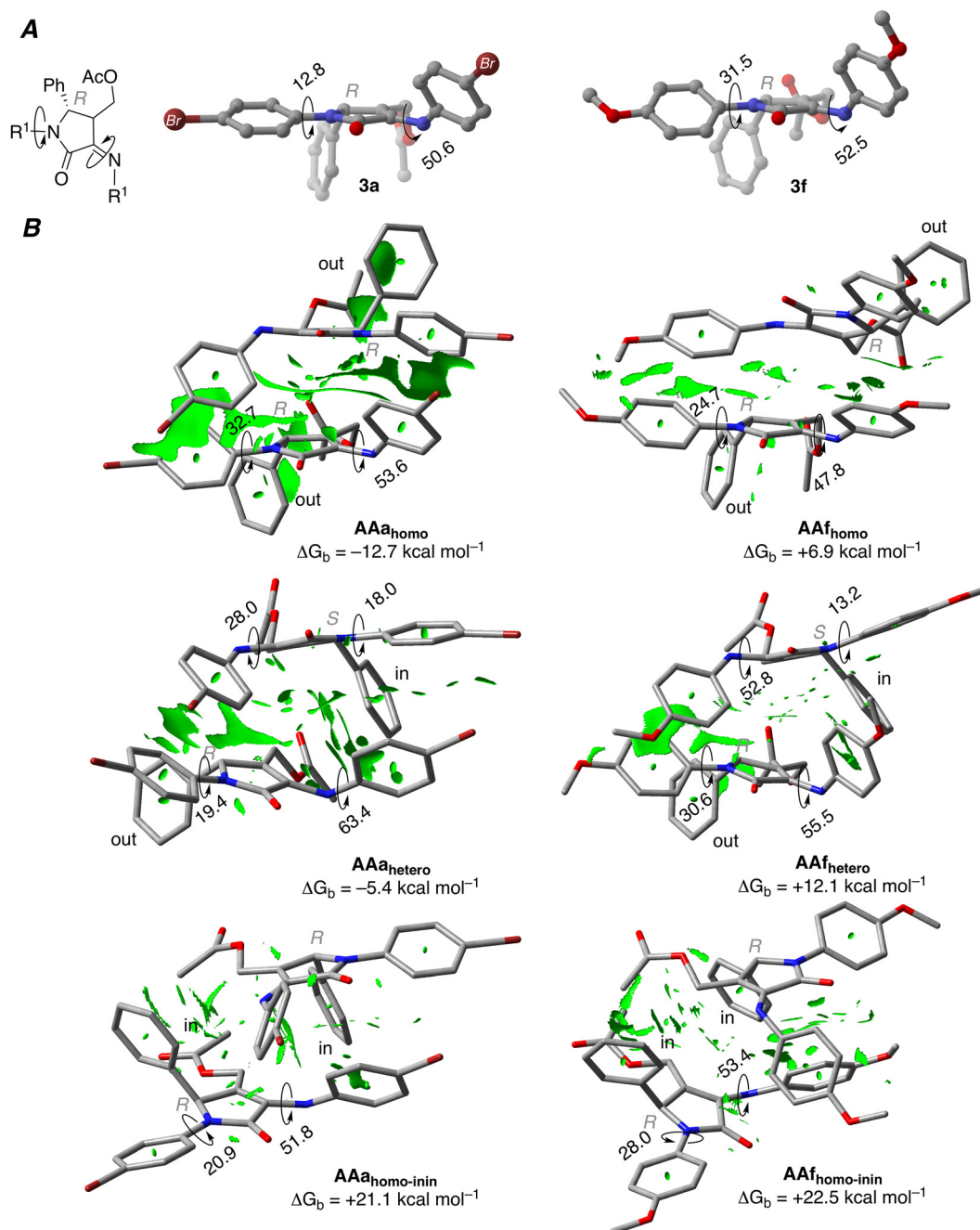
6106 | *Org. Chem. Front.,* 2023, **10**, 6103–6111

This journal is © the Partner Organisations 2023

Fig. 3 (A) Main geometrical features of compounds **3a** and **3f** computed at M06-2X-GD3 (PCM)/6-31G* level of theory. (B) Contour plots of the reduced density gradient isosurfaces (RGD, density cutoff = 0.20 au) for the acetylated aggregates **AAa** and **AAf** including Gibbs binding free energies (ΔG_b) computed at M06-2X-GD3 (PCM)/6-31+G**//M06-2X-GD3 (PCM)/6-31G* level of theory. Dihedral angles are in degrees. The green surfaces indicate attractive non-covalent interactions.

are placed in an outward position, would be favoured compared to **RC**$_{hetero}$ counterparts, where one of the 5-phenyl moieties necessarily needs to be placed in an inward position. Our analysis shows that the binding strength depends on the nature of the R substituents, where Br-derived γ-lactam dimers **RCa** are more strongly bound compared to MeO-derived analogous **RCf** by *ca.* 8.0 kcal mol⁻¹, in line with the results obtained for the acetylated aggregates (Table 2).

Our canonical EDA calculations on the reactive complexes confirmed that the formation of **RCa** dimers is more favoured compared to **RCf** analogues, due to more stabilising electrostatic and dispersion interaction energies, which compensates a slightly more destabilising Pauli repulsion in the former (see ESI†). This is in agreement with the work reported by Houk *et al.*[22] for model mono-substituted benzene dimers, where halogen substitution enhances π–π interactions compared to
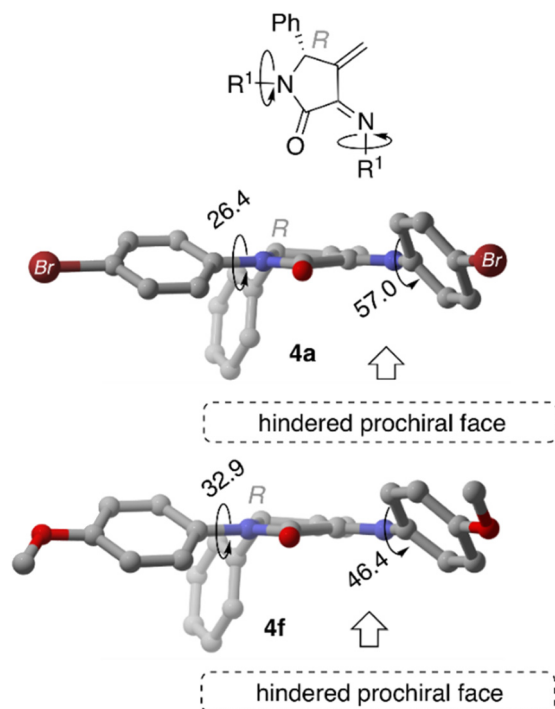
This journal is © the Partner Organisations 2023

Org. Chem. Front., 2023, **10**, 6103–6111 | **6107**

Fig. 4 Main geometrical features of compounds **4a** and **4f** computed at M06-2X-GD3 (PCM)/6-31G* level of theory.

Table 2 Gibbs binding energies of reactive complexes **RCa/RCf** calculated using eqn (1) with values computed at M06-2X-GD3(PCM)/6-31G*++//M06-2X-GD3 (PCM)/6-31G* level of theory (in kcal mol^{-1})

	RCa	RCf
Homo	−14.6	−5.9
Hetero	−9.4	−1.4

methoxy substitution due to more favourable electrostatic and dispersion interactions.

Our results also show the preferential formation of **RC$_{homo}$** complexes compared to **RC$_{hetero}$**, a consequence of the presence of one 5-phenyl substituent in an inward position that prevents extended π–π interaction over the complete aromatic system (*vide supra*) that was observed in the acetylated precursors **AA**. Moreover, we observed that the less stabilising interactions observed for **4f** are also reflected in a lower **RC$_{homo}$**–**RC$_{hetero}$** discrimination (homo/hetero Gibbs binding free energies difference of 5.2 and 4.5 kcal mol^{-1} for **RCa** and **RCf**, respectively). We relate this fact to the lower chiral self-recognition observed for the synthesis of **5f**.

Once the reactive complexes were analysed, we next calculated the key transition structures associated with the cyclodimerisation reaction of **4a** and **4f** that leads to spirocyclic tetrahydropyridines **5** (Fig. 5). Among all the transition structures analysed, the lowest Gibbs activation barriers are those associated with the imino moiety in *endo* approach, where at least one of the 5-substituents is placed in an outward position. In

fact, the activation barrier associated with *exo* approaches lies about 10.0 kcal mol^{-1} above them (see ESI†).

From a geometrical point of view, both **TSa$_{homo}$** and **TSa$_{hetero}$** are concerted but asynchronous where the carbon–carbon bond develops earlier than the carbon–nitrogen one. Remarkably, **TSa$_{homo}$** has *C*2 symmetry, with two identical carbon–nitrogen distances. Moreover, the displacement vectors associated with the imaginary frequency correspond to C–C bond formation as with non-negligible in-phase vibration associated with formation of both N–C bonds. Therefore, it is not possible to identify which of the initial γ-lactams acts neither as diene nor as dienophile. In fact, **TSa$_{homo}$** corresponds to a bispericyclic ambimodal [4 + 2]/[2 + 4] transition state analogous to that reported by Caramella *et al.*[11] for the *endo*-dimerisation of cyclopentadiene. In that report, the authors state that, in such symmetrical TSs, the orbital interactions are maximised with a minimal structural deformation, thus leading to a decrease in the activation barrier.

In fact, our DFT calculations show a strong preference towards formation of homo-spirocycles **5a**, as reflected by the Gibbs activation difference of 2.9 kcal mol^{-1} between **TSa$_{homo}$** and **TSa$_{hetero}$** that is related to the higher stabilising interaction observed in **RCa$_{homo}$**. It is important to notice that all our attempts to locate **TSa$_{homo-inin}$**, where the dienophile fragment has the 5-substituent in an inside position, evolve towards **TSa$_{homo}$** in few optimisation steps.

By including the previously computed Gibbs free activation energies in the Eyring–Polanyi equation[23] and imposing the normalization conditions of eqn (3):

$$\frac{[homo - 5a/f]}{[hetero - 5a/f]} = e^{-\left(\frac{\Delta\Delta G^{\pm}}{RT}\right)} \quad (2)$$

$$[homo - 5a/f] + [hetero - 5a/f] = 100 \quad (3)$$

we obtained theoretical homo-**5a** : hetero-**5a** ratio of 99 : <1, in perfect agreement with the experimental evidences.

Furthermore, we quantified the origin of that chiral self-recognition by using the activation strain (ASM) distortion/interaction model.[24] ASM decomposes the electronic activation barrier into two terms: (i) strain energy that results from the deformation of the reactants and (ii) interaction energy between these deformed reactants. These analyses revealed that the interaction energy controls the strong preference towards homo-**5a**, mainly dominated by a lower Pauli repulsion and higher dispersion energy in **TSa$_{homo}$** (see ESI†).

In order to further analyse that assessment, we extended our computational analysis to less sterically demanding brominated γ-lactams, where the phenyl group in position 5 is replaced by methyl **4g** or isopropyl **4h** groups (see ESI†). In these model systems, we observe similar homo-preference, thus pointing to the low relevance of the size of the 5-substituent on the selectivity.

On the contrary, the dimerisation of **4f** is less stereo-selective (Gibbs activation difference of 0.8 kcal mol^{-1}, theoretical homo-**5f** : hetero-**5f** ratio of 79 : 21). We relate this selectivity loss to the lower **RC$_{homo}$**–**RC$_{hetero}$** discrimination for methoxy-

6108 | *Org. Chem. Front.*, 2023, **10**, 6103–6111

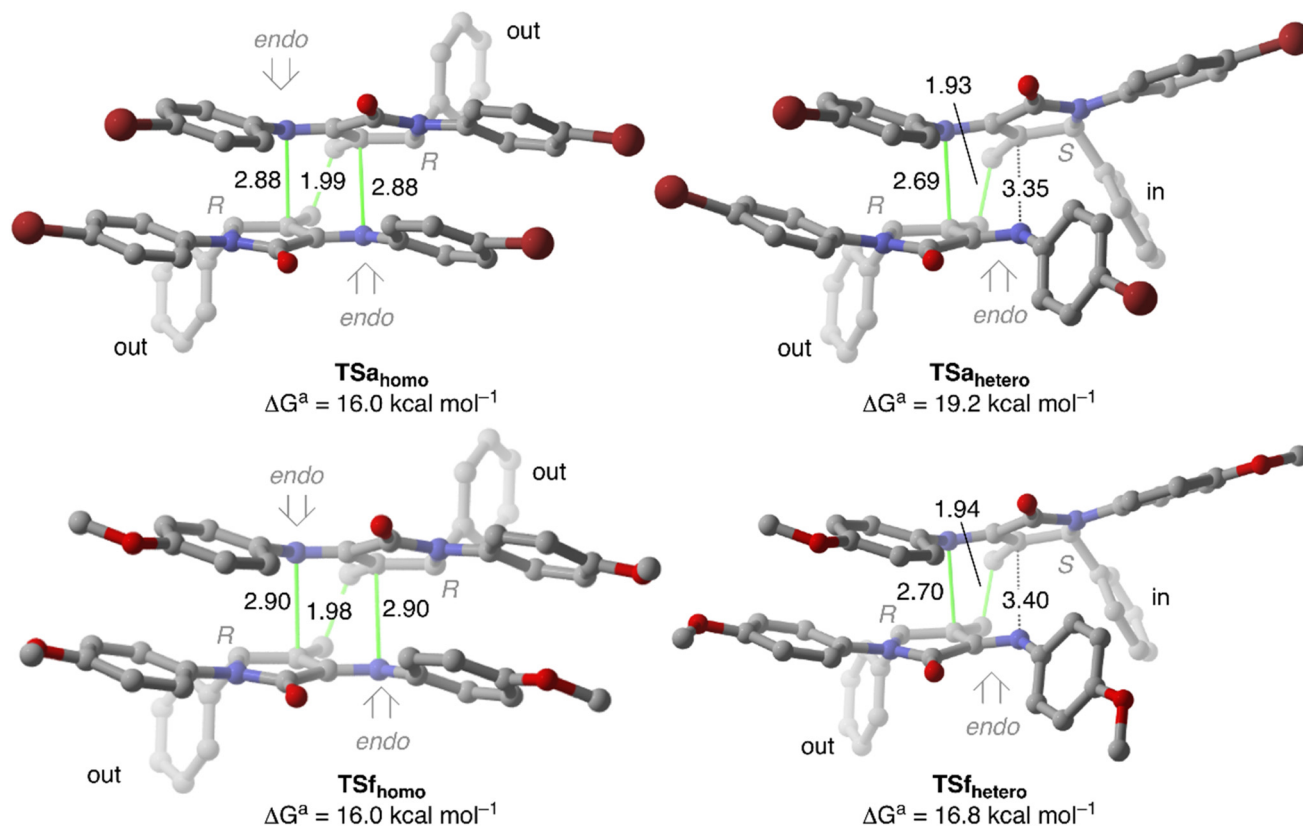This journal is © the Partner Organisations 2023

Fig. 5 Main geometrical features and Gibbs activation energies computed for the less energetic transition structures associated with the Diels–Alder dimerisation of the 1-azadienes **4a** and **4f** computed at M06-2X-GD3 (PCM)/6-31+G**//M06-2X-GD3 (PCM)/6-31G* level of theory. Distances are in Å.

substituted γ-lactam. ASM calculations reflect that **TSf$_{homo}$** preference is a consequence of lower Pauli repulsion, whereas almost equal dispersion energy stabilisation is observed in **TSf$_{homo}$** and **TSf$_{hetero}$**, contrary to **5a** case. Thus, mixtures of homo-**5f** and hetero-**5f** would be obtained (theoretical ratio of 80 : 20), in perfect agreement with the experimental results.

Conclusions

In conclusion, a stereospecific synthesis of γ-lactam-derived dimeric spirocycles is reported through a bispericyclic cyclodimerisation reaction of cyclic rigid 1-azadienes. Spirocycles possess unique three-dimensional structures that can provide a diverse range of chemical and biological properties. The presence of a spirocyclic motif can significantly impact the reactivity, stability, and physical properties of organic molecules, making them valuable in drug discovery and materials science.[25] Moreover, a strong chiral self-recognition effect is observed in the cycloaddition process, where only one diastereomer is formed within a racemic mixture, as a consequence of the exclusive reaction of two chiral 1-azadienes with the same absolute configuration. Surprisingly, the presence of a p-MeOC$_6$H$_4$ substituent at the nitrogen atom of the 1-azadiene results in a diastereoselectivity loss. DFT calculations on the reaction mechanisms show that this chiral self-recognition can be related to the presence of more stabilising electrostatic and dispersion interaction energies of the homo-cycloadducts, compared to their hetero- counterparts, supporting the empirical results. Although several studies have been reported on bispericyclic dimerisation of all-carbon dienes, as far as we know, this is the first study of the analogous reaction of azadienes. In addition, this is also the first computational study of a chiral self-recognition phenomenon leading to the formation of covalent bonds.

Author contributions

A. L.-F., A. d. C. and J. V. conceived the work. A. L.-F., X. d. C. and Z. S.-B. carried out the experimental work. A. L.-F., X. d. C. and A. d. C. carried out the computational work. A. d. C. and J. V. drafted the manuscript. All the authors contributed with comments, revising the manuscript and all have approved the final version. J. M. d. l. S., A. d. C. and J. V. directed the work.

Conflicts of interest

There are no conflicts to declare.

This journal is © the Partner Organisations 2023

Org. Chem. Front., 2023, **10**, 6103–6111 | **6109**

Acknowledgements

References

1 G. Vantomme and J. Crassous, Pasteur and chirality: A story of how serendipity favors the prepared minds, *Chirality*, 2021, **33**, 597–601, DOI: **10.1002/chir.23349**.

2 A. Berthod, Chiral Recognition Mechanisms, *Anal. Chem.*, 2006, **78**, 2093–2099, DOI: **10.1021/ac0693823**.

3 Seminal work: T. Williams, R. G. Pitcher, P. Bommer, J. Gutzwiller and M. Uskokovic, Diastereomeric solute-solute interactions of enantiomers in achiral solvents. Nonequivalence of the nuclear magnetic resonance spectra of racemic and optically active dihydroquinine, *J. Am. Chem. Soc.*, 1969, **91**, 1871–1872, DOI: **10.1021/ja01035a060**.

4 (*a*) V. Dašková, D. Padín and B. L. Feringa, Turning Enantiomeric Relationships into Diastereomeric Ones: Self-Resolving α-Ureidophosphonates and Their Organocatalytic Enantioselective Synthesis, *J. Am. Chem. Soc.*, 2022, **144**, 23603–23613, DOI: **10.1021/jacs.2c10911**; (*b*) Z. Szakács, Z. Sánta, A. Lomoschitz and C. Szántay Jr., Self-induced recognition of enantiomers (SIRE) and its application in chiral NMR analysis, *Trends Anal. Chem.*, 2018, **109**, 180–197, DOI: **10.1016/j.trac.2018.07.020**; (*c*) M. M. Safont-Sempere, P. Osswald, K. Radacki and F. Würthner, Chiral Self-Recognition and Self-Discrimination of Strapped Perylene Bisimides by π-Stacking Dimerization, *Chem. – Eur. J.*, 2010, **16**, 7380–7384, DOI: **10.1002/chem.201001137**; (*d*) S. Ghosh, A. Wu, J. C. Fettinger, P. Y. Zavalij and L. Isaacs, Self-Sorting Molecular Clips, *J. Org. Chem.*, 2008, **73**, 5915–5925, DOI: **10.1021/jo8009424**; (*e*) T. Kamada, N. Aratani, T. Ikeda, N. Shibata, Y. Higuchi, A. Wakamiya, S. Yamaguchi, K. S. Kim, Z. S. Yoon, D. Kim and A. Osuka, High Fidelity Self-Sorting Assembling of *meso*-Cinchomeronimide Appended *meso-meso* Linked Zn(II) Diporphyrins, *J. Am. Chem. Soc.*, 2006, **128**, 7670–7678, DOI: **10.1021/ja0611137**.

5 A. Zehnacker and M. A. Suhm, Chirality Recognition between Neutral Molecules in the Gas Phase, *Angew. Chem., Int. Ed.*, 2008, **47**, 6970–6992, DOI: **10.1002/anie.200800957**.

6 H. Jędrzejewska and A. Szumna, Making a Right or Left Choice: Chiral Self-Sorting as a Tool for the Formation of Discrete Complex Structures, *Chem. Rev.*, 2017, **117**, 4863–4899, DOI: **10.1021/acs.chemrev.6b00745**.

7 (*a*) J.-M. Lehn, *Supramolecular Chemistry: Concepts and Perspectives*, VCH Verlagsgesellschaft mbH, 1995. DOI: **10.1002/3527607439**; (*b*) G. M. Whitesides and B. Grzybowski, Self-Assembly at All Scales, *Science*, 2002, **295**, 2418–2421, DOI: **10.1126/science.1070821**; (*c*) M. Petryk, K. Biniek, A. Janiak and M. Kwit, Unexpected narcissistic self-sorting at molecular and supramolecular levels in racemic chiral calixsalens, *CrystEngComm*, 2016, **18**, 4996–5003, DOI: **10.1039/C6CE00256K**.

8 (*a*) M. K. J. ter Wiel, R. A. van Delden, A. Meetsma and B. L. Feringa, Light-Driven Molecular Motors: Stepwise Thermal Helix Inversion during Unidirectional Rotation of Sterically Overcrowded Biphenanthrylidenes, *J. Am. Chem. Soc.*, 2005, **127**, 14208–14222, DOI: **10.1021/ja052201e**; (*b*) M. K. J. ter Wiel, R. A. van Delden, A. Meetsma and B. L. Feringa, Increased Speed of Rotation for the Smallest Light-Driven Molecular Motor, *J. Am. Chem. Soc.*, 2003, **125**, 15076–15086, DOI: **10.1021/ja036782o**; (*c*) N. Harada, N. Koumura and B. L. Feringa, Chemistry of Unique Chiral Olefins. 3. Synthesis and Absolute Stereochemistry of *trans*- and *cis*-1,1′,2,2′,3,3′,4,4′-Octahydro-3,3′-dimethyl-4,4′-biphenanthrylidenes, *J. Am. Chem. Soc.*, 1997, **119**, 7256–7264, DOI: **10.1021/ja970669e**; (*d*) N. Koumura, R. W. J. Zijlstra, R. A. van Delden, N. Harada and B. L. Feringa, Light-driven monodirectional molecular rotor, *Nature*, 1999, **401**, 152–155, DOI: **10.1038/43646**.

9 (*a*) M. Christl, S. Groetsch and K. Günther, The Dimerization of Chiral Allenes: Pairs of Enantiomers and Pairs of Homomers Furnish Different Diastereomers, *Angew. Chem., Int. Ed.*, 2000, **39**, 3261–3263, DOI: **10.1002/1521-3773(20000915)39:18<3261::AID-ANIE3261>3.0.CO;2-T**; (*b*) M. Christl, D. Moigno, E.-M. Peters, K. Peters and H. G. von Schnering, Cycloallenes, 11. 1-Phenyl-1,2-cyclononadiene: Preparation and Dimerisation, *Liebigs Ann.*, 1997, **8**, 1791–1796, DOI: **10.1002/jlac.199719970825**; (*c*) W. R. Moore, R. D. Bach and T. M. Ozretich, Dimerization of racemic and optically active 1,2-cyclononadiene, *J. Am. Chem. Soc.*, 1969, **91**, 5918–5919, DOI: **10.1021/ja01049a059**.

10 (*a*) *Cycloaddition Reactions in Organic Synthesis*, ed. S. Kobayashi and K. A. Jørgensen, Wiley, VCH Verlag, 2001, DOI: **10.1002/3527600256**; (*b*) K. N. Houk, F. Liu, Z. Yang and J. I. Seeman, Evolution of the Diels-Alder Reaction Mechanism since the 1930s: Woodward, Houk with Woodward, and the Influence of Computational Chemistry on Understanding Cycloadditions, *Angew. Chem., Int. Ed.*, 2021, **60**, 12660–12681, DOI: **10.1002/anie.202001654**.

11 P. Caramella, P. Quadrelli and L. Toma, An Unexpected Bispericyclic Transition Structure Leading to 4 + 2 and 2 + 4 Cycloadducts in the *Endo* Dimerization of Cyclopentadiene, *J. Am. Chem. Soc.*, 2002, **124**, 1130–1131, DOI: **10.1021/ja016622h**, See also references therein.

12 Q. Zhou, M. K. Thøgersen, N. M. Rezayee, K. A. Jørgensen and K. N. Houk, Ambimodal Bispericyclic [6 + 4]/[4 + 6] Transition State Competes with Diradical Pathways in the Cycloheptatriene Dimerization: Dynamics and

6110 | *Org. Chem. Front.*, 2023, **10**, 6103–6111

This journal is © the Partner Organisations 2023

Experimental Characterization of Thermal Dimers, *J. Am. Chem. Soc.*, 2022, **144**, 22251–22261, DOI: **10.1021/jacs.2c10407**.

13 (*a*) E. Breitmaier, H. Kneifel, C. Poszich-Buscher and S. Rittich, The Diels-Alder Dimer of 6-Hydroxy-2,6-dimethyl-cyclohexa-2,4-dienone, an Unusual Metabolite in the Bacterial Degradation of 2,6-Xylenol, *Angew. Chem., Int. Ed. Engl.*, 1991, **30**, 202–203, DOI: **10.1002/anie.199102021.v**; (*b*) J. Gagnepain, F. Castet and S. Quideau, Total Synthesis of (+)-Aquaticol by Biomimetic Phenol Dearomatization: Double Diastereofacial Differentiation in the Diels-Alder Dimerization of Orthoquinols with a *C*₂-Symmetric Transition State, *Angew. Chem., Int. Ed.*, 2007, **46**, 1533–1535, DOI: **10.1002/anie.200604610**; (*c*) S. Dong, J. Zhu and J. A. Porco, Enantioselective Synthesis of Bicyclo[2.2.2]octenones Using a Copper-Mediated Oxidative Dearomatization/[4 + 2] Dimerization Cascade, *J. Am. Chem. Soc.*, 2008, **130**, 2738–2739, DOI: **10.1021/ja711018z**; (*d*) P. A. Peixoto, M. El Assal, I. Chataigner, F. Castet, A. Cornu, R. Coffinier, C. Bosset, D. Deffieux, L. Pouysegu and S. Quideau, Bispericyclic Diels-Alder Dimerization of *ortho*-Quinols in Natural Product (Bio)Synthesis: Bioinspired Chemical 6-Step Synthesis of (+)-Maytenone, *Angew. Chem., Int. Ed.*, 2021, **60**, 14967–14974, DOI: **10.1002/anie.202103410**; (*e*) J. Lee, B. Kang, D. Kim and S. Chang, Alcohol-Incorporating Diels-Alder Dimerization of *In Situ* Formed *ortho*-Quinamine via Co-Nitrenoid Insertion, *Org. Lett.*, 2022, **24**, 5845–5850, DOI: **10.1021/acs.orglett.2c02392**.

14 J. C. Avise and N. Trudy, *Hermaphroditism: A Primer on the Biology, Ecology, and Evolution of Dual Sexuality*, Columbia University Press, 2011, DOI: **10.7312/columbia/9780231153867.001.0001**.

15 D. Boger and S. Weinreb, Hetero Diels-Alder Methodology, in *Organic Synthesis*, Academic Press, 1987. 0-12-110860-0.

16 J. Vicario, D. Aparicio and F. Palacios, A diastereoselective aza-Diels-Alder reaction of *N*-aryl-1-azadienes derived from α-amino acids with enamines, *Tetrahedron Lett.*, 2011, **52**, 4109–4111, DOI: **10.1016/j.tetlet.2011.05.119**.

17 F. Palacios, J. Vicario and D. Aparicio, An Efficient Synthesis of Achiral and Chiral Cyclic Dehydro-α-Amino Acid Derivatives Through Nucleophilic Addition of Amines to β,γ-Unsaturated α-Keto Esters, *Eur. J. Org. Chem.*, 2006, 2843–2850, DOI: **10.1002/ejoc.200600092**.

18 (*a*) X. del Corte, A. Maestro, J. Vicario, E. Martínez de Marigorta and F. Palacios, Brönsted-Acid-Catalyzed Asymmetric Three-Component Reaction of Amines, Aldehydes, and Pyruvate Derivatives. Enantioselective Synthesis of Highly Functionalized γ-Lactam Derivatives, *Org. Lett.*, 2018, **20**, 317–320, DOI: **10.1021/acs.orglett.7b03397**; (*b*) X. del Corte, A. López-Francés, A. Maestro, E. Martínez de Marigorta, F. Palacios and J. Vicario, Brönsted Acid Catalyzed Multicomponent Synthesis of Phosphorus and Fluorine-Derived γ-Lactam Derivatives, *J. Org. Chem.*, 2020, **85**, 14369–14383, DOI: **10.1021/acs.joc.0c00280**.

19 (*a*) A. López-Francés, X. del Corte, Z. Serna-Burgos, E. Martínez de Marigorta, F. Palacios and J. Vicario, Exploring the Synthetic Potential of γ-Lactam Derivatives Obtained from a Multicomponent Reaction-Applications as Antiproliferative Agents, *Molecules*, 2022, **27**, 3624–3649, DOI: **10.3390/molecules27113624**; (*b*) X. del Corte, A. López-Francés, E. Martínez de Marigorta, F. Palacios and J. Vicario, Stereo- and Regioselective [3 + 3] Annulation Reaction Catalyzed by Ytterbium: Synthesis of Bicyclic 1,4-Dihydropyridines, *Adv. Synth. Catal.*, 2021, **363**, 4761–4769, DOI: **10.1002/adsc.202100785**.

20 F. Palacios, J. Vicario and D. Aparicio, Aza-Diels-Alder reaction of α,β-unsaturated sulfinylimines derived from α-amino acids with enolethers and enamines, *Tetrahedron Lett.*, 2007, **48**, 6747–6750, DOI: **10.1016/j.tetlet.2007.07.101**.

21 (*a*) T. A. Hamlin, P. Vermeeren, C. Fonseca Guerra and F. M. Bickelhaupt, Energy decomposition analysis in the context of quantitative molecular orbital theory, in *Complementary Bonding Analysis*, ed. S. Grabowsky, De Gruyter, Berlin, 2021, pp. 199–212. DOI: **10.1515/9783110660074-008**; (*b*) F. M. Bickelhaupt and E. J. Baerends, Kohn-Sham Density Functional Theory: Predicting and Understanding Chemistry, in *Reviews in computational chemistry*, ed. K. B. Lipkowitz and D. B. Boyd, Wiley, Hoboken, 2000, pp. 1–86. DOI: **10.1002/9780470125922.ch1**.

22 S. E. Wheeler and K. N. Houk, Substituent Effects in the Benzene Dimer are Due to Direct Interactions of the Substituents with the Unsubstituted Benzene, *J. Am. Chem. Soc.*, 2008, **130**, 10854–10855, DOI: **10.1021/ja802849j**.

23 H. Eyring and M. Polanyi, On Simple Gas Reactions, *J. Phys. Chem. B*, 1931, **12**, 279–311, DOI: **10.1524/zpch.2013.9023**.

24 (*a*) P. Vermeeren, T. A. Hamlin and F. M. Bickelhaupt, Chemical reactivity from an activation strain perspective, *Chem. Commun.*, 2021, **57**, 5880–5896, DOI: **10.1039/D1CC02042K**; (*b*) F. M. Bickelhaupt and K. N. Houk, Analyzing Reaction Rates with the Distortion/Interaction-Activation Strain Model, *Angew. Chem., Int. Ed.*, 2017, **56**, 10070–10086, DOI: **10.1002/anie.201701486**; (*c*) D. H. Ess and K. N. Houk, Distortion/Interaction Energy Control of 1,3-Dipolar Cycloaddition Reactivity, *J. Am. Chem. Soc.*, 2007, **129**, 10646–10647, DOI: **10.1021/ja0734086**; (*d*) F. M. Bickelhaupt, Understanding reactivity with Kohn-Sham molecular orbital theory: E2-S$_N$2 mechanistic spectrum and other concepts, *J. Comput. Chem.*, 1999, **20**, 114–128, DOI: **10.1002/(SICI)109 6-987X(19990115)20:1<114::AID-JCC12>3.0.CO;2-L**.

25 (*a*) N. Moshnenko, A. Kazantsev, E. Chupakhin, O. Bakulina and D. Dar'in, Synthetic Routes to Approved Drugs Containing a Spirocycle, *Molecules*, 2023, **28**, 4209–4256, DOI: **10.3390/molecules28104209**; (*b*) K. Hiesinger, D. Dar'in, E. Proschak and M. Krasavin, Spirocyclic Scaffolds in Medicinal Chemistry, *J. Med. Chem.*, 2021, **64**, 150–183, DOI: **10.1021/acs.jmedchem.0c01473**.

This journal is © the Partner Organisations 2023

Org. Chem. Front., 2023, **10**, 6103–6111 | **6111**