

RESEARCH ARTICLE

View Article Online

View Journal | View Issue

Cite this: *Org. Chem. Front.*, 2023, **10**, 5902Received 6th September 2023,
Accepted 10th October 2023

DOI: 10.1039/d3qo01439h

rsc.li/frontiers-organic

Oxidation of a triple carbo[5]helicene with hypervalent iodine†

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The reactivities of both diastereomers of hexabenzotriphenylene (HBTP), a triple carbo[5]helicene of the formula $C_{42}H_{24}$, were examined in the presence of phenyliodine diacetate (PIDA) as an oxidizing agent. The D_3 -symmetric diastereomer afforded two different ring rearranged ketone-containing products embedding a spirofluorene moiety. In contrast, under similar conditions, the C_2 -symmetric diastereomer afforded predominantly a cyclodehydrogenation product. Altogether, this shows that stereochemistry is a critical factor in the reactivity of non-planar polycyclic aromatic hydrocarbons.

Introduction

Over the past 25 years, carbohelicenes have gradually shifted from the status of laboratory curiosity to star chiral molecules. This was enabled by the progress in their synthesis and by the discovery of their intriguing (chir)optical and electronic properties, which opened new and stimulating alleys of research.^{1–6} Helicenes, possibly embedding main-group elements⁷ and/or metals^{8,9} in their lattice, are now used to develop optoelectronic devices such as organic solar cells (OCs),¹⁰ organic field-effect transistors (OFETs),¹¹ and circularly polarized organic light-emitting diodes (CP-OLEDs).^{12–15} Despite the current enormous interest in preparing functionalized helicenes or helicenoid compounds, examples of selective functionalization of pristine carbohelicenes having no directing or activating groups are extremely rare.¹⁶ One can mention the two-fold oxidation of carbo[6]helicene with chromic acid,¹⁷ as well as its bromination, nitration and acetylation (Scheme 1a).¹⁸ The regioselective borylation reaction of carbo[5]helicene was reported through an Ir-catalyzed C–H functionalization (Scheme 1b).¹⁹ Lately, the electrochemical methoxylation of carbo[n]helicenes ($n = 6–9$) was realized in

61–85% yield.²⁰ The critical factor for the functionalization of helicenes is the regioselectivity. Because of the lack of site-specific methods to functionalize carbohelicenes, the community has instead relied on the synthesis of pre-functionalized analogues, incorporating alkoxy groups, bromine atoms, and alkynes as substituents at various positions.¹⁶

Multihelicenes built only by the fusion of benzene-like six-membered rings belong to a peculiar class of nanographenes^{21,22} that have promising chiroptical properties.²³ After the pioneering works by Clar (1959), Laarhoven (1971) and Martin (1972), who first synthesized double [n]helicenes with $n = 5–7$, the field of multihelicenes remained dormant for decades.^{24–28} It was not until the end of the 20th century that Pascal and Guitián reported the synthesis of both diastereomers (D_3 - and C_2 -symmetric) of hexabenzotriphenylene (HBTP), a triple [5]helicene fused in a single six-membered ring (Scheme 1c).^{29–31} It then took another decade for the successful synthesis of additional multihelicenes. Over the past ten years, a plethora of pristine multihelicene hydrocarbons with increasing molecular contortion and diverse photophysical and chiroptical properties have been reported.^{32–43}

Despite the bloom of multihelicenes in contemporaneous organic synthesis, there have been no successful attempts reported for their functionalization. Recently, some regioselective acyloxylation reactions of polycyclic aromatic hydrocarbons (PAH) with hypervalent iodine compounds were reported.⁴⁴ Among the examples examined in this study, only one was a non-planar PAH, namely corannulene, which could be acyloxylated with 30% yield. Inspired by this work, we examined the reactivity of HBTP under comparable conditions, with the idea to prepare poly(acyloxylated) HBTP derivatives [HBTP (OAc)_{*n*}] (Scheme 1c). In contrast to our hypothesis, three alternative oxidation products were obtained from these

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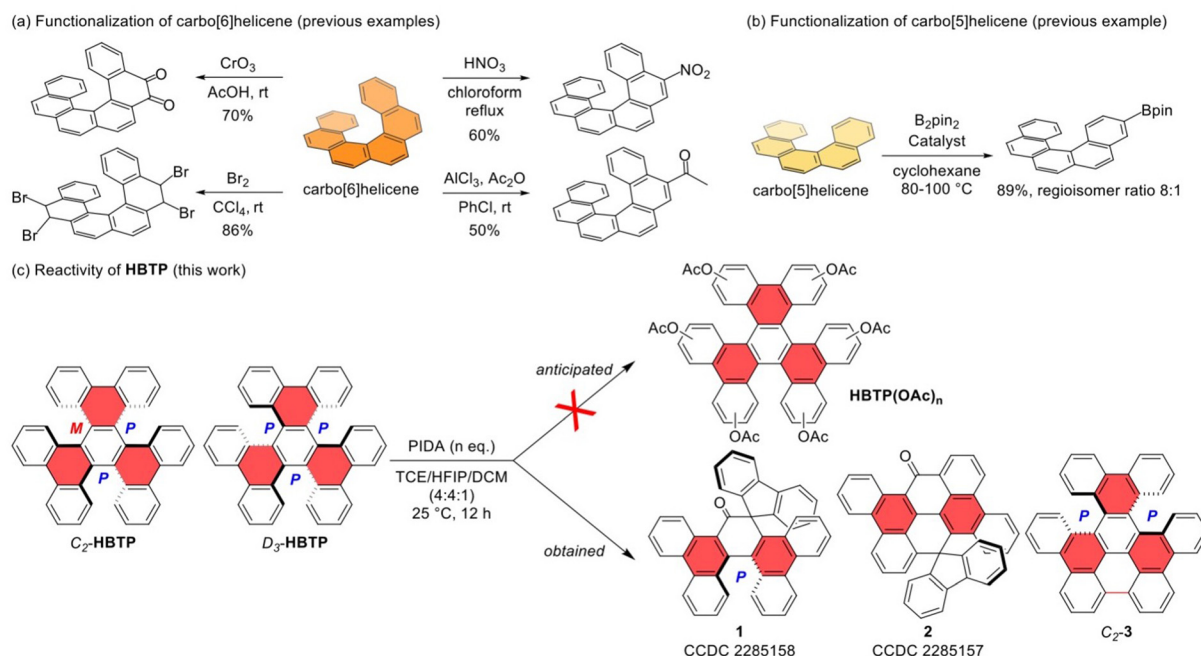
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†Electronic supplementary information (ESI) available: Synthetic procedures, characterization, copies of NMR spectra, crystal structures, and details of the theoretical calculations. CCDC 2285157 (for 2) and 2285158 (for 1). For ESI and crystallographic data in CIF or other electronic format see DOI: <https://doi.org/10.1039/d3qo01439h>

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Scheme 1 Background and results of this work. PIDA = phenyliodine diacetate, TCE = 1,1,2,2-tetrachloroethane, HFIP = 1,1,1,3,3,3-hexafluoroisopropanol, and DCM = dichloromethane.

experiments, with a net difference of reactivity between the D_3 and C_2 diastereomers of **HBTP**.

Results

In an early experiment aiming at the synthesis of the (poly)acyloylated products of type **HBTP(OAc)_n**, D_3 -**HBTP** was reacted with excess (10 equiv.) phenyliodine diacetate (PIDA) in the presence of hexafluoroisopropanol (HFIP) under blue light irradiation.⁴⁴ Under these conditions, a complex mixture of unidentified products was obtained, from which the formation of the desired product(s) could not be evidenced. Using 5 equiv. of PIDA in the absence of blue light led to a cleaner reaction, with two major products formed in reasonable amounts (Table 1, entry 1). Isolation and full characteriz-

ation of these two products, including single-crystal XRD analyses (Fig. 1), revealed that two ketones **1** (chiral) and **2** (achiral) formed, in 13% and 22% yield, respectively. In a control experiment in the absence of HFIP (entry 2), no conversion of D_3 -**HBTP** occurred, emphasizing its crucial role in reactivity as previously observed.⁴⁴ Reducing the amount of the oxidizing agent PIDA to 2.5 equiv. allowed us to produce the

Table 1 Reactivity of both diastereomers of **HBTP** under different reaction conditions

Entry	HBTP	PIDA (equiv.)	Yield (%) 1/2/C₂-3
1	D_3	5.0	13/22/0
2 ^a	D_3	5.0	No reaction
3	D_3	2.5	30/11/0
4	D_3	1.1	48/8/0
5	C_2	5.0	8/0/0
6	C_2	2.5	8/0/28
7	C_2	1.1	10/0/19

Reaction conditions: all reactions were performed in a 10 mL tubular flask using 20 mg (0.038 mmol) of **HBTP** in 0.8 mL of 1,1,2,2-tetrachloroethane, 0.8 mL of HFIP, and 0.2 mL of dichloromethane. Yields account for the isolated homogeneous material. ^a Reaction performed without HFIP.

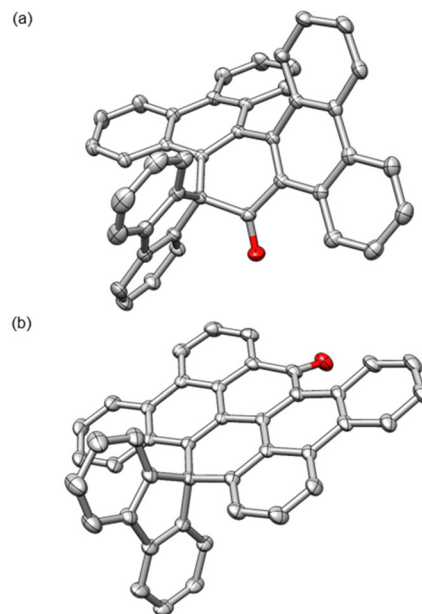


Fig. 1 Monocrystalline solid-state structures of **1** (a) and **2** (b). H atoms are omitted for clarity. Ellipsoids drawn at the 50% probability level.



chiral ketone **1** with better selectivity, with 30% yield for **1** and 11% yield for **2** (entry 3). Using 1.1 equiv. of PIDA increased the selectivity for **1**, isolated in 48% yield (entry 4). In a separate experiment, it was attempted to convert the chiral ketone **1** into the achiral ketone **2** using 1.1 equiv. of PIDA in HFIP for 12 h, which resulted in only 10% yield of **2** with poor conversion (89% of **1** recovered). This experiment indicates that **1** can convert into **2** under the reaction conditions, but with low efficiency. It is plausible that several competing pathways are involved in the formation of **2** from D_3 -HBTP.

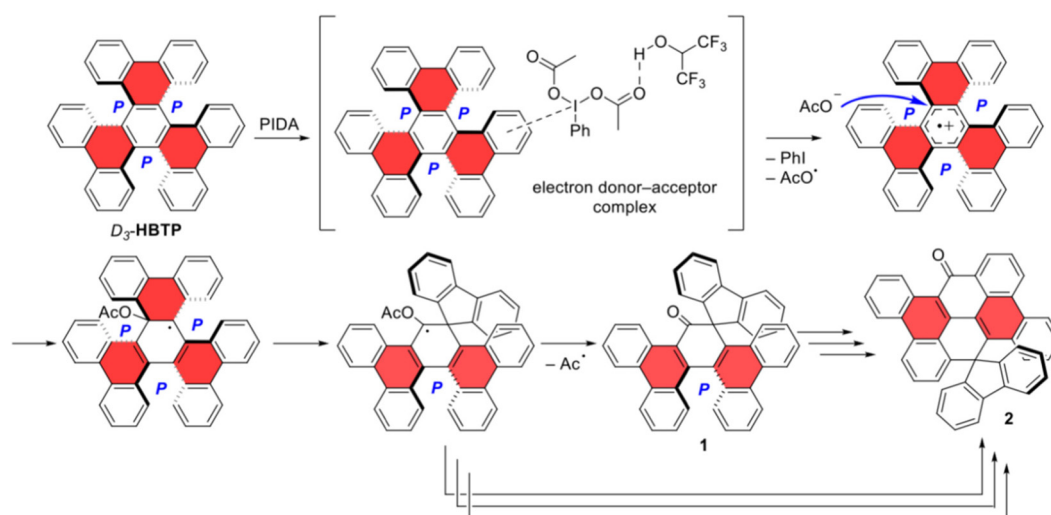
When examining the reactivity of C_2 -HBTP under identical conditions, the outcome was found to be dramatically different. Using 5 equiv. of PIDA produced 8% of the chiral ketone **1** (entry 5) together with a complex mixture of unidentified products containing no trace of the achiral ketone **2** or the initially targeted (poly)acyloxyated products of type HBTP(OAc) $_n$. Decreasing the amount of PIDA to 2.5 equiv. and 1.1 equiv. did not allow the enhancement of the yield of **1** as for D_3 -HBTP, but instead a new product C_2 -**3** was formed predominantly, in 28% and 19% yields depending on the conditions (entries 6 and 7). Remarkably, the reactivity of HBTP with PIDA dramatically depends on the relative stereochemistry of the multihelicene substrate: the chiral ketone **1** could form from both diastereomers of HBTP, albeit with different efficiency, while the formation of ketone **2** could only be evidenced from D_3 -HBTP and the formation of the double helicene C_2 -**3** could only be evidenced from C_2 -HBTP. This illustrates for the first time that pristine multihelicene hydrocarbons are amenable for chemical transformations, and importantly their reactivity is a function of their stereochemical arrangement.

Mechanistically, the formation of the chiral ketone **1** from D_3 -HBTP is supposed to be initiated by the formation of an electron donor–acceptor complex between the arene and electron-deficient PIDA, followed by a one-electron oxidation to produce the corresponding aryl radical cation and an acetate

anion (Scheme 2).⁴⁴ Nucleophilic addition of the acetate anion to the core ring of the aryl radical cation would produce a neutral radical amenable for a strain-releasing ring rearrangement to form the spirofluorene moiety. The resulting radical would eliminate an acyl radical to form the carbonyl group in **1**. The formation of ketone **2** is more complex as it involves the additional fragmentation of the core six-membered ring, followed by a cyclization step to forge the ketone-containing six-membered ring in **2**. As indicated by the experimental observations, there are possibly several competing pathways leading to the formation of **2** from D_3 -HBTP, involving or not involving ketone **1** as an intermediate and/or a carbenoid-like intermediate. Finally, the formation of C_2 -**3** from C_2 -HBTP can be inferred by the C–C bond formation at a fjord region from the radical cation formed by the one-electron oxidation of C_2 -HBTP (Scholl-type reaction). The shorter C–C distance between the reactive atoms in C_2 -HBTP, 2.91 Å for the [5]helicene unit aligned with the C_2 axis vs. 3.02 Å for D_3 -HBTP, may explain why C_2 -**3** was not formed from D_3 -HBTP.

The conformational behaviours of both the chiral ketone **1** and the chiral double [5]helicene C_2 -**3** were examined *in silico* by DFT methods (Fig. 2). The barrier to enantiomerization of ketone **1** was computed at 132.1 kJ mol^{−1}, enough to consider this compound as configurationally stable at 298 K. However, despite some efforts, the separation of both enantiomers by preparative chiral HPLC has not yet been successful to date. The enantiomerization of the chiral diastereomer of C_2 -**3** was found to be a two-step process through the thermodynamically disfavored (by 12.0 kJ mol^{−1}) *meso*-diastereomer, with a barrier computed at 91.2 kJ mol^{−1}. Because this barrier is relatively low, smaller than the barrier to the enantiomerization of pristine carbo[5]helicene,⁴⁵ the resolution of the enantiomers of C_2 -**3** was not attempted.

The optical properties of products **1**, **2** and C_2 -**3** were examined (Fig. 3). While **1** and **2** have a single absorbance maximum at 253 nm and 249 nm, respectively, C_2 -**3** shows two



Scheme 2 Mechanistic hypotheses.



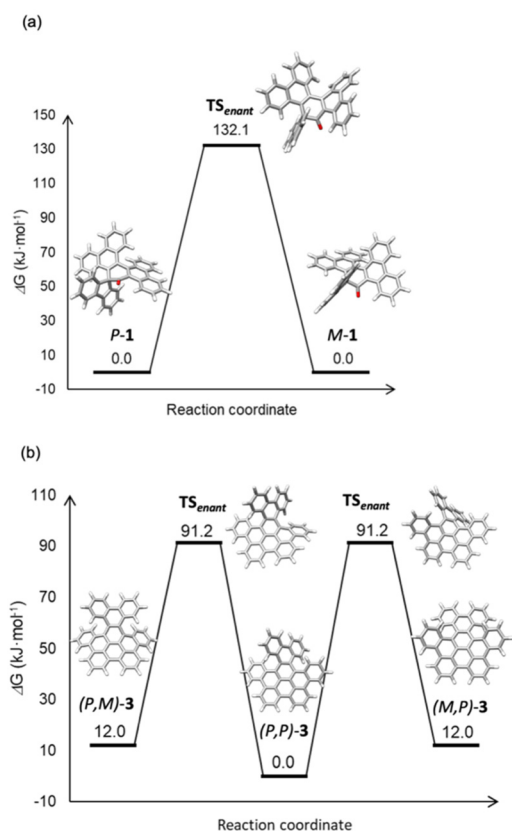


Fig. 2 Gibbs free energy profiles of the enantiomerisation and diastereomerisation processes in **1** (a) and **3** (b) computed at the ω B97XD/def2-TZVP// ω B97XD/def2-SVP level of theory at 298 K.

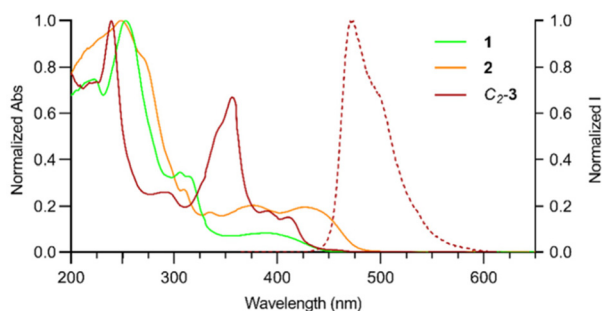


Fig. 3 Normalized absorption (plain lines) and emission (dotted line) spectra in CH_2Cl_2 (ca. 1×10^{-5} M, $\lambda_{\text{EX}} = 355$ nm) at 298 K.

maxima at 239 nm and 357 nm. Ketones **1** and **2** are not fluorescent. However, C_2 -**3** is, with a maximum fluorescence at 471 nm, which is slightly blue-shifted in comparison with D_3 -HBTP (483 nm),⁴⁶ and the photoluminescence quantum yield was determined at ca. 0.04, typically in the range observed for pristine mutlihelicenes.²³

The effect of the partial planarization in C_2 -**3** relative to D_3 -HBTP on π -electron delocalization was evaluated using 3D isotropic magnetic shielding (IMS) maps⁴⁷ and electron density of delocalized bond (EDDB(r)) analyses^{48,49} (Fig. 4). A compara-

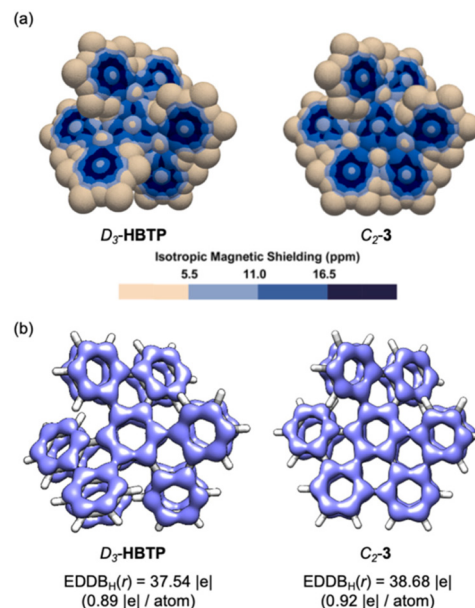


Fig. 4 3D IMS maps (a) and EDDB_H(r) analyses (b) of D_3 -HBTP and C_2 -**3**. EDDB(r) isovalue = 0.025.

tive analysis of the 3D IMS maps indicates that electron delocalization is more intense in C_2 -**3** relative to D_3 -HBTP, as visualized from the larger dark blue areas in the map of C_2 -**3**, especially at the central six-membered ring. Because of the chiral nature of C_2 -**3** and D_3 -HBTP, both faces of each ring must be considered for a complete analysis. The interactive 3D IMS maps of C_2 -**3** and D_3 -HBTP are available for the best appreciation of this (for vtk files, see the ESI†). The EDDB_H(r) analyses provided comparable outputs for D_3 -HBTP and C_2 -**3**, with however a slightly better ring-to-ring delocalization in C_2 -**3** visualized by smaller discontinuities of its EDDB(r) isosurface. Accordingly, the fraction of delocalized electrons $|e|$ is computed and found to be greater in C_2 -**3** relative to D_3 -HBTP.

Conclusions

It is demonstrated that under oxidative conditions, using phenyliodine diacetate (PIDA) and hexafluoroisopropanol (HFIP), the two diastereomers of hexabenzotriphenylene (HBTP) reacted differently: the D_3 -symmetric diastereomer afforded oxidized rearranged ketone products, while the C_2 -symmetric diastereomer afforded predominantly a cyclodehydrogenation product. From these results, stereochemistry is considered a critical factor in the reactivity of pristine non-planar polycyclic aromatic hydrocarbons (PAH), something that has not been realized until now.

Author contributions

Florian Rigoulet performed investigations – synthesis and photophysics and writing – review & editing. Albert Artigas



performed investigations – synthesis and calculations and writing – review & editing. Nawal Ferdi performed investigations – structural. Michel Giorgi performed investigations – structural and writing – review & editing. Yoann Coquerel performed conceptualisation, methodology, writing – original draft, supervision, project administration and funding acquisition.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work was funded by the French Agence Nationale de la Recherche – ANR (ANR-19-CE07-0041). The institutional financial support from Aix-Marseille University, Centrale Marseille and the CNRS is acknowledged. A. A. acknowledges the Spanish Ministerio de Universidades and the EU for a Margarita Salas grant (REQ2021_A_02). We thank the Centre Régional de Compétences en Modélisation Moléculaire (AMU) for computing facilities.

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