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## Review of quantitative and qualitative methods for monitoring photopolymerization reactions

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Photopolymerization processes are currently one of the leading methods used in various areas of the chemical industry. Despite the many advantages and the simplicity of the light-initiated polymerization process itself, there are a number of parameters that are crucial to the performance and efficiency of the process, and that also affect economic or environmental specifications. As a result, the ability to quickly control the process in real time is essential. There are a number of techniques that enable the on-line control of photopolymerization, and this paper provides a broad overview of the available methods, both for laboratory and industrial use, that allow qualitative and quantitative analysis of the process. This review provides a description of each research methodology along with a description of the parameters that can be monitored and controlled using the technique.

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### 1. Introduction

In recent years, the real-time monitoring of chemical reactions has become a priority in ensuring the quality of the final product and optimization of the production process.<sup>1–3</sup> This trend is caused by the growing market and legal requirements regarding product quality and environmental protection.<sup>4</sup> The polymer industry needs fast, reliable, non-invasive, and cheap methods to control the polymerization processes.<sup>5</sup> Many industries use photopolymerization processes and the possibility of continuous monitoring of the reactions allows efficient management of the process, enables its temporal and spatial control, and improves the properties of the final products. Knowing the nature of the ongoing process is also an environmental and economic gain, which is an additional argument for the necessity of monitoring methods for rapid light-initiated polymerization processes. The ability to on-line monitor the process is especially used in the light-cured paint and adhesives industry.<sup>6</sup> Another industry that puts a significant focus on monitoring the processes is additive technology, otherwise known as 3D-VAT printing. Process monitoring

makes it possible to respond to changes occurring in the light-sensitive resin while printing and allows for optimization of the printing parameters to ensure the fastest possible printing, while maintaining excellent optical resolutions of the finished 3D material.<sup>7</sup> Other industries that benefit from online monitoring of polymerization processes are also the biomedical industries, such as the tissue engineering industry or dentistry.

Monitoring of the polymerization reaction allows, among others, for quantitative studies of reaction kinetics, tracking molar mass changes and polymer molecular weight distribution, viscosity, degrees of branching, and many other parameters characteristic of polymer systems.<sup>8–10</sup> It also enables the optimization of reaction conditions and a critical evaluation of existing hypotheses and kinetic models used to describe polymerization reactions. Traditional *off-line* monitoring methods have many limitations, including time delay, mainly due to sampling and time-consuming qualitative and quantitative tests, which result in a significant time interval between sampling and obtaining the results of their analysis. This phenomenon is particularly problematic when dealing with fast UV-initiated polymerization processes. Another problem is, *e.g.*, different properties of the small sample taken for analysis, and the final product in bulk. Sampling also affects the quality and efficiency of the process and product due to the sensitivity of free radical polymerization to inhibitory agents such as oxygen.<sup>11</sup> The frequently appearing term *on-line* monitoring means tracking and controlling reactions/changes occurring in the reaction system in real-time, continuously, and directly from the polymerizing medium. Such *on-line* characterization is possible due to the use of selective

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sensors/labels of chemical or physical probes, an appropriate reactor or attachment design for automatic sample analysis, and modern and advanced control technology. Currently, the availability of high-speed computers, explicitly dedicated to processing data from *on-line* and *in situ* measurements, as well as fiber-optic connection technologies, offers new possibilities for monitoring polymerization processes (Fig. 1).<sup>12,13</sup>

One of the key advantages of the photopolymerization reaction is its speed, which causes photopolymerization processes to occur as fast as  $\sim 1000 \text{ kg mol}^{-1}$  at a time of 5 min. During photopolymerization, many physicochemical parameters change, *i.e.*, viscosity, polarity, and crosslinking density. Accurate tracking of the kinetics of changes occurring during ultrafast reactions is a difficult challenge when high-intensity radiation sources are additionally used in industrial practice. The overriding goal of monitoring the course of photopolymerization reactions, regardless of the mechanism, type of photoinitiator, light source, and other factors affecting the process is to determine the degree of conversion or another indicator of the reaction's progress in real-time. The amount of conversion achieved depends on many factors, among others the exposure time and intensity, composition, and homogeneity of the composition, ambient conditions (*i.e.*, the inertia of the environment relative to the polymerizing coating), and temperature.<sup>14–17</sup>

The determination of the residue of unreacted monomer in the final product is particularly important in industrial production that is strictly regulated, among others by governmental organizations, as current quality standards require that the monomer content of the polymer is below 500 ppm and in some cases even 10 ppm.<sup>18,19</sup> Hence, polymerization to high monomer conversion rates has become the dominant goal in optimizing polymerization processes, and developing methods

to control and track the progress of polymerization reactions has become a way to achieve this goal. However, when describing methods of monitoring polymerization reactions in real-time, their usefulness should be separated depending on the scale of application (*i.e.*, laboratory or industrial scale) due to completely different quality, quantitative and technical requirements.<sup>20–22</sup>

There are several requirements for monitoring the photopolymerization reaction in real-time. These methods must exhibit high sensitivity, as the measurement is carried out in thin layers with micron thicknesses (1–50  $\mu\text{m}$ ) and a high rate of data acquisition at short intervals, as the conversion of a liquid monomer into a cross-linked polymer can take just a few seconds. In addition, the repeatability and reliability of the results are essential features of all analytical methods (Fig. 2). Currently used real-time techniques for monitoring reactions differ in precision, sensitivity, speed, the complexity of the equipment and the amount of process data obtained per unit of time.<sup>23–25</sup>

Research methods, so far used to monitor the progress of photochemically initiated polymerization processes and the analysis of their kinetics, can be divided into two types:

- direct – based on the measurement of the amount of produced polymer or unreacted monomer;
- indirect – consisting of the measurement of changes in the physicochemical properties of the polymerizing composition, which are proportional to the degree of conversion of the monomer or the amount of the produced polymer<sup>26,27</sup>

In practice, there is also a division into periodical and continuous methods. Discrete (periodical) methods, provide results punctually, cyclically, at specified time intervals, or irregularly. Non-continuous methods include infrared spectroscopy (IR), photoacoustic spectroscopy (PAS), and gravime-

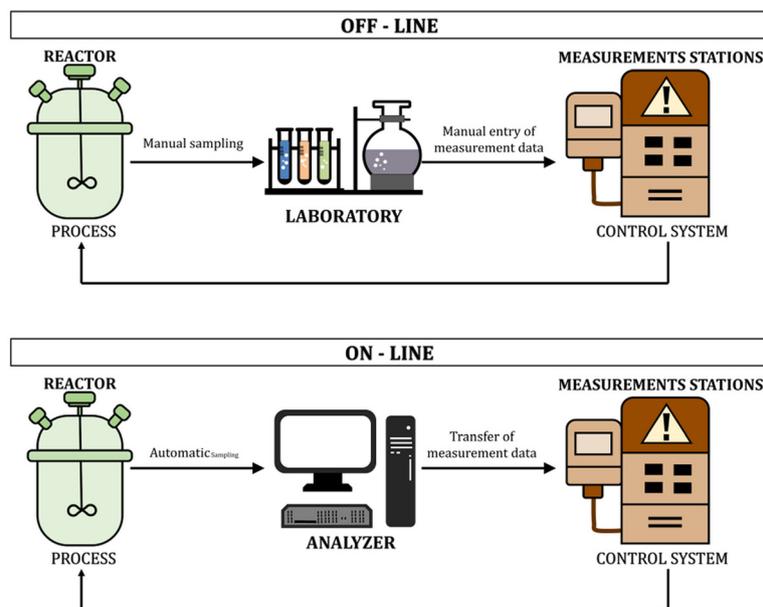


Fig. 1 The idea of *off-line* and *on-line* measurements.



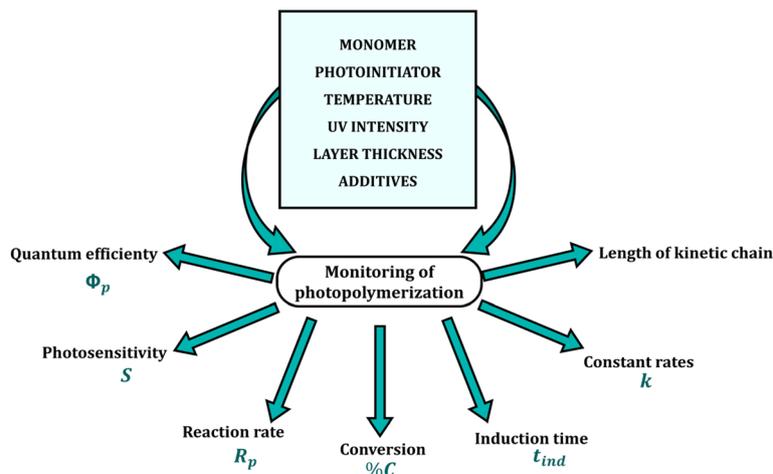


Fig. 2 Key parameters and factors affecting photopolymerization kinetics.

try. These methods are useful for the determination of the rate of polymerization and the amount of unreacted monomer but are considered to be time-consuming and tedious methods that do not perform under industrial conditions.<sup>13,28</sup>

A valuable tool in monitoring photoinduced polymerization reactions is continuous methods, consisting of the real-time monitoring of chemical or physical changes under the influence of UV light. These include Fourier-transform infrared spectroscopy (FTIR), fluorescence spectroscopy, photodifferential scanning calorimetry (PDSC), dilatometry, interferometry, and radiometry. These methods provide both qualitative and quantitative information on the photopolymerization process, both in real-time and *in situ*.<sup>23,29</sup>

## 2. Quantitative methods for monitoring photopolymerization reactions

Nowadays, spectroscopic techniques (UV VIS, IR, NIR, FTIR, Raman) are the most useful to monitor the progress of the reaction both continuously and periodically. Furthermore, the combination of spectroscopic and optical fiber techniques brings new perspectives to in-process monitoring. Spectroscopy provides valuable information at the molecular level about the degree of reaction and product quality. The rapid development of electronics and information technology results in the design of spectroscopic equipment with increasing precision and sensitivity (*i.e.* with a high signal-to-noise ratio, necessary to detect even the smallest changes in the spectrum) and the appearance of so-called super-fast computers to perform complex calculations occurring in the multidimensional analysis. Spectroscopic techniques have several advantages over other traditional laboratory techniques, such as high speed and the reliability of measurements, fast and easy sample preparation, a multitude of analyses in one operation, and a non-destructive character. It should be noted,

however, that spectroscopic techniques cannot be used as *ad hoc* monitoring techniques and therefore calibration and validation of the obtained experimental data are necessary, which requires chemometric methods to calibrate the measuring equipment.<sup>12,30,31</sup>

### 2.1. Fluorescence probe technology

The fluorescent probe technique (FPT) is classified as a non-destructive technique for monitoring polymerization processes in real time continuously, providing both qualitative and quantitative parameters. Appropriately selected in terms of stability and sensitivity, the fluorescent probe provides information on the progress of the reaction directly from the polymerization medium. This technique, due to its high sensitivity and time resolution of measurements, is particularly dedicated to monitoring fast photopolymerization processes.<sup>32–36</sup>

**2.1.1. Principle of FPT technique.** FPT technology is based on the process of absorption of a specific wavelength by the fluorescent probe molecule used in the experiment and analysis of the resulting emission spectrum using a spectrophotometer. Monitoring of the changes occurring in the reaction environment is possible when the fluorescent probe is added to the polymerizing composition in a very small amount ranging from 0.01–0.1 wt%.<sup>37,38</sup> The light source from the measurement system excites the probe molecule to higher energy levels, and the changes in polarity and microviscosity that occur during the polymerization process affect the stabilization of the excited states to varying extents.<sup>32,39</sup> The effect of these changes can be a change in fluorescence intensity, a change in the position of the fluorescence spectrum of the probe, or a change in the shape of the spectrum (Fig. 3). The resulting kinetic profile of the polymerization reaction, with a typical sigmoidal shape, clearly represents each of its stages, *i.e.* the initial section of the curve corresponding to the induction time ( $t_{ind}$ ), followed by the start of the actual monomer polymerization process (*i.e.* the section of the curve where a marked increase in the reaction rate occurs is the same as the



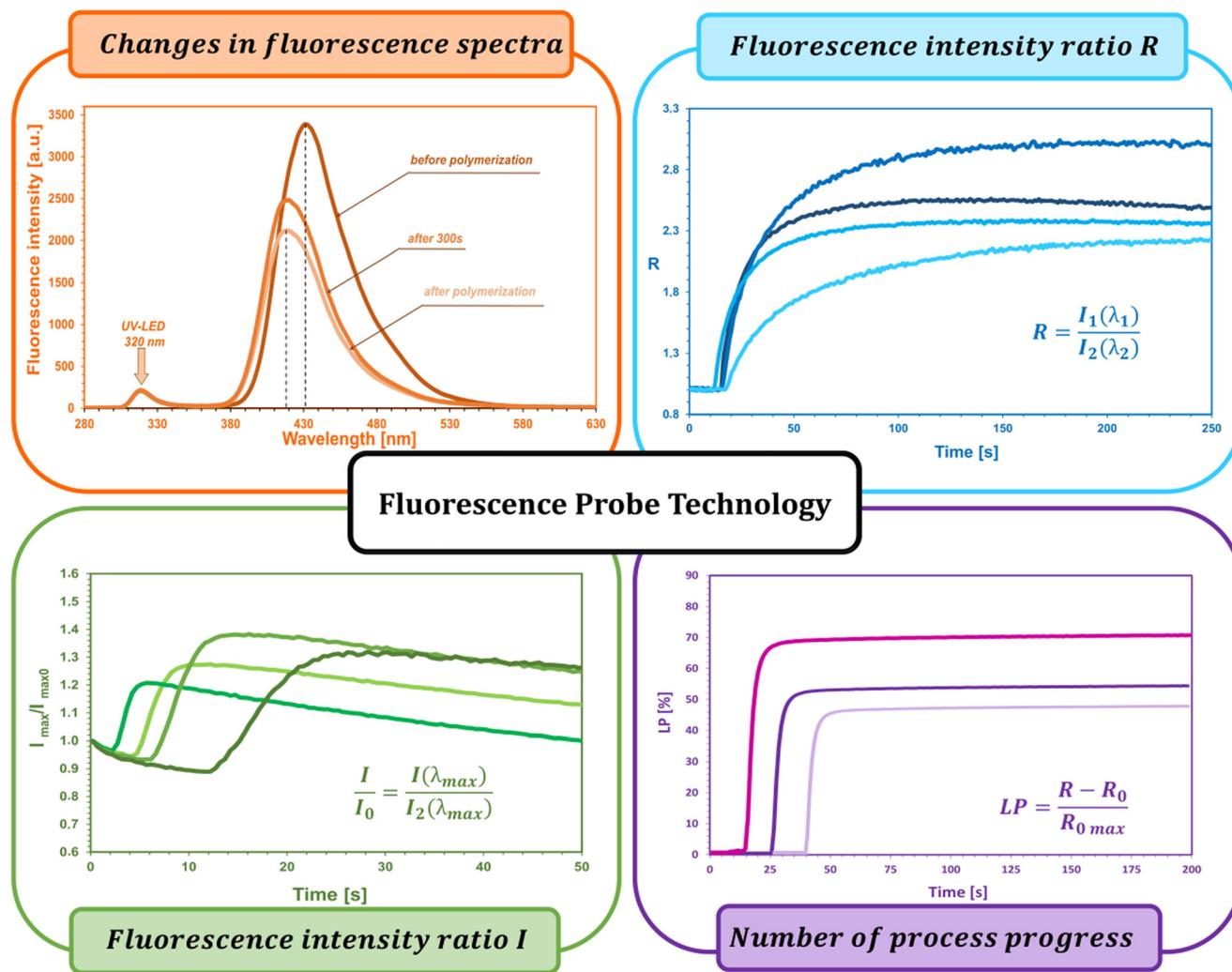


Fig. 3 Characteristic parameters determined by the FPT method.

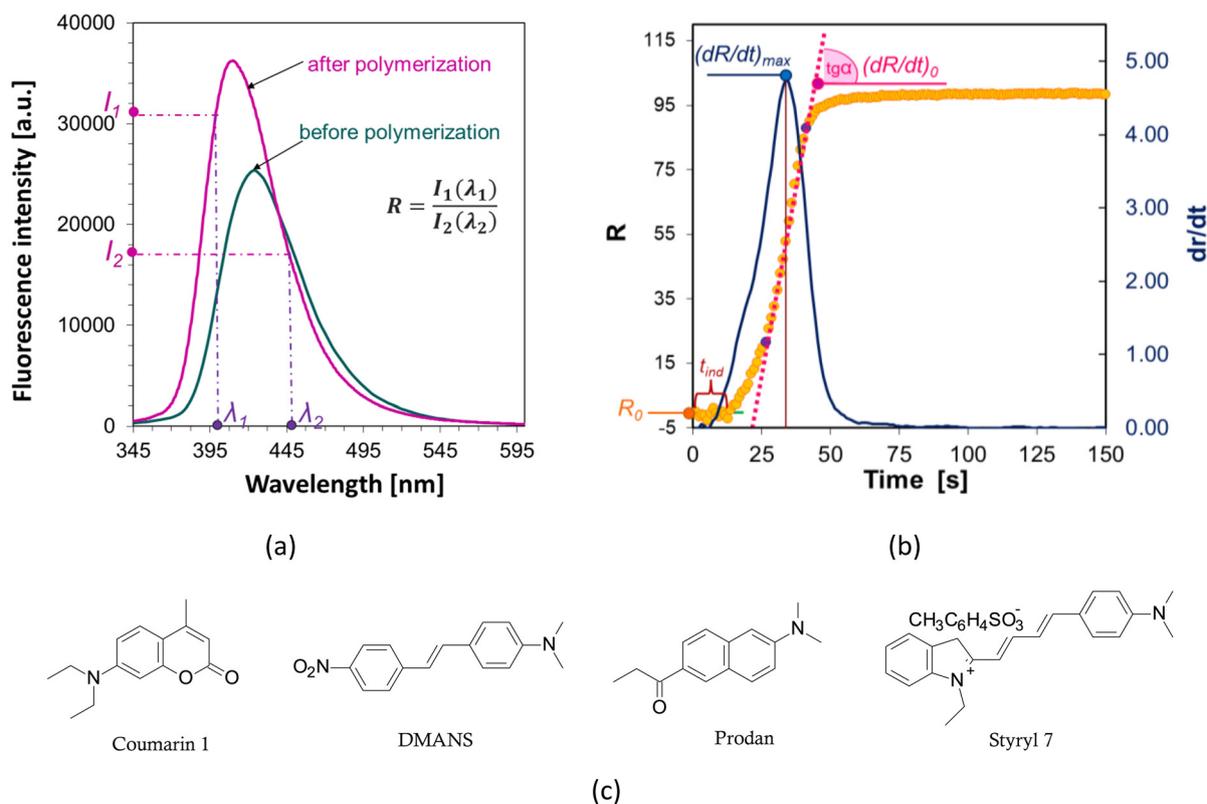
increase in the polymer structure), and the so-called plateau, *i.e.* the flat range of the curve marking the end of the polymerization process (Fig. 3). The limitations of this method are also an important issue. They involve the need to select the appropriate probe in terms of emission characteristics so that it does not overlap with the other components of the composition, as well as have the appropriate sensitivity to a specific changing parameter during the polymerization process. In addition, the sensors should be characterized by high photostability, which in the case of unstable sensors falsifies the results. Another important parameter to pay attention to is the degree of homogeneity of the composition. The sensor only reacts to changes in its microenvironment. For this reason, the composition containing the sensor should be mixed as well as possible to ensure an even distribution of the sensor throughout the volume.

**2.1.2. Parameters of the FPT method and their importance in photopolymerization processes.** In FPT technology, at least one of the parameters characterizing fluorescence must change according to changes in the environment. The basis of

the presented FPT technology is to measure changes in the fluorescence characteristics of the fluorescent probe as the properties of the environment in which it is placed change. Examples of fluorescent probes used to monitor photopolymerization reactions include (Coumarin 1), 4-dimethylamino-4'-nitrostilbene (DMANS), 6-propionyl-2-(dimethylamino)naphthalene (Prodan) or 2(4-(4-(dimethylamino)phenyl)1,3-butadienyl)-3-ethylbenzothiazole *p*-toluenesulfonate (Styryl 7), whose structures are shown in Fig. 4c.<sup>40–42</sup> Among the parameters by which changes in the environment can be correlated with the fluorescence phenomenon are the monomer/excimer fluorescence intensity ratio, the wavelength corresponding to maximum emission ( $\lambda_{\max}$ ), the intensity at maximum emission  $I_{(\max)}$ , the fluorescence lifetime ( $\tau$ ) and the emission wavelength range. The choice of the appropriate parameter to best reflect changes in the reaction environment depends on the physicochemical properties of the system under study.

The kinetic parameters obtained directly from measurements and the kinetic profile in the FPT technique are the





**Fig. 4** Graphical demonstration of how to determine key parameters, using the FPT method. (a) Fluorescence spectra of the probe before and after polymerization. (b) The polymerization progress rate  $R$ , values of induction times  $t_{\text{ind}}$  and degrees of the slope of kinetic profiles  $\text{tg}\alpha$ . (c) Examples of common fluorescent probe molecules.

ratio of fluorescence intensity ( $R$ ) at a given moment in time ( $t$ ), initial fluorescence intensity ratio ( $R_0$ ), induction time ( $t_0$ ), and the slope of the kinetic curve  $(dR/dt)_0$ . All the parameters are described in detail below and shown in Fig. 3.

The fluorescence intensity ratio ( $R$ ) is usually measured as the ratio of the intensity ( $I_1$ ) at a shorter wavelength ( $\lambda_1$ ) to the intensity ( $I_2$ ) at a longer wavelength ( $\lambda_2$ ) (Fig. 4a). This ratio is an indicator of the changes in the position of the probe's fluorescence spectrum along the wavelength axis. As the spectrum shifts toward shorter wavelengths as polymerization progresses, the  $R$ -value increases and is independent of the probe concentration or geometric dimensions of the sample. As polymerization progresses, with the appropriate choice of wavelengths  $\lambda_1$  and  $\lambda_2$ , the  $R$  parameter can be considered as a quantitative guide to the degree of conversion of the monomer's functional groups, which has been repeatedly confirmed by experimental studies through a linear correlation with the degree of curing.<sup>43,44</sup> The FPT profiles, presented as  $R = f(t)$ , can be converted into kinetic curves showing the conversion of the monomer as a function of time  $C = f(t)$  using appropriate calibration factors determined relative to the actual conversion values. Calibration can be done by correlating the rate ( $R$ ) with the conversion ( $C$ ), e.g., using a polynomial:<sup>32</sup>

$$R = R_0 + A\alpha + B\alpha^2 + C\alpha^3 + \dots + N\alpha^n \quad (1)$$

where:

- $R$  – fluorescence intensity ratio measured in real-time,
- $R_0$  – initial fluorescence intensity ratio measured before polymerization,
- $\alpha$  – conversion of functional groups in the monomer (determined, for example, by the RT-FTIR technique),
- $\alpha^n$  – coefficients of the polynomial determined empirically.

The use of the fluorescence intensity ratio ( $R$ ) to monitor the course of polymerization in real time eliminates the effect of variations in the fluorescence intensity of the probe, arising from external factors such as changes in the intensity of the probe excitation light source, the distance of the sample from the measuring head (e.g., as a result of sample vibration), or the concentration of the probe (e.g., as a result of its bleaching). Nevertheless, the range of applicability of the  $R$  parameter also has its limitations. Namely, depending on the type of probe used and the type of process monitored, the probe may be unsuitable for monitoring changes in its environment due to, for example, photolysis, photoisomerization, photochemical side reactions of the probe with components of the monitored system, or its non-specific interactions with neighboring molecules.<sup>45</sup> As a result of probe side reactions, it is occasionally possible to observe a drop in the kinetic profile at the end of the monitored process, caused, *inter alia*, by an overlap of the probe photolysis by-product spectrum with the probe fluo-



rescence spectrum used for monitoring, which affects the intensity values measured at one of the fixed wavelengths. An approach to such a situation is to use the parameter of normalized fluorescence intensity as an indicator of the progress of polymerization, *i.e.*  $I/I_0$ , where  $I$  is the fluorescence intensity of the probe at a given moment, and  $I_0$  is the intensity measured before the beginning of the reaction. The normalized fluorescence intensity method is particularly useful in cationic photopolymerization, where the probe molecule happens to be protonated by acid generated by the photodissociation of the cationic photoinitiator. Protonated probe molecules exhibit different fluorescence characteristics than the unprotonated form, which sometimes disturbs the kinetic profile observed using the  $R$  parameter, and can result in longer polymerization induction times.<sup>46,47</sup>

Another parameter used in the FPT technique is the initial fluorescence intensity ratio ( $R_0$ ), which is measured before the polymerization reaction begins and the fluorescence spectrum of the probe is scanned continuously. The measurement is based on collecting a single scan of a recent composition. This parameter is a qualitative parameter and can be an indicator to identify the composition and “freshness” of photo-curable compositions.<sup>32,45</sup> Namely, small changes in the measured value of  $R_0$  can be evidence of a change in its composition or spontaneous partial polymerization due to inadequate storage conditions, transportation, or deliberate action by the manufacturer to falsify the formulation. By measuring  $R_0$ , it is easy to detect whether a new supply of a photocurable resin formulation meets the range of expected repeatable delivery.

Another important parameter is the induction time ( $t_{ind}$ ), which is the time that elapses from the start of exposure of the composition to the start of polymerization. This quantity is a measure of monomer purity, which is proportional to the concentration of inhibitors and stabilizers dissolved in the monomers and inversely proportional to the concentration of the photoinitiator.<sup>48,49</sup> Most monomers or coating formulations have a so-called “shelf life”, which is defined as the maximum storage time before polymerization begins. Reactive monomers usually contain a small amount of inhibitors to prevent spontaneous polymerization. To extend the life of the monomer, more inhibitor is added. Also, oxygen from the air, which diffuses into the monomer, is an effective inhibitor of radical polymerization.<sup>50–54</sup> Hence, measuring the induction time gives a quick assessment of the purity of the composition. In addition, it has also been found that increasing the temperature can increase the induction time, because as the temperature increases, the viscosity of the composition decreases, thus facilitating the penetration of inhibitory oxygen molecules. A comparison of induction times can also be useful in comparing the quantum yield of photoinitiation by different photoinitiators for the same composition and at the same initiator concentration.<sup>55,56</sup> This approach is justified by the lower or higher efficiency of the photoinitiator's generation of reactive radicals capable of consuming oxygen dissolved in the monomer.

The slope of the curve at the initial stage of polymerization  $(dR/dt)_0$  is a measure of the maximum rate of photo-

polymerization, which occurs soon after its start and depends on the concentration of photoinitiator and its initiation efficiency. A photopolymerization rate that is too high can be reduced, for example, by reducing the photoinitiator concentration. If the initial rate is too low, by adding more photoinitiator, the polymerization process can be accelerated. With the same irradiation conditions and identical concentrations of the series of photoinitiators tested, the relative initiation efficiency ( $E_i^{rel}$ ) for each can be determined, allowing quantitative studies of the efficiency of the photoinitiation step.<sup>32,57,58</sup> The slope of the  $(dR/dt)_0$  curve is usually determined at the intercept point of the kinetic profile as follows (Fig. 4b):

- the sigmoidal curve of photopolymerization (yellow curve in Fig. 4) is transformed into its first derivative (blue curve),
- the position of the inflection point on the kinetic curve is determined based on the position of the maximum of the first derivative,
- determination by the method of least squares fits the equation of a straight line, a tangent to the kinetic curve at its inflection point (pink line), the value of the slope of which is the sought value of  $(dR/dt)_0$ . To determine the parameters of the tangent, the points on either side of the inflection point that form a straight segment on the kinetic curve are taken.

An example set for FPT is shown in Fig. 5.

## 2.2. Fourier-transform real-time infrared spectroscopy (RT-FTIR)

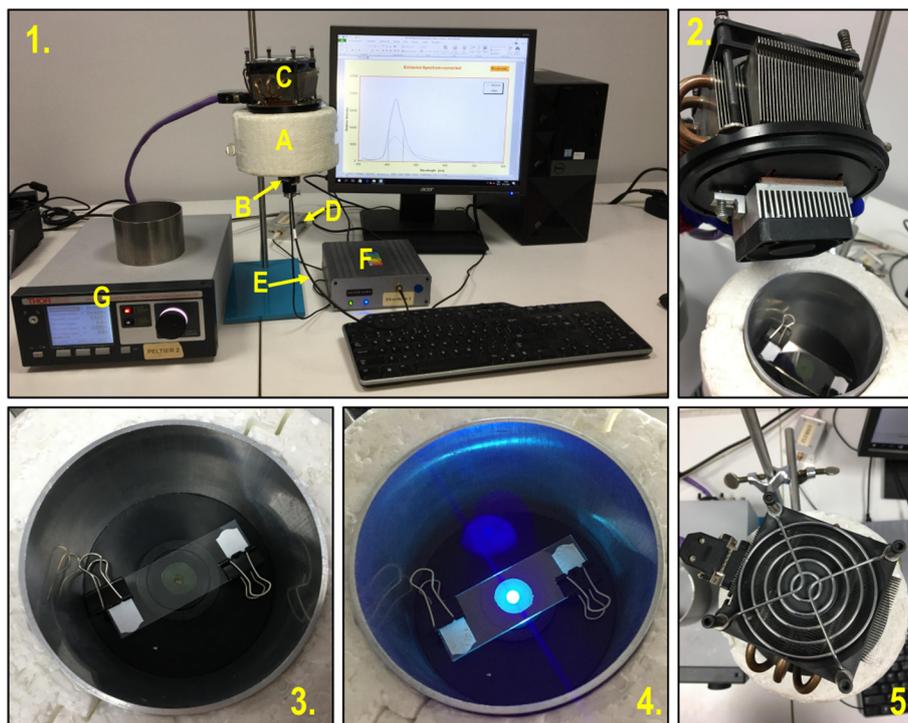
The high potential of infrared spectroscopy in monitoring the kinetics and degree of conversion during the progress of the photopolymerization reaction is confirmed by numerous scientific publications.<sup>59–65</sup> IR spectroscopy provides different measuring techniques for spectral registration depending on the optical properties and physical state of the sample, *e.g.*, transmission, reflectance (ATR, HATR), and transmittance (DRIFT) techniques. In the case of rapid radical photopolymerization reactions of compositions containing acrylate and methacrylate monomers, two techniques are most commonly used:

- FTIR for performing routine, single measurements;
- RT-FTIR for real-time and *in situ* monitoring of the monomer conversion rate.

In addition, when curing liquid samples in the form of thin layers, with the application of various UV radiation sources, the ATR technique is used, which allows thin layers of the composition to be applied to the surface of the diamond crystal and exposed from different distances and angles. However, each of these techniques requires the use of special, expensive materials with the appropriate optical density and IR-beam permeability (*e.g.*, KBr,  $FB_2$ ,  $CaF_2$ , NaCl) that, when cured, are usually destroyed.<sup>66–70</sup>

Fourier-transform real-time infrared spectroscopy (RT-FTIR) has found particular appreciation in the quantitative monitoring of photopolymerization reaction progress and is widely regarded as an effective and universal method for determining the degree of conversion over time, reaction rate, and





**Fig. 5** Measurement stand for monitoring the kinetics of photopolymerization by the FPT technique under isothermal conditions. 1. A – thermostatted measuring chamber; B – measuring head where the UV-LED diode was placed and the fiber optic cable connected; C – thermostatic head with Peltier module; D – power supplies for diodes with emission wavelengths of 320 nm or 365 nm, 469 nm, 501 nm, 595 nm, respectively; E – fiber optic cable; F – miniature spectrometer EPP2000C made by StellarNet Inc. (range: 200–700 nm); G – thermostatic head controller with temperature control in the range of 10–65 °C. 2. The appearance of the thermostatic head from the bottom. 3. The sample with a drop of the tested composition placed in the chamber. 4. The sample with a drop of the tested composition placed in the chamber and spotlighted with light from an LED. 5. The appearance of the thermostatic head from the top.

quantum efficiency of photoinitiation and polymerization under varying conditions. The high importance of the RT-FTIR technique has been proved by numerous studies in photopolymerization systems.<sup>71–78</sup>

RT-FTIR spectroscopy, according to numerous literature sources, has been and remains a useful technique for studying the kinetics of photopolymerization reactions according to radical, cationic, and hybrid mechanisms, in addition to various exposure conditions, types of light source used, coating thickness, monomer and oligomer reactivity or efficiency of newly developed photoinitiating systems.<sup>79–85</sup>

For free-radical photopolymerization, RT-FTIR measurement consists of repeated scanning of the sample at short intervals and observing in real-time changes in the IR absorption spectrum, for example, changes in both the intensity and position characteristic of (*meta*)acrylic groups, *i.e.*:<sup>86–89</sup>

- a band at 810–816  $\text{cm}^{-1}$  characteristic of a twisting vibration of the  $-\text{CH}=\text{CH}_2$  group,
- a band at 1405  $\text{cm}^{-1}$  characteristic of a bending vibration of the  $=\text{CH}_2$  group,
- a band at 1620–1635  $\text{cm}^{-1}$ , characteristic of a stretching vibration of the  $\text{C}=\text{C}$  group, which additionally influences the growth and shift towards larger wavelengths (*i.e.*, up to 1700–1750  $\text{cm}^{-1}$ ) of the oscillation of the carbonyl group

$\text{C}=\text{O}$ , to which these bonds are conjugated, as a result of the gradual disappearance of double bonds  $\text{C}=\text{C}$  during the polymerization process.

The crucial parameters determined by the RT-FTIR method are the conversion ( $\%C_{\text{FTIR}}$ ) and reaction rate ( $R_p$ ). The conversion rate is calculated as the ratio of the peak height or area at a given time of the reaction to the initial peak height or area before the polymerization process begins (eqn (2)), whereas the rate of polymerization is the result of the differentiation of the conversion curve from the reaction time (Fig. 6).

$$C_{\text{FTIR}} = \left( 1 - \frac{A_{\text{after}}}{A_{\text{before}}} \right) \times 100\% \quad (2)$$

where:  $C_{\text{FTIR}}$  is the conversion of a given monomer/functional group of a monomer, determined using the real-time FTIR technique,  $A_{\text{after}}$  is an area of the absorbance peak characteristic of a given monomer at the end of the photopolymerization process, and  $A_{\text{before}}$  is an area of the absorbance peak characteristic of a given monomer at the beginning of the photopolymerization process.

In this technique, due to the high time resolution, *on-line* measurements can be carried out on both laboratory and industrial scales in the coating and printing processes. The



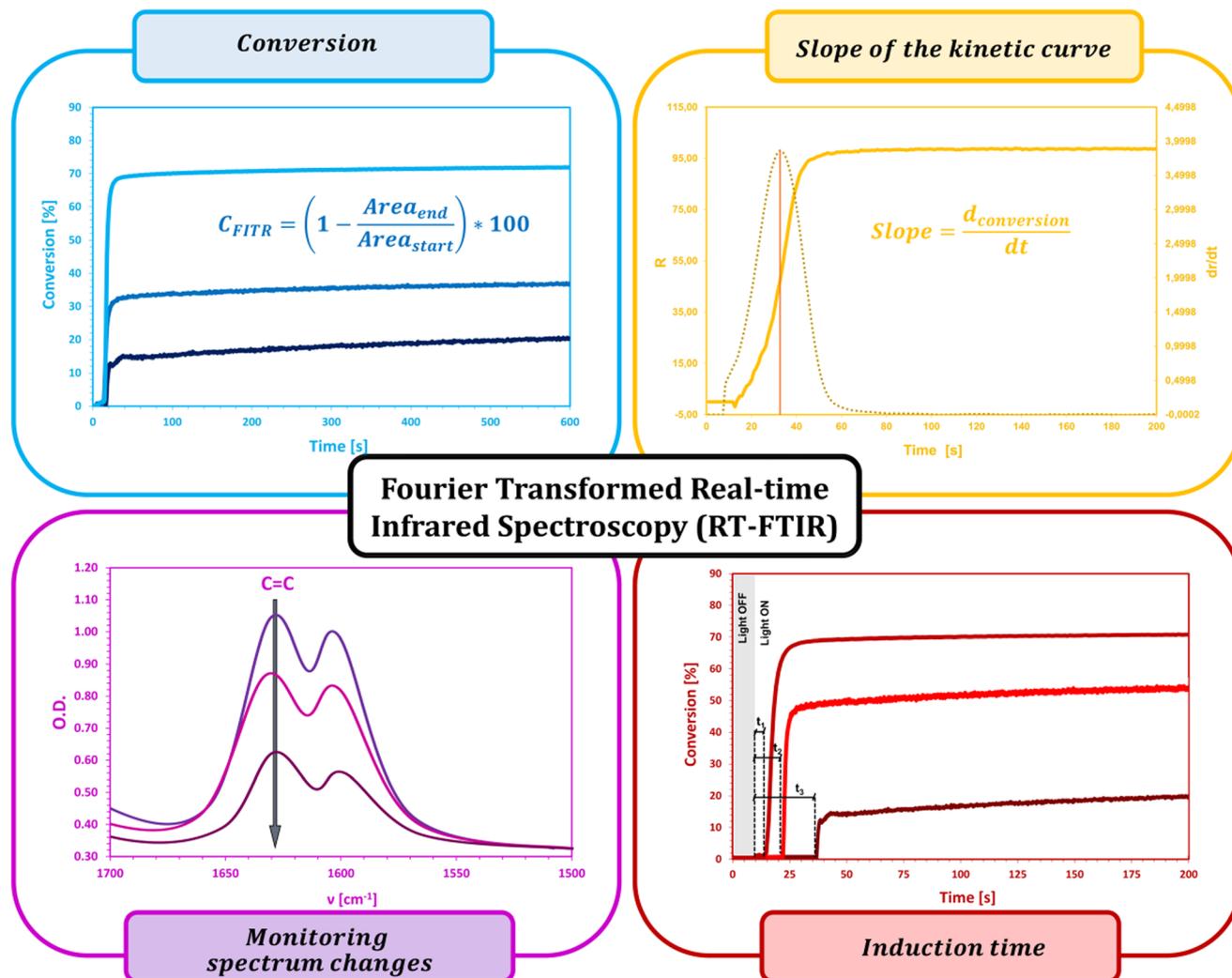


Fig. 6 Characteristic parameters determined by the RT-FTIR method.

high measurement sensitivity allows the detection of extremely small changes in monomer concentration.<sup>90–94</sup> One of the unique features of RT-FTIR spectroscopy is the ability to evaluate the amount of unreacted functional groups (residual monomer) trapped in a three-dimensional polymer network formed from multifunctional monomers and linear polymers with a high glass transition temperature ( $T_g$ ). Compared with other methods, RT-FTIR provides an accurate and fast evaluation of the essential kinetic parameters of the reaction – even during so-called dark photopolymerization, after the removal of the radiation source. Despite the numerous advantages of RT-FTIR, this method is not suitable for samples containing IR beam-scattering pigments, and the film thickness, which should be in the range of 1–100  $\mu\text{m}$ , is also a limiting factor (Fig. 6).<sup>95–99</sup> Therefore, this method is only applicable to thin films, so when using RT-FTIR, it is not possible to obtain information, *e.g.*, about the kinetics of rheological changes in the composition, changes in volume due to the expansion or contraction of the polymer network or changes in crosslinking

density along with the sample thickness profile. Hence, RT-FTIR spectroscopy is often combined with other techniques in so-called combined techniques, *i.e.*, rheological measurements,<sup>100–102</sup> dielectric analysis (DEA),<sup>103–106</sup> fluorescence spectroscopy,<sup>107–110</sup> photocalorimetry (DSC),<sup>111–113</sup> thermogravimetry (TGA) and others.<sup>114–117</sup>

ATR-FTIR (attenuated total reflectance Fourier-transform infrared) spectroscopy has also been utilized to successfully examine the conversion of the reaction and composition during polymerization monitoring. It is a contacting sampling technique that uses a crystal with a high refractive index and low IR absorption in the target IR region as an internal reflection element (Fig. 7). When the IR beam enters the internal reflection element below an angle that exceeds the critical angle for total internal reflection, an evanescent wave is set up which penetrates a small distance beyond the crystal surface into space. It is possible to identify the infrared spectrum of a sample by bringing it into contact with the crystal, where it will interact with the evanescent wave and absorb infrared



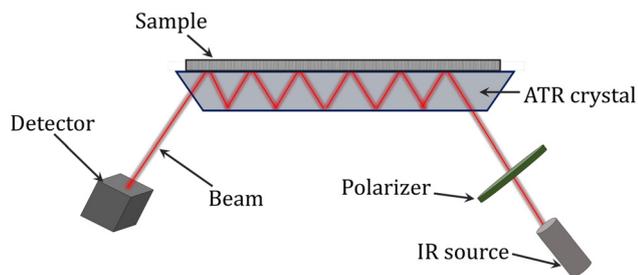


Fig. 7 Schematic representation of an ATR-FTIR system.

radiation. The absorbance of the sample attenuates the evanescent wave. For the evanescent wave to enter the sample, there must be close contact between the sample and the crystal. The evanescent wave is appropriate for the quantitative investigation of small samples since the range of its penetration depth is typically 1 to 10 nm. Due to its insensitivity to strongly absorbing substances (like water) and sample thickness, ATR spectroscopy is a potent tool. Additionally, when acquiring structural and kinetic data on a polymerization process in real-time, ATR-FTIR can provide a wealth of information about the reaction system at the molecular level. For a range of systems, including the batch homopolymerizations of butyl acrylate, methyl methacrylate, and vinyl acetate as well as styrene/1,3-butadiene emulsion copolymerization, the use of ATR-FTIR for monitoring polymerizations has been reported.<sup>118–123</sup>

### 2.3. Raman spectroscopy

Compared with infrared spectroscopy, Raman spectroscopy is based on the emission process associated with the effect of scattering of photons by vibrations of the particles of the examined sample occurring as a result of changes in polarizability (*i.e.*, deformation of the distribution of the particle charge by an external electric field). It was first predicted by Smekal in 1923 and observed experimentally by Raman and Krishnan in 1928.<sup>124,125</sup> Raman spectroscopy has several advantages over IR spectroscopy, *e.g.*, the ability to study lower frequency vibrations, and has a higher spatial resolution due to the greater penetration of the light wavelength used in Raman spectroscopy into the sample. However, the Raman technique is slower and more expensive. Despite this, Raman spectroscopy is one of the most popular techniques, within which can distinguish more than 25 different types of known Raman spectroscopy technique, such as, for instance, hyper-Raman scattering, Raman-induced Kerr effect spectroscopy, stimulated/coherent Raman scattering, spontaneous Raman, and Fourier-transform Raman scattering.<sup>126,127</sup> Nevertheless, infrared spectroscopy and Raman spectroscopy are considered to be complementary techniques.<sup>128–130</sup>

The polarizability of the electrons in a molecule corresponds with the intensity of the Raman effect. The molecule is briefly in a virtual energy state as a result of this excitation

before the photon is released. When inelastic scattering occurs, the energy of the photon that is emitted is either lower or higher than the energy of the photon that was incident. The sample is in a changed rotational or vibrational state following the scattering event. After the molecule switches to a new rovibronic (rotational-vibrational-electronic) state, the scattered photon shifts to a different energy and, consequently, a different frequency in order for the system's total energy to stay constant. The energy difference between the molecule's first and final rovibronic states is the same as this one. The scattered photon will be moved to a lower frequency (lower energy) if the final state has more energy than the original state, maintaining the overall energy constant. A Stokes shift, sometimes known as a downshift, denotes this change in frequency. The dispersed photon will undergo an anti-Stokes shift, also known as an upshift, if the end state has lower energy. A change in a molecule's electric dipole–electric dipole polarizability with respect to the vibrational coordinate corresponding to the rovibronic state is required for the molecule to display a Raman effect. This polarizability shift affects how strongly the Raman scattering occurs. As a result, the rovibronic states of the molecule determine the Raman spectrum, which is the scattering strength as a function of the frequency shifts.<sup>131–133</sup>

$$\Delta\tilde{\nu}[\text{cm}^{-1}] = \left( \frac{1}{\lambda_0[\text{nm}]} - \frac{1}{\lambda_1[\text{nm}]} \right) \times \frac{10^7[\text{nm}]}{[\text{cm}]} \quad (3)$$

where  $\Delta\tilde{\nu}$  is the Raman shift expressed in wavenumber,  $\lambda_0$  is the excitation wavelength, and  $\lambda_1$  is the Raman spectrum wavelength.

The Raman effect is based on the interaction of an electron cloud in a sample with the external electric field of monochromatic light. Depending on the polarizability of the molecule, this interaction might cause a dipole moment within the molecule. There cannot actually be a change in energy level because the laser light does not stimulate the molecule. The emission (fluorescence or phosphorescence) of a molecule in an excited electronic state emitting a photon and returning to the ground electronic state, frequently to a vibrationally excited state on the ground electronic state potential energy surface, should not be confused with the Raman effect. Additionally, IR absorption, where the energy of the absorbed photon corresponds to the energy discrepancy between the initial and final rovibronic states, differs from Raman scattering.<sup>134–136</sup>

Raman differs from IR spectroscopy in that it depends on the electric dipole–electric dipole polarizability derivative rather than the electric dipole moment derivative or the atomic polar tensor (APT). Raman spectroscopy can be used to investigate rovibronic transitions that might not be visible in the infrared, as demonstrated by the law of mutual exclusion in centrosymmetric molecules. Large Raman intensity transitions frequently have weak IR intensity transitions, and *vice versa*. If a bond is highly polarized, polarization is only slightly affected by a minor change in the bond's length, such as that caused by vibration. Therefore, polar bond vibrations (such as



those between C–O, N–O, and O–H) are relatively weak Raman scatterers. However, because such polarized bonds carry their electrical charges during the vibrational motion (unless they are canceled out by symmetry factors), the net dipole moment change is greater, which results in a stronger IR absorption band. On the other hand, relatively neutral bonds (such as C–C, C–H, and C=C) experience significant polarizability changes during a vibration. Although vibrations involving primarily this sort of link are significant Raman scatterers, they are feeble in the IR because the dipole moment is not similarly impacted. Inelastic incoherent neutron scattering (IINS), a third vibrational spectroscopy method, can be utilized to identify the vibrational frequencies of highly symmetric molecules that may be both IR and Raman inactive. The three techniques are complementary because the IINS selection rules, or allowable transitions, differ from those of IR and Raman. For a particular vibrational transition, they all yield the same frequency, but the relative intensities convey different information since the molecule interacts with the entering particles differently depending on whether they are photons for IR and Raman or neutrons for IINS.<sup>127,131,137</sup>

Chemistry uses Raman spectroscopy to identify molecules, investigate chemical bonding, and examine intramolecular interactions. Since a molecule's chemical bonding and symmetry determine the vibrational frequencies that are produced, Raman offers a fingerprint that can be used to recognize substances. Raman spectroscopy is used in solid-state physics to characterize materials, gauge temperature, and determine a sample's crystallographic orientation. Similar to single molecules, distinctive phonon modes can be used to identify a solid material. The ratio of the Stokes and anti-Stokes intensities of the spontaneous Raman signal provides information on the population of a phonon mode. Other low-frequency excitations of a material, like plasmons, magnons, and superconducting gap excitations, can also be seen using Raman spectroscopy.<sup>138,139</sup>

Numerous uses of Raman spectroscopy may be found in biology and medicine. It has encouraged research into low-frequency collective motion in proteins and DNA and their biological activities, helping to demonstrate the existence of low-frequency phonons in proteins and DNA. For tissue imaging with SERS-labeled antibodies, olefin or alkyne-modified Raman reporter molecules are being produced. Another non-invasive method for the *in situ*, real-time biochemical evaluation of wounds has been Raman spectroscopy. The creation of a numerical indicator for the advancement of wound healing was made possible by the multivariate analysis of Raman spectra.<sup>140–142</sup>

Raman spectroscopy also found use in polymer analysis in a lab environment or on a process line. By the Raman spectrum, it is possible to follow the degree of polymerization. Polymers tend to “orient” when extruded. In other words, the molecular axis lines up with the direction of extrusion. One can infer information about the orientation of the polymer in the component by orienting the sample in the coordinate system of the instrument and examining the polarization of

the Raman light. The mechanical and other physical properties of the polymer may be affected by this.<sup>141,143–145</sup>

#### 2.4. Near-infrared spectroscopy

Near-infrared spectroscopy (NIR) has also found recognition in monitoring the progress and kinetics of curing and cross-linking reactions. This technique is also used in the control of industrial processes as it has sufficient time resolution and high measurement sensitivity as well as a comprehensive and versatile analytical potential.<sup>146–149</sup> Furthermore, effective analysis of the recorded data stream can be supported by advanced chemometric methods. Dedicated NIR analyzers, supported by multichannel detectors, are compact, robust, and relatively inexpensive. In addition, they are usually equipped with optical fibers, which allow the spectrometer and measuring head to be spatially separated, allowing the device to be easily integrated into a working production line. The high time resolution and high sensitivity of the measurement, non-destructive character, simplicity, and speed of analysis are satisfactory features for monitoring conversions in fast photopolymerization reactions, with the additional advantage of inexpensive and reliable spectrophotometers.<sup>150–156</sup> However, in quantitative terms, the NIR technique requires the use of chemometric methods, due to the multidimensionality of data, photometric noise, and significant influence of many external factors such as the temperature, humidity, thickness, and optical properties of the sample on the shape and intensity of NIR spectra. In addition, when testing thin films, the molecular absorption coefficients in near-infrared are quite small, requiring a larger sample volume to obtain an unintended signal.<sup>157–166</sup>

The basic analysis of the reflectivity suitability of NIR spectroscopy for *on-line* monitoring of the conversion rate of very thin, both transparent and pigment-containing, acrylate coatings on various substrates (*i.e.*, PP film, paper, wood, MDF) was performed by Scherzer *et al.*<sup>30,167,168,311</sup> This technique can be successfully used to monitor the conversion of double acrylate bonds in thin layers around a few  $\mu\text{m}$  under UV exposure, both on a laboratory scale and on a semi-technical coating line.<sup>169–171</sup> In addition, a very good linear correlation has been found between the results of the NIR technique and those of the FTIR spectroscopy and the HPLC technique for the determination of monomer conversions, although chromatographic methods show higher conversion values than spectroscopic methods.<sup>30,168,172</sup>

#### 2.5. Photoacoustic spectroscopy

Photoacoustic spectroscopy (PAS), also called optoacoustic spectroscopy, classified as photothermal spectroscopy, is a non-invasive and non-destructive technique for monitoring changes in the thermal and optical properties of a material as a result of energy absorption.<sup>173–175</sup> The possibility of using any source of monochromatic radiation (*e.g.*, IR, UV-VIS) makes it an attractive technique for investigating changes in a sample at the molecular level. During measurement, the modulated radiation intensity interacts with the absorbing



sample, and a photoacoustic signal is generated that is proportional to the absorbance of the sample.<sup>175–177</sup> Radiation-free relaxation processes generate a heatwave of the same frequency as the incident modulated radiation. The periodic change of temperature on the sample surface generates acoustic waves in the gas atmosphere, which are detected by a microphone or other piezoelectric detector.<sup>177–179</sup> Since the signal intensity is directly related to the absorbance of the coating, UV-VIS or IR spectra can be recorded, depending on the type of selected incident radiation.<sup>175,177</sup> Compared with other techniques, *e.g.*, IR, PAS has a lower measurement sensitivity, but in combination with FTIR or fluorescence spectroscopy, it is a reliable and accurate tool for the quantitative monitoring of photopolymerization kinetics. The limitation of PAS in quantitative analysis is the need to define a reference peak because the intensity of the photoacoustic signal depends on the depth of penetration and heat diffusion in the composition layer.<sup>173,180</sup>

The PAS technique is particularly suitable for evaluating the cure along with the thickness profile of the coating, which is difficult to analyze by other techniques, in particular with regard to the thickness of paint and varnish samples. The intensity of the photoacoustic signal also depends on the amount of heat emitted during the photoinitiated polymerization process, which is related to the rate of polymerization, which allows the analysis of the process kinetics.<sup>173,177,181</sup> This technique has proved itself in the study of UV or electron beam (EB) cured acrylate coatings, where monomer or photoinitiator degradation was monitored, in the study of the kinetics and degree of polymerization of dental resins and epoxy-graphite composites. PAS also provides information on the energy state and quantum efficiency of the excited state of the molecule and the lifetime of intermediate products in photochemical reactions.<sup>173,175,182</sup>

## 2.6. Photodifferential scanning calorimetry

A widespread method of quantitative monitoring of photo-induced polymerization processes in real-time is one of the thermal analysis methods – differential scanning calorimetry (PDSC). Isothermal measurement of the amount of heat released as a function of time ( $\Delta H$  in  $\text{W g}^{-1}$ ), in an inert gas atmosphere during the photopolymerization reaction, is often used in the study of process rate (Fig. 8). At a constant thermal capacity of the polymeric composition, the degree of transformation of the monomer into the polymer is proportional to the amount of generated heat if no other events like crystallization proceed. The heat formed is a report of the entire sample in which the light shines.<sup>183,184</sup> The usefulness of this technique for polymerization monitoring is due to the exothermic nature of the monomers to polymer reaction. Mole enthalpy polymerizations of typical monomers are in the range 50–200  $\text{kJ mol}^{-1}$  and acrylate monomers in the range 78–86  $\text{kJ mol}^{-1}$ .<sup>184,185</sup>

Photo-DSC measurements are used to study the kinetics of photopolymerization, and to determine the influence of physicochemical factors such as monomer structure, temperature, light intensity, and inhibitor concentration on its mecha-

nism and efficiency.<sup>16,186</sup> Photo-DSC temperature studies were used to investigate the diffusion phenomena of polymer chain segments and auto-acceleration or auto-deceleration phenomena in polymerizing acrylate systems.<sup>16,30,187</sup> These phenomena are related to the dynamic in the reaction environment, which is limited due to diffusion processes, the viscosity of the polymerizing system, and sterile obstacles (Fig. 8). As the temperature increases, the viscosity of the system decreases, increasing the mobility of the radicals.<sup>16,188</sup> The Photo-DSC is a useful tool for determining different states and transitions in polymers and soft matter in general. Important parameters from photo-DSC measurements include the reaction heat and heat transfer coefficient. These values, in combination with numerical methods and appropriate algorithms, allow us to calculate among others: the total monomer conversion rate ( $C_{\text{DSC}}$ ), maximum polymerization rate ( $R_{\text{p DSC}}$ ), inhibition time ( $t_{\text{ind}}$ ), and activation energy ( $E_{\text{a}}$ ) (Fig. 8).<sup>30,184,189</sup> In addition, a lot of research has been done to prove the feasibility of determining the ratio of propagation to termination rate constants ( $k_{\text{p}}/k_{\text{t}}$ ) using the photo-DSC technique. A detailed analysis for these two kinetic parameters has been widely discussed by Jakubiak and Rabek.<sup>190</sup>

The main parameters determined with this technique, in addition to the heat change during the reaction given directly from the experiment, are the rate of photopolymerization ( $R_{\text{p DSC}}$ ) and the conversion of the reacting monomer/monomers ( $C_{\text{DSC}}$ ). The mentioned parameters can be calculated by applying the following formulas (4) and (5):<sup>117,191</sup>

$$C_{\text{DSC}} = \frac{1}{f \Delta H_0} \int_{t_0}^t \frac{H(t)}{m_s} dt \quad (4)$$

where:  $m_s$  is a mass of the sample,  $M$  is the molecular mass of the monomer,  $f$  is the functionality of the monomer, and  $\Delta H_0$  is the enthalpy of the polymerization of the given functional group.

$$R_{\text{p}} = d_i \left( \frac{H}{m_s} \right) \frac{1}{\Delta H_0} \quad (5)$$

where:  $m_s$  is the mass of the sample,  $M$  is the molecular mass of the monomer,  $\Delta H_0$  is the enthalpy of the polymerization of the given functional group,  $d_i$  is the density of *i*-composition, and  $H$  is a heat flow.

Although the PDSC technique makes a significant contribution to the quantitative and qualitative monitoring of photopolymerization reaction kinetics, it also has its limitations and disadvantages. Photocalorimetry is characterized by long response times due to the relatively low thermal conductivity of the sample, which limits the time resolution of the apparatus. In the case of fast photopolymerization reactions, the heat dissipation efficiency of the polymerizing sample per unit of time through the device is too low.<sup>186,192,193</sup> Reliable data can only be obtained for systems where the maximum curing speed occurs after at least 5 seconds of curing, with a total curing time of not less than 1 minute. This limitation requires



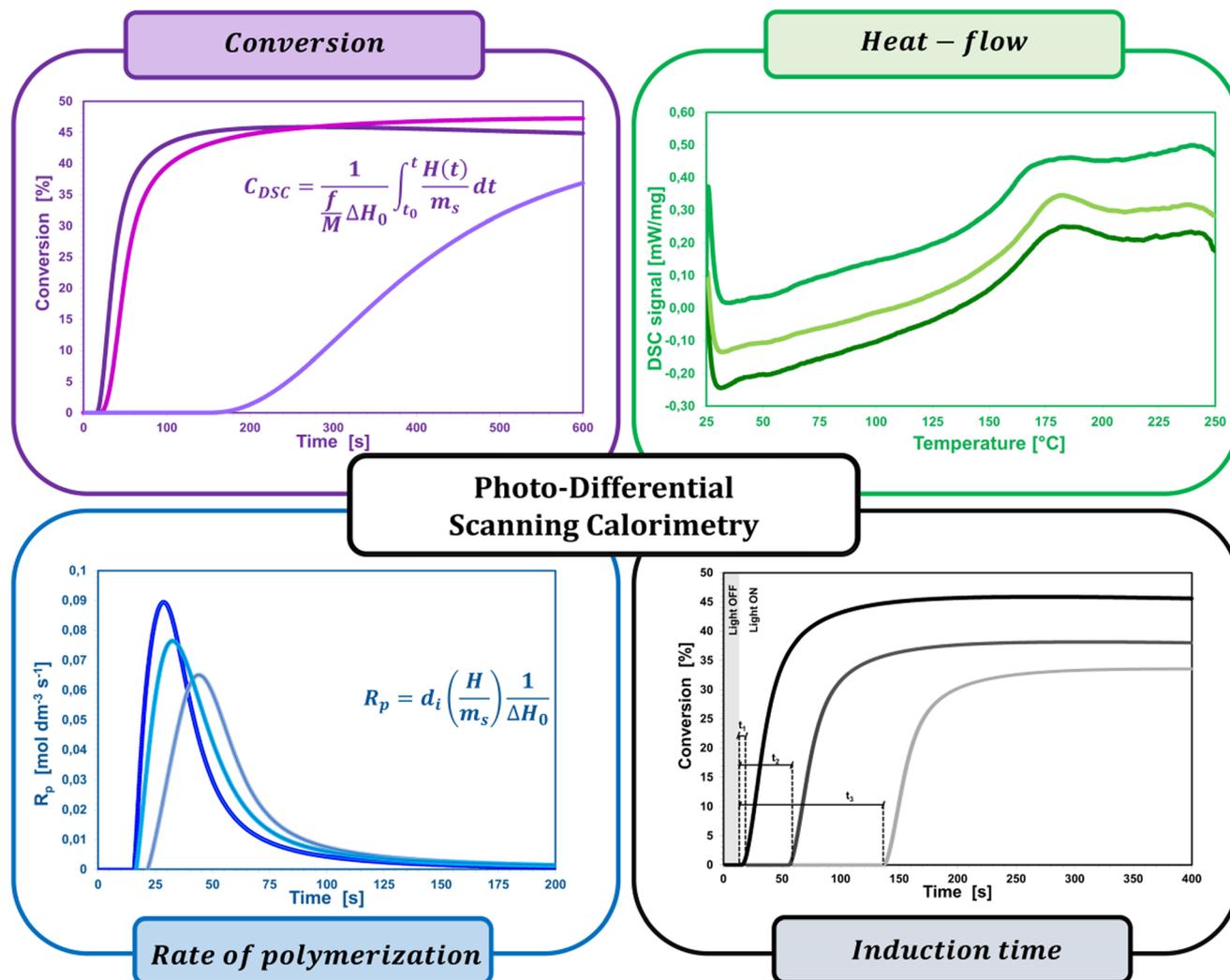


Fig. 8 Characteristic parameters determined by the differential scanning calorimetry method.

the use of UV radiation, which is two or three orders of magnitude less intense than in practical systems, and consequently requires action in anaerobic media, as the inhibiting effect of oxygen becomes more visible at low light intensity. In addition, the thickness of the sample layer weighed into the DSC crucible is never reliable, because, in the case of, *e.g.*, low-viscosity (*meta*)acrylate monomers, the monomer does not cover the entire surface of the aluminum crucible uniformly, but flows down to the edges of the crucible under surface tension forces. Therefore obtained measurement data are not always verifiable and repeatable. Furthermore, due to the small sample size for PDSC (approx. 1 mg), it is not possible to measure some important composition properties after curing, such as the hardness, flexibility, and gloss.<sup>186,194</sup> A lot of work has been devoted to the determination of protocols allowing for a fast and most precise investigation of the photopolymerization processes of multicomponent polymer compositions by the team of Bachmann *et al.*<sup>195</sup>

The PDSC method was used to study the kinetics of photopolymerization depending on the function of the monomers

and oligomers, concentration and type of photoinitiator, UV light intensity and wavelength, temperature, type of gas surrounding the sample, and sample thickness. PDSC is not limited to the curing of (*meta*)acrylate monomers but also applies to thiol-ene systems, hybrids, unsaturated polyesters, and cationally polymerized epoxy resins. It can also occur in combination with RT-FTIR tandem and fluorescence spectroscopy.<sup>30,196,197</sup>

## 2.7. Photorheology

During photopolymerization or photocuring processes, there are rapid changes in the rheological behavior of uncured resin that can be measured with the help of photorheology. Without influencing or harming the target sample, rheological characteristics can be assessed *in situ* by applying small-amplitude, sinusoidal deformations while also being exposed to light. When combined with RT-FTIR, this method makes it possible to track changes in the modulus as well as light-induced sol-to-gel transitions, which can be used to connect mechanical qualities to the degree of chemical conversion (Fig. 9).<sup>191,198,199</sup>



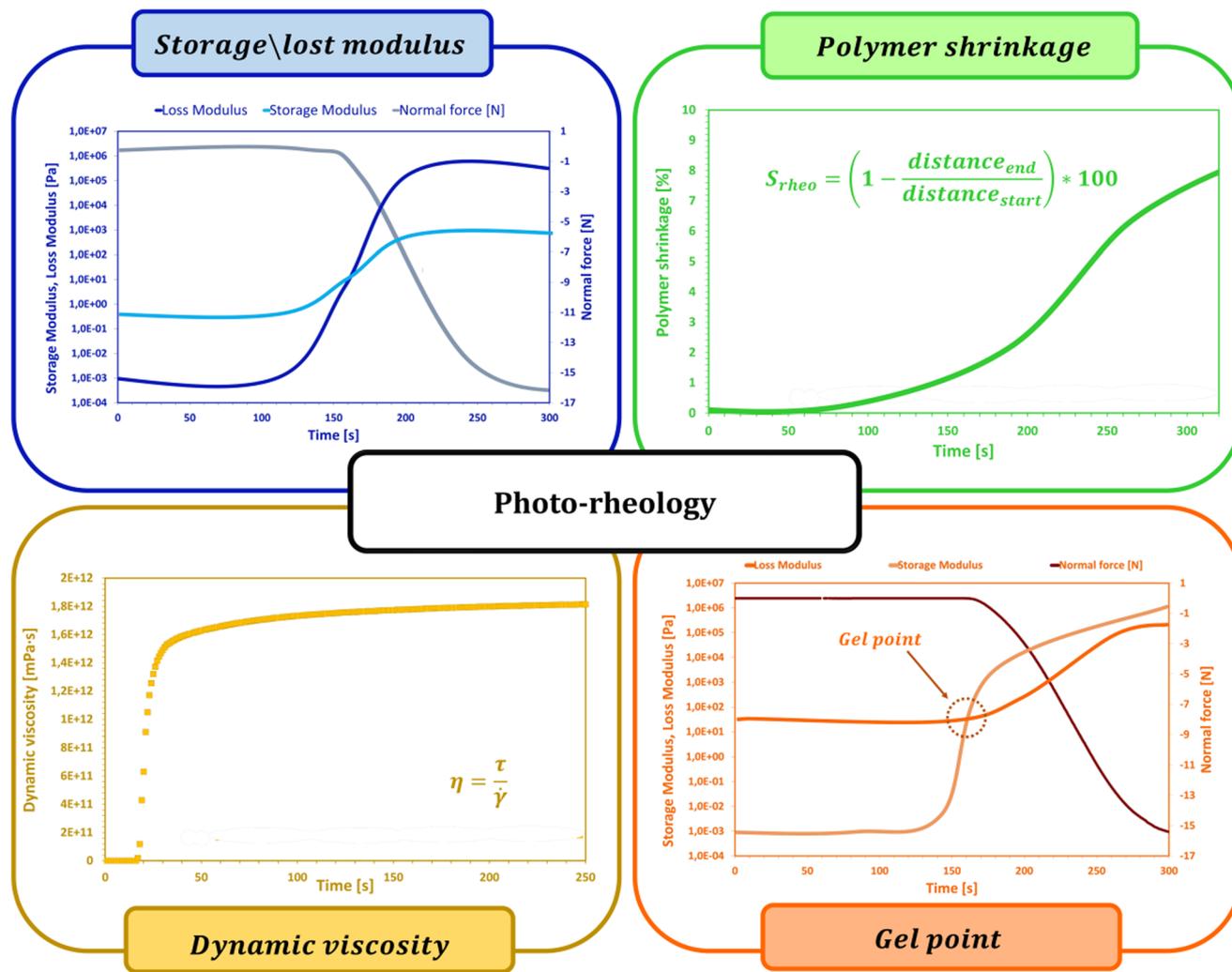


Fig. 9 Characteristic parameters determined by photo-rheology.

Khan *et al.* conducted one of the earliest chemorheology studies on UV-curing thiol-ene complexes.<sup>200</sup> To maintain the curing rate low enough for the rheometer to record any changes in rheological properties, single UV pulses were used in this study. Further research revealed that this technique could monitor the formation of structures in UV polymerizing resins and determine the gel point.<sup>201,202</sup> Again, because of the modest UV intensity used in this research ( $0.2 \text{ mW cm}^{-2}$ ), the modulus build-up was somewhat gradual, increasing by five orders of magnitude in just 500 seconds. The photorheology was improved by Lee *et al.* to explore the rapid curing of a polyester acrylate with much higher intensities ( $15 \text{ mW cm}^{-2}$ ).<sup>203</sup> Lee *et al.* developed a method whereby the input strain signal and the output stress signal were recorded externally, separated into small time intervals (0.2 to 0.5 cycles of stimulation), and fitted with sinusoidal functions because the sampling rate of the equipment was restricted to approximately 1 s. Thus, the phase shift between stress and strain as well as the storage and loss moduli were computed. With a

time precision of up to 50 data points per second, they were able to track a rise in the complicated viscosity of three orders of magnitude in just five seconds. However, this method is insufficiently sensitive to analyze monomers with viscosities less than roughly 100 Pa s.

To integrate mechanical data with chemical knowledge, Steeman's team<sup>204</sup> combined photorheology with real-time Fourier-transform infrared spectroscopy to derive the modulus as a function of double bond conversion. Their investigation was conducted at a UV intensity of  $28 \text{ mW cm}^{-2}$ . By dividing the data into manageable intervals and applying a Fourier transformation, rheological information was acquired. At around the same time, Botella *et al.* conducted a study of a similar nature.<sup>205,206</sup>

Systems undergoing simultaneous photopolymerization and chemical cross-linking are the main applications of photorheology.<sup>198,207,208</sup> One significant exception is the research of Higham *et al.*<sup>209</sup> on the UV-activated ionic cross-linking of alginate hydrogels by released  $\text{Ca}^{2+}$  ions, which only



caused physical gelation without any additional alginate polymerization. A range of materials, such as optical adhesives,<sup>200</sup> acrylates,<sup>198,207</sup> epoxies,<sup>208,210</sup> dental resins, and photoresponsive hydrogels,<sup>209,211</sup> have been characterized using this method.<sup>209</sup>

During polymerization, photorheology tracks rheological modifications.<sup>212</sup> Eqn (6) can be used to fit the relationship between the suspension viscosity and time using a modified Boltzmann sigmoidal model:<sup>213</sup>

$$\log n(t) = \log \eta_{\infty} + \frac{\log \eta_0 - \log \eta_{\infty}}{1 + e^{-(t-t_0)/\Delta t}} \quad (6)$$

where  $t$  is the response time and  $\eta_0$  and  $\eta_{\infty}$  are, respectively, the initial and ultimate viscosities before and after illumination. The relationship between the induction time  $t_0$  and the time spent in illumination  $t_{\text{illum}}$  is given by the formula  $t = t_{\text{illum}} - t_0$ . Using eqn (6) to fit the photorheological data specifies the induction time  $t_0$ , the half-reaction time  $t_{50}$ , and the period  $\Delta t$  as three parameters. The time needed to raise the viscosity from its starting value  $\log \eta_0$  to  $(\log \eta_0 + \log \eta_{\infty})/2$  is known as the half-reaction time, or  $t_{50}$ . As the viscosity deviates from  $\eta_0$  in the semi-log linear domain, the period  $\Delta t$  corresponds to the time associated with the sigmoidal transition zone. The induction time  $t_0$ , which was calculated from the moment at which the viscosity rise becomes obvious, stands for the amount of time required to start the reaction. The treatment parameters that were determined using these techniques can be understood using theoretical models.<sup>214</sup> Sensitivity  $D_p$  data can be interpreted in terms of the absorption model for sensitivity,<sup>214</sup> which describes how the UV beam is attenuated by the photoinitiator (PI) and dyes as well as by scattering.

In addition to determining the main parameters of the photopolymerization process mentioned above, photorheology also makes it possible to measure the linear shrinkage of photosensitive samples based on a method developed by Shah's team,<sup>215</sup> since, in addition to the standard parameters such as viscosity, storage modulus or loss modulus, which are monitored during photopolymerization using a rheometer equipped with a photocuring unit, the height of the gap between the upper and lower geometries of the photorheometer in which the sample is placed is also tracked. Shrinkage of the sample, or swelling, leads to a change in the height of the gap, and by using eqn (7) it is possible to convert changes in the height of the gap into polymerization shrinkage over time:<sup>191,216</sup>

$$\text{Shrinkage}_{\text{theo}} = \left(1 - \frac{\text{gap height}_t}{\text{gap height}_0}\right) \times 100\% \quad (7)$$

where  $\text{gap height}_0$  and  $\text{gap height}_t$  are, respectively, the height of the gap between the upper and lower geometries of the photorheometer at the beginning of the measurement (at time zero) and during the measurement (at time  $t$ ).

The process known as gelation is one of the most important phenomena occurring during the crosslinking of polymer chains by photopolymerization. At the gel point, there is a

rapid change in the viscosity of the investigated sample caused by the polymerization reaction of individual chains into a two- and then three-dimensional polymer network. Photorheology is also one of the methods that allow a determination of the gel point. As the photopolymerization reaction progresses, both loss and storage moduli increase, while as the growing polymer network permeates the entire volume of the sample, the storage modulus increases much faster than the loss modulus, and the intersection point of the two moduli is considered as the gel point. Determination of the gelation point using a photorheometer is possible only for samples in which at the beginning of the reaction the storage modulus is lower than the initial loss modulus. As proved in the literature, the composition of the cured sample not only affects the process itself, but also influences the possibility of determining the gel point. Lepcio *et al.*<sup>199,217</sup> proved that the addition of a filler to the resin increases the viscosity of the solution sufficiently that at onset of the reaction the storage modulus exceeds the loss modulus, due to the formation of a physical network capable of bearing the load, making the determination of the gel point impossible.

Liska *et al.*<sup>218</sup> described the coupling of an infrared spectrometer with a photorheometer. A parallel plate rheometer with a UV- and IR-translucent window can be used to get the rheological data. Monitoring the decline of the relevant IR-peak for the reactive monomer unit (*e.g.*, C=C double bond peak for (meth)acrylates, C=C double bond peak in thiol-ene systems, C-O epoxy peak for epoxy resins) provides chemical information (*i.e.*, the conversion at the gel point and ultimate conversion). The conversion can be observed in the near-infrared region (for example, acrylate double bonds, epoxy groups), or the MIR region, depending on the relative concentration of reactive functional groups in the sample volume and the strength of the IR signal (*e.g.* thiol signal). The interested reader can find a detailed description of this set in Lisk's publication.<sup>218</sup> Additionally, the Stansbury research team has demonstrated the *in situ* monitoring of photopolymerization-induced phase separation by NIR linked turbidity measurements, utilizing a UV/VIS spectrometer.<sup>219</sup>

### 3. Qualitative methods for monitoring photopolymerization reactions

#### 3.1. Dynamic mechanical analysis

Dynamic mechanical analysis (DMA) is a valuable source of information regarding the physical and mechanical properties of photosetting materials. It is used to investigate viscoelastic properties by applying an oscillating force (tensile, shear, compression, *etc.*) on a sample of known size as a function of temperature and time, and to monitor changes (deformations) in the sample geometry.<sup>220–227</sup> This technique provides the following parameters: flow tendency (viscosity), shear modulus, glass transition temperature ( $T_g$ ), storage modulus ( $E'$ ), loss



modulus ( $E''$ ), and mechanical loss angle tangent ( $\tan \delta$ ). The storage modulus measures the stored energy, representing the elastic portion, and the loss modulus measures the energy dissipated as heat, representing the viscous portion. The response of the sample to the applied force allows us to determine the gelation point and the glass transition temperature as a function of temperature.<sup>221,222,226–230</sup>

Long molecular chain polymers have special viscoelastic properties that combine the traits of Newtonian fluids with elastic solids. The mechanical characteristics of elastic solids, where stress is proportional to strain in tiny deformations, are described by the classical theory of elasticity. Such a stress response is not dependent on the pace of strain. The characteristics of a viscous fluid, for which the response of stress depends on the strain rate, are described by the classical theory of hydrodynamics. Combinations of springs and dashpots can be used to mechanically represent the solid and liquid behavior of polymers. Dynamic mechanical analysis, which applies a sinusoidal force (stress) to a material and measures the resulting displacement (strain), is used to study a polymer's viscoelastic property. The resulting strain and tension will be exactly in phase for a solid that is perfectly elastic. There will be a 90 degree phase lag between strain and stress for a totally viscous fluid. Viscoelastic polymers have properties in the middle, where some phase lag will happen during DMA experiments. The following equations hold when strain is applied and stress follows behind:

- Stress:

$$\sigma = \sigma_0 \sin(\omega t + \delta) \quad (8)$$

- Strain:

$$\varepsilon = \varepsilon_0 \sin(\omega t) \quad (9)$$

where  $\omega$  – frequency of strain oscillation,  $t$  – time, and  $\delta$  – phase lag between stress and strain.

DMA can be applied in tandem with either FTIR or DSC techniques. It has proved useful in the determination of the mechanical and volume relaxation properties of photochemically crosslinked multifunctional (meth)acrylate-based compositions intended as materials for data storage systems, as well as in rheological studies of isothermally crosslinked epoxy resins, viscoelastic properties of thiol-ene/acrylate systems, photocured dental prosthesis resins, and microscopic phase separation studies in epoxy-acrylate hybrid resins.<sup>231–234</sup> DMA can be used to characterize or monitor reactions analogous to coupling mass spectrometers to monitor reactions by a switching valve with a sample loop.<sup>235</sup> Moreover, modifications to the method are possible, such as coupling it with another, as described by Zwartkruis *et al.* They introduced a hyphenated time-resolved technique that allows the measurement of reactive group conversion (polymerization) while simultaneously monitoring network formation by the development of mechanical properties with real-time dynamic mechanical analysis near-infrared spectroscopy (RT-DMA/NIRS).<sup>236</sup>

### 3.2. Dielectric analysis

Dielectric analysis (DEA) measurements have been applied during the curing of mainly thermoset materials. The analysis of dielectric properties involves the application of a sinusoidal voltage between electrodes placed in direct contact with the sample, which, during curing, due to changes in viscosity and the mobility of ions, electrons, or charged atoms present in the resin, induces changes in the measured current.<sup>237–240</sup> If parameters such as the frequency of the excitation voltage, the area of the electrodes, and the distance between them are known, the changes in the phase and amplitude of the current allow the calculation of characteristic electrical quantities such as the dielectric constant (dielectric permeability), dielectric loss factor and dielectric strength, which are correlated with the degree of monomer conversion. The DEA method was also used to monitor the changes in other parameters of the cured sample *i.e.*, diffusion properties, viscosity,  $T_g$ , and shrinkage (Fig. 9).<sup>237,238,241</sup> A scheme of the equipment setup used for DEA experiments is shown in Fig. 10.

Decker's team, during both radical and cationic photopolymerization, monitored real-time changes in the dielectric properties of the photocurable compositions using a combination of FTIR and DEA techniques. This approach allowed a determination of the polymerization rate and degree of cure by correlating the changes in the dielectric properties of the compositions with the monomer conversion. Nevertheless, some limitations have been found in the application of dielectric techniques to monitor *in situ* reactions, such as the curing of epoxy resins. It should be kept in mind that during curing, where gelation and vitrification processes occur, the concentration of mobile ions decreases as the reaction progresses, which is the reason for the inconsistency between the time

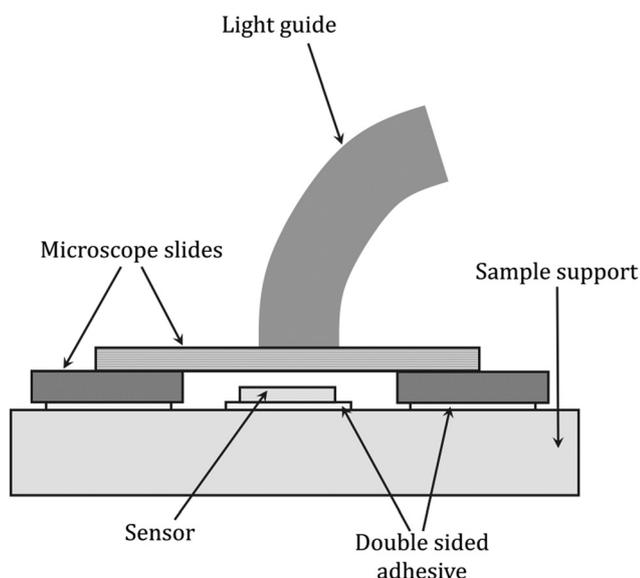


Fig. 10 Scheme of the dielectric analysis setup for monitoring light-initiated polymerization reactions, based on Steinhaus *et al.*<sup>243</sup>



dependence of the ionic conductivity and viscosity. Hence, the use of rheological measurements by DMA and electrical techniques has some limitations in monitoring the reaction progress, especially when the gel point is reached and for high conversion values.<sup>30,237,242</sup>

### 3.3. Dilatometry

In particular, the technique is designed to measure polymerization shrinkage due to changes in the sample volume or density during photopolymerization. Under the assumption that the amount of shrinkage is directly proportional to the degree of curing, the rate of reaction can be determined from the slope of the measurement curve, where the rate of shrinkage development is calculated from the first derivative of the shrinkage value concerning time and is considered to be a measure of the reaction rate (Fig. 13).<sup>244–247</sup>

Dilatometric studies of vinyl monomer polymerization have shown that monomer conversion is linearly related to density change.<sup>248,249</sup> In thermoset systems, an approximately linear relationship was found between the volume change during resin curing and the monomer conversion until vitrification occurred. Similarly, for epoxy resin curing processes, there is a linear correlation between volume and conversion in the range of 65–95% conversion, while the found linear relationship between the specific volume and  $T_g$  during the thermal curing of thermoset compositions may suggest a nonlinear relationship between volume and conversion since the correlation of  $T_g$  with conversion generally shows a nonlinear trend.<sup>246,247,250</sup> Hence, dilatometry is of limited use due to the lack of unambiguous correlation and reliability of

the determined measurement parameters, while there are other more valuable techniques for determining shrinkage, which are discussed in the next subsection of the paper. A scheme of the equipment setup used for dilatometric experiments is shown in Fig. 11.

### 3.4. Interferometry

Interferometry is an alternative method for measuring, among other things, shrinkage in real-time and provides greater accuracy and precision compared with traditional methods of measuring polymerization shrinkage such as dilatometry described above. The basic principle of this method is to use two intersecting laser beams to create an interference pattern on the photocured film. The bright areas become cross-linked, while in the dark areas the liquid resin remains unchanged. The interference pattern is formed under laser irradiation due to the increase in the refractive index of the composition during its photopolymerization. When exposed to only one laser beam (*i.e.*, the reference beam), the resulting pattern causes a diffraction of incident light with an efficiency that is directly related to the degree of polymerization (Fig. 13). The operational wavelength of the laser beam depends on the refractive index of the air through which it passes and this alters with the air temperature, air pressure and relative humidity. Therefore, the wavelength of the beam needs to be altered (compensated) to incorporate any changes in these parameters.<sup>252–256</sup>

The advantages of interferometry include the inherent sensitivity and accuracy of interferometric measurements offered by an instrument that does not need to be calibrated because

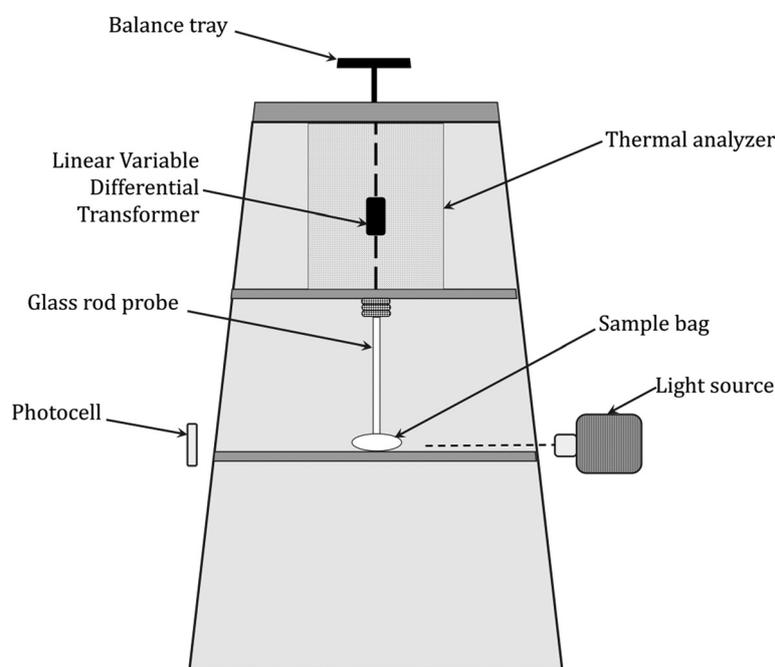


Fig. 11 Scheme of a recording dilatometer for monitoring light-initiated polymerization reactions, based on McGinniss and Holsworth.<sup>251</sup>



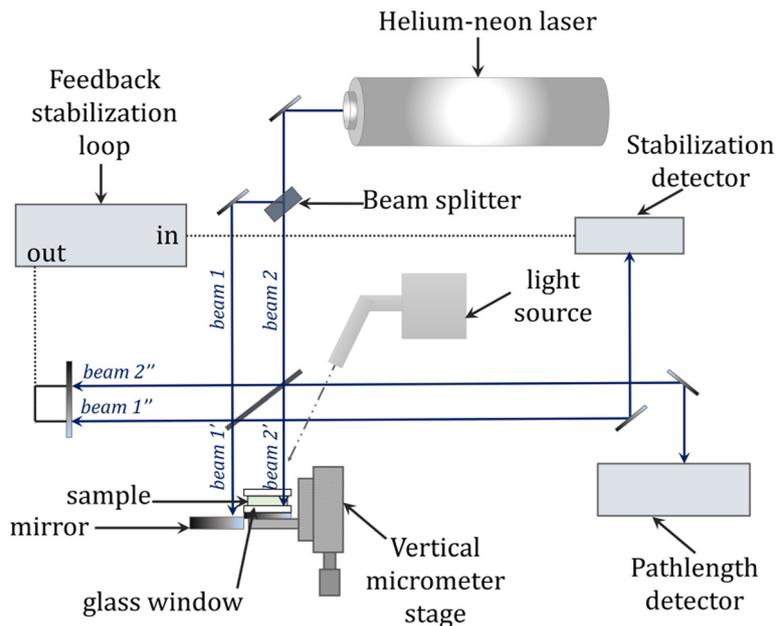


Fig. 12 General schematic of the laser interferometry setup for monitoring photopolymerization reactions, based on Fogleman *et al.*<sup>257</sup>

the wavelength of the laser light source provides a precisely known wavelength. In addition, the ability to collect data at high speed allows for the real-time characterization of rapid photopolymerization reactions, among others. The low cost and relative ease of use associated with the device are also advantages. The interferograms obtained from the sample curing measurements can be converted into, among other things, kinetic profiles representing the percent linear shrinkage over time, which provide information about the relative shrinkage rate and the total degree of shrinkage. Data extraction from interferograms is straightforward compared with dilatometric measurements, where significant temperature corrections and calibration procedures are required to achieve high accuracy and precision.<sup>257–259</sup> A scheme of the equipment setup used for laser interferometric experiments is shown in Fig. 12.

The use of optical fibers, laser light sources, and numerous modifications of typical Michelson and Mach-Zehnder interferometers have greatly expanded the range of applications of interferometry. It proved to be useful in studies of the kinetics of the photopolymerization of acrylates, where interferometric measurements provided information on changes in the refractive index and thickness of the composition layer accompanying the curing process. A detailed analysis of the interferometric data allowed characterization of various aspects of the curing process including the degree and profile of curing along with the layer depth, gel point, shrinkage and oxygen inhibition, intensity, and time of radiation dose. Moreover, as an optical method, interferometry has found practical applications in stereolithography, and holography, for the study of photo-cured dental fillings, composite materials, and others.<sup>124,257,260,261</sup>

#### 4. Alternative radical photopolymerization monitoring techniques and specific examples of their applications

Among the applications of the photopolymerization reaction are dentistry and light-cured fillings. Adequate polymerization of acrylate composite resin, which is the main component of currently used dental fillings, has a significant impact on the success of cavity restoration while the degree of curing affects the mechanical and physicochemical properties of the cured material, *i.e.*, abrasion resistance, bonding strength to dentin, solubility in saliva and biocompatibility. Uneven polymerization along the depth profile of the restoration may lead to inadequate curing at the interface between the composite and the tooth surface. Thus, it is highly desirable to monitor the degree of composite cure in real-time for optimal performance and the quality of the final material.<sup>262–264</sup>

In assessing the degree of cure of dental compositions, destructive techniques that require sample preparation, such as the scraping technique in assessing the depth of cure, microhardness tests or differential scanning calorimetry (DSC) are commonly used.<sup>265,266</sup> Techniques are currently being sought to non-destructively monitor the degree of hardening. The techniques of reflectance infrared spectroscopy and Raman spectroscopy are among the non-destructive methods to investigate the degree of curing of dental compositions. However, the disadvantages of these methods are only surface monitoring of the polymerization reaction and a lack of information about the changes inside the restoration.<sup>267,268</sup> Other



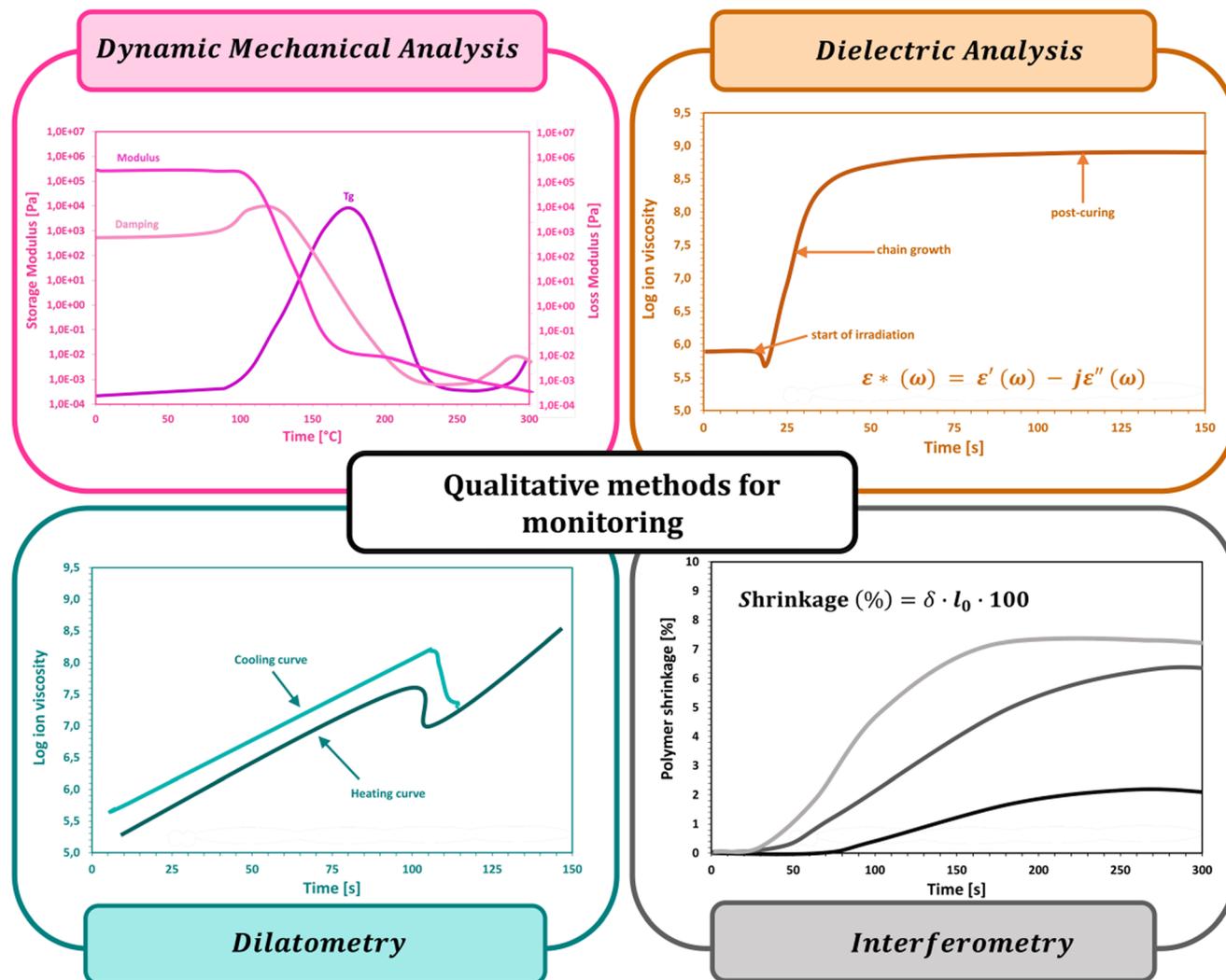


Fig. 13 Characteristic parameters determined by photorheology.

techniques encountered are microcomputed tomography ( $\mu$ CT) and magnetic resonance imaging (MRI) (Fig. 14).<sup>269</sup>

The  $\mu$ CT technique can accurately measure changes in composition volume due to polymerization, but cannot be used to monitor these changes on an ongoing basis during cavity restoration. MRI studies are useful for studying photocuring processes, but the ability to monitor the complete curing of the composition is limited by the very short relaxation time, known as the T2 time constant.<sup>269</sup> Dynamic measurements by optical coherence tomography (OCT) and low coherence interferometry (LCI) are also performed in measuring the degree of cure of photocurable resins.<sup>269,271</sup> In these techniques, the composition's refractive index and layer thickness correlate with the degree of cure. However, these measurements are limited to compositions that do not contain fillers and to layer depths of up to 1 mm due to the limited penetration of near-infrared light. One technique that appears in the literature as a method for monitoring the degree of curing is the terahertz (THz) technique, where terahertz radiation in the wavelength

range 3 mm–3  $\mu$ m and frequency range 0.1–10 THz is used to study dental materials, *e.g.*, to measure demineralization and damage to enamel and dentin.<sup>269</sup> The THz technique exploits changes in the dielectric parameters of the composition components during photocuring. The advantage of this method is that there is no limitation on the thickness of the cured layers due to the much higher transmittance of terahertz radiation by organic materials, which allows the examination of composition layers over a cross-section of several millimeters (Fig. 15).

The availability and usefulness of techniques for monitoring the degree of cure of dental restorations are limited, so new solutions are constantly being sought to directly monitor the degree of cure in the volume of the entire restorative composition during tooth structure restoration.

In addition, one of the problems encountered during the photopolymerization of compositions containing acrylates is high polymerization shrinkage associated with the intramolecular transformation of weak van der Waals interactions



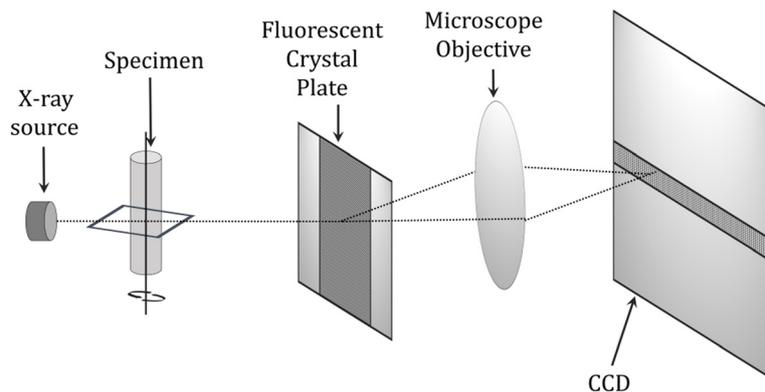


Fig. 14 Schematic diagram of a microcomputed tomography ( $\mu$ CT) scanner.<sup>270</sup>

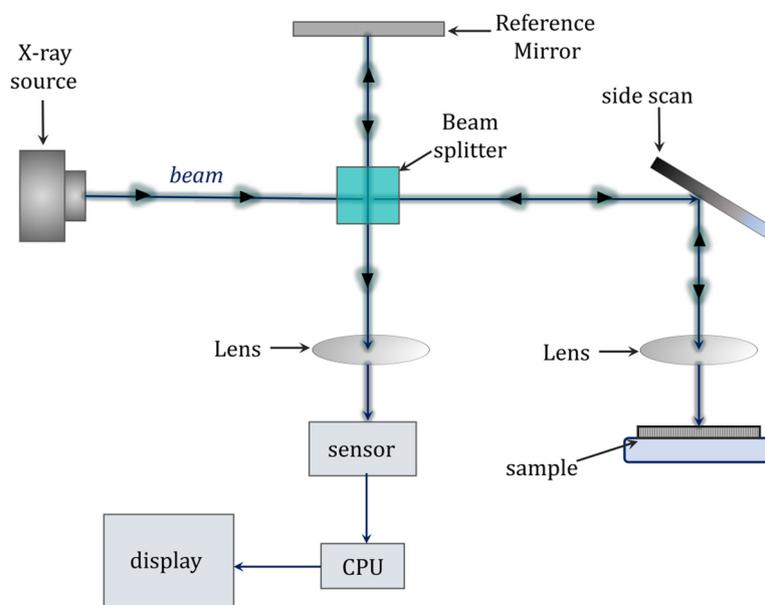


Fig. 15 Schema of optical coherence tomography (OCT).

into strong covalent carbon-carbon bonds. Shrinkage can impair the mechanical properties, cause surface irregularities of the coating, and cause poor adhesion of the coating to the substrate and depends on, among other things, the composition, monomers and resins used, and the presence of fillers, as well as the conversion and degree of crosslinking. This is particularly troublesome in the case of photocured dental restorations, where due to shrinkage, a gap is formed between the tooth and the composite allowing the penetration of bacteria. Hence, it is reasonable to monitor and determine the degree of polymerization shrinkage of monomers and oligomers during the photopolymerization reaction.<sup>264,272</sup> For this purpose, many experimental methods have been tested for the quantification of polymerization shrinkage, where the main test material was acrylate dental compositions. Among others, dilatometry, interferometry, refractometry, gas pycnometer methods, strain gauges, special laser techniques, optical

measurements using video-microscopes, and many others were used.<sup>271,273</sup> The aforementioned methods were often a source of accurate and reproducible data on the degree and kinetics of polymerization shrinkage; however, their disadvantages were primarily the labor intensity and the fact that the determined shrinkage values could not be related to the instantaneous conversion rate, which required further combination with another technique. The polymerization of light-cured fillings is not the only area using batch-process analysis techniques. These areas also include 3D printing process control or the production of UV-curable coatings. These areas benefit from the use of these techniques by reducing the exposure time and real-time quality control or selecting the most optimal postcuring times in 3D printing.<sup>274,275</sup>

Spectroscopic methods have proved to be the optimal approach to simultaneously monitor conversion and shrinkage in real-time. Examples of such combined techniques include



the combination of a laser sensor-detector, mounted over a diamond crystal of an ATR attachment, in an FTIR spectrometer, which allowed the quantitative measurement of shrinkage concerning conversion.<sup>228</sup> Another interesting solution among the combined techniques is the combination of photorheometry, more specifically dynamic mechanical analysis (DMA) with near-infrared spectroscopy (FT-NIR), proposed by Schmidt and Scherzer.<sup>228</sup> The combination of the two measurement techniques provided the ability to directly correlate shrinkage and conversion and their dependence on time, as well as the exposure conditions of the composition.<sup>276–279</sup>

The photorheometry technique itself is used to monitor in real-time the viscoelastic properties of the cured materials. This technique has proved itself more than once in studies of the photopolymerization kinetics of (meth)acrylate compositions, thiol-ene compositions, and sol-gel systems.<sup>228,280</sup> The quantitative shrinkage values measured by this technique showed a very good correlation with the data determined by other methods reported in the literature. In addition to shrinkage, other measurable parameters also correlated with conversion, including the viscosity, mechanical properties, and shear modulus.

Slopek *et al.* used micro-rheological measurements to quantitatively monitor the *in situ* gelation step in the free radical photopolymerization process.<sup>281,282</sup> Brownian motions of inert rhodamine-labeled silicon particles of micrometric size were observed during the UV-beam irradiation of photosensitive acrylate resins and hydrogel compositions and the use of an optical videomicroscope. Statistical analysis of the velocity and position of these particles as a function of time, conditioned by the changing rheological properties of their surroundings, made it possible to study the influence of numerous parameters on the photopolymerization process and gelation phase. Monitoring using micro-rheological studies allowed the determination of three-dimensional gelation profiles and the investigation of the influence of such parameters as the photoinitiator concentration, the formulation of the composition, and the radiation intensity on the course of photo-

polymerization. Significant changes in gelation time were observed with changes in the intensity of the UV beam and the depth of light penetration into the sample. The inhibitory effect of oxygen on the rate of gelation and curing of the resin was also found. During these experiments, an additional pulsed UV source was used, which allowed for quantitative studies of dark reactions. Based on the above experiments, the initiation ( $k_i$ ), propagation ( $k_p$ ), and termination ( $k_t$ ) rate constants, among others, were determined.<sup>281,282</sup>

Another solution proposed by several other authors, including Chiou, Lange, Botella, *et al.* was to monitor the conversion and rheological changes in the sample during photopolymerization by combining the FTIR technique with a rheometer. However, this method did not take into account the spatial changes in the sample, since the rheometer measures the averaged mechanical properties throughout the sample volume (Fig. 16).<sup>283,284,308–310</sup>

To get a thorough picture of reactions, it is beneficial to combine a number of strategies. The most well-known example of polymerizations is the so-called ACOMP system.<sup>286,287</sup> ACOMP (automatic continuous online monitoring of polymerization) performs continuous sample withdrawal and dilution from a reactor into a tiny stream of reactor fluid that passes through a number of inline detectors, primarily a refractometer (RI), ultraviolet absorbance spectrometer (UV), time-dependent static light scattering (TDSLS) device, and perhaps also a viscometer, to perform online monitoring of the absolute weight-average molecular weight of the polymers. Comparative measurements of monomer conversions were made using *in situ* NIR and the ACOMP detection train, and they showed good agreement.<sup>288</sup> Only batch and semi-batch processes used ACOMP.<sup>289,290</sup> The ACOMP system is entirely automated and interfaces with the distributed control system (DCS) of the plant to track the output of the studied data.<sup>291,292</sup>

Other methods, in addition to spectroscopic ones, provide important information about how a polymerization is developing. In this regard, mass spectrometry is significant. To

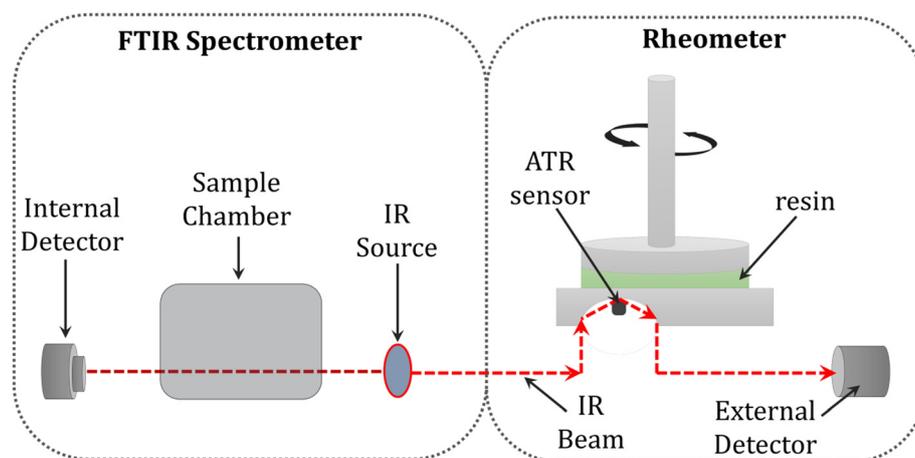


Fig. 16 Schematic illustration of the combination of FTIR spectroscopy with an ATR crystal and rheology.<sup>285</sup>



examine the mechanisms of radical, photochemical, electrochemical, and organometallic or polymerization reactions, there are numerous additional analysis instruments for batch processing.<sup>293,294</sup>

Since spectroscopy only provides a small amount of information on macromolecular structures and end-group chemistry, monitoring polymerizations by spectroscopic approaches frequently yields very limited information. Unique product patterns, data on polymer end-groups, and block copolymer compositions can all be ascertained using mass spectrometry (MS). Thus, a common strategy for monitoring reaction products continuously involves connecting a mass spectrometer to a reaction tank.<sup>295,296</sup> Santos described a straightforward online linking of microreactor devices to mass spectrometers.<sup>297</sup> In certain circumstances, samples were transferred from the reactor outlet into the mass spectrometer using a six-port switching valve with a sample loop, a mass rate attenuator, or other automated sampling techniques.<sup>298,299</sup> A low-volume mixing tee that is directly connected to an electrospray mass spectrometer's ionization probe was developed by Sam and his colleagues.<sup>300</sup> There are many instances of comparable setups in the literature that examine reaction mechanisms, reactive intermediates, and the kinetics of chemical reactions. By adjusting the length of the transfer capillary, the mixing tee is typically connected to the ionization probe with a fused-silica capillary that offers residence periods from 0.7 to 28 s in a continuous flow mode.<sup>301,302</sup> Santos and Metzger were the first to make continuous online MS monitoring of polymerization reactions available. Early in the polymerization process, they looked at the mechanisms of the homogeneously catalyzed Ziegler–Natta polymerization of ethane and Brookhart polymerization of alkenes.<sup>303</sup> A first micromixer, which also functions as a microreactor to start the polymerization reaction, is used to combine the reactants. The capillary that carried the reactive solution to the second micromixer, where it was quenched with the ESI solvent and then delivered straight to the ESI nozzle, is where the polymerization process took place. Even though it has an easy-to-understand design, this method only allows for a little amount of screening of reaction (residence) timings. Ley developed a tiny electrospray ionization mass spectrometer that is connected to a device for preparative flow chemistry *via* a switching valve.<sup>304</sup> With this technique, it is able to screen starter materials, monitor intermediates and competing reaction pathways, and improve reaction conditions. In microfluidic devices, Belder and colleagues recently introduced on-chip MS reaction monitoring.<sup>305</sup> With this technique, a single quick prototype microfluidic device integrates electrochromatography separation, electrospray ionization, and chemical reactions. This setup investigated the Passerini three-component reaction (Passerini-3CR) to show the high-throughput-screening capability of continuous microreactors for macromolecular design, more especially the synthesis of diblock copolymer conjugates.<sup>306</sup> The kinetics of n-butyl acrylate's reversible addition fragmentation chain transfer polymerization were investigated using the same method. Time-sweep studies can be performed to track a

whole polymerization over time in a single experiment by adjusting flow rates in the reactor.<sup>307</sup>

## 5. Conclusions

There are numerous automatable characterization techniques available for monitoring photopolymerization operations. In addition to gathering data on specific polymers such as molecular weight distributions and polymer end-groups, a wide range of characteristics can be evaluated. Additional technique integration will present hurdles in the future, particularly in the area of flow chemistry. Inline and online characterization approaches are fundamentally best suited to monitor flow procedures. No system that would provide direct feedback to process control and thus enable a fully automated synthesis routine has yet been established, with the exception of the ACOMP system, which is extremely focused on industrial polymerization processes. A major improvement of research in synthetic fields can be anticipated by combining a number of online monitoring approaches (chosen based on the specific type of response) with computerized feedback and reactor management. As full remote control becomes accessible at the same time, reactors can be fully integrated into the IT infrastructure, making them suitable for industry 4.0.

## Conflicts of interest

The authors declare no conflict of interest.

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