



Cite this: *New J. Chem.*, 2023, 47, 22063

How does aggregation of doxorubicin molecules affect its solvation and membrane penetration?†

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Intermolecular interactions of drug molecules can lead to aggregation, which has a significant impact on their application. This problem might escape the attention when studying their solubility as small aggregates might behave almost as single molecules. We studied the aggregation behaviour of doxorubicin (DOX) molecules through density functional (DFT) methods and molecular dynamics (MD) simulations in water, dimethylformamide (DMF), ethanol (EtOH), and dimethyl sulfoxide (DMSO). We described the degree of aggregation by MD-calculated radial distribution function, combined radial/angular distribution functions, autocorrelation functions, and the number of hydrogen bonds of individual DOX and solvent atoms. MD-calculated diffusion coefficients for DOX decrease along the series water > DMF > EtOH > DMSO (0.101×10^{-9} , 0.047×10^{-9} , 0.025×10^{-9} , and $0.007 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$, respectively) consistent with increasing aggregation found in the MD simulations. These aggregates have different characters, depending on the DOX...solvent interactions, and include hydrogen bonding and π -stacking. Even though the solvation energy of a single DOX molecule in DMSO ($-24.8 \text{ kcal mol}^{-1}$) is higher than in other solvents, the formation of larger aggregates in this solvent prevents proper solvation. Further, the orientation of doxorubicin molecules at octanol/water and dipalmitoylphosphatidylcholine (DPPC)/water interfaces was studied with two different orientations from the bivariate maps. In the case of the DPPC/water interface, the anthracycline part points toward the aqueous phase, while this part is oriented almost parallel to the octanol/water interface in DMSO.

Received 20th December 2022,
Accepted 12th November 2023

DOI: 10.1039/d2nj06221f

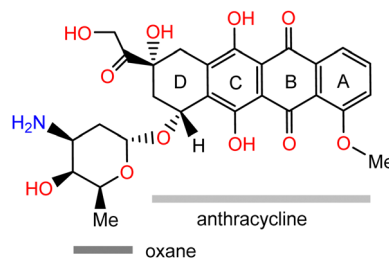
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1 Introduction

One of the most important limitations for the biological activity and, thus, for the administration of drugs is poor solubility. Solubility issues are one of the main reasons why drug candidates that have reached clinical trials were later discarded. For many commercial drugs, formulations had to be developed to make them usable.^{1–4} Solubility is influenced by many parameters, such as pressure, temperature, and pH of the medium. On the molecular level, solubility depends on the intermolecular forces between the solute and the solvent.^{5–8} Strong solute...solute interactions overruling solute...solvent interactions lead to nanoscale or microscale aggregation, and the structure and properties of these aggregates, as well as the dynamics of aggregate formation, and decomposition, are determined by the nature of the solvents. Therefore, the investigation of interactions between drug molecules and solvents provides

important information to design suitable drug-solvent combinations and to develop new solvents or formulations.

One of the most widely studied and used chemotherapy agents in recent years is doxorubicin (DOX, Scheme 1). DOX, also known as Adriamycin, is an antiproliferative drug that intercalates into the DNA, thus hampering the division of cancer cells and eventually inducing apoptosis.^{3,4,9–14} DOX as hydrochloride is water-soluble ($\sim 10 \text{ mg mL}^{-1}$) and is injected in patients. Recently, the use of DOX formulations, especially polyethylene glycol encapsulated (PEGlated) or liposomal encapsulated DOX instead of pure DOX has helped to reduce the side effects such as cardiotoxicity.^{3,4,11,13–16}



Scheme 1 The schematic structure of doxorubicin with labelling of the anthracycline and oxane moieties.

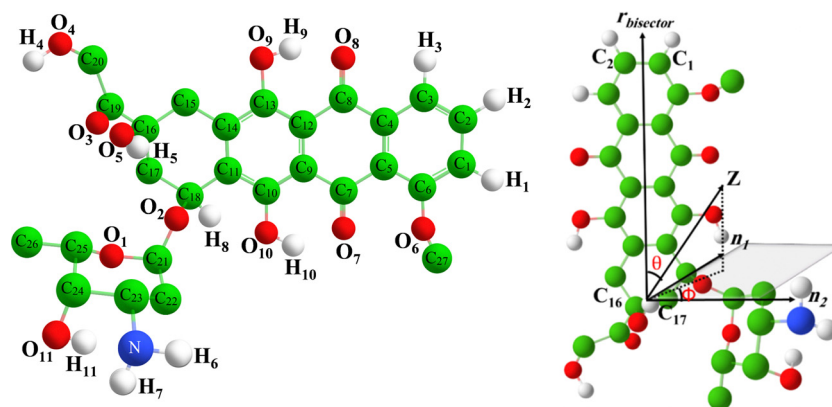
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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d2nj06221f>



DFT-optimised geometries of DOX in the gas phase and solvents environments were calculated using the Gaussian 09 program suite at B3LYP/6-311+G* level (Fig. 1 and Fig. S1 in the ESI,[†] for atoms labelling).⁴⁰ The polarized continuum model (PCM) was used to include solvent effects.^{41,42} Using this model, solvation energies (the free energy differences in the solvent and the gas phase), the energy gap ($E_{\text{LUMO}} - E_{\text{HOMO}}$), and dipole moments were calculated.



22064 | *New J. Chem.*, 2023, **47**, 22063–22077 This journal is © The Royal Society of Chemistry and the Centre National de la Recherche Scientifique 2023

Molecular electrostatic potential (MEP) maps were calculated in the gas phase. The atom charges for DOX and the solvents were calculated using the natural bond orbital (NBO) method,⁴³ results are provided in Tables S1–S5 (ESI†). In first trials, we also performed the simulations using electrostatic potential-based (ESP) charges but could not find significant differences in the structural characteristics of the aggregated DOX molecules. We thus continued the simulations using NBO charges (Fig. S2 and Table S6, ESI†).

2.2 Molecular dynamics simulations

The MD simulations were carried out using the GROMACS 4.5.4 package.⁴⁴ We simulated two different systems: (I) DOX aggregation in four solvents, including water, DMF, EtOH, and DMSO. (II) DOX molecules at DPPC/water and octanol/water interfaces. The structure and topology of DOX were produced using the small molecule topology generator PRODRG.⁴⁵ Well-approved force-field parameters for the DPPC lipid membrane are available.³⁹

Molecular mechanics force fields of DOX, water, DMF, EtOH, DMSO, and octanol were parameterised with the GROMOS (53A6) force field.⁴⁶ The interaction parameters of dissimilar atoms were calculated from the standard force field mixing rules ($\sigma_{ij} = \frac{\sigma_{ii} + \sigma_{jj}}{2}$ and $\epsilon_{ij} = \sqrt{\epsilon_{ii}\epsilon_{jj}}$). 12 DOX molecules were added to the boxes, filled with 2000 solvent molecules, and equilibrated with final box sizes of $43 \times 43 \times 43$ Å, $53 \times 57 \times 57$ Å, $50 \times 63 \times 63$ Å, and $59 \times 64 \times 64$ Å, respectively. Periodic boundary conditions in three dimensions were applied for the whole ensembles. The equations of motion were solved using the leapfrog integrator. The heating/cooling procedure was performed. Then, the temperatures were increased at intervals of 20 K up to 400 K. The simulation was conducted for 5 ns under constant number, volume, and temperature (NVT) conditions at each temperature for each system. Afterward, the temperature of the systems was decreased to 300 K at steps of 50 K. Furthermore, we conducted an additional simulation for the DOX/water system using a larger simulation box containing 10 000 water molecules. Since no significant differences were observed in comparison with the small-sized simulation, we continued the simulation using the smaller one. To validate the force field, a simulation for DOX/water system was performed using the Amber force field (GAFF)⁴⁷ considering the partial charges of DOX calculating through the restrained electrostatic potential (RESP) method^{27,48} which was carried out by RED server service (see Tables S7 and S8, ESI†).⁴⁹ Also, the simple point charge extended (SPC/E) approach was applied for modeling water molecules.⁵⁰ In addition, the simulation was performed for both neutral (DOX) and positive charged (DOXH⁺) DOX molecules.

The equilibration of DOX in the solvents was carried out in three stages. First, initial energy minimisation applying the steepest descent algorithm was applied to remove close contacts and to find a minimum on the potential energy surface. Then, an equilibration under constant NVT conditions was performed over 5 ns. Finally, the systems were equilibrated for 5 ns under constant number, pressure, and temperature

(NPT) conditions. The final configurations from these procedures were taken as the initial structures for the 30 ns production runs under NPT condition at 300 K. The first 10 ns was set aside for equilibration and discarded for analysis. 20 ns were sufficient to detect the convergence of the calculations.

The behaviour of DOX at octanol/water interface was modelled using 512 molecules of octanol in the simulation box, and the box was equilibrated for 20 ns in order to generate an octanol slab with the final thickness of 95 Å. The simulation box was extended to 180, 80, and 50 Å in the z, x, and y directions, respectively. Then, 12 DOX molecules were added on both sides of the octanol slab surfaces. Finally, the simulation box was filled with 16 000 water molecules. The simulation was performed under constant NVT conditions for 5 ns and then under NPT conditions for 5 ns. The final configurations from these steps were used as the initial structure for the 50 ns production runs under NPT conditions at 310 K.

For DOX at the DPPC/water interface, the pre-equilibrated coordinates force field parameters of the DPPC bilayer (128 lipids) were used and initial structures of DPPC membranes were taken from previous publications of the Tieleman group^{39,51} and their website. Simulations were performed using the GROMOS96 53A6 force field.⁴⁶

The system was extended to 150 Å in z-direction (64 Å, each for x and y). Then, 12 DOX molecules were added on both sides of the DPPC surfaces, and the box was filled with 16 000 water molecules. An equilibration under constant NVT conditions was performed over 5 ns, and then the system was equilibrated for 5 ns under constant NPT conditions. Finally, the production runs were conducted for 50 ns under NPT conditions at 310 K.

Under constant NVT conditions, all simulated systems were connected to the improved velocity-rescaling thermostat with a time constant of 0.1 ps. The Parrinello–Rahman barostat (with a time constant of 2 ps) algorithm was used for the simulations under constant NPT conditions.^{52,53} In each simulation, a short-range cut-off distance of 12 Å was applied for Lennard-Jones interactions. Additionally, to account for long-range Coulombic interactions, the particle mesh Ewald (PME) method was employed with a cut-off distance of 15 Å.⁵⁴ In addition, the LINCS algorithm was implemented to allow for a 2×10^{-3} ps time step in each system.⁵⁵ Explicit water molecules were modelled using the simple point charge (SPC) approach.⁵⁶ The visual molecular dynamics (VMD) software⁵⁷ was used to visualise the results.

2.3 Analysis

Solvation energies. The solubility of DOX was theoretically determined by calculating the solvation free energy, which is expressed as the difference between the Gibbs free energy of the drug when solvated in a solvent or the gas phase. This is represented by (eqn (1))^{58–60}

$$\Delta G_{\text{sol}} = G_{\text{sol}} - G_{\text{gas}} \quad (1)$$

Band gap energies. The band gap energies between the highest occupied molecular orbital (HOMO) and the lowest



unoccupied molecular orbital (LUMO) ($E_{\text{LUMO}} - E_{\text{HOMO}}$) in the gas phase, water ($\epsilon = 78.35$), DMSO ($\epsilon = 46.82$), DMF ($\epsilon = 37.21$), and EtOH ($\epsilon = 24.85$), were calculated.

Dipole moments. The dipole moments of DOX in different environments were calculated (eqn (2)).

$$\mu_{\text{tot}} = (\mu_x^2 + \mu_y^2 + \mu_z^2)^{1/2} \quad (2)$$

where (μ_i ($i = x, y, z$), and total dipole moment (μ_{tot}))

Dynamic properties and diffusion coefficients. The dynamic properties of DOX were investigated in the form of mean squared displacements (MSDs). MSDs describe the average distance square in which particles have moved from the initial point during the time interval t and were calculated from the dynamic particles coordinate (eqn (3)).

$$MSD = \frac{1}{N} \left\langle \sum_{i=1}^N |r_i^c(t) - r_i^c(0)|^2 \right\rangle = \Delta |r(t)|^2 \quad (3)$$

where $r_i^c(t)$ is the centre of mass coordinate of the particle at the time t . Also, the angular brackets show an ensemble average over time.

The diffusion coefficients were derived from the MSDs; the slopes of MSD curves represent the diffusion coefficients (D_i). D_i is calculated using the Einstein relation (eqn (4)).⁶¹

$$D_i = \frac{1}{6} \lim_{t \rightarrow \infty} \frac{d}{dt} \left\langle |r_i^c(t) - r_i^c(0)|^2 \right\rangle \quad (4)$$

Cluster analysis. The GROMACS utility $g_{\text{clustsize}}$ was employed to calculate the average cluster size.⁶² Two DOX molecules belong to the same cluster if any atom of one molecule lies within the range of 3.5 Å (0.35 nm) of an atom of a second molecule.^{63,64}

The average cluster size (\bar{N}_n) was calculated from eqn (5)

$$\bar{N}_n = \frac{\sum_i i \cdot N_i}{\sum_i N_i} \quad (5)$$

where N_i is the number of clusters comprising i molecules.

Distribution functions. The radial distribution functions (RDFs, or $g(r)$), also known as pair correlation function, represent the probability of molecule...molecule interactions. The average distribution of each atom around any given atom in the system is calculated as the coordination number $n(r)$.^{65,66} $n(r)$ is the average number of neighbouring atoms n within a sphere of radius r and is obtained through integration to the first minimum of $g(r)$ with ρ being the density of the solvent (eqn (6)).

$$n(r) = 4\pi\rho \int_0^{r_{\text{min}}} r^2 g(r) dr \quad (6)$$

Combined radial/angular distribution functions (CDFs) were calculated to get more detailed information about hydrogen bonding. The standard approach for a hydrogen bond criterion is a distance less than 3 Å and an angle α between 150° and 180°. The CDF analyses were performed using combined radial and angular distribution functions using the TRAVIS (Trajectory Analyzer and Visualizer) package.⁶⁷

The number of intermolecular DOX...DOX hydrogen bonds were calculated using the so-called g_{h} bond utility of the GROMACS package.⁴⁴

Root mean square deviation (RMSDs). The RMSDs were computed using the gmx rms module in GROMACS. They quantify the average distance between atoms of a structure at a certain time during the simulation, compared to the reference starting structure. Hence, the RMSD plot of the simulated DOX serves as a means to assess the time-dependent structural deviation in the DOX structure during the simulation, from their initial conformation to their final recorded positions in the trajectory.^{68,69}

Correlation functions. Time-dependent autocorrelation functions (also called hydrogen bond existence autocorrelation functions (ACFs)), $c(t)$, were determined using the TRAVIS package⁶⁷ to evaluate the lifetime of hydrogen bonds (eqn (7)).

$$c(t) = N \cdot \left\langle \sum_{i=0}^{T-\tau} \beta_{ij}(t+\tau) \cdot \beta_{ij}(t) \right\rangle_{ij} \quad (7)$$

where β_{ij} has the value 1 as long as the hydrogen bond criteria are fulfilled, and switches to 0 as soon as the criteria fail for the first time. Hydrogen bond lifetimes are calculated from the integral of the ACFs (eqn (8)).

$$\tau = 2 \int_0^\infty c(t) dt \quad (8)$$

Density profiles. The mass density profiles along the z -axis of the systems DOX at octanol/water and DPPC/water interfaces were calculated using the so-called g_{density} utility of the GROMACS package.⁴⁴

The density profiles of DOX, DPPC (choline, glycerol, phosphate and acyl chain), octanol, and water were obtained over a simulation time of 50 ns. Furthermore, simulations of liquid/liquid interfaces were used to estimate the bulk density of a liquid. In the octanol/DOX/water system, the average density of the octanol phase is 0.864 g cm⁻³ which is slightly larger than the experimental value of 0.830 g cm⁻³ for pure octanol. The average density of water is 0.949 g cm⁻³, which is close to the experimental value (0.997 g cm⁻³) of pure water.⁷⁰ In the case of DPPC/DOX/water, the average density of water is 0.942 g cm⁻³, which lies close to the experimental value of 0.997 g cm⁻³.

Additionally, atom density profiles were studied to define averaged orientation ordering and spatial positioning of the molecules at the liquid/liquid interfaces. For each system, RDFs between DOX/water, DOX/DPPC, DOX/octanol, and DOX/DOX were calculated to obtain insight into the distribution of atoms in possible configurations of DOX at the octanol/water and DPPC/water interfaces.

Orientation analysis. The orientation of the DOX molecules was analysed at DPPC/water and octanol/water interfaces through calculating bivariate distributions, applying the Jedlovsky method.⁷¹ For these orientation variables, the angular polar coordinates of the interface normal vector (θ and Φ) are fixed to the individual molecules.⁷² Details of the coordinates (r_{bisector} , n_1 , and n_2) and the chosen angles and vectors for



the bivariate analysis are shown in Fig. 1. θ is the angle between the z-axis normal to the interface, thus, the principle axis of the simulation box. r_{bisector} is the bisector vector of DOX. Φ is the angle between the DOX ring plane normal vector and the projection of Z onto the plane made by the two vectors, the normal of the DOX-ring plane surface (n_1), and the normal of the $r_{\text{bisector}} - n_1$, plane (n_2).

Deuterium order parameters. The order parameter ($-S^{\text{CD}}$) describes the impact of DOX on the DPPC structure. $-S^{\text{CD}}$ is calculated as $1/2\langle 3\cos^2\theta - 1 \rangle$ where θ is the angle between the C–H bond vectors of the DPPC tail (sn-1 and sn-2 chains) relative to the normal of the bilayer averaged over all simulation trajectories. Order parameters were determined using the GROMACS program g_{order} . The $-S^{\text{CD}}(n)$ values for lipid bilayers lie usually between 0 and 0.5. A $-S^{\text{CD}}$ value of 0.5 shows the perfect alignment of the lipid tail to the bilayer normal and a value close to zero represents a random orientation.

Surface area per lipid. The average surface area per lipid (APL) was examined by dividing the simulation surface area by the number of lipids in individual x–y planes/layers of the simulation cell and averaging over all frames. We used the GridMAT-MD code to map the lipid atomic positions onto a 2D lattice.⁷³

Average bilayer thickness. The effect of DOX on the average thickness of the DPPC bilayer was studied by measuring the P atom distances between each leaflet of the bilayer. We used the GridMAT-MD tool to compute the membrane disruption.⁷³

3 Results and discussion

3.1 DFT calculations on the solvation of DOX

The highest occupied molecular orbital (HOMO) is focused on the dihydroxybenzene ring C and extends to the quinone ring B, while the lowest unoccupied molecular orbital (LUMO) is delocalised over all three aromatic rings of the anthracycline core (A to C) (Fig. 2A). The energy gap in the gas phase is 3.3930 eV. In solution, the energies are reduced along the series EtOH > DMF > DMSO > water which is representing increasing dielectric constants. Generally, a larger HOMO–LUMO gap of DOX in the gas phase means higher stability and resistance to charge transfer and lower polarisability compared to solutions. In turn, the DOX molecule shows higher polarisability and chemical activity in solution.

The solvation energies of DOX in different solvents vary only slightly from -23.5 to -24.8 kcal mol⁻¹ (Table 1), in line with spontaneous and exothermic solvation. The DFT-calculated dipole moments of DOX (Table 1) are the same for all solvents and larger

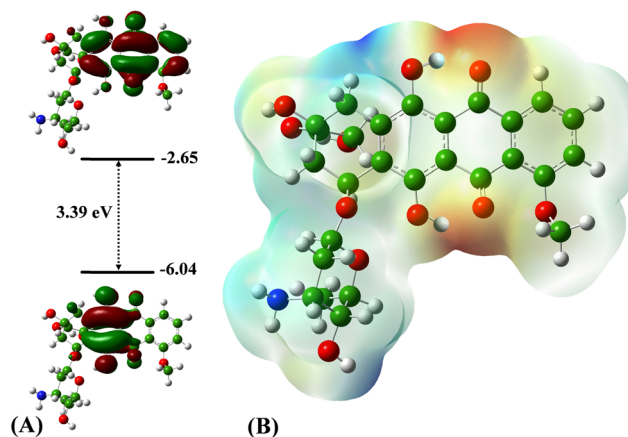


Fig. 2 (A) DFT-calculated HOMO–LUMO gap of DOX, and (B) electrostatic potential maps of DOX molecule in the gas phase. Contours are colour-coded from red (negative charges) to blue (positive charges).

in solution than in the gas phase, in line with the interaction of DOX with the dielectric properties of solvents. At the same time, the same values for the dipole moments in the four solvents mean that the DFT-PCM approach fails to give precise information on the DOX ··· solvent interactions. Moreover, it cannot explain the difference in the extent of DOX aggregation we found in the MD simulations (see below). The standard deviation for the energy gaps, the dipole moment, and the solvation energies as compiled in Table 1 show acceptable values for $n = 8$.

The electron density of DOX in the gas phase was calculated by using the three-dimensional electrostatic potential map (EPM) surfaces (Fig. 2B). They show markedly negatively charged keto functions C=O₈ and C=O₉ in the quinone ring (ring B) extending a bit in the direction of the O–H ··· O_{keto} hydrogen bonds (ring C) located on the hydrophobic part of DOX, while positive charges were found in the hydrophilic part in the amine and hydroxy functionalised oxane moiety and to a minor extent in the hydroxymethyl and β-hydroxycarbonyl group in the saturated ring of the anthracycline (ring D). Atomic charges for several sites of DOX in the gas phase and solvents are shown in Table S9 (ESI†). We found that the charges on the O and N atoms of DOX became more negative compared to the gas phase.

3.2 MD simulation of DOX in different solvents

3.2.1 Structural properties

Radial distribution functions (RDFs). The most dominant DOX ··· solvent interactions are found between the H and O

Table 1 DFT-calculated HOMO–LUMO energy gaps, dipole moments, and solvation energies for DOX^a

Medium	Energy gap (eV)	Dipole moment (Debye)	Solvation energies (kcal mol ⁻¹)	Dielectric constant ^b
Gas phase	3.393	5.77	—	—
Water	3.274 (0.0001)	6.243 (0.0003)	−24.347 (0.301)	80.4
DMSO	3.273 (0.0001)	6.243 (0.0004)	−24.787 (0.195)	47.2
DMF	3.276 (0.0002)	6.243 (0.0003)	−24.096 (0.290)	38.3
EtOH	3.278 (0.0002)	6.243 (0.0005)	−23.531 (0.232)	24.3

^a Standard deviations in parentheses. ^b The dielectric constant is the input parameter in the PCM model.



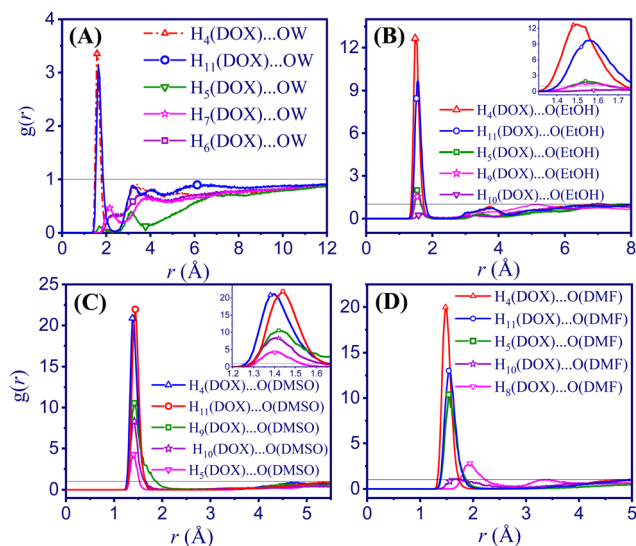


Fig. 3 Radial distribution functions (RDFs) of DOX with various solvents. (A) DOX/water (B) DOX/EtOH (C) DOX/DMSO (D) DOX/DMF.

atoms of DOX with the H or O atoms of the solvents (Fig. 3, complete data in Table S10, ESI†).

The H_4 and H_{11} atoms of DOX (see Fig. 1 for atom labelling) have a higher probability and short-range distance interaction with the oxygen sites of the solvents under study. The solvation shells are better organised around the hydroxyl groups of aminoglycoside and ketone side chain, than around the amine group of the oxane unit or the quinone ring (B in Scheme 1). In the DOX/water system, the shortest interactions between H_4 and H_{11} and the O atom of water (OW) are located at 1.58 Å and 1.64 Å, respectively. However, these values are lower in the DOX/EtOH system with a $H_4 \cdots O(\text{EtOH})$ distance of 1.48 Å and an $H_{11} \cdots O(\text{EtOH})$ distance of 1.56 Å. For the DOX/DMF system, the distances between $H_4 \cdots O(\text{DMF})$ and $H_{11} \cdots O(\text{DMF})$ are very similar with the values in EtOH, which 1.48 Å and 1.54 Å, respectively (Table S10, ESI†). For DOX/DMSO, the $H_4 \cdots O(\text{DMSO})$ (1.38 Å) and $H_{11} \cdots O(\text{DMSO})$ (1.44 Å) distances are the shortest in the series of solvents. These differences confirm that the interactions of H_4 and H_{11} of DOX with DMSO molecules are stronger with higher probabilities than for the other studied solvents. The intensity of the $H \cdots O$ RDF is substantially lower in the case of water, which suggests that the hydrogen bond network between water and DOX leads to a more homogeneous system at a microscopic level. Clearly, the sharp RDFs of the other solvents resemble that of a system with low dynamics.

The RDF plots between different atom sites of DOX were calculated at different simulation times. The plots show that all systems are well equilibrated (Fig. S3, ESI†). To further assess the equilibration of the systems, total energies, density stabilisation, and RMSDs were investigated. As shown in Fig. S4A (ESI†), the total energy trajectory of the systems remained stable during the entire simulation time. Moreover, the density values are stable over the simulation time (see Fig. S4B, ESI†). Furthermore, RMSD was employed as a monitoring parameter

to evaluate the equilibration of the systems, as depicted in Fig. S4C (ESI†). To ensure the equilibration of the DOX/DMF system, a simulation was conducted for an extended period of further 30 ns. The analysis of the RMSD of DOX/DMF showed a plateau after about 20 ns in line with a stable equilibrium (Fig. S4D, ESI†). The most probable DOX \cdots DOX radial distribution functions (Fig. S5, ESI†) resemble intensified solid-like peaks, especially for non-aqueous solvents.

Coordination number. The coordination numbers of the H_4 and H_{11} atoms representing the two peripheral hydroxyl functions in ring D and the oxane unit of DOX with the O atoms of the solvents were calculated up to the first minimum to probe for hydrophilic interactions of this part of DOX. As expected, the coordination number of both $H_4 \cdots O(\text{solvent})$ and $H_{11} \cdots O(\text{solvent})$ are higher in water than in the other solvents (Table 2).

Combined radial/angular distribution functions (CDFs). The CDFs of DOX in water (Fig. 4) show the most intense peak in the histogram for the $H_4 \cdots OW$ interaction at a distance of 1.58 Å and an angle α of $165^\circ \leq \alpha \leq 180^\circ$ for O_4-H_4-OW , representing largely electrostatic hydrogen bonds.^{74,75} In the case of DOX/EtOH (Fig. S6, ESI†), a $H_4 \cdots O(\text{EtOH})$ hydrogen bond is found at 1.48 Å ($165^\circ \leq \alpha \leq 180^\circ$). The peak for $H_{11} \cdots O(\text{EtOH})$ is centred around 1.56 Å, with an angle of about 180° (a linear hydrogen bond) with a higher probability. Further strong interactions are $O_4 \cdots H(\text{EtOH})$ (1.64 Å and $165^\circ \leq \alpha \leq 180^\circ$), $O_{11} \cdots H(\text{EtOH})$ (1.60 Å and $170^\circ \leq \alpha \leq 180^\circ$), $O_5 \cdots H(\text{EtOH})$ (1.70 Å and $170^\circ \leq \alpha \leq 180^\circ$), and $H_5 \cdots O(\text{EtOH})$ (1.54 Å and $170^\circ \leq \alpha \leq 180^\circ$).

The CDF histograms in the DOX/DMSO system (Fig. S7, ESI†) show the $H_4 \cdots O(\text{DMSO})$ interaction at a distance of 1.38 Å with an angle between $170^\circ \leq \alpha \leq 180^\circ$, while the peak between $H_{11} \cdots O(\text{DMSO})$ is located at 1.44 Å with $\alpha \sim 180^\circ$ which represents a linear hydrogen bond and exhibits high probability in comparison with other peaks. The $H_5 \cdots O(\text{DMSO})$, $H_9 \cdots O(\text{DMSO})$, and $H_{10} \cdots O(\text{DMSO})$ correlations are also strong with a distance of 1.40 Å, 1.42 Å, and 1.42 Å, respectively, and angles between $165^\circ \leq \alpha \leq 180^\circ$.

In the DOX/DMF system (Fig. S8, ESI†), the $H_4 \cdots O(\text{DMF})$ interaction is found at a distance of 1.48 Å and an $O_4-H_4-O(\text{DMF})$ angle of $165^\circ \leq \alpha \leq 180^\circ$. The $H_{11} \cdots O(\text{DMF})$ hydrogen bond is found at 1.54 Å with angles between $165^\circ \leq \alpha \leq 180^\circ$, while $H_5 \cdots O(\text{DMF})$ has the same distance, but an angle close to 180° in line with a linear hydrogen bond. However, the $H_9 \cdots O(\text{DMF})$ and the $H_{10} \cdots O(\text{DMF})$ interactions are reduced with angles between $140^\circ \leq \alpha \leq 160^\circ$. The hydrogen bonding patterns of DOX and solvents show that the H_4 , H_{11} , O_4 , and O_{11}

Table 2 Calculated first shell coordination numbers $n(r)$ for H_4 and $H_{11}(\text{DOX}) \cdots O(\text{solvent})$ up to the first minimum

Solvent	Water	DMSO	DMF	EtOH
Contacts	$n(r)$	$n(r)$	$n(r)$	$n(r)$
$H_4(\text{DOX}) \cdots O(\text{solvent})$	0.992	0.910	0.907	0.833
$H_{11}(\text{DOX}) \cdots O(\text{solvent})$	0.975	0.909	0.858	0.827



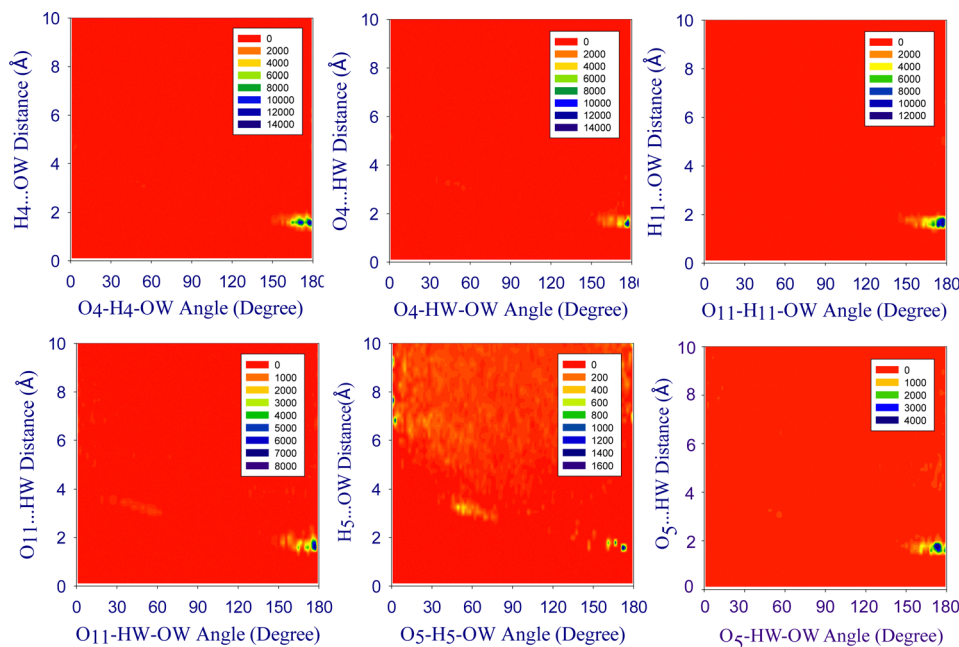


Fig. 4 Combined distribution functions (CDFs) of the angular distribution functions (ADFs) (x-axis) and radial distribution functions (RDFs) (y-axis) for the hydrogen bonds of DOX molecules in water. The probability values were not normalised such that the highest probability value is not equal in each case and the colour scheme ranges from red (low probability) to dark blue (high probability).

atoms of DOX have a higher tendency to interact with solvents and have stronger hydrogen bonds.

The correlations between $O_4 \cdots HW$ (1.66 \AA ; $170^\circ \leq \alpha \leq 180^\circ$) and $H_{11} \cdots OW$ (1.64 \AA ; $165^\circ \leq \alpha \leq 180^\circ$) are also intense, whereas the $O_{11} \cdots HW$ (1.74 \AA ; $165^\circ \leq \alpha \leq 180^\circ$), $H_5 \cdots OW$ (1.68 \AA ; $165^\circ \leq \alpha \leq 180^\circ$) and $O_5 \cdots HW$ interactions (1.74 \AA ; $165^\circ \leq \alpha \leq 180^\circ$) are less probable.

Thus, the $H_4 \cdots O(\text{solvent})$ interaction is always the shortest of all hydrogen bonds with increasing distances along the series $DMSO < DMF/EtOH < \text{water}$, followed by the $H_{11} \cdots O(\text{solvent})$ with increases along the same series. On the other hand, the $H_4 \cdots O(\text{solvent})$ hydrogen bond has increasing probabilities from $\text{water} < EtOH < DMF < DMSO$ while $H_{11} \cdots O(\text{solvent})$ increased along $\text{water} < DMF < EtOH < DMSO$. The last series do not simply correlate with the H_4 or H_{11} coordination numbers (Table 2). It is obvious, that, simple correlations are not possible based on the CDF histograms, since it is always a multitude of $H(\text{DOX}) \cdots O(\text{solvent})$ bonds and also $O(\text{DOX}) \cdots H(\text{solvent})$ bonds that contribute to the overall solvation energy.

Number of DOX \cdots DOX hydrogen bonds. The average number of $\text{DOX} \cdots \text{DOX}$ hydrogen bonds decreased along the series $\text{water} (37.84) > EtOH (35.15) > DMF (28.92) > DMSO (24.77)$ (Fig. S9, ESI†) in line with the predominantly hydrophobic character of DOX. Interestingly, the hydrogen bonds in the series $\text{water} > EtOH > DMF > DMSO$ were successively replaced by π -stacking interactions. The most probable π -stacking interactions between the anthracycline units of $\text{DOX} \cdots \text{DOX}$ was investigated using RDFs between the carbon atoms $C_5 \cdots C_{12}$ and $C_4 \cdots C_9$ of the DOX molecules, as shown in

Fig. S10 (ESI†). These π -stacking interactions were observed in all systems and decrease along the series $DMSO > DMF > EtOH > \text{water}$.

3.2.2 Dynamic properties

Mean-square displacements (MSDs). Previous studies showed that DOX aggregates in aqueous solutions form dimers and larger oligomers.⁷⁶ Aggregates containing about 40 molecules in aqueous 0.5 mg mL^{-1} doxorubicin solutions were observed by TEM studies.¹⁹ As the fluorescence spectrum of aggregated DOX is markedly different from that of DOX monomers, aggregation equilibria of doxorubicin hydrochloride at high drug and NaCl concentrations were previously studied by temperature scan fluorescence spectroscopy.⁷⁷ Our previous simulations confirmed the aggregation of DOX molecules confined in TiO_2 nanotubes.³¹ In this work, after 30 ns of simulations, marked DOX aggregation is observed in all four solvents (Fig. S11, ESI†). The mean-square displacements (MSDs) decrease along the series $\text{water} > DMF > EtOH > DMSO$ with the highest values in water (Fig. 5).

The calculated diffusion coefficients for DOX are 0.101×10^{-9} (water), 0.047×10^{-9} (DMF), 0.025×10^{-9} (EtOH), and $0.007 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$ (DMSO). We attribute the lower diffusion of DOX molecules in DMSO to the formation of large aggregates through hydrophobic and π -stacking interactions in line with a recent MD simulation study on the structure and dynamics of DOX in ionic liquids (IL).⁷⁸ Further, the MD-calculated diffusion coefficient for water is $4.345 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$, which is in good agreement with the experimental value of $2.299 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$ at room temperature.⁷⁹

To better clarify this observation, we calculated the number of formed clusters as a function of time (Fig. S12, ESI†) and



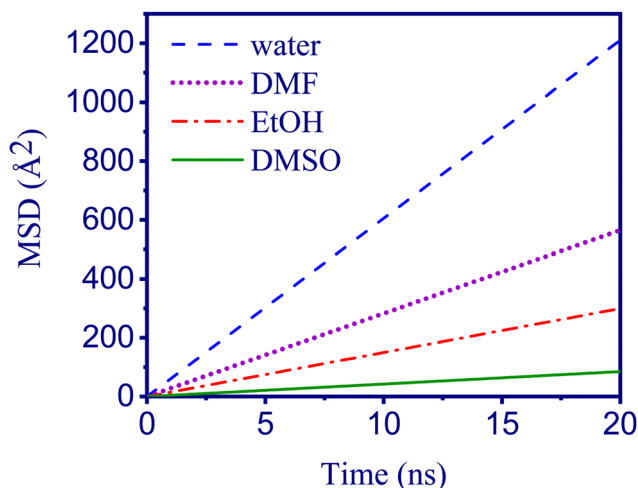


Fig. 5 Mean-square displacement (MSD) of the geometrical centre of DOX in different solvents.

found cluster sizes of 4.33 (DMSO), 3.95 (EtOH), 3.56 (DMF), and 3.26 (water) molecules, with the highest value in DMSO, as expected from the diffusion. In our study, the size of the DOX aggregates in different media and the time scale of simulations were rather restricted owing to the atomistic character of the investigation and when extending the timescale to 200 ns we obtained the same cluster size in water as after 20 ns. Hence, it is needed to extend the investigation to a coarse-grained representation that can accommodate significantly larger system sizes and longer time scales. Coarse-grained simulations, as demonstrated in previous studies, offer the opportunity to study aggregation processes on a larger scale and for a longer duration.^{80,81} On the other hand, to determine the specific impact of changing the type of simulation models (all atomic or coarse-grained), we would need to conduct simulations with an optimised force field in our future study and evaluate its impact on the aggregation size.^{80,81}

Time-dependent autocorrelation functions. The lifetimes of hydrogen bonds determined from hydrogen bond existence autocorrelation functions (ACFs) between DOX and solvent molecules are shown in Fig. S13 and Table S11 (ESI†). They decrease in the order DOX/DMSO > DOX/EtOH > DOX/DMF > DOX/water. The lifetimes in DMSO (5.495×10^{-3} ps) are longer than those in other solvents, the lowest lifetime was found for water (0.970×10^{-3} ps).

Comparison between Amber and GROMOS force field for an aqueous DOX solution. To validate the force field, a simulation for the DOX/water system was performed using the Amber force field (GAFF) method and SPC/E water for 200 ns.⁴⁷ The results showed no significant differences compared to the GROMOS force field method. Various parameters, including RDFs, MSDs, number of hydrogen bonds, and cluster size were investigated and showed no appreciable changes when compared to the GROMOS-based calculations (see Fig. S14 and S15, ESI†).

In our study, the pH value did not exceed pH 7.4 and we studied the aggregation of DOX molecules in both their protonated, cationic (DOXH⁺) and their neutral form (DOX).⁸² Additionally, a simulation considering the protonation state of DOX was carried out using the Amber force field (GAFF) method for 200 ns.⁴⁷ To maintain the neutrality of the protonated DOXH⁺ system, chloride ions were added. Different parameters were calculated, revealing no significant changes between the two states (as shown in Fig. S14 and S15, ESI†). A previous study has shown that neutral DOX molecules can aggregate more readily than the protonated cations.⁸³ In fact, the aggregation of DOX is a stepwise process, leading to the formation of aggregates of variable sizes. With an increase in drug concentration, the size of the aggregates is enhanced.¹⁸ Based on the present study, from a molecular point of view, the size of clusters for both the charged and uncharged DOX is approximately the same during the same simulation time. However, different conditions, especially variations in pH, can significantly affect the mechanism of DOX release and adsorption processes by drug carriers. For instance, the hybrid material consisting of single-walled carbon nanotube-congo red-DOX can be used as a drug carrier system capable of controlled drug release through pH change (Congo red is known to bind to some drugs through intercalation).⁸³ The decrease in pH had an effect on the structure and stability of the examined triple systems, ultimately leading to enhanced drug release efficiency. The calculated diffusion coefficients of DOX and DOXH⁺ are 0.133×10^{-9} and 0.125×10^{-9} , respectively. These values align well with the diffusion coefficient of the system obtained using GROMOS. To evaluate the equilibration of both species, total energies, density stabilisation and RMSD were calculated. These calculations demonstrated compatibility with the results of the previous approach using GROMOS, as shown in Fig. S16 (ESI†).

3.3 MD simulation of DOX at octanol/water and DPPC/water interfaces

MD simulation snapshots. Snapshots of DOX at DPPC/water and octanol/water interfaces (Fig. 6 and Fig. S17, ESI†) show how the DOX molecules approach the model membrane/water interface in different simulation time intervals. As the simulation progressed, DOX molecules aggregated and pointed toward the DPPC or octanol phases. Also, DOX molecules aggregated and tended towards the interface within 10 ns in both systems.

Phosphatidylcholine (PC) lipids contain quaternary ammonium with three methyl groups attached. The presence of hydrogen bond acceptors in this functional group leads to the interactions between lipid membrane and hydrogen bond donors of DOX molecules, which strongly impact interfacial structure and dynamics.^{84,85} The effect of surfactants and polar solvents on the hydrogen bond dynamics and area per lipid of oil-water and lipid-water interfaces are investigated using ultrafast two-dimensional infrared spectroscopy and molecular dynamics simulations.^{86,87} Therefore, characterising interfacial structure and dynamics is the first step towards understanding the impact of DOX on biological interfaces. And indeed,



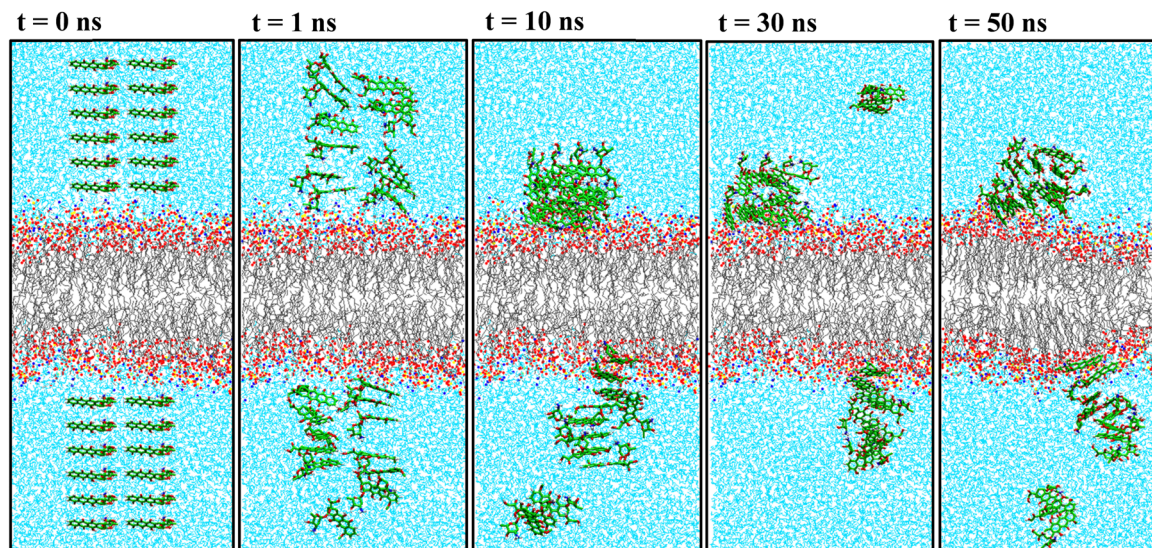


Fig. 6 Representative simulation snapshots of DOX at DPPC/water interfaces at different simulation times. The DOX atoms are shown as green (C), red (O), and blue (N) balls. The hydrocarbon chains of DPPC are depicted in grey, while the polar head group is shown in red. The water phase is in cyan.

structural and dynamical properties of phospholipids in water have previously been studied extensively using different force fields and experimental methods.^{88,89}

To verify the results, the simulation time of the DOX/DPPC/water system was extended to 300 ns. Despite this, the DOX molecules continued to remain at the interface. The DOX molecules might be unable to flip-flop from one side to the other side of the membrane, thus, might not be able to cross the membranes. We conducted atomistic MD simulations for 300 ns to investigate how DOX enters bilayer membranes to see whether uptake is energy dependent. We found that DOX did not spontaneously penetrate the lipid membrane. This showed that the process of DOX entering the membrane from the water phase faces a free energy barrier that needs to be overcome.^{90,91} Also, no appreciable changes were observed in the analysis of RDF plots and snapshots when compared to previous results as shown in Fig. S18 and S19A (ESI†). Furthermore, an additional simulation was performed for 300 ns. The simulation conditions remained consistent with the previous one, with one notable difference: an ensemble of 256 lipid molecules was employed. Interestingly, no significant differences in the simulation results between the two ensemble sizes were observed. Considering this lack of appreciable variation, we decided to utilise the smaller ensemble size for our study as well. The snapshot of the last structure of DOX at DPPC/water is shown in Fig. S19B (ESI†).

Calculated density profiles. In order to analyse the distribution of all components in the system, we computed the density profile of DPPC bilayers in the presence of DOX along the *z*-axis. The density profile of the whole system, as well as its relevant components, including DPPC (with its main groups of choline, glycerol, phosphate, and acyl chain) and water, are shown in Fig. S20B (ESI†). The DOX density peak in the membrane is found in the lower region of the carbonyl groups of DPPC, indicating that the drug molecules are preferentially located

near the carbonyl groups and predominantly bound to the region near the glycerol backbone of the phospholipid. The highest peaks of the calculated DOX density are found at the interfaces of the octanol/water and DPPC/water systems in line with an accumulation and aggregation of DOX (Fig. S20, ESI†).

In the octanol/DOX/water system, the DOX molecules move towards the octanol phase from the side of their O₄ and O₁₁ atoms. After 50 ns of simulation, the atom sites O₆ and O₉ have entered the octanol phase according to their atomic density profiles (Fig. S21A, ESI†). As the simulation proceeded for the system DPPC/DOX/water, the water molecules migrated towards the head group region of the DPPC phase and reached the interface. Moreover, DOX molecules tend to move towards the polar head groups of the DPPC phase, guided by their O₁₁ and O₄ atoms, at the end of the simulation time (Fig. S21B, ESI†). After this, the part containing the O₈ and O₉ atoms entered the DPPC phase. This would mean that the polar/hydrophilic part of DOX is located in the oxane ring, and the saturated ring D is guiding the molecule towards the hydrophilic part of the DPPC/octanol phase, while the non-polar/hydrophobic part (rings A to C) bind the DOX in the interface and water phase in the DPPC/water phase.

Radial distribution functions (RDFs). RDFs between DOX...water, DOX...DPPC, DOX...octanol, and DOX...DOX were calculated at the octanol/water and DPPC/water interfaces (Table S12, ESI†). For DOX at the DPPC/water interface, the short-range peaks with high probability are located at 1.53 Å in both cases and represent the H₁₁...O₉(DPPC) and H₁₁...O₁₀(DPPC) interactions (Fig. S22, ESI†). For the RDFs between DOX and water, most correlations have peaks at short distances located at 1.84 Å (Fig. S23A, ESI†). Remarkably, the peak between O₁₁...HW shows a higher probability in comparison to other sites of DOX. The peaks between H₄...OW and H₁₁...OW atoms are found at relatively short distances (1.53 Å, Fig. S23B, ESI†), with a higher probability of H₄...OW compared with H₁₁...OW.



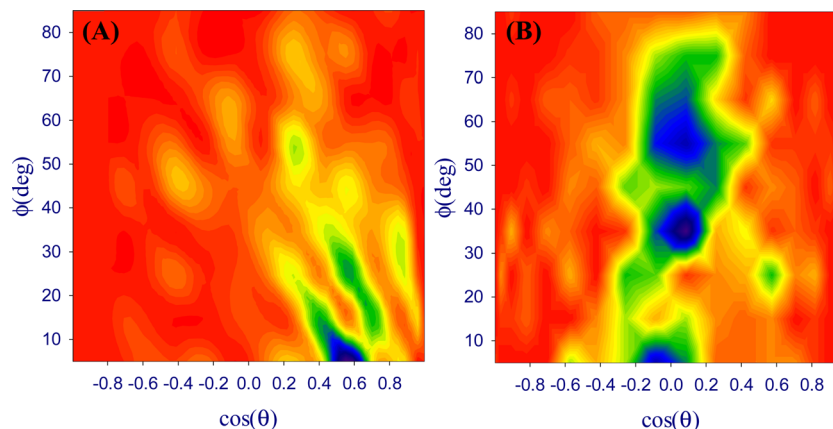


Fig. 7 Bivariate orientation distribution map of DOX molecules at (A) DPPC/water and (B) octanol/water interfaces.

In the case of octanol/DOX/water, the RDFs show interactions between H atoms of DOX and O atoms of octanol (Fig. S24, ESI†). The $H_4 \cdots O(\text{oct})$ and $H_{11} \cdots O(\text{oct})$ interactions have short-range distances at 1.45 Å and 1.67 Å, respectively, and high probabilities. The shortest correlation between O atoms of DOX and H atoms of octanol is found for $O_6 \cdots H(\text{oct})$ at 1.67 Å. The probability of all these peaks is low. This means that the probability of finding O atoms of DOX close to octanol H atoms is generally low, including those (O_9 and O_{10}) in the hydrophobic part.

The peaks between $H_4 \cdots OW$, $H_{11} \cdots OW$, $O_4 \cdots HW$, and $O_{11} \cdots HW$ are all located at around 1.67 Å and show high probabilities compared to other interactions (Fig. S25, ESI†). Interestingly, while the shapes of the RDFs resemble typical $H \cdots O g(r)$, the intensity of the peaks indicates that the nature of forces responsible for the hydrogen bonding is different.

The equilibration of the DOX/octanol/water and DOX/DPPC/water systems was evaluated by investigating the total energies and RMSD. We observed that both the total energies and RMSD remained stable throughout the simulation period (see Fig. S26, ESI†).

Bivariate orientation analysis. The most probable orientation of the DOX molecule is at the DPPC/water interface with $0.45 < \cos \theta < 0.65$ and $0^\circ < \Phi < 15^\circ$ (Fig. 7A). The most probable regions for the octanol/water interface are centred at $-0.2 < \cos \theta < 0.1$ with $0^\circ < \Phi < 10^\circ$, $-0.1 < \cos \theta < 0.2$ with

$30^\circ < \Phi < 40^\circ$, and $-0.1 < \cos \theta < 0.2$ with $50^\circ < \Phi < 70^\circ$ (Fig. 7B).

This is in line with the hydrophilic aminoglycoside (oxane) part of the DOX molecule being in contact with the DPPC phase, while the anthracycline part points towards the aqueous phase (Fig. 8A). In contrast to this, the anthracycline part of the DOX molecule is oriented almost parallel to the octanol/water interface (Fig. 8B) as can be expected from the hydrophobic character of this part. Thus, the potential penetration of DOX into the interface starts with very different interactions. In future work, we will try to model such a penetration process.

Deuterium order parameters. The $-S^{\text{CD}}$ value is a common metric to characterise bilayer fluidity (*i.e.*, ordered, where the $-S^{\text{CD}}$ is closer to 0.5, *versus* disordered, where the $-S^{\text{CD}}$ is < 0.25). Our results for the pure membrane as the control experiment agree with previous experimental and simulation results.^{92–97}

When plotting the averaged $-S^{\text{CD}}$ values as a function of the C atoms along the lipid tails of DPPC (Fig. S27, ESI†) higher values were observed for both $-\text{CH}_2-$ chains along the lipid tails in line with a higher ordering through van der Waals interactions in the presence of DOX.

Surface area per lipid (APL). For the DOX/DPPC system, the APLs of the top and bottom layers of the DPPC bilayer were calculated for the start ($t = 0$ ns) and the end of the simulation time ($t = 50$ ns). The calculated average of APLs for pure DPPC

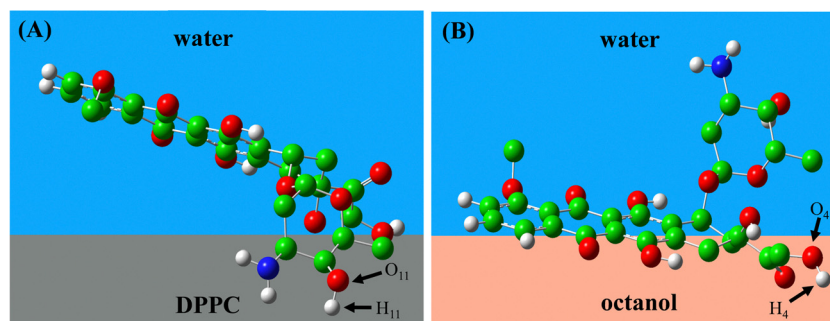


Fig. 8 Schematic representation of the structure of DOX at (A) DPPC/water, (B) octanol/water interfaces.



(control) is 0.614 nm^2 is in good agreement with experimental and previous simulation results.^{94–97} The calculated average APLs in the presence of DOX decreased 0.589 nm^2 at the end of the simulation, which is attributed to more dense packing of DPPC in the presence of DOX.

Average bilayer thickness. The thickness of the control system is 3.770 nm and is in good agreement with experimental results of 3.78 nm at 323 K .⁹⁷ The average bilayer thickness for DPPC bilayer is 3.867 nm^2 at the end of the simulation.

4. Conclusions

Density functional theory (DFT) calculations and molecular dynamics (MD) simulations were carried out to examine the aggregation of doxorubicin (DOX) molecules in the solvents water, dimethyl sulfoxide (DMSO), ethanol (EtOH), and *N,N*-dimethylformamide (DMF). $\text{DOX} \cdots \text{DOX}$ and $\text{DOX} \cdots \text{solvent}$ interactions are the fundamental interactions that drive the aggregation of this important anti-cancer drug. MD-calculated diffusion coefficients for DOX decrease along the series water > DMF > EtOH > DMSO (0.101×10^{-9} , 0.047×10^{-9} , 0.025×10^{-9} , and $0.007 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$, respectively) and the dipole moment of the DOX molecule is more significant in solution (~ 6.2 Debye) compared with the gas phase (5.7 Debye) corresponding to stronger intermolecular $\text{DOX} \cdots \text{DOX}$ interactions. On the other hand, the DFT-PCM approach produced almost the same values for the dipole moments and solvation energies for the different solvents and is thus unsuitable to give detailed insight. The MD simulations show an increasing degree of aggregation in water < DMF < EtOH < DMSO consistent with the diffusion coefficients. The aggregation of DOX molecules mainly occurs through the hydroxy ketone side chain in the unsaturated ring D in the anthracycline moiety, and the aminoglycoside ring (oxane) rather than through its quinone part in the unsaturated rings A to C of the anthracycline moiety. Also, the average number of hydrogen bonds between DOX molecules decreased from water (37.84) to DMSO (24.77), in line with the assumption that aggregates in water are formed mainly through hydrogen bonding, while π -stacking interactions make the predominant contribution to aggregation in DMSO and the other organic solvents as can be seen from intense $\text{DOX} \cdots \text{DOX}$ radial density functions (RDFs). The calculated diffusion coefficient of DOX in water is much higher than in the other studied solvents. As expected, with increasing size of the aggregates, their diffusion coefficients decrease. The interactions leading to the aggregates are quite complex. The most dominant RDFs have short-range peaks of narrow width, indicating that $\text{DOX} \cdots \text{solvent}$ interactions are strong and of low dynamics. While $\text{DOX} \cdots \text{water}$ interactions resemble typical solvation shell models of the liquid state, the correlations between DOX and other solvents (DMSO, EtOH, and DMF) show solid-like features. The hydrogen bonds between DOX and the solvent molecules were studied further at DPPC/water and octanol/water interfaces by correlation functions. Also, here, a rapid formation of DOX aggregates was found. The

DOX molecules approach the DPPC (octanol) side of the interface as small amorphous aggregates. The simulation of the migration of DOX molecules and aggregates, together with the assessment of the orientation of single DOX molecules at the DPPC/water interface, showed that the hydrophilic hydroxy ketone site and the aminoglycoside ring of DOX bind to the outer DPPC surface where the polar end-groups of DPPC are located when equilibration is reached. In contrast, DOX aligns its hydrophobic anthracycline core parallel to the octanol/water interface and points with its hydrophilic parts into the water phase.

As the lipid membrane permeability of drug molecules depends on the extent of their aggregation, the aggregation of DOX can influence its biological activity. Our very detailed description of the dynamics of DOX molecules in different solvents and at the DPPC/water and octanol/water interfaces, including the assessment of the extent of aggregation, the driving forces of the aggregation, the time-course of the aggregation and the binding to the interfaces, as well as the molecular orientation of the DOX molecules at the interfaces through the determination of bivariate orientation distributions are the first that has been published so far. It shows that both statistical MD simulations and views on single molecules are helpful in understanding the dynamics and interactions in such systems. We are confident that this methodology is also applicable to other important drug molecules. Further, from this point we can now start studying the aggregation of DOX in solvent mixtures and the penetration of DOX (or other molecules) through membranes (two interfaces). The methodology might also allow designing new drug molecules with improved solvation/aggregation/penetration properties.

Author contributions

Conceptualisation: S. S. F., A. R. Z. and A. K.; methodology: S. S. F., A. R. Z.; investigation: S. S. F.; resources: A. R. Z; data curation: S. S. F. and A. R. Z; visualisation: S. S. F. and A. K.; supervision and project administration: A. R. Z; manuscript original draft: S. S. F.; manuscript editing: A. R. Z and A. K. All authors have given approval to the final version of the manuscript.

Conflicts of interest

The authors declare that they have no conflict of interest.

Acknowledgements

The authors are indebted to the research council of Shiraz University for the financial supports. We also thank "Iran Science Elites Federation" for support of this work. A. K. acknowledges the German Academic Exchange Service (DAAD) KD_0001052598-2 for a short-time guest lectureship at the Shiraz University.



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