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# AR-XRF measurements and data treatment for the evaluation of gilding samples of cultural heritage

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Angle resolved XRF (AR-XRF) is an analytical technique in which the sample is analyzed at different angles of detection or irradiation. The change in the geometry affects the intensity of the elemental characteristic emission from the sample, which depends on the in-depth distribution of analyte. In this paper, for the first time, we apply AR-XRF to gilding samples that mime real cultural heritage ones. The samples analysed, also investigated with scanning electron microscopy, present small lateral inhomogeneities and a rough surface. Moreover, we illustrate how to analyze AR-XRF data, from the collection of XRF spectra to the creation of AR-XRF profiles and the fitting of data using Sherman's equation. Using AR-XRF combined with the fundamental parameters method we calculate the massive thickness of laboratory made layered samples.

# Introduction

X-ray fluorescence (XRF) is a well-established technique in many research fields, thanks to its capability of performing *in situ* non-invasive analyses and obtaining the elemental composition of the sample with fast measurements. Most of the XRF hand-held instruments can detect elements from aluminum to uranium, allowing the analysis of a great variety of samples, whereas in sophisticated installation and under special conditions it is also possible to detect elements down to sodium. If the sample is flat, optically smooth for the incoming radiation and homogeneous, it is also possible to perform quantitative analysis. However, samples related to the fields of materials science and cultural heritage do not always satisfy these requirements.

The characterization of objects of cultural heritage (CH) includes many kinds of artifacts, which are usually valuable, rare, or unique due to their history and great cultural value. The analysis and the study of these artifacts are also quite difficult as they are seldom homogeneous samples; they can be made up of multiple layers (*e.g.*, paintings, surface painted or decorated ceramics, gilded artefacts) or they may have undergone multiple processes producing alterations to their surfaces.<sup>2-12</sup> The fragility and the value of these artefacts usually require the expert to exclude invasive and destructive techniques, favoring the use of portable and non-invasive ones.<sup>13-21</sup> That is why nowadays, the scientific community is putting a lot of effort in

developing new set-ups to exploit the capability of these techniques to obtain a more complete and reliable dataset.<sup>22,23</sup>

Many methods can be employed non-invasively for the analysis of layered samples; the best results can be achieved with IBA (Ion Beam Analysis) techniques, like Rutherford backscattering spectroscopy (RBS), usually coupled with particle induced X-ray emission (PIXE). RBS allows the characterization of the layered structure of a sample by evaluating the energy distribution of backscattered particles, which depends on the mass of the hit nucleus, on the scattering angle and on the thickness of each layer.<sup>24-28</sup> Besides RBS, since the volume of interaction of incident particles is limited to the surface of the sample and is related to their energy, irradiation at different energies (the so-called "differential PIXE"<sup>29</sup>) can be applied to discriminate among the different contributions of layers in the sample. The drawback of these techniques is the need to access a particle accelerator, which is not straightforward for many laboratories.

That is why different methods have been proposed for the analysis of layered samples using X-ray fluorescence, the most common of which being the  $K_{\alpha}/K_{\beta}$  or  $L_{\alpha}/L_{\beta}$  ratio,  $^{30-33}$  often applied for the analysis of metal artifacts, the use of Monte-Carlo simulations  $^{34-37}$  or the use of confocal-XRF that can be employed also to perform 3D scanning.  $^{38-42}$  Thin layers are analyzed using either grazing emission-XRF (GE-XRF), grazing incident-XRF (GI-XRF) or X-ray reflectivity (XRR). These techniques exploit the external refraction of X-rays and the production of a standing-wave field to estimate the composition and thickness of surface layers.  $^{43-45}$ 

In 2001 Fiorini *et al.*<sup>46</sup> proposed a different method to analyze layered samples. They evaluated the intensity of fluorescence radiation in dependence of the detection angle, so called angle resolved-XRF (AR-XRF).<sup>47,48</sup> Different from the GE-

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XRF technique, the angle of detection was not limited to a small range around the critical angle, but to a broader range, from 0° to 90°. Focusing on laboratory and industrial samples, they showed that for simple bi-layer samples of known density it is possible to retrieve the thickness of the top layer, by measuring the intensity of an element present only in the underlying bulk layer.

In this work, we evaluate the use of AR-XRF for the analysis of laboratory-made gilded samples that better represent the issues often found in the CH field, such as small lateral inhomogeneity and roughness of the surface.

Additionally, we here propose an algorithm for AR-XRF data analysis that considers a data pre-treatment and exploits the fundamental parameter (FP) method to retrieve the fitting functions of the profiles. Finally, we compare the calculation of the thickness of the top-layer using its self-attenuation and the attenuation of the bulk signal.

# Theory

#### Sherman's equation

Intensity, the sample structure and composition, and the experimental conditions can be summarized by Sherman's equation<sup>49,50</sup> here expressed for a polychromatic source:

$$I_{q,l} = G_q P_{q,l} w_q \int_{S_q}^{E_M} \frac{I_0(E) \tau_q(E)}{\mu_s^*(E, E_q)}$$

$$(1 - \exp[-\rho h \mu_s^*(E, E_q)]) s(q) dE$$
(1)

where  $P_{q,l}$  is the probability of X-ray production for a given fluorescence line of the element q,  $w_q$  is the concentration of the element q in the sample,  $S_q$  is the energy of the photoelectric absorption edge for the given group of lines of element q,  $E_{\rm M}$  is the maximum energy of the source,  $I_0(E)$  is the source intensity distribution by energies  $E, \tau_q(E)$  is the photoelectric absorption cross-section,  $\rho$  is the sample density, h is the sample thickness and s(q) is the factor of second enhancement. G is the geometrical factor:

$$G_q = \frac{\Delta \Omega}{4\pi} \frac{\eta(E_q)}{\sin \phi} \tag{2}$$

with  $\Delta\Omega$  being the solid angle of the detector,  $\phi$  the angle of excitation and  $\eta$  the efficiency of the detector.  $\mu_s^*(E,E_q)$  is the total attenuation of the sample at the source and fluorescence energy:

$$\mu_{s}^{*}(E, E_{q}) = \frac{\mu_{s}(E)}{\sin \phi} + \frac{\mu_{s}(E_{q})}{\sin \theta}$$
 (3)

where  $\theta$  is the angle of detection. The sine functions at the denominator in eqn (3) account for the path length of the source/fluorescence radiation inside the sample.

This equation is valid if the sample is thick, homogeneous and with a flat surface, and if the source irradiates the sample with a parallel beam under angle  $\phi$ .

On many occasions, especially in the cultural heritage field, we deal with samples with heterogeneous distribution of elements

within the effectively probed volume; they can be layered or have a variable concentration of some elements. In that case, a more general equation describes the fluorescence intensity:

$$I_q = G_q P_q \int_{S_q}^{E_{\rm M}} \int_0^h I_0(E) \tau_q(E) \rho(x) w_q(x)$$

$$\exp\left[-\rho(x) x \mu_s^* (E, E_q)\right] s(q, x) \, \mathrm{d}x \mathrm{d}E$$
(4)

in which x is the analyzed depth;  $\rho(x)$  and  $w_q(x)$  are respectively the sample density and the element concentration at the depth x.

If the sample is made of N different homogeneous layers of thickness  $h_i$  (i = 1,...,N), and if the element of interest is present only in the m<sup>th</sup> layer, from eqn (1) we have that:

$$I_{m,q} = G_q P_q w_{m,q} \int_{S_q}^{E_M} I_0(E) \tau_q(E) \frac{\left(1 - \exp\left[-\rho_m h_m \mu_m^*(E, E_q)\right]\right)}{\mu_m^*(E, E_q)}$$

$$\exp\left[-\sum_{j=1}^{m-1} \rho_j h_j \mu_j^*(E, E_q)\right] dE$$
(5)

for simplicity, we have assumed here that no secondary enhancement occurs, as it highly increases the complexity of calculations.<sup>51</sup>

If the same element is present in k layers, we simply get:

$$I_q = \sum_{m=1}^k I_{m,q} \tag{6}$$

As we can see from eqn (5) and (6) the fluorescence intensity detected is highly influenced not only by the concentration of the element q, but also by its position in the sample. Therefore, the thickness effectively probed in the experiment depends on all the above cited parameters and on the energy of the measured fluorescent radiation. However, the investigated volume can be changed by tuning different angles for excitation and detection. The penetration depth  $\Lambda_{\rm P}$  of the source radiation and the 'escape' depth  $\Lambda_{\rm E}(E_i)$  of the fluorescence (*i.e.*, the depth for which the radiation is attenuated by a factor 1/e) can both be calculated considering the attenuation by the matrix as follows:

$$\Lambda_{\rm P}(E_0) = \frac{\sin \phi}{\rho \mu(E_0)} \tag{7a}$$

$$\Lambda_{\rm E}(E_i) = \frac{\sin \theta}{\rho \mu(E_i)} \tag{7b}$$

in which  $E_0$  is the source energy and  $E_i$  is the fluorescence energy. This relationship is valid only for angles above the critical angle of external total reflection,  $\alpha_c$ , for which the reflectivity of the surface is negligible. For values near  $\alpha_c$  we must also consider the Fresnel laws<sup>52</sup> and that the penetration depth  $\Lambda_P^F(E)$  is highly reduced by the reflectivity of the surface; in this case we can calculate it as:

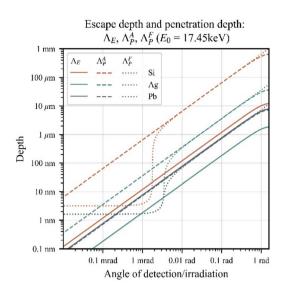


Fig. 1 Escape and penetration depths in the range 0-2 rad for silicon, silver, and lead considered in a matrix made of the pure elements. The solid line is the escape depth  $(\varLambda_E)$  of the element fluorescence line (calculated at the  $K_\alpha$  energy for silicon and at the  $L_\alpha$  energy for silver and lead), the dashed line is the penetration depth of the source radiation (at the Mo  $K_\alpha$  energy) considering only the attenuation  $(\varLambda_P^\Delta)$  and the dotted line is the source penetration depth considering the reflectivity of the material  $(\varLambda_P^E)$ .

$$A_{\rm P}^{\rm f}(E) = \frac{hc}{4\pi E} \frac{1}{{\rm Im}\left(\sqrt{\alpha^2 - \alpha_c^2 + 2{\rm i}\beta}\right)} \tag{8}$$

in which  $\alpha$  is the irradiation/detection angle and  $\mathrm{i}\beta$  is the imaginary part of the refractive index:  $n=1-\delta+\mathrm{i}\beta$ . The penetration and escape depths, calculated in these ways, are exemplified in Fig. 1.

#### AR-XRF profiles

Angle-resolved XRF (AR-XRF) is based on the collection of XRF spectra at different irradiation and/or detection angles. The signal comes from different volumes inside the sample and is affected by the attenuation processes according to the variation of the path length of the source/fluorescence radiation. To perform AR-XRF we can choose to tilt the source, the detector, or the sample around the spectrometer focus: in the latter case both the angles of irradiation and detection change. The detector and/or the source must be well collimated to ensure a small divergence in the excitation and detection angles, thus ensuring that the signal collected comes only from a selected volume of the sample at a given angle.

The intensity of each element plotted *versus* the angle of detection/irradiation can give a hint about its distribution; ARXRF in this sense can help us get information about the depth distribution of the elements in the sample.

As an example, we can consider a coin with a composition of 60% silver and 40% copper, covered with a surface layer composed of 80% of silver and 20% of copper. By changing the thickness of the surface layer, we get different intensity profiles

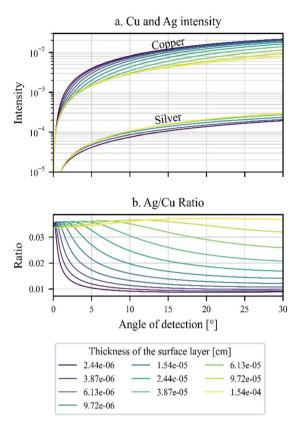


Fig. 2 (a) Silver and copper intensities calculated for a coin sample with a bulk composition of 60% silver and a surface layer of 80% silver, the plots represent different profiles calculated for different thicknesses of the surface layer. (b) Ratio of the silver and copper intensity.

for the two elements (Fig. 2a), which can also be evaluated by plotting the Ag/Cu ratio (Fig. 2b).

Choosing the fluorescence line. Let's suppose a sample made of N layers (where the index 1 represents the surface layer and N is the deepest layer), and that we want to measure the mass thickness of the i<sup>th</sup> layer by means of AR-XRF. In this case it is possible to exploit both the self-attenuation in the i layer, or the attenuation of the fluorescence signal coming from a layer j with j > i. The choice between these two approaches must consider the element distribution and the fluorescence line used to create the AR-XRF profile.

If we want to use the self-attenuation, we must ensure that the element is only found in the layer, so that the variation of its fluorescence intensity is strictly related to the path length variation inside the layer. Besides, the fluorescence line must have an energy such that the layer is not considered of infinite thickness, otherwise no path length variation will occur during the analysis.

If we want to employ the attenuation phenomenon, the chosen element must be present only in a layer j > i. If the fluorescence line has an energy such that the emitting layer (j) is considered of infinite thickness, then the self-attenuation of j depends only on the effective attenuation coefficient of layer j and the path length and composition of the covering layers.

Among all the fluorescence lines that satisfy these requirements the ones suited to obtain the AR-XRF profiles are the Paper **JAAS** 

more intense lines and those whose intensity has a greater variation when the thickness changes.53

### Materials and methods

#### Samples

The samples analyzed in this study are a set of metal plates covered by golden foils (Table 1). The set is composed of a copper plate of 100 µm thickness covered with a layer composed of a stacking of lemon-gold foils (an alloy made of 75% Au and 25% Ag, from now referred to as 'golden foils', each one having a nominal thickness of 0.15 μm), with an increasing thickness (3, 5 and 7 foils). The top layers have been created by gluing the gold foils over the copper plate using an alcoholic gilding glue. As the gilding glue is made of light elements and is very thin, its attenuation of the copper and silver  $K_{\alpha}$ , and gold  $L_{\alpha}$ emission is negligible, and no further corrections will be considered.

#### Instrumentation

AR-XRF. AR-XRF analyses have been carried out using a multipurpose micro-beam scanning XRF spectrometer developed in the Nuclear Science and Instrumentation Laboratories (NSIL) of the International Atomic Energy Agency (IAEA), Seibersdorf, Austria.<sup>54</sup> The spectrometer can perform different kinds of measurement, as it is equipped with two SDDs (silicon drift detectors) and a movable sample-holder, so it can be employed to perform both 2D mapping and confocal-XRF experiments (3D mapping).

The experiments have been carried out using a diffraction Xray tube with a Mo anode (3 kW), set with a voltage of 45 kV and an intensity of 40 mA. The source is equipped with a monolithic glass polycapillary lens (X-ray Optical System, Inc.), mounted on a holder that allows the beam to be focused through translation and tilting. The size of the irradiated spot at the focus distance is approximately 25  $\mu$ m for Mo K<sub> $\alpha$ </sub> X-rays.

The SDD employed has an active area of 10 mm<sup>2</sup>, a crystal of 450 μm thickness and an 8 μm Be window; its energy resolution is 135 eV at 5.9 keV. The detector is positioned at 45° over the xzplane (Fig. 3). For AR-XRF analysis this detector was collimated with a stainless-steel vertical slit (type 1.4310) the distance between the sample and the slit is 17 mm. The slit has an opening of 60 µm and is 5 mm in height, with a thickness of 600 μm. It is placed vertically in front of the detector; thus, the mean angular resolution is 5 mrad (a minimum of 4.5 mrad at the top and a maximum of 5.6 mrad at the bottom of the slit). The effective probed volume of the sample is 25  $\times$  85  $\mu$ m. Using such a slit instead of a cylindrical collimator enhances the

Table 1 Description of the analyzed sample

| Sample | Description                              |  |
|--------|--|--|
| Au-3   | 100 $\mu m$ of copper and 3 golden foils |  |
| Au-5   | 100 μm of copper and 5 golden foils      |  |
| Au-7   | 100 μm of copper and 7 golden foils      |  |

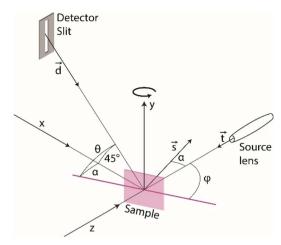


Fig. 3 Geometry of the multipurpose XRF spectrometer, the sample can be moved along axes x, y and z and tilted around the y-axis; the slit is also shown in front of the detector

fluorescence signal as the radiation spread along the axis of rotation is collected.

The samples are mounted on a stage that provides a 3D movement (x, y, z) and a rotation  $\alpha$  around the vertical axis (y-1)axis). To ensure a better alignment of the sample in the AR-XRF analyses, we developed a sample holder that allows us to move manually the sample independently to the stage and to align its surface to the rotation axis.

To obtain the AR-XRF profiles, the spectra were collected for 2 s, performing a rotation of the sample holder of 50° (namely from  $-5^{\circ}$  to  $45^{\circ}$ ) with a rotation resolution of  $0.4^{\circ}$  (a total of 126 spectra). For each sample we performed 3 angular scans in different spots.

SEM. SEM images have been acquired in BSE mode with a Tescan VEGA TS5136XM scanning electron microscope (HV = 20 kV,  $I_{\rm em}=54~\mu A$ ). Before the analysis the samples were embedded in resin and the cross-sections were polished.

#### Data treatment

All the data treatment and analysis have been performed using several Python (version 3.7.11)55 homemade scripts appositely created. The data treatment and analysis can be divided into four steps:

- (1) Processing the XRF spectra and using the elemental intensities to create the AR-XRF profiles
  - (2) Creation of the fitting functions for the AR-XRF profiles
  - (3) Fitting of the profiles
  - (4) Calculation of the mass thickness of the chosen layer

Note that the scripts for the steps 3 and 4 use different equations for considering self-attenuation in the bulk layer and/ or the attenuation in upper layers.

Creation of the AR-XRF profiles. The peak areas from the measured XRF spectra need to be used to create the AR-XRF profiles. These profiles are curves in which the intensity of each element characteristic line depends on the angle of measurement. During a complete measurement (i.e., the collection of all the XRF spectra at different angles), we get as

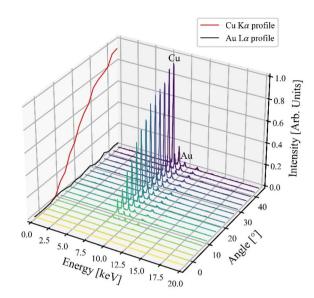


Fig. 4 Example of an AR-XRF profile collected from a series of XRF spectra, for representation purposes the copper and gold profile are here created considering only the peak maximum intensity of the selected fluorescence lines.

many profiles as the identified elements, and the number of points of each profile equal to the number of the XRF spectra (Fig. 4).

To retrieve the intensity from the spectra we can: (a) sum the intensity of the channels of selected ROIs (Regions of Interest) or (b) fit the peaks with appropriate peak shape models. Both these methods have advantages and disadvantages; fitting is the better choice when there is superimposition of fluorescence lines, as we cannot distinguish the intensity of the different elements. However, for low counting statistics, which is the case of the spectra collected at a short measurement time near grazing angles, the program may return inaccurate or nonphysical valid values (like negative values) or may not be able to fit the spectrum at all (also depending on the accuracy of the selected model for the spectrum background, and its intensity). In this case, especially if the background is flat and has a low intensity, the selection of ROIs may be the better choice, and it is the one employed in this application.

Due to possible misalignments of the sample-holder system, a correction of the zero of the angular scanning may be needed. At detection angles below the critical angle  $\theta = \alpha_c$  no signal of the top layer can be detected. As the signal coming from the surface of the sample starts rising at the critical angle, it can be used as the zero of the scanning. The AR-profile of an element present in the top-surface layer can then be used to correct the zero of each angular scanning.

Creation of the fitting functions. The fitting function of the intensity of an element q present in the bulk or in a layer of noninfinite thickness has been obtained starting from Sherman's equation for a monochromatic source. In this case we take into account both the self-attenuation within the considered layer and the attenuation caused by the covering layers:

$$I_{m,q} = \frac{\pi}{\sin \phi} \frac{1 - \exp\left[-\rho_m h_m \left(\frac{\mu_m(E_0)}{\sin \phi} + \frac{\mu_m(E_q)}{\sin \theta}\right)\right]}{\frac{\mu_m(E)}{\sin \phi} + \frac{\mu_m(E_q)}{\sin \theta}}$$

$$\exp\left[-\sum_{j=0}^{n-1} h_j \rho_j \left(\frac{\mu_j(E_0)}{\sin \phi} + \frac{\mu_j(E_q)}{\sin \theta}\right)\right]$$
(9)

where.

$$\pi_{q,E_0} = rac{\Delta arOmega}{4\pi} \etaig(E_qig) \; G_q P_q w_q I_0(E_0) au_q(E_0)$$

To relate the angle of rotation to the angles of detection and irradiation it's useful to rewrite the geometry in vectorial form. From Fig. 3 the vector of the source,  $\vec{t}$ , lays on the z-axis thus  $\vec{t} =$ (0,0,-1), the vector of the detector can be written as  $\vec{d} = (1/2,-1/2)$ 2,0), and the vector perpendicular to the sample surface, which represents its rotation, is  $\vec{s} = (\sin \alpha, 0, \cos \alpha)$ . We can then calculate the angle of irradiation as the angle between the plane of the sample surface and the source vector; similarly, we can calculate the angle of detection.

Remembering that, being  $\vec{\pi}$  the vector perpendicular at a plane  $\pi$ , and being l the vector defining the direction of a line l, the angle between the plane and the line can be calculated as:

$$\beta = \arcsin\left(\frac{\left|\overrightarrow{\pi} \cdot \overrightarrow{l}\right|}{\left\|\overrightarrow{\pi}\right\| \left\|\overrightarrow{l}\right\|}\right) \tag{10}$$

In our case:

$$\sin \phi = \sin\left(\frac{\pi}{2} - \alpha\right) = \cos \alpha \tag{11}$$

$$\sin \theta = \vec{d} \cdot \vec{s} = \frac{\sin \alpha}{\sqrt{2}} \tag{12}$$

Thus, we can substitute these values in eqn (9) obtaining:

$$I_{m,q} = \frac{\pi}{\cos \alpha} \frac{1 - \exp\left[-\rho_m h_m \left(\frac{\mu_m(E)}{\cos \alpha} + \frac{\sqrt{2} \mu_m (E_q)}{\sin \alpha}\right)\right]}{\frac{\mu_m(E)}{\cos \alpha} + \frac{\sqrt{2} \mu_m (E_q)}{\sin \alpha}}$$

$$\exp\left[-\sum_{j=0}^{n-1} h_j \rho_j \left(\frac{\mu_j(E)}{\cos \alpha} + \frac{\sqrt{2} \mu_j (E_q)}{\sin \alpha}\right)\right]$$
(13)

For a bilayer sample, we can rewrite this equation as:

$$I_{m,q} = \frac{\pi}{\cos \alpha} \frac{1 - \exp\left[-t_m \left(\frac{\mu_m(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_m(E_q)}{\sin \alpha}\right)\right]}{\frac{\mu_m(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_m(E_q)}{\sin \alpha}}$$

$$\exp\left[-t_i \left(\frac{\mu_j(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_j(E_q)}{\sin \alpha}\right)\right]$$
(14)

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For the analysis of our samples, we can then distinguish two different cases: (I) the considered element is gold (or silver), present only in the golden top layer, whose intensity is affected only by self-attenuation, (II) the considered element is copper, contained only in the bulk of infinite thickness, affected by the attenuation of the golden top layer.

In the first case then we can rewrite eqn (14) as:

$$I_{\text{Au,TL}} = \frac{\pi_{\text{Au,E}}}{\cos \alpha} \frac{1 - \exp\left[-t_{\text{TL}} \left(\frac{\mu_{\text{TL}}(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_{\text{TL}}(E_{\text{Au}})}{\sin \alpha}\right)\right]}{\frac{\mu_{\text{TL}}(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_{\text{TL}}(E_{\text{Au}})}{\sin \alpha}}$$
(15)

In the second case we rewrite eqn (14) as:

$$I_{\text{Cu,BL}} = \frac{\pi_{\text{Cu,E}}}{\cos \alpha} \frac{\exp\left[-t_{\text{TL}} \left(\frac{\mu_{\text{TL}}(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_{\text{TL}}(E_{\text{Cu}})}{\sin \alpha}\right)\right]}{\frac{\mu_{\text{BL}}(E)}{\cos \alpha} + \frac{\sqrt{2}\mu_{\text{BL}}(E_{\text{Cu}})}{\sin \alpha}}\right]$$
(16)

where the subscripts TL and BL refer respectively to the toplayer and the bulk. Knowing the composition of the bulk and the top layer, we can substitute the attenuation coefficients with their corresponding values, reported in Table 2. Thus, in eqn (15) and (16) we have only three unknown parameters:  $\Pi_{Au,E_1}$  $\Pi_{\text{Cu.E}}$  and  $t_{\text{TL}}$ .

In principle, in the case described in this paper, these three parameters can be obtained by measuring different samples of the pure elements involved: (I) a set of samples made of gold plates of different thicknesses, if we just want to exploit the self-attenuation of gold; (II) a set of samples made of a plate of copper covered with gold layers of different thicknesses, if we want to exploit both attenuation and self-attenuation. By measuring the intensity of the analytes of standard samples it is possible to create a calibration curve, with which we can then trace back the thickness of the unknown sample.56 This method is not time-consuming, as we just need to perform one measurement at a fixed geometry (or a few measurements to have a better statistic) for each calibration sample and for the unknown sample, without performing any scanning. The drawback of this approach is its applicability only if there is a set of certified samples, like in the case of metal bilayers where the composition of each layer is known. AR-XRF instead,

Table 2 Parameters used for the fitting of copper and gold profiles,  $\Pi_{Cu}$  and  $\Pi_{TL}$  represent respectively the parameter  $\Pi$  for fitting of the copper and top-layer profiles; for the background, the parameter  $y_0$ represents the intensity of the point at the angle 0

| Par.  | Starting value   | Val. min   | Val. max   |
|---|--|--|--|
| $\Pi_{\text{Cu}}$ $\Pi_{\text{TL}}$ $t_{\text{TL}}$ $b$ $\mu_{\text{B}}(E_{\text{Cu}})$ $\mu_{\text{TL}}(E_{\text{O}})$ | $10^6$ $10^5$ $5 \times 10^{-6}$ cm $0$ $49.052$ cm <sup>2</sup> g <sup>-1</sup> $51.661$ cm <sup>2</sup> g <sup>-1</sup> $90.192$ cm <sup>2</sup> g <sup>-1</sup> $206.172$ cm <sup>2</sup> g <sup>-1</sup> | 100<br>100<br>$1 \times 10^{-6}$ cm<br>0<br>Fixed value<br>Fixed value<br>Fixed value<br>Fixed value | $   \begin{array}{c}     10^7 \\     3 \times 10^6 \\     2 \times 10^{-2} \text{ cm} \\     100 + y_0   \end{array} $ |
| $\mu_{\mathrm{TL}}(E_{\mathrm{Au}})$  | 127.846 cm <sup>2</sup> g <sup>-1</sup>  | Fixed value  |  |

allows the same information to be obtained in a wider range of samples, as for the thickness of the decoration in glazed ceramics. In fact, if the layer composition can be estimated, using the FPalgorithm makes it possible to find the best estimation and then the thickness of the top-layer.

Fitting process. The fitting process consists of the application of eqn (15) and (16) to evaluate three unknown parameters, and has been performed using the package *lmfit* (version 1.0.2).<sup>57</sup>

The fitting of the profiles characterizing the golden top layer and the one characterizing the bulk is carried out differently due to their shapes.

For the bulk element, i.e., copper, we have divided the fitting process in two steps: (I) fitting of the background in a region with no copper signal, (II) fitting of the AR-profile as the sum of two functions:

$$f(\alpha) = p(\alpha) + b(\alpha) \tag{17}$$

where  $b(\alpha)$  is the background calculated in the first step and  $p(\alpha)$ is the AR-XRF profile (eqn (16)).

For the top-layer element, gold, we just fitted the profile with a unique function:

$$f(\alpha) = I_{\text{Au TL}} + b \tag{18}$$

where  $I_{Au,TL}$  is calculated from eqn (15) and b is the background.

The choice to proceed with two slightly different methods is motivated by the fact that for the bulk signal (i.e., copper) we could evaluate the background of the profile with a higher precision choosing a region without the copper fluorescence signal.

To proceed with the fitting, we have weighted each intensity with its uncertainty. By applying the package *lmfit* we could also easily evaluate the uncertainty of the fit with different values of sigma. For all the processes we considered the uncertainty with a  $3\sigma$  confidence interval.<sup>57,58</sup>

Calculation of the mass-thickness of the golden layer. AR-XRF profiles depend on the concentration of the elements in the different layers of the sample, and on the layer thickness as in this case the concentration is known we can compare the intensity of the analytes with their expected intensity calculated with the fundamental parameter method (FP method).50 In this case, we used Sherman's equation for polychromatic radiation leaving out enhancement effects (eqn (1)).

The source radiation  $I_0(E)$  has been deconvoluted following the work of Padilla et al. 59 After the normalization, to compensate for the geometric factor, measurement time and source intensity, the profiles obtained from the FP calculations have been compared with the fitted profiles through the reduced  $\chi^2$ method.60 The massive thickness that minimized the reduced  $\chi^2$  has been chosen as the top-layer thickness.

### Results and discussion

#### **Top-layer structure**

Sections of the samples have been analyzed by means of SEM to observe the morphology of the golden layers. Even though the gilding glue employed to glue the golden foils and the copper

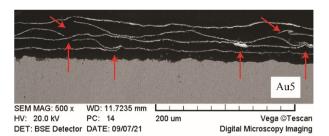


Fig. 5 SEM images of polished sections of the sample Au5, folds and breakings are highlighted by the arrows.

bulk is thin and does not attenuate the fluorescence signal, it does contribute to the total volume of the top-layer.

In this sense, concerning the whole top-layer, we can only retrieve its massive thickness, as we do not know the density nor the amount of gilding glue between the layers. We can, however, retrieve the total thickness of the golden foils inside the top-layer, using the density of the alloy, and then calculate the mean thickness of a single foil. This problem related to the thickness and the density of a gilding has already been discussed by Ager *et al.*<sup>26</sup>

In the SEM images in Fig. 5 it is possible to see the structure of the gold top-layer in the sample Au-5; the golden foils are clearly visible in the backscattered electron images; the glue layer is also visible between them. It can be noticed that the foils are not perfectly flat and in some points are folded or broken, increasing the variability of the structure.

#### Fitting of the profiles

The intensity profiles of copper and gold have been obtained using only the ROI method, as there are no interferents between

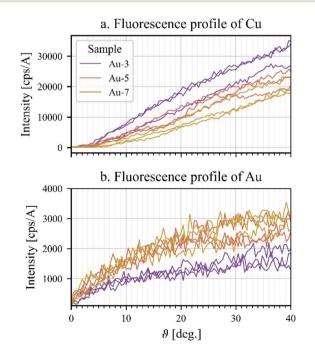


Fig. 6 (a) Fluorescence profile of the copper  $K_{\alpha}$  line *versus* the angle of rotation, (b) profile of the gold  $L_{\alpha}$  line *versus* the angle of rotation.

the two elements. The profiles collected for the different samples are depicted in Fig. 6, where the profiles of scans at three different spots from each of the samples Au-3, Au-5 and Au-7 are presented in different colors. It is evident from this figure that the copper profiles distinguish better the number of layers than the gold profiles (except for spots where one of the foils might be ruptured, see the comment in 4.1), while the copper profiles show a general higher variability in function of the number of layers. The gold profiles of the samples Au-5 and Au-7 are very similar. All the profiles of each element have been fitted with the same parameters, reported in Table 2; moreover, with the package *lmfit*, it is possible to select the maximum and

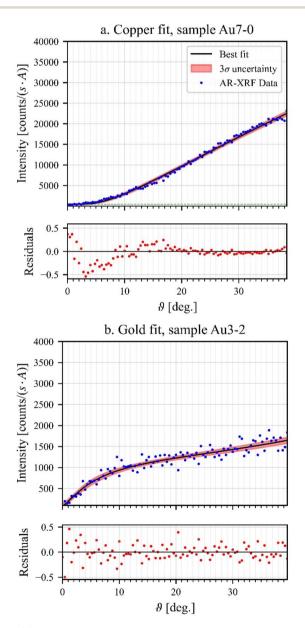


Fig. 7 (a) Fit of a copper profile to a measured spot in sample Au-7, sample with 7 layers of golden foils. In blue the data point of the profile, in black the fit profile and in red the uncertainty of the fit. Below the residuals of the fit; (b), the fit of the gold profile to the data from sample Au-3.

minimum value of a parameter to avoid non-physical values. The boundaries of a parameter are also reported in Table 2. The starting value for the attenuation coefficients of the different layers has been calculated considering the fluorescence radiation and considering the source as monochromatic with the energy of the Mo  $K_{\alpha}$  line (17.45 keV).

To find the best fit the profile intensities have been weighted for their uncertainty, and thus the residuals have been calculated as:

$$r(\alpha) = \frac{y_{\rm F}(\alpha) - y(\alpha)}{\sigma_y(\alpha)} = \frac{y_{\rm F}(\alpha) - y(\alpha)}{\sqrt{y(\alpha)}}$$
(19)

where  $\sigma_{\nu}(\alpha)$  is the uncertainty of the fluorescence profiles.

In Fig. 7a it is possible to see the fit of a copper profile, in blue the original data, in black the fit profile and in red the  $3\sigma$ confidence interval of the fitted data. The fit function well represents the data, as the residuals are mostly limited in a range of  $\pm 0.5$ .

In Fig. 7b it is possible to see the fit of the gold  $L_{\alpha}$  line profile of a spot of the sample Au-3. Note that the fit procedure has also produced good results here, as the residuals lie within a range of  $\pm 0.5$ , even though these data are noisier.

In principle, the value of  $t_{TL}$  could be directly obtained from the fit. However, as this variable presents a high correlation with  $\Pi$  (e.g., 0.908  $\pm$  0.038 for the top layer) we cannot rely on its value.

#### Comparing the fitted data with FP-calculated profiles

To perform the comparison the FP-calculated profiles have been directly calculated at the same angles of the fitted data; to avoid differences in the source intensity or in the geometrical factors (that do not influence the shapes of the profile), both the fitted profiles and the FP-calculated ones have been normalized. In Fig. 8 the calculated FP profiles and the fitted ones for the gold  $L_{\alpha}$  fluorescence line are reported. The  $\chi^2$ -test has been applied on the fitted FP-profile and at the two profiles that represent the boundaries of the  $3\sigma$  confidence interval. In this way we found the mean value and we propagated the same  $3\sigma$  uncertainty on the calculated massive thickness.

#### Calculation of the gold layer thickness

Considering the lateral inhomogeneities shown by the SEM images, intrinsic to the sample production technique, the thicknesses of the top layers measured in the three spots for each sample have been averaged out. The results are in this way representative of the thickness of the whole layer covering the sample. The data, retrieved using the AR-XRF profiles, are shown at the top of Fig. 9. The thicknesses calculated both using the attenuation of the top-layer (profile of copper) and the self-attenuation of the top-layer (profile of gold) are compatible, as they both fall inside the estimated errors. In this sense, the two methods, as expected, give the same results.

Moreover, the relative standard deviation in the estimation of the thickness is always below 20%, proving this technique to be a useful tool to analyse non-invasively gilded samples in the field of CH. Nevertheless we can observe that is not really possible to distinguish the sample Au-3 from the sample Au-5 as the error ranges present a wide intersection. Indeed the thickness range of the sample Au-3 calculated with the selfattenuation mainly overlaps with the thickness range calculated with the same method for the sample Au-5. Differently the spread between samples Au-5 and Au-7 is greater than the  $3\sigma$ standard deviations, and thus they can be well distinguished. The results of the samples Au-3 and Au-5 are not unexpected,

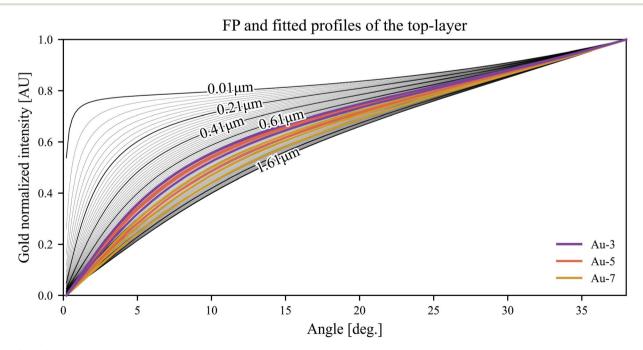


Fig. 8 (Black) FP-profiles; different colour: fitted profiles for the  $L_{\alpha}$  line of gold, each colour representing a sample. The number represents the thickness of the golden layer of the FP-calculated profiles.

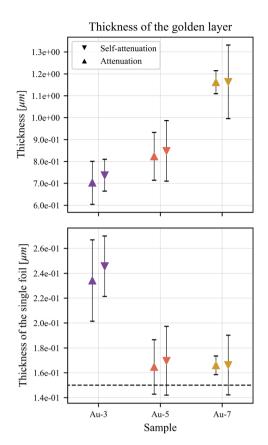


Fig. 9 (Top) Calculated thickness of the golden layer for the three samples, using both attenuation of the top-layer over the bulk signal and self-attenuation of the top-layer. (Bottom) Calculated thickness of the single foil and nominal its nominal value (dashed line).

indeed we can see also in Fig. 6a that the profiles of gold of the two samples overlap.

Finally, we can observe that the spread of the calculated thickness increases with the number of layers; indeed, as the thickness of the top-layer increases, the profiles become more and more similar (see also Fig. 8), consequently the error on the estimation increases.

If, then, we compare the calculated thickness of each sample with the nominal one (dividing each calculated thickness by the nominal number of layers) we can observe that the major discrepancies are observed in the sample Au-3 for which the thickness of each layer is calculated as 0.24  $\mu m$  (Fig. 9 bottom). For the other samples instead, even if there is an over estimation of the mean value, the nominal thickness of the single foil is generally inside the standard deviation of the data.

This general overestimation can be explained by the presence of folding of the golden foil, and by the preparation of the samples. Besides that, the presence of folded foils will mainly affect the sample where fewer foils have been staked, as the addition of 1 foil on the sample Au-3 will increase the total thickness of 33%, while in the sample Au-7 the increase would be of 14%. The difference between the calculated and nominal values, thus, has to be attributed to the sample preparation and not to the analyses.

# Conclusions

AR-XRF is an analytical technique that can be easily implemented in a laboratory: it only requires a system to tilt either the sample, the detector, or the source of the spectrometer to perform an angular scanning of the sample, collecting different XRF spectra. As the intensity of a certain element depends on its position inside the sample, on the sample structure, and on the geometry used during the analysis (path length crossed by the source/fluorescence radiation inside the sample), a change in the latter parameter can be used to retrieve information on the sample structure, to measure the massive thickness of bilayer samples.

This method has been usually applied considering the fluorescence signal coming from the bulk and the attenuation of the top-layer; here we evaluated the possibility to also employ the top layer self-attenuation. Moreover, this method has been tested on samples that exhibit features commonly found in CH related samples, like lateral heterogeneities and a rough surface, here exemplified by three samples of copper covered with 3, 5, and 7 lemon-gold foils.

AR-XRF profiles can be easily modelled using Sherman's equation for a monochromatic source with only three parameters: one that considers the top-layer absorption, one for the instrumental probability of the line emission and one for the background. The limited number of parameters has thus allowed to perform a reliable and fast fitting of the spectra.

As spectroscopic methods that employ attenuation and selfattenuation phenomena return the massive thickness of the layers, the conversion in linear thickness can be performed only if the layer density is known. The technique has shown good results, both using self-attenuation and attenuation.

We know that samples related to the CH field present a greater complexity than the sample analyzed in this study; however, we believe that this method can be proficiently applied in the study of CH related layered samples, especially thanks to its quite easy implementation in a laboratory set-up.

# Author contributions

Jacopo Orsilli: conceptualization, sample preparation, methodology, investigation, measurements and processing the experimental results, writing of the original draft; Alessandro Migliori: editing, methodology, supervision; Roman P. Alvarez: methodology, validation, editing; Marco Martini: project administration; Anna Galli: conceptualization, methodology, validation, editing, supervision.

# Conflicts of interest

There are no conflicts to declare.

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