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## (Poly)phenol intake, plant-rich dietary patterns and cardiometabolic health: a cross-sectional study†

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Diet is an important modifiable risk factor for cardiometabolic diseases. Plant foods contain a complex mixture of nutrients and bioactive compounds such as (poly)phenols. Plant-rich dietary patterns have been associated with reduced cardiometabolic risk in epidemiological studies. However, studies have not fully considered (poly)phenols as a mediating factor in the relationship. A cross-sectional analysis was conducted in 525 healthy participants, aged  $41.6 \pm 18.3$  years. Volunteers completed the validated European Prospective Investigation into Diet and Cancer (EPIC) Norfolk Food Frequency Questionnaire (FFQ). We investigated the associations between plant-rich dietary patterns, (poly)phenol intake, and cardiometabolic health. Positive associations were found between (poly)phenols and higher adherence to dietary scores, except for the unhealthy Plant-based Diet Index (uPDI), which was negatively associated with (poly)phenol intake. Correlations were significant for healthy PDI (hPDI), with positive associations with proanthocyanidins ( $r = 0.39$ ,  $p < 0.01$ ) and flavonols ( $r = 0.37$ ,  $p < 0.01$ ). Among dietary scores, Dietary Approaches to Stop Hypertension (DASH) showed negative associations with diastolic blood pressure (DBP), total cholesterol (TC), low-density lipoprotein cholesterol (LDL-C), and non-high-density lipoprotein cholesterol (Non-HDL-C) (stdBeta  $-0.12$  to  $-0.10$ ,  $p < 0.05$ ). The Mediterranean-DASH Intervention for Neurodegenerative Delay (MIND) score was positively associated with flow-mediated dilation (FMD, stdBeta =  $0.10$ ,  $p = 0.02$ ) and negatively associated with the 10-year Atherosclerotic Cardiovascular Disease (ASCVD) risk score (stdBeta =  $-0.12$ ,  $p = 0.01$ ). Higher intake of flavonoids, flavan-3-ols, flavan-3-ol monomers, theaflavins, and hydroxybenzoic acids (stdBeta:  $-0.31$  to  $-0.29$ ,  $p = 0.02$ ) also showed a negative association with a 10-year ASCVD risk score. Flavanones showed significant associations with cardiometabolic markers such as fasting plasma glucose (FPG) (stdBeta =  $-0.11$ ,  $p = 0.04$ ), TC (stdBeta =  $-0.13$ ,  $p = 0.03$ ), and the Homeostasis Model Assessment (HOMA) of beta cell function (%B) (stdBeta =  $0.18$ ,  $p = 0.04$ ). Flavanone intake was identified as a potential partial mediator in the negative association between TC and plant-rich dietary scores DASH, Original Mediterranean diet scores (O-MED), PDI, and hPDI (proportion mediated =  $0.01\%$  to  $0.07\%$ ,  $p < 0.05$ ). Higher (poly)phenol intake, particularly flavanone intake, is associated with higher adherence to plant-rich dietary patterns and favourable biomarkers of cardiometabolic risk indicating (poly)phenols may be mediating factors in the beneficial effects.

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## Introduction

Cardiovascular disease (CVD) and type 2 diabetes mellitus (T2DM) are leading causes of mortality and increased health-care cost worldwide.<sup>1,2</sup> Healthy lifestyle behaviours could significantly reduce the incidence of such diseases by more than 80%, potentially being more effective than pharmacotherapies.<sup>1</sup> Diet in particular is one of the most important lifestyle factors known to affect cardiometabolic disease risk.<sup>1</sup> The Global Burden of Disease study showed that diet quality improvement could potentially prevent one-fifth of deaths globally, among which CVD was the leading cause of diet-related deaths. This global study shows that the top factors associated to global death rate were low consumption of wholegrains, nuts and seeds, fruits, vegetables, omega-3 fatty acids and a high consumption of sodium.<sup>3</sup> In agreement with this, high consumption of fruit and vegetables has been associated with lower disease risk in a large number of observational studies. A recent *meta*-analysis of 47 prospective studies unveiled a dose–response decrease in CVD risk associated with higher fruit and vegetable intake, with people consuming 800 g of fruits and vegetables each day having the lowest CVD risk.<sup>4</sup> High adherence to healthy eating habits is therefore of great importance in promoting cardiometabolic health.

Free-living individuals consume a wide variety of diets, that are a combination of multiple nutrients and foods, which can interact with each other.<sup>5</sup> The role of diet is hard to interpret in relation to health status due to its complexity. Consequently, nutrition studies have been encouraged to focus on overall dietary patterns instead of the traditional approaches focusing on the health benefits of single nutrients or foods.<sup>6</sup> *A priori* dietary scores are pre-defined diet quality scores representing an individual's diet adherence to specific dietary recommendations and based on the current nutritional epidemiological knowledge of relationships between diet and disease risk factors. To date, multiple dietary score systems have been established with various scoring matrix weighing and measuring food and nutrient components separately.<sup>7,8</sup>

Plant-based diets are one of the most commonly used and proposed healthy dietary patterns, and typically this type of diet implies a high consumption of plant foods including fruits, vegetables, whole grains, nuts, legumes, vegetable oils, *etc.*<sup>9,10</sup> There are many common plant-rich dietary patterns that have been associated with a positive effect on cardiometabolic health, *e.g.* Plant-based Diet Index (PDI),<sup>11</sup> Mediterranean-DASH Intervention for Neurodegenerative Delay (MIND),<sup>12</sup> Dietary Approaches to Stop Hypertension (DASH)<sup>13</sup> and Mediterranean Diet (MED).<sup>14</sup> (Poly)phenols are natural plant compounds widely abundant in fruits, vegetables, tea, coffee, wholegrains, and cocoa products.<sup>15</sup> A large body of research has highlighted the protective role of dietary (poly)phenols on cardiometabolic health. Indeed, observational and intervention studies have found evidence that (poly)phenols are associated with a decrease in CVD mortality,<sup>16</sup> an increase in flow-mediated dilation (FMD), and a reduction in blood pressure (BP).<sup>17</sup> Although the mechanisms

of action of (poly)phenols in the cardiovascular system are still not clear, it has been suggested they can act through the modulation of nitric oxide (NO) to maintain the homeostasis of the vascular system.<sup>11</sup>

Although evidence has shown the benefits of (poly)phenols on cardiometabolic health as one of the health-promoting factors of consuming plant-rich dietary patterns, there is still a lack of research viewing (poly)phenols as the possible potential mediator in the association of plant-rich dietary patterns with cardiometabolic health. For the various plant-rich dietary patterns, only red wine and olive oil in the MED diet have been investigated and reported to be beneficial to cardiometabolic health *via* the reduction in blood pressure and improvements in serum lipid profile and endothelial function.<sup>14,18,19</sup>

This study aims to investigate whether (poly)phenol intake mediates part of the benefits of plant-rich dietary patterns using a comprehensive cardiometabolic health marker panel including estimated atherosclerotic CVD (ASCVD), anthropometric, lipid profile, glucose metabolism, and vascular function in a cross-sectional study.

## Methods

### Study population

The baseline data of 525 healthy participants from nine clinical studies conducted at King's College London from 2017 to 2021 were included in this cross-sectional analysis (Ethics number, RESCM-17/18-5283; HR-15/16-3739; HR-17/18-5338; HR-18/19-9091; HR-18/19-8999; HR-17/18-5703; RESCM-18/19-9036; HR-17/18-5353; HR-19/20-14771; Trial registration number, NCT03434574; NCT03041961; NCT03592966; NCT04084457; NCT04179136; NCT03553225; NCT03995602; NCT03573414; NCT04276974). The studies were conducted according to the Declaration of Helsinki. All participants completed food frequency data and cardiometabolic measurements provided with informed written consent. A detailed flow chart is described in Fig. 1.

### Dietary intake assessment

Each participant completed the validated European Prospective Investigation into Diet and Cancer (EPIC) Norfolk

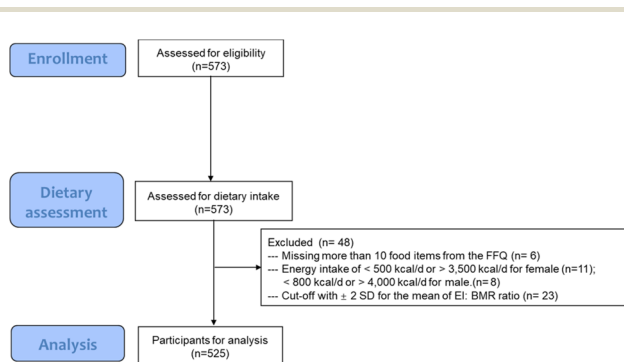


Fig. 1 Flow chart of the cross-sectional study.



Food Frequency Questionnaire (FFQ).<sup>20</sup> FFQ EPIC and Nutrition Tool for Analysis (FETA) software, which involved composition data of 290 foods from the UK food composition database McCance and Widdowson's 'The Composition of Foods' (5th edition) and its associated supplements<sup>21</sup> were used in this research to estimate the nutrients and energy intake.

Participants who had more than 10 missing food items from the FFQ were classed as incomplete reporters and excluded from analyses. Females with fewer than 500 kcal d<sup>-1</sup> and greater than 3500 kcal d<sup>-1</sup> and males with fewer than an average of 800 kcal d<sup>-1</sup> and greater than 4000 kcal d<sup>-1</sup> were excluded as these values are considered physiological implausible.<sup>22</sup> The Goldberg method<sup>23</sup> was used as previously described<sup>24</sup> to identify the inaccurate reporting of energy intake (EI) with a cut-off of  $\pm 2$  standard deviations (SD) for the mean of EI : BMR ratio (estimated basal metabolic rate, determined by the Harris–Benedict equation). The data cleaning process is shown in Fig. 1.

### Plant-rich dietary patterns generation

Five frequently reported cardiovascular health-related dietary patterns were chosen to evaluate the overall food constitution based on the predefined dietary scoring formula: DASH,<sup>25</sup> PDI,<sup>26</sup> Original Mediterranean Score (O-MED),<sup>27</sup> Amended Mediterranean Score (A-MED)<sup>28</sup> and MIND.<sup>29</sup> The detailed food group descriptions and the calculation method for each dietary score are reported in ESI Tables 1–5.† The correlation between dietary scores was tested by Spearman's correlation.

### (Poly)phenol intake assessment

The online open access Phenol–Explorer database,<sup>30</sup> USDA database and several published papers<sup>31–53</sup> were used to establish a home database in order to estimate the (poly)phenol content of each food item listed in the FFQ. Data coming from normal phase High Performance Liquid Chromatography (HPLC), chromatography, and chromatography after hydrolysis were selected. (Poly)phenol content data of compounds with sugar moieties attached were transformed into the corresponding amount of aglycones in order to be summarized with data from other sources. The procyanidin data analysed by normal phase HPLC were applied first, while data from chromatography was used when no data from the normal phase HPLC method was available. As for cooked foods, if only the raw data food source was available, the processed yield factor from the Phenol-Explorer database multiplied by the unprocessed raw food content was applied to determine the (poly)phenol content of cooked processed foods. If no yield factor was available, a factor of a similar food item or similar processing method of the same item was applied instead. (Poly)phenol content (mg d<sup>-1</sup>) was calculated using the estimated food intake (g d<sup>-1</sup>) multiplied by the corresponding (poly)phenol intake from the home database (mg per 100 g) and divided by 100. Total and subclasses of (poly)phenols, following the classification of Phenol-Explorer, were calculated by

summing up all compounds within the group. The correlation between (poly)phenols was tested by Spearman's correlation.

### Measurements of cardiometabolic health

In the present study, the following parameters were measured: flow-mediated dilation (FMD, %), pulse wave velocity (PWV, m s<sup>-1</sup>), augmentation index (AIx, %), peripheral and central blood pressure (BP, mmHg), overnight fasting plasma glucose (FPG, mmol L<sup>-1</sup>), total cholesterol (TC, mmol L<sup>-1</sup>), total triglycerides (TG, mmol L<sup>-1</sup>), high-, low-, and non-high-density lipoprotein cholesterol (HDL-C, LDL-C, non-HDL-C, mmol L<sup>-1</sup>), Homeostasis Model Assessment-insulin beta cell/insulin sensitivity/insulin resistance (HOMA2-B/HOMA2-S/HOMA2-IR) and 10-year Atherosclerotic Cardiovascular Disease (ASCVD) risk score.<sup>54</sup>

Fasting blood samples were collected in EDTA and heparin tubes (Greiner Bio-One Ltd) and were centrifuged (1700g; 15 min; 4 °C) immediately upon collection.<sup>55</sup> Clinical chemistry parameters, including FPG, TC, TG, HDL-C, LDL-C, and non-HDL-C, were analysed according to standard procedures (Biochemistry Department, King's College Hospital, London and Affinity Biomarker Labs, London, United Kingdom).<sup>55,56</sup> HOMA2-B/S/IR were calculated by HOMA2 online application (<https://www.dtu.ox.ac.uk/homacalculator/>).<sup>57</sup>

All vascular measurements were performed by a trained researcher after 15 minutes rest of the subjects. FMD assessment, as described previously<sup>55,58</sup> was performed using a 12 MHz transducer (Vivid I; GE Healthcare, Berlin, Germany) with automatic edge-detection software (Brachial Analyzer; Medical Imaging Applications, Iowa City, IA). The specifically trained operators performed the analysis of all images across all studies. PWV, AIx, and central BP were measured by applanation tonometry using the SphygmoCor system (SMART Medical, Gloucestershire, UK) determined from measurements taken at the carotid and femoral artery.<sup>59</sup> Office BP was taken with an automated brachial sphygmomanometer (OMRON HEM 705-CP digital BP monitor). All data analyses were conducted blinded and according to the same protocol across all studies.

CVD risk was estimated using the 10-year ASCVD risk score which is an algorithm used to estimate the cardiovascular risk of the individual using sex, ethnicity, age, smoking status, cholesterol levels, blood pressure, and history of diabetes calculated with the online ASCVD risk estimator (<https://tools.acc.org/ASCVD-Risk-Estimator-Plus/>). This score indicates the risk of developing hard ASCVD (coronary heart disease (CHD) death, nonfatal myocardial infarction, fatal or nonfatal stroke) in the next ten years.<sup>60</sup> The 10-year ASCVD risk score is categorized as low-risk (<5%); borderline risk (5–7.4%); intermediate risk (7.5–19.9%) and high risk ( $\geq 20\%$ ) and was designed for patients aged 40–79.<sup>57</sup>

### Assessment of covariates

The analysis was adjusted for several possible confounders: age,<sup>57</sup> sex,<sup>61</sup> ethnicity,<sup>62</sup> smoking status,<sup>57</sup> alcohol intake,<sup>57</sup> energy intake,<sup>57</sup> physical activity,<sup>57</sup> BMI,<sup>61</sup> and trial effect in



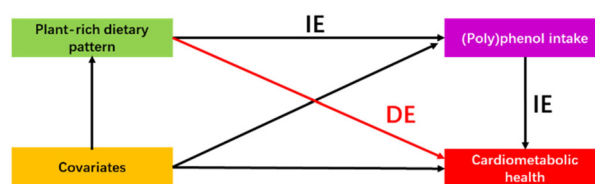
the models as appropriate. Energy intake ( $\text{kcal d}^{-1}$ ) was estimated from FFQ. Information on age, sex, ethnicity, smoking status (smokers, non-smokers, or ex-smokers), and alcohol intake (unit per w) was collected at the screening visit. Physical activity level was assessed with The International Physical Activity Questionnaire Long Form (IPAQ-LF), which categorized participants into high, moderate, or low levels of activity based on the calculation result of metabolic equivalent (MET) minutes per week across walking, moderate-intensity, and vigorous-intensity activity within four domains (job-related physical activity; transportation physical activity; housework, house maintenance, and caring for family; recreation, sport, and leisure-time physical activity).<sup>63</sup> Since volunteers participated in 9 different clinical trials, conducted at different times by different researchers, participants from the same trial were labelled with the same number. This sequence was included as a categorical variable “trial effect” with different trial numbers (1 to 9).

### Statistics

Data distribution for dietary scores, (poly)phenols, and cardiometabolic markers was graphically explored and Shapiro-Wilk tested to assess normality. Log-transformation was applied to CSBP, FPG, HDL-C, LDL-C, non-HDL-C, TG, TC and used for statistical analysis when it was required. All association tests included were performed using R version 3.6.2.

The association between dietary patterns, (poly)phenols, and cardiometabolic markers was investigated using linear regression analysis. In the linear regression between (poly)phenols (explanatory variables) and dietary scores (response variables), two models were created: the Crude model and Model I which adjusted for energy intake and trial effect. For the analysis between (poly)phenols (explanatory variables) and cardiometabolic markers (response variables), three models were created: Crude model, Model I: adjusted for age, sex, ethnicity; Model II: Model I + adjustment for smoking status, energy intake, physical activity, and trial effect. Crude model, Model I, and Model II were also used to conduct linear regression analysis between dietary scores (explanatory variables) and cardiometabolic markers (response variables). DASH and PDI also adjusted for alcohol intake in Model II since these two dietary scores do not take alcohol consumption into account<sup>57</sup> (ESI Tables 1–5†).

Mediation analysis was further employed with the R package of “mediation”<sup>64</sup> to investigate the mediation effect of (poly)phenol intake on the relationship between various plant-rich dietary patterns and cardiometabolic health. The covariates of Model II were age, sex, ethnicity, physical activity, smoking status, energy intake, and trial effect (referred to Assessment of covariates) and Model III consisted of Model II + adjustment for BMI. We constructed a mediation model to quantify both the direct effect of dietary patterns on cardiometabolic health markers independent of (poly)phenol intake and the indirect (mediated) effects of dietary patterns that are mediated by its association with (poly)phenol intake (Fig. 2). The proportion of the association mediated by (poly)phenol



**Fig. 2** Mediating pathway of the association of plant-rich dietary patterns with cardiometabolic health. Direct acyclic graph of a structural model of mediation of the association between plant-rich dietary patterns and cardiometabolic health by (poly)phenol intake. DE indicates direct effects; IE indicates indirect effects.

intake (the ratio of indirect-to-total effect) was employed to quantify the magnitude of the mediator.<sup>65</sup>

The `lm.beta` R package was used for standardized regression coefficients (`stdBeta`). Linear associations results with  $p$  (adjusted by Benjamini & Hochberg False Discovery Rate,  $\text{FDR}$ )  $< 0.05$  were considered statistically significant. Three main linear regression analyses we performed: (poly)phenol and dietary scores, (poly)phenol and cardiometabolic health markers, and dietary scores and cardiometabolic health markers.  $\text{FDR}$  adjustment was performed for each analysis separately. The ‘`poolr`’ R package were used as well for the Meff-based procedures to control  $\text{FDR}$ .<sup>66</sup>

## Results

The demographic and cardiometabolic health characteristics of the participants including 227 males and 298 females are shown in Table 1. The average age of subjects was 41.5 (SD 18.3) years. The majority of subjects were from the White ethnic group (70.0%), engaged in high level of physical activity (71.2%), and were non-smokers (74.0%). Their average alcohol and energy intakes were 3.96 unit per w (SD 5.18) and 1610  $\text{kcal d}^{-1}$  (SD 480), respectively.

### Plant-rich dietary patterns assessment

The mean and standard deviation of dietary scores among the overall population is described in Table 2. The study tested five dietary scores, DASH, MIND, O-MED, A-MED, and PDI (along with hPDI and uPDI). The correlation between dietary scores is reported in Fig. 3. Correlation coefficients ranged from  $-0.58$  to  $0.84$ , among which the strongest correlation was observed between A-MED and O-MED ( $r = 0.84$ ) whereas the weakest correlation was found between PDI and uPDI ( $r = 0.08$ ). Across the five dietary scores, differences were shown among the number, grouping, and amount of food components included in the scores (ESI Fig. 1†). Whole grain and vegetables were the most common elements across the dietary scores (shared in 4 dietary scores).

### (Poly)phenol intake assessment

The intake of 22 individual classes of (poly)phenols among the participants is described in Table 3. The median total



**Table 1** Demographic and cardiometabolic health characteristics of the study population ( $n = 525$ )

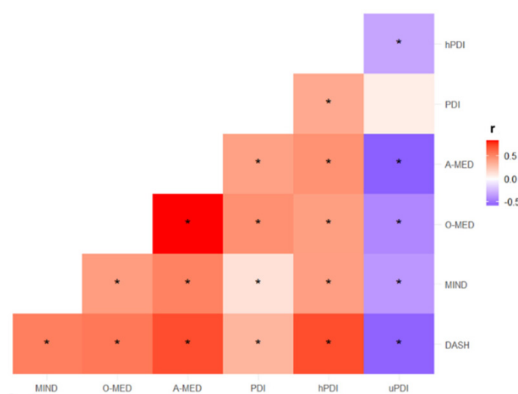
Characteristics	Mean (SD)/ $n$ (%)	Missingness (%)
Age (years)	41.5 (18.3)	0
Ethnicity		0
White	368 (70.0)	
Black	25 (4.7)	
Asian	112 (21.3)	
Mixed	20 (3.8)	
Sex		0
Male	226 (43.0)	
Female	299 (57.0)	
Physical activity level		5.3
Low	19 (3.8)	
Median	124 (24.9)	
High	354 (71.2)	
Smoking status		0
Never	389 (74.0)	
Ex-smoker	109 (21.0)	
Current smoker	27 (5.1)	
Body weight (kg)	68.4 (11.3)	0
BMI ( $\text{kg m}^{-2}$ )	23.8 (3.5)	0
Alcohol intake (unit per w)	3.96 (5.18)	0
Energy intake ( $\text{kcal d}^{-1}$ )	1610 (480)	0
<b>Cardiometabolic markers</b>		
SBP (mmHg)	116.29 (12.94)	0
DBP (mmHg)	74.63 (8.44)	0
PWV ( $\text{m s}^{-1}$ )	6.46 (2.37)	28.4
CSBP (mmHg)	106.97 (14.30)	24.4
CDBP (mmHg)	75.93 (8.08)	24.4
Aix (%)	12.23 (16.52)	24.5
FMD (%)	5.92 (2.06)	4.2
FPG ( $\text{mmol L}^{-1}$ )	4.86 (0.69)	5.9
TC ( $\text{mmol L}^{-1}$ )	4.94 (1.06)	5.5
TG ( $\text{mmol L}^{-1}$ )	0.88 (0.44)	5.5
HDL-C ( $\text{mmol L}^{-1}$ )	1.74 (0.51)	5.5
LDL-C ( $\text{mmol L}^{-1}$ )	3.15 (1.04)	5.5
Non-HDL-C ( $\text{mmol L}^{-1}$ )	3.33 (1.06)	5.5
10-year ASCVD risk score	6.47 (7.30)	55.8
HOMA2-B	79.91 (37.95)	69.0
HOMA2-S	263.12 (371.20)	69.0
HOMA2-IR	0.70 (0.55)	69.0

SBP, systolic blood pressure; DBP, diastolic blood pressure; PWV, pulse wave velocity; CSBP, central systolic blood pressure; CDBP, central diastolic blood pressure; Aix, augmentation index; FMD, flow-mediated dilation; FPG, fasting plasma glucose; TC, total cholesterol; TG, triglycerides; HDL-C, high-density lipoproteins cholesterol; LDL-C, low-density lipoproteins cholesterol; Non-HDL-C, non-high-density lipoproteins cholesterol; ASCVD, atherosclerotic cardiovascular disease; HOMA2-B/S/IR, homeostasis model assessment-insulin beta cell/insulin sensitivity/insulin resistance.

**Table 2** Mean and standard deviation of dietary scores

Dietary scores	Score range	Mean	SD
DASH	8–40	24.7	5.0
MIND	0–15	8.6	1.5
O-MED	0–9	4.3	1.8
A-MED	0–9	4.4	2.0
PDI	18–90	50.6	6.3
hPDI	18–90	52.3	8.6
uPDI	18–90	51.0	7.0

DASH, dietary approaches to stop hypertension; MIND, mediterranean-DASH intervention for neurodegenerative delay; O-MED, original mediterranean score; A-MED, amended mediterranean score; PDI, plant-based diet index; hPDI, healthy plant-based diet index; uPDI, unhealthy plant-based diet index.

**Fig. 3** Correlation among dietary scores. The colour scale indicates the Spearman correlation coefficient between dietary scores. Red and blue illustrated respectively positive and negative correlations and colour intensity represented the degree of the coefficient. The asterisks showed significance (\* $f_{dr}$ -adjusted,  $p < 0.05$ ), DASH, dietary approaches to stop hypertension; O-MED, original mediterranean score; A-MED, amended mediterranean score; MIND, mediterranean-DASH intervention for neurodegenerative delay; PDI, plant-based diet index; hPDI, healthy plant-based diet index; uPDI, unhealthy plant-based diet index.**Table 3** (Poly)phenol intake in the study population measured using food frequency questionnaire and the phenol-explorer database ( $\text{mg d}^{-1}$ )

(Poly)phenol intake ( $\text{mg d}^{-1}$ )	Median	IQR
Flavonoids	452.1	711.8
Anthocyanins	5.9	7.1
Chalcones	0.003	0.002
Flavan-3-ols	359.9	683.5
Flavan-3-ol monomers	92.1	223.3
Theaflavins	18.7	55.8
Proanthocyanidins	126.0	110.3
Flavanones	20.0	35.0
Flavones	3.8	2.5
Flavonols	47.9	32.4
Isoflavonoids	2.1	6.9
Lignans	1.6	1.2
Other (poly)phenols <sup>a</sup>	15.9	14.5
Tyrosols	0.4	0.8
Alkylmethoxyphenols	1.8	4.2
Alkylphenols	9.3	10.0
Phenolic acids	572.9	1173.2
Hydroxybenzoic acids	40.7	70.0
Ellagitannins	1.5	3.8
Hydroxycinnamic acids	540.9	1199.7
Stilbenes	0.1	0.1
Resveratrol	0.1	0.1
<b>Total (poly)phenol</b>	<b>1303.7</b>	<b>1230.0</b>

<sup>a</sup> Other (poly)phenols including Curcuminoids, Furanocoumarins, Hydroxybenzaldehydes, Hydroxybenzoketones, Hydroxycinnamaldehydes, Hydroxycoumarins, Hydroxyphenylpropenes, Methoxyphenols, Napthoquinones, and Phenolic terpenes.

(poly)phenol intake was  $1303.7 \text{ mg d}^{-1}$  (IQR  $1,230.0$ ). Correlation among (poly)phenols was tested using the Spearman correlation method, and multiple tests were adjusted by Benjamin & Hochberg FDR. The majority of (poly)phenols (20 out of 22) showed a positive correlation with each other, among which phenolic acids showed the strongest posi-





**Fig. 4** Correlation among different (poly)phenol classes. The colour scale indicated the Spearman correlation coefficient between (poly)phenols. Red and blue illustrated positive and negative correlations and colour intensity represented the degree of the coefficient. The asterisks showed significance (\* *fdr*-adjusted,  $P < 0.05$ ).

tive correlations with hydroxycinnamic acids ( $r = 0.99$ ,  $p < 0.01$ ), as shown in Fig. 4.

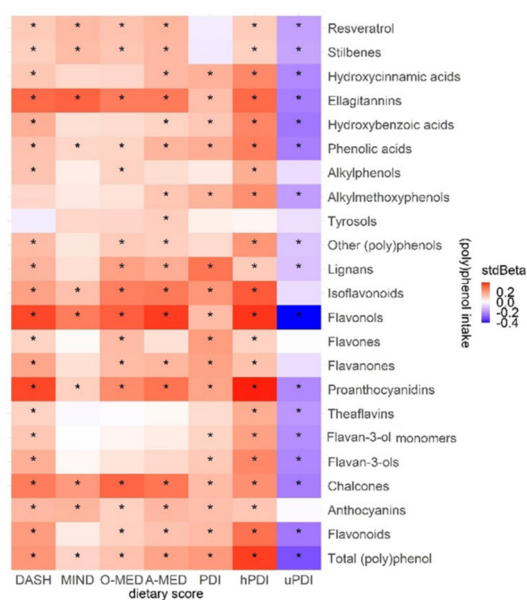
### Plant-rich dietary patterns and (poly)phenol intake

Associations between (poly)phenols and dietary scores are shown in Fig. 5. Most negative associations were found between uPDI and (poly)phenols ranging from  $r = -0.40$  to  $-0.10$  ( $p < 0.01$ ). Correlations were particularly strong for hPDI, with positive associations with proanthocyanidins ( $r = 0.39$ ,  $p < 0.01$ ) and flavonols ( $r = 0.37$ ,  $p < 0.01$ ).

### Plant-rich dietary patterns and cardiometabolic health markers

The association between dietary scores and cardiometabolic markers using Model II is presented in Fig. 6. Negative associations were found between most dietary scores and cardiometabolic markers, except for uPDI, which had positive associations with CDBP (stdBeta = 0.11,  $p = 0.04$ ) and DBP (stdBeta = 0.14,  $p < 0.01$ ). FMD was also positively associated with MIND (stdBeta = 0.10,  $p = 0.02$ ), indicating that an increase per MIND score would elevate FMD by 0.13 (95% CI, 0.02 to 0.24). DBP and CDBP were found to have a negative relationship, especially for A-MED (DBP, stdBeta =  $-0.13$ ,  $p < 0.01$ ; CDBP, stdBeta =  $-0.11$ ,  $p = 0.03$ ). For the increase per A-MED score, DBP and CDBP corresponded to decreases of 0.56 (95% CI,  $-0.91$  to  $-0.21$ ) mmHg and 0.50 (95% CI,  $-0.95$  to  $-0.05$ ) mmHg, respectively.

In terms of serum biochemical markers, LDL-C, Non-HDL-C, and TC all presented negative relationship with both



**Fig. 5** Associations between (poly)phenol intake and dietary scores (adjusted for energy intake and trial effect). Heatmap was plotted according to the standardized regression coefficients (stdBeta). The colour scale indicates the effect (stdBeta) of each (poly)phenol on dietary scores. Red and blue illustrate respectively positive and negative effects and colour intensity represents the degree of the effects. The asterisks showed significance (\*FDR-adjusted,  $p < 0.05$ ). DASH, dietary approaches to stop hypertension; O-MED/A-MED, original/amended mediterranean score; MIND, mediterranean-DASH intervention for neurodegenerative delay; PDI, plant-based diet index; hPDI/uPDI, healthy/unhealthy plant-based diet index.

DASH (LDL-C, stdBeta =  $-0.10$ ,  $p = 0.02$ ; Non-HDL-C, stdBeta =  $-0.11$ ,  $p = 0.02$ ; and TC, stdBeta =  $-0.10$ ,  $p = 0.03$ ) and hPDI (LDL-C, stdBeta =  $-0.11$ ,  $p = 0.01$ ; Non-HDL-C, stdBeta =  $-0.13$ ,  $p = 0.01$ ; TC, stdBeta =  $-0.14$ ,  $p < 0.01$ ). MIND also showed a negative correlation with the 10-year ASCVD risk score (stdBeta =  $-0.12$ ,  $p = 0.01$ ).

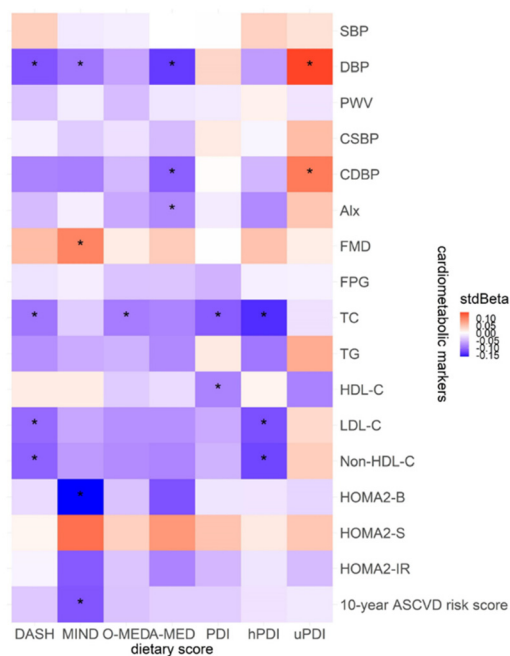
### (Poly)phenol intake and cardiometabolic health markers

The association between (poly)phenol intake and cardiometabolic markers is presented in Fig. 7. In Model II, a negative association was found between CDBP and lignans, ellagitannins (stdBeta =  $-0.10$ ,  $-0.13$ ,  $p = 0.04$ ), PWV, and tyrosols (stdBeta =  $-0.14$ ,  $p = 0.03$ ) as well as HOMA2-IR and ellagitannins (stdBeta =  $-0.20$ ,  $p = 0.02$ ). In addition, the 10-year ASCVD risk score shows a negative association with flavonoids (stdBeta =  $-0.29$ ,  $p = 0.02$ ), flavan-3-ols (stdBeta =  $-0.29$ ,  $p = 0.02$ ), flavan-3-ol monomers (stdBeta =  $-0.30$ ,  $p = 0.02$ ), theaflavins (stdBeta =  $-0.31$ ,  $p = 0.02$ ) and hydroxybenzoic acids (stdBeta =  $-0.29$ ,  $p = 0.02$ ). Flavanone intake has a significant association with FPG (stdBeta =  $-0.11$ ,  $p = 0.04$ ), TC (stdBeta =  $-0.13$ ,  $p = 0.03$ ), and HOMA2-B (stdBeta = 0.18,  $p = 0.04$ ).

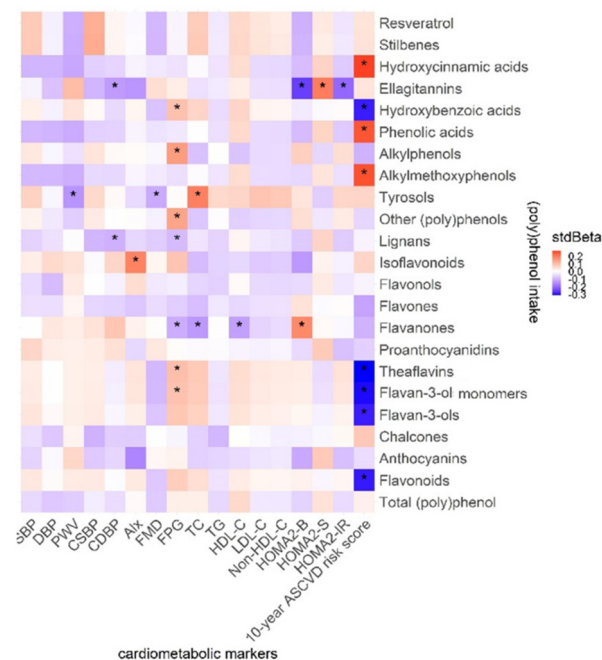
### (Poly)phenol intake and (poly)phenol-rich food items

The intake amount of (poly)phenol-rich food items among the participants is shown in ESI Table 6.† Mean tea and coffee





**Fig. 6** Associations between dietary scores and cardiometabolic markers of Model II (adjusted for age, sex, ethnicity, smoking status, energy intake, physical activity, and trial effect). Heatmap was plotted according to the standardized regression coefficients (stdBeta). The colour scale indicates the effect (stdBeta) of each dietary score on cardiometabolic markers. Red and blue illustrate respectively positive and negative effects and colour intensity represents the degree of the effects. The asterisks showed significance (\**fdr*-adjusted,  $p < 0.05$ ). DASH, dietary approaches to stop hypertension; O-MED/A-MED, original/amended mediterranean score; MIND, mediterranean-DASH intervention for neurodegenerative delay; PDI, plant-based diet index; hPDI/uPDI, healthy/unhealthy plant-based diet index; SBP/DBP, systolic/diastolic blood pressure; PWV, pulse wave velocity; CSBP/CDBP, central SBP/DBP; Alx, augmentation index; FMD, flow-mediated dilation; FPG, fasting plasma glucose; TC, total cholesterol; TG, triglycerides; HDL/LDL/Non-HDL-C, high/low/non-high-density lipoproteins cholesterol; 10-year ASCVD risk score, 10-year atherosclerotic cardiovascular disease risk score; HOMA2-B/S/IR, homeostasis model assessment-insulin beta cell/insulin sensitivity/insulin resistance.



**Fig. 7** Associations between (poly)phenols and cardiometabolic markers of Model II (adjusted for age, sex, ethnicity, smoking status, energy intake, physical activity, and trial effect). Heatmap was plotted according to the standardized regression coefficients (stdBeta). The colour scale indicates the effect (stdBeta) of each (poly)phenol on cardiometabolic markers. Red and blue illustrate respectively positive and negative effects and colour intensity represents the degree of the effects. The asterisks showed significance (\**FDR*-adjusted,  $p < 0.05$ ). SBP/DBP, systolic/diastolic blood pressure; PWV, pulse wave velocity; CSBP/CDBP, central SBP/DBP; Alx, augmentation index; FMD, flow-mediated dilation; FPG, fasting plasma glucose; TC, total cholesterol; TG, triglycerides; HDL/LDL/Non-HDL-C, high/low/non-high-density lipoproteins cholesterol; 10-year ASCVD risk score, 10-year atherosclerotic cardiovascular disease risk score; HOMA2-B/S/IR, homeostasis model assessment-insulin beta cell/insulin sensitivity/insulin resistance.

0.99,  $p < 0.01$ ), stilbenes, resveratrol, and red wine (stdBeta = 0.96 to 0.97,  $p < 0.01$ ).

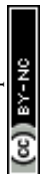
intake was 261.3 (SD 290.9)  $\text{g d}^{-1}$  and 182.7 (SD 208.2)  $\text{g d}^{-1}$ , respectively. The contribution of each (poly)phenol-rich food item is presented in ESI Fig. 2.† Tea and Coffee contributed the most to the total (poly)phenol-rich food consumed, 29.2% and 20.4% respectively, followed by whole grains (9.6%), apple and apple juice (7.4%), citrus fruit and juice (7.1%), and pulses (5.4%). The other food items were lower than 5%, with olive oil contributing the lowest (0.02%).

The association between (poly)phenol intake and (poly)phenol-rich food items among the participants is shown in ESI Fig. 3.† Positive associations were mainly observed among (poly)phenols and (poly)phenol-rich foods. Correlations were particularly strong for flavanones and citrus fruit and juice (stdBeta = 0.99,  $p < 0.01$ ), theaflavins, flavonoids, flavan-3-ols, flavan-3-ol monomers, hydroxybenzoic acids and tea (stdBeta = 0.97 to 0.99,  $p < 0.01$ ), ellagitannins, and berries (stdBeta =

### Nutrients and fibre intake, plant-rich dietary patterns and cardiometabolic health markers

The intake of micro and macronutrients among the participants is described in Table S12.† Mean fibre intake was 16.15 (SD 7.03)  $\text{g d}^{-1}$ . Associations between nutrients, plant-rich dietary patterns and cardiometabolic health markers scores are shown in ESI Fig. 4 and 5.† Negative associations were mainly observed between uPDI and nutrients ranging from  $-0.79$  to  $-0.10$  ( $p < 0.01$ ). Correlations were strong for fibre, magnesium, potassium, and iron with positive associations with DASH, hPDI, A-MED and O-MED ( $r = 0.55$  to  $0.94$ ,  $p < 0.01$ ).

As for nutrients and cardiometabolic markers, fibre showed negative association with TC (stdBeta =  $-0.19$ ,  $p < 0.01$ ). Negative associations were also found between alpha carotene, beta carotene, total carotene and SBP and DBP (stdBeta =



−0.11 to −0.19,  $p < 0.05$ ). For 1 mg d<sup>−1</sup> increase of alpha carotene, beta carotene and total carotene intake, SBP and DBP would decrease by 4 (95%CI, −6 to −0.1) mmHg, 1 (95%CI, −1 to −0.3) mmHg and 0.7 (95%CI, −1 to −0.3) mmHg for SBP; 3 (95%CI, −5 to −2) mmHg, 1 (95%CI, −1 to −0.4) mmHg and 0.6 (95%CI, −1 to −0.3) mmHg for DBP, respectively. Same association was also found between Vitamin C, total carbohydrate, glucose, and TC (Vitamin C, stdBeta = −0.14,  $p = 0.02$ ; total carbohydrate, stdBeta = −0.26,  $p = 0.01$ ; glucose, stdBeta = −0.14,  $p = 0.04$ ). Glucose also showed negative associations with the 10-year ASCVD risk score (stdBeta = −0.06,  $p = 0.03$ ). For 1 g d<sup>−1</sup> increase of glucose, 10-year ASCVD risk score corresponded to 0.004 (95%CI, −0.006 to −0.001) decrease. Zinc showed a positive association with FMD (stdBeta = 0.21,  $p = 0.03$ ), indicating that an increase of 1 mg d<sup>−1</sup> zinc would elevate FMD by 0.14% (95%CI, 0.05 to 0.24) and saturated fatty acids (SFA) also showed a same positive association with TC (stdBeta = 0.18,  $p = 0.04$ ).

### Correlations between plant-rich dietary patterns, (poly)phenol intake, and cardiometabolic health

Based on the analysis between plant-rich dietary patterns, (poly)phenols, and cardiometabolic health markers of Model II and Model III, significant associations were chosen and tested for mediation. Fig. 8A presents the proportion of the association between plant-rich dietary patterns and cardiome-

tabolic health markers mediated by individual (poly)phenols, ellagitannins, lignans and flavanones. Flavanone intake was found to be a mediator on the negative association between TC and the plant-rich dietary patterns DASH, O-MED, PDI, and hPDI (Proportion mediated: 9.0% to 16.9%,  $p < 0.05$ ).

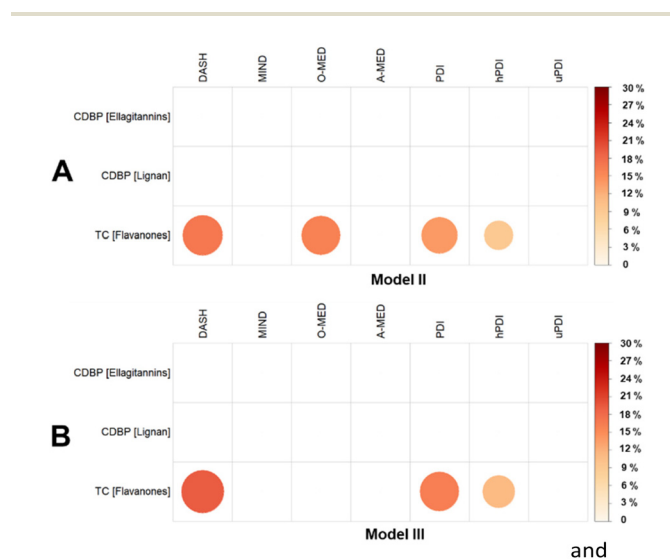
Mediation analysis based on the significant associations chosen from the analysis between plant-rich dietary patterns, (poly)phenol intake, and cardiometabolic health markers of Model III (Model II + BMI) is shown in Fig. 8B. Compared with the analysis of Model II, no mediation effect of lignan and ellagitannins was found, whereas the results of flavanones are still robust after adjusting for BMI (Proportion mediated for DASH, PDI and hPDI: 11.1% to 19.3%,  $p < 0.05$ ).

## Discussion

To our knowledge, this is the first study to investigate the mediating effect of (poly)phenols in the relationship between plant-rich dietary patterns and markers of cardiometabolic health in a group of healthy individuals living in the UK. Of the five dietary patterns, DASH showed the most beneficial associations with markers of vascular function and lipid metabolism (DBP, TC, LDL-C, and Non-HDL-C). Highly significant positive associations were found between (poly)phenol intake and all dietary patterns tested, except for uPDI, which had negative associations. Correlations were particularly strong for hPDI, with positive associations with flavonols and proanthocyanidins. Flavanones showed a potential mediation effect on the association between TC and the plant-rich dietary patterns DASH, O-MED, PDI, and hPDI.

The DASH diet was initially designed to reduce blood pressure.<sup>13</sup> It encourages the intake of vegetables, fruits, whole grain, and low-fat dairy products and set limits for the consumption of red processed meat, sugars, sodium, fat, refined grains, and alcohol.<sup>67,68</sup> As a result, this dietary pattern increases the intake of beneficial compounds, such as folate, calcium, vitamin C, K, magnesium, dietary fibre, and phytochemicals. These dietary components would all contribute to the protective effect on cardiometabolic health, for instance vascular function and lipid metabolism by multiple underlying mechanisms, such as anti-inflammatory effect,<sup>69</sup> and improvements in endothelial function.<sup>70</sup> The current study showed an inverse association between adherence to the DASH diet and DBP, TC, non-HDL-C, and LDL-C. These results are in agreement with past research.<sup>67</sup>

Not all plant foods show a protective effect on cardiometabolic health, and foods such as potatoes, sugar-sweetened beverages, refined grains, and sweets are often related to a higher risk of chronic diseases.<sup>11</sup> This means that the term plant-based diet is not simply equal to a healthy diet.<sup>11</sup> To address this, researchers graded plant-based foods into 2 different quality categories (high- and low-quality) based on nutrient profile and established the dietary pattern PDI with two sub-indexes, hPDI and uPDI.<sup>9,11</sup> Plant food products are classified as having high nutritional quality, including whole grains,



**Fig. 8** The proportion of the effects of plant-rich dietary patterns on cardiometabolic health mediated *via* individual (poly)phenols. The sequential red colour scale and the circle size indicate the proportion percentage (%) with significance calculated by dividing the indirect effect by the total effect of various (poly)phenols ( $p < 0.05$ ). The Red colour intensity represents the degree of the percentage, and the mediator (poly)phenol is presented in "[]". DASH, dietary approaches to stop hypertension; O-MED/A-MED, original/amended mediterranean score; MIND, mediterranean-DASH intervention for neurodegenerative delay; PDI, plant-based diet index; hPDI/uPDI, healthy/unhealthy plant-based diet index; CDBP, central diastolic blood pressure; TC, total cholesterol.





fruits, vegetables, tea, coffee, nuts, legumes, and vegetable oil. Food items such as refined grains, potatoes, and sugar-sweetened beverages in the PDI scoring system are treated as having low nutritional quality.<sup>9,11</sup> The hPDI dietary pattern positively assesses the plant products with high quality and negatively weighs the plant products with low quality, whereas the uPDI diet evaluates low-quality plant food items positively and animal foods negatively.<sup>9,11</sup> The beneficial effects of overall PDI and its healthy version hPDI on cardiometabolic health are reflected in many biomarkers, such as lipids (LDL-C/HDL-C/non-HDL-C) which were found in many randomised controlled trials and the association with incident CHD was positive for its unhealthy version uPDI.<sup>9,11,71</sup> This evidence is in line with the present study, which showed a negative association between PDI and TC, hPDI, and non-HDL-C, LDL-C, TC. Adopting PDI and hPDI may provide meaningful support for the management of cardiometabolic health, and *vice versa* for uPDI.<sup>11</sup> Moreover, amongst all of the plant-rich dietary patterns investigated, only uPDI showed a negative association with (poly)phenol intake, which may be due to the formulation of uPDI that positively scores plant food with low quality and negatively scores the animal food items and plant food of high quality.<sup>11</sup> Many high-quality plant foods are rich in (poly)phenols, such as fruit, wholegrain, tea, coffee, legumes, *etc.* Some of the low-quality food items are overlapping with (poly)phenol-rich foods, such as fruit juices, however, the percentage is lower compared with the high-quality plant foods.

MIND and MED dietary patterns were also found to be associated with markers of cardiometabolic health. Adherence to the MIND dietary pattern was associated with lower DBP, higher FMD, and lower 10-year ASCVD risk score, while adherence to A-MED was associated with lower DBP, CDBP, and AIX. The O-MED dietary pattern was associated to lower levels of TC. Studies have supported the beneficial effect of MED and MIND diets on cardiometabolic health.<sup>1,12,14</sup> The main difference between O-MED and A-MED is that A-MED excludes dairy (detrimental component in O-MED) and refined grains (cereals, beneficial component in O-MED) and includes wholegrains as a category.<sup>27,28</sup> A-MED also includes pure fruit juice, and excludes starchy vegetables compared with O-MED components.<sup>57</sup> Some studies suggest that consumption of refined grains may increase chronic disease risk,<sup>11</sup> so this may partially explain the more favourable effect of A-MED compared with the O-MED in this research. The MIND diet is established originally from both MED and DASH diets, with several modifications based on the evidence existing on the effects of diet on dementia.<sup>71,72</sup> For instance, an increasing number of animal and cohort studies have found that a higher intake of berries may be protective against age-related cognitive decline.<sup>73,74</sup> As a result, the MIND diet has a special emphasis on berries<sup>29</sup> and this may explain the positive association between FMD and adherence to the MIND diet in the present study, as many clinical studies have shown that consumption of berries and berry flavonoids like anthocyanins can improve endothelial function.<sup>75,76</sup>

(Poly)phenol-rich diets have been associated with a reduced risk of CVD, and higher (poly)phenol intake was found to have a reverse association with the prevalence of hypertension in populations at high CVD risk.<sup>77,78</sup> When investigating associations between (poly)phenol intake and cardiometabolic health in the present study, several (poly)phenol, *i.e.*, total flavonoids, theaflavins, flavan-3-ols, flavan-3-ol monomers, and hydroxybenzoic acids were also negatively linked with the 10-year ASCVD risk score, which is a continuous score that integrates several risk markers and translates it into a more tangible risk to experience CVD events.<sup>79</sup> Flavonoids represents a large group of different subclasses of (poly)phenols, including flavan-3-ols, flavanones, anthocyanins, flavonols, flavones, and isoflavonoids.<sup>80</sup> Studies have shown an association between a decrease in CVD mortality risk and higher consumption of dietary flavonoids.<sup>16</sup> This favourable association with cardiovascular health markers was consistent in the present study with total flavonoids, its subclass flavan-3-ols, and theaflavins and flavan-3-ol monomers within the family of flavan-3-ols. Flavonones, another subgroup of flavonoids, are biologically active compounds that help decrease cardiovascular disease risk.<sup>81</sup> In this study flavanones showed a significant mediation effect on the association between plant-rich dietary patterns DASH, O-MED, PDI, and hPDI, and lipid profile marker TC with the mediation effect proportion for nearly 20%. The flavanones-rich food, namely citrus fruit and juice<sup>81</sup> only account for 7.12% of (poly)phenol-rich food intake in the present population. Considering its daily consumption ( $63.7 \text{ g d}^{-1}$ ), its mediation proportion of plant-rich dietary patterns on lipid profile might be at a considerable level.

Hydroxybenzoic acids are present in many plant foods but they are also gut microbial metabolites of many types of (poly)phenols.<sup>82</sup> Experimental models have shown that they may exert cardiovascular health benefits,<sup>82</sup> however human data is lacking. A negative association between lignan intake, CDBP and FPG was also found. However, the mediation analysis did not show any significant mediating effects between plant-rich dietary patterns and CDBP. The main sources of lignans in the diet are seeds such as sesame and flaxseeds, but also fibre-rich wholegrain foods.<sup>83,84</sup> The present study found that an increase in lignan intake of 100 mg was associated with reductions of 1 mmHg in CDBP, which is consistent with previous clinical trials showing improvements in blood pressure.<sup>85</sup> To date, although accumulating evidence suggests that central pressure is a better predictor of future cardiovascular events than brachial blood pressure, direct evidence supporting that selective targeting long-term central pressure brings added benefit over and above the already established brachial artery pressure is still required.<sup>86,87</sup> This study also found that an increase in lignan intake of 10 mg was associated with a reduction of  $1.3 \text{ mmol L}^{-1}$  in FPG, in line with previous clinical studies showing beneficial effects of lignans on plasma glucose.<sup>88</sup> The associations with reductions in FPG are clinically relevant, as an increase in  $1 \text{ mmol L}^{-1}$  FPG was found to be associated with a 17% increase in cardiovascular event risk.<sup>89</sup>



In the present study, stilbenes, resveratrol, and some flavonoids such as flavonols, flavones, isoflavones and anthocyanins did not show any significant association with markers of cardiometabolic health. This may be due to various reasons, such as the low intake in this study or the potential bias limitation of self-reported diet by FFQ.<sup>90</sup>

Our work is limited by the observational nature of the cross-sectional study. It does not allow us to determine causal relationships between plant-rich dietary patterns, (poly)phenol intake, and cardiometabolic health. In addition, this data-driven research is based on multiple correlations and linear regressions, which may limit the interpretation of the results. Another notable limitation is the use of self-reported FFQs for (poly)phenol assessment. The EPIC-Norfolk FFQ used in the present study was not designed or validated to assess (poly)phenol intake but only for the assessment of nutrients and food groups.<sup>91</sup> Further longitudinal and intervention studies are required to confirm the findings presented here.

Thus far, the project has considered the association between cardiometabolic health, (poly)phenol intake, and various dietary patterns through self-reported FFQ data. (Poly)phenols, especially flavonoids intake, are likely to be implicated in the physiological pathways between plant-rich dietary patterns and markers of cardiometabolic health. However, due to the collinearity between (poly)phenols and micronutrients, further research is needed to understand potential independent and synergistic effects of (poly)phenols and other nutrients on cardiometabolic health.

## Conclusions

In conclusion, higher (poly)phenol intake is associated with higher adherence to plant-rich dietary patterns and more favourable cardiometabolic health profiles. Flavanones might be a potential mediator in this favourable effect on lipid profile. DASH showed the strongest association with cardiometabolic health among all dietary scores investigated. Our results indicate that the beneficial association of plant-rich dietary patterns on cardiometabolic health may partially be driven by the (poly)phenols from the plant-rich food items within the habitual diet. Further dietary intervention studies are still required to explore the associations concerning health and cardiovascular diseases to provide sustainable evidence support for plant-rich diet recommendations.

## Author contributions

Conceptualisation, Y.L., A.R.M., and R.G.; data curation, Y.L., X.M. Y.X., M.L.S. and H.W.; formal analysis and writing – original draft, Y.L.; methodology, Y.L., Y.X., A.R.M. and R.G.; writing – review & editing; supervision, A.R.M., R.G., C.H., P. D., and C.N.; project administration and funding acquisition, A.R.M.

## Conflicts of interest

There are no conflicts to declare.

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