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## Alkyl-phenanthrenes in early life stage fish: differential toxicity in Atlantic haddock (*Melanogrammus aeglefinus*) embryos†

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Tricyclic polycyclic aromatic hydrocarbons (PAHs) are believed to be the primary toxic components of crude oil. Such compounds including phenanthrene are known to have direct effects on cardiac tissue, which lead to malformations during organogenesis in early life stage fish. We tested a suite of 13 alkyl-phenanthrenes to compare uptake and developmental toxicity in early life stage haddock (*Melanogrammus aeglefinus*) embryos during gastrulation/organogenesis beginning at 2 days post fertilization via passive dosing. The alkyl-phenanthrenes were tested at their solubility limits, and three of them also at lower concentrations. Measured body burdens were linearly related to measured water concentrations. All compounds elicited one or more significant morphological defects or functional impairment, such as decreased length, smaller eye area, shorter jaw length, and increased incidence of body axis deformities and eye deformities. The profile of developmental toxicities appeared unrelated to the position of alkyl substitution, and gene expression of cytochrome 1a (*cyp1a*) was low regardless of alkylation. Mortality and sublethal effects were observed below the expected range for baseline toxicity, thus indicating excess toxicity. Additionally, PAH concentrations that resulted in toxic effects here were far greater than when measured in whole crude oil exposures that cause toxicity. This work demonstrates that, while these phenanthrenes are toxic to early life stage fish, they cannot individually account for most of the developmental toxicity of crude oil, and that other compounds and/or mixture effects should be given more consideration.

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### Environmental significance

Of the thousands of chemicals in petroleum products, phenanthrene and other tricyclic compounds are believed to cause much of the toxicity. This study compares the toxic effects of a suite of alkylated-phenanthrenes in early life stages of a cold-water fish, the Atlantic haddock, a species that can be impacted by petroleum extraction in the Arctic. Some degree of toxicity resembling crude oil toxicity was observed for all tested compounds, albeit at high concentrations. The results suggest that additional compounds beyond PAHs and pollutant interactions play a larger role in the toxicity of petroleum products and environmental mixtures.

## Introduction

Crude oil is an immensely complex mixture known to cause developmental toxicity in fish early life stages. Despite this complexity, the primary cardiotoxicity of crude oil is coupled to the concentrations of tricyclic polycyclic aromatic hydrocarbons (PAHs) measured in both exposure water and tissue of exposed embryos.<sup>1–5</sup> PAH exposure levels are therefore often measured and used as the metric basis to represent the degree of crude oil toxicity.<sup>6,7</sup> When exposed individually, PAHs have been shown to reproduce many of the functional and morphological defects associated with exposure to the whole crude oil mixture.<sup>4–6</sup> Of particular interest are the tricyclic PAHs, like the prototypical phenanthrene which are known to be cardiotoxic.<sup>2,3,8</sup>

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† Electronic supplementary information (ESI) available: Data generated during this study are included: GC-MS/MS details, complete data for all treatments and plots for endpoints, water concentrations measured over exposure time, examples of biometric endpoints, significant endpoints sorted by compound alkylation, comparisons between repeated experiments, and chemical synthesis information. See DOI: <https://doi.org/10.1039/d2em00357k>



However, a major data gap lies in the fact that no single PAH acts at the same potency as in a PAH-containing mixture.<sup>5</sup> Studies characterizing the mechanisms of toxicity often exceed the aqueous solubilities (which vary between <1 and 10 000  $\mu\text{g L}^{-1}$ ) of the PAH compounds.<sup>3,9–14</sup> Thus, there is still a large unresolved discrepancy between toxicity studies with single compounds and complex oil mixtures.<sup>5</sup> Other components in oil likely contribute to mixture toxicity, possibly through activation of the aryl hydrocarbon receptor (AHR) which acts as a xenobiotic receptor.<sup>15,16</sup> Upon activation, AHR initiates metabolizing enzymes like cytochrome P450 1A (cyp1a) and others in the CYP family, which can form toxic metabolites.<sup>17,18</sup> In addition to suspected specific modes of action, a high accumulation of lipophilic compounds is believed to cause lethality and sublethal baseline toxicity by disrupting membrane fluidity and ion regulation.<sup>5,19</sup> Without understanding the toxicities of alkyl-phenanthrenes, we cannot make predictions on how they contribute to mixture toxicity.

To further understand the role of tricyclic PAHs in crude oil toxicity, we aimed to characterize the differential uptake and toxicity of phenanthrene and 13 alkyl-phenanthrenes in Atlantic haddock (*Melanogrammus aeglefinus*), a cold-water species of high ecological and economical importance. Phenanthrene and derivative compounds were selected because of phenanthrene's documented cardiotoxicity. Recently, Sørhus, *et al.* 2021 (ref. 1) reported the sensitivity of haddock to crude oil by exposing haddock eggs to a micro-dispersion of weathered crude oil for three days and measuring an array of morphological endpoints

after hatch. The single compound exposures in the present work were designed to be directly comparable to the whole oil toxicity study,<sup>1</sup> in addition to being a developmental screen of yet-unstudied alkyl-phenanthrenes. The suite of compounds includes nine synthesized compounds in addition to those commercially available. We used passive dosing techniques to achieve a constantly renewed exposure level at each compound's water solubility limit without the need for cosolvents. Exposures at multiple sub-solubility levels were also performed for a selected subset of compounds. After hatching, multiple endpoints were measured to assess defects in the body axis, craniofacial structures, and heart form and function. The results provide further foundations on how tricyclic PAHs individually contribute to the developmental toxicity of crude oil, or if other compounds or mixture effects should be given more consideration.

## Materials and methods

### Materials

**Chemicals.** Compounds used in exposures were either purchased commercially (Sigma-Aldrich Corporation, St. Louis, USA and ChemCruz™ Biochemicals, Dallas, USA) or synthesized at the University of Stavanger (Table 1). Phenanthrene-*d*10 (Chiron AS, Norway) was used as the internal standard for quantification. Purchased compounds were of purity  $\geq 98\%$ ; synthesized are expected to be  $\geq 97\%$  based on NMR and melting point analysis. Solvents used were chromatography

**Table 1** Properties of compounds used in exposures. The molecular structure of phenanthrene is given, where numbers denote the available substitution positions for alkyl groups. The degree of alkylation is indicated between no alkyl carbons (C0) and 4 alkyl carbons (C4)

Compound name	Abbreviation	Source <sup>a</sup>	Solubility, 25 °C, $\mu\text{g L}^{-1}$	$\log K_{ow}$	Exposures <sup>f</sup>
C0 Phenanthrene	PHE	Sigma-Aldrich	677 <sup>b</sup> , 1150 <sup>c</sup>	4.46 <sup>c</sup>	S, S/3, S/9, S/27, S/81 S, S/3, S/9 <sup>d</sup>
C1 1-Methylphenanthrene 2-Methylphenanthrene 3-Methylphenanthrene 4-Methylphenanthrene	1-MP	ChemCruz™	171 <sup>b</sup> , 269 <sup>c</sup>	5.08 <sup>c</sup>	S
	2-MP	UiS	263 <sup>b</sup> , 280 <sup>c</sup>	5.15 <sup>c</sup>	S <sup>d</sup>
	3-MP	ChemCruz™	263 <sup>b</sup> , 280 <sup>c</sup>	5.15 <sup>c</sup>	S
	4-MP	UiS	171 <sup>b</sup> , 269 <sup>c</sup>	5.08 <sup>c</sup>	S, S/3, S/9, S/27 S, S/3 <sup>d</sup>
	9-MP	ChemCruz™	247 <sup>b</sup>	4.89 <sup>b</sup>	S
C2 1,4-Dimethylphenanthrene	1,4-DMP	UiS	71.3 <sup>b</sup>	5.44 <sup>b</sup>	S, S/3, S/9 S, S/3 <sup>d</sup>
1,7-Dimethylphenanthrene	1,7-DMP	UiS	71.3 <sup>b</sup>	5.44 <sup>b</sup>	S
2,3-Dimethylphenanthrene	2,3-DMP	UiS	71.3 <sup>b</sup>	5.44 <sup>b</sup>	S
2,7-Dimethylphenanthrene	2,7-DMP	UiS	71.3 <sup>b</sup>	5.44 <sup>b</sup>	S
3,6-Dimethylphenanthrene	3,6-DMP	UiS	71.3 <sup>b</sup>	5.44 <sup>b</sup>	S
3-Ethylphenanthrene	3-EP	UiS	79.7 <sup>b</sup>	5.38 <sup>b</sup>	S
C3 3-Propylphenanthrene	3-PP	UiS	25.6 <sup>b,e</sup>	5.87 <sup>b,e</sup>	S
C4 Retene (1-methyl-7-isopropylphenanthrene)	RET	ChemCruz™	8.48 <sup>b</sup>	6.35 <sup>b</sup>	S

<sup>a</sup> UiS indicates synthesized at the University of Stavanger, Norway. <sup>b</sup> Estimated value, using KOWWIN v1.67 for log octanol–water coefficient ( $\log K_{ow}$ ); or WSKOW v1.41 for solubility.<sup>20</sup> <sup>c</sup> Value is the experimental value reported in U.S. Environmental Protection Agency 2015.<sup>20</sup> <sup>d</sup> Exposures performed in 2019. <sup>e</sup> Value shown is for 9-propylphenanthrene as values for 3-PP were not available. <sup>f</sup> S indicates exposures at solubility limits, and S/X are dilutions of solubilities.



grade or better: methanol (Chromasolv, Honeywell) and dichloromethane, and *n*-hexane (Suprasolv, Supelco). Translucent silicone rods (diameter: 2 mm and 3 mm) were custom-made and supplied by Altec Extrusions Limited (St. Austell, United Kingdom).

**Synthesis.** C1-compounds 2-MP and 4-MP were synthesized for another project through  $\text{PtCl}_2$ -catalyzed cyclization of the corresponding *ortho*-ethynylbiphenyls.<sup>21</sup>  $^1\text{H}$ -NMR spectra are provided in the ESI.† C2-compounds 1,7-DMP, 2,3-DMP and 2,7-DMP were resynthesized according to the procedures given by Böhme, *et al.* 2017.<sup>22</sup> C2-compounds 1,4-DMP, 3,6-DMP, and 3-EP and the C3-compound 3-PP were made by photochemical cyclization of stilbenes.<sup>23,24</sup> Full experimental details and NMR spectra are given in ESI, Part II.†

## Exposures

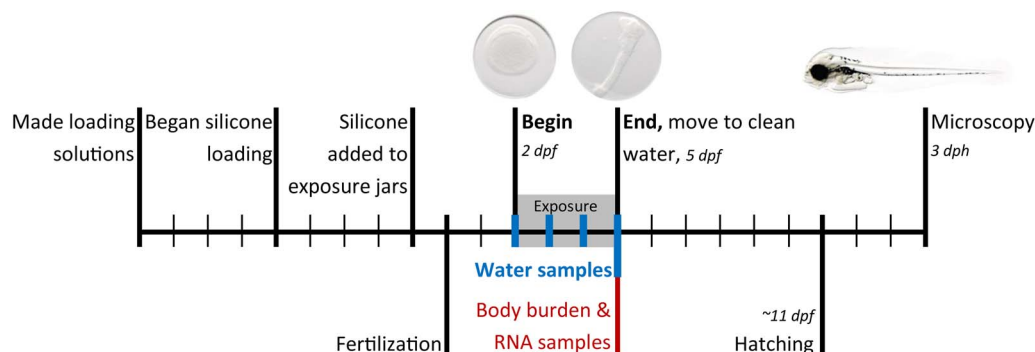
**Passive dosing preparation.** Passive dosing is performed by pre-loading a polymer with the exposure chemical. The polymer is placed in the exposure water to serve as a source that constantly replaces exposure chemical that is absorbed by tissues or the exposure vessel. The passive dosing method was adapted from Hammershøj, *et al.* 2020.<sup>25</sup> Silicone rods were cleaned in methanol overnight followed by two washes of ultrapure water and stored in ultrapure water until use. The rods (2 mm diameter) were cut to lengths of 25 cm and allowed to air-dry before loading. Saturated loading solutions were made by adding the excess neat compound to 30–50 mL of methanol, as evidenced by the remaining solid following 4 days with gentle shaking, with the exception of 3-EP and 3-PP whose neat form was liquid. Approximate amounts used were 1 g of PHE, 0.3 g of C1-, 0.5 g of C2-, and 0.2 g of C3- and C4-phenanthrenes. Silicone rods were loaded in saturated methanol solutions for a period of 2 days. The saturated methanol solution was then renewed to replace the concentration lost to the silicone, and the rods were loaded for another two days. Crystals were still observed in the final loading solutions, indicating that they were still saturated after loading the silicone—with the exceptions of liquids 3-EP and 3-PP. Silicone rods for control treatments were loaded in methanol. After loading, the silicone rods were wiped with lint-free tissues to remove any solid compound adhered to the surface. Methanol

was removed by three successive washes in ultrapure water, each lasting at least two hours. Finally, each loaded and rinsed silicone rod was placed in the bottom of a 100 mL glass jar for three days for pre-equilibration in 60 mL autoclaved seawater. All loading steps were done at 8 °C.

Three compounds, PHE, 4-MP, and 1,4-DMP were selected for additional exposures at several concentrations at dilutions of solubilities. These three were selected as they represent the non-substituted (C0), a methyl-substituted (C1), and a dimethyl-substituted phenanthrene (C2). Saturated methanol solutions were used for loading the silicone for the highest exposure (S), as described above. An aliquot of the saturated loading solution was filtered and diluted to sequential three-fold dilutions for loading lower levels: S/3, S/9, S/27, and S/81.

After reviewing preliminary data and making minor adjustments to the exposure system, the following exposures were repeated the subsequent year, 2019: PHE (S, S/3, S/9), 4-MP (S and S/3), 1,4-DMP (S and S/3) (Table 1). This time we increased the mass of silicone (from 1.2 g to between 8.6 and 12 g per jar), using a thicker, 3 mm diameter silicone rod, to yield more stable exposure concentrations. We also used fewer eggs, down from 120 to 100 eggs (from 0.26 g to 0.22 g). Exposure to one additional compound, 2-MP, was also conducted in 2019.

**Embryonic haddock exposures: developmental screen.** Fertilized eggs were collected from broodstock of Atlantic haddock kept at the Austevoll Research Station of the Institute of Marine Research, Norway. Exposures in the present study were targeted at the more sensitive (*early*) two developmental stages tested in Sørhus, *et al.* 2021.<sup>1</sup> Eggs were kept in incubators for 48 hours at 7 °C before transferring approximately 120 (or 100 in 2019) living eggs to exposure jars. Each treatment consisted of 3 replicate jars; the treatments are individually listed as columns in Tables S2 and S3.† Exposures (Fig. 1) began at 2 days post-fertilization (2 dpf; beginning of gastrulation) and ended at 5 dpf (20 somite or cardiac cone stage). That exposure window was selected in the current study to enable a close comparison with results from crude oil exposure reported in Sørhus, *et al.* 2021.<sup>1</sup> After the 72 h exposures, the eggs were moved to clean autoclaved seawater for approximately 8 days. Hatching occurred 5–6 days after exposures ended, and toxic endpoints were assessed 3 days post hatching (dph). Exposures



**Fig. 1** Experimental design, where each mark on the timeline represents a day. Exposures began at 2 dpf (beginning gastrulation) and ended at 5 dpf (20 somite or cardiac cone stage). dpf = days post fertilization, dph = days post hatching.



were started on separate days, hereafter referred to as batches, each with a control treatment that contained the silicone rods loaded in clean methanol. Another quality control treatment that contained no silicone (Si-free control) was included in three of the five batches.

## Analysis

**Analytical chemistry.** Water samples (1.0 mL) were collected in triplicate from each triplicate jar on day 0 (before adding eggs), day 1, day 2, and day 3. After adding internal standard, they were extracted using liquid–liquid extraction with two 1-mL volumes of dichloromethane. Water was removed from combined extracts with 0.2–0.4 g Na<sub>2</sub>SO<sub>4</sub>. Extracts were solvent exchanged into isooctane and reduced to 200 µL before analysis on GC-MS/MS.

For analysis of PAH body burden, one sample of 10–24 eggs was collected from each triplicate jar at the conclusion of the exposures (72 h) and snap-frozen in liquid nitrogen until extracted. For several treatments, the number of replicate body burden samples was limited by the number of remaining living eggs in a jar; the number of body burden replicates is provided in Tables S2 and S3.† After adding internal standard, the samples were homogenized and extracted as given in Sørensen *et al.* (2016)<sup>26</sup> using 1 : 1 *n*-hexane : dichloromethane, dried with 0.2 g Na<sub>2</sub>SO<sub>4</sub>, and cleaned up with Chromabond SiOH solid phase extraction columns (3 mL, 500 mg, Machery-Nagel, Germany) and eluted with 9 : 1 *n*-hexane : dichloromethane. Final extracts were reduced to 50–100 µL but diluted up to 1000 µL when necessary if the chromatographic response exceeded responses in the calibration curve.

Quantitative analysis of water and body burden samples was performed on an Agilent 7890 gas chromatograph coupled to an Agilent 7010c triple quadrupole mass detector (GC-MS/MS) based on the methods described in Sørensen, *et al.* 2016.<sup>27</sup> Specific MS/MS parameters are provided in Table S1.† Body burden samples were converted to a wet weight basis using the average mass of one egg, 0.00218 g ± 0.00018 g (mean ± SD). This average was calculated from a concurrent study<sup>1</sup> from 50 samples of ~100 eggs counted under a microscope, and gives a low relative standard deviation of 8%. We used this average value derived from a concurrent study with more eggs because it was difficult to remove small amounts of excess water from these samples containing as few as 10 eggs.

**Microscopy.** Ten larvae per triplicate jar at 3 dph were imaged at magnification 1.6× for the whole body, and at 6.3× for lateral craniofacial imaging. Larvae were immobilized in 3% methylcellulose in the left lateral position in pictures, and dorsal position in videos. A 20 second video at 6.3× was recorded to assess heart morphology and function.

Traditional morphological endpoints used in oil exposure<sup>1</sup> were measured and are demonstrated in Fig. S1.† Morphometric measurements were processed using the ObjectJ package in ImageJ. Standard length (µm), finfold (µm<sup>2</sup>), eye areas (µm<sup>2</sup>), eye-to-nose length (ethmoid plate, µm), and jaw length (µm) and angle (°) were measured. Net yolk (area of the yolk sac, µm<sup>2</sup>) and total yolk (area of the yolk sac and adjacent edema, µm<sup>2</sup>)

were measured. As a toxic endpoint, net yolk changes suggest over- or under-utilization of yolk sac nutrients. Yolk sac edema (%) was calculated: yolk sac edema = (total yolk – net yolk)/total yolk, where higher yolk sac edema indicates fluid dysregulation.

Three types of categorical phenotypes were assigned to each larva to describe the presence of deformities in the body axis, eye shape, and jaw shape. The presence of a body axis deformity (having spinal curvature or an arched or hunched spine) was noted. The incidence of eye shape deformity was scored according to 4 categories of phenotypes, severity dependent: (1) no effect, (2) eye bend (one protrusion from circular), (3) eye bulky (two or more protrusions from circular), and (4) lens out.<sup>28</sup> Finally, jaw deformities were scored by severity from (1) no effect, (2) slight deformity, including a thicker, shortened lower jaw, (3) moderate deformity, including a twisted jaw structure (4) severe deformity with straight jaw hanging open, to (5) no lower jaw structures. In statistical pairwise comparisons to control, the eye and jaw shape phenotype sets were each reduced to presence/absence of a deformity.

Heart rate was determined by manually counting the number of cycles of atrial and ventricular contractions in each 20 s-video collected at 3 dph. The diastolic ventricular area (µm<sup>2</sup>), and the atrial and ventricular major axis during the systolic and diastolic events were outlined in still images from the videos. Fractional shortening (FS, %) of the atrium and ventricle was then calculated: FS = (diastole diameter – systole diameter)/diastole diameter. The phenotype “silent ventricle” was also scored if FS was less than 2%.

**Gene expression.** A pool of 10 embryos from each triplicate exposure jar was collected at exposure stop (72 h) for total RNA extraction followed by real-time quantitative PCR analysis. Transcript abundance values for *cyp1a* were calculated by first normalizing to the geometric mean of the housekeeping genes (elongation factor 1 alpha, *ef1a* and retinoic acid receptor RXR beta A, *rxrba*), then normalizing to the mean of the respective controls. Detailed protocols for the following are given in Sørhus, *et al.* 2021.<sup>1</sup> RNA extraction, cDNA synthesis, real-time quantitative PCR performance, and primers and probe information for *cyp1a*, *ef1a* and *rxrba*. The C3- and C4-phenanthrene compounds (3-EP, 3-PP, and RET) were not analyzed for *cyp1a* expression due to inadequate sample material, and we had anticipated using RET as a positive control. However, internal standard responses were within parameters, and concurrent analyses of *cyp1a* in an oil exposure experiment<sup>1</sup> resulted in >10-fold induction that served the role of positive control. In addition, *cyp1c* expression was also quantified in the 2018 samples because they were analyzed along with a concurrent study.<sup>1</sup> Patterns of *cyp1c* (another marker of xenobiotic metabolism in haddock) expression followed *cyp1a* (Fig. S2†), a trend that was observed in Sørhus, *et al.* 2021 (ref. 1) and therefore serves as additional quality control in the present study.

**Statistics.** Two-sided tests were used to compare 16 toxicity endpoints (listed as rows in Tables S2 and S3†) to the respective, designated batch control. Normality and homoscedasticity (equal variance) of the data were checked using Shapiro–Wilk and Levene tests, respectively. Numerous groups had non-normal distributions, and unequal variances were present





within comparison groups. As a result, we used non-parametric tests. The ten observations in each of the triplicate exposure jars were composited to yield  $n = 30$ . A small number (up to 2 per treatment) of images were not usable because they were improperly focused. For binary data, *i.e.* presence/absence of silent ventricle or a body axis, eye, or jaw deformity, we used Fischer's exact test with Bonferroni *post hoc* comparisons,  $p < 0.05/m$ , where  $m$  is the number of treatments in the batch. For continuous data, *e.g.* larval length, eye area, or *cyp1a* expression, we used Mann-Whitney/Wilcoxon rank-sum tests, also with Bonferroni *post hoc* comparisons,  $p < 0.05/m$ , where  $m$  is the number of treatments in the batch. Two of the categorical phenotype sets, eye shape and jaw shape, were consolidated into two categories: either no deformity, or 1 or more deformity. Data for the relative expression of *cyp1a* were log-transformed before statistical comparisons were made. Combining into  $n = 30$  does introduce pseudo-replication, but it was done to be able to compare treatments to controls using non-parametric methods. Despite controlling for familywise error rates at the batch level, we limit large conclusions based on any one individual  $p$ -value in this large dataset.

One-way hierarchical clustering was performed to find groupings of compounds with similar significant endpoints. Linear regressions were used to relate expected dilution to exposure concentrations and body burden, exposure concentrations to body burden, and bioconcentration to  $\log K_{ow}$ . All statistics were performed using JMP 14 (SAS, Cary, NC, USA), R version 4.0.2, and Microsoft Excel 2016.

## Results

### Passive dosing

Exposures were well documented with quantitative analysis of water and body burden. Tables S2 and S3<sup>†</sup> include full details of each exposure treatment, dose level, and experiment year. The experiments with PHE, 4-MP, and 1,4-DMP were conducted at multiple exposure concentrations and corresponding body burdens. The 3-fold dilutions series of the methanol loading solutions led to predictable exposures at dilutions of solubilities (Fig. 2). There was one exception; in 2018, microcrystals of the

three test compounds were transferred from the saturated solution to their  $S/3$  dilutions. This resulted in lower effective dilution factors between  $S$  and  $S/3$ , especially for PHE and 4-MP (Table S4<sup>†</sup>). In subsequent, 2019 experiments, the  $S$  loading solution was filtered before serial dilutions.

Mean water concentrations achieved were not different between years in  $S$  treatments for PHE and 4-MP (two-sided  $t$ -tests,  $p$ -values = 0.30 and 0.26, respectively). Most water samples from 1,4-DMP in 2019 were lost due to laboratory error, so no such comparison could be made. For the purpose of presentation, results from both years'  $S$  treatments of PHE, 4-MP, and 1,4-DMP are combined in the main text, while complete results separated by year are in the ESI.<sup>†</sup> Water concentrations from the dilutions exposures do vary by year, and thus dilution results are only presented alongside all other treatments in Tables S2 and S3.<sup>†</sup>

Passive dosing can maintain constant test concentrations over time.<sup>29</sup> This was not fully achieved in the 2018 experiments, where water concentrations dropped 28–74% after the addition of eggs between sampling on days 0 and 1 (Table S5<sup>†</sup>). Concentrations were then stable between days 1 and 3 (Fig. S3<sup>†</sup>). In the 2019 experiments, the phase ratio between silicone and eggs was increased, which resulted in a more stable exposure with concentrations decreases of 10–17% within the first days. This moderate decline in water concentrations was difficult to avoid because of limited amounts and high costs of several of the test compounds.

### Developmental toxicity screen among alkyl-phenanthrene compounds

Passive dosing was used to establish and maintain concentrations of each substance at the saturation limit, which means that the diverse compounds were administered at their respective solubilities. Generally, concentrations of the compounds in the  $S$  treatments, *i.e.* at aqueous solubility, decreased with increasing alkyl substitution (Fig. 3A). Exposure concentrations of two C1 compounds (2-MP, 3-MP, and 4-MP) were comparable to PHE. Clear differences among isomers were noted, notably among the C1s, where exposures varied from

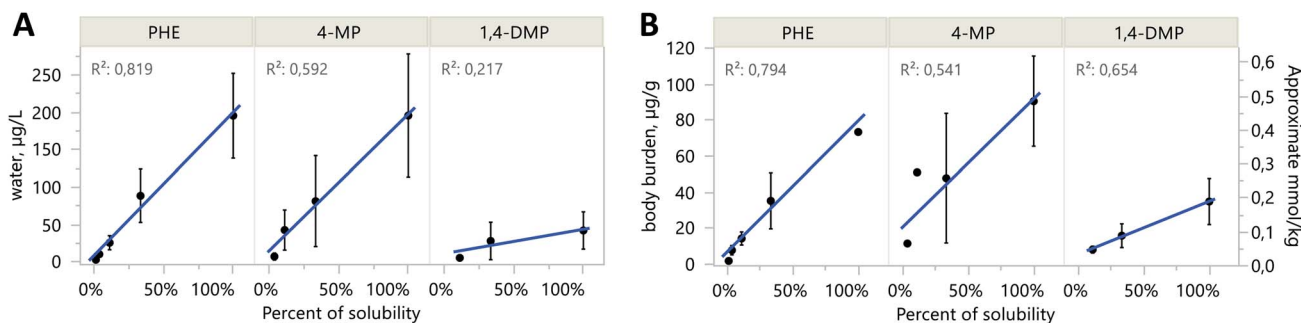
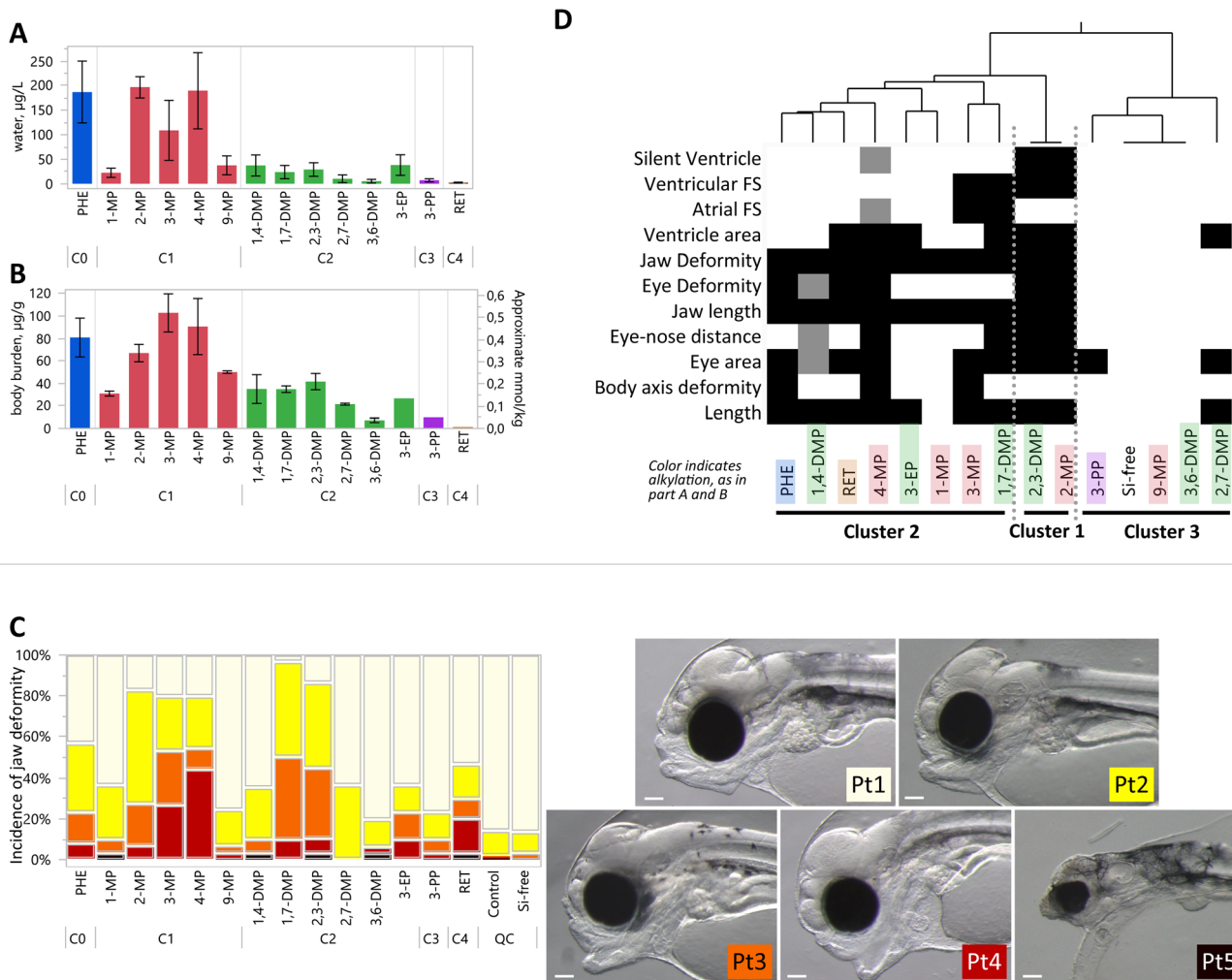


Fig. 2 Aqueous concentrations (A) and body burden levels (B) of passive dosing exposures are linearly related to expected dilution levels. Percent of solubility (x-axis) is the expected percent of solubility for the different exposure levels:  $S = 100\%$ ,  $S/3 = 33\%$ ,  $S/9 = 11\%$ , etc. Data points shown are mean  $\pm$  SD, including replicates from both years, and from all days 0–4 for water in (A). The right y-axis for body burden (B) in mmol  $\text{kg}^{-1}$  is approximate because of different molar masses among compounds.





**Fig. 3** Comparative developmental toxicity of exposures at solubility in water. Full results for all exposure levels and years are provided in the ESI†; only *S* treatments are shown here. (A) Water concentrations of each tested compound. Bars represent mean  $\pm$  SD, from all replicates on days 0–4, including replicates from both years where applicable. (B) Body burden concentrations at the end of the exposure. Bars represent the mean of *S* treatments  $\pm$  SD, including replicates from both years where applicable. The right y-axis for  $\text{mmol kg}^{-1}$  is an approximate value due to molar mass differences among compounds. (C) Incidence of jaw phenotypes depending on a severity gradient from Pt1 (cream), Pt2 (yellow), Pt3 (orange), Pt4 (red) to Pt5 (black), demonstrated in pictures at right. Scale bar is 0.1 mm. Jaw phenotype is shown as an example of one of 16 sublethal endpoints measured in this study. All bars represent 30 observations, except for PHE, 4-MP, and 1,4-DMP which are 60 observations, and Si-control which is 90 observations, combined from both exposure years. (D) One-way hierarchical clustering of differential toxicities, where shading indicates an endpoint that was significantly different from control. Lighter shades indicate the endpoint that was significant in one, but not in both the repeated exposures (Si-control exposure was performed three times). Clusters of compounds are identified 1–3, where cluster 1 shows the greatest number of significant toxic endpoints.

$22.4 \mu\text{g L}^{-1}$  for 1-MP, to  $197 \mu\text{g L}^{-1}$  for 2-MP. The lowest measured exposure concentration was for RET, at  $2.30 \mu\text{g L}^{-1}$ , which corresponded to the lowest measured body burden of  $1.28 \mu\text{g g}^{-1}$ . Full details of each exposure treatment, dose level, and experiment year are provided in Tables S2 and S3.†

Body burden concentrations were also decreasing with alkylation number (Fig. 3B). PHE ( $81 \mu\text{g g}^{-1}$  ww) and the C1-PHEs had the highest average values (between 31 and  $103 \mu\text{g g}^{-1}$  ww). Among the C2-PHEs, 3,6-DMP and 2,7-DMP had the lowest levels of body burden ( $<25 \mu\text{g g}^{-1}$  ww), and the lowest water concentrations ( $<15 \mu\text{g L}^{-1}$ ). The lowest body burden was measured in RET, at  $1.28 \mu\text{g g}^{-1}$  ww. Among the three

compounds with exposures at multiple concentrations, body burdens increased linearly with water concentrations for PHE ( $R^2 = 0.94$ ,  $p < 0.001$ ), 4-MP ( $R^2 = 0.69$ ,  $p < 0.001$ ), and 1,4-DMP ( $R^2 = 0.56$ ,  $p = 0.004$ ) (Fig. S4†). Body burden levels for any compound in control treatments were low and averaged  $0.019 \mu\text{g g}^{-1}$  ww.

Expression of *cyp1a* was generally low. The highest average relative expression measured in any treatment was less than 4-fold over control (Tables S2 and S3†), and is therefore not presented as a figure. Notably, however, 3-EP, 3-PP, and RET were not measured. Several of the treatments did have low, but significant induction compared to controls: PHE (*S*/9 in 2019),

4-MP (*S*/3 in 2019), 1,4-DMP (*S* and *S*/3 in 2019), and one of the 3 Si-free control treatments (2019) (Tables S2 and S3†). Expression of *cyp1a* was slightly negatively related to increasing body burden in each PHE ( $R^2 = 0.14$ ,  $p < 0.03$ ), 4-MP ( $R^2 = 0.38$ ,  $p < 0.001$ ), and 1,4-DMP ( $R^2 = 0.16$ ,  $p = 0.07$ ).

Morphometric endpoints were collected to quantify the degree of developmental toxicity. The results from one endpoint, jaw malformation, are presented in Fig. 3C as an example. Six compounds induced jaw malformation in over 50% of larvae including PHE (57%), 2-MP (83%), 3-MP (80%), 4-MP (80%), 1,7-DMP (97%), and 2,3-DMP (85%). The majority of jaw deformities among the C1-PHEs were moderate and severe deformity phenotypes (Pt3 and Pt4). In comparison, many phenotypes in the C2-group, 1,7-DMP and 2,3-DMP were less severe, with slight and moderate deformity phenotypes (Pt2 and Pt3).

All compounds elicited one or more significant morphological defects or functional impairment, measured with an array of 16 endpoints (Fig. S5†). Four endpoints were significant in at least one of the 3 Si-free controls: finfold, net yolk, jaw angle, and heart rate. Data for these endpoints were therefore not considered further in the comparative screen but are provided in the ESI†. Yolk sac edema was the only endpoint that was not significant in any treatment. Significant effects were distributed among compounds in all the alkylation groups, and include decreased length, smaller eye area, shorter eye-nose distance, and jaw length, and increased incidence of body axis deformities and eye deformities (Fig. S6–S8†). Craniofacial and body axis phenotypes were observed to be similar to those described in crude oil exposure, *i.e.* reduced jaw length, eye deformities, and spinal curvature.<sup>1,3,30–33</sup>

Toxicological endpoints for the compounds exposed at their water solubilities are condensed and represented in a heatmap in Fig. 3D, where hierarchical clustering highlights similarities among toxic responses. The compounds with the greatest number of significant endpoints are sorted into cluster 1, which includes a C1-phenanthrene (2-MP) and a C2-phenanthrene (2,3-DMP). Each cluster contains phenanthrenes with different numbers of alkyl substitutions, and therefore exposure concentrations and body burden varied considerably among the compounds within clusters. For example, cluster 2 includes both PHE with the highest and RET with the lowest body burden.

Mortality was significant in 4 compounds (PHE, 3-EP, 3-PP, and RET) although data were not available for seven of the tested compounds (Fig. S6†).

The tested compounds, when exposed at their solubility limits, resulted in differing degrees of cardiac toxicity. Morphological (ventricle area) and/or functional heart defects (atrial and ventricular FS, silent ventricle) were present in 3 of 5 C1 compounds, and 5 of 6 C2 compounds (Fig. S8†). No cardiac effects were induced by 1-MP, 9-MP, 3,6-DMP, or 3-PP. Atrial FS was lower than controls for 4-MP and 3-MP treatments but was higher than controls with 2,3-DMP. Ventricular FS decreased with 4-MP, 1,7-DMP, and 2,3-DMP. The only treatments with a significant incidence of silent ventricle were 2-MP and 2,3-DMP.

## Discussion

### Passive dosing and comparing body burdens

The maximum exposure level (*S*) varies for each tested compound based on specific physiochemical properties. Water solubility, lipid-water partitioning, and biotransformation all affect the resulting internal dose, which was expected to vary greatly within this homologue series.<sup>31</sup> Generally, higher alkylation led to lower water solubility (lower exposure concentration) and lower body burden. To be able to compare the compounds in this work, we consider responses elicited from the *S* exposures, which are exposure levels determined by each compound's limit of solubility. Indeed most of the compounds were exposed at only one level—a level limited by solubility that could theoretically occur in the environment. Differences in toxicity discussed here are then the combined outcomes of dose and potency. The purity of all compounds is expected to be at least 97%, although exact values are not available for the synthesized compounds (Table 1). While unlikely, even small amounts of impurities with high potencies could affect toxicities.

The presented exposures were performed on a sensitive, cold-water marine fish, at concentrations within the limits of solubility. Mechanistic or high-throughput studies have often exceeded the aqueous solubility of the PAH compounds<sup>9–12</sup> using co-solvents like dimethyl sulfoxide. The key advantages of using passive dosing techniques are that they (1) provide dissolved concentrations within solubility limits, (2) buffer concentrations against losses, and (3) avoid co-solvent addition. Both water concentrations and body burden were measured to thoroughly characterize the exposures. Comparisons to expected, published solubility values for most compounds are difficult because the present study was not conducted at standard conditions. Our experimental value for PHE, 187  $\mu\text{g L}^{-1}$ , was somewhat lower than 301  $\mu\text{g L}^{-1}$ , a temperature- and salinity-adjusted value derived from Whitehouse 1984 (ref. 34) (see comments in the ESI†).

The three days exposure time (72 h) used in the present study was selected to correspond to the experimental setup of oil exposure used in Sørhus, *et al.* 2021.<sup>1</sup> It was observed therein that three days of oil exposure at embryo stages were sufficient to induce severe developmental damages in haddock larvae. PAH-uptake studies from a 9-day oil exposure of haddock and cod embryos have shown that the body burdens of phenanthrenes were peaking after three days of exposure and rapidly declined thereafter.<sup>32</sup> This shows that PAH elimination has a major impact on the bioaccumulation of fish embryos during oil exposure.

Single compound exposures are likely to follow different uptake and depuration kinetics. In Atlantic cod (*Gadus morhua*), a close relative to haddock, phenanthrene, and other more hydrophobic compounds had not yet reached a steady state in larval exposures after 72 hours of exposures at 6.5 °C.<sup>35</sup> Accordingly, we expected that the test compounds in the present study would also be in the uptake phase and would not yet have reached a steady-state equilibrium. More hydrophobic



chemicals will have higher bioconcentration at equilibrium, ignoring metabolism; however, a steady state was *not* reached in this study. Instead, the more hydrophobic chemicals gave lower body burdens at a fixed exposure time because they require a longer time to reach a steady state. These findings are consistent with kinetically limited bioconcentration.<sup>36</sup> The highest body burdens were found among the C0 and C1-phenanthrenes which have a shorter time to steady-state. Bioconcentration factor (BCF,  $\text{L kg}^{-1} \text{ ww}$ , equal to body burden divided by exposure concentration) increased with alkylation and octanol–water coefficient,  $K_{\text{ow}}$  (Fig. S9†). Importantly, BCF values in this study are not at equilibrium. Therefore, we avoid comparisons among compounds or with published literature. Nevertheless, RET appears to be a low outlier, with a lower body burden than would be predicted from its  $K_{\text{ow}}$ . RET is known to be rapidly metabolized<sup>9,11,37</sup> although *cyp1a* samples for RET were not collected in this study.

Increasing the mass and surface area of the passive dosing material can be used to more quickly counteract depletion from the water phase.<sup>25</sup> Passive dosing has been used to effectively deliver constant concentrations over time,<sup>38,39</sup> although deviations have been noted with increased presence of organic or microbial material late in the assay.<sup>40,41</sup> In our 2018 experiments, the ratio of silicone to eggs was too low (both by mass and by surface area) and the rate of uptake into the eggs exceeded the release from silicone. This was observed through a concentration decrease after the addition of eggs. Therefore, in 2019 we repeated some experiments with a higher silicone mass (8–11 times, surface area 5–7 times) and reduced egg number (17%). Concentrations after day 0 showed a smaller drop in these experiments. The eventual mass of silicone was limited by two constraints, a higher mass of neat compound was needed to load more silicone, and 100 eggs were the minimum number of eggs to ensure enough animals survived until sampling and imaging.

A subset of three compounds were exposed both at solubility, and at subsequent dilutions below solubility: PHE, 4-MP, and 1,4-DMP. These exposures were repeated in two separate years for two purposes. First, the repeated year allowed us to refine the passive dosing technique, and we achieved more stable exposure concentrations by increasing the relative amount of silicone. Filtering the methanol loading solution yielded better control over dilutions in 2019, which were closer to the 3-fold dilutions we had been aiming for. Second, the repeated year provided replicate data for toxicity results. Because of the small changes to the passive dosing technique that led to different exposure concentrations in the dilutions, the toxicity results for the dilution exposures cannot be compared strictly between years. They can be compared more generally, however, because mean concentrations in water and body burden uptake were similar (read, most within a factor of 2) between the two years with repeated exposure levels (Fig. S10†). For example, 4-MP (S) exhibited 9 toxic endpoints in 2019 (Table S3†); these 9 along with 2 more had been observed in the 2018 experiment (Table S2†); water concentrations were similar in both years. As a counter example, PHE (S) had 6 sublethal endpoints in 2018, but only 3 in 2019, despite similar mean water concentrations

(184  $\mu\text{g L}^{-1}$  in 2018, and 194  $\mu\text{g L}^{-1}$  in 2019). We theorize that differences between years might be attributed to developmental timing and the concentration profile over time. In 2018, the concentration was initially higher, then dropped by 28–74% after adding eggs, while concentrations were more stable over the three days in 2018 (Table S5 and Fig. S3†). We refrain from in-depth concentration–response analysis of PHE, 4-MP, and 1,4-DMP because of the inconsistencies in passive dosing between years, and also because the key objective of this work was to compare differential toxicities among the larger family of alkyl-phenanthrenes.

Static (single dose) and semi-static (daily solution renewal) toxicity tests are often criticized because test concentrations can decline significantly due to sorptive and evaporative losses.<sup>31</sup> Passive dosing overcomes this problem by making freely dissolved test concentrations a controlled rather than dependent experimental variable. While passive dosing requires substantial preparation (washing, loading, equilibrium), the present and other related studies demonstrate that passive dosing can provide stable test concentrations for extended periods of time.<sup>42</sup> We suggest finer filtration of loading solutions to remove microcrystals of the solid compound, and of water samples before extraction to remove small suspended organic material.

### Differential toxicities among alkyl-phenanthrene compounds

The present work was conducted at one or only a few concentrations for each compound, thus it was not possible to calculate and compare median effective concentrations (EC50) values. Instead, the compounds' toxicities were compared at the concentrations determined by their physicochemical properties; the less-alkylated phenanthrenes were more water soluble and resulted in higher aqueous exposure concentrations. The profile of developmental toxicities appeared unrelated to the position of alkyl substitution, and expression of *cyp1a* was low regardless of alkylation.

Evidence of differential mechanisms of toxicity is reported within the PAH homologue series, and even among alkyl isomers with the same  $K_{\text{ow}}$ .<sup>31</sup> Among phenanthrenes, the clearest example is PHE which acts directly on cardiac cells to reduce cardiac function.<sup>3,8,43–45</sup> In contrast, RET responses are mediated by AHR but are *cyp*-independent, and first lead to morphological cardiac disruption with resulting impairment in cardiac function.<sup>12,31</sup> RET strongly induces expression of *cyp1a*, unlike PHE, yet both are metabolized by CYP1A.<sup>9,37</sup> Compounds with different modes of action, however, can lead to similar endpoints. For example, reduced eye size during development can arise from disruption of *cyp*-balance,<sup>28</sup> or as a secondary effect from circulatory effects.<sup>3,46</sup> Despite different known mechanisms of action, PHE and RET clustered together in the present analysis (Fig. 3D). The diversity of outcomes among the phenanthrene analogs exposed at their water solubilities supports the notion that effects of crude oil and PAHs are the result of multiple mechanisms of action.<sup>47</sup>

Induction of *cyp1a* is a sensitive biomarker for exposure to petroleum mixtures, and haddock embryos at this early age are indeed mature enough to metabolize.<sup>1</sup> Following exposure to





crude oil, Cyp enzymes play an overall protective role by initiating metabolism that ultimately leads to excretion of oil compounds.<sup>47</sup> Paradoxically, phenanthrene is a poor inducer of *cyp1a* although it is readily metabolized by Cyp enzymes.<sup>37</sup> Thus, when other Cyp-inducers are present, *e.g.*, after oil exposure, PHE is a good substrate for Cyp1a and Cyp1b and is rapidly metabolized and eliminated.<sup>31,37</sup> Schober, *et al.* 2010 (ref. 48) also demonstrated that phenanthrene is metabolized by human and mouse Cyp1a1, Cyp1a2, Cyp2e1, and human Cyp1b1. In the present study, *cyp1a* expression was low across all these tricyclic PAHs, despite high body burdens. Like PHE, our data suggest that these alkyl-phenanthrenes are also poor inducers of their own metabolism (excluding RET, 3-EP and 3-PP which were not tested). We observed weak, slightly negative correlations with *cyp1a* induction for each PHE, 4-MP, and 1,4-DMP, which suggest they may slightly impede their own metabolism. We theorize that the body burden levels achieved here are therefore higher than what could be expected in a worst-case environmental oil exposure that would include metabolism-stimulating compounds.

The location of the alkyl substitution on the phenanthrene molecule can affect metabolism and toxicity.<sup>31</sup> Some alkyl-phenanthrenes, notably RET, are known to induce expression of *cyp1a*. Sun, *et al.* 2014 (ref. 49) reported that alkyl substitution on phenanthrenes increases potency in the AHR signaling pathway for xenobiotic metabolism, which includes CYP genes as targets. They also observed that equatorial substitutions (at the 1-, 2-, or 3- positions) have higher potencies than with substitutions at the 4- or 9-positions. Recent findings from Wang, *et al.* 2022 (ref. 50) show that alkyl substitutions in the 1- or 9-positions have higher mutagenicity compared to 2- and 3-positions. Wolinska, *et al.* 2013 (ref. 51) studied the *cyp1a* induction by 1-MP and 4-MP in the gills and liver of roach fish and found that expression was similarly low at <10-fold increase in induction following 2 or 7 days exposure at 100  $\mu\text{g L}^{-1}$ . With only small changes in *cyp1a* expression overall, we observed no trends with the alkylation position.

Alkyl substitutions on phenanthrene can block or inhibit pathways and change the array of metabolites and degree of toxicity.<sup>52,53</sup> Altered metabolism likely plays a role in toxicity among homologues as different metabolite pathways will yield different metabolites and toxicities. Metabolites can be more toxic than PAH itself.<sup>15,17,18,54</sup> The metabolites of RET are strongly considered suspects for toxicity alongside RET.<sup>37,55</sup> Hydroxylated phenanthrenes are found to be up to 4 times more toxic than their non-hydroxylated equivalents.<sup>17</sup> Additionally, the presence of an alkyl group enables the formation of carboxylic acid metabolites.<sup>56</sup> Differences in metabolism among the tested phenanthrenes (that either detoxify or bioactivate) may explain some differences in toxicity.

Several of the commercially available compounds herein have been tested in other early life stage fish assays. Turcotte, *et al.* 2011 (ref. 41) demonstrated a link between the chemical structure and toxicity in Japanese medaka (*Oryzias latipes*) in a series of alkyl-phenanthrenes that included 1-MP, 1,7-DMP, 2,7-DMP, and RET, where toxicity generally increased with alkylation and hydrophobicity when comparing the presence of

deformities and edemas with EC50. Values for EC50 are not calculated in the present study as most compounds were exposed only at their solubility in water. Geier, *et al.* 2018 (ref. 10) performed a screen for over 100 s PAHs in embryonic zebrafish (*Danio rerio*). They observed no significant morphological or lethal effects for PHE and 3,6-DMP up to 50  $\mu\text{M}$  nominal concentration (8900  $\mu\text{g L}^{-1}$  for PHE and 10 300  $\mu\text{g L}^{-1}$  for 3,6-DMP). In contrast, we observed toxic morphological effects for PHE at concentrations hundreds of folds lower as low as 10.8  $\mu\text{g L}^{-1}$  (reduced eye size). Differences in observed toxicity might in part be due to differences in exposure parameter (nominal *versus* measured concentration) and dosing regimen (passive dosing *versus* solvent spiking).

Similar to another study in early life stage marine medaka (*Oryzias melastigma*),<sup>9</sup> we observed RET to be more toxic than PHE in haddock embryos. Five developmental phenotypic endpoints (shorter length and jaw, smaller eye and ventricle area, and more eye deformities) were significantly different after exposure to RET (2.30  $\mu\text{g L}^{-1}$ , SD = 0.974), but we observed no toxicity to a comparable level of exposure to PHE (3.40  $\pm$  1.26  $\mu\text{g L}^{-1}$ , S/81, 2018). The resulting body burdens were similar between these two selected exposures: PHE was 2.11  $\pm$  0.264  $\mu\text{g g}^{-1}$  ww, and RET was 1.28  $\mu\text{g g}^{-1}$  ww (no replicates). Previously, a comparatively lower RET body burden has been cited as evidence that RET is metabolized faster.<sup>9,11</sup> RET body burden was also low in the present study, which we expect is a result of high metabolic turnover despite not being able to collect samples for *cyp1a* expression. Both PHE and 3,6-DMP were also tested in Japanese medaka (*Oryzias latipes*), but there were little effects for either in terms of hatching success or blue sac disease presence.<sup>57</sup> Like differences in exposure techniques, other differences in experiments like removal of the chorion, temperature, and rate of embryonic development and metabolic capacity can complicate inter-study comparisons (even when two studies are designed to be comparable—see section *Comparisons to crude oil exposures* below).

### Cardiotoxicity

The developmental screening study design did not allow for assessment of acute cardiotoxicity, as 8 days passed between exposure and microscopy. The test compound may have had time to depurate, and body burden content at the time of microscopy (3 dph) was not measured. PHE directly affects the electrophysiology of cardiomyocytes.<sup>8</sup> Considering that the acute, functional effects of phenanthrene are transient,<sup>3</sup> the significant changes in the developmental screen are either the result of irreversible cardiac damage incurred earlier, or poor elimination of components that have acute effects. However, downstream morphological effects can be caused by the acute, functional effects, even after those acute, functional effects have resolved with the removal of the compound.<sup>3</sup> Sublethal effects of crude oil can affect aerobic activity and swimming performance<sup>2</sup> and cause delayed mortality.<sup>58</sup> No dose of PHE in the developmental screen resulted in significant changes in contractility (atrial or ventricular FS). The minimal degree of functional heart defects for PHE after the depuration period in



the present study suggests that any transient effects on *function* did not result in significant, malformations in the heart at the conclusion of the study, three days after hatch.

Exposure before heart development can lead to fluid and lipid dysregulation that is correlated with craniofacial skeletal defects<sup>1,3,58</sup> and eye malformations.<sup>46</sup> In a recent study with developing haddock, exposure to ~200 µg per L PHE from 8 to 14 dpf<sup>46</sup> resulted in a similar degree and incidence of craniofacial defects as the PHE exposures in the developmental screen in the present study. This suggests that craniofacial effects are at least similar whether exposed to phenanthrene at 2–5 dpf or 8–14 dpf. Additionally, comparable toxicities were seen with exposure to oil microdispersion from 7 to 10 dpf (“late exposure” in the comparable study by Sørhus, *et al.* 2021 (ref. 1)), yet the concentration of total PAHs was up to 20-fold less than levels in the present study, between 0.5 and 9.7 µg L<sup>-1</sup>. PHE and crude oil can result in similar phenotypes, but PHE appears individually much less potent than when exposed to a mixture. With the present study design, we cannot directly connect early, acute functional effects to the later craniofacial effects as have been documented in zebrafish.<sup>3</sup>

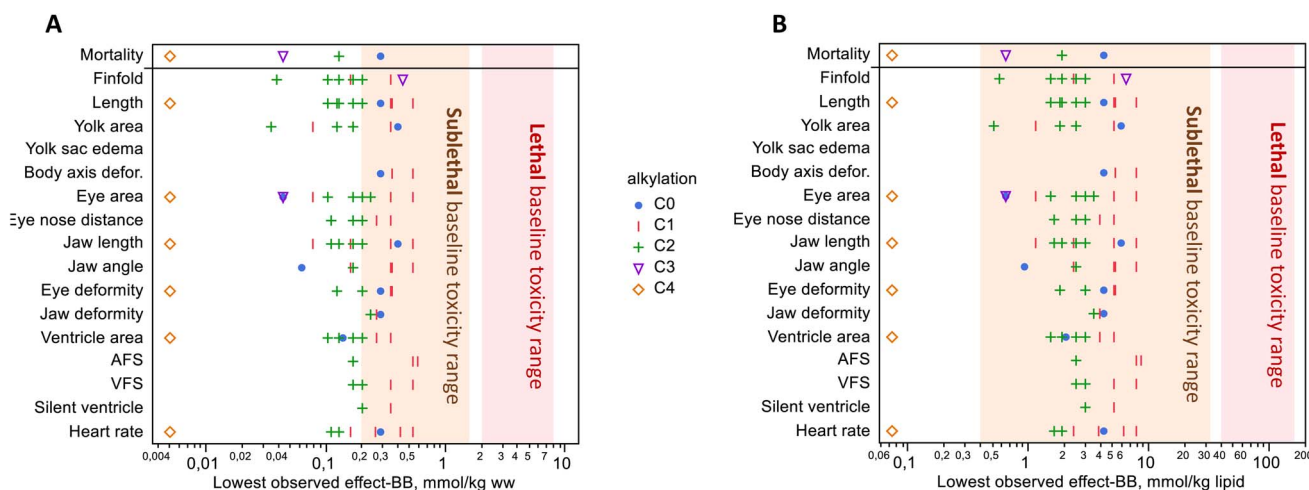
### Baseline toxicity

Hydrophobic compounds like those in crude oil are purported to act by a nonspecific mode of action, baseline toxicity, in which a high accumulation of lipophilic compounds disrupts membrane fluidity and ion regulation.<sup>5,19</sup> Critical body residue for mortality from baseline toxicity, often called narcosis, is 2–8 mmol kg<sup>-1</sup> ww in small, aquatic organisms, or 40–160 mmol kg<sup>-1</sup> lipid.<sup>5,19,59</sup> Among the treatments with significant mortality, body burden ranged from 0.40 µmol g<sup>-1</sup> ww (PHE S, 2018) down to 0.005 µmol g<sup>-1</sup> ww (RET), all of which were below the suggested mortality range (Fig. 4A). This observed excess toxicity points to mode(s) of action other than baseline toxicity because mortality occurred at lower body burdens.

Sublethal baseline toxic effects on behavior, growth, and development occur in the concentration range of 0.2–1.6 mmol kg<sup>-1</sup> ww (0.4–32 mmol kg<sup>-1</sup> lipid), approximately 5–10 fold lower than mortality.<sup>19,59,60</sup> Our results showed toxicity at concentrations that were around the lower limit of this range. Approximately half of the body burdens with the lowest observed sublethal effects occurred below this range when compared on a wet weight basis (Fig. 4A). A dose–response study is needed to establish the lowest observed effect concentrations, and we did test multiple exposure levels for 3 of the 14 PAHs in the present study. For the remaining compounds exposed at a single dose, we consider that concentration to be the lowest observed effect concentration if that effect was significant, for the purpose of comparing to sublethal baseline effects. When the lowest observed effect body burdens were adjusted for the lipid weight in haddock eggs (6.8 mg lipid per g ww),<sup>26</sup> almost all sublethal endpoints fell within the baseline toxicity range (Fig. 4B). It should be noted that lipid content was not measured in this study, and conversion to these units is approximate just for the purpose of comparison. These findings are similar to recent zebrafish experiments using passive dosing with PHE, in which sublethal effects were generally within the expected range.<sup>60</sup> Along with the excess mortality, the disparate profile of effects within this homologue series suggests that there are multiple other, more specific, and possibly yet-to-be-determined modes of action at play. Recent proteomic and metabolomic findings from exposure to a binary mixture of RET and fluoranthene in rainbow trout support this notion that mixture toxicity arises from multiple pathways rather than one.<sup>61</sup>

### Comparisons to crude oil exposures in Sørhus, *et al.* 2021 (ref. 1)

Oil exposure leads to craniofacial deformities, functional and morphological cardiac abnormalities, and osmoregulatory



**Fig. 4** Lowest observed effect levels with significant toxicity *versus* control, with units in wet weight (A) and lipid weight (B). Mortality occurred at concentrations well below the range of lethal baseline toxicity. Significant mortality was observed in only 4 of the 7 compounds where mortality was measured. Sublethal effects were observed below and within the range of expected sublethal base toxicity. Compounds are grouped by the degree of alkylation; see Fig. S11† for a figure that shows individual compounds. No data are shown if the effect was not significant at any exposure level(s); refer to Table 1 for which compounds were exposed to only 1 or several levels.



disruptions (edema).<sup>4,5</sup> Despite differential toxicities even within a homologue group, when exposed to the complex mixture of crude oil, the embryotoxic effects are consistent across species and geologically distinct oils.<sup>4</sup> These common syndromes of developmental abnormalities were also observed following exposure to individual phenanthrene compounds in different severity levels in the present study, however, the concentrations needed were higher.

The timing for the present study design was modeled after a recent study that exposed haddock to crude oil microdispersion for 3 days.<sup>1</sup> In the high dose, early exposure concentration in the comparable study (*H surf early*, 300  $\mu\text{g}$  oil per L, sum PAHs in water = 6.4  $\mu\text{g L}^{-1}$ ), Sørhus, *et al.* 2021 (ref. 1) reported that body burden for total measured PAHs reached 7.8  $\mu\text{g g}^{-1}$  ww (of which 24% were C0–C4-phenanthrenes). This dose resulted in numerous significant effects—jaw, eye, and heart deformities, edema, and reduced heartbeat and ventricle fractional shortening. By comparison, body burden in the present study reached as much as 10 times higher (103  $\mu\text{g g}^{-1}$  ww, 3-MP), and only RET was below that level among all the treatments (1.28  $\mu\text{g g}^{-1}$  ww).

These direct comparisons with whole exposures are complicated by the “stickiness” of haddock eggs. Atlantic haddock are particularly sensitive to crude oil exposures in the early egg phase because of a hydrophobic chorion membrane that attracts microdroplets of oil, making them approximately 10 times more susceptible to the toxic effects of oil than closely related cod (*Gadus morhua*).<sup>32,62</sup> This effectively extends the exposure duration as oil droplets adhered to the chorion remain after exposure stops. Unlike our previous reports of toxicity from crude oil with microdroplet dispersions, the passive dosing system delivered the test compound exclusively in the dissolved phase, and the effective exposure period was not extended past 72 hours.

The hydrophobic surface of the haddock eggs allows for exposure to more oil components that are less water soluble. Another meaningful comparison of the present study to an oil mixture could be to the water-soluble fraction (WSF) where oil droplets were filtered out. However, in Sørhus, *et al.* 2021 (ref. 1) WSF high dose (*H WSF early*, 300  $\mu\text{g}$  oil per L), the exposure, and body burden concentrations (sum PAHs in water = 3.7  $\mu\text{g L}^{-1}$ ; body burden = 0.98  $\mu\text{g g}^{-1}$  ww) were lower than in the present study. These embryos in the comparable study were nearly unaffected across a similar array of endpoints that were measured in the present study. In contrast, a degree of toxicity similar to the present study was observed in the *low* treatment of Sørhus, *et al.* 2021 (ref. 1) (microdroplets not filtered out, 30  $\mu\text{g}$  oil per L, sum PAHs in water = 0.47  $\mu\text{g L}^{-1}$ ). Body burdens in their *low* dose reached 0.18  $\mu\text{g g}^{-1}$  ww (of which 25% were phenanthrenes) and 7-fold increased *cyp1a* expression, suggesting that comparatively lower doses of PAHs are associated with toxic effects when part of the whole oil.

Drastically different metabolic rates also complicate comparisons of body burden levels and toxicity between exposures to mixtures and single compounds. Metabolism also has the potential to bioactivate as well as detoxify. At 72 h of exposure for the unfiltered high dose, in the comparable study<sup>1</sup>

*cyp1a* expression levels were nearly 200-fold higher than controls, suggesting efficient elimination. For WSF, *cyp1a* was elevated 30-fold, indicating a higher elimination rate than any treatment in the present study even though we were measuring substantially higher measured PAH body burden. In contrast, *cyp1a* levels in the present study were no more than 4-fold higher than controls, reflecting a lower metabolic turnover. In the absence of the complex mixture of the oil, the eggs in the individual compound exposures of the present study were able to accumulate to higher tissue concentrations that likely remained elevated longer. In a crude oil microdroplet exposure, the effects of the hydrophobic eggs (extending exposure duration) and the enhanced metabolic rate (reducing exposure duration and/or bioactivate) counteract each other to an unknown degree. The *low* dose resulted in higher toxicity than WSF despite lower exposure amounts,<sup>1</sup> suggesting either that (a) more lipophilic compounds in the adhered oil microdroplets increase toxicity, (b) the adhered microdroplets effectively extended the exposure period, (c) water-soluble oil components are more easily eliminated by xenobiotic metabolism, or (d) a combination. As with exposures to only the water-soluble components of oil, the single phenanthrenes in the present study require greater concentrations to yield the same degree of toxic outcomes as oil.

## Conclusions

All the tested compounds induced one or more toxic outcomes at concentrations at their solubility in water. Among the 14 tricyclic PAHs studied here, no clear trend emerged that links the chemical structure of the homologues with early life stage toxicity. Effects of metabolism and the hydrophobic layer on haddock eggs complicate direct comparisons of exposures between single compounds and complex mixtures.

In this work, the exposure levels with significant toxic effects lie between two ranges. First, the body burdens with significant mortality are well below the expected range for lethal baseline toxicity, and the sublethal effects occurred at around the lower end of the expected range for sublethal baseline toxicity. The excess toxicity presented here, especially mortality, suggests that these compounds have more specific modes of action than nonpolar narcosis. Second, the effect concentrations in the present study are much higher than concentrations of PAHs in crude oil exposures that cause toxicity in Sørhus, *et al.* 2021,<sup>1</sup> a comparable study. These results suggest that these individual phenanthrenes can indeed produce the array of morphological toxic effects seen with crude oil, but the required dose is much higher than when part of a complex mixture.

Recently, the notion that individual PAHs are the major, or even sole, responsible toxicants in crude oil mixtures is being challenged.<sup>5</sup> Further mixture toxicity experiments are needed, including (a) a bottom-up approach using simple, low-concentration mixtures of PAHs, and (b) a top-down approach like effects-directed analysis combined with ever more advanced chemical analyses. The scope of the present study was limited to phenanthrenes, however, further studies should be aimed to understand the individual toxicities of other groups of



PAHs that are suspected to be potent through specific mechanisms of action. Such studies will help narrow down and identify the causative individual toxicants or combinations in crude oil and petroleum products.

## Ethical statement

The Austevoll Research Station has permits from the Norwegian Directorate of Fisheries to catch and maintain stocks of Atlantic Haddock (H-AV 77, H-AV 78, and H-AV 79). No permits are needed for work with embryos and yolk sac larvae.

## Author contributions

SM, CED, CLN, and PM designed and carried out the passive dosing experiments. KBJ, HKB, CS, and SK synthesized the compounds. CED, CLN, ES, PP, and SM collected and analyzed microscopy images. ES performed qPCR, and CLN and CED performed chemical and statistical analysis. CED, CLN, ES, and PP drafted the manuscript with the help of all authors.

## Conflicts of interest

The authors declare no competing financial interests.

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