

Normalized signal

(a) PAH cluster, alkylated'

(b) PAH cluster, alkylated-sec OC'

(c)

Wind direction and speed → 10 m/s

Particle size (nm)

Organic Markers for Ship Emissions



Johannes Passig *et al.*  
Detection of ship emissions from distillate fuel operation *via*  
single-particle profiling of polycyclic aromatic hydrocarbons

## PAPER

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Cite this: *Environ. Sci.: Atmos.*, 2023, 3, 1134

# Detection of ship emissions from distillate fuel operation *via* single-particle profiling of polycyclic aromatic hydrocarbons†

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Using novel ionization technologies in single-particle mass spectrometry (SPMS), we analyzed the polycyclic aromatic hydrocarbons (PAHs) on individual particles from a research ship engine running on marine gasoil (MGO). We found a rather uniform PAH signature on the majority of particles. The PAH pattern is stable for all engine loads and particle sizes and differs from typical signatures of other pyrogenic and petrogenic PAH sources. Based on this observation, we conducted a field experiment and observed that the appearance of this PAH signature is associated with marine air masses. Moreover, we could detect the plume of a single ship passage at 15–20 km distance by the transient appearance of particles with the same distinct PAH profile. Consequently, we suggest the use of the specific PAH pattern as a new marker to detect and monitor ship emissions, independent of the conventional metal signatures that are not applicable for compliant fuels in emission control areas and coastal waters.

Received 17th April 2023

Accepted 12th July 2023

DOI: 10.1039/d3ea00056g

rsc.li/esatmospheres

## Environmental significance

Air pollution from ships affects the atmospheric environment, with substantial impact on public health and climate. Emission control areas (ECAs) were installed worldwide to protect coastal regions against ship emissions by limiting the fuel's sulfur content. In ECAs, the traditional bunker fuels are replaced by *e.g.* marine gasoil, which also produces aerosols with severe health effects. Such distillate fuels do not contain metals from the refinery process. Thus, the traditional marker concept for ship emissions becomes obsolete because it is based on these metals. Ship emission detection, source apportionment and risk assessment therefore require novel marker concepts. Here we target this gap by introducing a new method to detect ship emission particles by using their content of polycyclic aromatic hydrocarbons.

## Introduction

The marine transport sector is a major contributor to the global burden of air pollution. While nearly all air pollutants in Europe

and the U.S. have decreased within the last few decades, ship emissions have changed less and currently contribute substantially to the total emissions of PM 2.5.<sup>1–7</sup> Regulations target the fuel sulfur content by a global 0.5% sulfur cap since 2020 and a maximum of 0.1% S in sulfur emission control areas (SECAs), *e.g.* along the U.S. coast, the entire North Sea and Baltic Sea as well as in many harbors and coastal regions worldwide.<sup>8</sup> Shipowners can either switch to compliant low-sulfur fuels (*e.g.* marine gasoil and MGO) or install an exhaust cleaning device ('scrubber'), allowing them to use the cheaper high-sulfur heavy fuel oils also in SECAs.<sup>9,10</sup> While the sulfur regulations and the resulting changes to cleaner fuels reduced total particulate matter emissions with benefits for ecosystems and human health,<sup>11–13</sup> the use of scrubbers can have collateral effects on the environment.<sup>10,14–16</sup> Beyond the sulfur aspect, the fuel type strongly affects the physical and chemical properties of the emissions<sup>17–19</sup> and their health effects.<sup>20,21</sup> Most ships in SECAs currently operate with MGO,<sup>9</sup>

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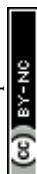
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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3ea00056g>



however, the use of these cleaner fuels without filter technologies also produces severe health effects.<sup>21</sup>

Estimates of the burden of air pollution from ships are mainly based on laboratory/on-ship studies<sup>18,22,23</sup> and transport modelling.<sup>24</sup> Monitoring of ship plumes under clean air conditions is possible through gas phase measurements<sup>25–27</sup> and transient increases in particle number concentrations, black carbon or SO<sub>x</sub>.<sup>28,29</sup> However, in populated coastal regions with complex aerosols, source apportionment relies on chemical markers for shipping, usually combinations of the transition metals vanadium(V), nickel (Ni) and iron (Fe).<sup>30–32</sup>

Single-particle mass spectrometry (SPMS) detects these particle-bound metals in real time,<sup>33–36</sup> and hence, it is applicable for monitoring ship emissions and source apportionment in complex environments.<sup>37–40</sup> Recently, the sensitivity of SPMS to metals has been increased by exploiting laser-atom resonances.<sup>41</sup> With this approach, individual ship plumes have been detected in an urban background from >10 km distance, and also from ships with scrubbers installed.<sup>42</sup>

Of note, source apportionment based on transition metals is only applicable if the ships run on residual fuels or on partly residual fuels such as marine diesel oil. For the distillate fuels that dominate in SECAs and gain importance in the course of the new global regulations, novel marker approaches based on polycyclic aromatic hydrocarbons (PAHs) have been suggested.<sup>43,44</sup> In parallel, new ionization methods for SPMS were introduced, yielding detailed mass spectra of PAHs in addition to the particle's inorganic composition.<sup>45,46</sup> Consequently, the combination of the novel single-particle PAH analyses with PAH marker concepts opens new avenues for source apportionment.

Here we present the first study applying single-particle mass spectrometry to investigate the fresh emissions of a ship engine. We used the recently developed SPMS techniques that reveal signatures of PAHs on a single-particle basis.<sup>46</sup> Thus, we were able to evaluate and establish the predicted PAH markers for MGO combustion on ships. To prove this new concept for source apportionment of ship emissions under real-world conditions, we present the first detection of a ship plume by using PAH emissions.

## Methods

### Ship engine and sampling

The laboratory experiments were conducted using a one-cylinder four-stroke 80 kW research ship engine with common rail injection. The engine is a well-documented model for ship propulsion systems,<sup>47</sup> capable of operating with all common ship fuels; for details see Streibel *et al.*<sup>18</sup> Four different operating points were investigated: 100, 75, 50 and 25% load, each for one hour and with a run-in time of 25 min for stabilization. The aerosol was sampled, and transported through a cyclone separator (cutoff diameter 10 µm) at a temperature of 200 °C. Using a two-stage ejector dilution system (eDiluter, Dekati Ltd., Finland), the aerosol was cooled to room temperature and diluted by a factor of 1 : 25 with clean, dried and particle-free air. From 1 L min<sup>-1</sup> aerosol transported to the SPMS system, 0.1

L min<sup>-1</sup> was guided into the instrument. Further details of the sampling setup were given by Jeong *et al.*<sup>7</sup>

### SPMS instrumentation

The new laser ionization scheme for combined measurements of inorganic composition and PAHs has been described in detail in another publication.<sup>46</sup> The instrument and parameters were not changed. In brief, the particles are accelerated and focused by an aerodynamic lens system before optical detection and sizing. When entering the ion source of the mass spectrometer, each detected particle is exposed to an IR pulse for laser desorption (LD) of the organic material. An unfocused UV beam ( $\lambda = 248$  nm) from a KrF excimer laser intersects the expanding gaseous plume, inducing resonance-enhanced multiphoton ionization (REMPI) of PAHs in the plume. The laser beam is back-reflected and focused into the center of the plume, where it hits the particle residue for laser desorption/ionization (LDI) of inorganic and refractory particle compounds at high laser intensities ( $\sim 2$  GW cm<sup>-2</sup>). The positive flight tube of the mass analyzer detects the PAHs together with cations from LDI, while the opposite negative flight tube measures anions from LDI. Ion signals were recorded with a 14-bit digitizer (ADQ14, Teledyne SP Devices AB, Sweden) and custom LabView software. Note that in SPMS, the peak height is not directly convertible to the mass concentration of a specific substance. The new method applied here yields PAH mass spectra from individual particles; however, it cannot distinguish between isobar substances, *e.g.* phenanthrene *vs.* anthracene or benzo[*a*]pyrene *vs.* perylene.

### Analysis of single-particle mass spectra

For the clustering of mass spectral signatures, we used the adaptive resonance theory neural network algorithm, ART-2a.<sup>48</sup> The program code was taken from the open-source toolkit FATES<sup>49</sup> and embedded in custom Matlab software (MathWorks Inc.). With regard to the different ionization processes, LDI and REMPI mass spectra were separately normalized and independently clustered using a vigilance factor of 0.8, a learning rate of 0.05, and 20 iterations.<sup>50</sup> In order to identify the main particle classes, we applied a regrouping algorithm, where clusters from the initial clustering are merged in a second ART-2a run.<sup>51</sup> The results were regularly cross-checked against those of manual merging.

### Ambient air sampling

The field experiments shown here were part of a measurement campaign at the Swedish West coast.<sup>50</sup> The clean air conditions there required aerosol preconcentration (Model 4240, MSP corp., USA)<sup>52</sup> and drying (Model MD-700-12S-1, Perma Pure LLC, U.S.). Wind data were acquired from the local station Nidingen, 8 km south of the sampling site.<sup>53</sup>

## Results and discussion

### Particle types emitted by the laboratory ship engine

First, we investigated the ship engine's exhaust aerosol with respect to the single-particle chemical composition of inorganic





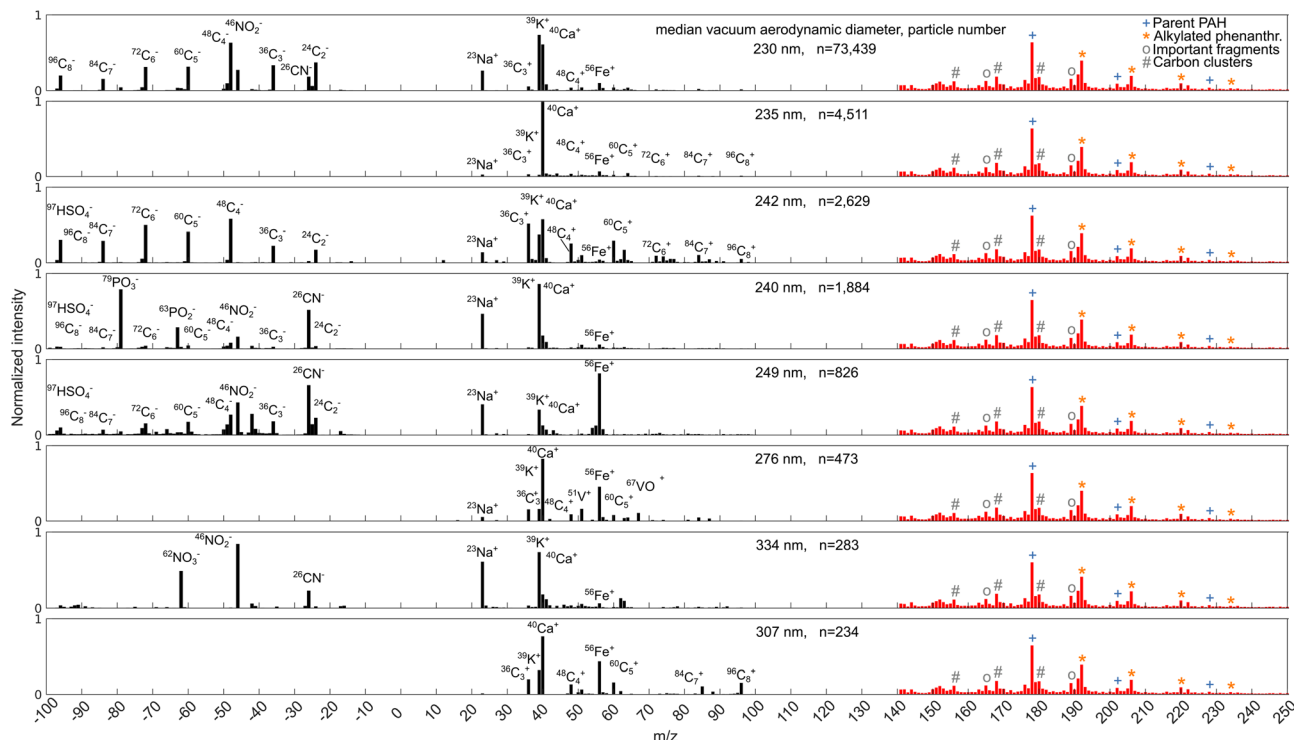


Fig. 1 Mass spectra of the eight main particle classes from ART2a-clustering of bipolar LDI mass spectra (black) for 60 kW (75%) load of the research ship engine. The inorganic particle composition via LDI reveals distinct particle classes, e.g. soot particles indicated by carbon clusters  $C_n$  of both polarities. Of note, the PAH pattern from REMPI (red) is very similar for these particle groups, showing strong signals from alkylated phenanthrenes. Important PAH fragments appear at  $m/z = 165$  and  $m/z = 189$ .

substances from laser desorption/ionization (LDI), similar to previous studies on light duty<sup>54,55</sup> and heavy-duty vehicles.<sup>56</sup> Fig. 1 shows the weight matrices (mass spectra of cluster centers) of the ART2a-clustering and regrouping of LDI mass spectra from 84 143 individual particles measured during engine operation with 60 kW (75%) load. The inorganic composition of the main particle types resembles previous experiments on truck engines,<sup>56</sup> with the majority of soot-dominated particles and peaks from organic fragments. Strong signals from  $Ca^+$  and phosphate signatures can be attributed to lube oil residues.<sup>56,57</sup> Particle-bound Fe is resonantly ionized in our SPMS, leading to substantial signal enhancement.<sup>41</sup>

### PAH signatures and their distribution over the particle ensemble

The new ionization method used here yields PAH mass spectra from about 50% of all ship emission particles in the current measurement. This equals the typical hit rate for PAHs with this technology<sup>46</sup> and thus suggests that the majority of detected particles contain PAHs. This is not surprising because a large fraction of the PAHs is in the gas phase after combustion and condenses on the particles when the temperature drops in the exhaust system. The average PAH mass spectra of the LDI-derived particle types are shown as red bars in Fig. 1. Independent of the particle type, the PAH mass spectra are dominated by a signal series in  $m/z$  sequences of 14 Da beginning at  $m/z = 178$  – a profile that has previously been associated with ship engine emissions from distillate fuel operation, both in on-

line measurements of the hot flue gas as well as in filter samples.<sup>18,44,58</sup> The peak at  $m/z = 178$  can stem from both phenanthrene and anthracene; however, anthracene is nearly exclusively produced in the combustion process and has a lower degree of substitution.<sup>60</sup> The pronounced alkylation pattern in our experiments indicates the dominance of phenanthrene and its alkylated derivatives over anthracene. In piston engines, the combustion temperature determines the number of rings as well as the degree of substitution, e.g. alkylation,<sup>59</sup> and large diesel engines show higher alkylation degrees resulting from higher amounts of unburnt fuel.<sup>23,44</sup>

Of note, the average PAH signatures of all particle types are nearly identical, as apparent from the average PAH mass spectra in the respective groups (red bars in Fig. 1). In order to prove the stability of this signature among the individual particles – and therefore its suitability as a marker profile – we performed a statistical analysis of their homogeneity in the particle ensemble. The violin plots in Fig. 2 show the distribution of the congruence coefficients  $r_C$  for each 25 000 particle LDI mass spectra (blue) and the respective PAH mass spectra (red) according to

$$r_C = \frac{\sum_{ij} x_{ij} y_{ij}}{\sqrt{(\sum_{ij} x_{ij}^2)(\sum_{ij} y_{ij}^2)}}$$

with  $x$  and  $y$  representing the individual mass spectra. The distribution of  $r_C$  as a measure of the similarity between mass



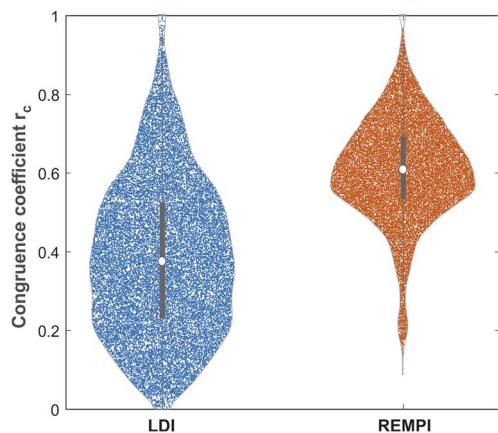


Fig. 2 Distribution of the congruence coefficients  $r_c$  between the single-particle mass spectra. The median  $r_c$  from the PAH mass spectra (white circle in the red probability density plot) is higher and its distribution is narrower compared to that from the LDI mass spectra of the same particles. The high similarity between PAH mass spectra supports their capability as a marker signature. Grey bars: interquartile range,  $n = 25\,000$ .

spectra shows that the individual PAH signatures are much more uniform than the signatures of the particle's inorganic composition from LDI. The higher similarity of PAH spectra is likely a result of incomplete combustion and imprints of the fuel signature, emphasizing the suitability of single-particle PAH spectra as fuel markers.

### The effect of the particle size

SPMS yields the individual particle size; however, the optical detection efficiency drops rapidly for particles smaller than 200 nm, and thus, the instrument probes the fraction of the largest emitted particles. Of note, this size mode includes many particles of aged ship plumes in field applications<sup>4</sup> and coincides

with the size of long-range transported particles.<sup>64</sup> Because of the instrument's bias towards large particles in conventional SPMS sizing mode, we performed additional experiments in the so-called free running mode that includes ultrafine particles. In this operation mode, the instrument's optical detection- and sizing unit is inactive and the desorption- and ionization lasers fire with a 100 Hz repetition rate into the particle beam, hitting particles on a random basis. Thus, the limits of optical particle detection can be overcome, however, at the cost of lost size information. Fig. 3(a) shows that the particle size distribution measured *via* a scanning mobility particle sizer (SMPS) peaks at around 80 nm. The SMPS-derived size distribution combined with the lower limit of SPMS spectrum generation at around 50 nm (ref. 62) suggests that particles between 50 and 150 nm size are dominant in the mass spectra measured in free running mode. Fig. 3(b) shows the average mass spectra of 5000 particles measured in free running mode in comparison to Fig. 3(c) where the average mass spectra of the same number of particles measured in conventional sizing mode are shown. The smaller particles measured in free running mode are soot-dominated and the larger particles show stronger phosphate and nitrate signals and more fragment peaks from organic carbon, *e.g.* in the PAH spectrum. This behavior can be attributed to a larger fraction of soot particles in the ultrafine mode and stronger contributions from lube oil and cold zones near the cylinder walls for the larger particles; see Toner *et al.*<sup>56</sup> The PAH mass spectra in free running mode show a slightly lower contribution from parent PAHs and fragments; the reason is not known. However, the PAH signature is still characterized by the intense homologue series of alkylated phenanthrenes. A cluster analysis of particle spectra in free running mode is provided in ESI, Fig. S1.†

### The effect of the engine load

The third investigated key parameter with potential influence on the PAH signatures is the engine load, as shown by a direct

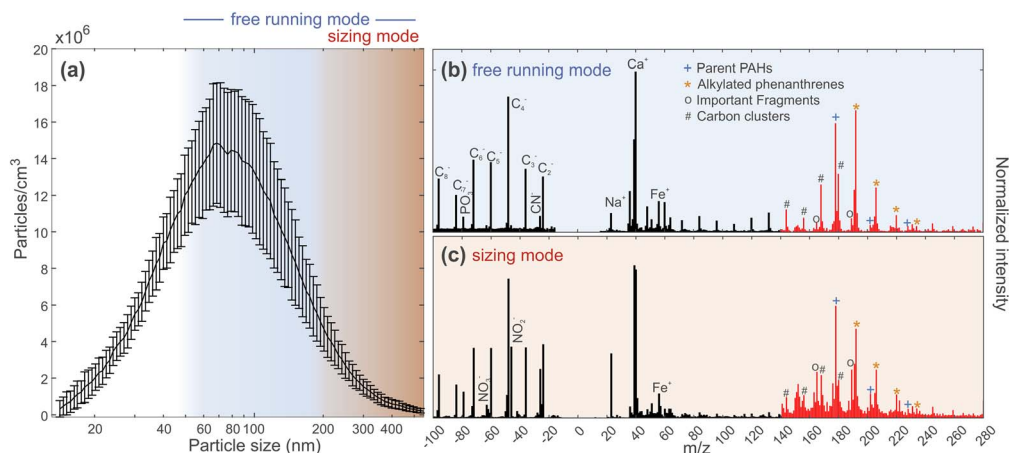


Fig. 3 (a) Particle size distribution of the research ship engine running on MGO at 60 kW load, measured by using a scanning mobility particle sizer (model 3082, TSI, U.S.). The blue shaded area illustrates the particle detection range of the SPMS in free running mode where particles of all sizes are hit at random, but size information from this instrument is not available. The red area indicates the coverage in normal sizing mode. The comparison of average mass spectra obtained in (b) free running mode (more smaller particles) and (c) in sizing mode (more larger particles) reveals that the characteristic series of alkylated phenanthrenes appears at all sizes. The additional series ( $m/z = 231 + n \times 14$ ) is formed by fragments.<sup>64</sup>



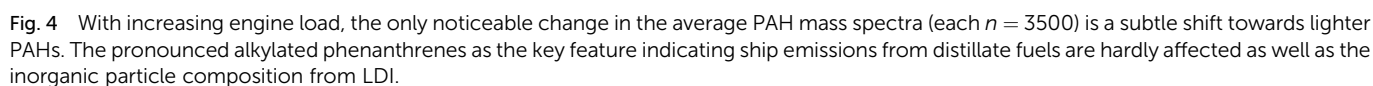


Fig. 5 Overview map of the region and the sampling site.

particles were mainly detected during periods of short distance travel to the ship lane and at low wind speeds, while at high wind speeds and especially during wind from land (distance > 100 km as shown in Fig. 6(a)) they were only rarely detected. This behavior points to ship traffic as a probable source and indicates that land-based sources are of minor importance for this PAH signature.

Fig. 6(c) also shows a transient event of rather small particles (circled) indicating a single, less distant source. These particles also show the mentioned PAH profile but smaller peaks from OC, see ESI, Fig. S4(b)† for an average spectrum. Ship transponder data (AIS) revealed a ferry heading north at about 15–20 km distance to the sampling site 45 min before the event, see the inset of Fig. 5. The ferry traveled closer to the coastline than most other ships, and its lights were visible in the night. The ship was not equipped with a scrubber, so it is mandatory for it to use distillate fuel (*i.e.* MGO).

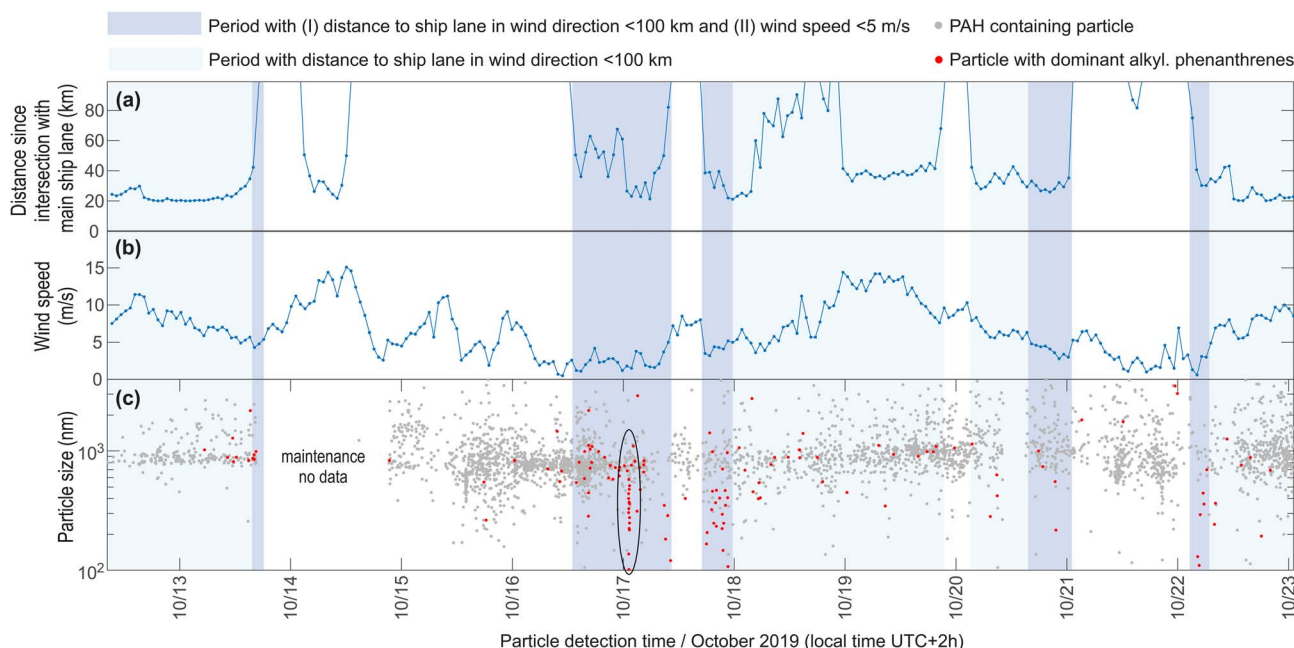
### Complementarity with previous concepts

Our findings emphasize the potential of single-particle PAH measurements for ship plume detection and source apportionment of ship emissions. Previous SPMS approaches without PAH evaluation were based on a unique combination of transition metals from bunker fuel residues; however, the global change to cleaner fuels requires novel strategies.<sup>65</sup> Apart from transition metals, conventional SPMS can identify soot particles from many sources. However, it can hardly differentiate

between the emissions from different engine types, although rather subtle changes in the SPMS mass spectra have been described.<sup>54,56,63,66</sup> The approach presented here focuses on organic fuel residues and combustion products, harnessing the high sensitivity for PAHs based on the resonant ionization process. Consequently, it reveals fuel and combustion characteristics beyond metals or lube oil additives. PAHs can also be detected with conventional SPMS, however, with less sensitivity and strong fragmentation that affects the reproducibility of mass spectra.<sup>46,67–69</sup>

### Potential ambiguities and interferences with land-based diesel emissions

An important limitation could arise from interferences with other sources with potentially similar PAH emission profiles. Czech *et al.* performed gas phase analyses of PAHs from different sources emphasizing a unique profile of large (ship) diesel engines.<sup>44</sup> However, this study included the signatures of the lighter two-ring PAHs which are limited to the gas phase and not available here to differentiate between ship engines running on MGO and smaller diesel engines. Spencer *et al.* found comparable PAH signatures in lube oil and diesel droplets<sup>63</sup> where they have high concentrations,<sup>64</sup> but not in the exhaust emissions, probably because of lower sensitivity to PAHs in the LDI ionization used by their SPMS instrument.<sup>68,70</sup> A minor class of PAH-containing particles was determined in a study on heavy-duty vehicle emissions using the same instrument.<sup>71</sup> The



**Fig. 6** Time course of wind data and particle detection events. (a) Distance from the measurement site to the main ship lane in the wind direction as derived from data of the local meteorological station. (b) Local wind speed from the same data. (c) Particle sizes and detection times for all PAH-containing particles (grey dots). The red dots represent PAH-containing particles with strong signals of alkylated phenanthrenes, belonging to the cluster shown in the ESI, Fig. S4(a).† Of note, the appearance of such particles is associated with light onshore winds and rather small distances to the ship lane in the wind direction (dark blue shaded periods). Only few particles of this type were detected in winds from the land, despite a motorway (20 km distance), the city of Gothenburg and active farming in the region. A transient signal of small particles from this type can even be attributed to an individual ship passage (circled).





PAHs in these experiments were dominated by the four-ring parent PAHs at  $m/z = 202$  in contrast to the strong alkylated phenanthrenes observed here for ship emissions.

We have conducted unpublished experiments with different kinds of logwood, biomass burning and brown coal so far; all of them have not produced similar PAH signatures, as shown in ESI, Fig. S5(a) and (b).<sup>†</sup> Using gas chromatography MS, Martens *et al.* found a ratio between phenanthrene and the sum of its alkylated derivatives of 2–6 for logwood and 0.4–4 for brown coal combustion,<sup>72</sup> while this factor is 0.4–1 in the experiments shown here, dependent on the engine load. However, in a preliminary experiment with a 5.7 kW diesel power generator, we observed PAH profiles comparable to that of the ship engine with MGO described here, see ESI, Fig. S5(c)<sup>†</sup> for a mass spectrum. We therefore assume that particles from diesel engines without exhaust cleaning devices bear the highest potential for interferences with the proposed ship emission markers. We expect that these interferences are of minor importance for two reasons: firstly, since most road traffic vehicles are nowadays equipped with particle filters, the quantity of land-based diesel particle emissions from such old cars, tractors, stationary engines, *etc.* is much lower compared to ship traffic emissions.<sup>3</sup> Secondly, if the measurements are performed close to the coastline during on-shore winds, *e.g.* for ship plume detection, particles of terrestrial origin reveal ageing markers in the SPMS. Actually, during our ambient air campaign with several thousand PAH-containing particles, the described pattern with dominant alkylated phenanthrenes was predominantly observed in soot particles during on-shore winds, as shown in Fig. 6.

Additional ambiguity arises from the different degradation dynamics of individual PAH compounds which have been discussed as a key limitation for the diagnostic ratio approach in source apportionment of PAHs.<sup>73–75</sup> However, the atmospheric residence time for the particles is in the range of minutes to a few hours before individual ship plumes disintegrate by dispersion and mixing.<sup>76</sup> We expect therefore, a limited effect of aging on the characteristic pattern of PAHs. Note that heterogeneous PAH degradation in the atmosphere is often much slower than in lab experiments,<sup>77</sup> for example, from shielding effects.<sup>78,79</sup>

Both the plume travel distance and wind speed are comparable to those of former experiments where resonant ionization of metals has been applied for sensitivity enhancement to Fe, Ni and V,<sup>42,80</sup> so it is a realistic scenario and detection range for optimized SPMS methods.

## Conclusions

Our study showed the application of a new ionization method in SPMS to identify ship emission particles based on their PAH composition. The laboratory experiments indicate a high stability of the proposed marker signature throughout different particle types, engine loads and particle sizes. The respective particles can be found in ambient air and are associated with a marine background. Moreover, under appropriate wind conditions, individual plumes from ships running on MGO can

be detected over a distance of several kilometers. A major ambiguity of this approach results from interferences with diesel-powered engines that not equipped with exhaust treatment. However, considering the local geography and weather conditions, these inaccuracies in source apportionment can easily be minimized.

To further emphasize the real-world application of the new PAH-based concept, future experiments will focus on many ship plumes in contrast to the occasional event presented here. Therefore, the optical particle detection of the instrument will be improved towards higher sensitivity and the measurement site will be closer to the shipping lane. This method is currently under evaluation for the identification of different types of distillate and residual fuels that gain importance with new regulations.<sup>10,81</sup> In combination with the resonant ionization of metals that substantially improves the detection of the traditional metal markers,<sup>41,42</sup> the approach could be generalized for the coverage and identification of all relevant ship fuels currently on the market. Further interesting applications will be the real-time measurement of ship plume ageing effects and PAH deposition in the surface water.

## Author contributions

L. A., J. S., I. E. R., J. H. H and F. Y. Z. performed the SPMS experiments. L. A., J. S. and J. P. analyzed the data. T. K. B. and R. I. provided technical assistance. S. J., J. B., U. E., M. S. and M. R. S performed the aerosol characterization experiments at the engine. U. E. and B. B. provided the research ship engine and operated it. T. S., B. B. and R. Z. developed and managed the project and raised funding. J. S., C. W. H. C. and R. Z. participated in scientific discussions and provided expert advice. J. P. conceived the study, assisted with the experiments and wrote the manuscript.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This research was supported by the German Federal Ministry for Economic Affairs and Climate Action (SAARUS project, grant no. 03SX483D), and by the Helmholtz Association (International Laboratory aeroHEALTH – Interlabs-0005). This research was funded by dtcc.bw - Digitization and Technology Research Center of the Bundeswehr (projects “LUKAS” and “MORE”). dtcc.bw is funded by the European Union – NextGenerationEU. The authors want to thank Felix Lange (LangeFreunde® Design Studio, Germany) for creating the Table of Contents Graphic.

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