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## Effect of relative humidity, NO<sub>x</sub>, and ammonia on the physical properties of naphthalene secondary organic aerosols†

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Light-absorbing organic aerosols, commonly referred to as “brown carbon” (BrC), have important climate effects. The primary source of BrC is biomass burning, but additional BrC can be formed by less constrained secondary atmospheric reactions, such as photooxidation of aromatic volatile organic compounds (VOCs) and reactions of carbonyl compounds in secondary organic aerosols (SOAs) with ammonia (NH<sub>3</sub>). As NH<sub>3</sub> and VOC emissions increase globally, these insufficiently understood BrC production pathways may gain in importance relative to the primary BrC sources. This study examines the effects of NH<sub>3</sub>, NO<sub>x</sub>, and relative humidity (RH) on the optical and molecular properties of naphthalene (NAP) photooxidation SOAs. NAP SOAs were prepared by OH-initiated oxidation in a smog chamber at high and low levels of NO<sub>x</sub>, RH, and NH<sub>3</sub>. SOA hygroscopicity and optical properties were monitored online, and SOA samples were collected on filters for offline analysis. UV-visible spectroscopy and high-resolution mass spectrometry were used to determine mass absorption coefficients and molecular composition, respectively. Of the three parameters studied, RH exhibited the largest effects on both composition and optical properties – with an increase in the ⟨O/C⟩ ratio, relative dimer abundance, and absorption at wavelengths longer than 350 nm. Elevated NO<sub>x</sub> and NH<sub>3</sub> concentrations increased the amount of nitrogen incorporated into the SOA, and NO<sub>x</sub> increased the amount of absorption at wavelengths longer than 350 nm while NH<sub>3</sub> had little effect. The combination of elevated humidity and NO<sub>x</sub> led to the formation of 6-nitro-2-naphthol, a strong chromophore with a distinct peak at 400 nm in the absorption data. We find that RH, NO<sub>x</sub>, and NH<sub>3</sub> all affect the characteristics of NAP SOAs in different ways: NO<sub>x</sub> and RH control both molecular composition and optical properties, while NH<sub>3</sub> has a stronger effect on composition and a minor effect on the absorption coefficient.

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### Environmental significance

Light-absorbing aerosols formed by the photooxidation of aromatic volatile organic compounds have important climate effects, but the degree of light absorption depends on the chemical mechanism of photooxidation, environmental conditions and concentrations of various air pollutants. This study focuses on the effect of three parameters – relative humidity, concentration of nitrogen oxides, and concentration of ammonia – on the chemical composition and important physical properties of naphthalene-derived secondary organic aerosols. We observe that certain combinations of parameters during SOA formation lead to effects on the composition and optical properties that cannot be anticipated from varying the two parameters separately. This work demonstrates the importance of accurate representations of conditions in the atmosphere for simulating atmospheric processes.

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# 1 Introduction

The majority of atmospheric aerosols produce negative radiative forcing on climate, resulting in net cooling of the lower atmosphere, by scattering the incoming solar radiation and modifying cloud properties. However, certain aerosols, including black carbon (BC), brown carbon (BrC), and mineral dust, absorb visible radiation and convert it into heat thus reducing this cooling effect.<sup>1</sup> Of these light-absorbing aerosols, BrC has the most uncertain effects on climate. BrC is composed of organic molecules that are capable of absorbing both visible and near-ultraviolet radiation, such as nitrophenols, which give BrC a characteristic yellow-brown color.<sup>2–4</sup> BrC may be emitted from primary sources, such as biomass-burning and fossil fuel combustion,<sup>2,4–8</sup> or produced by secondary processes.<sup>9,10</sup> Examples of secondary BrC formation processes include high-NO<sub>x</sub> (=NO + NO<sub>2</sub>) photooxidation of aromatic volatile organic compounds (VOCs) resulting in secondary organic aerosols (SOAs),<sup>11,12,21,22,13–20</sup> reactive uptake of oxygenated VOCs on highly acidic particles,<sup>23–28</sup> aqueous-phase OH oxidation of aromatic compounds,<sup>29–32</sup> reactions of triplet states with dissolved VOCs,<sup>33,34</sup> and reactions of ammonia (NH<sub>3</sub>) and other nitrogen-containing species with gas-phase and particle phase carbonyl compounds.<sup>35–38</sup>

The interest in BrC has resulted in multiple measurements of their optical properties, including extinction and absorption coefficients, single scattering albedo, absorption spectra and fluorescence spectra.<sup>10</sup> Different mass spectrometry methods have also been used to investigate the chemical composition of BrC in order to identify the primary chromophores responsible for the brown color of BrC.<sup>10</sup> From these measurements, nitroaromatics, or more specifically nitrophenols, have been identified as primary contributors to the light absorption by BrC.<sup>39</sup> These compounds are readily produced during photooxidation of aromatics. For example, Romonosky *et al.*<sup>40</sup> observed an elevated mass absorption coefficient of bulk SOA samples (MAC<sub>bulk</sub>) under high-NO<sub>x</sub> conditions for SOAs generated from several aromatic precursors. Nakayama *et al.*<sup>15</sup> found that increasing NO<sub>x</sub> concentrations during toluene photooxidation increases the SOA's imaginary and real refractive indices at 405 and 532 nm, using photoacoustic and cavity ring-down spectroscopy. Liu *et al.*<sup>41</sup> also measured the real and imaginary refractive indices of toluene and *m*-xylene secondary organic materials, using spectroscopic ellipsometry and UV-vis spectroscopy. Both indices increased as a function of increasing NO<sub>x</sub> concentration, while decreasing with increasing wavelength. Using infrared spectroscopy, they also showed that the presence of NO<sub>x</sub> resulted in the production of organonitrogen species. Dingle *et al.*<sup>19</sup> observed that the imaginary refractive index of SOAs prepared from toluene and 1-methylnaphthalene measured at 375 nm and 632 nm increase in the presence of NO<sub>x</sub>, and also increase with the extent of OH exposure. Lin *et al.*<sup>11</sup> also found that elevated NO<sub>x</sub> conditions drastically increased the MAC of toluene photooxidation SOAs, while simultaneously altering the chemical composition by producing more nitrogen-containing compounds. Finally, Liu *et al.*<sup>12</sup>

worked with a SOA formed by photooxidation of toluene and trimethylbenzene in order to determine how light absorption of SOAs is affected by the precursor type, NO<sub>x</sub> levels, photolysis time, and RH. The presence of NO<sub>x</sub> increased SOA light absorption, with moderate humidity and short photolysis times resulting in the highest MAC.

The composition and optical properties of the naphthalene-derived secondary organic aerosol (NAP SOA), which is the main subject of this work, were previously examined under various SOA preparation conditions, particularly varied NO<sub>x</sub> concentrations. He *et al.*<sup>21</sup> found that the imaginary part of the refractive index increased at higher NO<sub>x</sub> to VOC ratios for a NAP SOA prepared in an oxidation flow reactor due to greater formation of nitroaromatics. Xie *et al.*<sup>39</sup> also found nitroaromatics to contribute strongly to the absorptivity of a NAP SOA prepared under high-NO<sub>x</sub> conditions, although less so than for SOAs prepared from benzene and *m*-cresol. Gemayel *et al.*<sup>42</sup> identified several chromophoric compounds in a NAP SOA likely responsible for its photosensitizing properties. Finally, Siemens *et al.*<sup>22</sup> characterized the composition of a NAP SOA prepared in an oxidation flow reactor under varying NO<sub>x</sub> levels to provide molecular-level information on the NAP SOA composition and its relationship with its optical properties.

Reduced nitrogen compounds, most prominently NH<sub>3</sub> and amines, have been found to alter the optical properties of BrC by converting carbonyl compounds into strongly absorbing imines and nitrogen-containing heterocyclic compounds. Updyke *et al.*<sup>35</sup> observed an increase in MAC<sub>bulk</sub> during exposure of various types of absorbing and non-absorbing SOAs to gaseous NH<sub>3</sub>. For example, a white low-NO<sub>x</sub> trimethylbenzene SOA gained a beige color upon exposure, and the NAP SOA characteristic yellow color became more saturated. Liu *et al.*<sup>43</sup> observed the formation of nitrogen-containing organics and an increase in the absorption coefficient during exposure of a low-NO<sub>x</sub> toluene SOA to ammonia. Cui *et al.*<sup>20</sup> observed an enhancement in the absorption coefficient of a 1-methylnaphthalene SOA in the presence of NH<sub>3</sub>, especially under humidified conditions. Extensive studies have been conducted exploring the mechanism of NH<sub>3</sub>-driven browning reactions. The general mechanism appears to involve reactions of dicarbonyls with NH<sub>3</sub> resulting in nitrogen-containing heterocyclic compounds, but the exact details depend on the specific system. For example, 1,2-dicarbonyls, such as glyoxal and methylglyoxal, form oligomeric compounds derived from imidazole<sup>44–47</sup> and pyrazine,<sup>48</sup> whereas 1,4-dicarbonyls such as ketolimonoaldehyde (a secondary limonene ozonolysis product) and 4-oxopentanal produce light absorbers based on pyrroles.<sup>49,50</sup>

Relative humidity (RH) has been found to affect the chemical composition of some SOA systems, and it may therefore influence optical properties. Song *et al.*<sup>27</sup> found that high RH caused a decrease in light absorption in high-NO<sub>x</sub>  $\alpha$ -pinene ozonolysis SOAs formed on seed particles. In contrast, Liu *et al.*<sup>12</sup> found that toluene and trimethylbenzene photooxidation SOAs formed under dry conditions had a significantly lower MAC than those formed under humid conditions, with 30, 50, and 80% RH all resulting in comparable absorption. Moderately humid conditions (30%) resulted in more nitrogen-containing



products than dry conditions, implicating the nitrogen-containing species as contributors to the increased MAC, as seen with the toluene SOA by Lin *et al.*<sup>11</sup>

In terms of synergetic effects between RH and NH<sub>3</sub>, Liu *et al.*<sup>43</sup> observed that the extent of browning of low-NO<sub>x</sub> toluene SOAs by NH<sub>3</sub> is reduced under dry conditions, where the high viscosity of SOA particles imposes a diffusion limitation on the rate of reaction between SOA carbonyls and ammonia. Smith *et al.*<sup>51</sup> found that dry conditions favor more extensive reactions between NH<sub>3</sub> and SOA compounds that form nitrogen-containing chromophores.

Most of the previous optical absorption measurements relied on experiments with filter samples or solution extracts of SOAs. In this study, we use more direct aerosol absorption measurements to study the effect of RH and the presence of NH<sub>3</sub> and nitrogen oxides (NO<sub>x</sub>) on the production, optical properties, and molecular composition of BrC formed by the photooxidation of NAP. High-NO<sub>x</sub> NAP SOAs can be regarded as prototypical secondary BrC with a high MAC<sub>bulk</sub>,<sup>17</sup> approaching that for primary BrC produced by burning of biomass. SOAs are formed under either humid or dry and high- or low-NO<sub>x</sub> conditions, in the presence and absence of NH<sub>3</sub> to examine possible synergistic effects between different sets of conditions. UV-visible, cavity ring-down, and photoacoustic spectroscopy are used to investigate differences in optical properties based on reaction conditions. Two methods of high-resolution mass spectrometry (HRMS) are used to find how these conditions affect the SOA chemical composition. Finally, Size-Resolved Cloud Condensation Nuclei (CCN) Analysis is used to probe the hygroscopic properties of the SOA. We find that RH, NO<sub>x</sub>, and NH<sub>3</sub> all affect the characteristics of the NAP SOA in different ways: NO<sub>x</sub> and RH control both molecular composition and optical properties, while NH<sub>3</sub> has a stronger effect on composition and a minor effect on the absorption coefficient.

## 2 Experimental

### 2.1 NAP SOA formation

SOAs were produced by the photooxidation of NAP in a 5 m<sup>3</sup> Teflon aerosol smog chamber operated in a batch mode. The environmental variables included high- and low-NO<sub>x</sub> levels (likely below ~1 ppb, near the detection limit of the NO<sub>y</sub> analyzer described in Section 2.2), high (at least 80%) and low (<2%) RH, and the absence and presence of ammonia (NH<sub>3</sub>). Prior to each study the chamber was flushed with purge air overnight. For elevated RH studies, humidification was accomplished by flushing the chamber with air passing through a Nafion multichannel humidifier. Approximately 40 μL of H<sub>2</sub>O<sub>2</sub> (Aldrich; 30% by volume in water), which served as the OH precursor, was evaporated into the chamber to achieve a H<sub>2</sub>O<sub>2</sub> mixing ratio of 2 ppm. A proton transfer time-of-flight mass spectrometer (PTR-ToF-MS; IONICON model 8000, Innsbruck, Austria) was used to monitor the rate of NAP depletion and estimate the OH steady-state concentration as described in the ESI (see Fig. S1).<sup>†</sup> The OH steady-state concentration was representative of peak atmospheric concentrations,<sup>52</sup> about 4 × 10<sup>6</sup> molecules cm<sup>-3</sup>, similar to our

previous studies.<sup>53,54</sup> For high-NO<sub>x</sub> studies, NO was added to the chamber from a premixed gas cylinder (Praxair, 5000 ppm NO in N<sub>2</sub>) until a mixing ratio of 200–700 ppb of NO was achieved, depending on the experiment as described in Table 1. This is higher than ambient levels and definitively sufficient to suppress RO<sub>2</sub> + RO<sub>2</sub>/HO<sub>2</sub> reactions compared to RO<sub>2</sub> + NO reactions. About 11–23 μL of a solution of 0.5 g mL<sup>-1</sup> of NAP in dichloromethane was evaporated into the chamber to reach a mixing ratio of 100–400 ppb. No seed aerosol was used as it would interfere with the interpretation of optical measurements. All precursors were mixed by a fan inside the chamber, which was turned off before the start of photooxidation. Photooxidation was initiated by UV-B lamps (Solar Tec Systems model FS40T12/UVB) with emissions centered at 310 nm and ranged for 1.5–3 h.

Table 1 summarizes the conditions in different experiments of this work. For samples 1–4, after photooxidation, NH<sub>3</sub> was added to the chamber by evaporation of a known volume of NH<sub>4</sub>OH solution chosen to produce a NH<sub>3</sub> mixing ratio of 1 ppm. It was later determined using an NH<sub>3</sub>/NO<sub>x</sub> analyzer (Ecotech 9842, Warren, RI, USA), which was installed after the first set of experiments had already completed, that most of the injected NH<sub>3</sub> must have been quickly removed by the chamber walls. Based on these NH<sub>3</sub>/NO<sub>x</sub> analyzer measurements, the actual mixing ratio of NH<sub>3</sub> in the chamber was considerably lower than the target value, possibly by as much as an order of magnitude, and hard to quantify as it also appeared to depend on the amount injected and history of prior experiments in the chamber. Samples 5–23 aimed to determine the effects of NH<sub>3</sub> on the composition or optical properties using offline methods. In samples 11–12 and 15–16, NH<sub>4</sub>OH was evaporated into the chamber with a target mixing ratio of 1 ppm NH<sub>3</sub> in a single injection, prior to photooxidation. Samples 5–9, 13–14, and 17–23 in Table 1 were prepared without addition of NH<sub>3</sub>. In all cases, the SOA was collected after each experiment by passing particles through an activated charcoal denuder and onto a PTFE filter at 15–20 SLM for 3–4.5 h.

### 2.2 Online analysis of the NAP SOA

Several instruments probed and sampled the SOA formed in the chamber simultaneously. Real time temperature and RH were measured with a Vaisala HMT330 probe and the concentrations of ozone (model 49i) and NO/NO<sub>y</sub> (model 42i-Y) were measured with Thermo Scientific monitors. Particle size distribution was monitored using a scanning mobility particle sizer (SMPS, TSI Model 3936), which was then used to estimate the mass of the NAP SOA collected on the filters used for offline analysis. SMPS data for all samples and ozone and NO<sub>y</sub> data for samples 17–23 are available online at the Index of Chamber Atmospheric Research in the United States (ICARUS).<sup>55</sup> A Size-Resolved Cloud Condensation Nuclei (SR-CCN) system was used to directly measure aerosol hygroscopicity. Real-time optical measurements of absorption and extinction coefficients were taken at 405 and 532 nm using a system containing a scanning electrical mobility spectrometer (SEMS) and cavity ring-down-photoacoustic spectrometer (CRD-PAS) equipped with thermodenuders and humidifiers/driers at their inlets.



Table 1 Summary of starting mixing ratios for chamber experiments

| Sample # | NAP (ppm) | H <sub>2</sub> O <sub>2</sub> (ppm) | NO <sub>x</sub> (ppm) | RH (%) | NH <sub>3</sub> (ppm) <sup>a</sup> | Reaction time (h) | Data sets presented <sup>b,c</sup>    |
|----------|-----------|-------------------------------------|-----------------------|--------|------------------------------------|-------------------|---------------------------------------|
| 1        | 0.2       | 2.0                                 | 0.0                   | 1      | 1.0                                | 2.0               | SR-CCN, SEMS, CRD/PAS, nano-DESI-HRMS |
| 2        | 0.2       | 2.0                                 | 0.0                   | 85     | 1.0                                | 2.5               | SR-CCN, SEMS, CRD/PAS, nano-DESI-HRMS |
| 3        | 0.2       | 2.0                                 | 0.2                   | 2      | 1.0                                | 1.5               | SR-CCN, SEMS, CRD/PAS, nano-DESI-HRMS |
| 4        | 0.2       | 2.0                                 | 0.2                   | 84     | 1.0                                | 2.0               | SR-CCN, SEMS, CRD/PAS, nano-DESI-HRMS |
| 5        | 0.4       | 2.0                                 | 0.0                   | 0      | —                                  | 2.0               | Nano-DESI-HRMS                        |
| 6        | 0.4       | 2.0                                 | 0.0                   | 99     | —                                  | 2.0               | Nano-DESI-HRMS                        |
| 7        | 0.4       | 2.0                                 | 0.4                   | 0      | —                                  | 2.0               | Nano-DESI-HRMS                        |
| 8        | 0.4       | 2.0                                 | 0.4                   | 86     | —                                  | 2.0               | Nano-DESI-HRMS                        |
| 9        | 0.4       | 2.0                                 | 0.0                   | 0      | —                                  | 2.0               | UV-vis                                |
| 10       | 0.4       | 2.0                                 | 0.0                   | 89     | —                                  | 2.0               | UV-vis                                |
| 11       | 0.4       | 2.0                                 | 0.0                   | 0      | 1.0                                | 2.0               | UV-vis                                |
| 12       | 0.4       | 2.0                                 | 0.0                   | 87     | 1.0                                | 2.0               | UV-vis                                |
| 13       | 0.4       | 2.0                                 | 0.4                   | 0      | —                                  | 2.0               | UV-vis                                |
| 14       | 0.4       | 2.0                                 | 0.4                   | 94     | —                                  | 2.0               | UV-vis                                |
| 15       | 0.4       | 2.0                                 | 0.4                   | 0      | 1.0                                | 2.0               | UV-vis                                |
| 16       | 0.4       | 2.0                                 | 0.4                   | 87     | 1.0                                | 2.5               | UV-vis                                |
| 17       | 0.2       | 2.0                                 | 0.7                   | 0      | —                                  | 3.0               | UV-vis                                |
| 18       | 0.2       | 2.0                                 | 0.7                   | 0      | —                                  | 1.5               | UV-vis                                |
| 19       | 0.2       | 2.0                                 | 0.7                   | 87     | —                                  | 2.7               | UV-vis                                |
| 20       | 0.2       | 2.0                                 | 0.7                   | 80     | —                                  | 3.0               | UV-vis                                |
| 21       | 0.2       | 2.0                                 | 0.7                   | 0      | —                                  | 2.5               | HPLC-PDA-HRMS                         |
| 22       | 0.2       | 2.0                                 | 0.7                   | 0      | —                                  | 2.5               | HPLC-PDA-HRMS                         |
| 23       | 0.2       | 2.0                                 | 0.7                   | 90     | —                                  | 2.5               | HPLC-PDA-HRMS                         |

<sup>a</sup> The actual concentration of NH<sub>3</sub> in the chamber was lower because of rapid loss of ammonia to the chamber walls. <sup>b</sup> Runs 1–4 involved both online and offline characterization of SOAs. Runs 5–23 were only done with offline methods. <sup>c</sup> Abbreviations are defined in the text.

### 2.3 Size-resolved cloud condensation nuclei instrument

The SR-CCN provided a direct measurement of aerosol hygroscopicity in the supersaturated water vapor regime. Dry aerosol particles were size selected using a differential mobility analyzer, and the monodisperse sample was sent to a condensation particle counter to count the total number of particles and a cloud condensation nuclei counter (DMT CCN-100) to count the cloud active fraction. A dry initial particle diameter of 100 nm was used in all cases. Cloud active particles are those that grow spontaneously at a given supersaturation. The ratio of CCN to total particles (CN) was measured as a function of supersaturation to give an activation efficiency spectrum:  $\varepsilon = f(\text{supersaturation, CCN/CN})$ . The supersaturation where the CCN/CN ratio is 0.5 is referred to as the critical supersaturation ( $S_{\text{crit}}$ ). Activation at lower  $S_{\text{crit}}$  values for a given size indicates more hygroscopic particles. A single term hygroscopicity parameter,  $\kappa$ , was then calculated from the measured  $S_{\text{crit}}$  and dry particle diameter.<sup>56</sup>

### 2.4 Cavity ring-down photoacoustic spectroscopy instrument

A UC Davis cavity ring-down photoacoustic spectrometer (CRD-PAS) was used to measure light extinction and light absorption by the particles at 405 and 532 nm.<sup>57</sup> The CRD has three pairs of sample and reference cells, with two of the pairs

operating at 532 nm and one at 405 nm.<sup>58</sup> The reference cells sampled the aerosol through filters to provide a quantitative measure of the contribution of gas-phase absorption to the observed signal (which was found to be negligible at both wavelengths). The sample flow was first dried to <30% RH using a diffusion drier and sent into the first pair of 532 nm sample/reference cells. For the second pair of the 532 nm sample/reference cells, the flow was re-humidified to ~75–85% RH using Nafion flow-through humidifiers. At 405 nm, only dried particle extinction was measured. The flows exiting the two dry CRD channels were directed to two PAS channels, one at 405 nm and one at 532 nm. The PAS used in this work relies on an astigmatic multi-pass configuration in order to increase the sensitivity over single-pass instruments.<sup>59</sup> The PAS was calibrated using gas-phase O<sub>3</sub> produced from a mercury Pen-ray lamp by referencing the observed absorption signal to the simultaneously made CRDS extinction.<sup>60</sup> The PAS calibration was further tested in the lab using gas-phase NO<sub>2</sub> absorption, which yielded calibration coefficients within 5% of the O<sub>3</sub>-based calibrations. MAC<sub>aerosol</sub> was then determined at 405 and 532 nm by dividing the absorption coefficients measured by the PAS by the mass concentration determined by the SEMS.<sup>61</sup> The imaginary part of the refractive index was determined from the MAC<sub>aerosol</sub> values using Mie theory as described previously.<sup>62</sup>



## 2.5 Nano-DESI HRMS measurements

Filters 1–8 were analyzed using a nanospray-desorption electrospray ionization source (nano-DESI) coupled to a LTQ-Orbitrap high-resolution mass spectrometer (HRMS) operated in positive ion mode.<sup>63,64</sup> (Negative ion mode data were not collected for the nano-DESI analysis, but were collected for the chromatographic analysis of filters 21–23, as described below). Before analysis, the filters were taken out of the freezer, brought to room temperature, and cut in half. One half was taped to a microscope slide for the nano-DESI-HRMS analysis.<sup>63</sup> A mixture of 70% acetonitrile and 30% deionized (DI) water was added dropwise onto the surface of the filter through a primary silica capillary to dissolve the NAP SOA, which was then transferred into the mass spectrometer *via* a nanospray capillary. The spray voltage for these runs was 3–5 kV, the MS heated inlet capillary temperature was 250 °C, and the mass resolving power was  $10^5 m/\Delta m$  at  $m/z$  400. To obtain background spectra, the probe was placed over the area of the filter that did not contain any sample for 2–3 min, and then was moved to the center of the filter for 3 to 6 min to collect sample data. The sample was moved underneath the capillary droplet bridge to expose fresh spots on the filter to the droplet, with about 10 mm distance travelled by the droplet at the end of scan. The flow rate ranged from 600 to 800 nL min<sup>-1</sup>.

HRMS peaks in the sample and background spectra with a peak to background ratio greater than 5 were extracted using Decon2LS software (<https://omics.pnl.gov/software/decontools-decon2ls>). Peaks were then aligned and assigned using a LabView program with a tolerance of  $\pm 0.001 m/z$  units, with constraints applied on the number of specified elements (C: 1–40, H: 2–80, O: 0–35, N: 0–2, and Na: 0–1) and elemental ratios (H/C: 0.3 to 1.5 and O/C: 0.0 to 2.30). All solvent peaks were removed, as well as peaks containing <sup>13</sup>C atoms. Using the remaining peaks an internal calibration was done to achieve better mass precision and refine the assignments. Observed peaks were assumed as either protonated  $[M + H]^+$  or sodiated  $[M + Na]^+$  species; the elemental composition of their corresponding neutral species will be discussed throughout this paper.

## 2.6 UPLC-PDA-ESI-HRMS experiments

Filters 21–23 were analyzed by ultrahigh-performance liquid chromatography photodiode array high resolution mass spectrometry (UPLC-PDA-HRMS) to examine the compounds contributing to changes in the light absorption by the NAP SOA produced with and without humidity as described previously.<sup>54,65</sup> Briefly, the instrument was a Thermo Q-Exactive Plus mass spectrometer (different from the one described above) with a heated electrospray ionization inlet. The resolving power at  $m/z$  400 was  $1.4 \times 10^5$ . The separation was performed using a Phenomenex Luna Omega Polar C18,  $150 \times 2.1$  mm column with 1.6  $\mu$ m particles and 100 Å pores and the PDA scanned between 190 and 680 nm. The solvents for the UPLC consisted of water and acetonitrile both acidified with 0.1% formic acid as solvents A and B, respectively. The gradient was 95% solvent A for the first 3 min, and then a linear ramp to 95% solvent B for

9 min, a hold at this ratio for 2 min, and finally a linear ramp back to 95% solvent A in preparation for the next run. For mass spectrometry, the instrument was operated in both modes, with +3.5 kV as the spray voltage for ESI positive mode and –2.5 kV as the spray voltage for ESI negative mode. Samples were submitted dissolved in 1 : 1 water : acetonitrile at about 200  $\mu$ g mL<sup>-1</sup> concentration.

The PDA data were analyzed using FreeStyle 1.6 from Thermo Scientific. PDA data were integrated from 280 to 680 nm (wavelengths that are able to penetrate into the troposphere). Then peaks in the PDA chromatogram were manually correlated to individual ions based on the time delay between the PDA and total ion chromatogram (TIC) of 0.06 min. FreeStyle was then used to determine the most abundant ions at these time points, thereby assigning likely formulae to the chromophores showing up in the PDA data. FreeStyle was also used to obtain the full MS spectra by integrating the TIC data (1–18 min) and generating a raw file. Subsequently, the analysis of the data was performed similarly to the nano-DESI data except for the alignment and assigning tolerance, which were set to 0.0005  $m/z$  due to the increased  $m/z$  accuracy of this instrument. After neutral formulae were determined from the assignments, the positive and negative ion modes were combined, and the mass spectra below represent the reconstructed peak abundance of the unionized SOA components detected in either one or both ionization modes.

## 2.7 Spectrophotometry experiments

Samples 10–20 of Table 1 were used for offline UV-vis absorption spectroscopy measurements. For filters 9–16 NAP SOA samples collected on filters were extracted three times in succession, using 4 mL of DI water for each extraction. Filters were shaken for 10 min during each extraction. We then used the procedure described in Section S2 and Fig. S2 in the ESI† to estimate the completeness of the extraction at each step using absorbance data from each of the three extractions. This procedure made it possible to correct for the incomplete dissolution of the SOA material during the first extraction.

A volume of 3 mL of the dissolved NAP SOA was transferred to a 1.0 cm quartz cuvette and analyzed using a dual-beam spectrometer (Shimadzu UV-2450) with a DI water blank. Absorption spectra were taken for each of the extracts for all 8 samples. The bulk mass absorption coefficient ( $MAC_{\text{bulk}}$ ) of each sample was calculated using eqn (1):

$$MAC_{\text{bulk}}(\lambda) = \frac{A_{10}(\lambda) \times \ln(10)}{b \times C_{\text{mass}}} \quad (1)$$

where  $A_{10}(\lambda)$  is the base-10 absorbance,  $b$  is the pathlength, and  $C_{\text{mass}}$  is the mass concentration of the SOA in the solution ( $\text{g cm}^{-3}$ ).  $C_{\text{mass}}$  was estimated from the change in concentration in the chamber according to the SMPS data or from the measured mass of the SOA on the filter when possible.  $MAC_{\text{bulk}}$  was used to calculate the imaginary refractive index ( $k$ ) for samples 1–4 using eqn (2):

$$k(\lambda) = \frac{MAC_{\text{bulk}}(\lambda) \times \rho \times \lambda}{4\pi} \quad (2)$$



where  $\rho$  represents the density of the aerosol particles, which was assumed to be  $1.5 \text{ g cm}^{-3}$  based on previous studies.<sup>66,67</sup>

For filters 17–20, NAP SOA samples were extracted with methanol and for filters 21–23, which were used for mass spectrometry experiments, NAP SOA samples were extracted with acetonitrile (to prevent reactions between methanol and the SOA compounds that would interfere with the HRMS results).<sup>68</sup> Extraction in these solvents was complete, as verified by taking the UV-vis spectra of secondary extracts, and no correction was necessary for the  $\text{MAC}_{\text{bulk}}$  value (see Fig. S3†). We expect  $\text{MAC}_{\text{bulk}}$  values in water and the organic solvents to be comparable based on previous work,<sup>35,54,69</sup> although small solvatochromic effects cannot be ruled out.

### 3 Results and discussion

#### 3.1 Molecular composition

Nano-DESI mass spectra for the samples 1–8 of Table 1 are shown in Fig. S4,† along with Van Krevelen Maximum Carbonyl Ratio (MCR)<sup>70</sup> diagrams in Fig. S5† and double bond equivalent plots in Fig. S6.† In all cases, the mass spectra featured well-defined monomeric and dimeric regions, with the molecular weights of SOA compounds ranging from 100–250 and 250–500 Da, respectively. This is consistent with the mass spectra of naphthalene SOAs reported previously.<sup>17,22,71</sup> While the overall shapes of the mass spectra were similar, the distribution of peak intensities changed from one mass spectrum to another depending on the conditions. Since the SOA composition and the corresponding mass spectra were found to depend on all three variables (concentrations of  $\text{NO}_x$ , concentrations of  $\text{NH}_3$ , and relative humidity) varied in this work, we will focus on comparisons of the samples in which only one parameter is changed at a time.

Average elemental ratios ( $\langle \text{H/C} \rangle$ ,  $\langle \text{O/C} \rangle$ , and  $\langle \text{N/C} \rangle$ ) were calculated for each compared subset. Specifically, averages were taken across all experiments with the variable of interest: RH,  $\text{NO}_x$ , or  $\text{NH}_3$ , with each experiment being used in multiple calculated averages. These average elemental ratios are plotted in Fig. 1. Additionally,  $p$  values were calculated using two-tailed  $t$ -tests to assess the significance of differences in the ratios observed under each condition, with the null hypothesis being that the elemental ratios are the same when the SOA is prepared at high and low RH, high and low  $\text{NO}_x$ , or high and low ammonia.  $p$  values were set such that  $p < 0.10$  represents a moderately significant effect,  $p < 0.05$  represents a significant effect, and  $p < 0.01$  represents a highly significant effect. Changes in elemental ratios were largely not significant across different SOA formation conditions. However, three exceptions to this were observed: the  $\langle \text{O/C} \rangle$  ratio increased at low RH ( $p = 0.005$ ) and  $\langle \text{N/C} \rangle$  increased at high  $\text{NO}_x$  concentrations ( $p = 0.096$ ) and with added ammonia ( $p = 0.03$ ). The marginal significance of the increase in the  $\langle \text{N/C} \rangle$  ratio under high- $\text{NO}_x$  conditions was unexpected. This may be because nitroaromatic compounds, which are expected to be the majority of nitrogen-containing compounds under high- $\text{NO}_x$  conditions, are not detected efficiently in positive mode electrospray techniques.<sup>72</sup> It may further be due to the influence of ammonia in some of

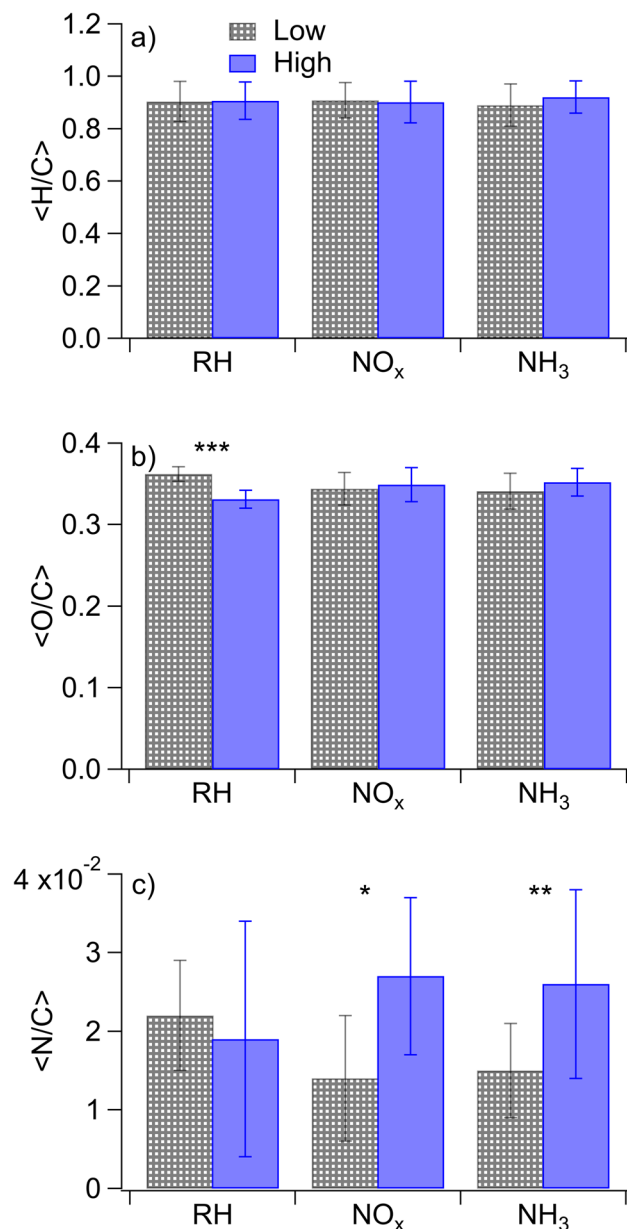


Fig. 1 Summary of average elemental ratios averaged across all experiments with the corresponding parameters: high and low RH, high and low  $\text{NO}_x$ , and with and without added  $\text{NH}_3$ . Panel (a) shows average H/C ratios, panel (b) shows average O/C ratios, and panel (c) shows average N/C ratios. Error bars represent one standard deviation. \* Designates a marginally significant difference ( $p = 0.096$ ), \*\* designates a significant difference ( $p = 0.03$ ), and \*\*\* designates a highly significant difference ( $p = 0.005$ ) between the two conditions.

the low- $\text{NO}_x$  experiments (*i.e.* samples 11 and 12 which were low- $\text{NO}_x$  and high- $\text{NH}_3$ ) as well as the relatively low values of the measured  $\langle \text{N/C} \rangle$  ratios.

**3.1.1 Effect of RH.** In addition to a decrease in the  $\langle \text{O/C} \rangle$  ratio at high RH, as noted in the previous section, Fig. 2 shows that the relative abundance of dimers (as compared to monomers) increases under humid conditions. Furthermore, the peak patterns in the Van Krevelen MCR diagrams (Fig. S5†) differ under the two sets of conditions. Under dry conditions,



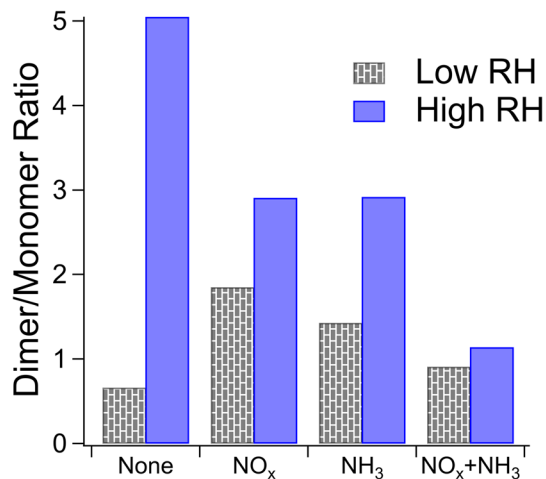


Fig. 2 Dimer to monomer ratios under dry and humid conditions determined from nano-DESI HRMS data. Dry data are shown in patterned gray and humid data are shown in solid blue. Labels on the X-axis correspond to parameters (either NO<sub>x</sub> or NH<sub>3</sub>), which are elevated during SOA formation.

the Van Krevelen MCR diagrams show a few abundant O/C and H/C ratio combinations, generally with an O/C of 0.4 or 0.5 and an H/C of 0.75 or 1.0. When changing from dry to humid conditions, the dominant MCR region, as determined by the percentage of the mass spectrometry signal in that region, changes from “oxidized unsaturated” to “highly unsaturated”, meaning that compounds are less oxidized and/or more unsaturated.<sup>70</sup> Under humid conditions, the major peaks in the Van Krevelen diagram range from O/C 0.2 to 0.4 and lie on diagonal lines with slopes of 2, suggesting hydration or condensation reactions under humid conditions.<sup>73</sup> Combined with the fact that we observe a higher abundance of dimers and lower O/C and H/C ratios under the humid conditions than under the dry conditions, we are likely observing condensation reactions, which lose a water molecule during oligomer formation. The apparent increase in the level of condensation at higher humidity is a counterintuitive result, which we will attempt to rationalize below.

The loss of high O/C ratios under humid conditions could be the result of wall loss effects as hydrophilic (*i.e.*, more polar with higher O/C ratios) compounds partition to the walls of Teflon chambers more quickly under humid conditions.<sup>74</sup> However, if this were the reason for our lower O/C ratios under humid conditions, the addition of NO<sub>x</sub> and ammonia should also increase this wall loss effect as they should lead to more polar molecules, but this is not observed. Rather, the effect of humidity on the Van Krevelen MCR diagram is reduced in the presence of NO<sub>x</sub> and NH<sub>3</sub> with the percentage of the signal in the “highly unsaturated” region being nearly identical between dry and humid conditions when NO<sub>x</sub> or NH<sub>3</sub> is elevated, although the diagonal lines of peaks representing condensation reactions are still evident. We therefore do not believe that wall loss effects sufficiently explain the differences in composition between the dry and humid conditions.

Previous work has shown increased oligomer formation at elevated RH for cyclohexene photooxidation SOAs.<sup>75</sup> Other work has shown that in the case of SOAs from aromatic precursors, gas-phase carbonyls may hydrate on particles with adsorbed water and oligomerize without acidification.<sup>76</sup> Carboxylic acids have been observed to form in the gas phase at faster rates at elevated RH for the OH oxidation of aromatic precursors,<sup>77</sup> and photooxidation of aromatic compounds at elevated relative humidity produces higher levels of carboxylic acids and alcohols in the particle phase.<sup>78</sup> As both carboxylic acids and alcohols are precursors to condensation reactions, higher concentrations of these species may lead to more condensation reactions as we observe in the Van Krevelen MCR diagrams in Fig. S5.† The increased rate of condensation reactions under humid conditions may therefore be due to a shift in reaction precursor concentrations. Other studies have shown suppressed oligomer formation at elevated RH for single-ring aromatic precursors, which was likely from the suppression of condensation reactions by water in the SOA particles.<sup>53,77,79</sup> The NAP SOA studied here is less hygroscopic and water-soluble than SOAs from single-ring aromatic precursors in previous work – a result of its lower vapor pressure, which allows oxidation products from earlier generations with relatively low polarity to condense into particles. We observe a lower O/C ratio for our SOA (0.2 to 0.4, falling into the “highly unsaturated” MCR region) than for other SOA types where oligomer formation was suppressed (for instance 0.8 to 0.9 for toluene SOA and 0.45 to 0.6 for *m*-xylene SOA, falling into the “oxidized unsaturated” or “moderately oxidized” MCR regions).<sup>70,77</sup> The O/C ratio controls water uptake,<sup>80</sup> so less incorporation of water into the NAP SOA particles is expected than other types of SOA particles. Combining these effects, we suggest that in our system, elevated RH leads to higher concentrations of condensation reaction precursors but not enough extra water to suppress condensation reactions as observed for more hygroscopic SOA types.

**3.1.2 Effect of NH<sub>3</sub>.** There are several known ways NH<sub>3</sub> may be incorporated during particle formation. The one we are most interested in here involves the reaction of ammonia with a gas or particle-phase carbonyl to form an imine as shown in Reaction (3).<sup>10,35,38</sup>



In the presence of NO<sub>x</sub>, inorganic ammonium nitrate may also form through Reaction (4).<sup>52</sup>



Finally, in our mass spectrometry analysis, we may observe compounds ionized by NH<sub>4</sub><sup>+</sup> rather than H<sup>+</sup> when ammonia is present. This ionization mechanism will additionally be more prevalent when Reaction (4) occurs.

We estimate the relative prevalence of Reaction (3) by evaluating how often the mass difference of 0.9840 Da (corresponding to the combined effect of NH<sub>3</sub> addition and loss of H<sub>2</sub>O) occurs in the nano-DESI mass spectrum, shown in Fig. 3.



The addition of ammonia appears to increase the frequency of this mass difference, and the effect is smaller at high humidity under low-NO<sub>x</sub> conditions. This is consistent with Reaction (3). Previous studies have also observed a suppression of the formation of nitrogen-containing compounds from ammonia and amines at elevated RH (the addition of ammonia increased the ⟨N/C⟩ ratio to a lesser extent with increasing RH) and attributed it to the suppression of condensation reactions between carbonyls and ammonia as this reaction produces water as a product.<sup>51</sup> With the addition of ammonia, we also observe an increase in the number of peaks with H/C ratios greater than 1 (Fig. S5†), presumably from the additional H atoms on NH<sub>3</sub> reaction products. An alternative explanation for this observation may be more rapid partitioning to the walls under humid conditions; however this is not observed when NO<sub>x</sub> is also added. Instead, the addition of NO<sub>x</sub> appears to enhance the occurrence of a mass difference of 0.9840 Da, and the effect is greater under humid conditions. Both of these observations agree well with Aerosol Mass Spectrometry data reported by Cui *et al.*,<sup>20</sup> who also concluded that NO<sub>x</sub> and the combination of NO<sub>x</sub> and water vapor promote the formation imine compounds with the addition of NH<sub>3</sub>. Under high-NO<sub>x</sub> conditions, the reaction of peroxy radicals (RO<sub>2</sub>) with NO produces more carbonyl compounds than the RO<sub>2</sub>/HO<sub>2</sub> chemistry occurring in low-NO<sub>x</sub> samples.<sup>52</sup> These carbonyls likely react with NH<sub>3</sub>, resulting in increased importance of carbonyl to imine transformations. The MCR analysis in Fig. S5† supports this theory, as the MCR is higher under high-NO<sub>x</sub> conditions than under low-NO<sub>x</sub> conditions.

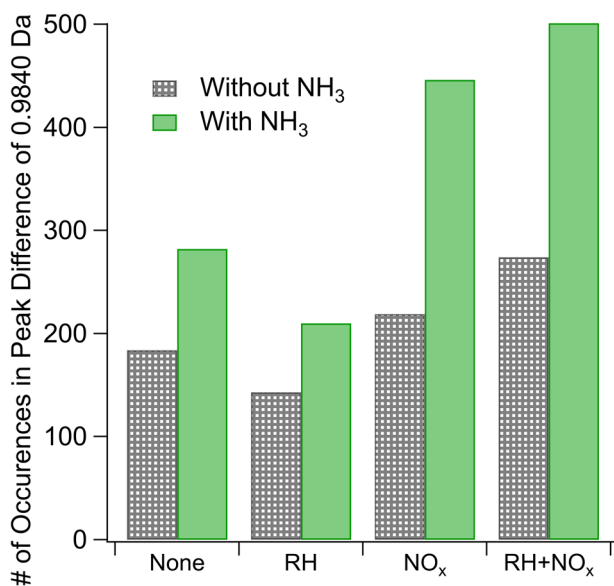


Fig. 3 Differences in the occurrence of 0.9840 Da (corresponding to the mass difference when losing H<sub>2</sub>O and gaining NH<sub>3</sub>) for trials with and without ammonia added as measured by nano-DESI HRMS. Results without ammonia are shown in patterned gray and with ammonia are shown in solid green. Labels on the X-axis correspond to parameters (either RH or NO<sub>x</sub>), which are elevated during SOA formation.

Reaction (4) may interfere with these results if, for instance, the compounds undergo a dimerization reaction which loses a water molecule as mentioned in Section 3.1.1 and then the resultant compound is ionized by particle-phase NH<sub>4</sub><sup>+</sup> formed through Reaction (4). The end difference in mass for this set of circumstances would be indistinguishable from that produced by Reaction (3). However, the dimer/monomer ratios in Fig. 2 and the occurrence of a mass difference of 0.9840 Da in Fig. 3 are anticorrelated, with the lowest relative abundance of dimers and the highest number of occurrences of our mass difference being for high-NO<sub>x</sub> and high-NH<sub>3</sub> samples, suggesting that Reaction (4) does not explain the trends observed in Fig. 3.

**3.1.3 Effect of NO<sub>x</sub>.** Fig. 4 shows that both the number and abundance of nitrogen atoms per molecule were increased under high-NO<sub>x</sub> conditions as compared to low-NO<sub>x</sub> conditions. Under high-NO<sub>x</sub> conditions, the percentage of the number of peaks with an assignment where *N* = 0 decreased, while *N* = 1 and *N* = 2 assignments increased, as seen in Fig. 4a. High-NO<sub>x</sub> studies resulted in 68% CHON species in comparison to low-NO<sub>x</sub> studies that produced more CHO products (we should note that low intensity peaks corresponding to CHON species were still observed under low-NO<sub>x</sub> conditions likely because of residual NO<sub>x</sub> or NH<sub>3</sub> in the chamber). Higher NO<sub>x</sub> levels also led to an increase in ⟨N/C⟩ (Fig. 4b) for all experimental conditions, although the effect was greater under humid conditions. This is consistent with previous nano-DESI studies of toluene photo-oxidation SOAs produced under high- and low-NO<sub>x</sub> which observed the presence of nitro groups such as nitrophenols, nitrates, and nitro acids under high-NO<sub>x</sub> conditions.<sup>11</sup> Liu *et al.*<sup>41</sup> also observed organonitrogen compounds (–ONO<sub>2</sub> and –NO<sub>2</sub>) in the IR spectra for high-NO<sub>x</sub> toluene and *m*-xylene SOM. These types of nitrogen-containing species are likely those seen in high-NO<sub>x</sub> studies and are largely responsible for high MAC values discussed in the next section.

## 3.2 Optical properties

**3.2.1 Effect of NO<sub>x</sub> and NH<sub>3</sub>.** Fig. 5a and b compare the effect of NH<sub>3</sub> and RH on the MAC<sub>bulk</sub> of the NAP SOA under high- and low-NO<sub>x</sub> conditions, respectively. The observed MAC<sub>bulk</sub> values have comparable magnitudes and wavelength dependence under all conditions but there are several noteworthy differences. First, each high-NO<sub>x</sub> sample on average has a higher MAC<sub>bulk</sub> than its corresponding low-NO<sub>x</sub> sample for wavelengths longer than 350 nm (see Fig. S7† for a direct comparison of high- and low-NO<sub>x</sub> samples). This is consistent with the previous observations of increased absorption from high-NO<sub>x</sub> aromatic SOAs.<sup>11,19</sup> In particular, Siemens *et al.*<sup>22</sup> observed increased absorbance when the NAP SOA was prepared under high-NO<sub>x</sub> conditions as compared to low-NO<sub>x</sub> conditions and attributed it to an increase in nitroaromatic compounds. However, the contrast between high-NO<sub>x</sub> and low-NO<sub>x</sub> samples is not as large as for toluene SOAs (in which the low-NO<sub>x</sub> sample was essentially non-absorbing).<sup>11</sup>

The MAC<sub>bulk</sub> values increase slightly in the presence of ammonia in Fig. 5a (low-NO<sub>x</sub> conditions), and to a greater





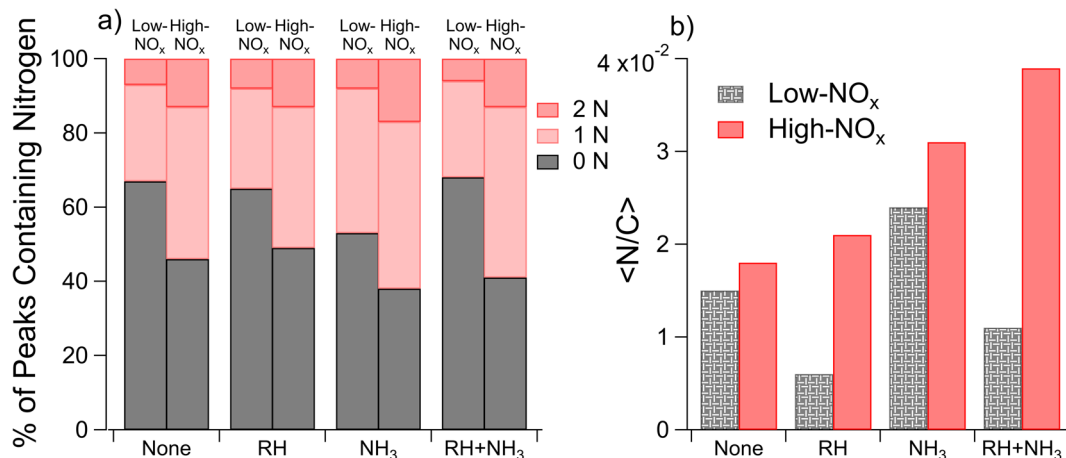


Fig. 4 Comparison of nitrogen incorporation for high- and low- $\text{NO}_x$  samples as determined by nano-DESI. Panel (a) shows the percentage of the number of peaks assigned to formulae containing 0, 1, or 2 nitrogen atoms, with gray representing 0 nitrogen atoms, light pink representing 1 nitrogen atom, and dark pink representing 2 nitrogen atoms. Panel (b) shows the intensity-normalized  $\langle N/C \rangle$  ratio for each set of samples under low- (in patterned gray) and high- $\text{NO}_x$  (in solid red) conditions. Labels on the X-axis correspond to parameters (either RH or  $\text{NH}_3$ ), which are elevated during SOA formation.

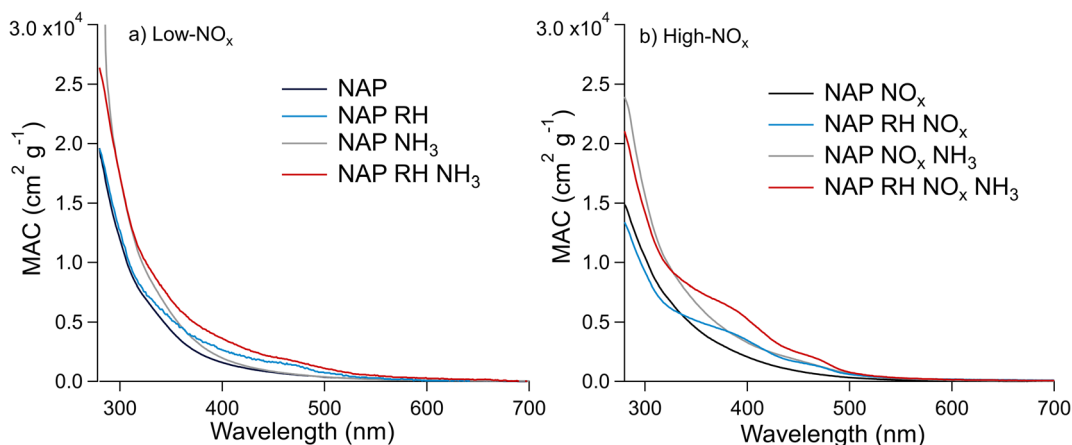


Fig. 5 Effect of relative humidity and the presence of  $\text{NH}_3$  on the  $\text{MAC}_{\text{bulk}}$  of the NAP SOA under (a) low- $\text{NO}_x$  and (b) high- $\text{NO}_x$  conditions. These UV-vis spectra are for samples 9–16, which were extracted in water (see Section S2†). Trace labels denote parameters, which are elevated during SOA formation such that “NAP” represents low RH, low  $\text{NO}_x$ , and low  $\text{NH}_3$ , “NAP RH” represents high RH, low  $\text{NO}_x$ , and low  $\text{NH}_3$ , etc.

extent in Fig. 5b (high- $\text{NO}_x$  conditions). This correlates with the extent of N-incorporation in the SOA as shown in Fig. 3, where the number of times the difference corresponding to the loss of  $\text{H}_2\text{O}$  and gain of  $\text{NH}_3$  (0.9840 Da) occurred between peaks in the mass spectra was smaller under low- $\text{NO}_x$  conditions than under high- $\text{NO}_x$  conditions. These results are in agreement with Updyke *et al.*,<sup>35</sup> where high- $\text{NO}_x$  conditions showed more browning with the addition of ammonia than low- $\text{NO}_x$  conditions, but the shape of the absorption spectrum did not change drastically for the NAP SOA under either condition. This result is likely because of greater formation of carbonyl compounds under high- $\text{NO}_x$  conditions as per Reaction (3).<sup>10,35,38</sup> The effect of  $\text{NH}_3$  appears to be more significant in the presence of humidity, in agreement with similar observations of the enhanced effect of  $\text{NH}_3$  on the absorption coefficient of related SOAs from 1-methylnaphthalene.<sup>20</sup>

**3.2.2 Effect of RH.** Under humid conditions, Fig. 5 shows a consistent increase in  $\text{MAC}_{\text{bulk}}$  at both  $\text{NO}_x$  levels and the formation of a small peak at  $\sim 475$  nm, likely a result of the increased formation of dimers under humid conditions (as shown in the mass spectra) leading to more conjugated  $\pi$  systems. This is consistent with previous work showing an increased MAC for aromatic SOA produced under humid conditions.<sup>12,20</sup> The combination of high- $\text{NO}_x$  and high-RH conditions results in a distinct peak in  $\text{MAC}_{\text{bulk}}$  near 400 nm, which is not evident under dry or low- $\text{NO}_x$  conditions. Previous work has also shown the MAC at 400 nm to be more humidity dependent at higher  $\text{NO}_x$  concentrations for SOAs prepared from toluene.<sup>81</sup> UPLC-PDA data in Fig. 6 show that this compound elutes from the LC column at 11.20 min, and we can assign it to nitro-naphthol using HRMS. Considering that the wavelength of maximum absorption is approximately 400 nm,



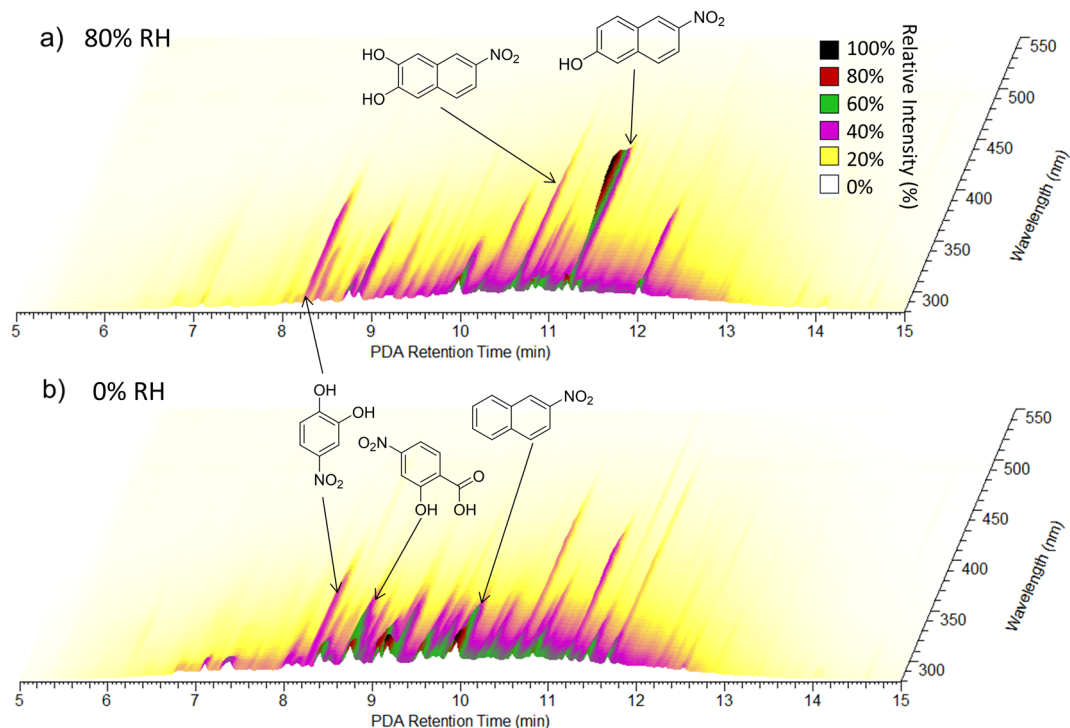


Fig. 6 UPLC-PDA chromatograms for (a) the NAP SOA prepared under high- $\text{NO}_x$  at 80% RH and (b) the NAP SOA prepared under high- $\text{NO}_x$  at 0% RH. The retention time of the UPLC column is on the X-axis, the wavelength of absorption is on the Y-axis, and the absorbance is on the Z-axis. Spectra are from samples 23 (panel a) and 21 (panel b). Possible structures for the three most absorbing peaks in each panel are provided in the figure, and further individual peak assignments are provided in Fig. S11 and Table S1.†

this peak must correspond to an isomer of nitro-naphthol with a large degree of separation between the OH and  $\text{NO}_2$  groups on the molecule, and indeed the absorption spectrum matches well to that of 6-nitro-2-naphthol.<sup>82</sup> High- $\text{NO}_x$  samples prepared under high and low humidity were repeated to verify that the formation of a new band at 400 nm is reproducible and that  $\text{MAC}_{\text{bulk}}$  is consistently higher under humid conditions (samples 17–20, see Fig. S8†).

To further analyze the effect of relative humidity on the abundance of structural isomers of nitro-naphthol, we plotted the negative mode extracted ion chromatogram (EIC) trace of mass 188.035 (nitro-naphthol) for 0 and 80% RH high- $\text{NO}_x$  samples as shown in Fig. S9.† There are four major structural isomers of nitro-naphthol observed by this method in the NAP SOA samples, and the relative humidity during SOA formation changes the relative abundance of each of them. To determine if the differences in composition observed with high humidity could be replicated by exposing the SOA previously formed under dry conditions to liquid water, two samples of high- $\text{NO}_x$  SOAs were prepared under dry conditions (samples 21 and 22), and one was prepared under humid conditions (sample 23). One of the samples (sample 22) was extracted using acetonitrile, an equal volume of water was added, and then the SOA was allowed to age for three hours. This time frame was chosen to be slightly longer than the SOA formation experiments, which were 2.5 h under both humid and dry conditions. Note that it was necessary to extract using acetonitrile because the NAP SOA is only partially soluble in pure water. Exposure to water did not

appear to change the relative abundance of structural isomers of nitro-naphthol (Fig. S9†) or the overall mass spectrum (Fig. S10†). Therefore, in addition to the effect on the dimer abundance and  $\langle \text{O/C} \rangle$  ratio, RH appears to also influence the specific structural isomers that form during photooxidation of naphthalene (and not when the NAP SOA is dissolved in water during analysis).

Further analysis of Fig. 6 shows that under humid conditions, compounds elute on average later than under dry conditions (8 to 13 min as compared to 7 to 12.5 min), suggesting an increased abundance of less polar compounds under humid conditions, consistent with lower O/C ratios as measured using nano-DESI. A 2D representation of these data integrated from 280 to 680 nm is provided in Fig. S11† and assignments for major absorbers are provided in Table S1.† The major absorbers are generally  $\text{C}_7$  to  $\text{C}_{10}$  compounds, and the majority of them contain nitrogen.

**3.2.3 Online measurements of optical properties.** Fig. S12† compiles the  $\text{MAC}_{\text{aerosol}}$  and imaginary refractive index data at 405 and 532 nm derived from the online CRDS and PAS data from samples 1–4, and Fig. 7 shows a comparison of the imaginary index of refraction calculated from online and offline absorption data for these samples. The daily raw data for these plots can be found in the ESI (Fig. S13–S16).† In this set of studies,  $\text{NH}_3$  is present in all the samples, so comparisons will be made only for the effect of  $\text{NO}_x$  and RH.

The values for the imaginary refractive index measured by CRD-PAS were consistently higher than the values measured



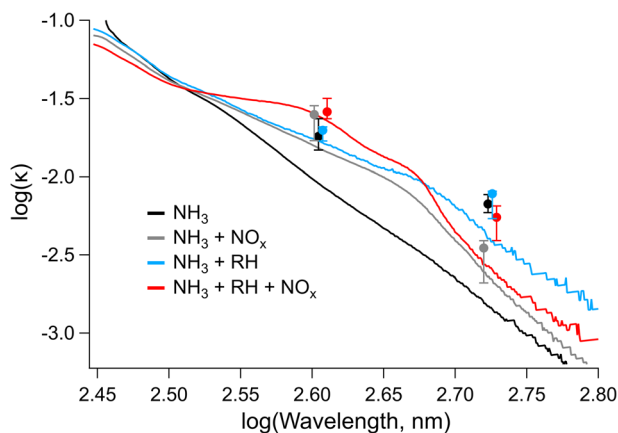


Fig. 7 Imaginary refractive index ( $k$ ) calculated from UV-vis spectra and from CRD-PAS data at 405 and 532 nm for samples 1–4. UV-vis data are shown as continuous lines, while CRD-PAS data are shown in filled circles. Error bars on the CRD-PAS data represent the range of measured values. CRD-PAS data points are taken at the same wavelengths but are offset in the x-direction for clarity. Note that all trails for which CRD-PAS data were collected correspond to conditions with  $\text{NH}_3$  present in the chamber. Daily data sets are included in Fig. S12–S15.† Labels on the legend correspond to parameters ( $\text{NH}_3$  and either RH or  $\text{NO}_x$ ), which were elevated during SOA formation.

offline by UV-vis, with the online (CRD-PAS) and offline (UV-vis) measurements agreeing within error for the high-RH samples but not the low-RH samples. This is likely due in part to the differing density of the aerosol particles between the high- and low-RH samples; the density we assumed for the offline conversion of  $\text{MAC}_{\text{bulk}}$  to  $k$  ( $1.5 \text{ g cm}^{-3}$ ) may be more representative of high-RH samples than low-RH samples if the low-RH samples are of higher density. Previous studies have

shown that elemental ratios can be used to predict the density of organic aerosol particles, with higher O/C ratios corresponding to higher densities.<sup>83,84</sup> Our low-RH samples are therefore likely to be denser than our high-RH samples as the low-RH samples had significantly higher O/C ratios. The PAS values at 405 nm are largely within the error of each other. The only exception to this is the high- $\text{NO}_x$  + high-RH sample, which is higher than the two low- $\text{NO}_x$  samples, consistent with the formation of the peak at 400 nm corresponding to the structural isomer of nitro-naphthol observed in Fig. 5 and 6. At 532 nm, the high- $\text{NO}_x$  + low-RH sample is lower than the other samples and the other samples are not different according to the CRD-PAS data. This trend is not reflected in the offline data, likely because of a larger error for these values: there was little signal in this region of UV-vis, and the wavelength is longer so errors will be amplified as per eqn (2).

By applying linear fits to the traces Fig. 7, the wavelength dependence of the absorption coefficient ( $w$ ) can be determined and thereby the Absorption Ångström Exponent (AAE) can be estimated as described by Saleh *et al.*,<sup>85</sup> where  $\text{AAE} \approx w + 1$ . The results from this analysis are shown in Fig. 8 where they are placed in the context of the optically based BrC absorption strength classifications suggested by Saleh *et al.*<sup>85</sup> Values are also included for the low- $\text{NH}_3$  samples from Fig. 5, although they are not shown in Fig. 7. Based on the wavelength dependence of absorption and the value of  $k$  at 550 nm (the maximum of the solar spectrum), the NAP SOA falls into the classification of weakly absorbing BrC (W-BrC) under all preparation conditions. The AAE values for the samples range from 5.5 to 7.6. It can be seen in Fig. 8 that the best predictor of the AAE is relative humidity followed by  $\text{NO}_x$  concentration. The presence of ammonia does not have a discernible effect on the AAE across the different preparation conditions.

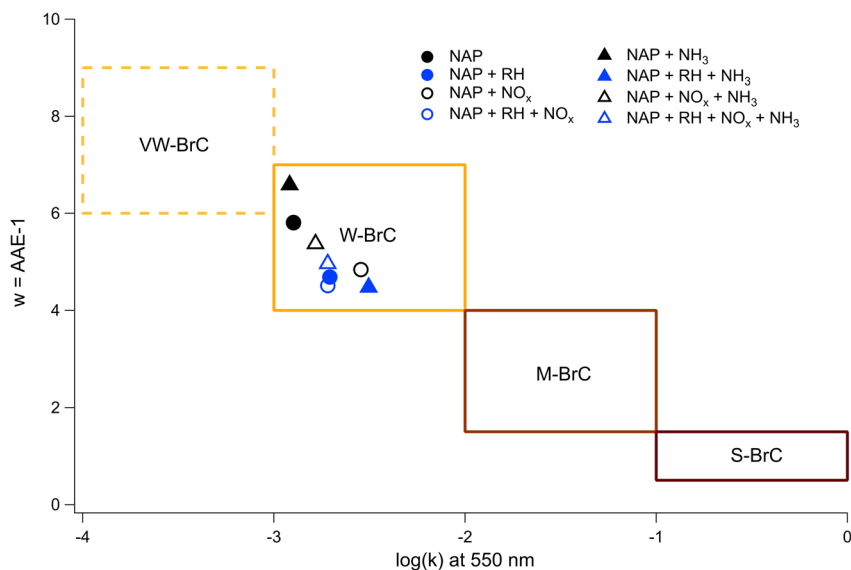


Fig. 8 Values of  $w = \text{AAE} - 1$  and  $\log(k)$  at 550 nm for the NAP SOA on the Saleh (2020) BrC classification plot. The legend specifies only parameters that were elevated during SOA formation. The way to read the markers is as follows: black represents low-RH and blue represents high-RH, circles represent low- $\text{NH}_3$  and triangles represent high- $\text{NH}_3$ , and filled shapes represent low- $\text{NO}_x$  and empty shapes represent high- $\text{NO}_x$ .



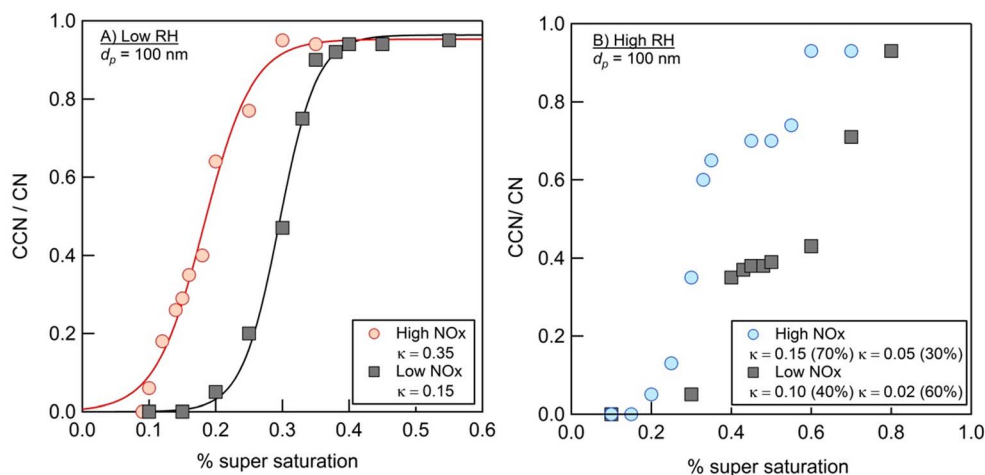


Fig. 9 Fraction of particles that activate to CCN (CCN/CN) as a function of the % super saturation for samples 1 through 4. Panel A shows low RH studies, with the high- $\text{NO}_x$  data shown in red and the low- $\text{NO}_x$  data shown in black, and panel B shows high RH studies with the high- $\text{NO}_x$  data shown in blue and the low- $\text{NO}_x$  data shown in black. Note that all trails for which hygroscopicity data were collected contain ammonia.

### 3.3 Hygroscopic properties

Fig. 9 summarizes the results of measurements of the hygroscopic properties of the NAP SOA (prepared with ammonia in the chamber) at varying RH and  $\text{NO}_x$  concentrations. In both high- and low-RH samples, the addition of  $\text{NO}_x$  increased the aerosol hygroscopicity. Additionally, under high-RH conditions in panel b, two activation events are observed, allowing the calculation of two values of  $\kappa$ . This is typical behavior for an externally mixed aerosol population, leading to two different activation events.<sup>86</sup> In all samples, the measured  $\kappa$  values are representative of hygroscopic organic species ( $0.01 < \kappa < 0.5$ ), while  $\kappa$  values typical of non-hygroscopic species ( $\kappa = 0$ ) and inorganic species ( $0.5 < \kappa < 1.4$ ) are not observed.<sup>56</sup>

Previous work has measured  $\kappa$  for SOAs from other precursors to be near 0.1.<sup>87</sup> The majority of the data presented here are consistent with this, with  $\kappa$  values ranging from 0.05 to 0.15. The two exceptions to this are the low-RH, high- $\text{NO}_x$  data, which result in a much greater  $\kappa = 0.35$ , and 60% component of the high-RH, low- $\text{NO}_x$  data, which result in a lower  $\kappa = 0.02$ . In general, the hygroscopicity data are consistent with the composition data. First, the incorporation of nitrogen, as observed for high- $\text{NO}_x$  samples in Fig. 4, likely increases hygroscopicity based on increased polarity with the incorporation of heteroatoms,<sup>56</sup> as observed for high- $\text{NO}_x$  samples in Fig. 9. This could also be due to a small contribution of  $\text{NH}_4\text{NO}_3$ , which has a  $\kappa$  value of 0.67 and is likely to form when both  $\text{NH}_3$  and  $\text{NO}_x$  are present.<sup>56,88</sup> Second, a decrease in the  $\langle \text{O}/\text{C} \rangle$  ratio and increase in dimer abundance as observed for high RH samples in Fig. 1 and 2 should decrease hygroscopicity, as observed for high RH samples in Fig. 9.<sup>89,90</sup>

## 4 Conclusions and future work

This work demonstrates that SOA formation conditions have complex effects on SOA composition and optical properties,

and, importantly, in some cases the combination of two parameters ( $\text{NH}_3$ ,  $\text{NO}_x$ , or RH) has different effects than only one of these parameters on its own. For instance, we observed much greater incorporation of  $\text{NH}_3$  when  $\text{NO}_x$  was also present, but less incorporation of  $\text{NH}_3$  when RH was elevated and  $\text{NO}_x$  was not. Or more dramatically, only the combination of high RH and high  $\text{NO}_x$  produced a new absorption band at 400 nm attributable to 6-nitro-2-naphthol, a dominant contributor to the absorption coefficient of this aerosol. These examples suggest that, for a given set of experiments, some effects will not be independent of the control parameters (*i.e.*, the parameters, such as RH, which are held constant while another parameter, like  $\text{NO}_x$  level, is changed). Separate studies on the effects of one of these parameters may therefore show contradictory results depending on differences in the chosen control parameters. As a result, it will be imperative to simulate atmospheric conditions as accurately as possible for a robust representation of chemical processes in the atmosphere. Furthermore, additional studies should be conducted on the influence of parameter combinations (*i.e.*, RH +  $\text{NO}_x$  or  $\text{NO}_x$  +  $\text{NH}_3$ ) on the properties of SOAs.

## Author contributions

The experiments and data analysis were conceived by SAN, THB and CC. ALK, PKA, ACM, JL and LMW carried out the smog chamber experiments; XZ and TH did the CRD/PAS measurements; GN did the SR-CCN measurements; PL, JL, and AL carried out the nano-DESI measurements; PKA and ALK did additional MAC measurements; ALK did LC measurements. ALK wrote the manuscript and all authors participated in its editing.

## Conflicts of interest

The authors declare no competing financial interest.



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