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Influence of ambient and endogenous H_2O_2 on reactive oxygen species concentrations and OH radical production in the respiratory tract†

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Air pollution is a major health risk, but the underlying chemical mechanisms are not yet well understood. Fine particulate matter (PM_{2.5}) and gaseous pollutants can generate reactive oxygen species (ROS) in the epithelial lining fluid (ELF), and hydrogen peroxide (H_2O_2) is the most abundant ROS in the human body. Here, we show that H_2O_2 concentrations in the ELF may be primarily determined by the release of endogenous H_2O_2 and the inhalation of ambient gas-phase H_2O_2 , while the chemical production of H_2O_2 through inhaled PM_{2.5} is less important. The production of hydroxyl radicals ($\cdot\text{OH}$), however, was strongly correlated with Fenton chemistry of PM_{2.5} in the model calculations. Hence, our findings suggest that the adverse health effects of PM_{2.5} may not be primarily related to direct chemical production of H_2O_2 , but rather to the conversion of peroxides into more reactive species such as the $\cdot\text{OH}$ radical, or the stimulation of biological ROS production. The analysis highlights remaining uncertainties in the relevant physical, chemical and biological parameters, suggesting a critical reassessment of current paradigms in elucidating and mitigating the health effects of different types of air pollutants.

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Environmental significance

Epidemiological studies show that fine particulate matter (PM_{2.5}) is the main component responsible for the adverse health effects of air pollution. The oxidative potential of PM_{2.5} is defined as its ability to produce reactive oxygen species (ROS) and it is increasingly used as a marker for the toxicity of PM_{2.5} in air quality monitoring and field investigations. Here, we compare and contrast the ability of PM_{2.5} to produce ROS in the epithelial lining fluid of the lung with the influence of ambient and endogenous hydrogen peroxide. We find that, under physiological conditions, PM_{2.5} may only play a minor role compared to other ROS sources, which may have far-reaching implications for the assessment of air pollution toxicity.

1. Introduction

Ambient air pollution is associated with adverse health effects and excess mortality.^{1,2} Fine particulate matter (PM_{2.5}), ozone (O_3), and nitrogen oxides ($\text{NO}_x \equiv \text{NO}, \text{NO}_2$) have been identified as the most hazardous components of air pollution.^{3,4} The causes of the toxicity of individual components and mixtures, however, remain poorly understood.^{5,6} PM_{2.5} is a complex mixture of organic and inorganic compounds, containing, also, redox-active components such as transition metals, quinones, and highly oxidized organic molecules.^{7–9} In the epithelial lining fluid (ELF) of the respiratory tract, a thin aqueous film

and direct interface between air and body,^{10,11} air pollutants can dissolve and undergo chemical reactions that produce reactive oxygen species (ROS), including hydrogen peroxide (H_2O_2) and oxygen-centered free radicals like the superoxide anion ($\text{O}_2^{\cdot-}$) and the hydroxyl radical ($\cdot\text{OH}$).^{12,13} Excessive concentrations of ROS, especially in the form of $\cdot\text{OH}$, cause damage to cells and tissue, leading to oxidative stress and inflammation.^{13,14} The so-called oxidative potential or the ability to produce H_2O_2 and other ROS in aqueous solution is often used as a measure for the toxicity of particulate air pollutants.^{15–17} The oxidative potential of PM_{2.5} samples can be determined in acellular assays, which either detect the formation of ROS such as H_2O_2 and $\cdot\text{OH}$ directly, or indirectly through the decay of antioxidants such as glutathione or ascorbic acid.^{18,19} However, H_2O_2 is also produced in cells and tissues and formed in the ozonolysis of surfactant lipids in the ELF.^{9,20–22} Additionally, it is an ubiquitous atmospheric trace gas with high water solubility. Atmospheric H_2O_2 is mainly produced *via* self-reaction of the hydroperoxyl radical (HO_2^{\cdot}), which is an abundant atmospheric

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production and conversion of superoxide.^{7,9} H₂O₂ is efficiently buffered by enzymes such as catalase in the ELF and peroxiredoxins in the cells, but can be converted into the highly reactive and noxious [•]OH radical through Fenton chemistry.⁷⁻⁹ Secondary organic aerosol (SOA) is a major component of ambient PM_{2.5} (ref. 25 and 26) and contributes to the formation of ROS in the ELF.⁸

2. Methods

2.1 Kinetic multi-layer model

The model KM-SUB-ELF 2.0 consists of six compartments: the ambient gas phase, the gas phase of the respiratory tract, the surfactant layer, the aqueous ELF, the cellular layer, and the blood layer (Fig. 1). The model is an extended version of the model KM-SUB-ELF,^{7,9} which it expands through the inclusion of the cellular layer, the blood layer, and H₂O₂ as gas-phase pollutant. The model explicitly treats inhalation from the

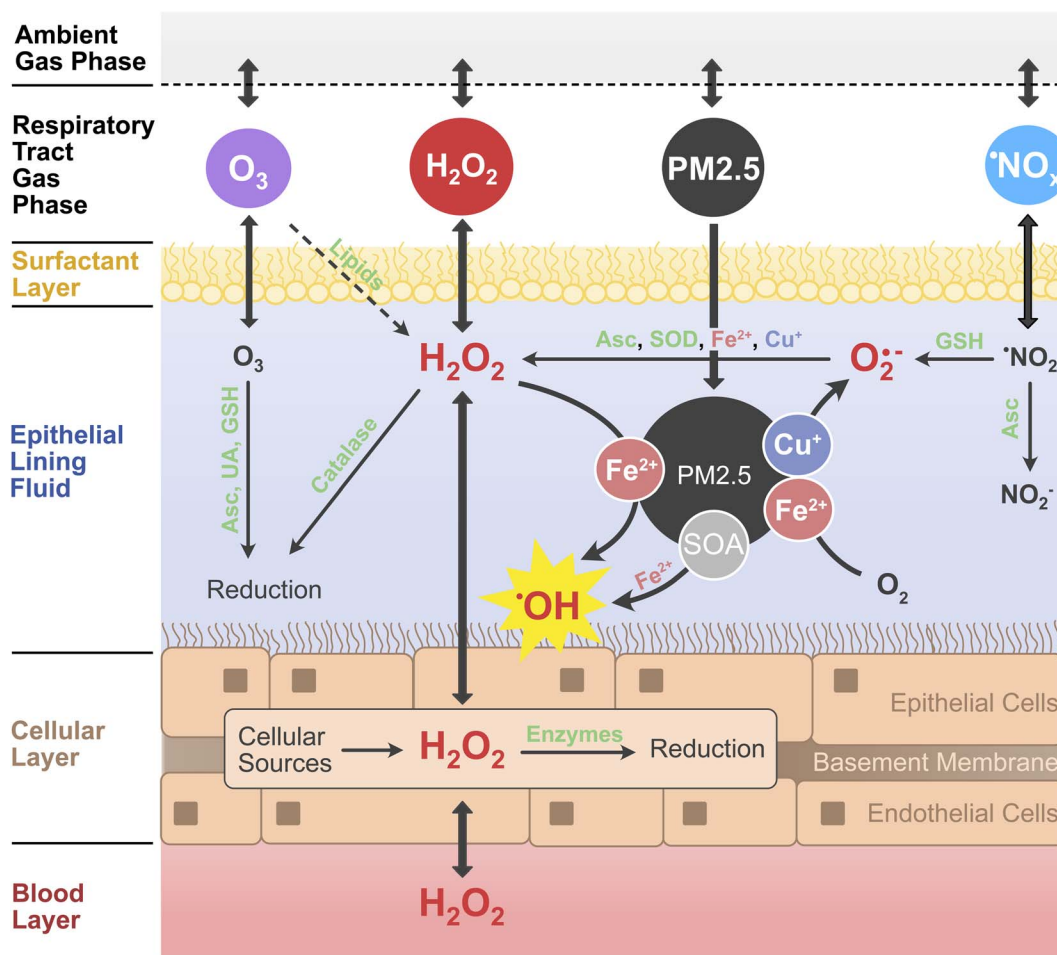


Fig. 1 Simplified schematic representation of the chemical reactions and diffusion of H_2O_2 and other air pollutants ($\text{PM}_{2.5}$, NO_x , O_3) considered in the KM-SUB-ELF 2.0 model. The model consists of five major compartments: the respiratory tract gas phase, surfactant layer, the epithelial lining fluid, a layer of cells, and blood vessels. The cell layer comprises epithelial and endothelial cells as well as an intermediary basement membrane into a single compartment. Transition metals copper (Cu) and iron (Fe) contained in $\text{PM}_{2.5}$ catalyze the formation and conversion of reactive oxygen species (ROS; red font). Hydroxyl radicals (OH^\bullet) are produced from Fenton(like) reactions of iron with H_2O_2 or organic peroxides contained within secondary organic aerosol (SOA). Antioxidants and enzymes (green font) including ascorbic acid (Asc), uric acid (UA), glutathione (GSH), superoxide dismutase (SOD), catalase, GSH peroxidase, and peroxiredoxins convert and scavenge ROS as well as oxidants such as O_3 and NO_2 .

ambient gas phase, adsorption and desorption of gas-phase molecules to and from the surfactant layer, diffusion between the surfactant layer, ELF, cells and blood vessels, as well as 131 chemical reactions across the respiratory tract gas phase, surfactant layer, aqueous ELF, and the cellular layer (Table S1†). The air pollutants considered in the model are fine particulates smaller than 2.5 μm (PM2.5) as well as NO_2 , O_3 , and H_2O_2 from the gas phase. The model simulates a 2 h exposure window in which pollutants are inhaled into the gas phase of the respiratory tract with a breathing rate of $1.5 \text{ m}^3 \text{ h}^{-1}$. A fraction of 45% of inhaled particulates is deposited into the ELF at the beginning of the exposure window. We use a standardized PM2.5 composition that was established previously using the median mass fractions of redox-active PM2.5 constituents from a large set of atmospheric field measurements.⁹ The median mass fractions are 3.1×10^{-4} for copper, 8.1×10^{-3} for iron, 1.6×10^{-5} across three quinones, and 0.33 for secondary organic aerosol (SOA). For simplicity, the model does not resolve concentration gradients of gases or particulates between the upper and lower parts of the respiratory tract.

The model considers three individual quinone compounds, phenanthrenequinone, 1,2-naphthoquinone, and 1,4-naphthoquinone, which were found to be most important for ROS production in acellular assays.^{7,16} Solubilities of the transition metals iron and copper are 10% and 40%, respectively, while quinones and SOA are assumed to fully dissolve. Low molecular mass antioxidants included in the model are ascorbate (Asc), glutathione (GSH), uric acid (UA), and α -tocopherol (α -Toc). The concentrations of antioxidants remained fixed during the 2 h exposure simulation. The surfactant layer consists of a surfactant lipid, 1-palmitoyl-2-oleoyl-*sn*-glycerol (POG), a surfactant protein (SP-B₁₋₂₅), and α -Toc, following Lelieveld *et al.*⁹ The ozonolysis of POG in the surfactant layer yields Criegee intermediates, which are assumed to hydrolyze to H_2O_2 with a yield of 0.17.²⁷ Enzymatic reactions are considered within ELF and cellular compartments: superoxide dismutase (SOD) and catalase are included in ELF, while in cells a range of H_2O_2 -scavenging enzymes (peroxiredoxins, catalase, GSH peroxidase) are considered. Aside from transport from the blood layer, endogenous H_2O_2 is produced at a constant rate of $1 \times 10^{14} \text{ cm}^{-3} \text{ s}^{-1}$ inside the cellular layer.^{28,29} The H_2O_2 concentration in the blood layer is held constant due to constant supply from the blood stream. A more detailed description of the model, including antioxidant concentrations, enzymatic reactions, biological ROS production rates, and the treatment of SOA can be found in the ESI (Sections S2–S5†).

The model code solves a system of ordinary differential equations using the stiff differential equation solver ode23tb in Matlab, and calculates the evolution of reactant concentrations over time. An explicit Jacobian matrix is provided to aid in computation. An overview of model input parameters is given in Tables S1–S4.†

2.2 Source apportionment of H_2O_2 and $\cdot\text{OH}$ in ELF

In this study, the source apportionment of H_2O_2 in the ELF could not be achieved with traditional flux analyses, *i.e.*

comparing chemical and diffusion fluxes, due to the inherent coupling of chemical reaction and diffusion in multiple compartments. Instead, we performed a sensitivity analysis and compared five scenarios in which only a single source of H_2O_2 was present in the model at a time (endogenous H_2O_2 , ambient H_2O_2 , NO_2 , O_3 , and PM2.5) to the scenario with all sources as further detailed in the ESI (Section S6†). Note that this approach was only possible because non-linear effects were almost non-existent, *i.e.* the H_2O_2 production in scenario with all sources agrees to more than 99% with the sum of the H_2O_2 produced in the single-source scenarios. For source apportionment of $\cdot\text{OH}$, a traditional flux analysis could be performed due to negligible diffusion of the reactive radical across compartment boundaries.

3. Results and discussion

The model calculations show that concentrations of H_2O_2 in ELF and cells are strongly affected by H_2O_2 mass transport through membranes and are sensitive to membrane permeability (Fig. 2A). Permeability of H_2O_2 through a single cell membrane has been reported over the large range of 10^{-6} to $10^{-3} \text{ cm s}^{-1}$.^{30–32} H_2O_2 permeation in the respiratory tract may involve diffusion through several membranes, which requires introduction of an effective permeability, μ_{eff} , through tissue. Fig. 2A shows the computed H_2O_2 concentrations in cells and ELF as a function of μ_{eff} .

In line with previous estimations, the modelled H_2O_2 concentration in the cellular layer ($\sim 5 \text{ nM}$) is three orders of magnitude lower than H_2O_2 concentration in the blood ($\sim 5 \mu\text{M}$) and four orders of magnitude lower than a liquid that is in equilibrium with the ambient gas concentration of H_2O_2 ($\sim 50 \mu\text{M}$). The supply of H_2O_2 from inhalation, membrane transport, as well as biological and chemical production is counteracted by enzymatic H_2O_2 consumption in the cells, especially peroxiredoxins, maintaining a low cellular concentration of H_2O_2 (Fig. S1†). The cellular consumption causes the human respiratory tract to act as a net sink of ambient H_2O_2 , *i.e.*, inhaled concentrations are generally larger than exhaled concentrations (Fig. S2†). This leads to very low gas-phase concentrations of H_2O_2 in the respiratory tract in the sub-ppt range (Fig. S3†).

The KM-SUB-ELF 2.0 results agree well with reported values for typical H_2O_2 concentrations in cells (1–10 nM) when μ_{eff} is smaller than $4 \times 10^{-5} \text{ cm s}^{-1}$ (Fig. 2A). In this range, the H_2O_2 concentration in ELF (black solid line) shows a distinct minimum at a μ_{eff} of $1 \times 10^{-5} \text{ cm s}^{-1}$. This minimum marks a change in the regime of H_2O_2 supply to the ELF in the model: at $\mu_{\text{eff}} > 1 \times 10^{-5} \text{ cm s}^{-1}$, H_2O_2 is transported rapidly and predominantly from the blood, leading to high cellular and ELF concentrations (endogenous H_2O_2 regime). In contrast, at a low $\mu_{\text{eff}} < 1 \times 10^{-5} \text{ cm s}^{-1}$, the ELF is more insulated from cells and blood vessels and H_2O_2 concentration in the ELF is determined rather by inhaled H_2O_2 (ambient H_2O_2 regime). In the following, we will discuss results in terms of the central value of $1 \times 10^{-5} \text{ cm s}^{-1}$ for μ_{eff} .

Fig. 2B shows the attribution of the H_2O_2 concentration in ELF to its main sources in the model. The analysis is performed





Fig. 2 Influence of membrane permeability and sources of H₂O₂ in ELF. (A) H₂O₂ concentration in blood (red line), epithelial lining fluid (ELF; black line), and cells (orange line) as a function of the effective permeability of membranes between ELF and blood layer for H₂O₂ (μ_{eff}) under ambient air pollution typical for a clean urban environment (PM_{2.5} = 30 $\mu\text{g m}^{-3}$, *NO₂ = 30 $\mu\text{g m}^{-3}$, O₃ = 30 ppb, H₂O₂ = 1 ppb). The blue line represents an aqueous-phase concentration of H₂O₂ in equilibrium with the ambient gas phase concentration. The blue, red and orange shaded areas represent characteristic ranges of atmospheric, blood and cellular H₂O₂ concentration reported in the literature, respectively, where physiological values represent healthy individuals (Table S1†). (B) Relative contributions of different sources to the H₂O₂ concentration in ELF at a μ_{eff} of $1 \times 10^{-5} \text{ cm s}^{-1}$ for two ambient pollution scenarios: clean urban conditions (PM_{2.5} = 30 $\mu\text{g m}^{-3}$, *NO₂ = 30 $\mu\text{g m}^{-3}$, O₃ = 30 ppb, H₂O₂ = 1 ppb) and polluted urban conditions (PM_{2.5} = 60 $\mu\text{g m}^{-3}$, *NO₂ = 60 $\mu\text{g m}^{-3}$, O₃ = 75 ppb, H₂O₂ = 2 ppb).

for two different air pollution scenarios. Under clean urban conditions, H₂O₂ originates mostly from gas-phase and endogenous sources with 33.7% and 58.6%, respectively (Fig. 2B). The endogenous sources include transport from the blood stream and production of H₂O₂ in the cellular layer. The reaction of O₃ with surfactant lipids accounts for 6.8% of H₂O₂ production. Only a minor portion, 1%, is due to production by constituents of PM_{2.5}. In the scenario reflecting polluted urban conditions, elevated ambient gas-phase H₂O₂ levels contribute strongest to the H₂O₂ concentration in ELF at 46.5%. The contribution of O₃ increases to 11.8% while the contribution of PM_{2.5} constituents remains minor at 1.2%. *NO_x (in the form of *NO₂) shows only negligible contributions (<0.1%) to H₂O₂ in both scenarios. Even higher pollution levels can be observed during haze events in megacities.³³ Under such conditions (PM_{2.5} = 300 $\mu\text{g m}^{-3}$),^{34,35} the contribution of PM_{2.5} constituents can reach 10% in the model calculations (Fig. S4†). We note that these numbers must be interpreted as averages over the entire respiratory tract and concentrations of the water-soluble gases H₂O₂ and O₃ will be higher in the upper parts of the respiratory tract,³⁶ which likely decreases their importance for the deep lung as detailed in the ESI (Section S7†). A very sensitive and rather uncertain model parameter in these calculations is the cellular production rate of H₂O₂, for which we can give only an order of magnitude estimate as detailed in the ESI (Section S4†), and which may dominate H₂O₂ sources at higher values (Fig. S4d†).

Fig. 3A shows the influence of ambient gas-phase H₂O₂ and PM_{2.5} levels on H₂O₂ concentration in the ELF for a range of ambient conditions. The predominantly horizontal contour lines indicate that increasing ambient H₂O₂ has a direct effect

on the aqueous peroxide concentration in the ELF, while the influence of PM_{2.5} becomes evident only at very high mass loadings. In contrast, *OH production is primarily driven by PM_{2.5} levels in the model, as indicated by predominantly vertical contour lines in Fig. 3B. The secondary influence of ambient H₂O₂ becomes evident when levels exceed typical atmospheric values of 0–5 ppb. The concentration of H₂O₂ in the blood has a similar influence on model results as the ambient H₂O₂ concentration (Fig. S5†). These model results, however, are highly dependent on the choice of the effective membrane permeability coefficient μ_{eff} as discussed in Section S8† and shown in Fig. S5 and S6 of the ESI.†

Fig. 3 also presents H₂O₂ concentrations (Fig. 3C) and *OH production (Fig. 3D) for six selected scenarios of typical atmospheric conditions (Table S2†). We find that remote, rural, and indoor air is associated with the lowest H₂O₂ concentrations and *OH production. High ambient gas-phase H₂O₂ in polluted urban air may cause slightly elevated H₂O₂ concentrations in ELF, while the high PM_{2.5} levels may cause significantly increased *OH production. In a heavily cleaned indoor space, very high ambient gas-phase H₂O₂ may not only control the H₂O₂ concentrations in ELF, but may also raise *OH production due to Fenton chemistry of H₂O₂. This scenario is in the ambient H₂O₂ regime, while the other scenarios are in the transition range between the ambient H₂O₂ and endogenous H₂O₂ regime. Variation of PM_{2.5} concentration for all scenarios (solid grey lines in Fig. 3D) reveals a steeper slope and thus a stronger sensitivity to PM_{2.5} in the heavily cleaned indoor space compared to the other scenarios. This result shows that ambient gas-phase H₂O₂ can synergistically increase the *OH production capability of PM_{2.5} and suggests a non-linear effect





Fig. 3 H_2O_2 concentration and OH production in ELF. (A) H_2O_2 concentration in ELF and (B) OH production in ELF as a function of ambient gas-phase H_2O_2 and PM2.5 levels. Dashed contour lines indicate conditions that lead to the same model outcome. (C) H_2O_2 concentrations in ELF and (D) OH production in ELF for six selected air pollution scenarios (markers). Solid lines indicate the sensitivity due to variation of only ambient gas-phase H_2O_2 (panel C, one scenario representative for all) and PM2.5 levels (panel D, two representative scenarios).

on oxidative stress upon exposure to both pollutants. Because of the high water solubility and efficient enzymatic removal of H_2O_2 , we expect this effect to be most relevant in the upper respiratory tract.

The model KM-SUB-ELF 2.0 applied in this study yields H_2O_2 concentration levels (~ 5 nM) lower than reported in earlier studies that did not consider cellular H_2O_2 sinks (~ 100 nM)^{7,9} and lower than measurements of exhaled breath condensate or bronchoalveolar lavage fluid (~ 100 – 1000 nM).^{37–40} The deviation from measurements may be due to an underestimation of the H_2O_2 production rate of epithelial cells (ESI Section S4†) or a missing endogenous source of ROS, possibly superoxide production by alveolar macrophages,⁴¹ which will be further investigated in a follow-up study. This follow-up study will also address the gradients of water-soluble trace gases between the upper and lower respiratory tract. Both aspects may reconcile the agreement with measurement data and would decrease the importance of ambient H_2O_2 for the deep lung. First preliminary estimations are outlined and discussed in ESI Section S7.† The main conclusion of this study that aqueous-phase chemistry of PM2.5 does not have a large contribution to the H_2O_2 concentration in ELF, remains unchanged (Fig. S4†).

The principal source of OH among PM2.5 constituents, however, is directly affected by the H_2O_2 concentration in the ELF. At a low H_2O_2 concentration, OH is produced predominantly through Fenton-like reactions of the organic peroxides contained in SOA (Fig. S7†), which may be labile and decay with a short half-life⁴² or follow a similar chemistry to H_2O_2 by forming OH radicals in reactions with transition metals and water.⁸ In contrast, previous analyses of ELF redox chemistry identified the Fenton reaction of H_2O_2 as dominant OH source, albeit at higher H_2O_2 concentrations in the ELF.^{7,9,15} We note that the relative contribution of the individual pathways not only depends upon the H_2O_2 concentration in the ELF, but may also vary with the exact composition of PM2.5 and the aqueous-phase chemistry of SOA (Fig. S8†). SOA has a highly complex and variable composition and quantitative OH yields from SOA samples in epithelial lining fluid have only been reported by a few studies.^{8,12,43} Furthermore, organic peroxides inhaled through SOA may also be scavenged by enzymes such as peroxidases and peroxidases before significant conversion to OH occurs.^{44,45} This gap between the potential high importance of SOA in air pollution health effects and the lack of quantitative kinetic data highlights the need for further studies under





Fig. 4 Health effects of atmospheric air pollution. The epithelial lining fluid (ELF) is a thin aqueous film at the air–body interface in which inhaled air pollutants dissolve and deposit. H_2O_2 and other peroxides form a reservoir of reactive oxygen species (ROS) in the ELF. H_2O_2 levels in the ELF are controlled by endogenous processes (endogenous H_2O_2 regime) or inhalation of gas-phase H_2O_2 (ambient H_2O_2 regime). A small fraction of H_2O_2 originates from conversion of superoxide ($\text{O}_2^{\bullet-}$) generated from interaction of fine particulate matter (PM2.5) and nitrogen oxides ($\bullet\text{NO}_x$) with ELF. Other peroxides are supplied through secondary organic aerosol (SOA) contained within PM2.5 or by chemical reactions of ozone (O_3). Transition metal-mediated, catalytic conversion of peroxides leads to formation of the highly reactive $\bullet\text{OH}$ radical that can trigger oxidative stress and, ultimately, cell death.

controlled conditions to assess the relative importance of these two major $\bullet\text{OH}$ production channels.

4. Conclusions

The model simulations in this study show that under typical atmospheric pollution scenarios, the concentration of H_2O_2 in the respiratory tract is dictated by endogenous sources and ambient gas phase sources (H_2O_2 and O_3) with similar contributions. However, a recent study suggests that macrophages contribute to ROS levels in the ELF through release of superoxide, which can be significantly enhanced through stimulation with PM2.5 constituents.⁴¹ Such an additional source of ROS, which is outside the scope of this study, may tip the scales fully in favor of endogenous sources of H_2O_2 . Air pollution-induced changes of H_2O_2 concentration in the ELF thus may only be achieved directly during severe haze events, heavy indoor cleaning, or indirectly through stimulation of macrophages causing enhanced endogenous release of superoxide. As superoxide and H_2O_2 in ELF are likely dominated by endogenous sources, direct chemical production of superoxide and H_2O_2 may only play a secondary role in the adverse effects of fine particulate matter (PM2.5).

Acellular oxidative potential assays, which are commonly used to assess potential PM2.5 toxicity, tend to be sensitive to superoxide and H_2O_2 producers such as copper ions and quinones.⁴⁶ However, the findings in this study challenge the view that adverse health effects of PM2.5 are closely related to their ability of increasing ROS concentrations in the lungs. ROS are already ubiquitous in the human body, primarily in the form of H_2O_2 , which can diffuse readily through tissues and epithelial lining fluid.⁴⁷ In the ELF, H_2O_2 and other peroxides form a reservoir of ROS, which is tightly controlled by endogenous processes (Fig. 4). The model simulations in this study show that PM2.5 acts by conversion of peroxides into highly reactive

$\bullet\text{OH}$ radicals that cause oxidative stress and damage to cells and tissues. PM2.5 may further act by stimulating the production of superoxide from endogenous sources. We therefore propose that chemical production of superoxide and H_2O_2 in a cell-free assay may not be a suitable metric for assessing the differential toxicity of individual PM2.5 components and some acellular oxidative potential assays may not capture the actual deleterious effects of PM2.5. Alternatives may be cellular cytotoxicity assays using air–liquid interface cell culture⁴⁸ or acellular assays determining the production of $\bullet\text{OH}$ radicals,⁴⁹ preferably in the presence of physiological concentrations of H_2O_2 .

Oxidative stress and disease may alter the physiological properties and redox homeostasis in the respiratory tract through effects on membrane permeability, enzyme expression, and pH value.⁵⁰ This may further increase the susceptibility of diseased individuals to air pollution in the form of PM2.5 and trigger reinforcing feedback loops.⁵¹ Further investigations will be required to resolve such biological feedbacks, the differential toxicity of individual air pollutants from different sources (traffic, combustion *etc.*), and the cumulative effects of long-term exposure.

Author contributions

Conceptualization: E. D., S. L., U. P. and T. B., methodology: all authors, numerical simulation and validation: E. D., S. L., A. M., and T. B., formal analysis: E. D. and T. B. with assistance from S. L. and A. M., visualization: E. D., S. L., U. P. and T. B., writing–original draft: E. D. and T. B., writing–review and editing: all authors.

Conflicts of interest

There are no conflicts to declare.



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