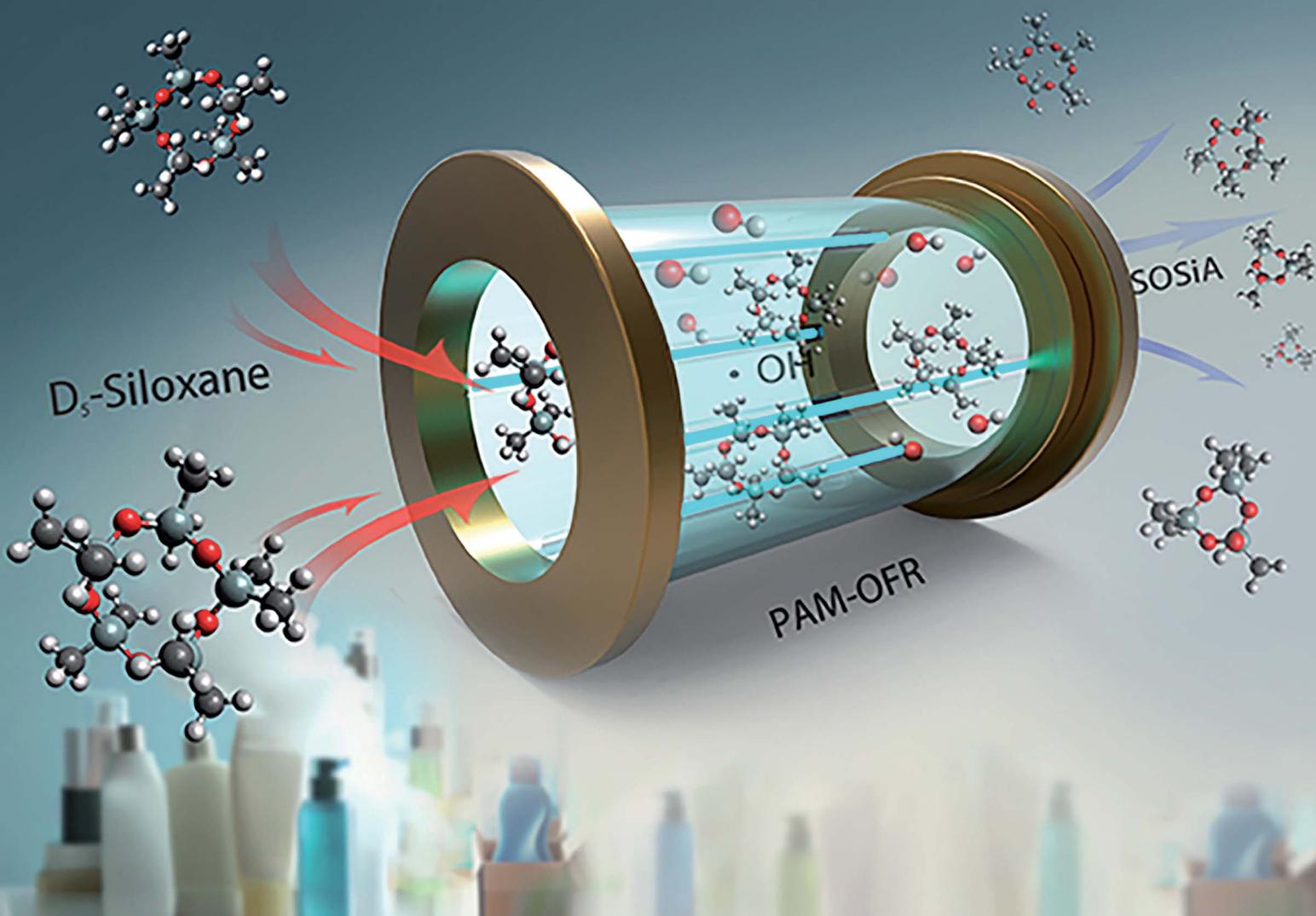


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**PAPER**

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Chemical characterization and formation of secondary organosiloxane aerosol (SOSiA) from OH oxidation of decamethylcyclopentasiloxane



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## Chemical characterization and formation of secondary organosiloxane aerosol (SOSiA) from OH oxidation of decamethylcyclopentasiloxane†

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Cyclic volatile methyl siloxanes (cVMS) are anthropogenic chemicals emitted by consumer and industrial products. Evidence from laboratory and ambient studies indicates that cVMS contribute to the formation of secondary organosiloxane aerosol (SOSiA). However, the oxidation products and formation pathway under various atmospheric conditions have yet to be clarified. To address these knowledge gaps, we generated SOSiA from the OH oxidation of decamethylcyclopentasiloxane ( $D_5$ -siloxane,  $\sim 200$  ppb) in a potential aerosol mass oxidation flow reactor (PAM-OFR, 185 mode) with the photochemical aging from 0.51–7.04 days. The chemical composition of SOSiA was characterized with an online high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) and offline electrospray ionization mass spectrometry (ESI-MS). A series of high-molecular-weight SOSiA ions were observed in the AMS mass spectra. Using the ESI-MS analysis, molecular identification of  $D_5$ -siloxane oxidation products was provided, allowing us to propose the possible formation pathways of the major products. Our results show the combination of multiple mass spectrometry techniques may further offer new insights into characterizing SOSiA-related species and can be used to identify SOSiA tracers from ambient measurements both indoors and outdoors.

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### Environmental significance

As an important anthropogenic volatile organic compounds (VOCs) source, cyclic volatile methylsiloxane (cVMS) species are regarded as significant contributors influencing indoor and urban air quality. However, the environmental fate of siloxanes is poorly understood. Our results demonstrate that online high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) is able to detect secondary organosiloxane aerosol (SOSiA), thus can be used to identify SOSiA tracer from ambient measurements both indoors and outdoors in the future. The possible formation pathways of  $D_5$  SOA were proposed to better understand the atmospheric chemistry of siloxane compounds.

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† Electronic supplementary information (ESI) available: Details of the experimental conditions (Table S1†). Formulas of  $D_5$ -SOSiA AMS fragments and identified molecular composition (Table S2 and S3†). Comparison between modeled and measured  $OH_{exp}$  and  $O_3$  concentrations (Fig. S1†). Representative time series from PAM-OFR experiments (Fig. S2†). AMS high-resolution mass spectra and raw UMR mass spectra (Fig. S3 and S4†). ESI-MS (–) mass spectrum of blank filter and SOSiA compounds (Fig. S5 and S6†). Possible structures of identified SOSiA compounds from ESI-MS (Fig. S7–S10†). See DOI: <https://doi.org/10.1039/d2ea00161f>

## 1. Introduction

Cyclic volatile methyl siloxanes (cVMS) are anthropogenic chemicals emitted from many personal care and industrial products<sup>1</sup> and are thus considered important volatile organic compound (VOC) sources in urban atmospheres<sup>2</sup> and indoor environments.<sup>3</sup> Due to the high saturation vapor pressures and low water solubility, most of the cVMS species preferentially partition to the atmosphere. Recent studies<sup>4,5</sup> have found that the enhancements of cVMS in U.S. cities generally correlated with population density. In addition, cVMS have been detected in rural and remote regions due to long-range transport.<sup>6</sup> They have been also detected in water, soil, sediments and biota due to their widespread use in consumer products.<sup>7</sup>

The cVMS could undergo further gas-phase oxidation to form new products in the atmosphere.<sup>8,9</sup> The dominant cVMS oxidation involves reactions with hydroxyl radicals (OH) and chlorine atoms (Cl), which oxidize cVMS on the timescale of



a couple of days, and the reactions with nitrate radicals ( $\text{NO}_3$ ) and ozone ( $\text{O}_3$ ) are negligible.<sup>10</sup> Additionally, cVMS oxidation products, such as silanols, are also likely to have long atmospheric lifetimes and thus are also likely to undergo further oxidation in the atmosphere.<sup>11</sup> Currently, cVMS are exempt from VOC regulations in the United States,<sup>12</sup> despite their potential health and climate implications. Fu *et al.*<sup>13</sup> found high formaldehyde (HCHO) yield through cVMS oxidation, which could lead to significant ozone formation. Due to the properties of persistent, bioaccumulative, toxic and long-range atmospheric transport, cVMS are currently being considered as emerging persistent organic pollutants (POPs) for regulation.<sup>14</sup>

Recent results have also detected low-volatility siloxanes in the particle phase from indoor cooking events<sup>15</sup> or ship emissions.<sup>16</sup> Evidence from laboratory studies indicated that oxidized cVMS products could also contribute to the formation of secondary organosiloxane aerosol (SOSiA),<sup>17,18</sup> especially decamethylcyclopentasiloxane ( $\text{D}_5$ -siloxane,  $\text{C}_{10}\text{H}_{30}\text{O}_5\text{Si}_5$ ), the most abundant siloxane in urban areas.<sup>19</sup> However, the reported SOA yields varied significantly between different literature reports. Wu and Johnston<sup>17</sup> found that the presence of seeds can enhance the SOA yields from 7.9 to 23.1%, while the SOA yields reported by Han *et al.*<sup>20</sup> did not show significant enhancement with seed aerosol. A recent study<sup>21</sup> highlighted that the  $\text{D}_5$ -siloxane SOA yields are strongly dependent on either the OH concentration or exposure. Under low OH concentrations and exposures, the SOA yield was found to be negligible, while the yields can reach 110% at higher OH mixing ratios. However, it should be noted that the OH concentration and exposure are not necessarily interchangeable,<sup>22</sup> and the two parameters may cause different influences on SOA formation. In addition, as oxidation products were not reported in this previous study, the oxidation products and formation mechanism under various atmospheric conditions have yet to be clarified.

A few previous studies have investigated the chemical composition and formation mechanism of  $\text{D}_5$ -SOSiA. Janechek *et al.*<sup>23</sup> characterized the elemental composition of SOSiA and found the presence of Si in the filter samples *via* energy-dispersive X-ray spectroscopy (STEM-EDS). Chandramouli and Kamens<sup>24</sup> also confirmed that the main product of SOSiA is silanol ( $\text{D}_4\text{T-OH}$ , “D” refers to the units of  $(\text{CH}_3)_2\text{SiO}$  and “T” refers to  $\text{CH}_3\text{SiO}$ ) by Gas Chromatograph (GC). Wu and Johnston<sup>17,25</sup> identified three main types of SOSiA species, including ring-opening products, monomers and dimers with high-performance mass spectrometry in both negative ( $[\text{M} - \text{H}]^-$ ) and positive ( $[\text{M} + \text{H}]^+$  and  $[\text{M} + \text{Na}]^+$ ) ion mode, and the oxidation mechanism was also proposed based on the main products. To date, the number of studies investigating the chemical composition of SOSiA is extreme limited compared to the number of studies on SOSiA yield, resulting in larger uncertainties in  $\text{D}_5$  oxidation mechanisms. Such missing information hinders a comprehensive understanding of the environmental fate and impact of cVMS.

Several studies have also detected SOSiA species from filter samples collected from outdoor ambient air. Milani *et al.*<sup>26</sup> have detected and quantified the  $\text{D}_4\text{T-OH}$  in ambient particulate

matter (PM) based on gas chromatography coupled with mass spectrometry (GC-MS) analysis, but the total SOSiA mass concentration remains unquantified. Xu *et al.*<sup>27</sup> established a quantitative approach to investigate SOSiA in ambient  $\text{PM}_{2.5}$  samples. However, so far, little is known the temporal dynamics information of SOSiA, therefore, analytical instrumentation that can provide real-time characterization of SOSiA is required to elucidate the formation and evolution process.

To our knowledge, the only real-time instrument applied for the characterization of SOSiA to date is the Aerodyne High-Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS).<sup>28</sup> Han *et al.*<sup>20</sup> conducted a series of oxidation flow reactor (OFR) experiments to investigate the SOSiA generated from OH oxidation of cVMS. Although SOSiA ions ( $\text{C}_w\text{H}_x\text{O}_y\text{Si}_z^+$ ) were observed in the AMS mass spectra, only the dominant fragment ions in the low  $m/z$  ( $<420$ ) range were detected. Since the dimer oxidation products of  $\text{D}_5$ -siloxane have also been reported in a previous study,<sup>25</sup> the high-molecular-weight SOSiAs ( $>m/z$  420) have likely been largely ignored due to the limited detection range of AMS. The dominant peaks at  $m/z$  327 and 329 were assigned as  $\text{C}_{12}\text{H}_{11}\text{O}_2\text{Si}_5^+$  and  $\text{C}_9\text{H}_9\text{O}_8\text{Si}_3^+$  in the AMS mass spectra. However, the parent siloxane has the structure of  $(\text{SiO}(\text{CH}_3)_2)_5$  with the C/Si ratio of 2, whereas the assignments in this previous study appear to deviate from the structures of oxidation products (e.g.,  $\text{D}_4\text{T-OH}$  and  $\text{D}_4\text{T-CH}_2\text{OH}$ ). This conflict is also likely due to the ambiguous identifications of oxidation products and unknown mechanisms. This requires a further investigation of the parent molecular composition of SOSiA fragments observed in AMS.

Herein, we carried out a series of OFR experiments to investigate the SOSiA generated from the OH oxidation of  $\text{D}_5$ -siloxane under various OH exposure ( $\text{OH}_{\text{exp}}$ ) regimes. Two state-of-the-art mass spectrometry techniques, including online HR-ToF-AMS and offline electrospray ionization mass spectrometry (ESI-MS), are used to gain insight into the chemical composition and formation pathway of SOSiA.

## 2. Experimental

### 2.1. Oxidation flow reactor (OFR) experiments

The oxidation experiments presented in this work were carried out in a potential aerosol mass oxidation flow reactor (PAM-OFR, Aerodyne Research, USA).<sup>29,30</sup> The volume of the OFR is 13.3 L, and the total flow rate in the OFR was set to 4.43 L min<sup>-1</sup> using mass flow controllers (Alicat Scientific, USA), leading to a residence time of 180 s in the OFR. The “OFR185 mode” was used to generated OH radical *via* photolysis of  $\text{H}_2\text{O}$  and  $\text{O}_2$  at  $\lambda = 185$  nm plus photolysis of  $\text{O}_3$  at  $\lambda = 254$  nm.<sup>31</sup> Nitrogen gas ( $\text{N}_2$ , 30 psig) was flushed into the sleeves to cool the lamps and minimize artifact oxidant formation around the lamps. Prior to each experiment, the OFR was flushed with humidified zero air with 185 nm lamp turned on overnight to clean the system.  $\text{D}_5$ -Siloxane (97%, Sigma-Aldrich, USA) was continuously injected into a glass bulb using a syringe pump (Fusion 4000, Chemyx, USA), followed by flowing zero air (Model 8301P zero air generator; Model HTO-1000HC catalytic converter, Acoem Ecotech, Victoria, Australia) to achieve a mixing ratio of  $\sim 200$  ppb



in the OFR. All experiments were carried out in the absence of seed aerosol at room temperature, and the relative humidity (RH) inside the OFR was varied by adjusting the flow ratio of dry air and wet air through a humidifier (FC-100-80-6MKK, Perma Pure, USA). The  $\text{OH}_{\text{exp}}$  is controlled by regulating the lamp voltages and the RH in the PAM. The detailed experimental condition for each experiment are illustrated in ESI Table S1.† The  $\text{OH}_{\text{exp}}$  for each experiment conditions was obtained by the decay of carbon monoxide (CO) gas inside the OFR using CO analyzer (Serinus 30, Ecotech, Australia) during separate offline calibrations.<sup>32</sup> Details about the comparison between modeled and measured results of  $\text{OH}_{\text{exp}}$  calibration can be found in Fig. S1.†

As Peng *et al.*<sup>33</sup> pointed out, OFR185 requires low UV intensity, low external OH reactivity ( $\text{OHR}_{\text{ext}}$ ) ( $<30 \text{ s}^{-1}$ ) and high  $\text{H}_2\text{O}$  mixing ratio ( $>0.8\%$ ) to keep both VOC and  $\text{RO}_2$  chemistries to be atmospherically relevant. In order to ensure the OFR 185 was operated under “safer conditions”, the two low-pressure germicidal Hg lamps (GPH436T5VH, Light Sources, USA) were wrapped by segmented heat shrink tubing, and 90% of the lamp surface was covered to achieve low UV intensity. We followed the instructions of Rowe *et al.*<sup>34</sup> to calculate the photon fluxes at 185 and 254 nm ( $I_{185}$  and  $I_{254}$ ), the maximum  $I_{254}$  ( $I_{254\text{max}}$ ) of  $3.0 \times 10^{15} \text{ photons cm}^{-2} \text{ s}^{-1}$  and  $I_{185\text{max}}/I_{254\text{max}}$  ratio of 0.0664 were assumed in this study. The estimated  $I_{185}$  and  $I_{254}$  are to be in the ranges of  $3.70 \times 10^{12}$ – $1.64 \times 10^{13}$  and  $2.05 \times 10^{13}$ – $2.64 \times 10^{14} \text{ photons cm}^{-2} \text{ s}^{-1}$ , respectively.

The UV photolysis lifetime ( $t_{\text{photol}}$ ) of  $\text{D}_5$  was calculated using the following equation (<https://sites.google.com/site/pamwiki/pam-time-constants>):

$$t_{\text{photol}} = \frac{1}{\sigma \times \text{UV\_flux}}$$

where UV\_flux represents the photon flux ( $\text{photons cm}^{-2} \text{ s}^{-1}$ ) in PAM, sigma represents the absorption cross section ( $\text{cm}^2$ ) of the oxidant precursor. We assumed  $I_{185\text{max}} = 1.64 \times 10^{13} \text{ photons cm}^{-2} \text{ s}^{-1}$ . Since the absorption cross section at 185 nm for  $\text{D}_5$  was not available from literature, we assumed the value to be  $5.36 \times 10^{-18} \text{ cm}^2$  based on octamethylcyclotetrasiloxane ( $\text{D}_4$ ).<sup>35</sup> Corresponding  $t_{\text{photol}}$  value was 11 370 s, which is much higher than the residence time (180 s) of the PAM-OFR. Bernard *et al.* also pointed out,<sup>35</sup> the siloxane photolysis at 248 nm was negligible. Thus, we believe the contribution of photolysis at 185 and 254 nm to  $\text{D}_5$  should be negligible.

In addition, since UV and OH production is relatively low,  $\text{H}_2\text{O}$  vapor was injected into the PAM across all experiments, the corresponding water vapor mixing ratio ( $\text{H}_2\text{O}$ ) were  $\sim 0.8\%$  and  $\sim 2.2\%$  for 30% RH and 80% RH experiments respectively. The low  $\text{OHR}_{\text{ext}}$  ( $\sim 9.8 \text{ s}^{-1}$ , initial  $\text{D}_5$  concentrations ( $[\text{D}_5]_{\text{init}}$ ) of 200 ppb) is also used to avoid OH suppression.

Under these preferred conditions, the  $\text{OH}_{\text{exp}}$  in OFR185 ranged from  $6.57 \times 10^{10}$  to  $9.12 \times 10^{11}$  molecules  $\text{cm}^{-3} \text{ s}$ , equivalent to the photochemical age of 0.51 to 7.04 days. Although the OH concentrations in OFR are much higher than those in the ambient atmosphere, considering the half-life of  $\text{D}_5$  (3.5–7 days), we believe that the range of  $\text{OH}_{\text{exp}}$  covered by our study is atmospherically relevant. Since we did not conduct

experiments under high  $\text{NO}_x$  conditions, the experimental conditions used in our study may only represent the atmospheric scenarios with few  $\text{NO}_x$  sources. Further studies are needed to acquire a quantitative understanding of the role of  $\text{NO}_x$  in SOSiA formation.

## 2.2. Online instrumentation

To minimize the gas and particle loss and avoid siloxane contamination,<sup>36</sup> conductive perfluoroalkoxy alkane (PFA) tubing (1/4" OD, Fluorotherm Polymers, USA) and PFA (1/4" OD, Sungjin Rubber, South Korea) tubing were used through the OFR inlet and sampling line. The particle number concentrations and size distributions were measured continuously with a scanning mobility particle sizer (SMPS, Classifier Model 3082, Ultrafine Condensation Particle Counter Model 3756, TSI, USA). The SMPS was operated with an aerosol flow rate of  $0.3 \text{ L min}^{-1}$  and a sheath flow of  $1.5 \text{ L min}^{-1}$ . The size distribution (mobility diameter = 22.9–1000 nm) scan time was set to 120 s, with 5 s retrace, 10 s purge and 45 s wait time between scans (180 s total). The particle composition was measured with a HR-ToF-AMS (Aerodyne Research, USA). The AMS was operated with a pulse time of 65  $\mu\text{s}$  (typical pulser periods:  $\sim 40 \mu\text{s}$ ), allowing for  $m/z$  range up to 1080. Since we expanded the  $m/z$  range, it would reduce the number of MS data points and the overall sensitivity, only V mode was used instead of W mode. Note that the PTof mode was also not available in this study. AMS was carefully tuned and optimized following the standard procedures to achieve the sensitivity and resolution. During the experiment, the ionization efficiency (IE) calibration and filter blank measurement were performed following standard protocols. AMS data was analyzed with Squirrel (v. 1.64 A) and Pika (v. 1.24 A) in Igor Pro (v. 8.0.4.2). The AMS HR ion list was modified to include Si-containing fragments and their corresponding isotopic ions using the HR ion mass calculator in Pika.

Gas-phase concentrations of  $\text{D}_5$ -siloxane were measured using a proton transfer reaction mass spectrometer (PTR-ToF 1000, Ionicon Analytik, Austria). The PTR-MS used the  $\text{H}_3\text{O}^+$  ion source as the reagent ions. The voltage of  $U_{\text{drift}}$  was set to 525 V, resulting an E/N of 120 T. The temperatures of drift tube and inlet line were set to 80 °C. Mixed standards gas (Apel-Riemer Environmental, USA) was used for PTR-MS calibration.  $\text{O}_3$  concentrations were measured downstream of the OFR using an  $\text{O}_3$  analyzer (Model UV-100, 2B Technologies, USA).

## 2.3. Offline ESI-MS analysis

**2.3.1. Filter collection.** The SOSiA particles were collected onto Teflon polytetrafluoroethylene filters (PTFE, PT48P-KR, Minneapolis, MN, USA) using a stainless steel 47 mm inline filter holder (Pall Life Sciences, USA) for off-line analysis. The sampling was performed after the start of particle formation for 15 minutes at flow rate of  $3 \text{ L min}^{-1}$  for 4–10 hours depending on the particle concentration in the OFR. The time series from two representative PAM-OFR experiments can be found in Fig. S2.† The  $\text{O}_3$  denuder filled with hopcalite pellets (3 mm, Purelyst MD-101, Pure Sphere, South Korea) was installed before the SMPS and filter sampling line to remove the high  $\text{O}_3$



from output of the OFR. The measured removal efficiency by the denuder was ~90% for test experiment, with initial  $O_3$  concentration at 2.1 ppm. Prior to experiments, the  $O_3$  denuder was purged using clean air, we did not observe particles from the  $O_3$  denuder during background check experiments. The filters were weighed before and after particle collection using a semi-micro balance (QUINTIX125D-1SKR, Satorius, Germany).

**2.3.2. Filter extraction procedure.** Each filter was placed in a clean bottle and extracted with 10 mL acetonitrile (HPLC grade,  $\geq 99.9\%$ , Sigma-Aldrich, USA) three times followed by 10 minutes of sonication. The extracts were filtered through a 0.45  $\mu\text{m}$  PTFE syringe filter and transferred to another clean vial, then ~10 mL of acetonitrile was added. The resulting solutions were concentrated under a gentle stream of  $N_2$  at room temperature to a volume of ~1 mL, then ~1 mL of acetonitrile was added to the extracts.

**2.3.3. ESI-MS operation.** The extracted samples were further analyzed for molecular composition using a High Definition Mass Spectrometry System (Synapt-G2, Waters, US) equipped with an electrospray ionization source (ESI). The ESI-MS analysis was carried out in both positive (ESI-MS (+)) and negative ion (ESI-MS (-)) modes with a direct-infusion method. The mass spectrometer was operated in resolution mode with a full width at half maximum mass resolving power of 20 000. The optimized MS parameters were as follows: the capillary voltage of 2 kV, sampling cone voltage of 40 V, source temperature of 120 °C. The desolvation gas flow rate was 800 L  $\text{h}^{-1}$  at 350 °C and the cone gas flow was 100 L  $\text{h}^{-1}$ . The collision energies were set to 4 eV in low energy mode. All the data acquisition was performed in the continuum mode at the mass range of  $m/z$  50–1200 with MassLynx software (v4.1, Waters, US). Leucine enkephalin ( $\text{C}_{28}\text{H}_{37}\text{N}_5\text{O}_7$ ,  $m/z$  556.2771 for ESI-MS (+) and  $m/z$  554.2615 for ESI-MS (-)) was used as a lock mass calibrator in all analyses to obtain accurate masses. The obtained mass accuracy for leucine enkephalin was performed within  $\pm 0.5$  ppm mass error. Mass spectra for blank filter extracts were measured using the same method. No authentic standard was used to quantify the analytes since there is no commercially available standard.

**2.3.4. ESI-MS data processing.** The ESI-MS datasets were further processed using the UniFi software (v1.8, Waters, US). The initial peak assignments were limited to: C, H, O and Si containing formulae as these were expected products from siloxane oxidation chemistry. The mass tolerance was initially set at 10 ppm. The I-FIT isotope predictive filtering was also used to reduce the number of proposed elemental compositions. Sodiated ions  $[\text{M} + \text{Na}]^+$  and protonated ions  $[\text{M} + \text{H}]^+$  were assigned to identify products detected in the ESI-MS (+) mode. Deprotonated ions  $[\text{M} - \text{H}]^-$  were assigned in the ESI-MS (-) mode. The final assigned reasonable formulae were constrained by a mass error of mostly <5 ppm.

#### 2.4. Pure $D_5$ particle generation experiment

For comparison, laboratory experiments were carried out to detect the pure  $D_5$ -siloxane particles with AMS. The particles

were generated by atomizing diluted  $D_5$ -siloxane solution using a constant output atomizer (model 3076, TSI, US) with zero air. Isopropanol ( $\geq 99.5\%$ , Sigma-Aldrich, USA) was used as solvent for  $D_5$ -siloxane. The AMS was operated with a silica gel diffusion dryer in front of the sampling line to keep RH lower than 30%.

## 3. Results and discussion

### 3.1. Bulk characterization of the secondary organosiloxane aerosol using AMS

Fig. 1a shows the unit mass resolution (UMR) mass spectra of  $D_5$ -SOSiA measured by AMS. The dominant ions were distributed in the low  $m/z$  range ( $m/z < 550$ , 96.05%), and the SOSiA spectra were dominated by signals at  $m/z$  73 ( $\text{C}_3\text{H}_8\text{Si}^+$ ), 327 ( $\text{C}_5\text{H}_{15}\text{O}_7\text{Si}_5^+$ ) and 329 ( $\text{C}_4\text{H}_{13}\text{O}_8\text{Si}_5^+$ ). A series of distinct peaks at  $m/z$  147 ( $\text{C}_5\text{H}_{15}\text{OSi}_2^+$ ), 207 ( $\text{C}_5\text{H}_{15}\text{O}_3\text{Si}_3^+$ ), 221 ( $\text{C}_7\text{H}_{21}\text{O}_2\text{Si}_3^+$ ), 267 ( $\text{C}_5\text{H}_{15}\text{O}_5\text{Si}_4^+$ ), 281 ( $\text{C}_7\text{H}_{21}\text{O}_4\text{Si}_4^+$ ), 295 ( $\text{C}_9\text{H}_{27}\text{O}_3\text{Si}_4^+$ ), 341 ( $\text{C}_7\text{H}_{21}\text{O}_6\text{Si}_5^+$ ), and 355 ( $\text{C}_9\text{H}_{27}\text{O}_5\text{Si}_5^+$ ) were also observed. These ions have been reported as common fragments of siloxane compounds.<sup>37</sup> For comparison, the mass spectrum of the pure  $D_5$ -siloxane standard with isopropanol solvent was also measured and is shown in Fig. 1b. Although the parent molecules of SOSiA and pure  $D_5$ -siloxane (MW: 370.77 g mol<sup>-1</sup>) are different, in addition to some small fragments from

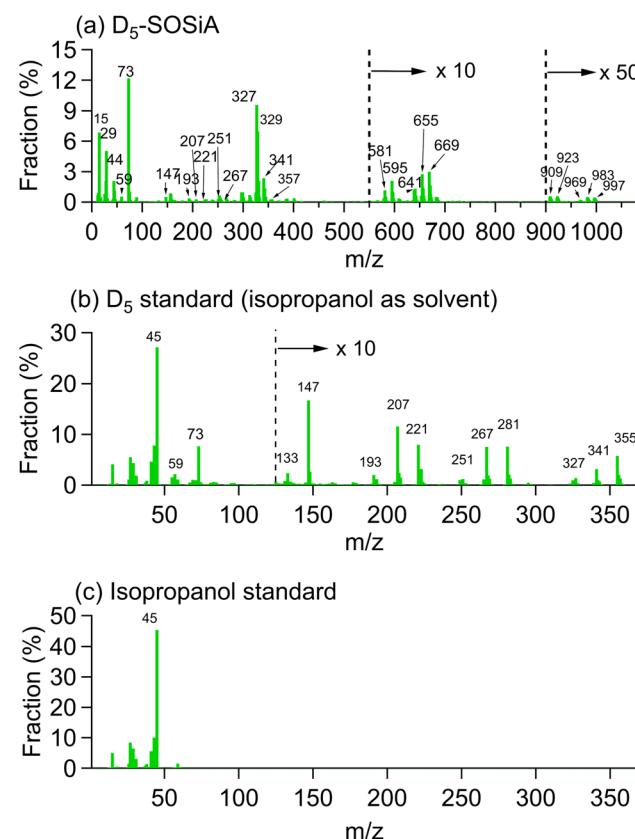


Fig. 1 Normalized AMS mass spectra of (a)  $D_5$ -SOSiA generated from the OH oxidation experiment at the stable stage, (b) pure  $D_5$ -siloxane standard with isopropanol solvent and (c) pure isopropanol generated from atomizer. All the spectra are shown as stacked at unit mass resolution.



isopropanol (Fig. 1c), similar distinctive ion patterns were observed, further confirming that the dominant fragment ions are representative of Si-containing OA.

Although the ability of AMS to characterize molecular composition is limited, several Si-containing fragments ( $C_wH_xO_ySi_z^+$ ) in the low  $m/z$  range (for example,  $m/z$  73 and 147 shown in Fig. S3†) were confirmed in AMS mass spectra using HR peak fitting. The isotope ions of  $C_3H_9Si^+$  at  $m/z$  74 ( $C_3H_9^{29}Si$  and  $^{13}CC_2H_8Si$ ) also fit well. However, it must be noted that due to the limited mass resolution ( $m/\Delta m \sim 2000$  for V mode) of the AMS, the HR fitting parameters are incapable of resolving  $C_wH_xO_ySi_z^+$  ions in the high  $m/z$  range ( $>m/z 200$ ), as it is difficult to robustly resolve the surrounding ions due to the large number of possible formulae. Furthermore, the mass calibration may be largely unconstrained in the high  $m/z$  range. Given the uncertainties and limitations, we only reported the UMR mass spectra in this study. Fig. S4a† shows examples of raw mass spectra of the  $D_5$  standard detected from AMS along with the expected isotopic ratios calculated from the isotope distribution calculator (<https://www.sisweb.com/mstools/isotope.htm>). The ion distributions typically include five  $m/z$  values, with one parent peak and four isotope ions. The intensity ratios between the parent peak and isotope ions match exactly the expected isotopic ratios, thus unequivocally confirming the detection of Si-containing particles. Analogous to the  $D_5$  standard, a similar isotope distribution was observed from  $D_5$ -SOSiA (Fig. S4b†) with disparities in signal intensity, suggesting that more Si-containing fragments (even if they overlap with isotope ions) were generated from secondary oxidation products. The possible formulae of  $C_wH_xO_ySi_z^+$  ions are listed in Table S2.†

Several large ions (e.g.,  $m/z = 550$ – $750$ , 3.78%;  $m/z > 900$ , 0.17%) were also observed in the mass spectra. A clear separation by 74 mass units between adjacent peaks was observed, e.g.,  $m/z$  581 and 655,  $m/z$  595 and 669,  $m/z$  909 and 983,  $m/z$  923 and 997. The 74 mass units may correspond to the dimethyl siloxane unit ( $SiO(CH_3)_2$ ,  $m/z$  74.0188). The separation with the 254 ( $C_6H_{18}O_5Si_3$ ,  $m/z$  254.0462) mass units were also observed between SOSiA fragments, (e.g.,  $m/z$  73, 327 and 581,  $m/z$  655 and 909,  $m/z$  669 and 923), indicating that these fragments are Si-containing ions. Although it is well known that AMS is limited by its flash vaporization (600 °C) and high energy electron ionization (70 eV), which break the high-molecular-weight compounds into extensive fragments. Due to the low volatility, it is likely that high-molecular-weight SOSiAs may not be completely vaporized by the AMS. A few previous field<sup>38</sup> and laboratory<sup>39</sup> studies have also reported that AMS can be used for detecting high mass ions (up to  $\sim m/z$  2000 for fullerene ions). Previous studies have clearly shown that  $D_5$ -siloxane oligomers have been observed in the particle phase,<sup>17,25</sup> and the large-molecular-weight fragments observed in AMS mass spectra may also provide evidence of  $D_5$ -SOSiA oligomers.

To our knowledge, this work is the first demonstration of the use of AMS for the measurement of high-molecular-weight SOSiA ions. Taken together, we believe that AMS can detect SOSiA-related species and can further be used to identify SOSiA tracers from ambient measurements. However, the SOSiA fragment ions detected with AMS could not provide the

molecular composition or the formation mechanisms of SOSiA. Thus, further experiments were conducted to confirm the molecular composition of SOSiA using ESI-MS, which is a softer ionization technique than AMS. The results will be described in more detail in the following section.

### 3.2. Molecular characterization of the secondary organosiloxane aerosol

**3.2.1. ESI-MS mass spectrum.** Due to the higher sensitivity (ionization efficiency) and lower detection limits with the ESI-MS negative ion mode,<sup>40</sup> this work mainly reports the ESI-MS data acquired in the negative mode (ESI-MS (–)). The ESI-MS (–) mass spectra of the blank filter and  $D_5$ -SOSiA are shown in Fig. S5 and S6.† Except for the lowest  $OH_{exp}$  condition,  $D_5$ -SOSiA appears to have similar mass spectra with a clear grouping of peaks in different  $m/z$  ranges, *i.e.*,  $m/z < 400$  and  $m/z$  400–800; these distributions are also consistent with the fragments observed in the AMS mass spectra (Fig. 1a). For example, the abundant fragments at  $m/z$  327 ( $C_5H_{15}O_7Si_5^+$ ) and  $m/z$  329 ( $C_4H_{13}O_8Si_5^+$ ) observed in AMS may correspond to the dominant molecular ions at  $m/z$  358 ( $C_7H_{22}O_7Si_5$ ) and  $m/z$  360 ( $C_6H_{20}O_8Si_5$ ) with the loss of two  $CH_3$  groups and a hydrogen shift. It is important to note that the fragments of  $m/z$  327 and 329 from SOSiA measured by AMS have been previously<sup>20</sup> identified as  $C_{12}H_{11}O_2Si_5^+$  and  $C_9H_9O_8Si_3^+$ , which may have differences in the inferred fragments from our studies. Due to the extensive fragmentation and the electron impact ionization processes, it is difficult to determine the relationship of all the fragments and parent ions. Although we are not able to provide the chemical information of the AMS fragments above  $m/z$  500, these fragments may correspond to the ions between  $m/z$  550 and  $m/z$  800 in ESI-MS (–). The AMS fragments above  $m/z$  800 were not observed in ESI-MS (–). It is likely that the ESI-MS (–) results shown here are biased toward the species with higher ionization efficiencies in ESI, which may be more limited in the compounds it can detect than AMS.

Table S3† lists the assigned molecules observed in the ESI-MS (–) mass spectra. Most of these compounds were identified as ring-opening products, which were defined as those containing neither 5 nor 10 Si atoms. The compounds containing 5 or 10 Si atoms but with additional unsaturated bonds (e.g.,  $Si=O$ ) were also defined as ring-opening products. For example, we identified some small silanols ( $DT-OH$ ,  $D_2T-OH$  and  $D_3T-OH$ ) and their further oxidation products (siloxandiols,  $(DT_2-OH)_2$  and  $D_2T_2-OH)_2$ ). Possible structures of these products are shown in Fig. S7.† Silanol products have been regarded as first-generation products.<sup>25</sup> Previous studies have also reported that silanol can be formed from the hydrolysis of formate ester,<sup>8,41</sup> and silanols continue to undergo hydrolysis to produce smaller silanols.<sup>42</sup> Since the oxidation experiments were performed at 30% and 80% RH, it is likely that hydrolysis reactions may occur at the surface of the SOSiA or the OFR wall. The dominant ring-opening products were assigned as  $C_7H_{22}O_7Si_5$  and  $C_6H_{20}O_8Si_5$ . Although we were not able to explore the possible structures of these products and their isomers with further analysis (e.g., ESI-MS/MS analysis),



the structure of the ring-opening products (with Si=O) have been previously confirmed from tandem mass spectrometry analysis.<sup>17</sup> Thus, we suspect that they may constitute OH groups (with the replacement of CH<sub>3</sub> groups) and an additional unsaturated site (Si=O) (Fig. S8†).

The major difference between previous studies and our results is the substantial presence of ring-opening products. Although Wu and Johnston<sup>17</sup> found relatively high signal intensities of ring-opening products at low SOSiA mass loading (1.2 µg m<sup>-3</sup>), these compounds were considered to have minor contributions at higher aerosol mass for the unseeded experiments. In our case, the ring-opening products comprise a significant portion of the SOSiA contribution regardless of the mass loading (from 20 to ~1000 µg m<sup>-3</sup>). A possible explanation for the large changes in the chemical composition between our results and those of a previous study may be related to the water content between experiments. In previous study,<sup>17</sup> the experiments were performed with low RH (8–10%); in our experiments, water is added into the OFR (~30% and 80%) to make OH radicals. It has been shown that relative humidity does affect the gas-phase oxidation products of D<sub>4</sub>.<sup>43</sup> Additionally, previous work also proposed the humidity-dependent mechanism for the gas-phase OH/Cl oxidation of D<sub>3</sub>.<sup>11</sup> Avery *et al.*<sup>44</sup> found the RO<sub>2</sub> fates varied across dry and humid conditions for Cl oxidation of D<sub>5</sub>, while the effect of humidity on SOA yields and chemical composition were also observed in their study. Thus, we believe that the high humidity could affect the D<sub>5</sub> oxidation mechanism.

Although we could not unambiguously identify the ring-opening products from our PTR-MS measurement, Wu and Johnston identified ions indicative of ring-opening in their mass spectrometry analysis of SOSiA, with some that they calculated to be volatile.<sup>17</sup> The dominant ring-opening products (C<sub>6</sub>H<sub>20</sub>O<sub>8</sub>Si<sub>5</sub> and C<sub>7</sub>H<sub>22</sub>O<sub>7</sub>Si<sub>5</sub>) in our study were identified as oxygenated volatile organic compounds (OVOCs) from a recent PAM-OFR study.<sup>44</sup> Additionally, as Avery *et al.*<sup>44</sup> performed their experiments at a much higher OH<sub>exp</sub> (>1 × 10<sup>12</sup> molecule cm<sup>-3</sup> s), the largest signal in the SOA spectrum was identified to be C<sub>5</sub>H<sub>18</sub>O<sub>9</sub>Si<sub>5</sub>, which may generated from C<sub>6</sub>H<sub>20</sub>O<sub>8</sub>Si<sub>5</sub> with the replacement of one CH<sub>3</sub> group with OH group. These ring-opening species are likely to be formed directly in the gas phase and partition into the particle phase. These gas/particle ring-opening phase products may also undergo further oxidation to form highly oxidized ring-opening products and thus contribute to the formation of SOSiA. Overall, our results indicate that the ring-opening compounds are mainly contributions to SOSiA formation from D<sub>5</sub>. Recent studies have also suggested that ring-opening compounds are expected to be low in volatility, thus easily partitioning into the particle phase and appear to contribute significantly to SOA formation.<sup>45</sup> Wu and Johnston<sup>17</sup> also reported that most ring-opened D<sub>5</sub>-SOSiA products are relatively nonvolatile.

It should be noted that the ring-opening products are more likely to deprotonate than protonate, and hence ESI-MS (–) allows better sensitivity for these compounds. However, the ESI-MS (+) mass spectra revealed more monomer products that were not detected with ESI-MS (–). The monomers were defined as the saturated products with exactly 5 Si atoms. Fig. S9† shows

the possible structures of the identified monomers from the ESI-MS (+) mass spectra. The dominant monomers identified were C<sub>9</sub>H<sub>29</sub>O<sub>6</sub>Si<sub>5</sub> and C<sub>10</sub>H<sub>31</sub>O<sub>6</sub>Si<sub>5</sub> corresponding to one OH or CH<sub>2</sub>OH substitution for a CH<sub>3</sub> group. The assigned formulae of monomers from our results indicate various functional group substitutions, where at least two CH<sub>3</sub> groups were replaced by OH and/or CH<sub>2</sub>OH. The difference of observed products between our study and previous studies may related to the operation conditions of PAM (e.g., OH<sub>exp</sub> conditions, initial precursor concentrations, residence time, humidity and aerosol seed). Wu and Johnston<sup>17</sup> performed their experiments at the OH<sub>exp</sub> of 9 × 10<sup>10</sup> molecule cm<sup>-3</sup> s in their photo-oxidation chamber at a residence time of 15 minutes. Under low OH<sub>exp</sub>, chemical composition of SOSiA may governed primarily by gas-phase OH oxidation of the precursors instead of heterogeneous oxidation of the condensed particles. In our study, we performed the experiments under a wider range of OH<sub>exp</sub> (6.57 × 10<sup>10</sup>–9.12 × 10<sup>11</sup> molecule cm<sup>-3</sup> s), the early-generation products are generally favored and the subsequently multi-generation products are also present. As discussed earlier, both the ring-opening products and the monomers are expected to be generated from successive oxidation processes.<sup>11,21</sup> Our results from the chemical composition characterization of SOSiA provide evidence that additional successive oxidation is important to make aerosols.

### 3.2.2. Effects of OH<sub>exp</sub> on the chemical composition.

Charan *et al.*<sup>21</sup> highlighted that the SOSiA yield strongly depends on OH<sub>exp</sub>, which could range from 0–110%. To help interpret the large differences in SOSiA formation, we compared the representative ESI-MS mass spectra at the lowest, medium and highest OH<sub>exp</sub>. As shown in Fig. 2, despite the product ion intensity differences, the same products were observed. The main differences in the mass spectra are the higher fractions of compounds with relatively small molecular masses in the *m/z* range of 200–300 under the lowest OH<sub>exp</sub>, corresponding to small silanols, siloxandiols and their further oxidized products. The distribution of higher molecular weight D<sub>5</sub>-SOA compounds remained largely constant under various OH<sub>exp</sub> regimes, suggesting the ring-opening oxidation is an important SOSiA formation pathway in all cases. The oxidation mechanism should be considered in future work, as this knowledge is needed to confirm the structures of these products.

As shown in Fig. 2 and S10,† the most prominent mass spectra peak (C<sub>7</sub>H<sub>22</sub>O<sub>7</sub>Si<sub>5</sub>, defined as D<sub>3</sub>T-OH-SiO<sub>2</sub>) shifts to higher masses with a higher O:C ratio with the increase in OH<sub>exp</sub> (C<sub>6</sub>H<sub>20</sub>O<sub>8</sub>Si<sub>5</sub>, defined as D<sub>2</sub>T<sub>2</sub>(OH)<sub>2</sub>-SiO<sub>2</sub>), suggesting that additional oxidation contributes an important portion of the SOA. Wu and Johnston<sup>25</sup> found that additional oxidation leads mainly to the substitution of a CH<sub>3</sub> group by OH or CH<sub>2</sub>OH for D<sub>5</sub> monomers and dimers. The dimers were defined as the saturated products with exactly 10 Si atoms. Although we did not observe the dimers, the same types of substitutions are observed for ring opening products (D<sub>3</sub>T-OH-SiO<sub>2</sub>, D<sub>2</sub>T<sub>2</sub>(OH)<sub>2</sub>-SiO<sub>2</sub> and D<sub>2</sub>T<sub>2</sub>-OH-CH<sub>2</sub>OH-SiO<sub>2</sub> in Fig. S8†), meaning that the ring-opening products and their further oxidation products might undergo similar oxidation processes.



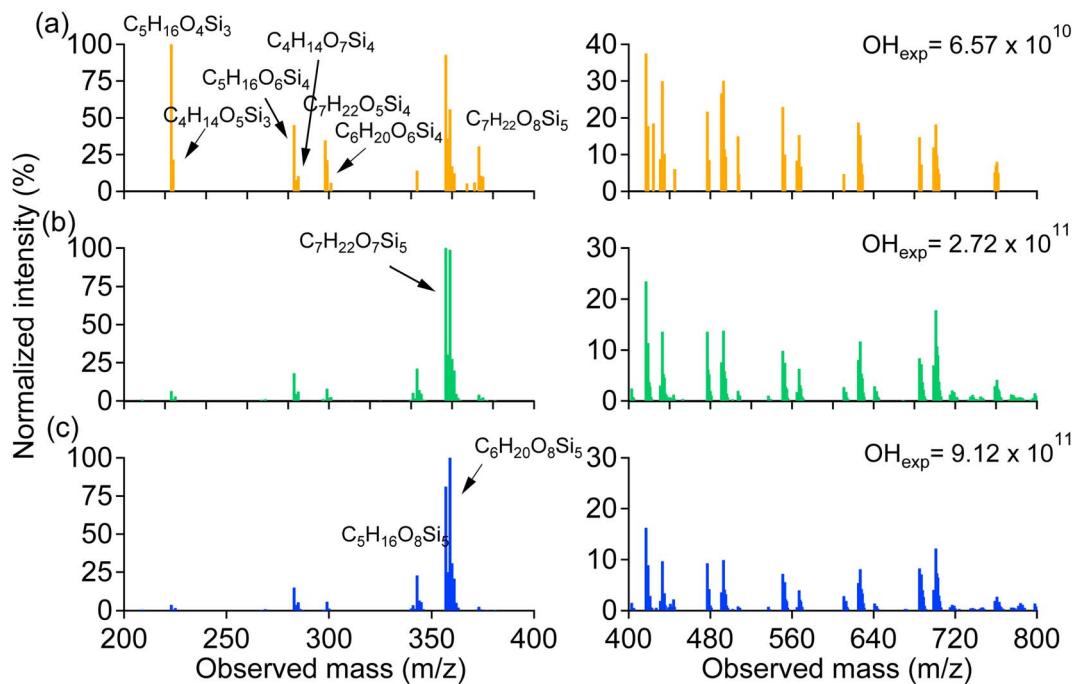


Fig. 2 Representative ESI-MS (—) mass spectrum of  $\text{D}_5\text{-SOSiA}$  at the lowest, medium and highest  $\text{OH}_{\text{exp}}$ . The molecular formulae are labeled in the  $m/z$  range of 200–400.

### 3.3. SOSiA formation mechanism

The SOSiA formation mechanism is outlined in Fig. 3 based on previous studies of siloxane oxidation chemistry and the main products identified in our work, which are discussed below. Oxidation is initiated through hydrogen abstraction by an OH

radical from a methyl group, forming an alkyl radical ( $\text{R}_3\text{SiCH}_2\cdot$ ), which quickly reacts with ambient oxygen to form a peroxy radical ( $\text{RO}_2$ ).<sup>9,41</sup> Many different subsequent reactions of  $\text{RO}_2$  are possible. For instance, the reactions of  $\text{RO}_2$  can undergo a unique rearrangement leading to the formation of  $\text{R}_3\text{SiOCH}_2\text{O}$  radicals<sup>8</sup> or siloxy radicals ( $\text{R}_3\text{SiO}$ ).<sup>13</sup> Fu *et al.*<sup>13</sup> suggested that

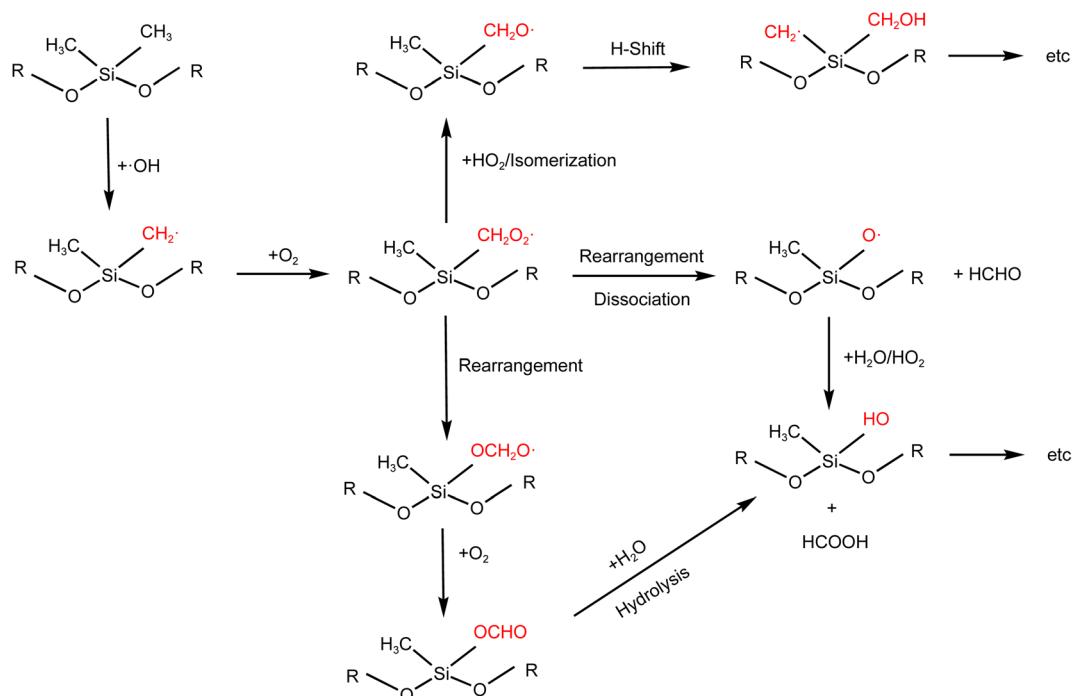


Fig. 3 Example reaction pathways of the OH-initiated oxidation of  $\text{D}_5$ -siloxane (R represents the either side of the central silicon atom).



formaldehyde (HCHO) is expected to be formed through the reactions. The  $R_3SiOCH_2O$  radical will react with  $O_2$  to form the formate ester. The formate ester may undergo hydrolysis, leading to the formation of single substituted silanol (e.g.,  $D_3T-OH$ ) and formic acid (HCOOH).<sup>24</sup> Silanols could continue to hydrolyze to produce smaller silanols (e.g., DT-OH and  $D_2T-OH$ )<sup>42</sup> or undergo further reactions to produce siloxandiols (e.g.,  $DT_2-(OH)_2$  and  $D_2T_2-(OH)_2$ ). In addition, the  $R_3SiO$  radical can also react with  $H_2O$  or  $HO_2$  to make the silanol product;<sup>11</sup> although we did not observe  $D_5$ -silanol, its further oxidation products were identified ( $D_3T_2-(OH)_2$ ,  $D_2T_3-(OH)_3$  and  $DT_4-(OH)_4$ ). Our results suggest that both reaction processes likely occurred.  $RO_2$  can also react with  $HO_2$  or undergo isomerization to form the alkoxy radical ( $SiCH_2O$ ), which may also undergo H-shifts to generate silyl methanol products.<sup>8,25</sup> Although the silyl methanol product was not observed in this work, products with both silyl methanol groups and OH groups were identified (e.g.,  $D_2T_3-(OH)_2-CH_2OH$ ,  $DT_4-(OH)_3-CH_2OH$  and  $DT_4-OH-(CH_2-OH)_3$ ). In addition, we expect that ring-opening products (e.g.,  $D_3T-OH-SiO_2$ ,  $D_2T_2-(OH)_2-SiO_2$  and  $D_2T_2-OH-CH_2OH-SiO_2$ ) would partially follow a similar oxidation process, and the ring-opening products may further undergo oxidation reactions to form high-molecular-weight compounds. The detailed mechanisms need to be investigated in the future.

## 4. Conclusions

In this study, we generated SOSiA from the oxidation of  $D_5$ -siloxane over a wide range of  $OH_{exp}$ . We observed a series of high-molecular-weight SOSiA ions in the AMS mass spectra, which confirmed the ability of AMS to identify SOSiA composition. The technique demonstrated here can be used to track SOSiA-related species in the ambient environment in real time. The newly retrieved AMS ions ( $C_wH_xO_ySi_z^+$ ) can be used as unique tracers for SOSiA, the related results can be used to estimate the relative contributions of SOSiA to total OA in the atmosphere. Molecular composition analysis shows that ring-opening products are a large portion of the SOA. Possible formation pathways of SOSiA are also proposed to better constrain the atmospheric chemistry of cVMS compounds. Future work should focus on the evaluation of calibration methods to better quantify the SOSiA species with AMS. Investigating SOSiA formation under variable conditions (e.g., different  $NO_x$  regimes and oxidants) should also be performed in future studies to better understand the oxidation mechanism of cVMS. Chamber simulation studies are also needed for a more detailed study of the kinetics involved in SOSiA formation.

## Data availability

The data that support the findings of this study are available upon reasonable request from the authors.

## Author contributions

H. Kim designed the project and experiments. Y. Chen, H. G. Kang, J. Jung, and Y. Park carried out the experiments. Y. Park

performed the  $OH_{exp}$  calibration experiments. J. Jung conducted experiments and filter extraction. H. G. Kang participated in experiments for gas phase measurement. Y. Chen conducted the data analysis and prepared the manuscript. H. Kim contributed to manuscript improvement and supervised the project. All authors participated in discussions about the results.

## Conflicts of interest

The authors declare that they have no conflict of interest.

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