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**PAPER**

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for optimum gasification pathways



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## Biomass to energy: a machine learning model for optimum gasification pathways†

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Biomass is a highly versatile renewable resource for decarbonizing energy systems. Gasification is a promising conversion technology that can transform biomass into multiple energy carriers to produce heat, electricity, biofuels, or chemicals. At present, identifying the best gasification route for a given biomass relies on trial and error, which involves time-consuming experimentation that, given the wide range of biomass feedstocks available, slows down the deployment of the technology. Here, we use a supervised non-parametric machine-learning method, Gaussian process regression (GPR), that provides robust predictions when working with small datasets, to develop a model to find the optimal application of a particular biomass in gasification processes. Leave-one-out cross-validation (LOOCV) is used to validate the model's predictive performance. Our model can select the suitable gasification pathway from the characteristics of the biomass, and also identify the optimal operating conditions for a selected application of the produced gas. In addition, with this model, we can obtain insights into the relationships between biomass properties and gasification results, leading to a better understanding of the process. A relevant aspect of this work is that these results rely on a relatively small dataset, representative of those typically collected by research groups using different types of gasifiers worldwide. This study opens the path for future integration of such data, which would allow addressing the complexity of biomass and conversion process simultaneously. With this work, we aim to increase the flexibility of biomass gasification processes and promote the development of bioenergy technologies, considered crucial in the energy transition context.

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## Introduction

Bioenergy is one of the key pillars to decarbonize our global energy systems, and is estimated to contribute 17–20% to the total energy supply by 2050 in proposed net-zero scenarios.<sup>1,2</sup> The importance of bioenergy for the energy transition has been increasingly underlined due to its versatility in substituting fossil fuels and the possibility of generating negative emissions.<sup>3</sup> Additionally, biomass is very appealing because of its diversity, local availability, immense commercialization potential, carbon-neutral nature, and renewable characteristics.<sup>4–9</sup> This is highlighted by the European Commission in the European Green Deal, where the biomass' potential to provide

a solution that delivers renewable energy and negative emissions, together with sustainably managed forests and feedstock sources, is recognized.<sup>10</sup> Within this context, biomass utilization needs to focus on sustainable biomass feedstocks with minimal impact on food security and biodiversity. Examples include biomass-based wastes (*e.g.*, industrial or agroforestry wastes), which will be key for bioenergy and biofuel production, providing environmentally benign routes to satisfy the increasing renewable energy demand.

Estimations of sustainable biomass supply available for use in energy applications by 2050 differ widely (40–240 EJ).<sup>2,11–13</sup> Yet, all of them highlight the varied nature of biomass (for example, for a biomass potential of 120 EJ per year, 45 come from forestry, 10 from agriculture, 55 from wastes, and 10 from aquatic sources).<sup>13</sup> Hence, any future conversion technology should have the flexibility to adjust to these multiple and varied feedstocks.

Among the investigated technologies to convert biomass,<sup>14–17</sup> gasification, *i.e.*, the thermochemical conversion by partial oxidation at high temperatures of a solid carbonaceous feedstock to a gaseous product, is the most promising route for biomass valorization as it combines two main

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advantages: high flexibility in terms of feedstock, and versatility to produce different energy carriers. The gaseous product from gasification, syngas, can be used as fuel gas for heat and electricity generation, and as a feedstock for the production of hydrogen, biofuels, and chemicals.<sup>18–20</sup> However, although significant progress has been achieved,<sup>19–26</sup> biomass gasification remains at the development stage as there are still challenges for the wide implementation of biomass gasification plants, which are mainly related to feedstock availability and variability, technology efficiency, and cost-effectiveness compared to other energy sources.<sup>27–31</sup> Among others, the variable chemical composition and properties of biomass is one of the main technical and economic challenges to overcome for the commercial deployment of this technology.<sup>20</sup>

The broad range of possibilities in gasification processes related to the versatility of the gaseous product, *i.e.*, syngas, raises many practical questions such as “What is the most promising use of a particular type of biomass?”, or “Should we direct this biomass to a gasification plant that generates energy or power, one that produces methanol, or one that converts the biomass to methane?” Given the diversity of available biomass

feedstocks, identifying the optimal biomass gasification application systematically is essential from a practical point of view. At present, we have to reside to very time-consuming experimental testing<sup>32</sup> to answer this question. We have, at best, some scattered empirical knowledge that can guide us on which application would be optimal for a given biomass.

Indeed, we can find numerous experimental studies on biomass gasification in the literature. At the beginning of 2023, the search query “(biomass OR waste) AND (gasification)” returned over 28 400 records from the Web of Science. However, each of these studies is limited to very specific operating conditions associated with the equipment (and the biomass type) that an experimental group has available. Fig. 1 gives us an idea of the wide range of process conditions used in gasification studies in the literature. For the gasification process, we included the gasification types that can be representative of those that are most studied in research articles: steam gasification, which is carried out at high temperatures utilizing steam as a gasifying agent; supercritical gasification, which refers to the gasification process that occurs in a supercritical fluid state; hydrothermal gasification, a specific type of supercritical gasification that operates at lower temperatures and higher pressures compared to conventional gasification in the presence of supercritical water; and plasma gasification, which is performed at very high temperature achieved by an electric plasma arc. Other gasification processes (such as conventional air gasification, integrated pyrolysis-gasification, or chemical looping gasification) will also be included in the number of records shown in this figure when the search is limited to the type of reactor, gasifying agent, or biomass type. From these works we can, at most, conclude that syngas composition in gasification processes is highly dependent on the type of gasifier, gasifying agent, and operating conditions, as well as the type of biomass. This widespread knowledge makes it extremely difficult to draw conclusive trends that allow us to link a given biomass to a particular application unequivocally.

On the other hand, as we lack a detailed understanding of the reaction mechanism and kinetics due to the complexity of biomass, the conventional theoretical approaches are of little use. This has motivated research groups to explore data-driven approaches.<sup>33–36</sup> Some works use gasification data obtained from thermodynamic simulation studies,<sup>37,38</sup> making easier to create datasets compared to experiments, which can provide a wider overview of the process performance, but the results can vary considerably from real gasifiers. Other studies collect data from several works in the literature and usually focus on the effect of the process operating parameters on the gasification outputs.<sup>34,39–44</sup> We focus on the detailed study of the effect of biomass properties on the gasification process performance, which is still unclear in the literature. From a machine-learning perspective, this is an interesting question as we have to deal with a relatively small dataset. Since biomass conversion studies are very time-consuming, limited data will be a common theme in all of them. In this work, we, therefore, focus on the development of reliable machine-learning models from small datasets.

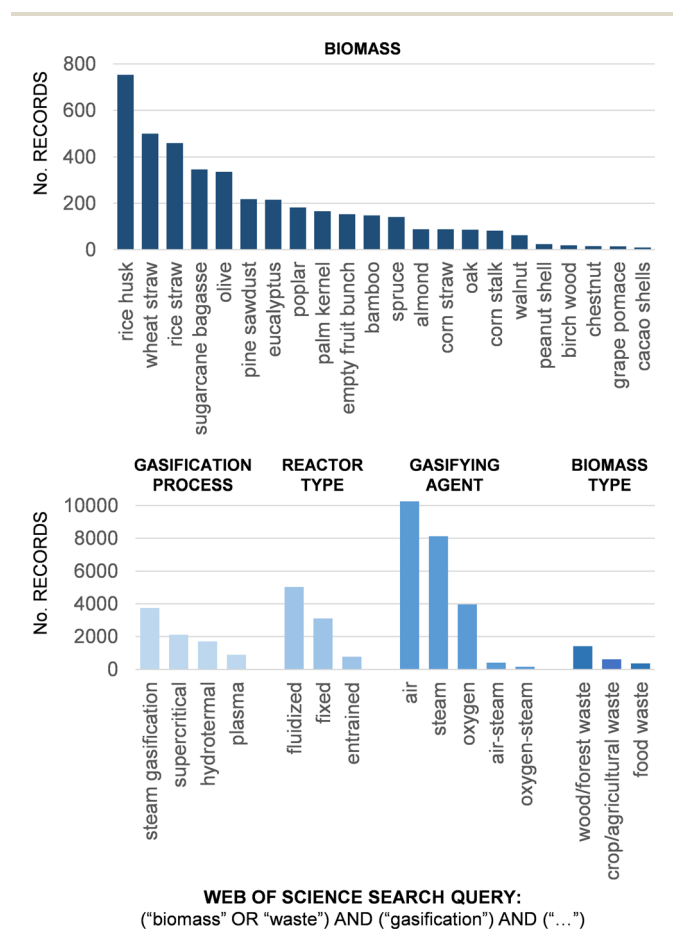


Fig. 1 Diversity of process conditions used in gasification studies in the literature. The y-axis shows the number of records returned by the search query “(biomass OR waste) AND (gasification) AND (...)” from the Web of Science at the beginning of 2023 (search based on title, abstract and indexing).





## Machine learning approach

To develop our model, we used data from our bench-scale gasifier published in a previous study<sup>32</sup> and novel data to test our model. Details of the experimental procedure to obtain the data are shown in Section 1 of the ESI†. We studied the gasification of ten types of lignocellulosic biomass from different origins: pine sawdust, chestnut sawdust, torrefied pine sawdust, and torrefied chestnut sawdust (woody materials); almond shells, cacao shells, grape pomace, olive stones, and pine kernel shells (seasonal food industry wastes); and pine cone leaves (forest waste). From the gasification experiments, the gas composition ( $H_2$ , CO,  $CH_4$ , and  $CO_2$  volume concentrations) and the gas yield (GAS) are experimentally obtained (see Section 1 of the ESI†). We also calculated the total combustible gas concentration (COMBgas) as the sum of the gases with an energy value ( $H_2$ , CO, and  $CH_4$ ). An exploratory analysis of the data used in this work is shown in Section 2 of the ESI†.

We used a multioutput coregionalized Gaussian process regression (GPR) model that is able to capture complex non-linear relationships using only a limited amount of data.<sup>45</sup> In Section 3 of the ESI†, we show the performance of the XGBoost regressor model, used as a baseline ( $R^2$  estimated values are 4 to 23% lower for XGBoost compared to GPR). A dataset of 30 samples was used to develop the model in this study. We used the leave-one-out cross-validation (LOOCV) technique. Therefore, we trained as many models as we have datapoints ( $N$ ) and then used  $N - 1$  points for training and 1 point for testing. More details can be found in Section 4.1 of the ESI†. In contrast to many other machine learning models, the GPR model does not provide us with a simple point estimate but rather a full posterior distribution, providing uncertainty estimates.<sup>46,47</sup> In such a multioutput model, we predict at the same time the most relevant gasification output variables that are typically measured experimentally (combustible gases concentration and gas yield).

Table 1 shows the features and targets used to build the model. As input features of our model, we use parameters that characterize the process and parameters that describe the type of biomass, as they are the main variables that affect the gasification results. The process parameters are gasification temperature (T), steam-to-air (SA) ratio, stoichiometric ratio (SR), and steam-to-biomass ratio (SBR), while biomass is described by its C, H, O, ash, volatile matter (VM), and fixed carbon (FC) contents, its higher heating value (HHVbiom), and its moisture content (MC). Therefore, this work will be limited to the fluidized bed gasifier case, reducing the variability associated to the reactor design and hydrodynamics. The

applicability of the model is by design limited to our setup, and the operating parameter ranges tested in this work are shown in Section 2.2 of the ESI†. As output parameters of our model, we use those variables most typically measured in gasification experiments, such as the volume concentration of  $H_2$ , CO, total combustible gas, and also the gas yield (GAS). We can also estimate the volume concentration of  $CH_4$  from these predicted outputs.

## Results and discussion

### Prediction of gasification outcomes

To test the ability of our machine learning model to predict new data and evaluate its predictive performance, we use so-called leave-one-out cross-validation (LOOCV), a technique that is particularly well-suited for small datasets.<sup>48</sup> Importantly, we perform an additional experimental validation of the model to verify its performance and support the reliability of the model predictions. In Sections 3 and 4 of the ESI† we present a detailed evaluation of the predictive performance of the model. Fig. 2 shows the LOOCV results (blue error bars), plotting the predictions of our model against the actual values for the test points. The coefficient of determination,  $R^2$ , shows values between 0.82 and 0.98, indicating a good predictive performance.

Additionally, the results obtained by the model predictions were validated with the experimental results of new gasification experiments that we conducted as part of the present study using two biomasses not previously used for the model training. These results are shown in Fig. 2 with the red error bars, where we can compare the experimental and predicted results for the new experiments. These results show a good agreement between the experimental results and the corresponding values predicted by the model, showing that the model works well on new, never-before-seen, biomasses.

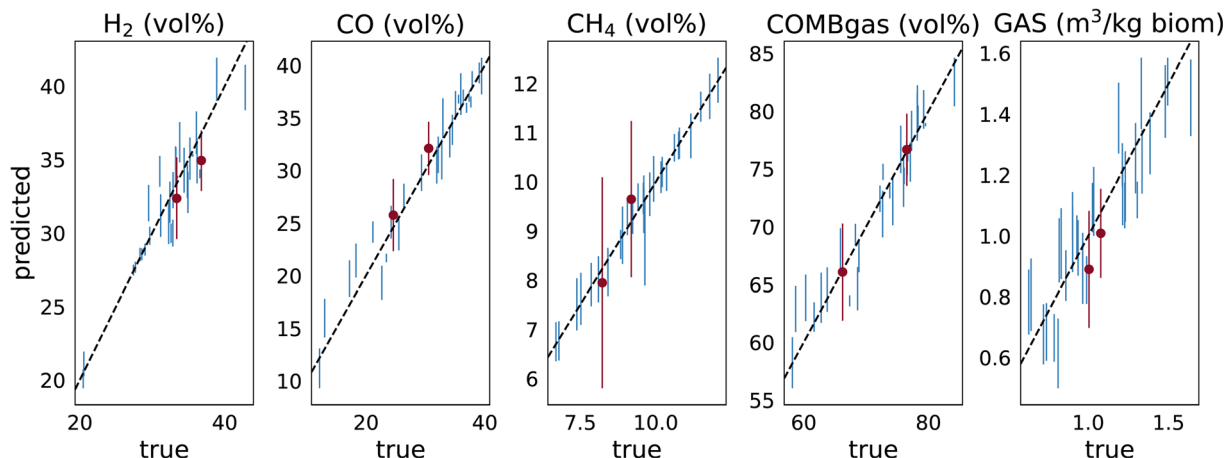
### Feature importance analysis

Our machine learning results allow us to carry out a feature importance analysis, which gives us some insights into the process. We computed the SHapley Additive exPlanations (SHAP) feature importance values.<sup>49</sup> The result of this analysis for the most important features is shown in Fig. 3, where the abscissa shows the importance (positive SHAP values indicating higher predictions compared to the average case), and the ordinate shows some of the input features of our model, ordered by overall importance. The color of the points shows the value of those features (red indicates a high feature value).

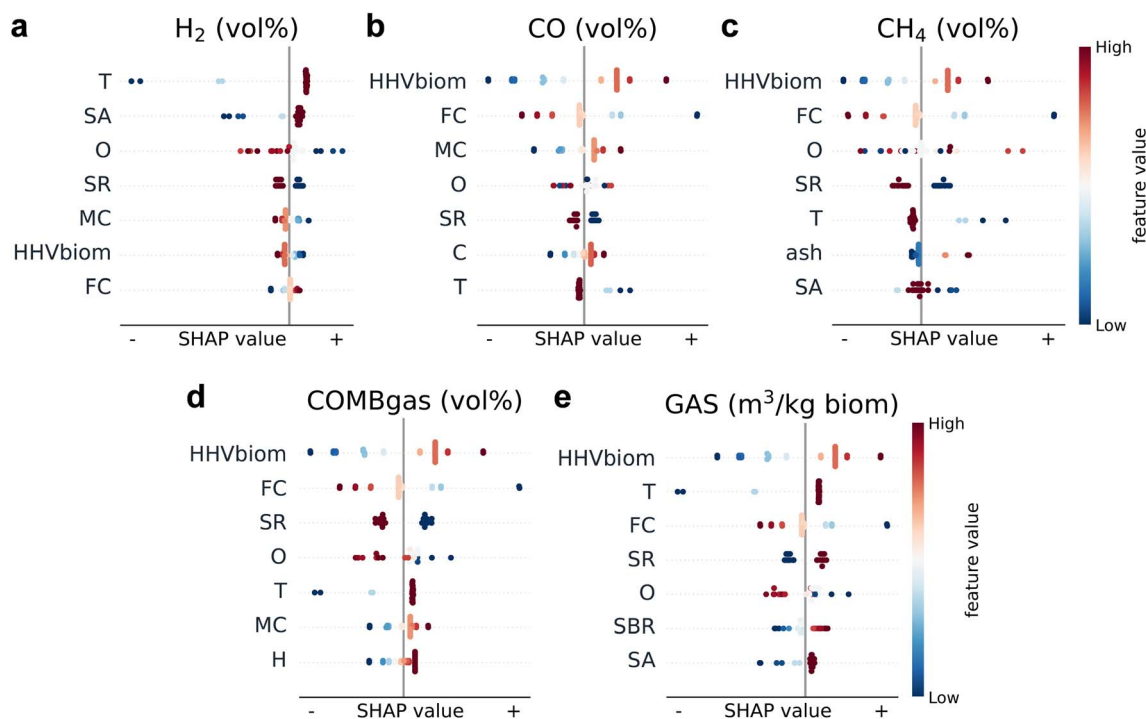
Table 1 Features and targets used to develop the machine learning model

		Variables
Features	Process parameters	T (K), SA, SR, SBR
	Biomass properties	C (%), H (%), O (%), ash (%), VM (%), FC (%), HHVbiom (MJ kg <sup>-1</sup> ), MC (%)
Targets		$H_2$ (vol%), CO (vol%), $CH_4$ (vol%), COMBgas (vol%), GAS (m <sup>3</sup> kg <sup>-1</sup> biom)





**Fig. 2** Performance evaluation of the model using both cross-validation and experimental validation. Blue error bars represent the leave-one-out cross-validation (LOOCV) results (test points). Since our dataset is small, we use the leave-one-out strategy for cross-validation, *i.e.*, we train as many models as we have datapoints ( $N$ ) and then use  $N - 1$  points for training and 1 point for testing. Here we show the predictions vs. the actual values for the  $N$  test points. Error bars show the predicted standard deviations, for  $\text{CH}_4$  we compute the error bars considering the error propagation and covariance (see Section 4.5 of the ESI†).  $R^2$  (mean and standard deviation of 15 runs):  $\text{H}_2 = 0.892 \pm 0.005$ ,  $\text{CO} = 0.960 \pm 0.001$ ,  $\text{CH}_4 = 0.978 \pm 0.000$ ,  $\text{COMBgas} = 0.925 \pm 0.002$ ,  $\text{GAS} = 0.819 \pm 0.002$ . Red error bars represent the experimental validation results to verify the predictive capacity of the model. For experimental validation, we use new experimental results obtained in our gasifier from the gasification of walnut shells (WS) ( $T = 1173$  K,  $\text{SA} = 2.33$ , and  $\text{SR} = 0.13$ ) and hazelnut shells (HZS) ( $T = 1173$  K,  $\text{SA} = 2.33$ , and  $\text{SR} = 0.25$ ) biomasses, which are compared with the values predicted by the model.



**Fig. 3** Feature importance analysis for the model predictions using SHapley Additive exPlanations (SHAP) summary plots of the gasification outputs. The figures show on the x-axis the SHAP values that indicate the impact of a feature on the model output compared to a baseline (vertical lines at  $x = 0$ ). The baseline gives the average predicted output values. The y-axis displays the most relevant features that impact the output the most. The width of the spread of the SHAP values on the x-axis indicates the overall importance of the features on the model output. Red points correspond to high feature values, whereas blue points correspond to low feature values. A positive SHAP value means that the specific feature value leads to a higher predicted output value than the baseline prediction. If a feature is irrelevant, all dots, irrespective of color, are on the baseline. For the SHAP analysis, we used all the points in our dataset, which were used to build the coregionalized GPR model.  $T$ : temperature (K),  $\text{SA}$ : steam-to-air ratio,  $\text{SR}$ : stoichiometric ratio,  $\text{SBR}$ : steam-to-biomass ratio,  $\text{C}$ : carbon (wt%),  $\text{H}$ : hydrogen (wt%),  $\text{O}$ : oxygen (wt%),  $\text{FC}$ : fixed carbon (wt%),  $\text{HHVbiom}$ : biomass higher heating value ( $\text{MJ kg}^{-1}$ ),  $\text{MC}$ : moisture content (wt%). (a)  $\text{H}_2$  predictions. (b)  $\text{CO}$  predictions. (c)  $\text{CH}_4$  predictions are computed by predicting the combustible volume fraction along with  $\text{CO}$  and  $\text{H}_2$ . (d) Combustible gas ( $\text{COMBgas}$ ) predictions. (e) Gas yield ( $\text{GAS}$ ) predictions.



Let us first focus on the gasification process parameters. Experimentally, it is well-known that gasification temperature ( $T$ ) is one of the most relevant variables for the gasification process outcomes.<sup>50,51</sup> It is encouraging that our model correctly indeed identifies  $T$  as one of the most important features. The SHAP plot for the  $H_2$  concentration (Fig. 3a) shows that the most important feature for the prediction of this output is precisely the gasification temperature, and that high temperatures have a positive influence on this variable, while very low gasification temperatures (dark blue color points) have a marked negative effect. This can be explained because higher temperatures favor the endothermic water gas and steam reforming reactions according to Le Chatelier's principle, favoring the conversion of formed methane and char produced during the gasification process.<sup>52</sup> A similar effect of the gasification temperature is found for the gas yield (Fig. 3e), also highlighting the negative effect of low gasification temperatures on the gas production.<sup>53</sup> Higher temperatures can favor the production of gas during the biomass devolatilization, as well as promote cracking reactions of secondary hydrocarbons, tars, and char, together with steam reforming and gasification reactions that increase the gas production.<sup>54,55</sup>

In contrast, the gasification temperature has a negative effect on the predicted CO (Fig. 3b) and  $CH_4$  (Fig. 3c) concentrations. At higher temperatures a higher CO production by endothermic reactions can shift the WGS equilibrium towards the consumption of CO.<sup>52,54</sup> Higher temperatures also favor the endothermic steam methane reforming reaction, decreasing the  $CH_4$  content. This agrees with the expected opposite behavior of  $H_2$  and  $CH_4$  during gasification. We can therefore conclude that higher gasification temperatures favor the  $H_2$  production at the expense of CO and  $CH_4$ .

The steam-to-air (SA) ratio has also a high importance for the  $H_2$  concentration prediction (Fig. 3a). The feature importance analysis indicates that high values of SA have a positive effect on the  $H_2$  content, while negative importance values are shown by low SA ratios. This confirms the importance of performing the gasification process with steam to obtain a high production of  $H_2$ . However, a negative influence of SA on the prediction of the  $CH_4$  concentration is shown, with a slightly lower importance than on the  $H_2$  production. This might be explained because higher steam content favors the reforming reactions, increasing the  $H_2$  production and decreasing the  $CH_4$  production, but, in addition, steam also favors the WGS reaction, increasing  $H_2$ , where  $CH_4$  is not involved.<sup>54,55</sup>

From the feature analysis of the process operating conditions, we can conclude that our model correctly captures the experimental trends. We now focus on the biomass properties. The effect of the biomass characteristics on the gasification outputs is studied far less. Our feature importance analysis shows that the most important biomass property is the biomass calorific value (HHVbiom) (Fig. 3). This is an interesting result, as in previous studies in the literature HHVbiom is not often included as a biomass property to study, and only the importance of the biomass C content is usually highlighted.<sup>56–58</sup> The SHAP values show that all outputs, except  $H_2$ , increase with the increase in HHVbiom (Fig. 3b–e). In the case of  $H_2$ , the biomass

calorific value has a relatively lower importance for the prediction of the  $H_2$  concentration, which makes sense as it favors the production of the other gases.

The SHAP plot also shows a relevant negative importance of the fixed carbon (FC) content on the prediction of the CO,  $CH_4$  and combustible gas concentrations, and also of the gas yield. The biomass FC content is closely related to the volatile matter (VM). From this, we can deduce a positive influence of the biomass VM content on these outputs. In contrast, the biomass FC content shows a positive importance on the  $H_2$  concentration prediction. These results indicate that low FC values, *i.e.*, high volatile matter (VM) values, favor the CO and  $CH_4$  production, but not the  $H_2$  production. This can be explained because CO and  $CH_4$  are generated during the devolatilization step of the biomass gasification process.<sup>57</sup> A higher calorific value of the biomass, HHVbiom, could also favor the devolatilization process by increasing the gas phase temperature. Finally, a relevant result from the feature importance analysis is also the negative influence of the biomass O content on the prediction of the  $H_2$  concentration. We can, therefore, deduce that high biomass FC contents and low O contents could be related with a higher  $H_2$  production. On the other hand, the effect of the biomass moisture content is not very relevant in our study since biomasses with significantly high moisture concentrations were not used in this work. In Section 5 of the ESI† we provide a more detailed feature importance analysis, also analyzing partial dependence plots.

### Ranking biomasses for different applications

Over the last few years, we have seen in our laboratory an increase in requests from all kinds of producers of biomass, as well as from industries as potential users, to test their biomass in our gasification setup and figure out a potential application. In practice, testing a new type of biomass typically requires a few weeks of work as we need to dry, grind, and sample the biomass to ensure that we have reproducible results. Hence, an important practical application of our model is a simple classification of whether a particular type of biomass is expected to be good for energy production or for conversion into chemicals or fuels. To showcase this application, we use our model to predict the gasification outputs for a number of biomasses whose characteristics we extracted from the literature data.<sup>59–69</sup>

To select the key performance indicator (KPI) parameters that help us to choose the best use for a given biomass, we estimated the molar  $H_2/CO$  ratio, the gas calorific value (HHVgas) and the gas energy yield ( $E_{yield}$ ) as described in the Methods section. The gas energy yield,  $E_{yield}$ , is the best key performance indicator (KPI) of the power production from biomass gasification, since it accounts for the gas calorific value and the conversion efficiency (see Section 6 of the ESI† for more details on the selection). Likewise,  $H_2/CO$  ratio was chosen as the best KPI for synthesis of fuels/chemicals that require high  $H_2$  concentrations.

In Fig. 4 we represent the predictions of the two selected KPIs for all biomasses gathered from the literature. Here, we can see that some biomasses give high values of  $E_{yield}$ , while other



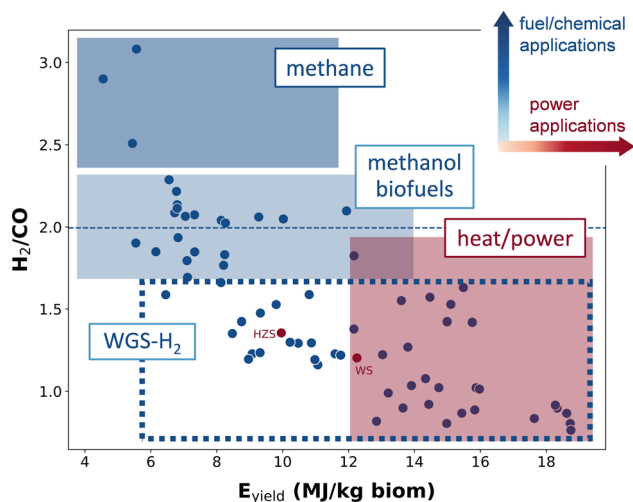


Fig. 4 Selection of biomass applications from the predictions of the key performance indicators (KPIs),  $E_{\text{yield}}$  and  $\text{H}_2/\text{CO}$  ratio, for all biomasses gathered from the literature. Predictions are estimated under fixed gasification operating conditions of  $T = 1173$  K,  $\text{SA} = 2.33$ , and  $\text{SR} = 0.25$ . Groups are defined according to the gasification gas requirements for different applications. WGS: water gas shift. Red points represent the model predictions for the new gasification experiments carried out in our gasifier with walnut shells (WS) ( $T = 1173$  K,  $\text{SA} = 2.33$ , and  $\text{SR} = 0.13$ ) and hazelnut shells (HZS) ( $T = 1173$  K,  $\text{SA} = 2.33$ , and  $\text{SR} = 0.25$ ) biomasses.

biomasses produce high values of  $\text{H}_2/\text{CO}$  ratio. This allows us to classify the biomasses into different groups. A high  $E_{\text{yield}}$  is advantageous to use a biomass for heat or power generation, while a high value of  $\text{H}_2/\text{CO}$  ratio is required to use a particular biomass for synthesis of fuels or chemicals. The synthesis of biofuels by the Fischer–Tropsch process requires a  $\text{H}_2/\text{CO}$  ratio in the syngas around two.<sup>70,71</sup> Likewise,  $\text{H}_2/\text{CO}$  ratio for methanol synthesis should also be set around two (1.7–2.3).<sup>70,72</sup> However, the synthesis of methane by the methanation reaction needs a higher  $\text{H}_2/\text{CO}$  ratio.<sup>70</sup> The stoichiometric  $\text{H}_2/\text{CO}$  ratio is three for the CO methanation reaction, and it has been shown that high  $\text{H}_2/\text{CO}$  ratios improve methanation activity.<sup>73</sup> Based on the potential biomass application, we can classify the studied biomasses in different groups, as shown in Fig. 4: (i) biomasses with high  $E_{\text{yield}}$ , (ii) biomasses with  $\text{H}_2/\text{CO}$  around 2, (iii) biomasses with  $\text{H}_2/\text{CO} > 2$ , and (iv) biomasses with low  $\text{H}_2/\text{CO}$  ratio and low  $E_{\text{yield}}$ .

These findings pose the question of why some biomasses produce a gasification gas with a higher energy value, while others give a gas with higher hydrogen content. We perform a  $k$ -means cluster analysis<sup>74</sup> to find the groups of biomasses that share similar gasification results. Fig. 5a shows the four clusters of similar biomasses. We plot the groups of biomasses as a function of the  $E_{\text{yield}}$  and  $\text{H}_2/\text{CO}$  ratio. Then, to find relationships between the biomass characteristics and the gasification outputs, we also represent those clusters as a function of the biomass properties in Fig. 5b. Details of the cluster analysis, and the distribution of the different biomass types into the groups, can be found in Section 7 of the ESI.†

The results show that if we want to use biomass for hydrogen-based applications, we need to focus on group 1 (dark

blue) of Fig. 5a, which includes the biomasses that produce the highest  $\text{H}_2/\text{CO}$  ratio. In Fig. 5b, we see that these biomasses are characterized by low contents of carbon, VM and oxygen, relatively low calorific value ( $\text{HHV}_{\text{biom}}$ ), but relatively high FC content. Some biomasses in group 1 are cotton stalks, vine shoots, pineapple waste, cotton seed husks, peanut shells, cacao shells, or sunflower seed shells.

In contrast, group 3 (dark red) in Fig. 5a includes biomasses potentially more convenient for power-based applications. These biomasses give the highest  $E_{\text{yield}}$ , and they are characterized by high carbon, VM and oxygen contents, relatively high calorific value ( $\text{HHV}_{\text{biom}}$ ), but low FC content (Fig. 5b). Biomass types in group 3 are wood (*e.g.*, pine, beech, poplar, eucalyptus, salix, and willow) and fruit pits (*e.g.*, apricot, prune, and olive).

On the other hand, group 2 (light blue) in Fig. 5a includes biomasses that produce  $\text{H}_2/\text{CO}$  ratio lower than 1.5 and moderate  $E_{\text{yield}}$ , and they are therefore characterized by intermediate values of the biomass properties between groups 1 and 3 (Fig. 5b). Some of these biomasses are sugarcane bagasse, wheat straw, barley straw, switchgrass, coconut shell, and forest residue wood. For those biomasses that are not particularly promising for either biofuel/chemical or power applications as they produce a gasification gas with low  $E_{\text{yield}}$  and low  $\text{H}_2/\text{CO}$  ratio (see Fig. 4), we could add a downstream water gas shift (WGS) reactor to our plant to increase the hydrogen content of the gas. The water gas shift reaction converts CO to  $\text{H}_2$ , increasing the hydrogen concentration. Although an economic evaluation would be required in this case, since both capital and operational costs increase, this could be interesting if it allows us to recycle some available biomass or residual organic material. The use of a WGS reactor to convert the syngas can also be interesting if the production of hydrogen gas is our objective, for example, for the subsequent synthesis of ammonia.

We also have biomasses that produce high  $E_{\text{yield}}$  and relatively high  $\text{H}_2/\text{CO}$  ratio in group 4 (grey) (Fig. 5a). These are characterized by remarkably high carbon content and calorific value, which favor energy production, but also by high FC and low oxygen contents, which favor hydrogen production (Fig. 5b). Biomasses in group 4 are grape seeds, pine bark, and torrefied woods (beech, eucalyptus, pine, poplar, and spruce). Torrefaction involves the heating of the biomass under an inert or  $\text{O}_2$  impoverished atmosphere under mild conditions (200–300 °C) to improve its handling, transportation, and storage characteristics.<sup>75</sup> During torrefaction light volatiles are released, while carbon content and energy density increase, which aligns with the properties of the torrefied biomasses in this study, *i.e.*, high carbon and FC contents (due to the release of volatile matter) and high calorific value, which are the main properties characterizing group 4. These results indicate that our model captures the different gasification behavior of this type of biomass due to its particular characteristics. Our results also show that biomasses that give high  $\text{H}_2/\text{CO}$  ratios ( $>2$ ) usually produce low  $E_{\text{yield}}$ , which means a lower conversion efficiency of the feedstock. However, in the case of torrefied woods, the gasification process produces relatively high values for both KPIs. Thus, we could use the torrefaction process to increase the





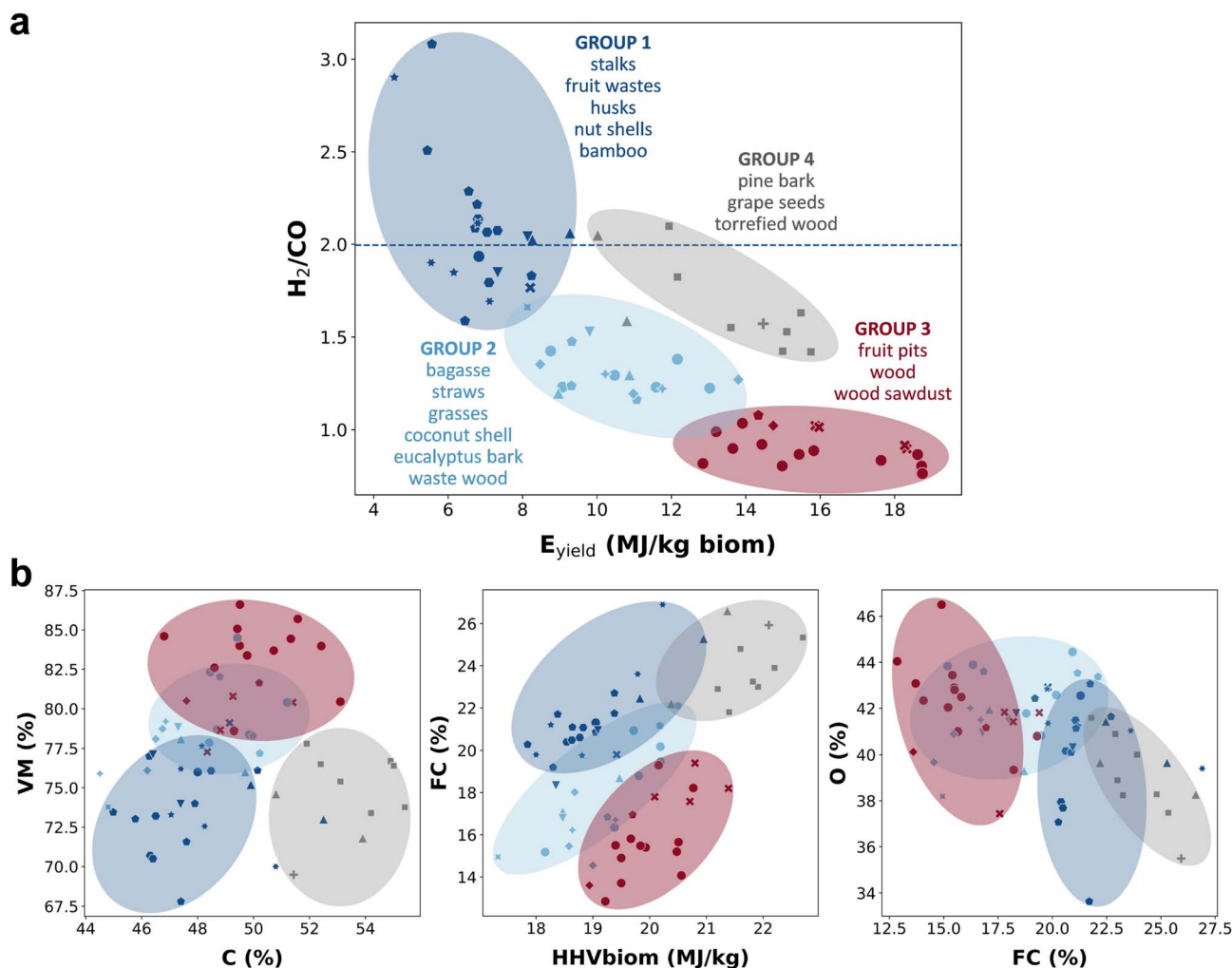


Fig. 5 K-Means cluster analysis based on the gasification outputs. Gasification operating conditions:  $T = 1173$  K,  $SA = 2.33$ , and  $SR = 0.25$ . (a) Biomass groups identified by the cluster analysis are plotted as a function of the  $E_{yield}$  and  $H_2/CO$  ratio values. (b) Biomass groups identified by the cluster analysis are represented as a function of different biomass properties.

hydrogen production for the synthesis of biofuels or chemicals, keeping a satisfactory process conversion. This reveals the torrefaction process as a promising biomass pretreatment before gasification that is worthy of further research.

### Optimization of the process operating conditions

Our model can also be used to optimize the operating conditions in the gasifier. In Section 8 of the ESI† we show how the gasification gas characteristics change for different gasification temperatures and steam-to-air ratios, which are the most relevant process variables according to the feature importance analysis. Our model can help us to optimize the gasification conditions for different biomasses.

We show here the case study for biofuel synthesis since it is one of the most attractive biomass conversion routes under research, related to the deep reductions in the carbon emissions from the aviation sector needed by 2050.<sup>76</sup> To carry out the optimization of the process operating conditions, we created a grid of values of the input variables that was fed directly into

the model to obtain the predictions, since we based the optimization on a target  $H_2/CO$  ratio of 2 as a function of the values of the gasification temperature and steam-to-air ratio. Fig. 6a shows the value of  $H_2/CO$  ratio as a function of the gasification temperature ( $T$ ) and the steam-to-air ( $SA$ ) ratio for some biomasses (from groups 1 and 4). All points located between the dashed black lines represent different combinations of  $T$  and  $SA$  ratio that give a  $H_2/CO$  ratio around two (1.9–2.1), which is needed for the synthesis of biofuels by the Fischer-Tropsch process. Under such conditions, these biomasses could be used in a given gasifier to produce biofuels.

Fig. 6b shows how we can also find the specific process conditions for a given biomass that give a gasification gas with a  $H_2/CO$  ratio of two. We have estimated the optimum gasification temperature for several biomasses when using a  $SA$  ratio of 2.33, and also the optimum  $SA$  ratio for each biomass using a gasification temperature of 1173 K. All these biomasses could be gasified in a range of temperatures of 1034–1168 K and  $SA$  of 2.33 to obtain a  $H_2/CO$  ratio optimal for biofuel synthesis.





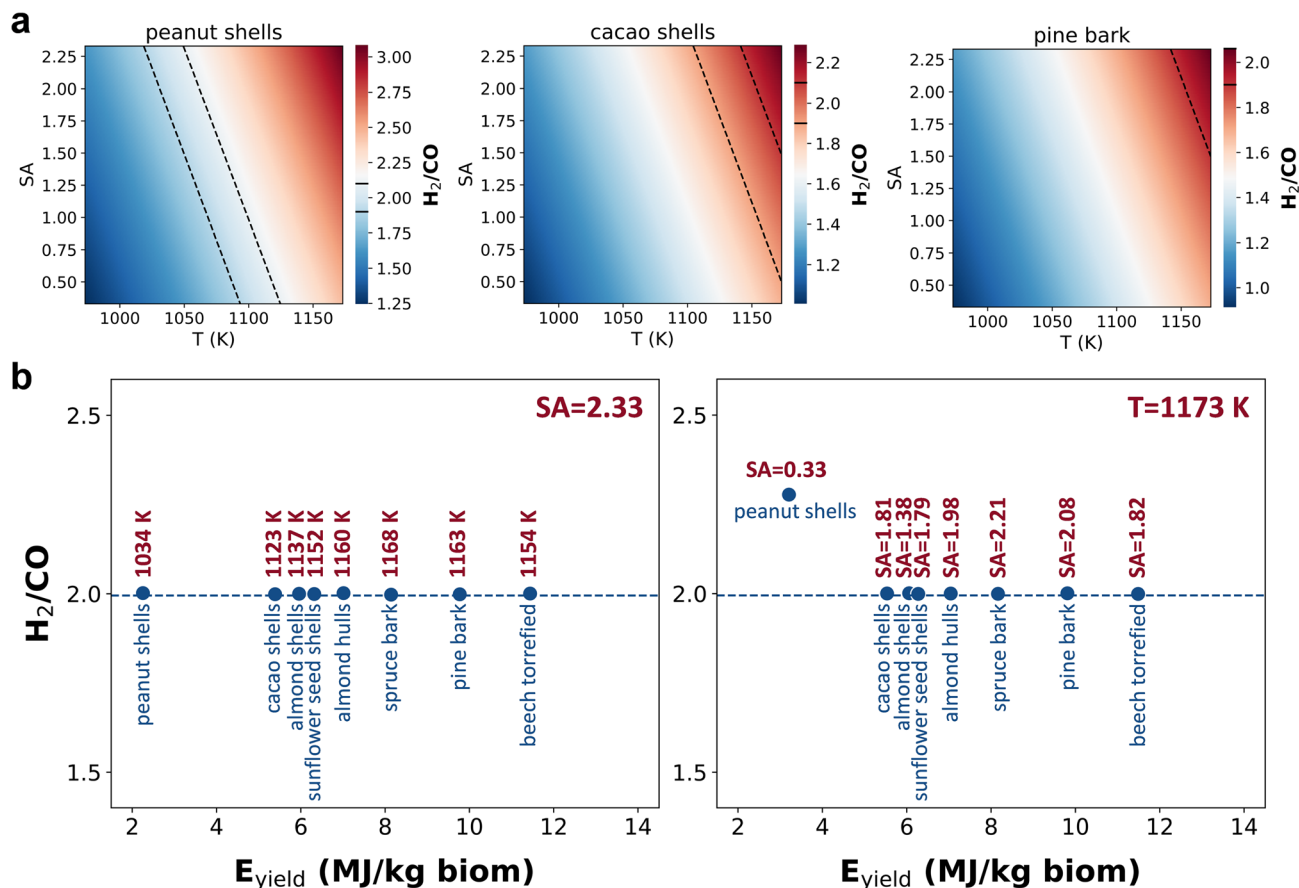


Fig. 6 Optimization of the operating conditions, gasification temperature ( $T$ ) and steam-to-air (SA) ratio, to produce a gasification gas suitable for biofuel synthesis from different biomasses. (a) Optimum conditions of  $T$  and SA that produce a gasification gas with a  $H_2/CO$  ratio around two (1.9–2.1) are shown between the dashed black lines for peanut shells, cacao shells and pine bark. (b) Optimum conditions to produce a gasification gas with a  $H_2/CO$  ratio of two. The optimum temperature when using a SA ratio of 2.33 has been estimated for different biomasses. The optimum SA ratio when using a gasification  $T$  of 1173 K has also been estimated for those biomasses.

Likewise, they could be gasified in a range of SA ratios of 1.38–2.21 at 1173 K. In the case of peanut shells, the  $H_2/CO$  ratio is still higher than two even when using the lowest SA ratio studied (0.33), which indicates that the temperature needs to be lower than 1173 K to obtain the selected ratio from this biomass. With this model, we can therefore decide which biomasses could be potentially treated in the same gasifier throughout the year considering our planned final use of the gasification gas for producing biofuels. This will help us to plan the exploitation of a gasifier according to the seasonal biomass availability and/or cost.

## Conclusions

Biomass conversion by gasification processes is a typical case of a system that is too complex for conventional mechanistic studies and too time-consuming to obtain a large amount of experimental data. Surprisingly, our work shows that even from relatively small datasets, machine-learning models allow us to establish meaningful correlations between the different potential products from the gasification reaction and the properties of the biomass. That our model correctly predicted the

gasification outcomes for two new biomasses not used previously for the development of the model is an important illustration of the potential of this approach.

From a practical point of view, our model not only correctly predicts the gasification outcomes from different biomasses, but it also matches them with the most promising energy application, for example, heat and power generation or synthesis of biofuels. The model also allows for tailoring the gasification products to the specific requirements of an application, enhancing the flexibility of the process. This will help manage the seasonal biomass availability and a steady feed-stock supply. These aspects are crucial for the economic feasibility and successful deployment of gasification plants that are required to fulfill the increasing future demand for renewable and sustainable energy generation.

Likewise, the model developed here opens many avenues for future work as one can expect that many groups have datasets of similar size. For example, the biomass gasification outcomes depend on the characteristics of the reactor, but basic physical and chemical principles are transferable. Thus, collecting similar-size datasets for different types of reactors would allow us to apply techniques such as meta-learning to leverage those



many small datasets and develop a meta-model. Such a model can create good starting points for future optimization campaigns to address the impact of the type of gasifier. This would be an important example of how collective knowledge can be used. In turn, this highlights the importance of promoting open datasets collecting experimental results.

If such a data-driven approach was used systematically in experimental studies, we could contribute to accelerating the development of processes and technologies by providing a more comprehensive understanding of complex processes—through a broader range of insights and predictions—, more informed decision-making, and more efficient scaled-up processes.

## Methods

In this section, we provide a short summary of the main methods used in this work. More details can be found in the ESI.†

### Features and outputs

Twelve variables were used as input features to build our model, including process operating variables and biomass properties. Four process variables were included: temperature ( $T$ ), steam-to-air (SA) ratio, stoichiometric ratio (SR), and steam-to-biomass ratio (SBR). Eight characteristics of the biomass were also considered: C, H, and O contents (wt%, dry basis, derived from the ultimate analysis); ash, volatile matter (VM), and fixed carbon (FC) contents (wt%, dry basis, derived from the proximate analysis); higher heating value (HHV<sub>biom</sub>); and moisture content (MC) (wt%).

Four outcomes of the gasification process were used as outputs of the model: H<sub>2</sub> concentration (vol%), CO concentration (vol%), combustible gas concentration (vol%) (COMBgas), and gas yield (GAS). COMBgas is the addition of the three main combustible gases obtained from the gasification process (*i.e.*, CO, H<sub>2</sub>, and CH<sub>4</sub>). The CH<sub>4</sub> concentration (vol%) was estimated from the predicted outputs of the model.

All features and outputs were z-score standardized using the mean and standard deviation of the training set (using the scikit-learn Python package<sup>77</sup>).

In addition, from the experimentally measured gasification outputs, we also estimated other gasification outcomes that can be relevant to evaluate the performance of the gasification process. The molar H<sub>2</sub>/CO ratio was calculated from the H<sub>2</sub> and CO concentrations, while the higher heating value (HHV) of the gas obtained (HHV<sub>gas</sub>),<sup>78</sup> and the energy yield ( $E_{\text{yield}}$ ) were determined as defined by eqn (1), and (2), respectively:

$$\begin{aligned} \text{HHV}_{\text{gas}} (\text{MJ Nm}^{-3}) \\ = (11.76x_{\text{CO}} + 11.882x_{\text{H}_2} + 37.024x_{\text{CH}_4}) \times 10/1000 \end{aligned} \quad (1)$$

$$E_{\text{yield}} (\text{MJ kg}^{-1} \text{ biom}) = \text{GAS} \cdot \text{HHV}_{\text{gas}} \quad (2)$$

where  $x_i$  (vol%) represents the volumetric percentage of each component in the dry product gas, and GAS is the gas yield (Nm<sup>3</sup> gas kg<sup>−1</sup> biom).

### Gaussian process regression model

We used the GPy Python library<sup>79</sup> to build and train the Gaussian process regression (GPR) models. We used as the kernel the sum of the Radial basis function (RBF) kernel and the Linear kernel, with automatic relevance determination (ARD) in an intrinsic model of coregionalization (ICM).<sup>80</sup> To evaluate the predictive performance of our model, we used leave-one-out cross-validation (LOOCV), *i.e.*, we train as many models as datapoints ( $N$ ) we have and then use  $N - 1$  points for training and 1 point for testing. More details of the model development and selection can be found in Section 4 of the ESI.† We used the coefficient of determination,  $R^2$ , and the root mean squared error, RMSE, to assess the predictive performance of the models. To validate our model, we performed an additional experimental validation with new experimental data from the gasification of biomasses not used previously for the training of the model.

### Feature importance analysis

To determine the feature importance we used two different approaches: the SHapley Additive exPlanations (SHAP) technique<sup>49</sup> marginalized over the full dataset to calculate SHAP values, and the partial dependence plots<sup>81</sup> in which the plotted features are marginalized out over the distribution of all features. A more detailed description is given in Section 5.1 of the ESI.†

### K-Means cluster analysis

We applied  $k$ -means clustering<sup>74</sup> to the gasification outputs. This analysis provides an unsupervised grouping of samples with similarity. We find the groups of biomasses that share similar gasification results, and then we look for common biomass properties in each group.  $k$ -means scikit-learn Python algorithm was used, with  $k$ -means++ as method for initialization and a number of clusters of 4.<sup>77</sup>

## Data availability

The raw data for this study are archived on Zenodo (DOI: [10.5281/zenodo.7358117](https://doi.org/10.5281/zenodo.7358117)). The code for our analysis is available at GitHub (<https://github.com/vgvinter/biomassml>) and archived on Zenodo (DOI: [10.5281/zenodo.7369000](https://doi.org/10.5281/zenodo.7369000)). The version of the code employed for this study is version v0.1.0.

## Author contributions

M. V. G. and K. M. J. developed the machine learning approach and wrote the manuscript. M. V. G. carried out the experimental work. All authors contributed to the design of the work, the review and editing of the manuscript, and the discussion of the results.

## Conflicts of interest

There are no conflicts to declare.



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