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## Exploring the influence of graphene on antiaromaticity of pentalene†

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**Theoretical investigations on the influence of graphene fragments on the antiaromaticity of pentalene are conducted by employing multiple aromaticity descriptors based on magnetic, geometric and electronic criteria. NICS as a sole descriptor for analysing the antiaromaticity of pentalene on graphene fragments has to be carefully considered while looking through the other aromaticity indicators.**

Aromaticity, one of the most fundamental concepts, plays a vital role in understanding the molecular properties of polycyclic conjugated systems.<sup>1,2</sup> According to the Hückel rule,<sup>3</sup> monocyclic planar  $\pi$ -conjugated molecules in the ground state with  $(4n+2)\pi$  delocalized electrons possess aromatic character with significant thermodynamic stability.<sup>4</sup> Breslow proposed the antiaromatic term for molecules having cyclic conjugation with  $4n\pi$  electrons, which are destabilized in comparison to a non-cyclic conjugated reference molecule.<sup>5</sup> The narrow energy gap between the highest occupied molecular orbital (HOMO)-lowest unoccupied molecular orbital (LUMO) and higher conductivity<sup>5-9</sup> of antiaromatic compounds makes them potential candidates for the design of organic electronic materials,<sup>10</sup> including organic field-effect transistors (OFETs)<sup>9,11-14</sup> and organic photovoltaics (OPVs).<sup>13,14</sup> Pentalene and its derivatives are some of the most widely exploited antiaromatic molecules for developing advanced optoelectronic devices.<sup>11,15,16</sup> Synthetic strategies to modulate the antiaromatic character and HOMO-LUMO gap of the pentalene core have a significant role in the development of pentalene-based organic optoelectronic materials.<sup>16-18</sup> Perturbations to the antiaromatic character influence the charge transport efficiency of pentalene derivatives.<sup>16</sup> Benzoannulation on the parent pentalene molecule can tune the HOMO-LUMO gap and antiaromatic

character.<sup>17,19</sup> Dibenzo[*a,f*]pentalene derivatives achieved by additional benzoannulation exhibit enhanced antiaromatic character. They could be potentially employed for the development of novel antiaromaticity-based optoelectronic materials.<sup>18</sup> Substitution with electron-donating/accepting groups on the antiaromatic pentalene core can alter the band-gap, HOMO-LUMO level, antiaromaticity and charge transport capacity of novel dithieno[*a,e*]pentalene (DTP) derivatives. DTP cores substituted with electron-donating and accepting groups can be used as p- and n-type organic semiconductors, respectively.<sup>16</sup>

Our ongoing interest in tuning the antiaromaticity<sup>20,21</sup> and charge transport properties<sup>22</sup> of supramolecular systems motivated us to explore the influence of graphene fragments<sup>23,24</sup> on the antiaromaticity of pentalene through  $\pi$ - $\pi$  interactions. The antiaromaticity of pentalene was evaluated in terms of nucleus independent chemical shift (NICS),<sup>25-30</sup> gauge including the magnetically induced current (GIMIC),<sup>31-33</sup> anisotropy of the induced current density (AICD),<sup>34,35</sup> harmonic oscillator model of aromaticity (HOMA)<sup>36,37</sup> and aromatic fluctuation index (FLU).<sup>38</sup> Graphene models employed for the computational analysis include  $C_{24}H_{12}$  (Coro<sub>24</sub>) and  $C_{54}H_{18}$  (Coro<sub>54</sub>) (Fig. 1). Six model pentalene-graphene systems were generated in which the five-membered ring of pentalene is positioned on

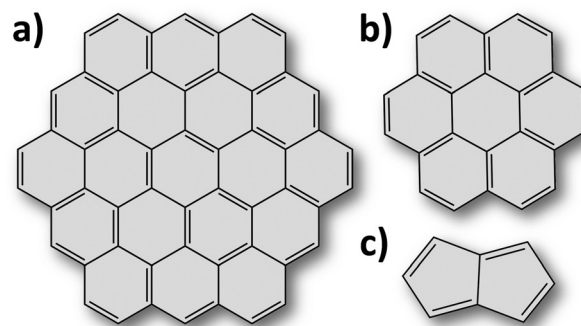


Fig. 1 Chemical structures of (a)  $C_{54}H_{18}$  (Coro<sub>54</sub>), (b)  $C_{24}H_{12}$  (Coro<sub>24</sub>) and (c) pentalene.

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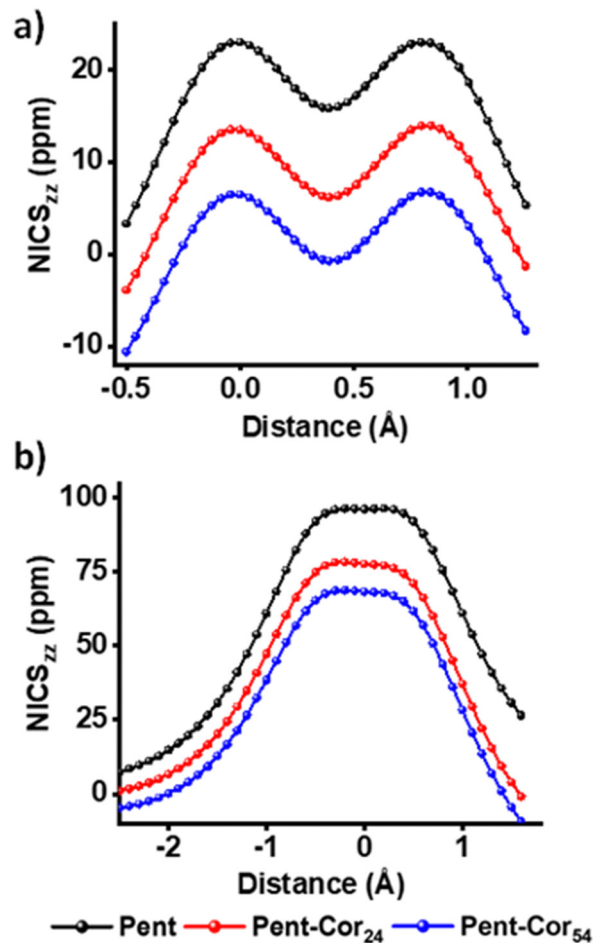
**Table 1** Interaction energies of representative molecular systems: Pent-Coro<sub>24</sub> and Pent-Coro<sub>54</sub>

| Molecular systems       | $E_{\text{int}}^{\text{SAPT}}$ | $E_{\text{elec}}^{(1)}$ | $E_{\text{dis}}^{(2)}$ | $E_{\text{ind}}^{(2)}$ | $E_{\text{ex}}^{(1)}$ |
|-------------------------|--------------------------------|-------------------------|------------------------|------------------------|-----------------------|
| Pent-Coro <sub>24</sub> | -19.70                         | -9.56                   | -30.43                 | -2.60                  | 22.89                 |
| Pent-Coro <sub>54</sub> | -26.51                         | -12.51                  | -37.41                 | -2.93                  | 26.35                 |

All energy values are provided in kcal mol<sup>-1</sup>.  $E_{\text{int}}^{\text{SAPT}}$  = total interaction energy;  $E_{\text{elec}}^{(1)}$  = electrostatic;  $E_{\text{dis}}^{(2)}$  = dispersion;  $E_{\text{ind}}^{(2)}$  = induction and  $E_{\text{ex}}^{(1)}$  = exchange repulsion energy.

three high symmetry adsorption sites on both graphene fragments, including Coro<sub>24</sub> and Coro<sub>54</sub> (Fig. S1 and S2, ESI†). The adsorption sites of pentalene include the hollow (adsorption site at the centre of the hexagon of graphene fragment), top (adsorption site on the top of one of the carbon atoms of graphene) and bridge (adsorption site at the centre of a carbon-carbon bond of graphene).<sup>39,40</sup> The six model pentalene-graphene systems were optimized at the B3LYP-D3(BJ)/Def2-SVP level of theory in Gaussian 16 software.<sup>41</sup> In the optimized structure, one of the five-membered rings of the pentalene molecule faces over the top site and the other five-membered ring faces over the bridge site (Fig. S3, ESI†). The interplanar distances between the pentalene and graphene fragments in the optimized geometries are 3.53 Å (Pent-Coro<sub>24</sub>) and 3.51 Å (Pent-Coro<sub>54</sub>) (Fig. S4, ESI†). Symmetry adapted perturbation theory<sup>42</sup> (SAPT(0)) energy decomposition analysis was performed to comprehensively describe the nature of the  $\pi$ - $\pi$  interactions between the pentalene and graphene fragments. The dominant attractive force to the total interaction energy of pentalene-graphene systems is the dispersion interaction. The dispersion forces account for roughly 71% of the total attractive forces for the Pent-Coro<sub>24/54</sub>. Electrostatic and induction components contribute around 25% and 6% respectively to the overall attraction for both pentalene-graphene systems. The induction energy (polarization component) is the response of the monomer orbitals to the electrostatic field of its interacting fragment.<sup>42</sup> Accordingly, the pentalene-graphene system is predominantly stabilized by dispersion forces.<sup>43</sup> Pent-Coro<sub>54</sub> exhibits an enhanced dispersion interaction in comparison to Pent-Coro<sub>24</sub> (Table 1). With an increase in the size of the graphene fragment, the contribution of the dispersion component to the total energy increases signifying the effect of the size of the graphene fragment on the stability of the dimeric unit.<sup>23</sup> NCI analysis<sup>44</sup> was performed to visualize and characterise the non-covalent intermolecular interactions ( $\pi$ - $\pi$  interactions) between the pentalene-graphene system. A continuous green isosurface/disc-like region appears between the pentalene and graphene fragments (Coro<sub>24/54</sub>) corresponding to the weak attractive non-covalent interactions (Fig. S5, ESI†). Both SAPT(0) and NCI analyses are in agreement with the reported literature, demonstrating the dominant role of dispersion interactions in stabilizing the graphene systems.<sup>43</sup>

We have employed the nucleus independent chemical shift (NICS), gauge including the magnetically induced current (GIMIC), and anisotropy of the induced current density (AICD)



**Fig. 2** (a) NICS-X scan and (b) NICS-Z scan of bare pentalene and pentalene in the presence of graphene.

as the magnetic criteria, harmonic oscillator model of aromaticity (HOMA) as the geometric criterion and aromatic fluctuation index (FLU) as the electronic criterion of aromaticity for demonstrating the antiaromaticity of pentalene in the presence of graphene fragments. Nucleus independent chemical shift (NICS)<sup>25-29</sup> scan, widely used for the assessment of magnetic aromaticity, was employed for generating the out-of-plane ZZ component of the chemical shielding tensor ( $\text{NICS}_{zz}(r)$ )<sup>45,46</sup> where  $r$  is the distance of the NICS probes from the molecular plane). Fig. 2a represents the NICS-X scan plot of pentalene with and without the presence of graphene fragments. For a bare pentalene molecule, a positive minimum (15.80 ppm) at the centre of the bond common to the two rings and two positive maxima (22.95 ppm) near to the centroid of each ring can be observed along the scan trajectory. Hence, a bare pentalene possesses a global paratropic ring current embedded with two local paratropic currents at the centroid of each ring depicting a strong antiaromatic character.<sup>47</sup> In the presence of graphene fragments, a significant decrease in the values of the two maxima (13.49 ppm & 6.43 ppm) and the minima (6.16 ppm & -0.74 ppm) of the pentalene molecule can be observed. Fig. 2b shows the NICS-Z scan performed along the axis (Z)



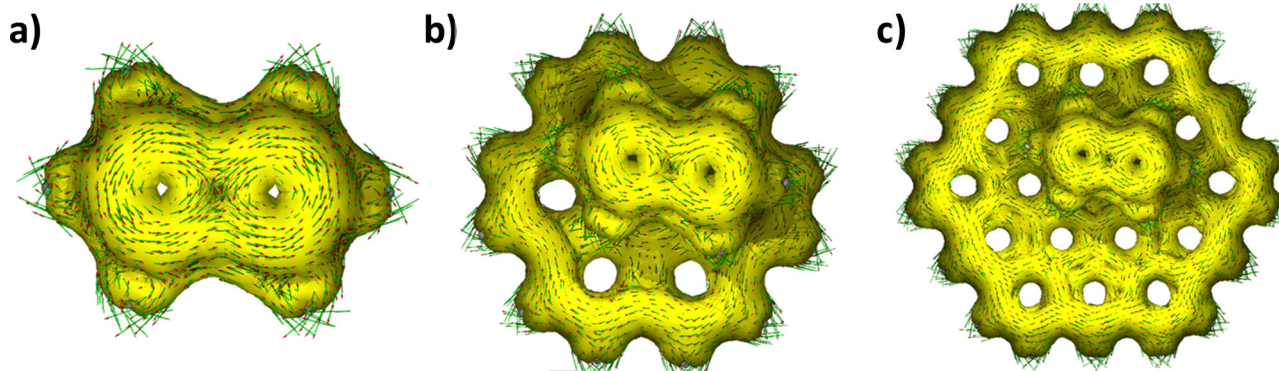
**Table 2** GIMIC current strength, FLU and HOMA calculated for the pentalene, Pent-Coro<sub>24</sub> and Pent-Coro<sub>54</sub> systems. GIMIC current strength values are in nA T<sup>-1</sup>

| Molecular systems       | GIMIC current strength | FLU   | HOMA  |
|-------------------------|------------------------|-------|-------|
| Pentalene               | -17.19                 | 0.046 | -0.35 |
| Pent-Coro <sub>24</sub> | -17.25                 | 0.044 | -0.34 |
| Pent-Coro <sub>54</sub> | -16.55                 | 0.044 | -0.32 |

passing through the centroid of the pentalene ring, perpendicular to the molecular plane. NICS-Z scan also shows a decline in the NICS<sub>zz</sub> values of pentalene on graphene fragments.  $\Delta\text{NICS}_{zz}(r) = \text{NICS}_{zz}(r)$  (Pent-Coro<sub>24/54</sub> system) -  $\text{NICS}_{zz}(r)$  (pentalene), where a negative  $\Delta\text{NICS}_{zz}(r)$  indicates a disruption in the antiaromaticity of pentalene on graphene fragments and positive value signifies the graphene induced antiaromaticity enhancement of pentalene (Table S1, ESI<sup>†</sup>). In the presence of graphene, pentalene shows negative  $\Delta\text{NICS}_{zz}(1)$  (-9.89 ppm for Pent-Coro<sub>24</sub> and -17.50 ppm for Pent-Coro<sub>54</sub>) and  $\Delta\text{NICS}_{zz}(0)$  (-14.91 ppm for Pent-Coro<sub>24</sub> and -22.50 ppm for Pent-Coro<sub>54</sub>) values. The NICS scan curves and  $\Delta\text{NICS}_{zz}$  values reveal an antiaromaticity relief of pentalene on graphene fragments. Schematic illustrations of NICS probes for NICS scan and  $\Delta\text{NICS}_{zz}(1/0)$  calculations are displayed in Fig. S6–S8 (ESI<sup>†</sup>). NICS values have to be carefully considered as the sole index of assessing aromaticity in polycyclic conjugated systems, more specifically on the stacked anti/aromatic systems.<sup>48,49</sup> The induced magnetic field of neighbouring (anti)aromatic molecules can cause a considerable shift in the nuclear magnetic shielding values of the probe molecule in the stacked polycyclic conjugated system. The shift in the shielding value would significantly affect the NICS value of the probe molecule.<sup>50</sup>

Magnetically induced current strengths were calculated using the GIMIC<sup>31,33</sup> approach for exploring the influence of graphene fragments on the antiaromaticity of pentalene. The GIMIC method calculates the current strength and current pathways precisely by numerically integrating the current flowing through the individual molecular ring planes.<sup>51</sup> Current strength/susceptibility values are calculated by the numerical integration of the current density flowing through the plane perpendicular to the chosen peripheral bonds of pentalene.<sup>32</sup> Negative and positive current strengths represent paratropicity

and diatropicity, respectively. The method of using gauge including atomic orbitals (GIAO) in the GIMIC method helps in improving the basis set convergences in the calculations related to magnetic properties. The integration plane chosen for the bare pentalene and Pent-Coro<sub>24/54</sub> is shown in Fig. S9 (ESI<sup>†</sup>). The integration plane passes perpendicular to the molecular plane of pentalene, placed across its peripheral bond. A total ring current strength of -17.19 nA T<sup>-1</sup> is observed for the peripheral bond of a bare pentalene molecule indicating a strong antiaromatic character. Only a negligible change in the total ring current is observed for the outer bonds of the pentalene molecule in the presence of graphene fragments (Table 2 and Fig. S10, ESI<sup>†</sup>). Based on the current density values, the antiaromatic character of pentalene is negligibly perturbed by the graphene fragments. The paratropic and diatropic contribution and total net current passing through each peripheral bond of the pentalene molecule in the presence and absence of graphene fragments are shown in Tables S2–S4 (ESI<sup>†</sup>). Anisotropy of the induced current density (AICD)<sup>34</sup> plots were generated for the visualization of the induced ring current of the bare pentalene and pentalene-graphene systems. AICD plots with a counterclockwise ring current indicate the antiaromatic character of bare pentalene and pentalene in the presence of graphene fragments (Fig. 3). Insignificant changes in the current density of pent-graphene systems, in comparison to the bare pentalene depict the negligible influence of graphene fragments on the antiaromaticity of pentalene. To explore the geometric effects of (anti)aromaticity, HOMA<sup>36</sup> values were examined for pentalene molecule in the presence and absence of graphene fragments. The marginal changes in the HOMA values of pentalene in the presence of graphene fragments in comparison to the bare pentalene molecule denote the insignificant effect of graphene fragments on the antiaromaticity of pentalene (Table 2). Aromatic fluctuation index (FLU),<sup>38</sup> one of the electronic criteria for assessing (anti)aromaticity is employed for describing the antiaromaticity of pentalene on graphene fragments. The similar FLU values of bare pentalene and Pent-Coro<sub>24/54</sub> show the negligible influence of graphene fragments in the antiaromaticity of pentalene (Table 2). Both HOMA and FLU results are in agreement with the GIMIC results (*vide supra*).



**Fig. 3** AICD plots of the induced ring currents in (a) pentalene, (b) Pent-Coro<sub>24</sub>, and (c) Pent-Coro<sub>54</sub>.



## Conclusions

In conclusion, the effect of graphene fragments on the antiaromaticity of pentalene was theoretically investigated by employing magnetic (GIMIC, AICD and NICS), geometric (HOMA) and electronic (FLU) descriptors of (anti)aromaticity. Pent-Coro<sub>24/54</sub> exhibits negative  $\Delta\text{NICS}_{\text{ZZ}}(1)$ , and  $\Delta\text{NICS}_{\text{ZZ}}(0)$  values and a decline in the  $\text{NICS}_{\text{ZZ}}$  values in comparison to a bare pentalene molecule. Based on the NICS index, pentalene experiences a graphene-induced antiaromaticity relief. However, pentalene shows similar GIMIC current strength, HOMA and FLU values in the presence and absence of graphene fragments, demonstrating the insignificant influence of graphene fragments on the antiaromaticity of pentalene. AICD plots of both isolated pentalene and Pent-Coro<sub>24/54</sub> are in agreement with the GIMIC, HOMA and FLU results. The magnetic induced ring current of graphene fragments can cause a shift in the nuclear magnetic shielding of the pentalene molecule, leading to the deviation in the NICS analysis in comparison to the GIMIC, AICD, HOMA and FLU results. Hence, to analyse the effect of graphene on the antiaromaticity of pentalene, it is crucial to consider other aromatic descriptors including GIMIC, AICD, HOMA and FLU along with NICS calculations.

## Conflicts of interest

There are no conflicts to declare.

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