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# Physico-geometrical kinetic insight into multistep thermal dehydration of calcium hydrogen phosphate dihydrate<sup>†</sup>

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The origin of the multistep thermal dehydration of calcium hydrogen phosphate dihydrate (dibasic calcium phosphate dihydrate (DCPD)) to form  $\gamma$ -calcium diphosphate ( $\gamma$ -calcium pyrophosphate ( $\gamma$ -CPP)) via calcium hydrogen phosphate anhydride (dibasic calcium phosphate anhydride (DCPA)) was investigated from a specific viewpoint of physico-geometrical constraints generated during the reaction. The overall thermal dehydration was separated into five partially overlapping steps through systematic kinetic analysis. The first three steps and the residual two steps were attributed to the thermal dehydration of DCPD to form DCPA and of DCPA to form  $\gamma$ -CPP, respectively. The first to third steps were kinetically characterized by the surface reaction of plate-like particles controlled by nucleation and growth, the movement of the reaction interface inward to the plate by releasing water vapor through voids formed in the surface product layer, and the rapid escape of water vapor accompanied by the cleavage of plate-like particles into slices, respectively. The contributions of each component step varied with the heating conditions and atmospheric water vapor pressure. The subsequent dehydration of DCPA proceeded in two steps by the release of trapped water molecules in amorphous DCPA induced by its gradual crystallization and the dehydration of DCPA to form poorly crystalline  $\gamma$ -CPP, which continued to grow during the fifth mass loss step and exhibited a detectable exothermic phenomenon after the mass loss was completed. The possible causes of the variation in the multistep reaction features with reaction conditions were discussed by correlating the kinetic analysis results with the crystallographic and morphological findings.

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## 1. Introduction

Calcium hydrogen phosphate dihydrate ( $\text{CaHPO}_4 \cdot 2\text{H}_2\text{O}$ , dibasic calcium phosphate dihydrate (DCPD)) is extensively used as the diluent and bulking agent for preparing pharmaceutical tablets. The thermal stability and thermally induced physical and chemical changes in the preparation components directly affect the preparation conditions and the physico-chemical properties of the pharmaceutical preparation,<sup>1</sup> as well as pharmaceutical benefits. In addition, considering both the

preparation and storage of pharmaceuticals, the effect of atmospheric water vapor is another important factor to determine the preparation and conservation conditions of pharmaceuticals. Therefore, significant efforts have been made to reveal physico-chemical characteristics related to the thermal stability and thermally induced transformations of DCPD under various heating and atmospheric conditions.<sup>2–16</sup> Thermal dehydration is a typical physico-chemical phenomenon used to assess the thermal stability and thermally induced transformation properties of DCPD. Thermal dehydration occurs at temperatures slightly higher than the boiling point of water *via* multistep mass loss steps to form crystalline or amorphous anhydride ( $\text{CaHPO}_4$ ; dibasic calcium phosphate anhydride (DCPA)).<sup>2–16</sup>



Changes in multistep mass loss behavior depending on sample particle morphology,<sup>5,10</sup> heating conditions,<sup>12</sup> and atmospheric water vapor pressure<sup>2,3,13</sup> have been a major subject to reveal the thermal dehydration behavior of DCPD. Changes in the multistep dehydration behavior with sample particle morphology and heating conditions may be explained by considering

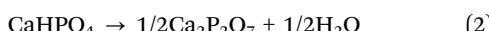
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† Electronic supplementary information (ESI) available: S1. Sample characterization (Fig. S1–S4 and Tables S1–S3); S2. Multistep thermal dehydration of DCPD in a stream of dry  $\text{N}_2$  (Fig. S5–S7); S3. Mathematical deconvolution analysis for the thermal dehydration in a stream of dry  $\text{N}_2$  (Fig. S8–S12 and Table S4); S4. Kinetic analysis for the thermal dehydration in a stream of  $\text{N}_2\text{–H}_2\text{O}$  mixed gas (Fig. S13–S60 and Tables S5–S17); S5. Multistep kinetics of the thermal dehydration of DCPA to form  $\gamma$ -CPP (Fig. S61 and S62). See DOI: <https://doi.org/10.1039/d3cp01323e>



the physico-geometrical features of the reaction and formation of amorphous DCPA. The acceleration effects of atmospheric water vapor on the reaction have been reported by different research groups,<sup>2,3,13</sup> for which the enhancement of the crystallization of the amorphous portion of the dehydration product by the atmospheric water vapor was considered a possible cause. On further heating, the produced DCPA dehydrates to form calcium diphosphate (Ca<sub>2</sub>P<sub>2</sub>O<sub>7</sub>; calcium pyrophosphate (CPP)).<sup>17–22</sup>



Some studies have reported a single-step process of the thermal dehydration of DCPA,<sup>17,21</sup> whereas some others have reported a two-step mass loss process.<sup>18–20,22</sup> Hazzat *et al.* explained the cause of the two-step mass loss process and attributed it to the different sizes and morphologies of sample particles in commercially available DCPA.<sup>22</sup> Irrespective of different reaction behaviors, the solid product was identified as  $\gamma$ -CPP. Therefore, the quantitative evaluation of changes in multistep reaction behavior occurring during the thermal dehydration of DCPD and subsequent dehydration of DCPA using an advanced kinetic approach could provide more insight into the complex reaction behaviors of the thermally induced transformations of DCPD.

Despite the formations of crystalline and amorphous DCPA as the stable intermediate during the overall thermal dehydration of DCPD to form  $\gamma$ -CPP, the reaction stoichiometries of the two chemical reaction steps are expressed by eqn (1) and (2). Therefore, the multistep thermal dehydration behavior observed during the thermal dehydration of DCPD to form DCPA could have originated from the heterogeneous features of the solid-state reaction. The thermal dehydration of solids is generally initiated on the surface of reactant particles, followed by the advancement of the reaction interface inward toward the center of the reactant particle.<sup>23–25</sup> For such a reaction, multistep reaction behaviors are occasionally observed by the physico-geometrical constraints of the reaction. The surface product layer, produced by the surface reaction, can act as a barrier for the diffusional removal of water vapor produced at the internal reaction interface. When the blocking action of the surface product layer was significant, the dehydration is decelerated and occasionally stopped.<sup>26–32</sup> The recovery of the reaction requires the formation of a diffusion path in the surface product layer, which may be induced by the crystal growth of the solid product and the crack formation in the product layer.<sup>26–32</sup> As a result, the reaction exhibits multistep behavior.<sup>33–35</sup> However, such physico-geometrical kinetic features have not fully been considered for the thermal dehydration of DCPD. Before that, only a few kinetic approaches to the target reaction have been reported.<sup>3,7,17,21,22</sup> Here, the multistep thermal dehydration of DCPD was systematically traced using thermal analysis techniques under various heating and atmospheric conditions to characterize the kinetics of each component reaction step using an advanced kinetic approach. The multistep reaction under each reaction condition was kinetically separated into individual component steps, and

the physico-geometrical kinetic features of each component reaction step are revealed by correlating the kinetic results with the morphological and crystallographic findings. Aiming to provide a physico-geometrical kinetic insight into the reaction, the phenomenology of the multistep thermal dehydration of DCPD and its changes with reaction conditions are explained on the basis of the revealed kinetic features of the individual component reaction steps.

## 2. Experimental

### 2.1 Sample characterization

A commercially available reagent of DCPD (special grade, >98.0%, FUJIFILM Wako) was used as the sample without further purification. The sample particles exhibited thin plate-like morphology irrespective of the particle size fraction (Fig. S1, ESI†). The sample was subjected to powder X-ray diffractometry (XRD) and Fourier transform infrared spectroscopy (FTIR). The sample powders were press-fitted to a plate sample holder, and the XRD pattern was recorded using a diffractometer (RINT-2200V, Rigaku) by scanning  $2\theta$  values from  $5^\circ$  to  $60^\circ$  in steps of  $0.02^\circ$  at a scan speed of  $4^\circ \text{ min}^{-1}$  while irradiating Cu-K $\alpha$  (40 kV, 20 mA). The recorded XRD pattern (Fig. S2, ESI†) agreed with that reported for DCPD (Monoclinic, S.G. =  $Ia(9)$ ,  $a = 5.8120$ ,  $b = 15.1800$ ,  $c = 6.2390$ ,  $\alpha = 90.000$ ,  $\beta = 116.430$ ,  $\gamma = 90.000$ , ICDD PDF 01-072-0713).<sup>36</sup> The sample was diluted with KBr by mixing using an agate mortar and pestle, and FTIR spectrum was recorded using a spectrometer (FT-IR 8400S, Shimadzu) by a diffuse reflectance method. The FTIR spectrum (Fig. S3, ESI†) corresponded to that reported for DCPD.<sup>37–39</sup> The assignment of individual infrared absorption peaks is listed in Table S1 (ESI†). The sample powders were sieved to different particle size fractions (20–45, 45–53, 53–63, 63–75, 75–90, and 90–150  $\mu\text{m}$ ) using stainless sieves by shaking for 1 h using an electronic shaker (MVS-1, AS ONE). The sample powders were coated with a thin Pt layer by sputtering (JFC-1600, JEOL, 30 mA, 30 s) and observed by scanning electron microscopy (SEM, JSM-6510, JEOL).

### 2.2 Thermal behavior

Three different series of thermogravimetry (TG)–differential thermal analysis (DTA) measurements were performed using a TG–DTA instrument (TG-8121, Thermoplus Evo2 system, Rigaku) by systematically varying the sample particle size, sample mass ( $m_0$ ), or flow rate ( $q_v$ ) of dry N<sub>2</sub>. The sample of a selected size fraction was weighed on a Pt pan (diameter: 5 mm; depth: 2.5 mm), and TG–DTA curves were recorded by heating the sample from 300 K to 973 K at a heating rate ( $\beta$ ) of 5 K min $^{-1}$ . In the first series, TG–DTA curves with different sample particle sizes ( $m_0 = 5.00 \pm 0.03$  mg) were recorded in a stream of dry N<sub>2</sub> ( $q_v = 300 \text{ cm}^3 \text{ min}^{-1}$ ). The second series of TG–DTA curves were recorded for the sample of 63–75  $\mu\text{m}$  by systematically varying the sample mass ( $1.0 \leq m_0 \text{ mg} \leq 10$ ) in a stream of dry N<sub>2</sub> ( $q_v = 300 \text{ cm}^3 \text{ min}^{-1}$ ). In the third series, the flow rate of dry N<sub>2</sub> was systematically varied from 50 to



500 cm<sup>3</sup> min<sup>-1</sup> for the TG–DTA measurements of the sample (63–75 µm;  $m_0 = 5.00 \pm 0.03$  mg).

Changes in the XRD pattern of the sample (63–75 µm; press-fitted to a Pt sample holder) during heating were traced by high-temperature XRD (HTXRD) measurements using a RINT-2200V diffractometer equipped with a programmable heating chamber in a stream of dry N<sub>2</sub> ( $q_v = 100$  cm<sup>3</sup> min<sup>-1</sup>). The sample was heated according to a stepwise isothermal heating program composed of linear increasing temperature ( $\beta = 2$  K min<sup>-1</sup>) sections and isothermal holding sections for each 15 min, where XRD patterns were recorded in each isothermal holding section. Three series of HTXRD measurements covering different temperature ranges were performed: (1) 373–973 K with isothermal holding sections in steps of 50 K; (2) 373–523 K with isothermal holding sections in steps of 10 K; (3) 723–913 K with isothermal holding sections in steps of 10 K.

### 2.3 Kinetic measurement

Comparing the TG–DTG curves recorded under the conditions of different sample particle sizes, sample masses, and flow rates of dry N<sub>2</sub>, the sample of 63–75 µm was selected for the kinetic study of thermally induced transformation processes. The sample mass and flow rate of dry N<sub>2</sub> were fixed to 5.0 mg and 300 cm<sup>3</sup> min<sup>-1</sup>, respectively. TG–DTA curves were recorded under linear nonisothermal conditions at different  $\beta$  values and controlled rate thermal analysis (CRTA)<sup>40,41</sup> conditions at different controlled values ( $C$ ). The sample was heated from 300 K to 973 K at different  $\beta$  values ranging from 0.5 to 20 K min<sup>-1</sup> for the measurements under linear nonisothermal conditions. Meanwhile, the sample was heated from 300 K to 973 K at  $\beta = 2$  K min<sup>-1</sup>, whereas during the mass loss process the mass loss rate was controlled to be at different  $C$  ranging from 5 to 15 µg min<sup>-1</sup>.

Differential scanning calorimetry (DSC; DSC 8270, Rigaku) measurements were performed for the sample (63–75 µm;  $m_0 = 10.0 \pm 0.02$  mg) weighed on a Pt pan (diameter: 5 mm, depth: 2.5 mm), for which the top surface of the sample was covered with a Pt drop lid. DSC curves were recorded during the linear heating of the sample from 300 K to 973 K at different  $\beta$  values ( $2 \leq \beta/\text{K min}^{-1} \leq 20$ ) in a stream of dry N<sub>2</sub> ( $q_v = 100$  cm<sup>3</sup> min<sup>-1</sup>).

The effect of atmospheric water vapor on the kinetic behavior of the thermally induced transformations of DCPD was investigated using a humidity-controlled TG system composed of a TG–DTA instrument (TG-8122, Thermoplus Evo2 system, Rigaku) and a humidity controller (me-40DP-2PHW, Micro equipment Co.). In this system, N<sub>2</sub>–H<sub>2</sub>O mixed gas with a specific dew point was generated in the humidity controller by bubbling N<sub>2</sub> in a temperature and pressure-controlled saturation cistern and transferred to the reaction tube of the TG–DTA instrument via a temperature-controlled transfer tube and an anterior chamber of the reaction tube. The temperature and relative humidity of the N<sub>2</sub>–H<sub>2</sub>O mixed gas were continuously monitored in the anterior chamber to calculate the water vapor pressure ( $p(\text{H}_2\text{O})$ ). Notably, the reaction tube and its anterior chamber were preliminary warmed at a temperature

higher by 10 K than the dew point of the N<sub>2</sub>–H<sub>2</sub>O mixed gas by circulating temperature-controlled water to avoid the condensation of water vapor in the TG–DTA instrument. Under the conditions otherwise identical to those for the TG–DTA measurements in a stream of dry N<sub>2</sub>, the sample (63–75 µm,  $m_0 = 5.00 \pm 0.03$  mg) was linearly heated to 973 K at a  $\beta$  of 5 K min<sup>-1</sup> in a stream of N<sub>2</sub>–H<sub>2</sub>O mixed gas ( $q_v = 200$  cm<sup>3</sup> min<sup>-1</sup>) with different  $p(\text{H}_2\text{O})$  values in a range of 0.4–19.8 kPa. By selecting seven different  $p(\text{H}_2\text{O})$  values, *i.e.*, 1.2, 2.9, 6.3, 8.1, 10.2, 12.6, and 15.8 kPa, the TG–DTA measurements were performed under linear nonisothermal conditions at different  $\beta$  values ( $0.5 \leq \beta/\text{K min}^{-1} \leq 10$ ).

### 2.4 Morphological change

The sample was heated to different temperatures at  $\beta = 5$  K min<sup>-1</sup> under the same sample and measurement conditions as the aforementioned TG–DTA measurements in a stream of dry N<sub>2</sub> or N<sub>2</sub>–H<sub>2</sub>O mixed gas ( $p(\text{H}_2\text{O}) = 12.6$  kPa). The heat-treated samples were recovered after cooling to room temperature in the TG–DTA instruments in a stream of dry N<sub>2</sub>. The recovered samples were subjected to the FTIR measurements and SEM observations as in the procedures for the sample characterization.

## 3. Results and discussion

### 3.1 Overview of thermal behavior

Fig. 1 shows typical TG–derivative TG (DTG)–DTA curves for DCPD (63–75 µm;  $m_0 = 4.99$  mg) recorded during heating from 300 K to 973 K at a  $\beta$  of 5 K min<sup>-1</sup> in a stream of dry N<sub>2</sub> ( $q_v = 300$  cm<sup>3</sup> min<sup>-1</sup>). Three distinguishable mass loss steps were observed accompanied by individual endothermic DTA peaks. The mass loss value until 515 K, including two mass loss steps, was 20.5%, corresponding to the calculated value (20.9%) for the thermal dehydration of DCPD to form DCPA (eqn (1)). The

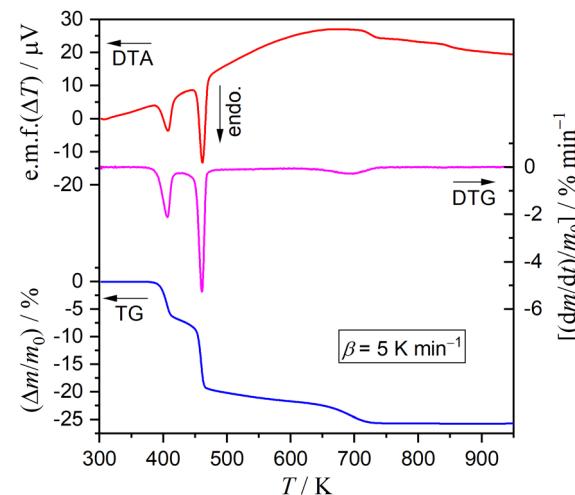


Fig. 1 TG–DTG–DTA curves recorded during the heating of DCPD sample (63–75 µm;  $m_0 = 4.99$  mg) from 300 K to 973 K at a  $\beta$  of 5 K min<sup>-1</sup> in a stream of dry N<sub>2</sub> ( $q_v = 300$  cm<sup>3</sup> min<sup>-1</sup>).



subsequent mass loss step occurring at temperatures higher than 515 K exhibited a mass loss of 5.2%, in accordance with the thermal dehydration of DCPA to form CPP (eqn (2); 5.2%).

Fig. 2 shows the changes in the XRD pattern during stepwise isothermal heating from 373 to 973 K in steps of 50 K. Three different XRD patterns were observed, including that for the original DCPD (Fig. 2(a)). The XRD peaks of DCPD gradually attenuated in the temperature range of 423–523 K; conversely, those of DCPA (Fig. 2(b); Triclinic, S.G. =  $P1(1)$ ,  $a = 6.9000$ ,  $b = 6.6500$ ,  $c = 7.0000$ ,  $\alpha = 96.400$ ,  $\beta = 103.900$ ,  $\gamma = 88.700$ , ICDD PDF 01-089-5969)<sup>42</sup> gradually grew in the same temperature range.

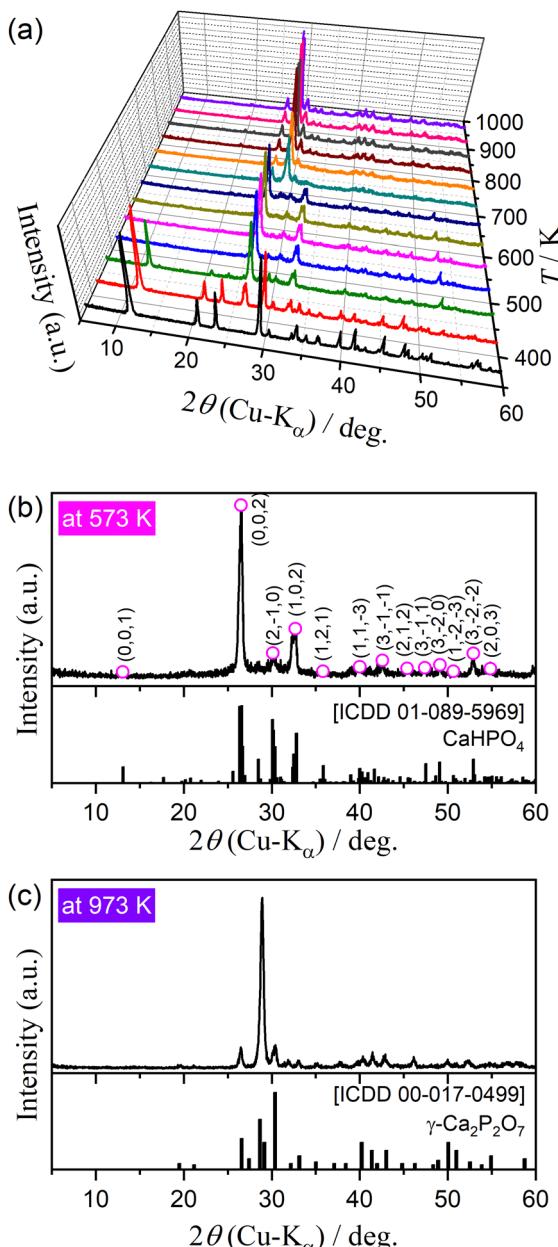


Fig. 2 Change in the XRD pattern of DCPD sample (63–75  $\mu\text{m}$ ) during stepwise isothermal heating in a stream of dry  $\text{N}_2$ : (a) XRD patterns at different temperatures, (b) XRD pattern at 573 K, and (c) XRD pattern at 973 K.

On further heating, the XRD pattern was changed from that of DCPA to  $\gamma$ -CPP (Fig. 2(c); ICDD PDF 00-017-0499)<sup>8</sup> in the temperature range of 673–723 K. The temperature range of the transformation from DCPD to DCPA agreed with that of the first and second mass loss steps observed by TG-DTG-DTA curves (Fig. 1), whereas that from DCPA to  $\gamma$ -CPP corresponded to the third mass loss step. The formation of  $\gamma$ -CPP by the thermal dehydration of DCPA has also been reported in previous studies.<sup>2,8,43</sup> Fig. S4(a) (ESI<sup>†</sup>) shows the FTIR spectra for the samples preliminary heated to different temperatures. In the temperature ranges corresponding to the thermal dehydration of DCPD to form DCPA and of DCPA to form  $\gamma$ -CPP, the absorption peaks attributed to crystalline water (Fig. S4(b), ESI<sup>†</sup>) and the -OH group (Fig. S4(c), ESI<sup>†</sup>) disappear, respectively. Tables S2 and S3 (ESI<sup>†</sup>) list the assignments of each absorption peak of DCPA and  $\gamma$ -CPP, respectively.<sup>37,39,44,45</sup> The changes in the FTIR spectra by heating the sample to different temperatures support the reactions of eqn (1) and (2).

### 3.2 Multistep thermal dehydration of DCPD in a stream of dry $\text{N}_2$

Preliminary to the detailed investigation of thermal dehydration behavior in a stream of dry  $\text{N}_2$ , the effects of the particle size, sample mass, and flow rate of dry  $\text{N}_2$  on TG-DTG-DTA curves were investigated under linear nonisothermal conditions at a fixed  $\beta$  of 5 K  $\text{min}^{-1}$ , as shown in Fig. S5–S7 (ESI<sup>†</sup>). The sample particle size affects the first mass loss step of thermal dehydration, where the thermoanalytical curves systematically shifted to higher temperatures, and the mass loss value increased with increasing particle size (Fig. S5, ESI<sup>†</sup>). The same trend has been reported by Landin *et al.* with an explanation of the catalytic action of the self-generated water vapor, which is expected to be larger for samples with larger particle sizes.<sup>6</sup> However, no detectable changes in the thermoanalytical curves were observed for the second and third mass loss steps between the samples of different sample particle sizes. Only systematic growth of the DTA endothermic peaks attributed to three mass loss steps was observed with increasing initial sample mass without any changes in the position along with the temperature coordinate (Fig. S6, ESI<sup>†</sup>). The TG and DTG curves did not show any changes, overlapping nearly perfectly between different sample masses. This observation indicates that the overall mass loss behavior is not influenced by the depth of the sample bed, but it reflects the average behavior of the individual sample particles subjected to TG-DTG-DTA measurements. No detectable changes in the TG-DTG-DTA curves were observed among the thermoanalytical measurements under different flow rate of dry  $\text{N}_2$  (Fig. S7, ESI<sup>†</sup>). Therefore, it is expected that the effect of the self-generated water vapor during individual mass loss steps may be negligible. Based on these findings, the DCPD sample of 63–75  $\mu\text{m}$  fraction was selected for the detailed investigation of the kinetic and mechanisms of the individual mass loss steps. The initial sample mass and flow rate of dry  $\text{N}_2$  were fixed to be  $5.00 \pm 0.05$  mg and 300  $\text{cm}^3 \text{min}^{-1}$ , respectively, for all measurements in a stream of dry  $\text{N}_2$ .



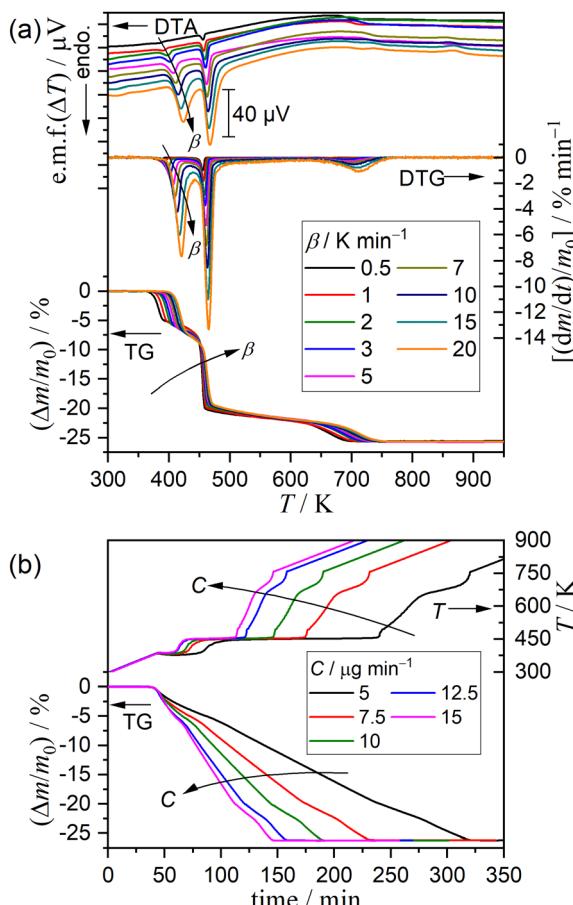


Fig. 3 TG–DTG–DTA or TG–temperature profile curves recorded during heating of DCPD sample ( $63\text{--}75\ \mu\text{m}$ ) in a stream of dry  $\text{N}_2$  with different temperature program modes: (a) linear nonisothermal mode at different  $\beta$  values ( $m_0 = 5.00 \pm 0.03\ \text{mg}$ ) and (b) CTRA mode at different  $C$  values ( $m_0 = 5.00 \pm 0.04\ \text{mg}$ ).

Fig. 3 shows the TG–DTG–DTA curves under linear nonisothermal conditions at different  $\beta$  values and TG–temperature profile curves under CTRA conditions at different  $C$  values for the DCPD sample in a stream of dry  $\text{N}_2$ . Under linear nonisothermal conditions, the thermoanalytical curves for each reaction step systematically shifted to higher temperatures with increasing  $\beta$  (Fig. 3(a)). Notably, the mass loss value of the first step increased with increasing  $\beta$  value, which is a behavior similar to that observed for samples of different particle sizes exhibiting a systematic shift to higher temperature and increase in the mass loss value with increasing the particle size. Three mass loss steps were distinguished in the temperature profile curves under CTRA conditions (Fig. 3(b)), where the linear temperature rise was suppressed during individual mass loss steps to control the mass loss rate to be a constant value. The temperature profile in each mass loss step systematically shifted to higher temperatures with increasing  $C$  values. The TG–DTG curves recorded under linear nonisothermal and CTRA conditions were converted to kinetic data by calculating the degree of reaction ( $\alpha, (0, 1)$ ) based on TG with reference to the total mass loss value for the overall reaction including three

mass loss steps, and a series of data points (time ( $t$ ), temperature ( $T$ ),  $\alpha$ ,  $dx/dt$ ) were obtained from each TG curve.

The kinetic behavior of single-step thermal dehydration is typically described using the following equation:<sup>33,35,46–48</sup>

$$\frac{d\alpha}{dt} = A \exp\left(-\frac{E_a}{RT}\right) f(\alpha), \quad (3)$$

where  $A$ ,  $E_a$ ,  $R$ , and  $f(\alpha)$  denote the Arrhenius preexponential factor, apparent activation energy, gas constant, and kinetic model function, respectively. Taking logarithms, eqn (4) is obtained as follows:

$$\ln\left(\frac{d\alpha}{dt}\right) = \ln[Af(\alpha)] - \frac{E_a}{RT} \quad (4)$$

One finding of eqn (4) is the isoconversional kinetic relationship, *i.e.*, data points at a fixed  $\alpha$  extracted from kinetic data recorded under different heating conditions represent a linear relationship for  $\ln(dx/dt)$  versus  $T^{-1}$  plot with a slope of  $-E_a/R$  and an intercept of  $\ln[Af(\alpha)]$  (Friedman plot<sup>49</sup>). The isoconversional kinetic relationship was applied to the overall thermal dehydration of DCPD to monitor the variation in the kinetic behavior as the reaction step progressed with the partially overlapping multistep reaction scheme. Fig. 4 shows the results of the isoconversional kinetic analysis. A statically significant linear relation of the Friedman plot was observed in specific  $\alpha$  regions corresponding to three mass loss steps (Fig. 4(a)), *i.e.*,  $0.01 \leq \alpha \leq 0.15$ ,  $0.40 \leq \alpha \leq 0.70$ , and  $0.90 \leq \alpha \leq 0.99$ . The  $E_a$  value calculated from the slope of the Friedman plot exhibited approximately constant values in these  $\alpha$  regions (regions (1), (2), and (3) indicated in Fig. 4(b)). The average  $E_a$  values in the individual mass loss steps were  $141 \pm 7$ ,  $724 \pm 80$ , and  $269 \pm 18\ \text{kJ mol}^{-1}$ , respectively. Although the mass loss also progressed in the  $\alpha$  regions between the major mass loss steps, the isoconversional kinetic relation was not established in the intermediate  $\alpha$  region, leading to superficial  $E_a$  even exhibiting negative values. One probable reason for the superficial kinetic results is the overlapping of two mass loss steps in the  $\alpha$  regions between the major mass loss steps. Furthermore, the change in the  $\alpha$  regions of the first and second mass loss steps depending on the heating conditions was evident by comparing the  $dx/dt$  versus  $\alpha$  plots of different kinetic data (Fig. 4(b)), which is the direct cause of the superficial negative  $E_a$  value obtained in the  $\alpha$  region between the first and second mass loss steps.

Based on the preliminary isoconversional approach, five distinguishable steps of thermal dehydration were identified including the three major mass loss steps and two intermediate steps between them. When an independent parallel process was assumed, the overall process is described using a cumulative kinetic equation:<sup>33–35,50,51</sup>

$$\frac{d\alpha}{dt} = \sum_{i=1}^N c_i A_i \exp\left(-\frac{E_{a,i}}{RT}\right) f_i(\alpha_i) \quad (5)$$

with  $\sum_{i=1}^N c_i = 1$  and  $\sum_{i=1}^N c_i \alpha_i = \alpha$ ,

where  $c$  represents the contribution of each step, and the

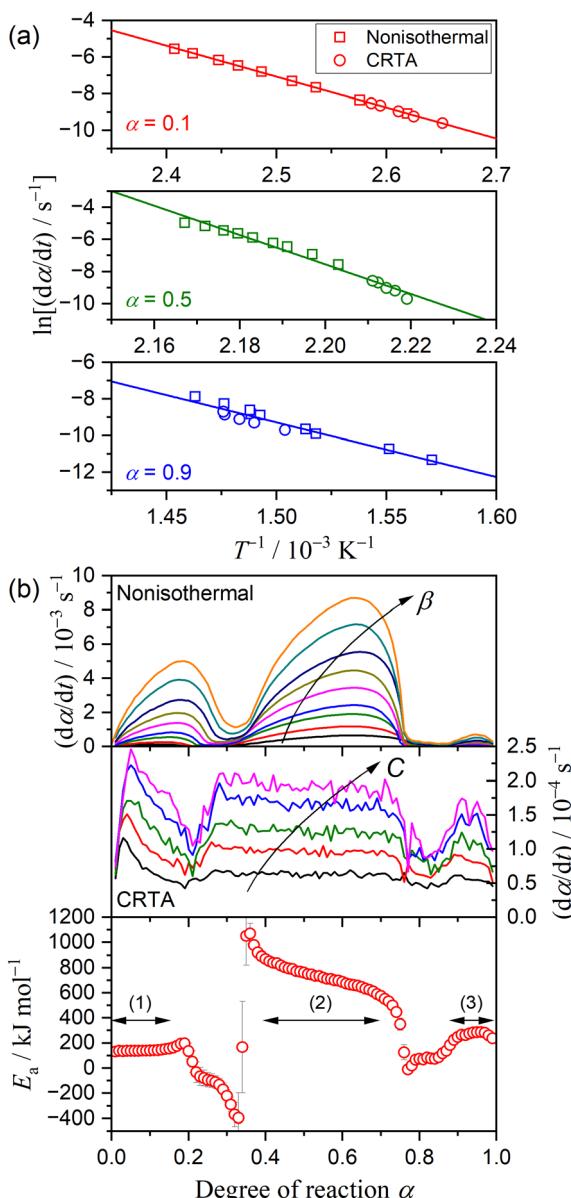


Fig. 4 Results of isoconversional kinetic analysis for the overall thermal dehydration of DCPD (63–75  $\mu\text{m}$ ) in a stream of dry  $\text{N}_2$ : (a) Friedman plot at different  $\alpha$  values, and (b) changes in  $(\text{d}\alpha/\text{d}t)$  as the reaction advances under linear nonisothermal and CRTA conditions, and  $E_a$  values at different  $\alpha$ .

subscript  $i$  identifies the component reaction step.  $N$  represents the total number of reaction steps, *i.e.*,  $N = 5$ . Therefore, the major mass loss steps are described by  $i = 1, 3$ , and  $5$ , whereas the intermediate steps are described by  $i = 2$  and  $4$ . In this expression, each step is described by constant  $A_i$  and  $E_{a,i}$  values, and any deviation of the kinetic behavior from the ideal kinetic equation is accommodated by  $f_i(\alpha_i)$ . Therefore, an empirical kinetic model function with high flexibility is preferable for  $f_i(\alpha_i)$  to accurately extract the kinetic behavior of each reaction step. One such kinetic model function is the Šesták–Berggren model with three kinetic exponents, *i.e.*, SB( $m, n, p$ ):<sup>52–54</sup>

$$f(\alpha) = \alpha^m(1 - \alpha)^n[-\ln(1 - \alpha)]^p \quad (6)$$

In this kinetic approach called kinetic deconvolution analysis (KDA),<sup>33–35,50,51</sup> 30 kinetic parameters should be simultaneously optimized by nonlinear least squares analysis, which is a highly mathematical procedure associated with the risk of obtaining apparent kinetic parameters only satisfying empirical curve fitting.

A possible way to avoid the risk is to have suitable initial values for each kinetic parameter. A mathematical peak separation of DTG curves using a statistical function  $F_i(t)$  and subsequent formal kinetic analysis of the separated curves as a single-step process is one of the possible ways to evaluate the reliable initial values for KDA. The procedure called mathematical deconvolution analysis (MDA) is based on the following equation:<sup>33,34,51,55,56</sup>

$$\frac{\text{d}m}{\text{d}t} = \sum_{i=1}^N F_i(t) \quad (7)$$

After examining MDA using various  $F_i(t)$  functions, the log-normal 4-parameter function (eqn (S1) in ESI†) was adopted as a possible  $F_i(t)$  function to satisfactorily fit the DTG curves. The  $c_i$  value of each reaction step was determined by the area ratio of the separated DTG peaks, and the series of separated DTG peaks of the selected reaction step at different  $\beta$  values were analyzed using the Friedman plot and master plot method<sup>57–62</sup> based on Ozawa's generalized time concept.<sup>63,64</sup> The details of MDA and subsequent formal kinetic analysis are presented in ESI† (Fig. S8–S12 and Table S4). After the initial values of all kinetic parameters (Table S4, ESI†) were introduced into eqn (5) accompanied by eqn (6), all kinetic parameters were simultaneously optimized *via* KDA. Notably, systematic variations in  $c_i$  values with  $\beta$  were observed while evaluating the initial values; therefore, each kinetic curve at a particular  $\beta$  was analyzed to minimize the squares sum of the residue according to the generalized reduced gradient method.

$$F = \sum_{j=1}^M \left[ \left( \frac{\text{d}\alpha}{\text{d}t} \right)_{\text{exp},j} - \left( \frac{\text{d}\alpha}{\text{d}t} \right)_{\text{cal},j} \right]^2, \quad (8)$$

where  $M$  represents the total number of data points in each kinetic curve.

Fig. 5 shows typical results of KDA for thermal dehydration in a stream of dry  $\text{N}_2$ . All kinetic curves for the overall process were nearly perfectly fitted by optimizing the kinetic parameters of individual reaction steps with the determination coefficient ( $R^2$ ) greater than 0.99, irrespective of the  $\beta$  value (Fig. 5(a)). Note that  $c_i$  values varied with  $\beta$  values, as shown in Fig. 6. The mass loss ratio of thermal dehydration of DCPD to form DCPA (eqn (1)) and of DCPA to form  $\gamma$ -CPP (eqn (2)) is ideally characterized by 4 : 1. The 80% conversion corresponding to the end of the thermal dehydration of DCPD to form DCPA was positioned midway through the fourth reaction step, indicating that the total contributions of these two reactions were invariant irrespective of  $\beta$ . The variation in  $c_i$  values was specifically observed for the reaction steps of the thermal dehydration of DCPD to form DCPA, *i.e.*, the first to third reaction steps, in which the compensative increase and



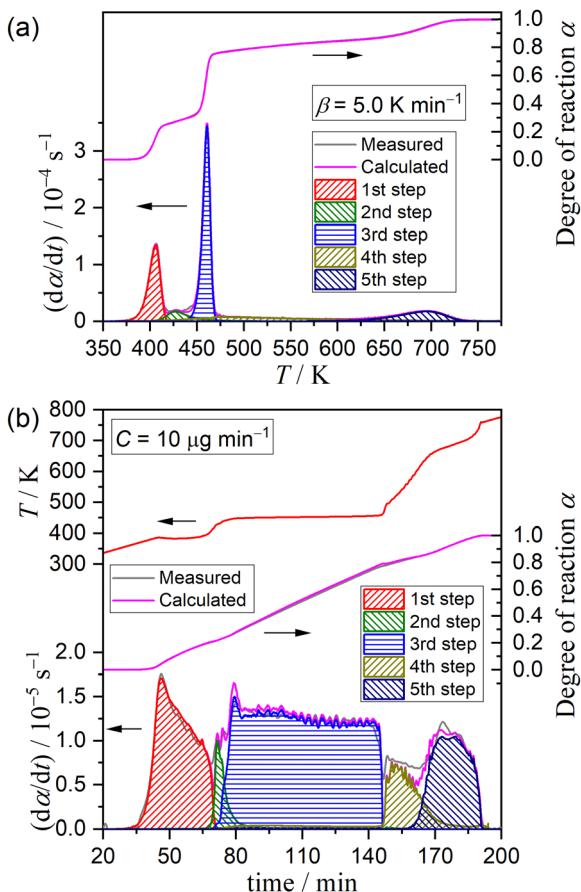


Fig. 5 Typical fitting results of KDA for the partially overlapping five-step mass loss process of the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ) in a stream of dry  $\text{N}_2$  under different heating program modes: (a) linear nonisothermal at  $\beta = 5 \text{ K min}^{-1}$  and (b) CRTA at  $C = 10 \mu\text{g min}^{-1}$ .

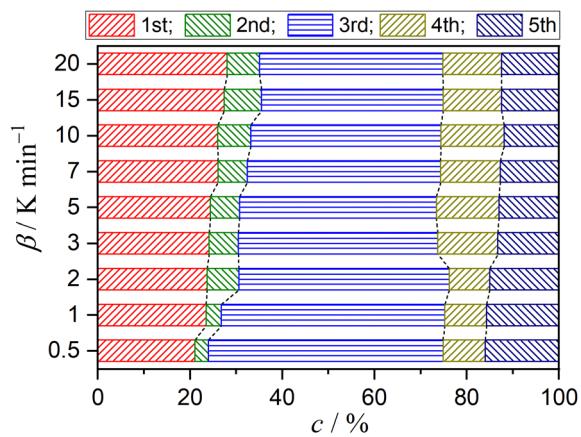


Fig. 6 Variation in the contributions of component mass loss steps with  $\beta$  for the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ) in a stream of dry  $\text{N}_2$ .

decrease with increasing  $\beta$  value were observed in  $c_i$  values for the first and third steps, respectively. In addition, a slight but detectable increase in the  $c_i$  value for the second step was observed and was accompanied by variations in the first and

third steps with increasing  $\beta$ . Regardless of the variations in  $c_i$  values with  $\beta$ , the other kinetic parameters were invariant irrespective of  $\beta$ . Table 1 summarizes the optimized kinetic parameters averaged over different  $\beta$  values. When the optimized kinetic parameters for the reaction under linear nonisothermal conditions are used as the initial values, the overall process under CRTA conditions was also subjected to KDA (Fig. 5(b)). Notably, the variation in  $c_i$  was not observed for the reactions under CRTA conditions because the temperature change during the individual steps was reduced to keep the mass loss rate constant. The optimized kinetic parameters for the reactions under CRTA conditions were practically identical to those under linear nonisothermal conditions (Table 1).

### 3.3 Multistep thermal dehydration of DCPD in a stream of $\text{N}_2\text{-H}_2\text{O}$ mixed gas

Fig. 7 shows the TG-DTG-DTA curves for the thermal dehydration of DCPD recorded at a fixed  $\beta$  of  $5 \text{ K min}^{-1}$  in a stream of  $\text{N}_2\text{-H}_2\text{O}$  mixed gas characterized by different  $p(\text{H}_2\text{O})$  values. The overall mass loss value was practically identical irrespective of the  $p(\text{H}_2\text{O})$  value (Fig. 7(a)). Systematic variations with increasing  $p(\text{H}_2\text{O})$  value were observed for the thermal dehydration of DCPD to form DCPA (Fig. 7(b)), which was characterized by (1) a systematic shift of the first mass loss step to the higher temperatures, (2) a systematic increase in the mass loss value of the second mass loss step, and (3) a systematic decrease in the mass loss value of the third mass loss step. Conversely, no distinguishable variations were observed for the fifth mass loss step attributed to the thermal dehydration of DCPA to form  $\gamma$ -CPP (Fig. 7(a)).

Selecting seven different  $p(\text{H}_2\text{O})$  values, TG-DTG-DTA curves were recorded at different  $\beta$  values, as typically shown as a series at  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$  in Fig. 8. The other series of TG-DTG-DTA curves at different  $\beta$  values at  $p(\text{H}_2\text{O}) = 1.2, 2.9, 6.3, 8.1, 10.2$ , and  $15.8 \text{ kPa}$  are depicted in Fig. S13 (ESI†). An ideal shift of thermoanalytical curves to higher temperatures with increasing  $\beta$  was observed for the first and fifth mass loss steps irrespective of the  $p(\text{H}_2\text{O})$  value. A systematic increase in the mass loss value of the first step accompanied by the compensative decrease in that of the third step by increasing  $\beta$  was observed at lower  $p(\text{H}_2\text{O})$  values of  $1.2$  and  $2.9 \text{ kPa}$  (Fig. S13(a) and (b), ESI†), as observed for the reaction in a stream of dry  $\text{N}_2$  (Fig. 3(a)). Notably, this trend was reversed at the higher  $p(\text{H}_2\text{O})$  values, i.e.,  $6.3, 8.1, 10.2, 12.6$ , and  $15.8 \text{ kPa}$  (Fig. 8 and Fig. S13(c)–(f), ESI†), accompanied by the increase in the mass loss value of the second mass loss step with increasing  $p(\text{H}_2\text{O})$  value. All series of TG-DTG curves at different  $\beta$  values under individual atmospheric  $p(\text{H}_2\text{O})$  conditions were subjected to kinetic analysis through MDA and KDA, as is the case for the reaction in a stream of dry  $\text{N}_2$ . The kinetic calculations of MDA are detailed in ESI† (Section S4: Fig. S14–S48 and Tables S5–S11).

Fig. 9 shows the results of KDA for the reaction at  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$ , whereas those for the reactions at the other  $p(\text{H}_2\text{O})$  values are shown in Fig. S49–S54 (ESI†). Irrespective of  $p(\text{H}_2\text{O})$  and  $\beta$  values, the multistep dehydration process was nearly

**Table 1** Optimized kinetic parameters for each reaction step of the partially overlapping five-step mass loss process of the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ) under different heating program modes in a stream of dry  $\text{N}_2$ , averaged over different heating parameters for each mode

Condition	$i$	$c_i$	$E_{a,i}/\text{kJ mol}^{-1}$	$A_i/\text{s}^{-1}$	SB( $m_i, n_i, p_i$ )			$R^2$ <sup>a</sup>
					$m_i$	$n_i$	$p_i$	
Linear nonisothermal	1	$0.75 \pm 0.01^b$	$121.3 \pm 0.2$	$(5.15 \pm 0.06) \times 10^{13}$	$-1.16 \pm 0.01$	$1.43 \pm 0.02$	$1.59 \pm 0.03$	$0.9982 \pm 0.0013$
	2	$153.1 \pm 4.3$	$(5.29 \pm 0.02) \times 10^{16}$	$2.36 \pm 0.08$	$1.89 \pm 0.14$	$-1.87 \pm 0.07$		
	3	$582.2 \pm 0.9$	$(1.43 \pm 0.15) \times 10^{64}$	$-4.75 \pm 0.26$	$2.68 \pm 0.13$	$4.51 \pm 0.34$		
	4	$0.12 \pm 0.03$	$157.3 \pm 3.0$	$(2.27 \pm 0.03) \times 10^{14}$	$21.1 \pm 0.46$	$-3.40 \pm 0.08$	$-22.0 \pm 0.25$	
	5	$0.14 \pm 0.02$	$260.6 \pm 0.6$	$(1.04 \pm 0.01) \times 10^{17}$	$-0.92 \pm 0.02$	$1.16 \pm 0.02$	$0.18 \pm 0.01$	
CRTA	1	$0.20 \pm 0.02$	$121.2 \pm 0.1$	$(5.14 \pm 0.01) \times 10^{13}$	$-1.13 \pm 0.01$	$1.53 \pm 0.01$	$1.59 \pm 0.01$	$0.9874 \pm 0.0027$
	2	$0.03 \pm 0.01$	$153.1 \pm 1.7$	$(5.28 \pm 0.01) \times 10^{16}$	$2.35 \pm 0.01$	$1.89 \pm 0.01$	$-1.87 \pm 0.01$	
	3	$0.52 \pm 0.02$	$587.4 \pm 0.9$	$(1.32 \pm 0.01) \times 10^{64}$	$-2.04 \pm 0.03$	$0.80 \pm 0.01$	$1.24 \pm 0.02$	
	4	$0.09 \pm 0.01$	$159.0 \pm 0.5$	$(2.27 \pm 0.01) \times 10^{14}$	$21.7 \pm 0.05$	$-3.39 \pm 0.01$	$-21.5 \pm 0.07$	
	5	$0.15 \pm 0.01$	$262.4 \pm 0.3$	$(1.04 \pm 0.01) \times 10^{17}$	$-0.92 \pm 0.01$	$1.17 \pm 0.01$	$0.18 \pm 0.01$	

<sup>a</sup> Determination coefficient of the nonlinear least-squares analysis of the KDA. <sup>b</sup>  $c_1 + c_2 + c_3$ .

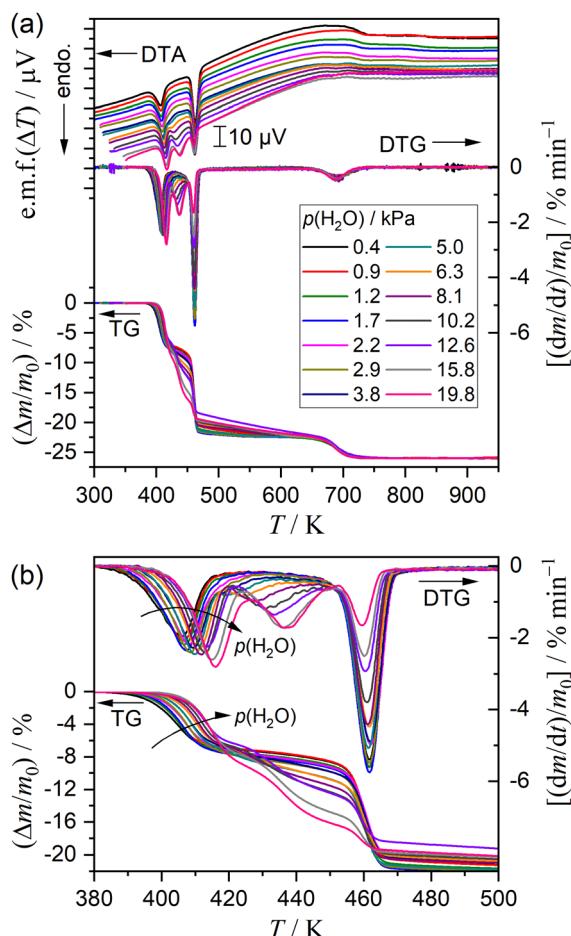


Fig. 7 (a) TG–DTG–DTA curves for the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ;  $m_0 = 5.00 \pm 0.03 \text{ mg}$ ) at a  $\beta$  of 5  $\text{K min}^{-1}$  and (b) a view focused on the thermal dehydration of DCPD to form DCPA.

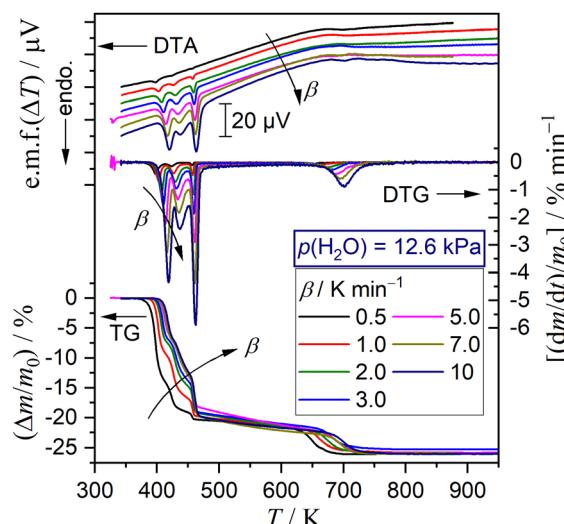


Fig. 8 TG–DTG–DTA curves for the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ;  $m_0 = 5.03 \pm 0.07 \text{ mg}$ ) at different  $\beta$  values in a stream of  $\text{N}_2$ – $\text{H}_2\text{O}$  mixed gas ( $q_v = 200 \text{ cm}^3 \text{ min}^{-1}$ ) with  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$ .

perfectly fitted with the cumulative kinetic equation (eqn (5)) as five-step process with  $R^2$  being greater than 0.99 (Fig. 9(a) and Fig. S49(a)–S54(a), ESI<sup>†</sup>). Table 2 lists the optimized kinetic parameters for the reactions at  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$ , whereas

those for the reactions at the other  $p(\text{H}_2\text{O})$  values are summarized in Tables S12–S17 (ESI<sup>†</sup>). The variations in the optimized  $c_i$  values with  $\beta$  are illustrated in Fig. 9(b) and Fig. S49(b)–S54(b) (ESI<sup>†</sup>). The border between the thermal dehydration of DCPD to form DCPA and of DCPA to form  $\gamma$ -CPP was positioned midway through the fourth step at all  $p(\text{H}_2\text{O})$  and  $\beta$  values, as was the case of the reaction in a stream of dry  $\text{N}_2$ . The variation in  $c_i$  values with  $\beta$  was observed for the reaction steps attributed to the thermal dehydration of DCPD to form DCPA. The increase in the  $c_1$  value with increasing  $\beta$  and the compensative decrease in the  $c_3$  value observed for the reactions in a stream of dry  $\text{N}_2$  were maintained for the reactions at  $p(\text{H}_2\text{O}) = 1.2 \text{ kPa}$  (Fig. S49(b), ESI<sup>†</sup>). However, at  $p(\text{H}_2\text{O}) = 2.9 \text{ kPa}$ , no significant changes in all  $c_i$  values with  $\beta$  were observed (Fig. S50(b), ESI<sup>†</sup>). Meanwhile, at  $p(\text{H}_2\text{O})$  values greater than 2.9 kPa, the reverse phenomena of decreasing  $c_1$  and increasing  $c_3$  values with increasing  $\beta$  were evident (Fig. 9(b) and Fig. S51(b)–S54(b),

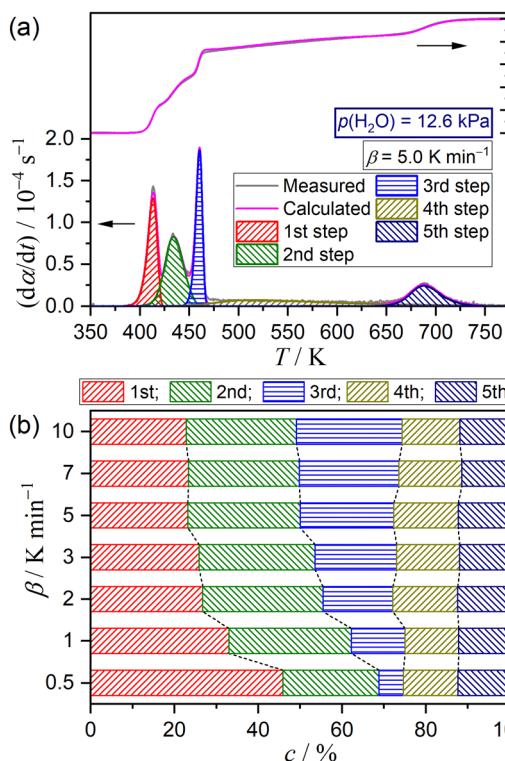


Fig. 9 Results of KDA for the multistep thermal dehydration of DCPD ( $63\text{--}75 \mu\text{m}$ ) in a stream of  $\text{N}_2\text{--H}_2\text{O}$  mixed gas with  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$ : (a) typical fitting results for the overall reaction under linear nonisothermal conditions at a  $\beta$  of  $5 \text{ K min}^{-1}$  and (b) contributions of individual steps at various  $\beta$  values.

ESI†). Despite the variations in  $c_i$  values depending on  $\beta$ , the Arrhenius parameters, *i.e.*,  $A_i$  and  $E_{a,i}$ , and kinetic exponents in  $\text{SB}(m_i, n_i, p_i)$  were practically invariant as expected from the standard deviation of the individual kinetic parameters averaged over different  $\beta$  values.

Variations in the contributions of individual steps, obtained from KDA, were rearranged as a function of  $p(\text{H}_2\text{O})$  at a fixed  $\beta$  value, as depicted in Fig. 10 for the reactions at a  $\beta$  value of  $5 \text{ K min}^{-1}$ . The same comparison at different  $\beta$  values is presented in Fig. S55–S60 (ESI†). At all  $\beta$  values, a systematic increase in the contribution of the second step accompanied by a systematic decrease in the contribution of the third step was observed with increasing  $p(\text{H}_2\text{O})$  value. The systematicity of the variations in the initial three steps, corresponding to the

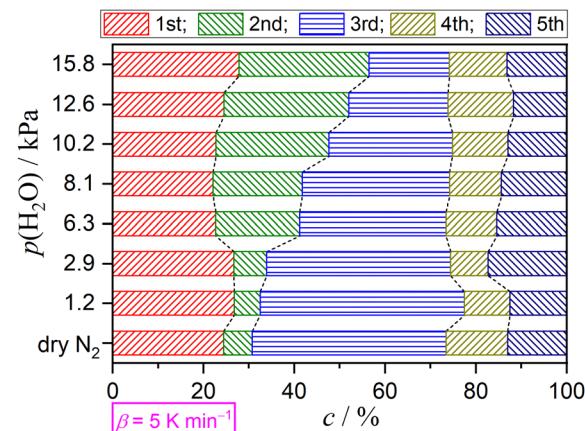


Fig. 10 Variations in the contributions of component steps with  $p(\text{H}_2\text{O})$  value at a  $\beta$  value of  $5 \text{ K min}^{-1}$ .

thermal dehydration of DCPD to form DCPA, was more clearly observed by focusing on the increase in the sum of the contributions of the first and second steps *versus* the decrease in the contribution of the third step. Notably, the contributions of the fourth and fifth steps were practically invariant irrespective of the  $p(\text{H}_2\text{O})$  value.

### 3.4 Multistep kinetics of the thermal dehydration of DCPD to form DCPA

Based on the results of formal kinetic analysis for the overall multistep process of the thermal dehydration of DCPD to form  $\gamma$ -CPP, the thermal dehydration of DCPD to form DCPA comprises three reaction steps. The kinetic behavior is characterized by the variations in the contributions of each reaction step depending on  $\beta$  and  $p(\text{H}_2\text{O})$  values. The kinetic and mechanistic interpretations of the process require additional information about different physico-chemical and morphological views.

Fig. 11 shows the HTXRD results of the DCPD sample during stepwise isothermal heating in the temperature range for the thermal dehydration of DCPD to form DCPA (373–523 K) in a stream of dry  $\text{N}_2$ . The intensity of diffraction peaks attributed to DCPD gradually decreased as the temperature increased and completely disappeared in the temperature range of 473–483 K (Fig. 11(a)). Conversely, the diffraction peaks attributed to DCPA gradually grew, and the XRD pattern changed to that of the single DCPA phase at 483 K. However, the variations in the intensity of the diffraction peaks attributed to DCPD and DCPA

Table 2 Optimized kinetic parameters for each reaction step of the partially overlapping five-step mass loss process of the thermal dehydration of DCPD ( $63\text{--}75 \mu\text{m}$ ) in a stream of  $\text{N}_2\text{--H}_2\text{O}$  mixed gas with  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$ , averaged over different  $\beta$  values

$i$	$c_i$	$E_{a,i}/\text{kJ mol}^{-1}$	$A_i/\text{s}^{-1}$	$\text{SB}(m_i, n_i, p_i)$			$R^2 a$
				$m_i$	$n_i$	$p_i$	
1	$0.74 \pm 0.02^b$	$191.3 \pm 0.3$	$(2.16 \pm 0.07) \times 10^{22}$	$-0.43 \pm 0.02$	$1.16 \pm 0.10$	$0.83 \pm 0.04$	$0.9940 \pm 0.0057$
2		$250.3 \pm 0.3$	$(1.80 \pm 0.01) \times 10^{28}$	$3.70 \pm 0.13$	$0.39 \pm 0.01$	$-3.57 \pm 0.08$	
3		$886.4 \pm 0.6$	$(8.25 \pm 0.28) \times 10^{98}$	$-2.24 \pm 0.14$	$1.90 \pm 0.07$	$1.92 \pm 0.17$	
4	$0.14 \pm 0.02$	$172.7 \pm 10.4$	$(4.27 \pm 0.02) \times 10^{14}$	$21.4 \pm 0.80$	$-3.14 \pm 0.38$	$-22.6 \pm 0.59$	
5	$0.12 \pm 0.01$	$238.3 \pm 1.0$	$(1.23 \pm 0.01) \times 10^{16}$	$2.98 \pm 0.16$	$0.66 \pm 0.06$	$-2.51 \pm 0.15$	

<sup>a</sup> Determination coefficient of the nonlinear least-squares analysis of the KDA. <sup>b</sup>  $c_1 + c_2 + c_3$ .

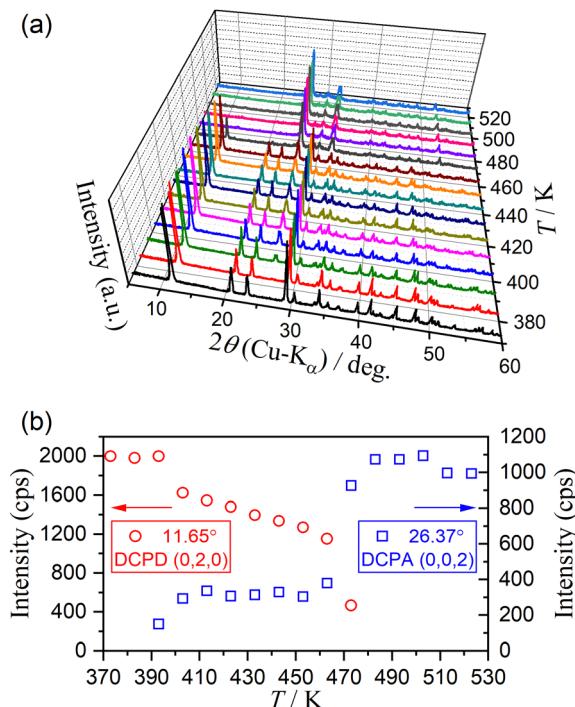


Fig. 11 Change in the XRD pattern of DCPD (63–75  $\mu\text{m}$ ) during the stepwise isothermal heating in a stream of dry  $\text{N}_2$ : (a) XRD patterns at different temperatures, and (b) variation in the intensity of selected diffraction peaks of DCPD at (0, 2, 0) and DCPA at (0, 0, 2).

with temperature did not exhibit smooth traces (Fig. 11(b)). In the initial stage of the reaction between 383 K and 413 K, a decrease in the peak intensity of DCPD and an increase in that of DCPA were observed, corresponding to the first mass loss step. Afterward, the intensity of DCPD linearly decreased with increasing temperature between 413 K and 453 K, whereas the peak intensity of DCPA remained almost constant. Therefore, during the second mass loss step with a limited contribution in a stream of dry  $\text{N}_2$ , the destruction of the DCPD crystal structure continues, but the formation of the crystalline DCPA phase is restricted, indicating the possible formation of an amorphous phase. The formation of an amorphous phase during the thermal dehydration of DCPD to form DCPA has been reported by Dosen and Giese based on detailed XRD pattern analysis.<sup>12</sup> On further heating, the diffraction peaks of DCPD disappeared between 453 K and 483 K, which is compensated by the increase in the diffraction peaks of DCPA. Thus, the rapid mass loss observed at the third mass loss step is accompanied by the rapid crystallographic change from DCPD to DCPA. Notably, it was reported that an amorphous DCPA phase also forms at the third mass loss step, which contains free water molecules trapped in the highly disordered DCPA structure.<sup>12</sup>

Fig. 12 shows the surface morphology of sample particles treated by heating to different temperatures within the temperature range of the thermal dehydration of DCPD to form DCPA at a  $\beta$  of 5 K min<sup>-1</sup> in a stream of dry  $\text{N}_2$  (Fig. 12(A)) and  $\text{N}_2\text{-H}_2\text{O}$  mixed gas with  $p(\text{H}_2\text{O}) = 12.6$  kPa (Fig. 12(B)). In a

stream of dry  $\text{N}_2$ , many voids appeared on the flat surface of the plate-like particles during the first mass loss step, which align to the particular direction (Fig. 12(A)-a). Besides, no detectable changes were detected on the edge surface. Therefore, the thermal dehydration of DCPD was initiated on the flat surface, and the voids were formed by the volume shrinkage of the surface product layer. At the end of the first mass loss step (Fig. 12(A)-b), the flat surface was reconstructed by repairing the voids. At this stage, all flat surfaces were expected to be covered with the surface product layer, which disturbs the diffusional removal of water vapor produced by the reaction inside the particles and retards the mass loss rate. During the second mass loss step (Fig. 12(A)-c), voids were reproduced on the flat surfaces. Thus, the thermal dehydration during the second mass loss step seems to occur slowly accompanied by water vapor diffusion *via* the voids as the diffusion channel. The third mass loss step characterized by a rapid mass loss was accompanied by the cleavage of plate-like particles into slices (Fig. 12(A)-d). This phenomenon could have resulted from the increase in the internal pressure of the particles due to water vapor generated by thermal dehydration. Thus, the third mass loss stage is characterized by the rapid release of water vapor trapped in the particles by the cleavage and subsequent thermal dehydration of the slices.

The pattern of the morphological changes during the thermal dehydration of DCPD to form DCPA in a stream of  $\text{N}_2\text{-H}_2\text{O}$  mixed gas (Fig. 12(B)) was practically the same as that in a stream of dry  $\text{N}_2$ : (1) the reaction of flat surfaces in the first mass loss step (Fig. 12(B)-a); (2) the reconstruction of the plate surface by the formation of the surface product layer at the end of first mass loss step (Fig. 12(B)-b); (3) the diffusional removal of water vapor produced inside the particles *via* the voids reproduced in the surface product layer during the second mass loss step (Fig. 12(B)-c); (4) the cleavage of the plate-like particles into slices accompanied by the escape of water vapor trapped in the particles (Fig. 12(B)-d). The increase in the contribution of the second mass loss step with increasing  $p(\text{H}_2\text{O})$  shows that the reproduction of voids in the surface product layer is enhanced by atmospheric water vapor. The surface product layer may be a construction comprising crystalline and amorphous DCPA. The enhancement of the crystallization of amorphous DCPA by atmospheric water vapor can be one possible cause of the reproduction.

It is evident from the present findings that the multistep thermal dehydration of DCPD to form DCPA is constrained by the physico-geometrical factors of the solid-state reaction. In addition, the variations in the kinetic behavior and contributions of individual mass loss steps should be interpreted by considering the formation of amorphous DCPA during thermal dehydration and its changes by the effects of  $\beta$  and  $p(\text{H}_2\text{O})$ . The formation ratio of amorphous DCPA against crystalline DCPA increases with decreasing  $\beta$ .<sup>12</sup> The increase in the contribution of the second mass loss step with increasing  $p(\text{H}_2\text{O})$  indicates the enhancement of the crystallization of amorphous DCPA.

For interpreting the kinetic behavior of individual mass loss steps of the thermal dehydration of DCPD to form DCPA, an



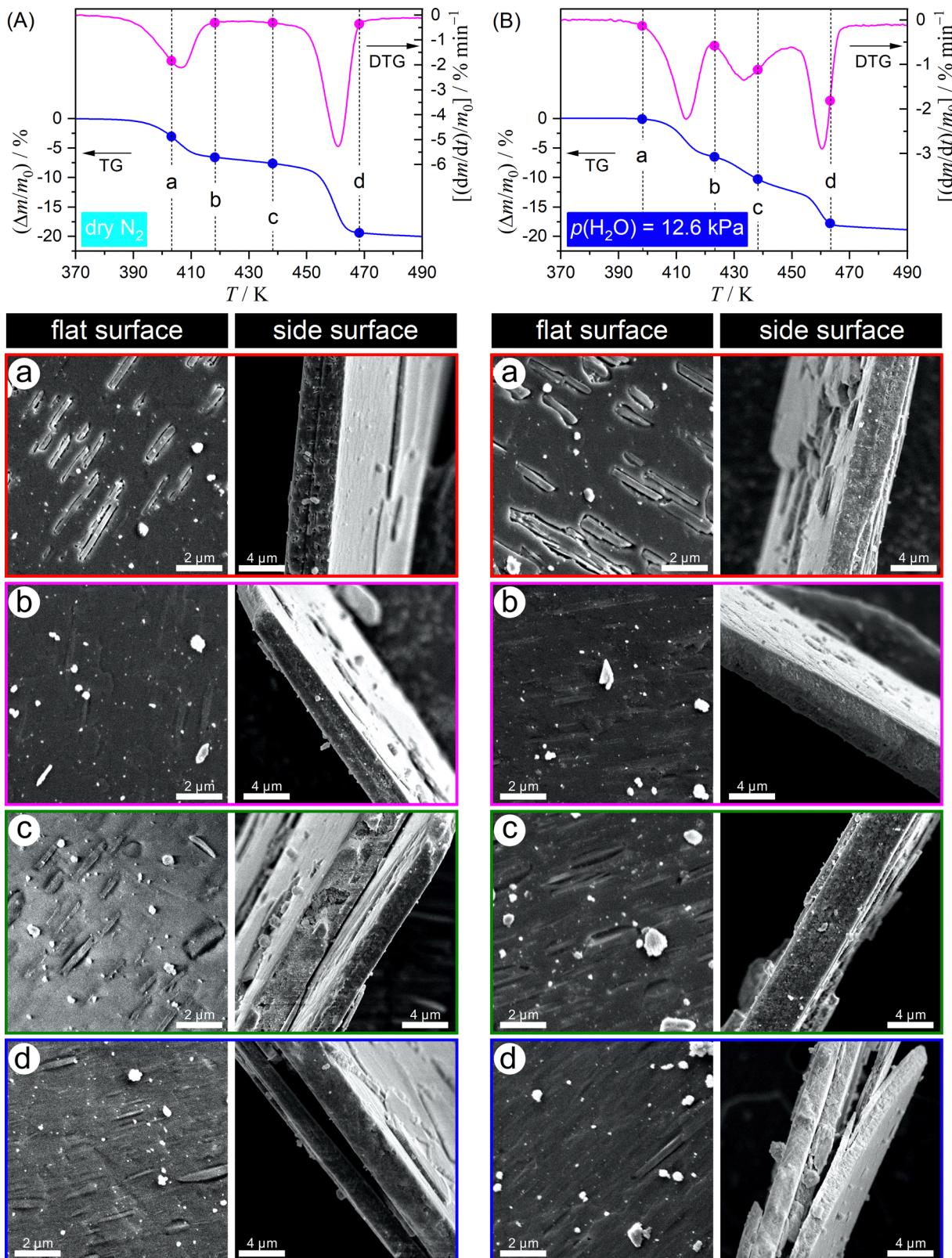


Fig. 12 Changes in the surface morphology of sample particles during the thermal dehydration of DCPD (63–75  $\mu\text{m}$ ) to form DCPA in a stream of dry  $\text{N}_2$  (A) and  $\text{N}_2\text{-H}_2\text{O}$  mixed gas with  $p(\text{H}_2\text{O}) = 12.6 \text{ kPa}$  (B): (A)-a: 403 K; (A)-b: 418 K; (A)-c: 438 K; (A)-d: 468 K; (B)-a: 398 K; (B)-b: 423 K; (B)-c: 438 K; (B)-d: 463 K.

experimental master plot of  $(d\alpha_i/d\theta_i)_{\alpha(i)}/(d\alpha_i/d\theta_i)_{0.5}$  versus  $\alpha_i^{57-62}$  was drawn for each mass loss step under individual atmospheric  $p(\text{H}_2\text{O})$  values by calculating  $d\alpha/d\theta$  value according to eqn (S2) in ESI<sup>†</sup> using the optimized kinetic exponents in SB( $m$ ,  $n$ ,  $p$ ) (Tables 1, 2, and S12–S17, ESI<sup>†</sup>), as depicted in Fig. 13. Irrespective of the atmospheric  $p(\text{H}_2\text{O})$  value, the experimental master plot for the first mass loss step, *i.e.*,  $(d\alpha_1/d\theta_1)_{\alpha(1)}/(d\alpha_1/d\theta_1)_{0.5}$  versus  $\alpha_1$ , exhibited a maximum rate midway through the first mass loss step (Fig. 13(a)). The process is explained by the reaction in the flat surface of the plate-like sample particles to form the surface product layer. Such a process can be described by a nucleation and growth-type model known as Johnson–Mehl–Avrami–Erofeev–Kolmogorov (JMA( $m$ )) equation:<sup>65–68</sup>

$$f(\alpha) = m(1 - \alpha)[- \ln(1 - \alpha)]^{1-1/m}, \quad (9)$$

where  $m$  is called the Avrami parameter. The nonlinear least-squares analysis for fitting the experimental master plot for the first mass loss step using the JMA( $m$ ) equation provided a statistically significant correlation with the determination coefficient ( $R^2$ ) greater than 0.99 irrespective of the  $p(\text{H}_2\text{O})$  value, from which the average kinetic exponent of  $m = 1.8 \pm 0.2$  was optimized. The model fitting indicates the instant nucleation and two-dimensional growth of nuclei as the possible mechanism of the surface reaction process (the first mass loss step). The apparent  $E_{a,1}$  and  $A_1$  values optimized for the first mass loss step *via* KDA (Tables 1, 2, and S12–S17, ESI<sup>†</sup>) were systematically increased with increasing  $p(\text{H}_2\text{O})$  value: ( $E_{a,1}/\text{kJ mol}^{-1}$ ,  $A_1/\text{s}^{-1}$ ) =  $(121.3 \pm 0.2, (5.15 \pm 0.06) \times 10^{13})$  in a stream of dry  $\text{N}_2$  and  $(190.3 \pm 0.4, (1.47 \pm 0.12) \times 10^{22})$  at  $p(\text{H}_2\text{O}) = 15.8 \text{ kPa}$ . The variations in the apparent Arrhenius parameters with  $p(\text{H}_2\text{O})$  value and the systematic shift of the thermoanalytical curves to higher temperature with increasing  $p(\text{H}_2\text{O})$  observed for the first reaction step indicate the kinetic features controlled by bimodal of temperature and  $p(\text{H}_2\text{O})$  values. The second mass loss step is characterized by the reproduction of a possible diffusion path on the flat surface covered by the product layer. The experimental master plot for the second mass loss process (Fig. 13(b)) exhibited a maximum rate at the early stage of the reaction and subsequent deceleration with a concave shape irrespective of atmospheric  $p(\text{H}_2\text{O})$ . Therefore, the second mass loss step is controlled by the diffusion of water vapor generated inside the particles through the regenerated diffusion channels in the surface product layer on the flat surface. The diffusion path in the surface product layer is inactivated by increasing the thickness of the layer as the reaction proceeds. The apparent  $E_{a,2}$  and  $A_2$  values (Tables 1, 2, and S12–S17, ESI<sup>†</sup>) also systematically increased with increasing  $p(\text{H}_2\text{O})$  value: ( $E_{a,2}/\text{kJ mol}^{-1}$ ,  $A_2/\text{s}^{-1}$ ) =  $(153.1 \pm 4.3, (5.29 \pm 0.02) \times 10^{16})$  in a stream of dry  $\text{N}_2$  and  $(250.8 \pm 0.4, (1.49 \pm 0.05) \times 10^{28})$  at  $p(\text{H}_2\text{O}) = 15.8 \text{ kPa}$ . The third mass loss step is triggered by the cleavage of the flat particles, and the subsequent mass loss process is controlled by diffusion, as expected from the deceleration behavior with a concave shape in the experimental master plots (Fig. 13(c)). Unrealistic large  $E_{a,3}$  and  $A_3$  values should be interpreted as superficial values

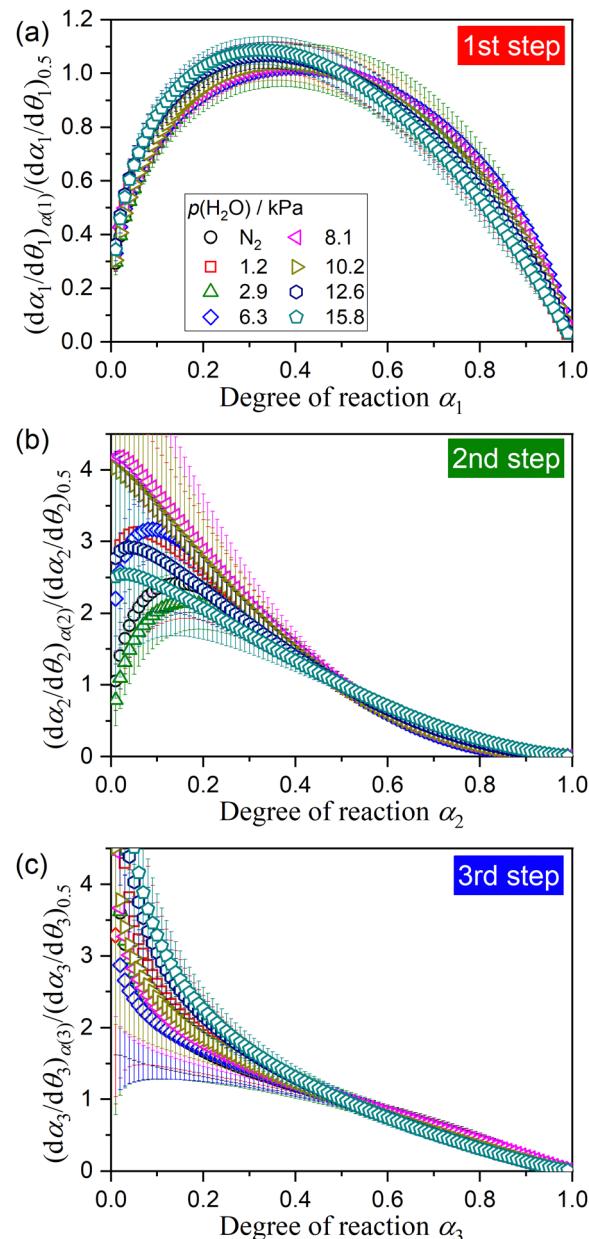


Fig. 13 Normalized experimental master plots for the individual mass loss steps of the thermal dehydration of DCPD ( $63\text{--}75 \mu\text{m}$ ) to form DCPA at various atmospheric  $p(\text{H}_2\text{O})$  values: (a) first, (b) second, and (c) third mass loss steps.

because the third mass loss step can not be described as an ideal chemical process.

### 3.5 Multistep kinetics of the thermal dehydration of DCPA to form $\gamma$ -CPP

The subsequent reaction of the thermal dehydration of DCPA to form  $\gamma$ -CPP is composed of two mass loss steps assigned as the fourth and fifth steps. Notably, the contributions of  $c_4$  and  $c_5$  were practically invariant irrespective of  $\beta$  and  $p(\text{H}_2\text{O})$  values. Fig. 14 depicts the change in the XRD pattern while heating the sample in the temperature range of the fourth and fifth mass



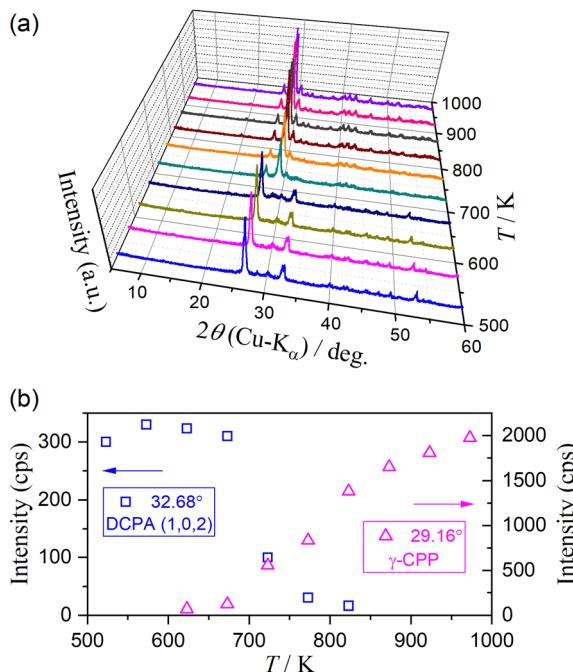


Fig. 14 Changes in XRD pattern while heating the sample in the temperature range of fourth and fifth mass loss steps: (a) XRD patterns at different temperatures and (b) changes in the peak intensity of DCPA and  $\gamma$ -CPP.

loss steps. The variation in the XRD pattern from DCPA to  $\gamma$ -CPP was observed in the temperature range of 573–873 K (Fig. 14(a)). The intensity of the XRD peak attributed to DCPA initially increased in the temperature range of the fourth mass loss step, indicating the crystallization of the amorphous portion of DCPA. Therefore, the fourth mass loss step includes the removal of water molecules, trapped in the amorphous DCPA during its crystallization, which is consistent with the previous observations (Fig. 6, 9(b) and S49(b)–S54(b), S57–S60, ESI<sup>†</sup>) of the positioning of the calculated mass loss value to form DCPA midway through the fourth mass loss step. The intensity of the XRD peak attributed to DCPA systematically decreased in the temperature range of the fifth mass loss step (Fig. 14(b)), which was accompanied by the increase in the intensity of the XRD peaks attributed to  $\gamma$ -CPP. The mass loss process was terminated at the temperature at which the XRD peaks of DCPA disappeared. However, the increase in the peak intensity of  $\gamma$ -CPP was continuously observed at higher temperatures after the mass loss process was completed, indicating the formation of a poorly crystalline CPP phase during the fifth mass loss step. Fig. S61 (ESI<sup>†</sup>) shows the experimental master plots for the fifth mass loss step at different  $p(\text{H}_2\text{O})$  values. At lower  $p(\text{H}_2\text{O})$  values ( $\leq 2.9$  kPa), the experimental master plots exhibited a deceleration process with a concave shape, which is described by a diffusion-controlled kinetic behavior. At higher  $p(\text{H}_2\text{O})$  values ( $\geq 6.3$  kPa), the initial acceleration stage appeared, and the maximum reaction rate was observed midway through the reaction at approximately  $\alpha_5 = 0.1$ . This indicates that the contribution of the surface reaction process

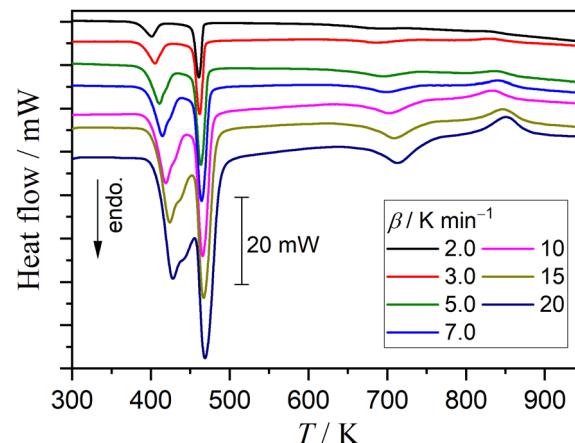


Fig. 15 DSC curves of DCPD sample ( $63\text{--}75\ \mu\text{m}$ ;  $m_0 = 10.01 \pm 0.02\ \text{mg}$ ) recorded at different  $\beta$  values in a stream of dry  $\text{N}_2$ .

to the overall dehydration in the fifth step becomes significant at higher  $p(\text{H}_2\text{O})$  values.

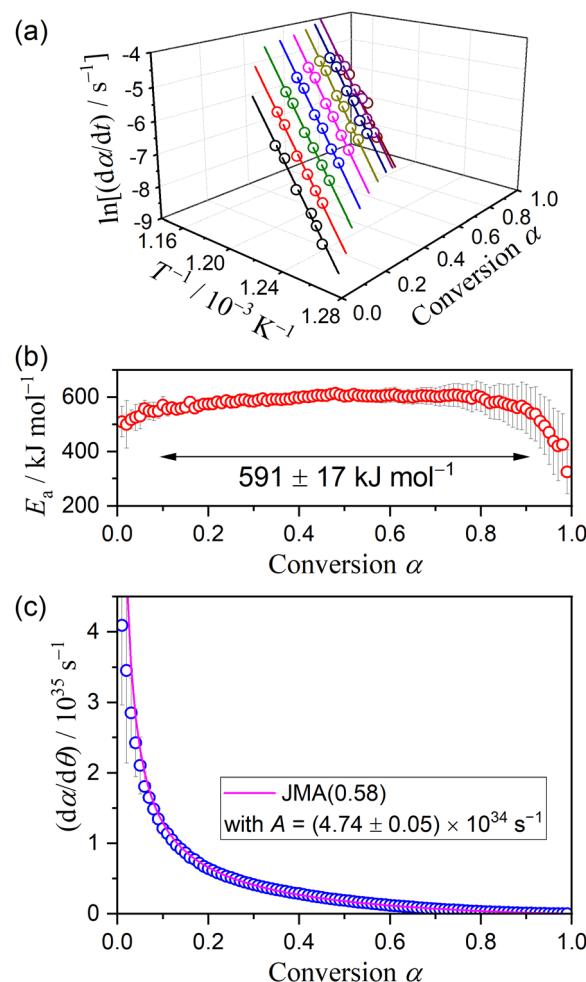


Fig. 16 Results of the formal kinetic analysis for the crystal growth of  $\gamma$ -CPP: (a) Friedman plot at various  $\alpha$ , (b)  $E_a$  values at different  $\alpha$ , and (c) experimental master plot and fit curve using JMA(0.58).

Fig. S62 (ESI†) shows the changes in the XRD pattern while heating the sample in the higher temperature range after the fifth mass loss step was completed. The intensity of XRD peaks attributed to  $\gamma$ -CPP continuously increased until the sample temperature reached 853 K, and this intensity was maintained at the higher temperatures. Fig. 15 shows the DSC curves of DCPD sample recorded at different  $\beta$  values in a stream of dry  $N_2$ . After the disappearance of the endothermic peak corresponding to the fifth mass loss step, a distinguishable exothermic peak attributed to the crystal growth of poorly crystalline  $\gamma$ -CPP produced by the fifth mass loss step was observed in the temperature range of 750–850 K, as reported by a previous study.<sup>2</sup> The enthalpy change during the crystal growth was invariant of  $\beta$  and determined to be  $20 \pm 1 \text{ kJ (mol } \gamma\text{-CPP)}^{-1}$ .

Fig. 16 shows the results of the formal kinetic analysis for the crystal growth of  $\gamma$ -CPP. The Friedman plot exhibited a statistically significant linear correlation irrespective of  $\alpha$  (Fig. 16(a)). The slope was approximately constant during the major part ( $0.1 \leq \alpha \leq 0.9$ ), yielding an average  $E_a$  value of  $591 \pm 17 \text{ kJ mol}^{-1}$  (Fig. 16(b)). The experimental master plot based on the single-step assumption exhibited a deceleration behavior with a significant concave shape as the crystal growth advanced (Fig. 16(c)). The experimental master plot was satisfactorily fitted using the JMA( $m$ ) equation with  $m = 0.58 \pm 0.01$  and  $A = (4.74 \pm 0.05) \times 10^{34} \text{ s}^{-1}$ , indicating one-dimensional growth of pre-existing nuclei controlled by diffusion.<sup>65–68</sup>

## 4. Conclusions

The thermal dehydration of DCPD with plate-like particle shape occurred in five mass loss steps to form  $\gamma$ -CPP *via* DCPA including two intermediate steps ( $i = 2$  and 4) positioned between three major steps ( $i = 1, 3$ , and 5). The first three mass loss steps were attributed to the thermal dehydration of crystalline water to form the anhydride (DCPA), whereas the fourth and fifth mass loss steps were mainly due to the dehydration of DCPA to form poorly crystalline  $\gamma$ -CPP. The respective contributions of the first three mass loss steps varied with  $\beta$  and atmospheric  $p(H_2O)$ , whereas the sum of the contributions of the first three mass loss steps remained nearly constant. The contribution of the first mass loss step increased with increasing  $\beta$  at lower  $p(H_2O)$  values ( $< 2.9 \text{ kPa}$ ), but the opposite trend was observed at higher  $p(H_2O)$  ( $> 2.9 \text{ kPa}$ ). Meanwhile, a systematic increase in the contribution of the second mass loss step was obvious with increasing atmospheric  $p(H_2O)$ . Despite the variations in the contributions of the first three mass loss steps with  $\beta$ , the kinetics of the individual mass loss steps were practically invariant. The first mass loss step occurred on the flat surface of DCPD particles by nucleation and growth, where the  $E_{a,1}$  value systematically increased from approximately 121 to  $190 \text{ kJ mol}^{-1}$  with increasing atmospheric  $p(H_2O)$  from a dry  $N_2$  stream to a mixed  $N_2$ - $H_2O$  gas stream with  $p(H_2O) = 15.8 \text{ kPa}$ . The second mass loss step was controlled by the diffusional removal of the evolved water vapor *via* voids produced on the flat surfaces of the sample particles, where the

void production was promoted by atmospheric  $p(H_2O)$ . The second mass loss step was characterized by  $E_{a,2}$  value increasing from 153 to  $251 \text{ kJ mol}^{-1}$  with increasing the atmospheric  $p(H_2O)$  from a dry  $N_2$  stream to a mixed  $N_2$ - $H_2O$  gas stream with  $p(H_2O) = 15.8 \text{ kPa}$ . The enhancement of the second mass loss step by atmospheric  $p(H_2O)$  was interpreted as being caused by the enhancement of the crystallization of the amorphous DCPA portion in the surface product layer. The third mass loss step characterized by the rapid process was accompanied by the cleavage of plate-like particles into slices. Therefore, the mass loss occurred by the rapid escape of water vapor trapped in the particles and the dehydration of the newly formed surfaces, which could not be described as an ideal chemical process and exhibited an unrealistic large  $E_{a,3}$  value. The fourth and fifth mass loss steps were attributed to the thermal dehydration of DCPA to form  $\gamma$ -CPP, which was not sensitively influenced by atmospheric  $p(H_2O)$ . Because the calculated mass loss value for the thermal dehydration of DCPD to form DCPA was observed midway through the fourth mass loss step, the dehydration of the trapped water vapor in the amorphous portion of DCPA was expected to partially contribute to the fourth mass loss step. The subsequent thermal dehydration of DCPA to form  $\gamma$ -CPP was observed as the fifth mass loss step. The thermal dehydration of DCPA was defined as a diffusion-controlled process. With increasing atmospheric  $p(H_2O)$ , the contribution of the surface reaction process in the initial stage of the fifth mass loss step became obvious, and the  $E_{a,5}$  value tended to decrease slightly. On further heating, the crystal growth of the poorly crystalline  $\gamma$ -CPP occurred with a unique exothermic effect, and the crystal growth process was described by the one-dimensional growth of pre-existing nuclei controlled by diffusion with an  $E_a$  value of approximately  $590 \text{ kJ mol}^{-1}$ . In conclusion, the multistep thermal dehydration of DCPD to form  $\gamma$ -CPP *via* DCPA is significantly controlled by the physico-geometrical constraints of the reaction, where the formation of amorphous DCPA intermediate and poorly crystalline  $\gamma$ -CPP and these crystallizations during the thermal dehydration process play an important role in regulating the physico-geometrical constraints.

## Conflicts of interest

There are no conflicts of interest to declare.

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