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1. Introduction

In recent years there has been a growing interest to interpret chemical reactivity by means of the analysis of the electron density as the only physical observable responsible for

A combined BET and IQA-REG study of the activation energy of non-polar zw-type [3+2] cycloaddition reactions[†]

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A combined Bonding Evolution Theory (BET) and Interacting Quantum Atoms-Relative Energy Gradient (IQA–REG) study is carried out on a non-polar zw-type [3+2] cycloaddition (32CA) reaction. BET is the joint use of Catastrophe Theory and the topology of the Electron Localization Function (ELF) to characterise molecular mechanisms, while IQA is a quantum topological energy partitioning method and REG is a method to compute chemical insight at atomistic level, usually in connection with energy. This 32CA reaction involves the simplest nitrone with ethylene and has been studied here at B3LYP/6-311G(d,p) level within the context of Molecular Electron Density Theory (MEDT), which is based on the idea that changes in electron density, and not molecular orbital interactions, are responsible for chemical reactivity. We aim to determine the origin of the high activation energy of 32CA reactions involving zwitterionic three-atom-components. The BET study and IQA–REG method are applied to the overall activation energy path. While BET suggests that the barrier is mainly associated with the rupture of the nitrone C=N double bond, IQA–REG indicates that it is mainly related to the rupture of the ethylene C=C double bond. The present study shows that activation energies can be accurately and easily described by IQA–REG, and its complementary use with BET helps achieving a more detailed description of molecular mechanisms.

chemical structure and properties. In this context, Domingo proposed in 2016 the molecular electron density theory $(MEDT)^1$ as a new theory, opposed to the widespread frontier molecular orbital (FMO) theory,² to explain organic chemical reactivity. According to MEDT it is the changes in electron density, and not molecular orbital interactions, that determine any chemical event. Therefore, any study of reactivity within the framework of MEDT demands the use of quantum chemical tools based on the analysis of density functions such as the electron localisation function $(ELF)^{3,4}$ or the electron density itself through the Quantum Theory of Atoms in Molecules (QTAIM).^{5,6}

MEDT has been extensively applied to the study of [3+2] cycloaddition (32CA) reactions.⁷⁻¹² The topological analysis of the ELF of the simplest three-atom-components (TACs), *i.e.* neutral species whose core framework consists of three contiguous heavy nuclei sharing an electron density corresponding to a total electron count of 8 electrons, allowed finding four different electronic structures, namely, pseudodiradical, pseudo(mono)radical, carbenoid and zwitterionic (see Fig. 1),¹³⁻¹⁶ respectively abbreviated as pdr, pmr, cb and zw. When the structures of TACs and their reactivity towards ethylene were compared, a very good structure-reactivity



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[†] Electronic supplementary information (ESI) available: Analysis of the potential energy surface associated with the 32CA reaction between nitrone 3 and ethylene 5. Table S2 with ELF data for the last structures of Phases I-III. Table S3 with relative weights and Pearson correlation coefficients R of the twelve fullpartitioned IQA terms with largest REG_i values along the activation IRC path associated with the zw-type 32CA reaction between nitrone 3 and ethylene 5. Table S4 with the twelve largest REG_i values and Pearson correlation coefficients R corresponding to the full-partitioned IQA terms including D3(BJ) dispersion corrections. Table S5 with the relative weights and Pearson correlation coefficients R for the five most relevant V_{inter}^{AB} energy terms coming from IQA partitioning along the activation IRC path of the studied reaction. Table S6 with relative weights and Pearson correlation coefficients R of the twelve full-partitioned IQA terms with largest REG_i values along Phases I-III of the activation IRC path of the case study reaction. Summary description of the Ramer-Douglas-Peucker algorithm used for point selection of the IOA-REG analyses. See DOI: https://doi.org/ 10.1039/d3cp00329a

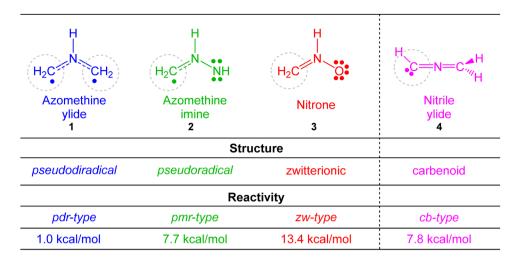


Fig. 1 Electronic structure of simplest TACs and proposed reactivity types in 32CA reactions. MPWB1K/6-311G(d) gas phase activation energies of the non-polar 32CA reactions between the four simplest TACs **1–4** and ethylene **5**, relative to the corresponding molecular complexes, are given in kcal mol^{-1,7}.

relationship was found, which allowed classifying 32CA reactions into the four corresponding pdr-, pmr-, cb-, and zw-type reactions,⁷ respectively (Fig. 1). The reactivity of TACs decreases in the order pseudodiradical > pseudo(mono)radical \geq carbenoid > zwitterionic, in such a way that, while pdr-type 32CA reactions take place very easily,¹³ zw-type reactions demand adequate nucleophilic/electrophilic activation of the reagents to take place.¹⁵ This classification was established depending not only on the ground state structure of the TAC but also on the reaction mechanism.

The bonding evolution theory (BET),¹⁷ which consists of the joint use of the topological analysis of the ELF³ and mathematical catastrophe theory¹⁸⁻²⁰ along the intrinsic reaction coordinate (IRC) path,²¹ is one of the most powerful methods to study molecular mechanisms. BET divides the IRC in a series of structural stability domains or phases separated by catastrophes, which are characterised by a change in the number and/or type of ELF valence basins in the structures along the reaction path. In this way, a chemical reaction is viewed as a sequence of phases connected by a catastrophe that can be related to chemical events such as bond forming or bond breaking processes, the creation or annihilation of lone pairs and other types of electron pair rearrangements. Moreover, BET enables the analysis of the variation of electron populations in regions of the topological space along the reaction path, thus allowing for a detailed description of bonding changes. As electron populations can be associated with Lewis' bonding model,²² BET constitutes an appealing way to represent molecular mechanisms with chemically meaningful drawings. BET has been applied to the study of a wide variety of organic chemical reactions,²³⁻²⁵ specifically cycloaddition reactions,26-28 providing accurate descriptions of their molecular mechanisms.

These BET mechanistic studies have allowed establishing two models for the formation of C–X (with X = C, O, N) single bonds, namely, the sharing model^{29,30} and the donating

model³⁰ (see Fig. 2). While the sharing model demands the homolytic rupture of multiple bonds to give rise to the creation of the pseudoradical centers³¹ that will form the new single bonds,³¹ the donation mechanism demands the initial depopulation of the β -conjugated carbon of a substituted ethylene.³⁰ This feature is only possible when strong electron-withdrawing groups, such as –CHO or –NO₂, are present at the α -position. The donating model is mainly observed in cb-type 32CA reactions involving the participation of carbenoid species^{32,33} and in polar reactions involving heteroatoms such as oxygen.^{15,33,34}

The sharing model accounts for the reactivity trend in many 32CA reactions.⁷ Considering that pseudoradical centers are required for C–C single bond formation,²⁹ pseudodiradical TACs are already prepared to react, while zwitterionic TACs need to break the corresponding C—X multiple bonds to create the necessary pseudoradical centers (see Fig. 3). These changes in zw-type 32CA reactions demand an additional energy cost,⁷ which has shown to be lowered by the polar character of the reaction associated with the favourable nucleophilic/electrophilic

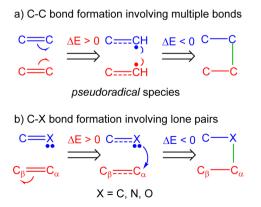
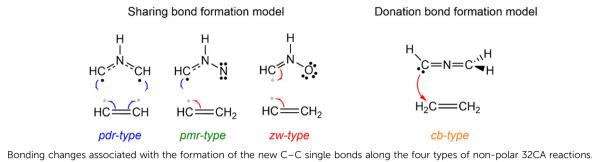


Fig. 2 (a) Sharing model and (b) donation model for the formation of C-X (X = C, N, O) single bonds. The X atom being a carbon in the donation model 'b' implies a carbonoid or carbanionic center.

Fig. 3

Non-polar 32CA reactions



interactions between the reagents at the transition state structures (TSs).^{35,36}

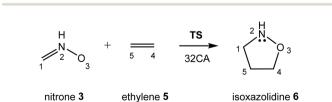
Nitrones are one of the most common zwitterionic TACs. Many BET studies^{15,37-43} of several inter- and intramolecular experimental 32CA reactions of substituted nitrones with ethylene derivatives of different nature have shown that the chemical events taking place in these zw-type 32CA reactions follow: (a) depopulation of the C=N double bond with formation of the carbon pseudoradical center and nitrogen nonbonding electron density region, (b) depopulation of the C=C double bond with formation of the corresponding pseudoradical centers, and (c) formation of the new C-C and C-O single bonds in different asynchronicity depending on the substituents and polar character of the 32CA reaction. Among these steps, the early depopulation of the C=N bond is usually the most drastic change in terms of population and total energy variations in the corresponding phase. Thus, the activation energies of zw-type 32CA reaction of nitrones have been related to the energy cost demanded for the rupture of the nitrone C=N double bond, by selecting the greatest change in ELF valence basin population in the phase of highest energy variation on going from reagents to the TS.^{15,37}

However, there is no direct relation between populations and energy variations in the BET framework because while the former are related to a given molecular region, the latter are associated with the whole system. In addition, the population of a region may substantially vary but this is not necessarily correlated to a strong variation in the energy associated with it and vice versa. In other words, a huge population change might require no energy cost at all. Therefore, an energy decomposition analysis (EDA) method developed within the umbrella of quantum chemical topology^{44,45} (QCT) is necessary in order to properly characterise the energies associated with the corresponding bonding changes along the reaction. Note that the literature is riddled with studies carried out using Morokumabased EDA.46-48 This method and, consequently, the interpretations that arise from it, have shown to be prone to flaws due to the process-dependency of their energy components, which include molecular orbital interactions.49,50

In 2005, Blanco *et al.* developed a parameter-free and reference-free energy decomposition scheme based on QTAIM, called interacting quantum atoms (IQA).⁵¹ IQA divides the total

energy of a system into intra- and inter-atomic contributions of different nature. Similar to the topological analysis of the ELF, IQA can be applied to every structure of an IRC. However, the amount of energy contributions that are retrieved scales quadratically with the number of atoms of a system. In order to study all possible energetic terms without any bias or arbitrary parameters, Thacker and one of us developed the relative energy gradient (REG) method.⁵² REG systematically inspects the correlation between each partitioned energy term and the total energy of the system. REG then ranks all correlations, one for each atomic energy term, in an automated fashion to determine the subset that best describes the behaviour of the total system as it goes through its change. The combination of both IQA and REG can help discerning the origin of reaction barriers.52 Indeed, IQA-REG has already been successfully applied to a wide variety of interactions and phenomena, 52-56proving itself to be an indispensable, minimal but powerful and general method in the chemist's toolbox for mechanistic studies.

In the present article the combination of BET and IQA-REG quantum chemical techniques is used for the first time in order to exploit the advantages of such a combined approach. Note that BET was already applied in the past together with an IQA analysis but performed only at the stationary points.⁵⁷ Herein, the IQA-REG is applied to the simplest zw-type 32CA reaction of nitrone **3** with ethylene **5** (see Scheme 1) to investigate the origin of the activation barrier and probe the BET-based hypothesis that the higher barrier (Fig. 1) of non-polar zw-type 32CA reactions of nitrones is mainly caused by the rupture of the C=N double bond bearing the highest energy cost among all the other bonding changes towards the TS. In this study, the application of IQA-REG to (a) the whole activation



Scheme 1 32CA reaction between the simplest nitrone **3** and ethylene **5**, yielding isoxazolidine **6** via **TS**.

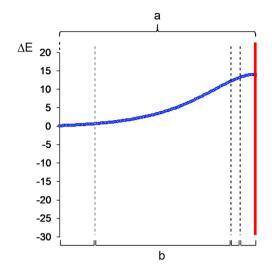


Fig. 4 Application of IQA–REG to (a) the whole activation IRC path from reagents to **TS**, and (b) four separate segments of the activation IRC path, established through BET and bounded by vertical dashed lines. Technically, BET characterises only three phases. The dashed-line on the left is in grey because of the division made in Section 3.3, but this is not a true BET division. The position of **TS** is marked in red.

IRC path from reagents to **TS**, and (b) four separate segments selected through BET (see Fig. 4) is compared. The present study not only reports a new valuable way to study reaction mechanisms but also provides new insights into the origin of the lower reaction rates of zwitterionic TACs such as nitrones.

2. Computational methods

DFT calculations were performed with the B3LYP functional^{58,59} and the 6-311G(d,p) basis set,⁶⁰ using the Berny analytical gradient method for optimisations.^{61,62} The stationary points were characterised by vibrational frequency computations in order to verify that TSs had indeed only one imaginary frequency. The IRC paths²¹ were traced to obtain the energy profiles connecting each TS to the two associated minima in the potential energy surface using the Hratchian–Schlegel Hessian-based Predictor–Corrector integrator.^{63–65} Optimisations and IRCs were carried out with the GAUSSIAN16 suite of programs.⁶⁶

The topological analysis of the ELF³ of the B3LYP/6-311G(d,p) monodeterminantal wavefunctions was carried out using the TopMod⁶⁷ package with a cubical grid of step size of 0.1 Bohr. Currently, the use of monodeterminantal wavefunctions in mechanistic studies of thermal organic reactions that do not involve radical species, such as the one studied herein, is well accepted.⁶⁸ The BET¹⁷ study was performed over a total of 221 nuclear configurations considering both activation and reaction paths. Molecular geometries and ELF valence basin attractor positions were visualised using the GaussView program.⁶⁹

A stand-alone script based on the Ramer–Douglas–Peucker algorithm⁷⁰ was used to find a reduced but still suitable number of points, on which to run the IQA–REG analysis, out

of the 136 points belonging to the activation IRC path; an RMSE value of 0.05 kcal mol⁻¹ was considered for the overall activation energy path and 0.025 kcal mol⁻¹ for the BET phases (see S8 in ESI,† for more information). The IQA analysis was performed with the AIMAll package⁷¹ using the corresponding B3LYP/6-311G(d,p) monodeterminantal wavefunctions. In order to test the effect of dispersion interactions on the IQA-REG outcomes, the wevefunctions and IQA-REG analysis were computed including Grimme's D3 dispersion corrections with Becke–Johnson damping.⁷² In a REG-IQA-D3 analysis the total energy considered is the sum of the intra- and inter-atomic IQA components plus inter-atomic dispersion obtained from the D3 program by Grimme,⁷³ given that D3 is an additive scheme. The REG analysis was carried out *via* the in-house program REG.py.^{52,74}

The IQA scheme divides the total energy into two main energy contributions: the intra-atomic energy, E_{intra}^{A} , and the interatomic energy, $V_{\text{inter}}^{\text{AB}}$.⁵¹ The interatomic energy is in turn divided into two additional terms: the interatomic electrostatic energy (typically referred to as "classical"), V_{cl}^{AB}, and the interatomic exchange-correlation energy, V_{xc}^{AB} , in such a way that $V_{\text{inter}}^{\text{AB}} = V_{\text{cl}}^{\text{AB}} + V_{\text{xc}}^{\text{AB}}$. While E_{intra} has been associated with steric effects,⁷⁵ V_{cl} is related to electrostatic interactions,^{76,77} and V_{xc} quantifies covalency.⁷⁸ Recently, it has been suggested⁷⁹ that plain V^{AB}_{inter} terms should not be used as covalent-bond strength descriptors because the electrostatic terms tend to cancel out thanks to electroneutrality and because their long-range nature makes them inadequate to define chemical bonds. Thus, chemical graphs are suggested to be primarily related to $V_{\rm xc}^{\rm AB, 79}$ Since an organic chemical reaction is mainly about the making and breaking of bonds, which is what chemists denote with dashes in the Lewis diagrams (i.e. chemical graphs), the REG analyses have been performed on a full IQA partitioning, delivering both $V_{\rm xc}^{\rm AB}$ and $V_{\rm cl}^{\rm AB}$. The REG method⁵² compares the gradient of a given energy contribution E_i against the gradient of the total energy E_{total} , using linear regression: $E_i(s) =$ $m_{\text{REG},i}E_{\text{total}}(s) + c_i$, where s is the control coordinate (IRC in our case) governing the change in the system, $m_{\text{REG},i}$ is the REG value itself, and c_i is the y-intercept, which has currently no known chemical meaning. Thus, the REG method is only valid when the Pearson correlation coefficient R is close to 1. The REG values allow a semi-quantitative interpretation of the individual energy terms contributions to the total energy. Both the sign and the magnitude of the REG value are important. In summary, (energy) terms E_i with large positive REG_i values contribute the most to the total behaviour of the system, *i.e.* they increase (decrease) if the total energies increase (decrease), while terms with large negative REG_i values work against the total behaviour of the system, *i.e.* they decrease (increase) if the total energies increase (decrease). For instance, in the activation energy path, energy terms with positive REG values are unfavourable and contribute to the barrier, while those with negative REG values are favourable and facilitate the reaction. Ranking the energies from largest to smallest produces an ordered list of IQA energy terms that directly contribute towards (or against) a given energy segment, which in our case

coincides with a barrier. This list provides a chemically intuitive interpretation for each energy segment being considered.

3. Results and discussion

This section has been divided into three subsections: (i) a BET study of the 32CA reaction of nitrone 3 with ethylene 5 in order to characterise the molecular mechanism in terms of bonding changes; (ii) an IQA-REG analysis along the activation energy path (Fig. 4a) in order to determine the main factors contributing to the energy barrier; and finally, (iii) an application of the IQA-REG method within the four segments characterised through BET along the activation path (Fig. 4b) in order to probe whether the total energy variations associated with these topological phases are indeed related to the expected population changes and to investigate any differences with respect to the energy decomposition analysis performed considering no BET partition of the reaction path. An additional section describing the potential energy surface of the 32CA reaction between nitrone 3 and ethylene 5 is provided in ESI.†

3.1. BET study of the zw-type 32CA reaction between nitrone 3 and ethylene 5

Many BET studies of 32CA reactions of substituted nitrones with different types of ethylene and acetylene derivatives have already been performed characterising their molecular mechanism $^{15,34,37-40}$ but the simplest one involving nitrone 3 and ethylene 5 has never been explored in detail. Only BET data at the MPWB1K/6-311G(d) computational level have been reported in ref. 7 with no further discussion. In addition, the present BET study aims to serve as a representative simple example on how BET would be used to interpret the origin of a given reaction barrier, beyond the description of the bonding changes, in order to analyse then how the resulting interpretation is related to the IQA-REG results. Consequently, despite the many BET mechanistic studies of other 32CA reactions involving nitrones, this BET study of the simplest 32CA reaction between nitrone 3 and ethylene 5 is indispensable for the purposes of the present work.

BET allowed finding seven catastrophes, **S2–S8**, associated with a change in number and/or type of ELF valence basins in the core reacting system, which divide the reaction path into eight topological phases (marked with roman number in Fig. 5) related to different bonding changes (see Table 1). The objective of the work is focused on the activation energy path. Hence only the bonding changes taking place from reagents to the **TS** along Phases I–III are described, as is clear from Fig. 5. ELF valence basin populations for the catastrophes found along the zw-type 32CA reaction of nitrone **3** with ethylene **5** are gathered in Table 1, while the phases in which the IRC is divided are shown in Fig. 5. Note that structure **S1**' is only included for a later analysis in Section 3.3.

Phase I, $-7.84 \le \text{IRC} < -0.99$ Å amu^{1/2}, starts at the first structure of the reaction path, **S1** (*d*(C1–C5) = 3.413 Å and *d*(O3–C4) = 2.984 Å), and ends at the structure right before the second

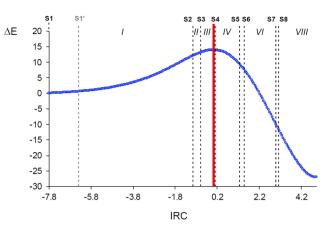


Fig. 5 Phases in which the IRC associated with the zw-type 32CA reaction between nitrone **3** and ethylene **5** is topologically divided. The red line indicates the position of the **TS**, while black dashed lines separate the phases defined by structures **S**_i. Relative energies (ΔE , in kcal mol⁻¹) are given with respect to the first structure **S1**.

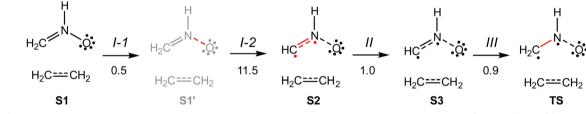
catastrophe structure, **S2** (see Fig. 5). The topological analysis of the ELF of **S1** usually resembles that of the separated reagents. At **S1**, the nitrone moiety presents a V(C1,N2) disynaptic basin integrating 3.69 e, a V(N2,O3) disynaptic basin with 1.46 e, and two V(O3) and V'(O3) monosynaptic basins integrating to a total of 5.85 e. The ethylene system is characterised by two V(C4,C5) and V'(C4,C5) disynaptic basins integrating a total population of 3.36 e, which would be 4.0 e for an ideal double bond. According to Lewis' bonding model,²² these populations can be related to a nitrone C1=N2 double bond, an N2–O3 single bond, three O3 oxygen lone pairs, and an underpopulated ethylene C4=C5 double bond (see Scheme 2). The ELF charges at the N2 and O3 atoms are +1.20 and -0.67 e, respectively. Thus, nitrone 3 is classified as a zwitterionic TAC due to the absence of any pseudoradical or carbenoid center.⁷

During the long Phase I of 118 structures, the population of the nitrone C1=N2 double bond increases by 0.20 e, while the nitrone N2-O3 single bond and the ethylene C4=C5 double bond are both depopulated by approximately 0.15 e (see Table S2 in ESI,[†] for the ELF populations of the last structures of each BET phase). At the end of Phase I, the V(C1,N2) disynaptic basin has reached 3.89 e. This is interesting taking into account that this bond has to break in order to form the C1 pseudoradical center and N2 non-bonding electron density, and so, a depopulation should have been expected instead. A closer look suggests that this population comes from both N2-O3 and N2-H bonding regions, which depopulate by 0.14 and 0.04 e, respectively. The most relevant change along Phase I is therefore the accumulation of electron population in the C1=N2 bonding region, while the N2-O3 and C4=C5 bonding regions are depopulated to a slightly lesser extent. These changes demand the highest overall energy cost along the activation energy path, of about 12.0 kcal mol^{-1} (see Table 1).

The short Phase II (see Fig. 5), $-0.99 \le \text{IRC} < -0.64$ Å amu^{1/2}, begins at **S2** (d(C1-C5) = 2.332 Å and d(O3-C4) = 2.265 Å) and comprise only 6 structures. At **S2**, the V(C1,N2) disynaptic basin

Table 1 ELF valence basin populations (in average number of electrons, e), relative energies with respect to the first structure of the IRC (ΔE , in kcal mol⁻¹), IRC values (in Å amu^{1/2}), and C1–C5 and O3–C4 distances (in Ångströms, Å), for the **S1–S9** structures along the zw-type 32CA reaction of nitrone **3** with ethylene **5**

Structures Phases	S1	S1 ′	S2	S 3	TS	S4	S 5	S6	S 7	<u>S8</u>	S9
	I		ш		III	IV		v	VI	VII	VIII
d(C1-C5)	3.413	3.197	2.332	2.272	2.163	2.153	1.957	1.919	1.697	1.683	1.542
d(O3-C4)	2.984	2.865	2.265	2.226	2.153	2.146	2.010	1.982	1.772	1.753	1.448
IRC	-7.84	-6.45	-0.99	-0.64	0.00	0.06	1.22	1.45	2.96	3.08	4.88
ΔE	0.0	0.5	12.0	13.0	13.9	13.9	9.5	7.7	-9.9	-11.4	-27.1
V(C1,N2)	3.69	3.69	2.75	2.61	2.36	2.34	2.07	2.03	1.86	1.85	1.77
V(N2)			0.86	1.04	1.35	1.38	1.78	1.85	2.17	2.19	2.35
V(N2,O3)	1.46	1.45	1.31	1.29	1.24	1.23	1.15	1.13	1.03	1.03	0.92
V(C4,C5)	1.70	1.66	1.55	3.16	3.14	2.86	2.44	2.37	2.07	2.06	1.90
V'(C4,C5)	1.66	1.69	1.66								
V(O3)	3.01	3.00	2.97	2.97	2.95	2.95	2.91	2.91	2.76	2.75	2.52
V'(O3)	2.84	2.83	2.85	2.85	2.86	2.86	2.88	2.88	2.70	2.70	2.55
V''(O3)									0.34		
V(C1)			0.31	0.36	0.47	0.48	0.66				
V(C5)						0.28	0.57				
V(C4)							0.13	0.17	0.33		
V(C1,C5)								1.29	1.64	1.66	1.85
V(O3,C4)										0.68	1.22



Scheme 2 ELF Lewis-like structures representing the bonding changes taking places along the activation IRC path of the 32CA reaction between nitrone **3** and ethylene **5**. Energy costs of Phases I–III are given below the arrows, in kcal mol⁻¹.

is suddenly depopulated by 1.14 e and two new V(N2) and V(C1) monosynaptic basins are created with initial populations of 0.86 and 0.31 e, respectively (see Fig. 6). These ELF topological changes are associated with the rupture of the C1—N2 double bond, which is now characterised as a partial double bond, leading to the formation of a pseudoradical center at the C1 carbon and the N2 non-bonding electron density present at the final isoxazolidine **6** (see Schemes 1 and 2). This means that instead of gathering their density at the N2 nitrogen directly, the N2–O3 and N2–H regions preferred to redistribute it first into the C1—N2. This change might look drastic in terms of population but, given the proximity between **S2** and the last structure of Phase I, it only demands an energy cost of 0.2 kcal mol⁻¹.

Along Phase II, the most relevant population changes, considered from the last structure of Phase I to the last structure of Phase II, are the strong depopulation of the C1–N2 region by 1.25 e and gathering of 1.01 e at the N2 nitrogen, which have an overall energy cost of only 1.0 kcal mol⁻¹. This emphasises that the greatest population changes do not necessarily mean a huge total energy variation, as pointed out in the Introduction.

Finally, Phase III, $-0.64 \le \text{IRC} \le 0.00 \text{ Å} \text{ amu}^{1/2}$, begins at **S3** (d(C1-C5) = 2.272 Å and d(O3-C4) = 2.226 Å) and ends at the **TS**

of the 32CA reaction (d(C1-C5) = 2.163 Å and d(O3-C4) = 2.153 Å) (see Fig. 5). At **S3**, the two V(C4,C5) and V'(C4,C5) disynaptic basins integrating a total population of 3.21 e at the end of Phase II (see Table S2 in ESI†) have merged into a new single V(C4,C5) disynaptic basin integrating 3.16 e (see Fig. 6). Although this topological change has been associated with the rupture of double bonds,⁸⁰ in terms of the Lewis' bonding model²² this change has no chemical meaning (see the unvaried structure of **S3** compared to **S2** in Scheme 2).

Along Phase III, which is monitored by 12 geometries along the IRC, the most relevant variations in electron population still imply the C1–N2 bonding region and the N2 atom. While the population in the former decreases by 0.28 e, the population at the latter increases by 0.34 e. The C1 pseudoradical center gathers 0.12 e. These changes present the lowest energy cost along the activation path, about 0.9 kcal mol⁻¹. Note that Phase I-1, 0.5 kcal mol⁻¹, is only the consequence of including **S1**' in Section 3.3.

3.2. IQA-REG analysis of the overall activation energy path of the zw-type 32CA reaction between nitrone 3 and ethylene 5

Given that changes in individual ELF valence basin populations are found not relate to energy variations of the total system, an IQA–REG analysis was performed over the whole activation

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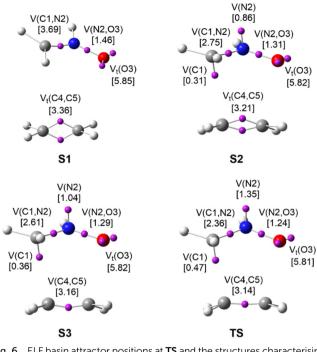
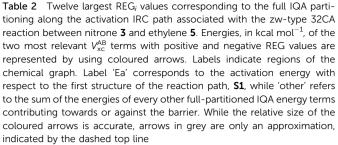
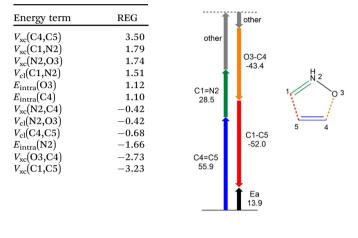


Fig. 6 ELF basin attractor positions at TS and the structures characterising Phases I-III along the activation IRC path of the 32CA reaction between nitrone 3 and ethylene 5. ELF valence basin populations are given in brackets, in average number of electrons, e.

energy path in order to rigorously quantify the weight that the bonding changes actually have on the barrier as well as determine their stabilising or destabilising role. The IQA terms with the largest REG values are given in Table 2, while Pearson correlation coefficients R are given in Table S3 in ESI.† Table S3 (ESI[†]) also lists the REG values as ratios, for a reason that will be given below. This ratio is defined as a given REG value divided by the largest (originally, not absolute value) positive REG value. For example, the entry of $V_{\rm xc}(N2,O3)$ in Table S3 (ESI⁺) is 0.50 because it is equal to 1.74/3.50. A similar Table S4 in ESI,[†] gathers the IQA-REG analysis performed with D3(BJ) dispersion corrections. A comparison of the data given in Table 2 and Table S4 (ESI[†]) shows that the effect of dispersion on the total energy does not change the outcome of the IQA-REG analysis without dispersion.

IQA-REG results show that the $V_{\rm xc}$ (C4,C5) energy term, which is associated with the covalent character of the C4-C5 partial double bond of ethylene 5, is the one contributing the most to the barrier, with a REG value of 3.50. The positive sign of this value indicates that the bonding changes taking place in the ethylene C4–C5 region from the first structure S1 to the TS, i.e. a depopulation of about 0.22 e (see Table 1), are energetically destabilising. The next energy terms contributing to the barrier, V_{xc} (C1,N2), V_{xc} (N2,O3) and V_{cl} (C1,N2), are related to the bonding changes taking place in the nitrone C1-N2-O3 core structure and present significantly lower REG values that are similar each other, ranging from 1.79 to 1.51. It is worth noting the joint presence of $V_{\rm xc}$ (C1,N2) and $V_{\rm cl}$ (C1,N2), which indicates that unfavourable electrostatics develop in the C1=N2 region





as a consequence of its depopulation on going towards the TS. The behaviour of $V_{\rm xc}(N2,O3)$ is in agreement with the depopulation of the N2-O3 region by 0.22 e (see Table 1). However, note that in this case V_{cl}(N2,O3) has a negative REG value. Finally, the two $E_{intra}(O3)$ and $E_{intra}(C4)$ terms, associated with the unfavourable deformation of the O3 and C4 topological volumes, have some participation as well, with REG values of 1.12 and 1.10, respectively. This is only a consequence of the shorter O3-C4 distance75 compared to the C1-C5 one all along the activation IRC path, although the new C1-C5 single bond forms first at S6 (see Table 1).

On the other hand, the terms most contributing against the barrier, *i.e.* energetically stabilising the whole system, are the $V_{\rm xc}$ (C1,C5) and $V_{\rm xc}$ (O3,C4) terms, associated with a favourable electron density gathering in these regions. This can be verified by a QTAIM analysis in the regions involved. Indeed, the electron density at the corresponding bonding critical points at the TS has increased by 0.057 (C1–C5) and 0.044 (O3–C4) e $Å^{-3}$ compared to the values at S1. Although the C1-C5 distance is (slightly) longer than the O3-C4 distance along the activation IRC path (see Table 1), as noted above, the changes in the C1-C5 region have a slightly higher stabilising effect, REG = -3.23, than those in the O3–C4 region, REG = -2.73. These values already provide a glimpse into the bond formation asynchronicity regardless of the distances, and without the need for a BET study towards the product. Note that the V(C1,C5) disynaptic basin is created before the V(O3,C4) one (see Table 1). These findings show that the unfavourable deformation of the atoms, involved in the new single bond formation as they approach each other, is not always accompanied by a favourable

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gathering of electron density in that region. In other words, a closer distance between atoms might not lead to a greater favourable density accumulation and *vice versa*, which supports that the asynchronicity in bond formation should not be inferred¹⁵ only from the geometrical symmetry of TSs.⁸¹ The $E_{intra}(N2)$, with a high REG value of -1.66, is also worth mentioning. This energy term is related to a favourable steric release of the N2 nitrogen from reagents to **TS**, due to an expansion of its atomic basin^{75,82} (see Table 1). Finally, unlike $V_{cl}(C1,N2)$, two terms associated with favourable electrostatic interactions in the C4–C5 and N2–O3 regions appear with small REG values of -0.68 and -0.42, respectively.

The REG values of V_{cl}^{AB} terms may have either the opposite or the same sign as the corresponding V_{xc}^{AB} of the same region, thus adding to or cancelling out the latter. See, for instance, the cases of the C1–N2 and C4–C5 regions in Table 2. Thus, considering the V_{inter}^{AB} terms instead of the V_{cl}^{AB} and V_{xc}^{AB} ones separately might change the interpretation of the activation barrier. In order to check this out, the REG values of the five most relevant V_{inter}^{AB} terms were gathered in Table 3 while Pearson correlation coefficients were included in Table S5 in ESI.† Now, the $V_{inter}(C1,N2)$ term is the highest contributor to the barrier, followed by the $V_{inter}(C4,C5)$ and $V_{inter}(N2,O3)$. This would mean that despite the higher weight of exchange–correlation in the C4–C5 region, the unfavourable electrostatics developed in the C1–N2 (as a consequence of its depopulation) makes its rupture even tougher.

This different interpretation of the barrier depending on the analysis of V_{cl}^{AB} and V_{xc}^{AB} , or V_{inter}^{AB} terms is very important qualitatively. As has been mentioned, Martín Pendás and coworkers have recently shown that electrostatics decay too slowly, which leads to over- or underestimating the strength of interactions and, when included in the energy, yields interpretations that clearly disagree with the chemist's view of chemical bonds.⁷⁹ Thus, following their suggestion that V^{AB}_{inter} terms should not be used as covalent-bond strength descriptors,⁷⁹ the present IQA-REG analysis allows concluding that the high activation energy of the zw-type 32CA reaction between nitrone 3 and ethylene 5 is mainly due to the rupture of the ethylene C4=C5 double bond. Even though the rupture of both C1=N2 and C4=C5 bonds is relevant, the latter is more energydemanding, *i.e.* 55.9 versus 28.5 kcal mol⁻¹. This finding is indeed in agreement with the harder character of ethylene 5, $\eta =$ 7.76 eV, than that of nitrone 3, $\eta = 5.55$ eV, within Conceptual

Energy term	REG	
$V_{inter}(C1,N2)$ $V_{inter}(C4,C5)$ $V_{inter}(N2,O3)$ $V_{inter}(O3,C4)$	3.30 2.82 1.32 -3.06	H 2 0 3
$V_{\text{inter}}(C1,C5)$	-3.32	5 4

DFT (CDFT),^{83,84} which suggests the former molecule less prone to density changes. This interpretation of the high activation barrier can be understood as a consequence of the zwitterionic structure of nitrone 3, which presents no reactive pseudoradical center able to ease the rupture of the inert unsubstituted ethylene C4 \equiv C5 double bond, unlike pseudodiradical TACs participating in pdr-type reactions (see Fig. 1).⁷

3.3. IQA-REG analysis at the BET topological phases along the activation energy path of the zw-type 32CA reaction between nitrone 3 and ethylene 5

BET is able to divide the IRC path into different topological phases associated with different chemical events. Here IQA– REG is applied in the phases of the activation energy path to investigate if this method can identify whether the energy terms have a variable weight at different stages of the reaction and determine how this segmented analysis changes from the overall picture given above in Section 3.2. Note that the total behaviour of the system does not necessarily have to be maintained during the whole activation path. This type of analysis could help reveal events that might have been unidentified with BET and give a more detailed view of the energy changes and, therefore, of the mechanism.

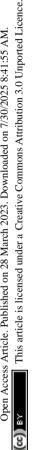
Due to the aforementioned importance of V_{xc}^{AB} terms to characterise bond rupture and formation energetics, REG was applied to a full IQA partitioning. The intra-atomic and the interatomic electrostatic and exchange–correlation IQA terms with the largest REG values along Phases I–III are given in Table 4, while Pearson correlation coefficients are given in Table 56 in ESI.† The variation from **S1** to **TS** of the most relevant energy terms is graphically represented in Fig. 7.

First of all, the REG values obtained at different energy segments of either the same IRC path or another one cannot be quantitatively compared to each other. This is due to the different gradient of the total energy (ΔE) at each segment analysed and the ordinary least squares regression used to compute the REG values.⁵² A larger energy variation in the total system normally leads to small REG values, while the contrary holds true: small energy variations in the total system lead to larger REG values. Consequently, only ratios of terms accounting for their relative participation in each segment are meaningful and can be compared to each other. For instance, the larger negative REG value of $V_{\rm xc}(O3,C4)$ in Phase III, by 7.77 units with respect to that in Phase I-1 (see Tables 4, -11.17 versus -3.40), does not necessarily mean a greater participation of that term in Phase III; indeed, when ratios are compared, the participation of $V_{\rm xc}(O3,C4)$ with respect to the most relevant $V_{\rm xc}$ (C4,C5) term is lower in Phase III, -0.65, than in Phase I-1, -1.37 (see Table S6 in ESI[†]). These ratios are given in Tables S3-S6 in ESI,† with respect to the highest positive REG value in each segment.

On the other hand, as Phase I is the longest one towards the **TS**, it was additionally divided at S1' into two sections, Phase I-1 and Phase I-2 (see Fig. 5), based on chemically meaningful changes in the ELF Lewis-like structures without a BET catastrophe; in this case, the turning point S1' is associated with

Table 4 Twelve largest REG_i values corresponding to the full IQA partitioning along Phases I–III of the activation IRC path associated with the zw-type 32CA reaction between nitrone 3 and ethylene 5

Phase I-1		Phase I-2		Phase 1	I	Phase III	
Energy term	REG						
$V_{\rm xc}(C4,C5)$	2.49	$V_{\rm xc}(C4,C5)$	2.58	$V_{\rm xc}(C4,C5)$	6.98	$V_{\rm xc}(C4,C5)$	17.17
$E_{\text{intra}}(\text{C4})$	2.46	$V_{\rm xc}(N2,O3)$	1.29	$V_{\rm cl}({\rm C1,N2})$	4.98	$V_{\rm cl}({\rm C1,N2})$	14.24
$E_{intra}(C5)$	1.90	$V_{\rm xc}(C1,N2)$	1.28	$V_{\rm xc}(C1,N2)$	3.72	$V_{\rm xc}(C1,N2)$	9.05
$E_{intra}(C1)$	1.88	$E_{\text{intra}}(\text{C4})$	1.03	$V_{\rm xc}(N2,O3)$	3.45	$V_{\rm xc}(N2,O3)$	8.60
$E_{\text{intra}}(O3)$	1.76	$E_{\text{intra}}(\text{O3})$	0.99	$E_{\text{intra}}(\text{O3})$	1.48	$E_{\text{intra}}(\text{O3})$	3.29
$E_{intra}(N2)$	1.20	$E_{\text{intra}}(\text{C5})$	0.65	$E_{\text{intra}}(\text{C4})$	1.24	$E_{\text{intra}}(\text{C4})$	2.74
$V_{\rm xc}(O3,C5)$	-0.66	$V_{\rm xc}(N2,C4)$	-0.36	$V_{\rm cl}(N2,O3)$	-0.93	$V_{\rm cl}(N2,O3)$	-2.53
$V_{\rm cl}(N2,C4)$	-0.77	$V_{\rm xc}(N2,C5)$	-0.38	$V_{\rm cl}(C4,C5)$	-1.35	$V_{\rm cl}({\rm C4,C5})$	-3.24
$V_{\rm xc}(N2,C5)$	-1.22	$V_{\rm cl}({\rm C4,C5})$	-0.51	$E_{intra}(C1)$	-1.67	$E_{\text{intra}}(\text{C1})$	-5.21
$V_{\rm cl}(C1,N2)$	-1.33	$E_{\text{intra}}(N2)$	-0.86	$V_{\rm xc}(O3,C4)$	-4.52	$V_{\rm xc}(O3,C4)$	-11.17
$V_{\rm xc}(C1,C5)$	-2.70	$V_{\rm xc}(O3,C4)$	-2.21	$E_{intra}(N2)$	-4.81	$E_{intra}(N2)$	-13.10
V _{xc} (O3,C4)	-3.40	$V_{\rm xc}(C1,C5)$	-2.39	$V_{\rm xc}(C1,C5)$	-6.32	$V_{\rm xc}(C1,C5)$	-16.12



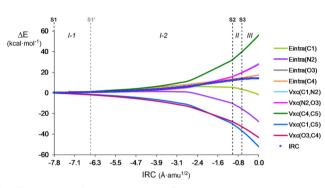


Fig. 7 Variation of the most relevant IQA energy terms along Phases I-III. Relative energies, ΔE , are given with respect to the first structure of the reaction path, S1. Eintra(O3), in grey, cannot be observed because it overlaps with E_{intra} (C4), in orange.

the depopulation of the N2-O3 bond to a permanent partial single bond (see Scheme 2).

In Phase I-1, the reagents begin to approach each other and, therefore, no bonding changes and/or interatomic interactions are expected. Accordingly, IQA-REG analysis shows that the energy profile in this early section of the IRC is mainly dominated by E_{intra} terms, *i.e.* steric effects, with only a slightly higher participation of V_{xc} (C4,C5), related to the covalency of the ethylene C4=C5 double bond. Besides, V_{xc} (O3,C4) and $V_{\rm xc}$ (C1,C5), with REG values of -3.40 and -2.70, are worth mentioning among the interatomic terms, since they present the highest absolute REG values in the segment. These results indicate that, at the earliest stage of the reaction, the favourable interactions taking place between the atoms that will lead to the new bond formation, stabilise the total system more than any other destabilising factor, which accounts for the driving force of the reaction. The latter observation is explained by looking at the relative ratios of the REG coefficients, -1.37 and -1.09 (see Table S6 in ESI⁺), which are even higher in absolute value than those obtained from the analysis of the overall activation path, -0.78 and -0.92 (see Table S3 in ESI⁺). The nitrone $V_{xc}(C1,N2)$ and $V_{xc}(N2,O3)$ terms present REG values of 0.91 and 0.88, respectively, showing the negligible participation

of those bonds in this section of the IRC (despite the high stabilizing V_{cl}(C1,N2) term (see Table S6, ESI[†]), which is worth noting by taking into account its unfavourable nature when the whole activation path is considered, (see Table 2)). Similarly, unlike the analysis at the full activation path, it is also worth mentioning the initial unfavourable character of $E_{intra}(N2)$, with a REG value of 1.20, which contrasts with its overall stabilising effect (Table 2).

In Phase I-2, both $V_{xc}(N2,O3)$ and $V_{xc}(C1,N2)$ start to have a relevant contribution to the barrier, with positive REG values of 1.29 and 1.28, respectively, but $V_{\rm xc}$ (C4,C5) retains its greatest role with REG = 2.58 (see Fig. 7). Thus, despite the populations of the C1-N2 and C4-C5 regions change by 0.20 and 0.14 e along Phase I-2, respectively (see Section 3.1), the latter still has a greater importance in the energy cost of this IRC segment. These results imply that the unexpected increase of the population in the nitrone C1=N2 double bond is unfavorable, which is reasonable taking into account that a rupture of a covalent double bond is usually associated with a progressive depopulation of that region and, in this case, the C1=N2 one is rather increasing its double bond character over its ground state capacity. On the other hand, E_{intra}^{A} terms lose importance, but $E_{intra}(N2)$ becomes stabilising (see Fig. 7), which could be related to the initial gathering of electron density on the N2 nitrogen to form the V(N2) monosynaptic basin at S2 (see Table 1). The relative ratios of the stabilising $V_{\rm xc}$ (C1,C5) and $V_{xc}(O3,C4)$ terms slightly decrease and are now below that of the most destabilising $V_{\rm xc}$ (C4,C5) term, but they start to show the bond formation asynchronicity despite the longer C1-C5 distance. Interestingly, in this segment the contribution of $E_{intra}(O3)$ becomes comparable to that of $E_{intra}(C4)$, with ratios of ca. 0.4 (see Table S6 in ESI,† and the overlap of both terms in Fig. 7). Note that in the previous Phase I-1, $E_{intra}(C4)$ had a greater prominence, with a relative ratio of 0.99 over the 0.71 ratio of $E_{intra}(O3)$.

In Phase II, the $V_{\rm xc}$ (C4,C5) term keeps having the greatest REG value, 6.98. The most noticeable change is that the $V_{\rm xc}$ (C1,N2) term with REG = 3.72 overcomes the $V_{\rm xc}$ (N2,O3) term with REG = 3.45; however, both keep presenting very similar ratios of 0.53 and 0.49, respectively (see the overlap

between $V_{xc}(N2,O3)$ and $V_{xc}(C1,N2)$ in Fig. 7). This indicates that the negligible depopulation of the nitrone N2-O3 region by only 0.03 e contributes to the phase energy cost similarly to the depopulation of C1-N2 bond by 1.25 e. This change emphasises, once again, that ELF population changes are not necessarily directly related to their energy contribution. Note, likewise, that the population changes in C1-N2 are much higher in Phase II than in Phase I-2, but their weight to the total energy is also similar. In practice this means that the ELF population of a bond can change less than another one but have a higher or similar contribution to the barrier, and vice versa. Interestingly, no matter if the population increases (Phase I-2) or decreases (Phase II) in the C1-N2 region, $V_{xc}(C1,N2)$ is always destabilising. We are also note the progressive change in the role of $E_{intra}(C1)$, which goes from significantly destabilising in Phase I-1 (REG = 1.88) to negligible in Phase I-2 (REG = 0.42). This energy contribution stabilises in Phase II (REG = -1.67), a phenomenon that is related to the formation of the C1 pseudoradical center at S2 (see Table 1). Another remarkable change is the appearance of the $V_{\rm cl}(C1,N2)$ term with a high positive REG value of 4.98. However, as electrostatic interactions do not play a relevant role in the chemical transformations of covalent bonds,⁷⁹ *i.e.* organic reactions, its impact on the reaction barrier is not discussed here.

Finally, in Phase III no significant changes with respect to the IQA–REG analysis in Phase II are observed (see the relative ratios of the energy terms in Table S7, ESI[†]).

4. Conclusions

A combined BET and IQA–REG study of the non-polar zw-type 32CA reaction of the simplest nitrone with ethylene has been performed at B3LYP/6-311G(d,p) level in the spirit of MEDT, in order to determine three matters: (a) the origin of the activation barrier of zw-type 32CA reactions involving zwitterionic TACs such as nitrones, (b) the usefulness of such a combined application of these two topological methodologies and what additional insights can be extracted from the application of IQA–REG to the partitioned BET phases, and (c) whether ELF valence basin population changes can be related to total energy variations.

In terms of the first matter, while BET suggests that the activation energy of this non-polar zw-type 32CA reaction is mainly associated with the rupture of the nitrone C1—N2 bond, IQA-REG indicates that the high energy cost is rather related to the rupture of the ethylene C4—C5 double bond. This apparently contradictory outcome can be rationalised as a consequence of arbitrarily considering that in BET, the higher ELF population variation of a region along the activation path, the higher the energy cost associated with that change. However, the IQA-REG interpretation actually makes sense within BET if we consider that, precisely because the nitrone C1—N2 region is more labile than the ethylene C4—C5 one, its depopulation is easier energetically. Given that IQA-REG is a

more trustworthy tool to establish the origin of reaction barriers, the high activation energy of the non-polar 32CA reaction between nitrone **3** and ethylene **5** can be concluded to be a consequence of the zwitterionic structure of nitrone **3**; not because it demands an extra energy cost to create the pseudoradical centers necessary for the formation of the new single bonds, as was suggested before,⁷ but because the absence of pseudoradical centers cannot facilitate the rupture of the inert unsubstituted ethylene double bond. This different interpretation between BET and IQA–REG is subtle but significant.

In terms of the second matter, the combined application of BET and IQA-REG offers a very detailed description of molecular mechanisms. While BET describes mechanisms in terms of population changes that can be related to the traditional chemical graphs used by chemists, IQA-REG determines and ranks the role of those changes energetically. In addition, IQA-REG, when applied to the BET phases, enables to characterise the variable role of the energy terms at different stages of the reaction path, i.e. the sequence of energy changes, which yields a more detailed understanding of the energetics of the reaction. In this case study, though, no significant variations throughout the activation path are observed. The different stages of the activation path are always dominated by V_{xc} (C4,C5), while both $V_{\rm xc}$ (C1,N2) and $V_{\rm xc}$ (N2,O3) terms associated with the nitrone covalent framework have an equitable lower participation. This is in agreement with the harder character of ethylene 5 than nitrone 3, which suggests a stronger resistance of the former to density changes.

In terms of the third matter, the lower ELF depopulation of C4=C5, 0.20 e, compared to that of C1=N2, 1.33 e, from the beginning of the reaction to the **TS**, emphasises how ELF-valence-basin populations and total energy changes cannot be directly related, given that $V_{\rm xc}$ (C4,C5) term has a greater positive REG value than $V_{\rm xc}$ (C1,N2). In several cases it has been observed that a greater variation in the population of a given ELF valence basin does not correspond to a greater (stabilising or destabilising) role of the interactions associated with that molecular region in the variation of the total energy of the system.

More generally, the present study has intended to emphasise how IQA-REG allows characterising the energy costs of the bonding changes in order to explain the origin of activation energies within the electron density perspective of MEDT. IQA-REG is simple and straightforward, and can offer invaluable insight to experimental and theoretical organic chemists. First, the physical meaning of the decomposed IQA energy terms can be easily related to common chemical concepts, making IQA-REG attractive and familiar to the organic chemist. Second, identifying the most relevant factors contributing to a reaction barrier, with a comprehensible chemical language, enables more efficient synthetic designs by targeting the corresponding sites with appropriate substituents. In this case, we explain why proper substitution on the ethylene or another type of TAC is needed to lower the high barrier associated with the rupture of the ethylene/acetylene multiple bond in non-polar zw-type 32CA reactions.

There are no conflicts to declare.

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