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Fine-tuning of the spin-crossover properties of Fe(III) complexes *via* ligand design†

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Exploring the chemical space of a given ligand aiming to modulate its ligand field strength is a versatile strategy for the fine-tuning of physical properties such as the transition temperature ($T_{1/2}$) of spin-crossover (SCO) complexes. The computational study presented herein aims at systematically exploring the extent to which the ligand substituent effects can modulate $T_{1/2}$ in two families of Fe(III) SCO systems with a N_4O_2 coordination environment and at identifying the best descriptors for fast and accurate prediction of changes in $T_{1/2}$ upon ligand functionalization. B3LYP* calculations show that the attachment of substituents to β -ketoiminato fragments (L_1) leads to drastic changes in $T_{1/2}$, while functionalization of phenolato moieties (L_2) allows for a finer degree of control over $T_{1/2}$. Natural Bond Orbital (NBO) charges of the donor atoms, Hammett parameters for both *para* and *meta*-functionalization of L_2 , and Swain–Lupton parameters for L_1 and *para*-functionalization of L_2 have been found to be the suitable descriptors for predicting the changes in $T_{1/2}$. Further analysis of the ligand-field splitting in such systems rationalizes the observed trends and shows that ligand substituents modify both the σ and π bonds between the Fe(III) center and the ligands. Thus, we provide simple yet reliable guidelines for the rational design of new SCO systems with specific values of $T_{1/2}$ based on their ligand design.

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1. Introduction

Switchable materials^{1–4} are key components in the design of nanodevices, because their intrinsic dual behavior or bistability allows them to achieve two different equilibrium states, thus making them perfect candidates for their use as molecular gates or their implementation in spintronic devices. Spin-crossover (SCO) molecules are a particular case of switchable systems, in which a single molecule is able to access two alternative electronic states close in energy.⁵ This change in the molecular spin-state, which can be triggered by an external stimulus, with the most common one being temperature but also pressure or electromagnetic radiation, induces significant changes in the physical properties of the system. In particular, changes in the magnetic moment, the bond lengths or the absorption spectra can be observed upon spin-transition.⁶ The phenomenon, reported for the very first time nearly a century

ago,⁷ has been the subject of intense research in chemistry and physics, and a wide range of SCO molecules, involving first row transition metal ions and different degrees of nuclearity, have been reported in the literature.^{8–18}

A key parameter to characterize SCO systems is the transition temperature ($T_{1/2}$), which is defined as the temperature with equal populations of both spin states. The extreme sensitivity of the energy difference between the two spin states to changes in the ligand environment can be exploited to control $T_{1/2}$ *via* ligand engineering. In fact, the strategy of attaching different substituents to a given parent ligand with the goal of modulating the ligand field strength has been long used for Fe(II) SCO complexes,^{19–28} which are the most common type of SCO systems. Many of the studies on ligand substituent effects on Fe(II) complexes that have appeared in the literature over the last few years report solution measurements and/or Density Functional Theory (DFT) calculations of isolated complexes.^{29–43}

These techniques allow for an evaluation of the SCO properties of a given complex in the absence of crystal-packing effects and they thus allow for an unambiguous assessment of how substituents affect $T_{1/2}$ through the modulation of the electron density of the ligands and/or intramolecular steric interactions. The ability to predict in a quick and accurate way the effect of a ligand substituent on $T_{1/2}$ is key for the design of new SCO complexes with tailored switching temperatures. For this reason, significant efforts have been recently made to

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establish correlations between $T_{1/2}$ and electronic descriptors (e.g. Hammett parameters) as well as to achieve a detailed understanding of how substituents affect the strength of the ligand field by means of extensive studies based on solution measurements and/or DFT calculations of a large number of Fe(II) complexes with different substituents.^{16,44–48} Comprehensive studies aimed at establishing correlations between SCO properties and simple structural parameters have been reported, too.⁴⁹

Even though the first SCO compound ever reported contained an Fe(III) metal center, it has not been until very recently that complexes with such metal ions are being considered an alternative to Fe(II) complexes for the development of SCO systems.^{50–59} Although Fe(II) still vastly dominates the field of SCO, Fe(III) complexes offer certain advantages, such as their open air stability, which makes them quite appealing from the point of view of actual implementations. Like in the case of Fe(II) complexes, it has been shown in several investigations that the SCO properties of Fe(III) complexes can be tuned through ligand substituents.^{60–67} Recent studies using solution measurements and/or DFT calculations of isolated complexes have evaluated the effect of ligand substituents on $T_{1/2}$ of different types of Fe(III) compounds, including complexes prepared with quinolylsalicylaldimine,^{68–71} *N*-ethyl-*N*-(2-aminoethyl)-salicylaldimine,⁷² *N*-methyl-*N*-(2-aminoethyl)salicylaldimine⁷³ and 2-((*E*)-(2-(ethylamino)ethylimino)methyl)-*X*-methoxyphenol.⁷⁴ Despite the most valuable insights provided by these studies, a full picture on the effect of substituent groups on the SCO properties of Fe(III) complexes is still missing. In fact, to the best of our knowledge, no comprehensive studies dealing with a large dataset of substituents have been yet reported. This obviously hinders the use of ligand functionalization as a tool to fine-tune the ligand field strength and, thus, $T_{1/2}$ of Fe(III)-based SCO molecules is obtained. In seeking to tackle this problem, herein, we present the results of a computational study that has been carried out with the goal of addressing the following issues: (i) explore the extent that $T_{1/2}$ of a given parent Fe(III) SCO molecule can be modulated *via* ligand functionalization with

substituents of different electron-withdrawing or electron-donating capabilities; (ii) establish correlations between $T_{1/2}$ and electronic-structure descriptors that serve as a guide to the design of new Fe(III) SCO molecules; (iii) analyze the modulation of $T_{1/2}$ in terms of changes in the splitting energies of the d-orbitals.

The systematic study presented in this work has been carried out using two different parent molecules with a N_4O_2 donor atom set, which gives rise to the most common coordination environment in Fe(III)–SCO complexes. The two parent systems, $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$, have two imidazole (im) ligands in the axial positions and a tetradentate ligand in the equatorial positions: $\text{L}_1 = N,N'$ -ethylene-bis(acetylacetoniminato-*N,N',O,O'*) and $\text{L}_2 = (2,2'$ -(ethane-1,2-diylbis((nitrilo)eth-1-yl-1-ylidene))diphenolato). As can be seen from Fig. 1, different R substituent groups can be attached to these two tetradentate ligands. In the case of L_1 , we will consider two chelate ring substituents in each β -ketoiminato fragment. In the case of L_2 , in turn, we will functionalize each phenolato moiety with a substituent that will be placed either in *para* (R_p) or in *meta* position (R_m) with respect to the O donor atom. It should be mentioned that $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ complexes with R_p or $\text{R}_m = -\text{OMe}$ have already been reported.⁷⁵ Using the experimentally known systems $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ ($\text{R} = -\text{Me}$)⁷⁶ $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ ($\text{R} = \text{H}$) as a starting point,⁷⁷ our calculations show that it is possible to modulate $T_{1/2}$ *via* ligand functionalization in such systems and that, in fact, linear correlations can be extracted to predict and rationalize the behavior of such quantity in the studied systems. This article is organized as follows. We will first introduce the computational methodology used in this article. In the Results and discussion section, we will first investigate the extent to which the $T_{1/2}$ of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ can be tuned through ligand functionalization. Then, we will establish which are the best descriptors for a quick and accurate prediction of the observed substituent effects. After that, we will provide a rationale for the observed trends on the basis of splitting energies of the d-orbitals. Finally, the conclusions will be presented.

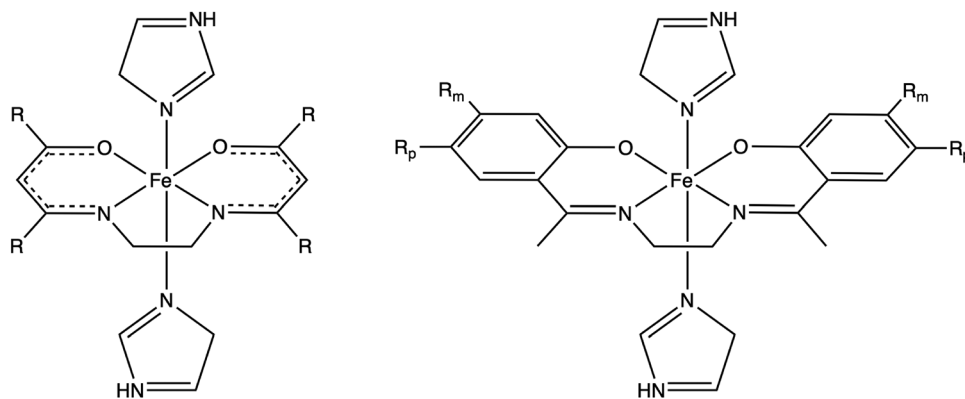


Fig. 1 Schematic representation of the two systems studied in this work. Left, $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ ($\text{L}_1 = N,N'$ -ethylene-bis(acetylacetoniminato-*N,N',O,O'*)), and right, $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ ($\text{L}_2 = (2,2'$ -(ethane-1,2-diylbis((nitrilo)eth-1-yl-1-ylidene))diphenolato)), im = imidazole, and $\text{R} = \text{R}_p = \text{R}_m = -\text{NH}_2$, $-\text{OMe}$, $-\text{F}$, $-\text{Cl}$, $-\text{Br}$, $-\text{CH}_3$, $-\text{H}$, $-\text{CN}$ and $-\text{CH}_3$.



1.1. Computational details

Over the last few years, several computational studies devoted to calculating $T_{1/2}$ in SCO systems have appeared in the literature and showed that using Density Functional Theory (DFT) calculations, it is possible to accurately compute spin-state energy gaps in SCO systems,^{78–91} and their corresponding $T_{1/2}$.⁹² In some cases, such studies are able to generate models that rationalize the experimentally reported trends in families of SCO molecules by analyzing the underlying electronic structure in terms of d -based molecular orbitals.^{38,93–95} Recently, we have benchmarked several DFT methods against a large data set of Fe(III) SCO systems.⁹⁶ From that study, it was clear that both TPSSH^{97,98} and B3LYP*⁹⁹ are able to correctly predict the energy window for SCO to occur in such systems and, in particular, B3LYP* was able to minimize the error with respect to the calculation of the corresponding $T_{1/2}$. B3LYP* has been also benchmarked against correlated multi-configurational wave function calculations and proven to provide accurate geometries, vibrational frequencies, spin-state energy gaps and absorption spectra for Fe(III) SCO systems.¹⁰⁰ For these reasons, we have chosen the B3LYP* exchange–correlation functional to explore ligand–substituent effects on the SCO properties of Fe(III) complexes.

The adiabatic energy gaps between the sextet spin states ($S = 5/2$) and the doublet spin states ($S = 1/2$) of the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ systems have been evaluated by means of DFT full optimizations. These calculations have been carried out using the Gaussian 16 (revision B0.1)¹⁰¹ electronic structure package with a 10^{-8} convergence criterion for the density matrix elements, using the latest triple- ζ basis set with polarization functions for all elements (def2-TZVP).^{102,103} The corresponding vibrational analysis was done for all optimized structures to ensure that they were minimums along the potential energy surface. The transition temperatures ($T_{1/2}$) were estimated by means of the following expression, which holds under the condition of thermodynamic equilibrium:

$$T_{1/2} = \frac{\Delta H_{\text{HS-LS}}(T_{1/2})}{\Delta S_{\text{HS-LS}}(T_{1/2})} \quad (1)$$

In this equation, $\Delta H_{\text{HS-LS}}$ is the enthalpy difference between the HS and LS states and $\Delta S_{\text{HS-LS}}$ is the entropy difference between the HS and LS states. The $\Delta H_{\text{HS-LS}}$ value is obtained through eqn (2):

$$\Delta H_{\text{HS-LS}} = \Delta E_{\text{HS-LS}} + \Delta H_{\text{vib}} \quad (2)$$

where $\Delta E_{\text{HS-LS}}$ and ΔH_{vib} are, respectively, the adiabatic energy difference and the vibrational enthalpy difference between the HS and LS states. The vibrational enthalpy of each state can be obtained by means of the frequencies of the vibrational normal modes (ν_i) and a standard equation in statistical thermodynamics:

$$H_{\text{vib}} = \sum_i^{N_{\text{vib}}} \left(\frac{1}{2} h\nu_i + \frac{h\nu_i e^{-h\nu_i/k_B T}}{1 - e^{-h\nu_i/k_B T}} \right) \quad (3)$$

The $\Delta S_{\text{HS-LS}}$ value, in turn, can be computed through eqn (4):

$$\Delta S_{\text{HS-LS}}(T) = \Delta S_{\text{elec}} + \Delta S_{\text{vib}}(T) \quad (4)$$

where ΔS_{elec} and ΔS_{vib} are, respectively, the electronic entropy difference and the vibrational entropy difference between the HS and LS states. The electronic entropy (S_{elec}) of each state can be straightforwardly obtained by means of eqn (5):

$$S_{\text{elec}} = R \ln(2S + 1) \quad (5)$$

Finally, the calculation of the vibrational enthalpy of each state can be done by means of another standard equation in statistical thermodynamics:⁹⁶

$$S_{\text{vib}} = \sum_i^{N_{\text{vib}}} \left(\frac{h\nu_i}{T} \frac{1}{e^{h\nu_i/k_B T} - 1} - k_B \ln \left(1 - e^{-h\nu_i/k_B T} \right) \right) \quad (6)$$

The analysis of the splitting of the d -orbitals has been performed by means of n -electron valence perturbation theory (NEVPT2)¹⁰⁴ calculations on the low-spin states ($S = 1/2$) of the systems, as implemented in the ORCA 4.0 computer code.^{105,106} In these calculations, we employed the def2TZVP basis set, including the corresponding auxiliary basis set for the correlation and Coulomb fitting. The active space contains the 5 d -orbitals of the metal and 5 electrons, and the *ab initio* ligand-field theory (AILFT)¹⁰⁷ approach was employed to extract the related orbitals.

2. Results and discussion

2.1. Impact of ligand substituents on $T_{1/2}$ for $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$

The investigation of ligand substituents' effects on the SCO properties of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ (Fig. 1) was done through a selection of substituents that ranged from electron withdrawing groups (EWG) to electron donor groups (EDG), aiming to cover the whole range of inductive and resonance effects over the ligand field. The results collected in Table 1 show that functionalization has a very large impact on the spin-state energy and enthalpy gaps of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$, as well as on the corresponding $T_{1/2}$. In fact, the range of $T_{1/2}$ values afforded by the substituents is so large (~ 450 K) that in some cases, the SCO behavior may be suppressed as a result of

Table 1 Effect of ligand substituents on the spin-state energy gap (ΔE), enthalpy change (ΔH), entropy change (ΔS) and computed $T_{1/2}$ for the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ functionalized system

R	$\Delta E/\text{kcal mol}^{-1}$	$\Delta H/\text{kcal mol}^{-1}$	$\Delta S/\text{cal K}^{-1} \text{mol}^{-1}$	$T_{1/2}/\text{K}$
–NH ₂	1.87	2.99	15.13	187
–OMe	3.32	4.28	14.55	283
–F	3.90	4.95	15.40	308
–Br	4.45	5.48	15.43	343
–Cl	4.90	5.94	15.32	374
–CH ₃	7.08	8.13	15.07	521
–H	7.60	8.77	15.81	536
–CN	8.92	10.05	16.95	574
–CF ₃	9.61	10.69	16.18	641



Table 2 Effect of ligand substituents on the spin-state energy gap (ΔE), enthalpy change (ΔH), entropy change (ΔS) and computed $T_{1/2}$ for the *meta*-functionalized $[\text{Fe}(\text{L}_2^{\text{R}_m})(\text{im})_2]^+$ system. The σ_m Hammett constant associated with each substituent is also given

R_m	σ_m	$\Delta E/\text{kcal mol}^{-1}$	$\Delta H/\text{kcal mol}^{-1}$	$\Delta S/\text{cal K}^{-1} \text{mol}^{-1}$	$T_{1/2}/\text{K}$
$-\text{NH}_2$	-0.161	2.62	3.66	14.25	245
$-\text{CH}_3$	-0.069	2.92	3.99	15.74	243
$-\text{H}$	0.000	2.92	3.95	14.74	256
$-\text{OMe}$	0.115	2.58	3.60	14.94	230
$-\text{F}$	0.337	3.36	4.37	14.42	291
$-\text{Cl}$	0.373	3.32	4.32	14.48	285
$-\text{Br}$	0.393	3.32	4.33	14.46	286
$-\text{CF}_3$	0.430	3.52	4.54	14.76	294
$-\text{CN}$	0.560	3.53	4.54	14.38	302

Table 3 Effect of ligand substituents on the spin-state energy gap (ΔE), enthalpy change (ΔH), entropy change (ΔS) and computed $T_{1/2}$ for the *para*-functionalized $[\text{Fe}(\text{L}_2^{\text{R}_p})(\text{im})_2]^+$ system. The σ_p Hammett constant associated with each substituent is also given

R_p	σ_p	$\Delta E/\text{kcal mol}^{-1}$	$\Delta H/\text{kcal mol}^{-1}$	$\Delta S/\text{cal K}^{-1} \text{mol}^{-1}$	$T_{1/2}/\text{K}$
$-\text{NH}_2$	-0.660	1.66	2.74	14.85	174
$-\text{OMe}$	-0.268	1.58	2.63	14.68	168
$-\text{CH}_3$	-0.170	2.73	3.81	15.52	234
$-\text{H}$	0.000	2.92	3.95	14.74	256
$-\text{F}$	0.062	2.80	3.84	14.79	247
$-\text{Cl}$	0.227	2.95	3.98	14.59	260
$-\text{Br}$	0.232	3.00	4.02	14.55	263
$-\text{CF}_3$	0.540	3.69	4.70	15.00	301
$-\text{CN}$	0.660	3.68	4.68	14.04	320

an exceedingly high value of $T_{1/2}$. The degree of change in spin-state energy gaps and $T_{1/2}$ values that can be achieved upon functionalization of the aromatic rings of the $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ system is smaller than that observed for the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ system (cf. Tables 2 and 3). Remarkably, the *para* functionalization on the aromatic rings has a larger effect on the spin-state energy gap than the *meta* functionalization, which results in a different degree of tunability of $T_{1/2}$ depending on the type of isomer. Specifically, $T_{1/2}$ ranges from 243 K to 302 K (cf. Table 2) and from 174 K to 320 K (cf. Table 3) for *meta* and *para* functionalization, respectively.

Therefore, while L_1^{R} offers a platform to control, but also to switch on or off the SCO behavior in this family of compounds, the $\text{L}_2^{\text{R}_p/\text{R}_m}$ ligand provides with a much finer degree of control over the transition temperature. This difference is due to, obviously, the ligand design itself. In L_1^{R} , the R groups are directly connected to the acetylacetoniminato group, thus heavily impacting the frontier molecular orbitals and the charge distribution in the ligand. In the $\text{L}_2^{\text{R}_p/\text{R}_m}$ systems, this effect is subtler due to the fact that the aromatic rings are being functionalized with EDG or EWG groups, an effect that impacts in the phenolate group, but in a smoother way. It is important to stress that regardless of the system and position of substituents, functionalization with EWG (EDG) groups leads to an increase (decrease) in $T_{1/2}$ in all systems considered herein.

This is in line with the ligand substituent effects observed in Fe(II) complexes in which pyridine-based ligands are functionalized in the *para* position.^{44,46,47} Although very scarce (see Table S10 in the ESI†), the available data in solution for the $[\text{Fe}(\text{L}_1^{\text{CH}_3})(\text{im})_2]^+$, $[\text{Fe}(\text{L}_2^{\text{pOMe}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{mOMe}})(\text{im})_2]^+$ systems support our computed values for $T_{1/2}$.^{75,108}

2.2. Assessment of descriptors for predicting the effect of ligand substituents on $T_{1/2}$

After having demonstrated the strong effects of ligand substituents on $T_{1/2}$ of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$, we will now investigate the correlation between changes in $T_{1/2}$ and changes in different types of descriptors with the goal of identifying the best descriptors for a quick and accurate prediction of $T_{1/2}$ variations upon functionalization. We will first investigate the performance of descriptors associated with the substituents. Specifically, we will consider electronegativity,¹⁰⁹ Hammett parameters,^{110,111} and Swain–Lupton resonance parameters.¹¹² As it may be seen in Fig. S7 and S8 (ESI†), the values of substituent electronegativity do not correlate at all with the $T_{1/2}$ values of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ (S7) (ESI†) and barely correlate with the $T_{1/2}$ values of $[\text{Fe}(\text{L}_2^{\text{R}_p})(\text{im})_2]^+$ (S8) (ESI†). The correlation between electronegativity and $T_{1/2}$ substantially improves when considering functionalization in the *meta* position in the aromatic rings of $[\text{Fe}(\text{L}_2^{\text{R}_m})(\text{im})_2]^+$ (S8) (ESI†). Notwithstanding such an improvement, the correlation is not yet good enough to allow for an accurate prediction of $T_{1/2}$ variations. The difference in performance of the electronegativity descriptor depending on the type of functionalization of $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ (*meta* vs. *para*) hints at a strong impact of π -bonding resonance effects induced by substituents in the *para* position, not captured in the electronegativity. Indeed, a good correlation exists between the σ_p Hammett parameter and the $T_{1/2}$ values of the $[\text{Fe}(\text{L}_2^{\text{R}_p})(\text{im})_2]^+$ systems (see Fig. 2). Correlations of similar quality between σ_p values and experimentally measured $T_{1/2}$ values have been reported for Fe(II) complexes.^{44,46} The correlation between the σ_m Hammett parameter and $T_{1/2}$ of $[\text{Fe}(\text{L}_2^{\text{R}_m})(\text{im})_2]^+$ is slightly worse than the correlation observed for substituents in the *para* position (see Fig. 2). Yet, the correlation found between σ_m and $T_{1/2}$ is better than the correlation between electronegativity and $T_{1/2}$. As it may be seen in Fig. S1 (ESI†), Hammett parameters are not reliable for an accurate prediction of the impact of substituents on the β -ketoiminato fragments of the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ system, especially in the case of σ_m values, which barely correlate with $T_{1/2}$ values. The better correlation of σ_p with $T_{1/2}$ for $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ as compared to σ_m is highly suggestive of the importance of π -bonding resonance effects. In fact, a good correlation is found between Swain–Lupton resonance parameters and $T_{1/2}$ for $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ (see Fig. 3). These resonance parameters also correlate well with the $T_{1/2}$ values of $[\text{Fe}(\text{L}_2^{\text{R}_p})(\text{im})_2]^+$ (see Fig. 3), which is in line with the good correlations found between Swain–Lupton parameters and the spin-state energy gaps of substituted $[\text{Fe}(\text{bpy})_3]^{2+}$ complexes.⁴⁷



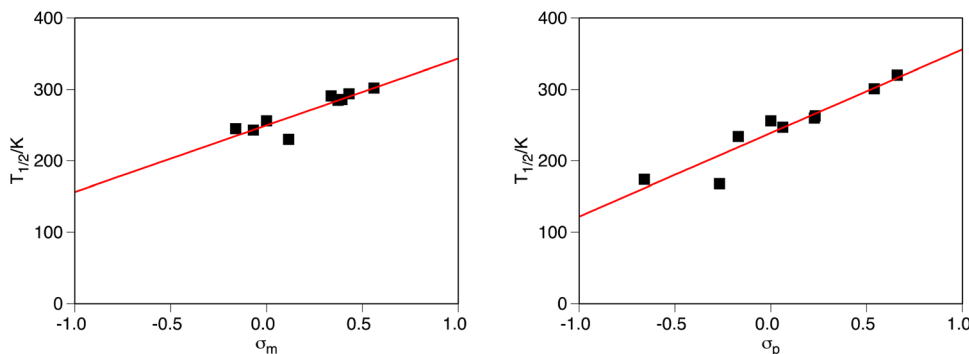


Fig. 2 Correlation between the computed $T_{1/2}$ with the Sigma Hammett constants for the $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ ($\text{R} = -\text{NH}_2, -\text{OMe}, -\text{F}, -\text{Cl}, -\text{Br}, -\text{CH}_3, -\text{H}-\text{CN}$ and $-\text{CH}_3$) system. Left, $\text{L}_2^{\text{R}_m}$ and right $\text{L}_2^{\text{R}_p}$ ligands ($R^2 = 0.79$ and 0.88 , respectively).

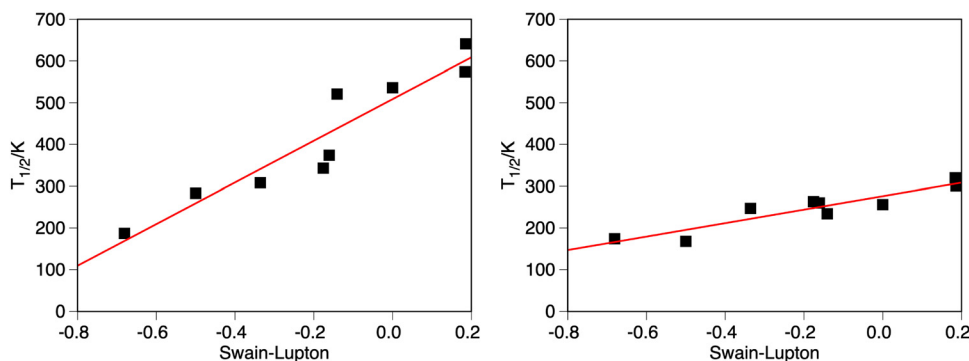


Fig. 3 Correlation between the computed $T_{1/2}$ with the Swain-Lupton parameters for the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ (left) and $[\text{Fe}(\text{L}_2^{\text{R}_p})(\text{im})_2]^+$ (right) systems ($\text{R} = -\text{NH}_2, -\text{OMe}, -\text{F}, -\text{Cl}, -\text{Br}, -\text{CH}_3, -\text{H}-\text{CN}$ and $-\text{CH}_3$). ($R^2 = 0.89$ and 0.86 , respectively).

The results presented thus far in this subsection demonstrate that descriptors associated with the electronic properties of substituents provide an efficient tool for a quick and reasonably accurate prediction of ligand-substituent effects on the SCO behavior of $\text{Fe}(\text{III})$ complexes. In particular, Hammett parameters correlate well with $T_{1/2}$ when considering functionalization of phenolato moieties. Remarkably, our work has also shown that Swain-Lupton resonance parameters lead to an accurate prediction of changes in $T_{1/2}$ in systems where a β -ketoiminato fragment is functionalized, thus allowing for predictions that go beyond substitutions in aromatic rings.

We will now turn our attention to the performance of descriptors obtained from the DFT calculations carried out for the coordination compounds. Given that the crystal field felt by the $\text{Fe}(\text{III})$ ions depends on the charge of the coordinating atoms, we will focus on descriptors associated with such charge. As done in a previous study of $\text{Fe}(\text{II})$ -SCO complexes,⁴⁶ we have chosen the NBO charges, *i.e.*, the charges obtained from a Natural Bond Orbital analysis. As it may be seen in Fig. 4, a clear correlation between $T_{1/2}$ (and also the spin-state energy gap) of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and the NBO charge of the N donor atoms of the L_1^{R} ligand can be found. Notably, the good correlation is found for both the LS and HS states. A multiple regression using the NBO charges on the N and O donor atoms as independent variables does not result in a better tool for predicting changes in $T_{1/2}$

because the charge on the O atom barely correlates with $T_{1/2}$. In contrast, a good correlation can be obtained between the NBO charge of the O donor atom of the $\text{L}_2^{\text{R}_p/\text{R}_m}$ ligand and the $T_{1/2}$ and spin-state energy gap values of $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ (see Fig. S3, ESI†). This is understandable due to the fact that this donor atom is going to be more affected by the aromatic ring functionalization, as it is directly bonded to the ring. Note, however, that the changes in the partial charge of the O donor atom in $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ are much more subtle than the changes in the partial charge of the N donor atom in $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$. As shown in Fig. S4 (ESI†), Mulliken charges of the N-donor atom can also be used to extract some correlations with the computed spin-state energy gap for all studied systems, but their performance is poorer with respect to the NBO ones, and these correlations can only be outlined for one of the two accessible spin-states.

The good correlations obtained between $T_{1/2}$ and the NBO charges of donor atoms in the $\text{Fe}(\text{III})$ complexes mean that the impact of a given substituent on the $T_{1/2}$ values of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ can be accurately predicted without having to perform two DFT calculations. Only one DFT calculation is required, thus halving the amount of computer time needed. Given the good correlation found for both spin states, the DFT calculation can be performed in either the LS or the HS state. In an attempt to further reduce the computational effort, we



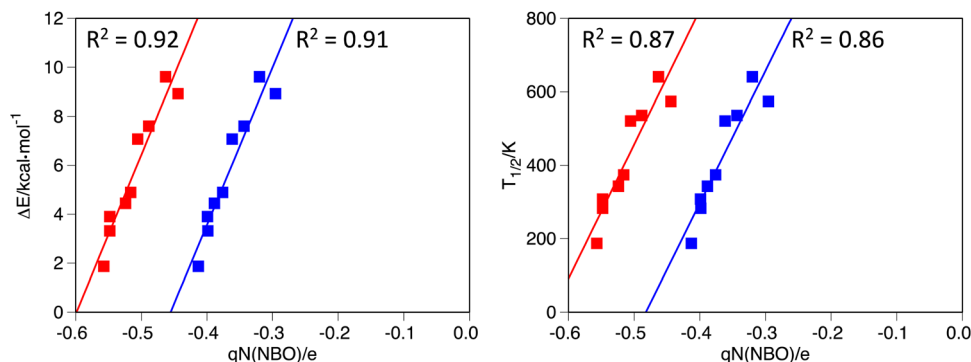


Fig. 4 Correlation between the spin-state energy gap (ΔE , left) and the computed $T_{1/2}$ (right) against the NBO charge on the N atom of the L_1^R ligand of the $[\text{Fe}(L_1^R)(\text{im})_2]^+$ system. Red for high-spin and blue for low-spin state, respectively. ($R^2 = 0.92$ and 0.91 for the ΔE correlation, and 0.87 and 0.86 for the $T_{1/2}$ correlation, high-spin and low-spin, respectively).

investigated whether ligand-substituent effects on the SCO temperature can be accurately predicted by means of NBO charges of the free ligand. Unfortunately, the correlation between NBO charges and $T_{1/2}$ substantially worsens when considering free ligands (see Fig. S5, ESI†), thereby preventing the use of DFT-obtained charges in free ligands as a tool for a quick and accurate prediction of changes in $T_{1/2}$ in the systems considered herein, with the only exception of the L_2^P ligand, for which a poor correlation can be observed (S5) (ESI†). Our results on the NBO charges of free ligands contrast with the excellent correlations found for some of the Fe(II) complexes reported in ref. 46. Other attempts to find descriptors obtained from DFT calculations of

the free ligands for a quick and accurate prediction of changes in $T_{1/2}$ were unsuccessful too. The energy of the HOMO for both, in the complex and as free ligand, correlates properly with the $T_{1/2}$ for $[\text{Fe}(L_2^{R_p/R_m})(\text{im})_2]^+$ systems, but such correlation disappears for $[\text{Fe}(L_1^R)(\text{im})_2]^+$, thus making also this descriptor less universal than one would like to (S6) (ESI†).

2.3. Rationalizing ligand-substituent effects based on the splitting energies of d-orbitals

We will now analyze the electronic structure of $[\text{Fe}(L_1^R)(\text{im})_2]^+$ and $[\text{Fe}(L_2^{R_p/R_m})(\text{im})_2]^+$ with the goal of providing more insight

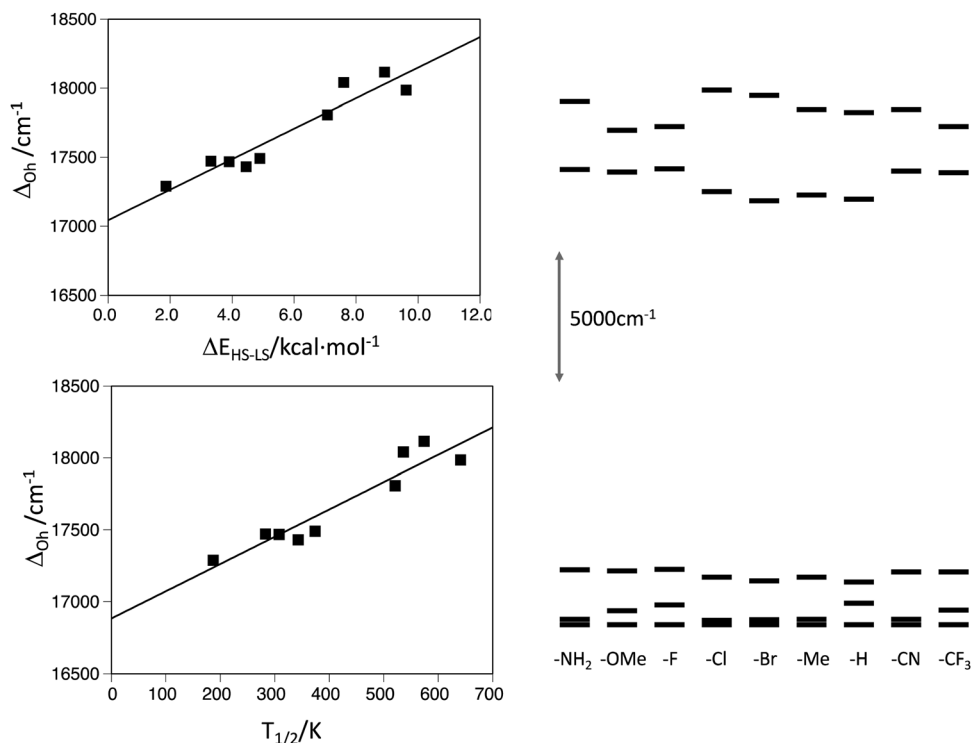


Fig. 5 Left, correlations between the computed d-MOs splitting and the spin-state energy gap ($\Delta E_{\text{HS-LS}}$) and computed transition temperature ($T_{1/2}$) of $[\text{Fe}(L_1^R)(\text{im})_2]^+$. Right, energy of the five d-MOs extracted from the *ab initio* ligand field theory applied to the NEVPT2 calculation on the low-spin ($S = 1/2$) optimized geometry. (R^2 values are 0.84 and 0.89 , respectively).



into the observed ligand-substituent effects. In general, the expected splitting of the Fe(III) d-orbitals in an octahedral ligand field will follow the well-known 2 (e_g) over the 3 (t_{2g}) pattern. The results from AILFT calculations carried out on the optimized LS ($S = 1/2$) geometries of $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ show that this is indeed the case (see Fig. 5). The degeneracy within the t_{2g} and e_g manifolds is also lifted with the exception of some $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ systems, in which the degeneracy within the t_{2g} manifold is only partially lifted (see Fig. 5). The energy gap between the t_{2g} and e_g levels (Δ_{Oct} , computed as the energy difference between the average energy of the e_g orbitals and the average energy of the t_{2g} orbitals) correlates well with ΔE (see Fig. 5) and, in consequence, with $T_{1/2}$ (see Fig. 5). The changes in the ligand field, though, cannot be traced back to the changes in energy of a single orbital, but rather to the overall electronic structure in the system. The observed changes in the energy of both e_g -type and t_{2g} -type orbitals upon functionalization provide evidence that substituents tune the SCO properties through modifications of both the σ and π bonds between the Fe(III) center and the ligand, in line with the findings reported for Fe(II) complexes in ref. 44. These results are in agreement with those reported in ref. 72 for a series of Fe(III) complexes.

3. Conclusions

In this work, the B3LYP* functional, which has been recently benchmarked for spin-crossover Fe(III) systems, has been used to explore how chemical modifications on the ligand design can be harnessed for the fine tuning of the $T_{1/2}$ in two families of Fe(III)-SCO compounds. In particular, our results illustrated that in the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ system, a broad range of $T_{1/2}$ is accessible by changing the R group attached to the β -ketoiminato fragment, and for the $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ system, the possibility of tuning the $T_{1/2}$ in a finer way becomes accessible, not only as a function of the R group attached to the phenolato moiety, but also depending on its position on the aromatic ring. In all cases, the observed trends in the $T_{1/2}$ can be understood by the changes in the splitting of the d-based manifold of orbitals. The different energy gaps between the t_{2g} and e_g orbitals as a function of the substituent, in turn, result from energy changes of both types of orbitals, thus showing that ligand substituents modify both the σ and π bonds between the Fe(III) center and the ligands.

Our results have also shown that a correlation between the NBO partial charges of the donor atoms of the ligands (N and O for L_1 and L_2 , respectively) in the complex and its $T_{1/2}$ can be outlined. This is particularly relevant, because it implies that one can estimate $T_{1/2}$, just by computing only one of the two spin-states, thus reducing in more than half the computational cost of such studies. Similarly, the electron donor or electron withdrawing character of the R substituents in the $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ system, measured with the corresponding σ_p or σ_m Hammett parameters, also shows a linear relationship with the computed $T_{1/2}$. The more the EWG of the R group is, the smaller the $T_{1/2}$. Moving beyond substituent effects on

aromatic rings, a linear correlation between Swain–Lupton parameters of the substituents attached to the L_1 ligand and the $T_{1/2}$ values for the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ system has been found. In all cases, such relationships allow for a rational design of new SCO systems with specific properties, a powerful tool for the design of new molecules capable of undergoing the transition at given temperatures.

Although ultimately one must synthesize such systems in order to validate our results, and crystal packing effects may play a role in the overall behavior of such species (S10), the presented data will help synthetic chemists in the design of new molecular SCO systems that can operate at specific $T_{1/2}$ for the $[\text{Fe}(\text{L}_1^{\text{R}})(\text{im})_2]^+$ and $[\text{Fe}(\text{L}_2^{\text{R}_p/\text{R}_m})(\text{im})_2]^+$ families. Moreover, our results provide with a simple, yet quantitative tool that allows for the virtual screening of new Fe(III)-based spin-crossover systems with tailored properties based on the ligand design.

Conflicts of interest

The authors declare no competing financial interest.

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