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# Nature of photoexcited states in ZnO-embedded graphene quantum dots†

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The combination of wide-band gap semiconductors such as zinc oxide (ZnO) and graphene quantum dots (GQDs) is a promising strategy to tune the optoelectronic properties of GQDs and develop new functionalities. Here we report on a theoretical design of not-yet-synthesized hybrid materials composed of ZnO clusters surrounded by carbon moieties, hereinafter referred to as ZnO-embedded graphene quantum dots. Their structure and light absorption properties are presented, with an in-depth analysis of the nature of the photoexcited states. The stability of the  $(\text{ZnO})_n\text{C}_{96-2n}$  system with  $n = 1, 3, 4, 7, 12$  and  $27$  is investigated by performing vibrational mode analysis and estimating cohesive energy and zinc vacancy formation energy. A strong dependence of the structural and optoelectronic properties of the hybrid material on the amount of ZnO pairs is revealed and discussed. Strong light absorption and unexpected enhancement of Raman modes related to the vibrations in carbon moiety are observed for the highly symmetric  $(\text{ZnO})_{27}\text{C}_{42}$  system that makes it an ideal study subject. Complementary excited state analysis, charge density difference (CDD) analysis and interfragment charge transfer analysis present insights deep into the nature of the excited states. An equal contribution of doubly degenerate locally excited states and charge transfer states in broadband light absorption by  $(\text{ZnO})_{27}\text{C}_{42}$  is identified. The present results are helpful to elucidate the nature of the fundamental internal mechanisms underlying light absorption in ZnO-embedded graphene quantum dots, thereby providing a scientific background for future experimental study of low-dimensional metal–oxygen–carbon material family.

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## Introduction

Graphene quantum dots (GQDs) – nanosized graphene fragments – are currently considered as an exclusive organic material that brings together the beneficial properties of graphene (large surface area, high carrier mobility and conductivity) and the excellent light-emitting ability dictated by its semiconducting character. Possessing tuneable band gap, low toxicity, and good chemical stability, GQDs find applications in biomedicine, optoelectronics, energy, and sensing technologies. Further integration of zero-dimensional (0D) GQDs with inorganic compound semiconductors (ICS) is a winning strategy to create advanced organic–inorganic hybrid nanomaterials with novel functionalities. Among different ICS, zinc oxide (ZnO) is of special importance for many applications due its unique physical properties (direct bandgap of 3.3 eV at room temperature; strong radiation hardness; large exciton binding

energy of 60 meV; antimicrobial activity *etc.*), relative growth/synthesis simplicity, and morphological diversity.<sup>1,2</sup>

Over the recent years a great deal of progress has been made in synthesis, material characterization and conceptualization of ZnO-GQDs nanohybrids. More specifically, a combination of both materials enables designing high-performance sensors for the detection of different substances including  $\text{H}_2\text{O}_2$ ,<sup>3</sup>  $\text{CO}_2$ ,<sup>4</sup> ammonia,<sup>5</sup> ethanol,<sup>6</sup> hydroquinone,<sup>7</sup> zearalenone in mildewing cereal crops,<sup>8</sup> acetone,<sup>9,10</sup>  $\text{H}_2\text{S}$ ,<sup>11</sup> acetic acid,<sup>12</sup> chemo-therapeutic agent (6-mercaptopurine),<sup>13</sup> and  $\text{NO}_2$ .<sup>14,15</sup> Apart from various sensing principles that have been applied for quantification of gas or liquid molecules, it should be emphasized that in most cases sensitive hybrid materials were constructed through functionalization or decoration of host ZnO matrix with undoped or doped GQDs. Another example of a beneficial synergy between GQDs and ZnO features concerns a high promise of related nanohybrids for design of next-generation ultraviolet (UV) photodetectors.<sup>16–29</sup> On one hand, the presence of GQDs may favor separation and transport of the photogenerated carriers, thus reducing both the electron–hole recombination and the photo-response time. Since GQDs have a good response to UV light, sensitization with GQDs may, on the other hand, enhance the overall UV absorption in ZnO and hence the photoconductivity of ZnO-based photodetector. The pronounced charge separation at

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the QDs/ZnO interface also provides good prerequisites of using this hybrid material in perovskite-, dye sensitized-, quantum-dot-sensitized- and inverted polymer solar cells.<sup>30–43</sup> ZnO-graphene quantum dots system is promising for designing optoelectronic devices (namely light-emitting diodes, LEDs),<sup>44–49</sup> playing a role of either electron transport layer or active emissive component. Particularly, a brightness of 798 cd m<sup>-2</sup> was achieved for white LEDs based on ZnO cores wrapped in a shell of GQDs.<sup>49</sup>

One more important application of ZnO–GQDs hybrid nano-materials is related to their excellent photocatalytic properties. The enhanced light absorption in such combined systems and, consequently, increased number of photo-induced charge carriers make it possible to reach synthesis of tetrasubstituted propargylamines,<sup>50</sup> improved solar-driven water splitting<sup>51</sup> and photocatalytic H<sub>2</sub> evolution under visible light,<sup>52</sup> selective reduction of nitroarenes,<sup>53</sup> and effective degradation of metronidazole (MNZ) antibiotic,<sup>54</sup> colored pollutants (Rhodamine B, methylene blue (MB) and methylene orange (MO)),<sup>55–61</sup> colorless pollutant (carbendazim (CZ) fungicide)<sup>62</sup> and glyphosate herbicide contaminated in agricultural wastewater.<sup>63</sup>

Effective interfacial charge transfer between GQDs and ZnO under photoirradiation also facilitates the production of reactive oxygen species (ROS), which make it possible to use this material as an antibacterial agent.<sup>64–68</sup> Indeed, the formation of ROS may activate the electrostatic attraction between ZnO–GQDs hybrid and target bacteria (*Escherichia coli*, *Pseudomonas aeruginosa*, *Bacillus cereus* and *Staphylococcus aureus*), thereby causing a damage of bacterial cell membrane and inhibiting the growth of bacterial colonies.

All mentioned here examples highlight the importance of a deep understanding of the fundamental nature of photoexcitation and charge transport in ZnO–GQDs hybrid materials. Reaching such an understanding will provide guidelines for application-specific designs of ZnO–GQDs nanohybrids. Although the fundamentals of the response of ZnO–GQDs to light upon photoexcitation have been described in detail in literature, the main focus of the existing investigations is on a physical combination of ZnO and GQDs, each of which remains its own functional characteristics. In this case, both materials interact in a way with each other, but no formation of a new substance is expected. But what if we combine two isolated components into one stable material platform? Intuitively, such an approach could be conducive to avoid poor inter-component adhesion, thereby addressing overall temporal stability problem. Inspired by an earlier work of Quang *et al.* which is dedicated to the formation of graphene-like monolayer ZnO membranes suspended in graphene pores,<sup>69</sup> here we propose a new concept of organic–inorganic solid-state hybridization based on atomically thin graphene-like ZnO clusters embedded into GQDs. This can be referred to a monolithic integration between ZnO and GQDs. Since such a material system is still unexplored, we employ density-functional theory (DFT) and time-dependent DFT (TD-DFT) calculations to explore the nature of photoexcited states in (ZnO)<sub>n</sub>C<sub>96–2n</sub> system with  $n = 1, 3, 4, 7, 12$  and  $27$ .

## Methodology

All quantum chemistry calculations were conducted by means of Gaussian 16 Rev. C.01 program package<sup>70</sup> under gas-phase conditions. Closed-shell circumcircumcoronene molecule (C<sub>96</sub>H<sub>24</sub>) demonstrated in Fig. S1 (ESI<sup>†</sup>) was chosen as a representative of GQDs and a host organic molecule for ZnO clusters. Belonging to the neutral polycyclic aromatic hydrocarbons (PAHs) C<sub>96</sub>H<sub>24</sub> molecule is commonly used model of GQDs,<sup>71–73</sup> and it is a reasonable choice to investigate the local surface phenomena (adsorption, diffusion, nucleation, clustering, substitutional doping so on) at the graphene and to explore the optical properties of GQDs (absorption, fluorescence). Thus, the using of C<sub>96</sub>H<sub>24</sub> as a core molecule to design ZnO-embedded GQDs is warranted. ZnO-embedded graphene quantum dots, hereinafter referred to as (ZnO)<sub>n</sub>C<sub>96–2n</sub> systems, were constructed through replacing carbon (C) atoms with zinc (Zn) and oxygen (O) atoms (see Fig. S2, ESI<sup>†</sup>) in a way that ensures balanced substitutional doping of the system of hexagonally arranged carbon atoms in C<sub>96</sub>H<sub>24</sub>. This is analogous to a symmetric doping of two sublattices of infinite graphene model. As a result, there were equal numbers of zinc and oxygen atoms, indicating that the stoichiometry of ZnO clusters embedded in graphene quantum dots is 1 : 1. From the chemistry point of view, the incorporation of Zn into the carbon-based matrix is possible and already realized.<sup>74–76</sup> Moreover, the bonding of the carbon atoms (including sp<sup>2</sup>-hybridized carbon atoms) to zinc is a basis of the formation of the different organozinc compounds.<sup>77</sup> These examples suggest that (ZnO)<sub>n</sub>-C<sub>96–2n</sub> systems can be experimentally synthesized. Geometry optimization of the (ZnO)<sub>n</sub>C<sub>96–2n</sub> systems was performed using Perdew–Burke–Ernzerhof exchange–correlation hybrid functional (PBE0, also called PBE1PBE),<sup>78</sup> which combines 25% exact Hartree–Fock (HF) exchange with 75% of PBE exchange. PBE0 is a reasonable choice to correctly reproduce the experimentally observed electronic and optical properties of ZnO<sup>79</sup> and GQDs.<sup>80–82</sup> To validate the results obtained by PBE0, we performed additional calculations using B3LYP<sup>83</sup> and long-range-corrected functionals like CAM-B3LYP<sup>84</sup> and ωB97XD,<sup>85</sup> respectively. 6-31G\* basis sets<sup>86</sup> were used for carbon, hydrogen, and oxygen atoms, while the SDD basis set developed by the Stuttgart-Dresden-Bonn group<sup>87</sup> was used for zinc atom. Other basis sets like 6-31g(d)/LanL2DZ, 6-311++g(d,p)/LanL2DZ and cc-PVDZ/LanL2DZ were also tested to justify the use of computationally efficient 6-31G\*/SDD. (ZnO)<sub>n</sub>C<sub>96–2n</sub> structures corresponding to the lowest energy minima were obtained by enabling a full relaxation of all coordinates using the default convergence criteria. Vibrational mode calculations were additionally carried out to make sure there are no imaginary frequencies and to confirm the equilibrium of the ground-state geometry. Finally, absorption spectra of the (ZnO)<sub>n</sub>C<sub>96–2n</sub> hybrid materials were predicted using the time-dependent density functional theory (TD-DFT) approach using CAM-B3LYP/6-31G(d) method, which is capable to adequately reproduce the experimental absorption spectra of GQDs<sup>88</sup> and is an appropriate choice to study the charge-transfer excitations.<sup>89,90</sup>



The lowest 100 excited states were considered. The analysis of excited states was performed using Multiwfn program.<sup>91</sup> The VESTA program<sup>92</sup> was employed to visualize the structures of  $(\text{ZnO})_n\text{C}_{96-2n}$ .

The stability of  $(\text{ZnO})_n\text{C}_{96-2n}$  structures at 0 K was tested by estimating the cohesive energy per atom:<sup>93</sup>

$$E_{\text{coh}} = \frac{E_{(\text{ZnO})_n\text{C}_{96-2n}} - \left( \sum_i E_C + \sum_j E_H + \sum_k E_{\text{Zn}} + \sum_l E_O \right)}{n_C + n_H + n_{\text{Zn}} + n_O} \quad (1)$$

where  $E_{(\text{ZnO})_n\text{C}_{96-2n}}$  is the total energy of the relaxed  $(\text{ZnO})_n\text{C}_{96-2n}$  structure,  $E_{\text{C,H,Zn,O}}$  is the energy of isolated carbon, hydrogen, zinc, and oxygen atoms, respectively. The summation indices  $i, j, k$  and  $l$  correspond to the total amount of carbon, hydrogen, zinc, and oxygen atoms ( $n_{\text{C,H,Zn,O}}$ ) in  $(\text{ZnO})_n\text{C}_{96-2n}$  system with  $n = 1, 3, 4, 7, 12$  and  $27$ . In addition, we estimated the zinc vacancy formation energy for all structures using the following equation:

$$E_{V_{\text{Zn}}} = E_{(\text{ZnO})_n\text{C}_{96-2n}} - E_{\text{Zn}_{n-1}\text{O}_n\text{C}_{96-2n}} - E_{\text{Zn}} \quad (2)$$

where  $E_{\text{Zn}_{n-1}\text{O}_n\text{C}_{96-2n}}$  is the total energy of the system after removal of Zn atom. According to Fako *et al.*,<sup>94</sup> this formation energy can be correlated with the dissolution energy and hence the stability of the system under operating conditions. Fig. S3 (ESI<sup>†</sup>) demonstrates the optimized structures of  $(\text{ZnO})_n\text{C}_{96-2n}$  system upon Zn vacancy formation.

To investigate the room temperature stability of the selected  $(\text{ZnO})_{27}\text{C}_{42}$  system we have performed *ab initio* molecular dynamic calculations at 300 K using atom density matrix propagation (ADMP) method<sup>95</sup> implemented in Gaussian 16 Rev. C.01 program package. A time step ( $\Delta t$ ) was chosen to be 0.1 fs.

## Results and discussion

The optimized geometric structures of  $(\text{ZnO})_n\text{C}_{96-2n}$  obtained by PBE0 method are illustrated in Fig. 1. Cohesive energies and selected structural parameters are reported in Table 1. First, it should be noted that all constructed hybrid structures are energetically stable (with quite high energy barriers for the decomposition of molecule into individual atoms), as evidenced by the negative cohesive energies. Such a predicted energetic stability implies the principal possibility of experimental design of  $(\text{ZnO})_n\text{C}_{96-2n}$  quantum dots. However, the cohesive energy tends to become less negative with increasing the number of ZnO pairs embedded into the  $\text{C}_{96}$  matrix that entails a gradual decrease in the stability at 0 K (Fig. 2a). It is expected that under realistic conditions the stability of the considered systems can be more sensitive to the external factors. Despite pure GQDs have high corrosion resistance, excellent pH stability and photostability,<sup>96,97</sup> the introduction of a certain number of impurities (zinc and oxygen atoms in our case) into the host matrix may influence the material stability and physicochemical properties. One of the possible consequences of ZnO incorporation is the increased likelihood of dissolution of hybrid quantum dots under wet conditions. This can be manifested as a release of zinc ions into the solution. One may anticipate that the zinc ions resulting from the dissolution of quantum dots may have a detrimental effect on their photoactivity. Thus, it is quite important to check whether the proposed materials are prone to dissolution. The formation of zinc vacancies through deliberate removal of zinc ions from the hybrid matrix is a possible chemical process that can be used to evaluate the dissolution tendency.<sup>94</sup>

Fig. 2b demonstrates the relationship between zinc vacancy formation energy and chemical composition of hybrids. The structures with  $n = 1$  and  $3$  exhibit positive values of the  $V_{\text{Zn}}$

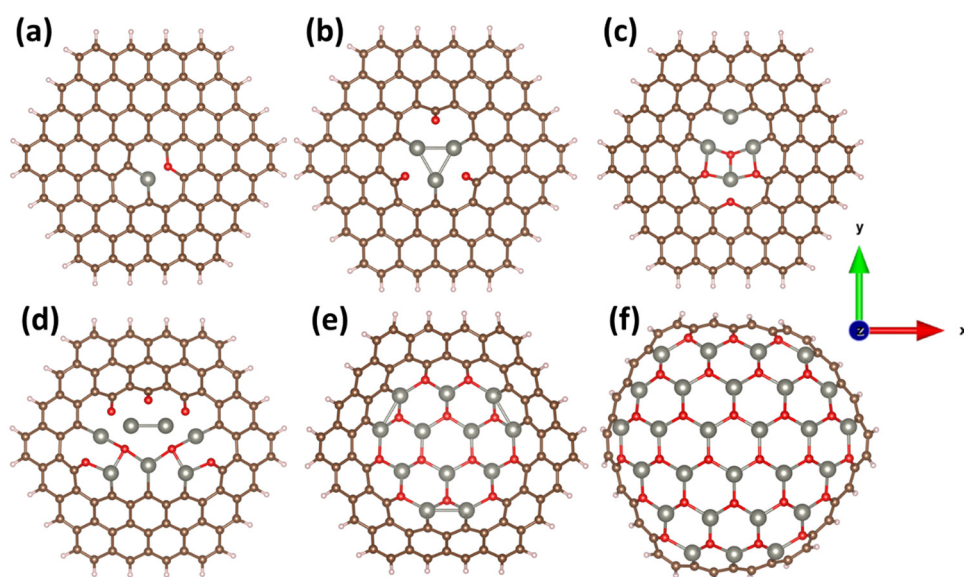


Fig. 1 (Top view) Optimized structures of the  $(\text{ZnO})_n\text{C}_{96-2n}$ : (a)  $n = 1$ , (b)  $n = 3$ , (c)  $n = 4$ , (d)  $n = 7$ , (e)  $n = 12$  and (f)  $n = 27$ , respectively. Brown, whitish, blue, and red balls correspond to carbon, hydrogen, zinc, and oxygen atoms, respectively.



**Table 1** Cohesive energies and structural parameters values of  $(\text{ZnO})_n\text{C}_{96-2n}$  hybrid structures

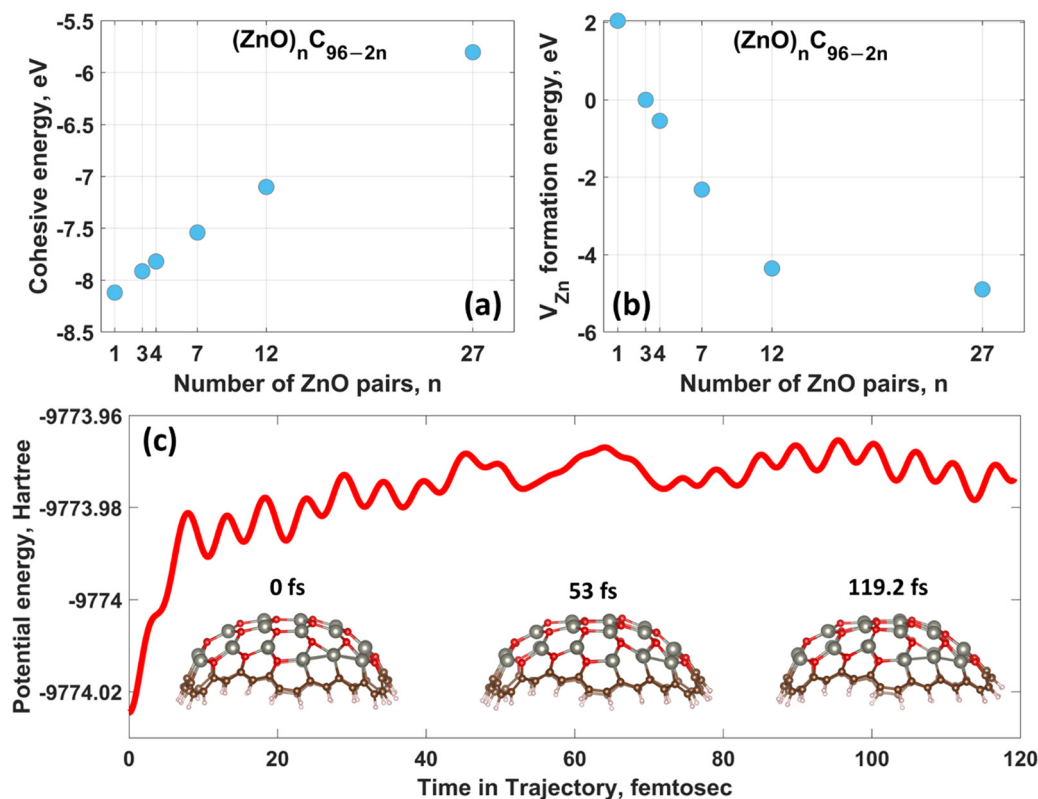
Number of ZnO pairs, $n$	Cohesive energy, eV	Mean Zn–O bond length, Å	Mean C–C bond length, Å	Mean C–O bond length, Å	Mean C–Zn bond length, Å	Curvature parameter, Å
0	−8.2801	—	1.4302	—	—	0.0053 [0.0106]
1	−8.1190	2.0864	1.4167	1.3971	1.8748	2.0937 [3.1488]
3	−7.9128	2.1315	1.4197	1.2828	1.9416	2.4904 [3.4627]
4	−7.8183	1.9652	1.4178	1.3744	1.8949	3.4944 [5.2536]
7	−7.5421	2.0383	1.4198	1.3118	1.9439	3.4653 [5.1961]
12	−7.1017	1.9043	1.4211	1.3681	1.8909	3.8044 [5.8253]
27	−5.8035	1.9039	1.4091	1.3710	1.9396	3.7695 [6.8327]

formation energy, suggesting that the zinc vacancy formation in such hybrids is energetically favorable process. This makes them more prone to dissolution. Interestingly, with further increase in the number of ZnO pairs the formation energy becomes negative and reaches the largest value for the  $(\text{ZnO})_{27}\text{C}_{42}$  structure. This is indicative of a relatively high dissolution stability of ZnO-rich nanohybrids under operating conditions. The stability of the  $(\text{ZnO})_{27}\text{C}_{42}$  hybrid structure possessing the most negative zinc vacancy formation energy was additionally investigated through performing molecular dynamics (MD) calculations at room temperature (300 K).

Fig. 2c shows ADMP potential-energy profile at 300 K for the selected system. Ground state structure of  $(\text{ZnO})_{27}\text{C}_{42}$  obtained by DFT was chosen as a starting point of the MD simulation. Within the first 10 fs there is a small increase in the potential

energy followed by trivial potential energy fluctuations. It is clear that Zn–C, Zn–O and C–O bonds are not broken down at room temperature and  $(\text{ZnO})_{27}\text{C}_{42}$  retains its semispherical shape. This highlights the thermal stability of this structure, which is a good prerequisite of a straightforward low-temperature synthesis of  $(\text{ZnO})_{27}\text{C}_{42}$ . However, structural degradation at higher temperatures cannot be ruled out.

The accommodation of ZnO pairs in the GQDs is achieved not only through the formation of new bonds like Zn–O, Zn–C and C–O, but also through a pronounced curvature of initially flat GQDs (Fig. S4, ESI<sup>†</sup>). The curvature parameter is estimated as the difference between the average  $z$ -coordinate and the absolute highest  $z$ -coordinate in the  $(\text{ZnO})_n\text{C}_{96-2n}$ . The results are listed in Table 1. The difference between minimum and maximum  $z$ -coordinates is included in square brackets as an



**Fig. 2** The dependence of the (a) cohesive energy and (b) zinc vacancy ( $V_{\text{Zn}}$ ) formation energy on the number of ZnO pairs in  $(\text{ZnO})_n\text{C}_{96-2n}$ . (c) Room-temperature ADMP potential energy profile calculated with PBE0/6-31G\*/SDD level of theory for  $(\text{ZnO})_{27}\text{C}_{42}$ . The inset corresponds to the geometric configurations of  $(\text{ZnO})_{27}\text{C}_{42}$  at the specific time.



additional curvature parameter (Table 1). It is apparent that both curvature parameters demonstrate a significant increase with increasing the amount of incorporated ZnO pairs, following the trend of decreasing stability. In the limit case (when 27 ZnO molecules are incorporated into  $C_{96}$  skeleton), *i.e.*  $(ZnO)_{27}C_{42}$  system, to a large extent, resembles the hemisphere fullerene.<sup>98</sup> Interestingly, the deviations from an ideally planar atomic arrangement decrease Zn–O, C–C and C–O bonds and, concomitantly, cause slight Zn–C bond length elongation. The structural properties of  $(ZnO)_{27}C_{42}$  computed using PBE0/6-31g(d)/LanL2DZ, PBE0/6-311++g(d,p)/LanL2DZ and PBE0/cc-PVDZ/LanL2DZ are in broad agreement with those determined using PBE0/6-31g(d)/SDD (Fig. S5, ESI<sup>†</sup>). The relatively weak effect of the functional on the structure and the shape of the  $(ZnO)_{27}C_{42}$  system was also observed (Fig. S6, ESI<sup>†</sup>). Therefore, our conclusion that  $(ZnO)_{27}C_{42}$  has hemispherical shape is still unchanged. Fig. S7–S9 (ESI<sup>†</sup>) exhibit the optimized geometric structures of all considered systems calculated by CAM-B3LYP/6-31g(d)/SDD method, which are comparable to those predicted by PBE0/6-31g(d)/SDD.

The stability of  $(ZnO)_n C_{96-2n}$  systems has been further confirmed by performing frequency calculations by PBE0 and CAM-B3LYP methods. The absence of the appreciable imaginary frequencies suggests the good stability of  $(ZnO)_n C_{96-2n}$  hybrid materials. The most interesting part of predicted Raman spectra of the  $(ZnO)_n C_{96-2n}$  that is related to the vibrations of light C atoms is demonstrated in Fig. 3. It is clearly seen that the

incorporation of ZnO into QGDs (up to  $n = 12$ ) causes a reduction of the molecular symmetry that is manifested by the appearance of a large set of local vibrational modes instead of few Raman modes of pristine QGDs (including the most intensive ones at  $1374\text{ cm}^{-1}$  and  $1343\text{ cm}^{-1}$  predicted by PBE0 and CAM-B3LYP, respectively) within the spectral range from  $1000$  to  $1800\text{ cm}^{-1}$ . It is striking to note that, independently on the method, the  $(ZnO)_{27}C_{42}$  structure has much stronger Raman activity than other considered structures including the reference pristine QGDs. The corresponding Raman spectrum predicted by PBE0 (CAM-B3LYP) is dominated by two bands at  $1119$  ( $1549$ ) and  $1384$  ( $1561$ )  $\text{cm}^{-1}$ , which are assigned to the atomic movements of carbon at the edges of  $(ZnO)_{27}C_{42}$  structure (around the entire perimeter).

Fig. S10 and S11 (ESI<sup>†</sup>) show (i) a moderate effect of the basis set on the Raman spectrum of  $(ZnO)_{27}C_{42}$  and (ii) a pronounced effect of the functional on the Raman fingerprint of this hybrid structure. Noticeable, both long-range-corrected functionals – CAM-B3LYP and  $\omega$ B97XD – give qualitatively similar results. We didn't set a goal to investigate the red-shift of the modes, as this is out of scope of this article. However, we noticed the huge Raman enhancement of  $(ZnO)_{27}C_{42}$  molecule, which could be originated from both plasmonic and nonplasmonic effects (like an improvement of molecular symmetry). The latter is further confirmed by the molecular orbital analysis, according to which the higher occupied molecular orbital (HOMO) and second unoccupied orbital are doubly degenerate. The incorporation of small amount of ZnO molecules reduces degeneracy of orbitals and

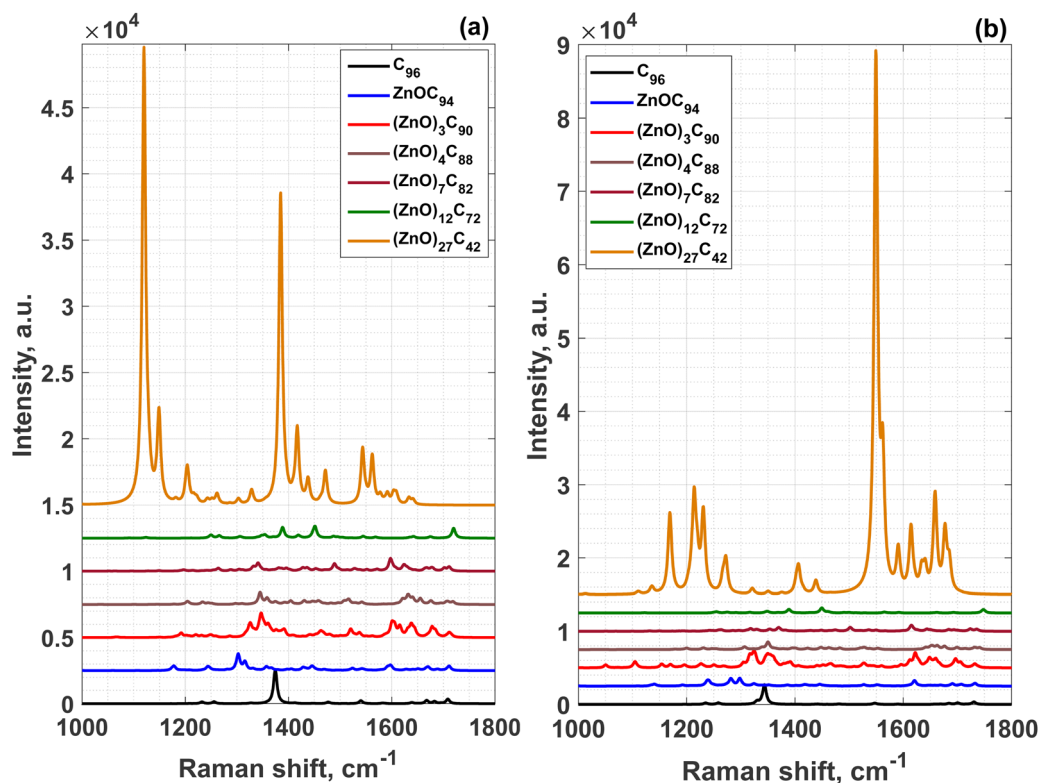


Fig. 3 Raman spectra of the  $(ZnO)_n C_{96-2n}$  structures computed by different methods: (a) PBE0/6-31G\*/SDD and (b) CAM-B3LYP/6-31G\*/SDD, respectively.



causes an energy-level splitting, as was observed for the structures with  $n$  from 1 to 7. However, the fact that the lowest energy orbitals for both  $(\text{ZnO})_{12}\text{C}_{72}$  and  $(\text{ZnO})_{27}\text{C}_{42}$  systems remain degenerate with each other, like in the case of unperturbed  $\text{C}_{96}$  system, highlights the symmetric configuration of these two hybrid molecules. Much stronger Raman activity of  $(\text{ZnO})_{27}\text{C}_{42}$  compared to that of  $(\text{ZnO})_{12}\text{C}_{72}$  can be explained by the abundance of conduction electrons participating in collective oscillations of free charges and hence contributing to the plasmon resonance.

From Fig. S12a (ESI<sup>†</sup>), it is also seen that the HOMO–LUMO energy gap computed by PBE0 method decreases upon increasing the amount of ZnO molecules embedded into  $\text{C}_{96}$  matrix. The minimum energy gap of  $\sim 1.24$  eV is achieved for  $(\text{ZnO})_{27}\text{C}_{42}$  system (Fig. S12a, ESI<sup>†</sup>). The observed energy gap narrowing is mainly affected by the upward shift of the HOMO level, while the downward shift of LUMO acquires a less pronounced character. On the other hand, HOMO–LUMO energy gap evolution calculated at CAM-B3LYP/6-31g(d)/SDD level of theory is completely different (Fig. S12b, ESI<sup>†</sup>). In this case, the energy gap  $(\text{ZnO})_{27}\text{C}_{42}$  is approximately 3.26 eV. The possible reason of such difference is that PBE0 underestimates the HOMO–LUMO gap energy with respect to the CAM-B3LYP. Interestingly, the energy gap determined by B3LYP method is much underestimated compared to both PBE0 and CAM-B3LYP, while using of  $\omega\text{B97XD}$  results in an increased HOMO–LUMO energy gap of  $(\text{ZnO})_{27}\text{C}_{42}$  up to 4.41 eV (Fig. S12c, ESI<sup>†</sup>). Considering the fundamental relationship between the HOMO–LUMO energy gap and the light absorption phenomena, a choice of the functional has an important effect on correctly interpreting results of the excited state analysis.

With  $(\text{ZnO})_{27}\text{C}_{42}$  molecule as an example, it becomes evident that electronic and photoexcitation properties of  $(\text{ZnO})_{27}\text{C}_{42}$  are strongly sensitive to the functional type (Fig. S13, ESI<sup>†</sup>). Although PBE0 and B3LYP give qualitatively similar results (the spectra are mostly overlapping), the absorption spectra computed using CAM-B3LYP and  $\omega\text{B97XD}$  hybrid functionals are blue-shifted compared to PBE0 and B3LYP, which is the general trend (Fig. S14, ESI<sup>†</sup>). Meanwhile, we noticed that the extended basis sets cause slightly enhanced amplitude of the absorption band while keeping the overall spectrum shape intact (Fig. S15, ESI<sup>†</sup>). Taking the benefits of CAM-B3LYP for investigation of the charge-transfer excitations into account, we therefore focus only on a discussion of the absorption spectra predicted by CAM-B3LYP/6-31g(d)/SDD method.

Before discussion of the nature of the excited states with high oscillator strength, certain issues related to the first excited state should be addressed. This is due to the importance of  $\text{S}_1$  state in the emission process. Indeed, according to Kasha's rule, the lowest singlet  $\text{S}_1$  excited state is most probably fluorescent.<sup>99</sup> Table S1 (ESI<sup>†</sup>) summarizes the properties of first excited state of  $(\text{ZnO})_n\text{C}_{96-2n}$ . From this table, it can be seen that the  $\text{S}_1$  state of ZnO-free GQDs has zero oscillator strength and, hence, is optically forbidden. For this LE state, the equal contribution of  $\text{H}-1 \rightarrow \text{LUMO}$  (47%) and  $\text{HOMO} \rightarrow \text{L}+1$  (47%) transitions to the excitation takes place. Upon increase of the ZnO pairs up to  $n = 4$  LE character of the  $\text{S}_1$  state remains

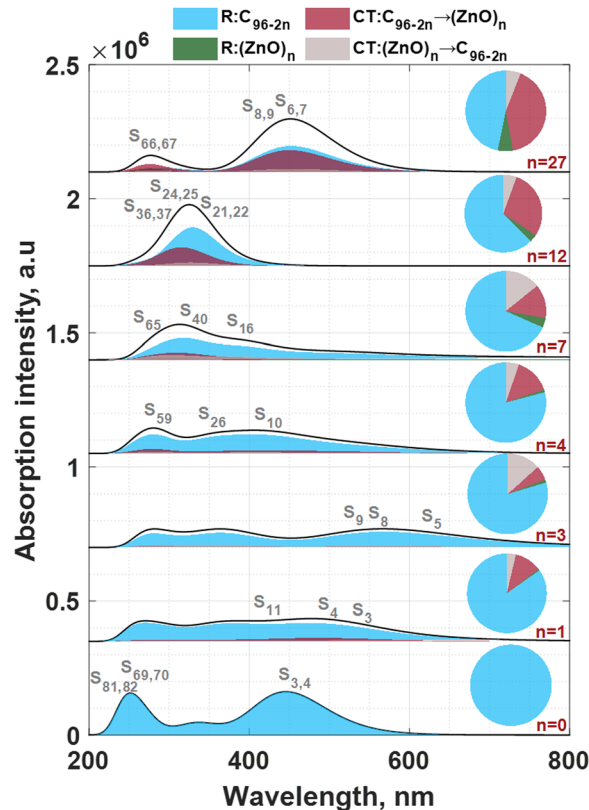


Fig. 4 Ultraviolet-visible (UV-vis) absorption spectra of the  $(\text{ZnO})_n\text{C}_{96-2n}$  structures predicted using CAM-B3LYP/6-31G\*/SDD method. UV-vis spectra are deconvoluted into intrafragment electron redistribution (R) and interfragment charge transfer (CT) components. Pie charts demonstrate the fractional contribution of each component to the total spectrum.

unchanged. Starting from  $(\text{ZnO})_7\text{C}_{82}$  structure, the  $\text{S}_1$  state first becomes a hybrid LE-CT state at  $n = 7$  and then its character can be interpreted in terms of CT configuration. The results of analysis of the charge density difference for this state supports the above conclusion (Fig. S16, ESI<sup>†</sup>). Notably, despite  $\text{S}_0 \rightarrow \text{S}_1$  transition in  $(\text{ZnO})_7\text{C}_{82}$  structure is of LE-CT character, it is characterized by non-zero oscillator strength of 0.19. Therefore, it plays a non-negligible role in the overall light absorption process. In contrast,  $\text{S}_1$  state of highly symmetric molecules ( $(\text{ZnO})_{12}\text{C}_{72}$  and  $(\text{ZnO})_{27}\text{C}_{42}$ ) show strong CT characteristics. This finding can be helpful in developing an effective intermolecular charge-transfer transition emitter.

The results of electron excitation analysis also speak in favor of the supposition that the symmetry of the hybrid molecule affects its electronic properties. From Fig. 4 and Fig. S14b (ESI<sup>†</sup>) it is apparent that only highly symmetric molecules ( $\text{C}_{96}$ ,  $(\text{ZnO})_{12}\text{C}_{72}$  and  $(\text{ZnO})_{27}\text{C}_{42}$ ) exhibit doubly degenerate excited state configurations. The dominant spectral features observed at 243.83, 249.98, and 446.01 nm in the  $\text{C}_{96}$  spectrum are assigned to  $\text{S}_{81,82} \leftarrow \text{S}_0$ ,  $\text{S}_{69,70} \leftarrow \text{S}_0$ , and  $\text{S}_{3,4} \leftarrow \text{S}_0$  transitions, respectively. Considering the electron–hole wave-function overlap integral ( $S_{\text{T}}$ ), the distance between centroids of holes and electrons ( $D$ ) and the degree of separation of holes and



**Table 2** Properties of dominant excited states in  $(\text{ZnO})_n\text{C}_{96-2n}$  hybrid systems calculated by CAM-B3LYP/6-31G\*/SDD method. See also Tables S9–S11 (ESI) containing data obtained using PBE0, wB97XD and B3LYP functionals

System	Excited state	Wavelength, nm	Oscillator strength, $f$	$D$ , Å	$S_r$	$t$ , Å	Type	Major contribution
$\text{C}_{96}$	$S_3$	446.01	1.98	0	0.96	-1.90	LE	H-1 $\rightarrow$ LUMO (23%), H-1 $\rightarrow$ L+1 (25%), HOMO $\rightarrow$ LUMO (25%), HOMO $\rightarrow$ L+1 (23%)
	$S_{69}$	249.98	0.75	0	0.91	-3.96	LE	H-3 $\rightarrow$ L+5 (21%), H-3 $\rightarrow$ L+12 (18%)
	$S_{81}$	243.83	0.70	0	0.95	-3.47	LE	H-3 $\rightarrow$ L+12 (23%), H-1 $\rightarrow$ L+13 (12%), HOMO $\rightarrow$ L+14 (12%)
$\text{ZnOC}_{94}$	$S_3$	535.70	0.51	1.43	0.85	-2.39	LE-CT	H-1 $\rightarrow$ LUMO (26%), HOMO $\rightarrow$ L+1 (35%)
	$S_4$	513.68	0.43	0.87	0.84	-2.29	LE-CT	H-1 $\rightarrow$ L+1 (41%), HOMO $\rightarrow$ L+2 (22%)
	$S_{11}$	412.31	0.38	1.07	0.89	-3.13	LE-CT	H-2 $\rightarrow$ L+1 (22%), H-1 $\rightarrow$ L+2 (13%), H-1 $\rightarrow$ L+3 (14%), HOMO $\rightarrow$ L+5 (16%)
$(\text{ZnO})_3\text{C}_{90}$	$S_5$	641.34	0.45	0.82	0.71	-3.32	LE	HOMO $\rightarrow$ L+1 (90%)
	$S_8$	560.20	0.52	1.03	0.82	-2.39	LE	H-5 $\rightarrow$ LUMO (12%), H-1 $\rightarrow$ L+1 (15%), HOMO $\rightarrow$ L+2 (52%)
$(\text{ZnO})_4\text{C}_{88}$	$S_9$	554.26	0.69	0.47	0.82	-3.29	LE	H-3 $\rightarrow$ LUMO (75%), H-1 $\rightarrow$ L+2 (13%)
	$S_{10}$	428.72	1.25	0.85	0.87	-3.46	LE	H-3 $\rightarrow$ LUMO (12%), H-2 $\rightarrow$ L+1 (30%), HOMO $\rightarrow$ L+2 (16%), HOMO $\rightarrow$ L+3 (15%)
	$S_{26}$	351.83	0.49	0.60	0.90	-3.67	LE	H-5 $\rightarrow$ LUMO (20%), H-2 $\rightarrow$ L+2 (27%)
$(\text{ZnO})_7\text{C}_{82}$	$S_{59}$	284.93	0.33	0.58	0.87	-3.25	LE	H-14 $\rightarrow$ LUMO (9%), H-12 $\rightarrow$ LUMO (6%), H-9 $\rightarrow$ LUMO (8%), H-7 $\rightarrow$ L+1 (7%), H-5 $\rightarrow$ L+1 (6%)
	$S_{16}$	396.11	0.52	1.89	0.83	-2.72	LE-CT	H-4 $\rightarrow$ L+1 (13%), HOMO $\rightarrow$ L+2 (43%)
	$S_{40}$	323.52	0.92	0.90	0.85	-2.46	LE-CT	H-5 $\rightarrow$ L+2 (10%), H-2 $\rightarrow$ L+3 (13%)
$(\text{ZnO})_{12}\text{C}_{72}$	$S_{65}$	292.04	0.33	1.12	0.84	-2.99	LE-CT	H-3 $\rightarrow$ L+5 (16%), HOMO $\rightarrow$ L+8 (10%)
	$S_{21}$	341.35	0.78	0.55	0.84	-2.20	LE	H-5 $\rightarrow$ L+3 (13%)
	$S_{24}$	327.05	1.18	0.38	0.82	-2.47	LE	H-3 $\rightarrow$ L+6 (8%), H-3 $\rightarrow$ L+9 (9%), H-2 $\rightarrow$ L+5 (8%), H-1 $\rightarrow$ L+3 (5%), H-1 $\rightarrow$ L+8 (4%), H-1 $\rightarrow$ L+9 (9%), HOMO $\rightarrow$ L+4 (5%)
$(\text{ZnO})_{27}\text{C}_{42}$	$S_{36}$	303.55	0.38	1.07	0.77	-0.89	LE-CT	H-3 $\rightarrow$ L+7 (14%)
	$S_6$	453.52	1.73	1.22	0.75	-1.27	LE-CT	H-1 $\rightarrow$ L+1 (13%), H-1 $\rightarrow$ L+3 (12%), HOMO $\rightarrow$ L+2 (13%), HOMO $\rightarrow$ L+4 (12%)
	$S_8$	446.87	0.72	2.79	0.54	0.057	CT	H-1 $\rightarrow$ L+1 (14%), H-1 $\rightarrow$ L+3 (12%), HOMO $\rightarrow$ L+2 (14%), HOMO $\rightarrow$ L+4 (12%)
	$S_{66}$	277.94	0.25	1.65	0.61	-0.87	LE-CT	H-12 $\rightarrow$ LUMO (16%)

electrons ( $t$ ) as descriptors of type of electron excitation,<sup>100–102</sup> it is possible to draw some conclusions about the nature of these transitions. From Table 2 (see also Table S2 in ESI† summarizing the transitions with oscillator strength  $> 0.1$ ), it is clear that  $D$  of all aforementioned excited states is close to zero, while the  $S$  parameters are 0.95, 0.91 and 0.96 for  $S_{81,82} \leftarrow S_0$ ,  $S_{69,70} \leftarrow S_0$ , and  $S_{3,4} \leftarrow S_0$ , respectively.  $t$  indices are negative and are much less than 0, pointing out the absence of the charge separation. This suggests that the corresponding excitations are typical locally excited (LE) states. This finding is then corroborated by the analysis of the charge density difference (CDD) between ground state and excited state (Fig. S17, ESI†). Particularly, it was revealed that hole and electrons are delocalized over the entire area of pristine  $\text{C}_{96}$  system, and no charge separation occurs. Table 2 also summarizes the electronic transitions that are involved in these excited states.

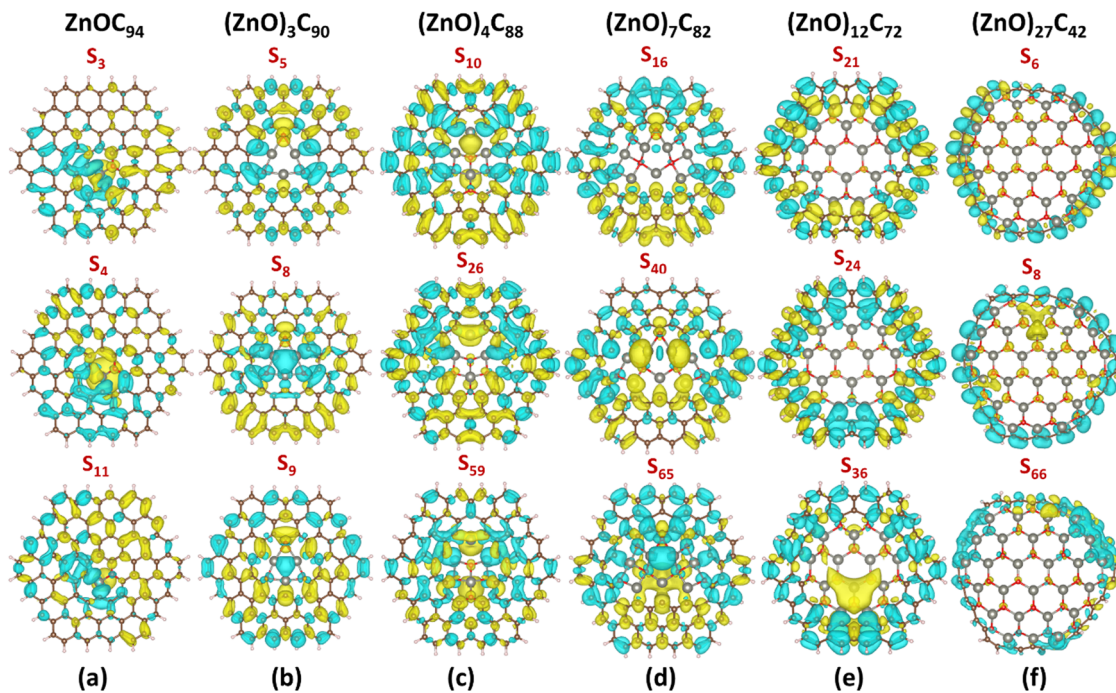
The absorption spectrum of the ZnO-free pristine molecule undergoes substantial changes after ZnO incorporation (Fig. 4, Table 2 and Tables S3–S8, ESI†). A quick look at the evolution of the absorption spectra shows that the symmetry breaking leads to broadening of the main absorption bands of  $\text{C}_{96}$  and reduces the absorption intensity (the oscillator strength for the corresponding transitions), as can be clearly seen in the case of low-symmetry intermediate systems (from  $\text{ZnOC}_{94}$  to  $(\text{ZnO})_7\text{C}_{82}$ ).

However, a further increase of inserted ZnO molecules leads to a distinct increase of the oscillator strength and hence absorption intensity. Finally, highly symmetric  $(\text{ZnO})_{27}\text{C}_{42}$

molecule demonstrates a very strong absorbance spectrum extending from ultraviolet to visible, with oscillator strength that is comparable to that for the localized excitation in the case of pristine  $\text{C}_{96}$  system.

A more detailed consideration of each of the presented spectra shows that in the case of the  $\text{ZnOC}_{94}$  system, the most intense band extending from 225 nm to 700 nm is related to the  $S_3$ ,  $S_4$ , and  $S_{11}$  excited states, respectively. The complementary analysis of the CDD (Fig. 5a, Fig. S18, ESI†),  $S_r$ ,  $D$ , and  $t$  parameters (Table 2) shows that all three excitations are LE-CT hybrid states. Interestingly, the electrons and holes are more separated in the  $S_3$  and  $S_{11}$  states than in the  $S_4$  state (confirmed by larger  $D$ ), indicating a dominant CT character for these excited states. For the  $(\text{ZnO})_3\text{C}_{90}$  system, the distance between the centroids of holes and the electrons for three most probable transitions is very low because they occupy the same space (Fig. 5b, Fig. S19, ESI†). Therefore,  $S_5$ ,  $S_8$ , and  $S_9$  states are mostly local excitations (Fig. 5c and Fig. S20, ESI†).  $(\text{ZnO})_4\text{C}_{88}$  exhibits a relatively complex series of spectral features, with dominant contribution from  $S_{10}$ ,  $S_{26}$ , and  $S_{59}$  excited states. All these states are characterized by the low  $D$  and rather negative  $t$  values, which are peculiar to the LE state with minimal charge separation. The absorption spectrum  $(\text{ZnO})_7\text{C}_{82}$  is dominated by a wide band peaked at 314 nm (with major contribution from  $S_{40} \leftarrow S_0$ , and  $S_{65} \leftarrow S_0$  transitions), followed by the weak shoulder at  $\sim 396$  nm, which can be attributed to the  $S_{16} \leftarrow S_0$  transition. Based on analysis of the CDD (Fig. 5d, Fig. S21,





**Fig. 5** CDD for selected excited states (with the largest oscillator strength) in (a)  $\text{ZnOC}_{94}$ , (b)  $(\text{ZnO})_3\text{C}_{90}$ , (c)  $(\text{ZnO})_4\text{C}_{88}$ , (d)  $(\text{ZnO})_7\text{C}_{82}$ , (e)  $(\text{ZnO})_{12}\text{C}_{72}$ , and (f)  $(\text{ZnO})_{27}\text{C}_{42}$ , respectively. Herein, yellow denotes positive charge distribution and cyan means negative charge distribution. CDD was calculated as a difference between the corresponding excited state and the ground state of the considered system ( $\rho_{\text{excited}} - \rho_{\text{ground}}$ ) using the CAM-B3LYP/6-31G\*/SDD level of theory. The isosurface level is set to be 0.0003. See also Fig. S24–S26 (ESI<sup>†</sup>) demonstrating CDD maps obtained using PBE0, wb97XD, and B3LYP methods.

ESI<sup>†</sup>), it is evident that all three excitations are the LE-CT hybrid states. The sharp spectral feature of the  $(\text{ZnO})_{12}\text{C}_{72}$  molecule at  $\sim 327$  nm is preferentially attributed to three doubly degenerate LE-CT hybrid states:  $S_{21,22}$ ,  $S_{24,25}$ , and  $S_{36,37}$ , respectively. Unexpectedly, all three transitions have completely different natures (see Table 2, Fig. 5e and Fig. S22, ESI<sup>†</sup>).  $S_{21,22}$  and  $S_{24,25}$  can be regarded as local excitations, while  $S_{36,37}$  has obvious LE-CT characteristics.

Apparently, for  $(\text{ZnO})_{27}\text{C}_{42}$ , the doubly degenerate  $S_{6,7}$  excited state possessing the largest oscillator strength of 1.73 is a typical LE-CT state, while the doubly degenerate  $S_{8,9}$  state demonstrates CT characteristics (Table 2, Fig. 5f; Fig. S23, ESI<sup>†</sup>). In this case, the  $S_{8,9}$  state is characterized by the largest  $D$  parameter (2.79 Å) and positive  $t$  index (0.06 Å). CDD analysis provides additional evidence of the origin of  $S_{8,9}$  states (Fig. 5f, Fig. S23, ESI<sup>†</sup>). In particular, we notice that the electron accumulation region is mainly located at the  $(\text{ZnO})_{27}$  fragment, while the obvious charge depletion region is observed at the  $\text{C}_{42}$  moiety.

To shed more light on the nature of the absorption spectra of the  $(\text{ZnO})_n\text{C}_{96-2n}$  structures, the nature of the dominating absorption bands is further scrutinized through the decomposition of the absorption spectrum into four different components. This method originally developed by Liu *et al.*<sup>103</sup> enables distinguishing between intrafragment charge redistribution and interfragment charge transfer. In other words, by performing such an analysis, it is possible to conclude the contribution of the charge-transfer excited states to the total response of the system. In line with this, we selected two different fragments in

$(\text{ZnO})_n\text{C}_{96-2n} - \text{C}_{96-2n}$  and  $(\text{ZnO})_n -$  and then calculated the so-called charge-transfer spectra (CTS) of  $(\text{ZnO})_n\text{C}_{96-2n}$  molecule (Fig. 4). The spectra are partitioned in a fixed fashion for all the structures. Even though the charge-transfer components ( $\text{C}_{96-2n} \rightarrow (\text{ZnO})_n$  and  $(\text{ZnO})_n \rightarrow \text{C}_{96-2n}$ , respectively) contribute to the total absorption spectra, it is seen that the main bands of the hybrid complexes with  $n$  ranging from 1 up to 7 mostly originate from the electron transitions within the  $\text{C}_{96-2n}$  moiety, additionally pointing to the domineering role of local excitations. Therefore, it can be stated that zinc and oxygen atoms are minimally involved in the corresponding excitations since the electrons and holes are mostly localized at the peripheral carbon moieties. In contrast to this, the analysis of the absorption bands of  $(\text{ZnO})_{12}\text{C}_{72}$  and  $(\text{ZnO})_{27}\text{C}_{42}$  shows a significant role of charge transfer from the  $\text{C}_{72}$  and  $\text{C}_{42}$  moieties to the  $(\text{ZnO})_{12}$  and  $(\text{ZnO})_{27}$  parts in the corresponding excitations (see also the pie charts, Fig. 4). Notably, an equal contribution from the ICR and ICT components to the overall spectrum takes place at  $n = 27$ . A smaller contribution of charge-transfer components ( $\text{C}_{42} \rightarrow (\text{ZnO})_{27}$  and  $(\text{ZnO})_{27} \rightarrow \text{C}_{42}$ , respectively) to the total spectrum is however observed for the spectra calculated by PBE0, B3LYP, and wb97XD methods (Fig. S27, ESI<sup>†</sup>).

## Conclusion

By performing geometry optimization and frequency calculations using PBE0/6-31G\*/SDD and CAM-B3LYP/6-31G\*/SDD



levels of DFT, stable  $(\text{ZnO})_n\text{C}_{96-2n}$  hybrid systems with  $n = 1, 3, 4, 7, 12,$  and  $27$  were designed. The increase in the amount of ZnO pairs embedded in the  $\text{C}_{96}$  matrix caused the reduction of the cohesive energy of the system, which nonetheless remains negative, indicating a high possibility to synthesize these hybrid materials. By regulating the ZnO content, one can possibly alter the symmetry and curvature of the ZnO-embedded graphene quantum dots, HOMO-LUMO energy gap, and hence light absorption properties including the dominant absorption wavelength, excitation type, and oscillator strength. A highly symmetric  $(\text{ZnO})_{27}\text{C}_{42}$  molecule was found to demonstrate the room-temperature stability, large  $V_{\text{Zn}}$  formation energy, and enhanced Raman signal mostly originating from the collective vibrations within the peripheral  $\text{C}_{42}$  moiety and the strong broad absorption band extending from the ultraviolet (UV)-C to visible region. These make such materials promising for designing both SERS-based sensors and cost-effective solar absorbers. The in-depth analysis of the prevailing excited states enabled us to shed light on their nature and to ascribe the strong absorption band in  $(\text{ZnO})_{27}\text{C}_{42}$  to the doubly degenerate locally excited and charge-transfer states. The current work provides rich insights into the nature of the photo-excited states in the ZnO-graphene hybrid materials and the guidelines for further experimental design of organic-inorganic frameworks with desired charge-transfer characteristics.

## Conflicts of interest

There are no conflicts to declare.

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