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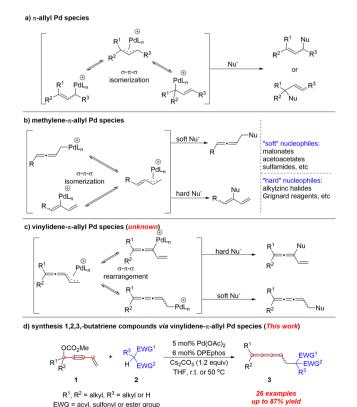
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The reactivity of a new type of organometallic intermediate, vinylidene- $\pi$ -allyl palladium species, has been demonstrated: the reaction between 4-alken-2-ynyl carbonates and stabilized carbon nucleophiles afforded functionalized 1,2,3,-butatriene compounds in moderate to high yields and excellent regioselectivities.

 $\pi$ -Allyl palladium chemistry (Scheme 1a) has been well-established<sup>1,2</sup> and has become a powerful protocol for the formation of carboncarbon and carbon-heteroatom bonds. In analogy, methylene-π-allyl Pd species (Scheme 1b) also show attractive reactivity towards different types of nucleophiles affording allenes3 or 1,3-dienes,4-9 respectively. Here, we wish to report the first example of the reactivity of vinylidene-π-allyl palladium species (Scheme 1c) formed from 4alken-2-ynyl carbonates with nucleophiles.

In our initial studies, the reaction of 4-alken-2-vnyl carbonate 1a and ethyl 2-benzoylpropionate 2a catalyzed by Pd(OAc)<sub>2</sub> (5 mol%) and PPh<sub>3</sub> (12 mol%) in THF at room temperature for 12 h afforded no product (Table 1, entry 1). Subsequent screening of various bisphosphine ligands (Table 1, entries 2-5) led to the formation of cumulated triene 3aa as the major product together with a small amount of vinylallene 4aa as determined by <sup>1</sup>H NMR analysis of the crude reaction mixture. The reaction with DPEphos gave product 3aa in 60% yield with only 4% yield of 4aa (Table 1, entry 4). To improve the yield of product 3aa, a series of inorganic bases were screened (Table 1, entries 6-9) and Cs<sub>2</sub>CO<sub>3</sub> was found to promote the reaction in 81% yield of 3aa and 3% yield of 4aa. Although tBuOLi provided a higher yield than Cs<sub>2</sub>CO<sub>3</sub>, the regioselectivity was lower (Table 1,

entry 9). As a comparison, the corresponding acetate 1a' showed lower reactivity (entry 10) and the corresponding phosphate 1a" was incompatible with this catalytic system (entry 11). Under the catalysis of Pd(OAc)2 (5 mol%) and DPEphos (6 mol%), replacing THF with other representative solvents such as CH3CN, EtOAc, DME, 1,4-dioxane and n-hexane resulted in poor yields and low regioselectivities (Table 1, entries 12-16). A reaction on the 0.5 mmol scale at a concentration of 0.1 M afforded product 3aa in a lower yield and regioselectivity (56% of 3aa with 6% of 4aa) (Table 1, compare entry 7 with entry 17). After further



Scheme 1 Profiles of  $\pi$ -allyl palladium species.

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Table 1 Optimization of the reaction conditions<sup>a</sup>

OCO <sub>2</sub> Me Me Me	+ Ph CO <sub>2</sub> Et Me	Pd(OAc) <sub>2</sub> (5 mol%) ligand (6 mol%) base (1.2 equiv) solvent, r.t., 12 h	Me CO <sub>2</sub> Et COPh Me	Me COPh CO <sub>2</sub> Et
1a	2a		3aa	4aa

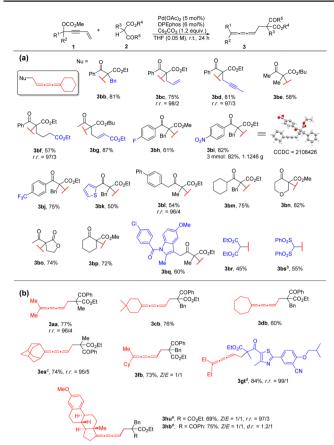
Entry	Ligand	Base	Solvent	Recovery of $\mathbf{1a}^b$ (%)	Yield of <b>3aa</b> <sup>b</sup> (%)	Yield of 4aa <sup>b</sup> (%)
1 <sup>c</sup>	PPh <sub>3</sub>	_	THF	80	_	_
2	DPPE	_	THF	83	_	_
3	DPPF	_	THF	_	53	5
4	DPEphos	_	THF	_	60	4
5	Xantphos	_	THF	_	17	24
6	DPEphos	$K_2CO_3$	THF	_	56	4
7	DPEphos	$Cs_2CO_3$	THF	_	81	3
8	DPEphos	$K_3CO_4$	THF	_	72	3
9	DPEphos	tBuOLi	THF	_	88	11
$10^d$	DPEphos	$Cs_2CO_3$	THF	78 <sup>e</sup>	8	_
$11^f$	DPEphos	$Cs_2CO_3$	THF	$2^g$	1	_
12	DPEphos	$Cs_2CO_3$	$CH_3CN$	_	75	4
13	DPEphos	$Cs_2CO_3$	EtOAc	_	69	4
14	DPEphos	$Cs_2CO_3$	DME	_	75	3
15	DPEphos	$Cs_2CO_3$	Dioxane	_	58	3
16	DPEphos	$Cs_2CO_3$	<i>n</i> -Hexane	_	69	3
$17^{h}$	DPEphos	$Cs_2CO_3$	THF	_	56	6
$18^i$	DPEphos	$Cs_2CO_3$	THF	_	80	4
19 <sup>j</sup>	Xantphos		THF	_	10	$23(20^k)$
PPh <sub>2</sub> PPh <sub>2</sub> PPh <sub>2</sub> PPh <sub>2</sub> PPh <sub>2</sub> DPEphos DPEphos		PPh <sub>2</sub> Xantphos	OAc Me Me	OF Me Me	P(O)(OEt) <sub>2</sub>	

<sup>a</sup> Reaction conditions: 1a (0.2 mmol), 2a (1.2 equiv.), Pd(OAc)<sub>2</sub> (5 mol%), ligand (6 mol%), and base (1.2 equiv.) in solvent (2 mL) unless otherwise noted. b Determined by H-NMR analysis with CH<sub>3</sub>NO<sub>2</sub> as the internal standard. <sup>c</sup> 12 mol w of PPh<sub>3</sub> was used. <sup>d</sup> corresponding 1a' was used instead of 1a. e Recovery of 1a'. The corresponding 1a" was used instead of 1a. g Recovery of 1a". The reaction was carried out on a 1 mmol scale in 10 mL of THF.  $^i$  The reaction was carried out on a 0.5 mmol scale in 10 mL of THF for 24 h. The reaction was carried out on a 1 mmol scale for 24 h. k Isolated yield.

optimization we observed that the reaction at a concentration of 0.05 M could improve the yield and regioselectivity (Table 1, entry 18). Thus, the reaction parameters for entry 18 have been defined as the standard conditions. Besides, the structure of the regioisomer 4aa was confirmed by isolation based on a large scale experiment (entry 19).

With the optimal conditions in hand, we chose 1,1pentamethylenepent-4-en-2-ynyl carbonate 1b as a model substrate to explore the scope of  $\beta$ -ketocarbonyls 2 (Table 2a). Firstly, the substrates with substitution on the  $\alpha$ -position of  $\beta$ -ketocarbonyls (R<sup>3</sup>) such as alkyl, alkenyl, alkynyl and ester groups all demonstrated high reactivity to afford the corresponding 1,2,3butatrienes 3bb-3bg in 57-87% yields. R<sup>5</sup> of the phenyl group with an electron withdrawing group (fluoro (2h), nitro (2i), trifluoromethyl (2j)) may also be tolerated generating the products 3bh-3bj smoothly in 61%-82% yields. Three mmol scale reaction of 1b with 2i afforded 1.1246 g (82%) of product 3bi, whose structure was further confirmed by single-crystal X-ray diffraction. When the R<sup>5</sup> group is a heteroaromatic group such as 2-thienyl, the reaction afforded 3bk in 50% yield. Moreover, the R<sup>5</sup> group may also be an alkyl group affording the corresponding

Table 2 Scope of 3-vinyl propargylic carbonates  $\bf 1$  and  $\beta$ -ketocarbonyls

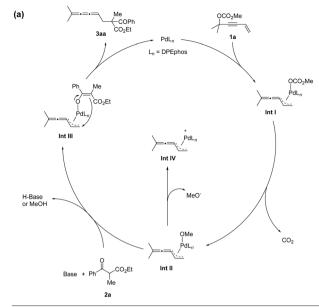


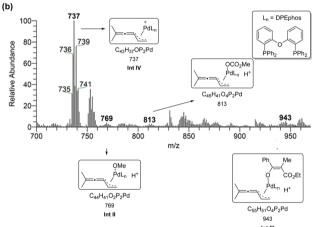
<sup>a</sup> Unless otherwise indicated, the reaction was performed with 0.5 mmol of 1, 1.2 equiv. of 2, 5 mol% of Pd(OAc)2, 6 mol% of DPEphos and 1.2 equiv. of Cs<sub>2</sub>CO<sub>3</sub> in THF (0.05 M) at room temperature for 24 h on a 0.5 mmol scale. Yields of isolated products are given. r.r. refers to the regioselectivity of the 1,2,3,-butatriene product vs. vinylallene, which is determined by <sup>1</sup>H-NMR analysis of the crude product. <sup>b</sup> The reaction was carried out for 36 h. <sup>c</sup> The reaction was carried out at 50 °C for 42 h. The reaction was carried out for 33 h. <sup>e</sup> The reaction was carried out at 50 °C for 48 h

1,2,3-butatriene products 3bl and 3bm. In addition, the carbonand oxygen-containing cyclic β-ketoesters 2n-2p reacted smoothly with 72-82% yields. To our delight, commercially available drugs, such as Indomethacin and Febuxostat derived β-ketoesters 2q and 2t could also be incorporated into this reaction, resulting in the desired cumulated butatrienes 3bq and 3gt. In addition, diethyl malonate (2r) and bis(phenylsulfonyl)methane (2s) may also react with 1b to afford the corresponding 1,2,3-triene products 3br and **3bs** in moderate yields.

Next, we investigated the scope of 3-vinyl propargylic carbonates 1 (Table 2b). Cyclic ketone derived 3-vinyl propargylic carbonates 1b-1e or acyclic ketone derived 3-vinyl propargylic carbonates 1a and 1g worked successfully under the standard reaction conditions. Non-symmetrical ketones such as cyclohexyl methyl ketone and estrone derived substrates 1f and 1h also gave the cumulated butatrienes 3fb, 3hu and 3hb in decent yields.

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Scheme 2 Plausible mechanism and SAESI-MS studies.

We proposed a possible mechanism as shown in Scheme 2a: Firstly,  $Pd(0)L_n$  would undergo  $S_N2'$ -type oxidative addition to form intermediate Int I. 10 Followed by releasing one molecule of CO<sub>2</sub>, vinylidene-π-allyl palladium species Int II was generated. Subsequently, the enolate was formed with the help of MeO or Cs<sub>2</sub>CO<sub>3</sub>. Then the carbon nucleophile would attack the terminal carbon atom via Int III to generate the linear selective products 3aa and  $Pd(0)L_n$  was regenerated. In order to identify possible intermediates in the reaction process, we carried out solvent-assisted electrospray ionization-mass spectrometry (SAESI-MS) and SAESI-MS/MS analysis (Scheme 2b). 11 A solution of 1a (0.2 mmol), 2a (0.24 mmol), Pd(OAc)<sub>2</sub> (0.01 mmol), DPEphos (0.012 mmol), and Cs<sub>2</sub>CO<sub>3</sub> (0.24 mmol) in THF (2 mL) was stirred at room temperature. After 2.5 hours, the reaction mixture was analyzed. Ints I-IV have been detected and further confirmed by a SAESI-MS/MS experiment (see ESI†), which firmly supports the above-mentioned mechanism.

In conclusion, we have developed a new strategy for the construction of functionalized 1,2,3-butatriene compounds via a new vinylidene- $\pi$ -allyl palladium species, which was formed from the oxidative addition reaction of the Pd-DPEphos complex with 4-alken-2-ynyl carbonates. We are actively pursuing other reactivity of this new vinylidene-π-allyl palladium species.

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## Conflicts of interest

There are no conflicts to declare.

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