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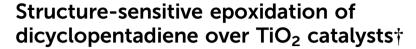


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Epoxidation of dicyclopentadiene (DCPD) is studied on a series of TiO2 catalysts using hydrogen peroxide as an oxidant. DCPD derivatives have applications in several areas including polymer, pharmaceutical and pesticide products. The control of selectivity leading to the desired product is important for many of these applications. Using experimental and computational studies, we show that the surface crystalline phases of TiO2 play crucial roles not only in the formation of peroxo species but also in the selective epoxidation of two different C-C double bonds in DCPD.

Given the versatility of the two different double bonds in its chemical structure, dicyclopentadiene (DCPD) is one of the most interesting cyclic olefin compounds. Numerous DCPD derivatives can be found in the pharmaceutical, pesticide, and polymer industries. Amongst the DCPD derivatives, DCPD epoxides find their main uses in adhesives and insulation materials.2

Some heterogeneous catalysts have been studied for DCPD epoxidation, such as H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub> on SBA-15 and on chloromethylated polystyrene resin,3,4 and the dispersion of phosphotungstic acid is responsible for the catalytic performance.<sup>4</sup> Metal complexes intercalated in Zn/Al layered double hydroxide structures (Sulfonate-salen-M<sup>III</sup>, M = Mn or Fe) showed higher activity than Fe.<sup>5</sup> Besides the catalytic activity (conversion rate of DCPD), product selectivity is another crucial factor in the epoxidation of DCPD. The epoxidation of DCPD yields two different mono-epoxides (endo-4-oxatetracyclo-[6.2.1.0.<sup>2,6</sup>0<sup>3,5</sup>]undec-9-ene (P1) and endo-9-oxatetracyclo-[5.3.1.0.<sup>2,6</sup>0<sup>8,10</sup>]undec-3-ene (P2)), depending on the location of the epoxide group (in the

TiO<sub>2</sub> has been widely used as a catalyst for various reactions such as photocatalysis, 7 CO oxidation, 8 and H<sub>2</sub>O<sub>2</sub> decomposition. 9 In particular, the crystallinity of the TiO<sub>2</sub> catalysts (anatase and rutile) is responsible for their geometric and electronic properties 10 and plays key roles in view of the product selectivities in the reactions of the decomposition of hydrogen sulphide11 and the photo-oxidation of water.12

Our preliminary results demonstrated that the molecular oxygen (as well as the dissolved oxygen in the liquid phase) could not activate the double bonds of DCPD in methanol at 333 K. For example, insignificant conversion of DCPD (<1%) was obtained at 9 bar of pure oxygen gas in an autoclave. Thus, we studied selective DCPD epoxidation on a series of TiO2 catalysts using hydrogen peroxide (H2O2) as an oxidant. Indeed, the epoxidation of cyclic olefin can proceed with H2O2 in two sequential steps: (i) the formation of peroxo-species on the metal sites and (ii) the oxygen transfer from the surface to an olefin to form an epoxide.13

Fig. 1(b) displays the DCPD epoxidation results of two different crystalline phases of TiO2. TiO2-anatase effectively converted DCPD into the related mono-epoxides, showing ca. 2 times higher activity than  $TiO_2$ -rutile ( $C_{DCPD} = 13\%$  and 7% in 6 h, respectively). The selectivity of the epoxidation products (towards P1 and P2) is greatly influenced by the crystalline phase of the TiO<sub>2</sub> catalysts. For example, on TiO<sub>2</sub>-anatase, both P1 and P2 were produced with higher selectivity toward P1 (Table 1). This indicates that the double bond in the cyclopentene ring is preferably reacted on the TiO<sub>2</sub> anatase phase, which is in line with the previous results over the Ti-incorporated SBA-15 catalyst. 14,15 The DCPD di-epoxide was not observed in this study, suggesting that the epoxidation sites were utilized for the reactant (DCPD) and not occupied by the mono-epoxides, similar to the results of Bhattacharjee et al.5 Interestingly,

cyclopentene ring or in the norbornene ring, respectively) (Fig. 1(a)). Due to the difficulty in the product separation unit, the development of a selective epoxidation of DCPD has been encouraged, but the product selectivity typically did not rely on the chemical properties of the metal center.<sup>3-5</sup>

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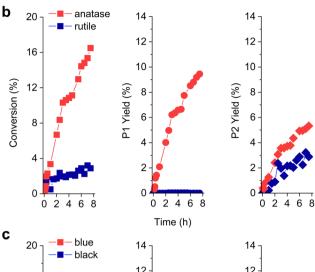
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а DCPD P2 anatase rutile

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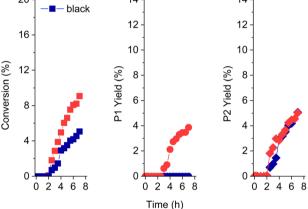


Fig. 1 (a) Reaction scheme of DCPD epoxidation using H<sub>2</sub>O<sub>2</sub> as an oxidant and reaction products P1 and P2. Catalytic performances of different TiO<sub>2</sub> catalysts in the epoxidation of DCPD: (b) TiO<sub>2</sub>-anatase and TiO<sub>2</sub>-rutile; (c) TiO<sub>2</sub>-blue and TiO<sub>2</sub>-black

Table 1 BET surface area and average pore size for different TiO<sub>2</sub> catalysts, and the catalytic performance results for the epoxidation of DCPD

Catalyst			Normalized activity <sup>a</sup> $(\text{mol}_{DCPD} \text{ m}^{-2} \text{ h}^{-1})$	$S_{ m P1/P2}$
TiO <sub>2</sub> -anatase	60.8	21.8	28	1.7
TiO <sub>2</sub> -rutile	2.4	16.8	138	0
TiO <sub>2</sub> -blue	58.6	29.2	37	1.1
TiO <sub>2</sub> -black	2.6	16.3	350	0

<sup>&</sup>lt;sup>a</sup> For TiO<sub>2</sub>-anatase and TiO<sub>2</sub>-rutile, the normalized activity was calculated in 6 h of reaction. For  ${\rm TiO_2}$ -blue and  ${\rm TiO_2}$ -black, the activity values were calculated in 8 h, which is ca. 6 h from the point of observed conversion.

despite its lower catalytic performance, TiO2-rutile solely produced P2, i.e., the double bond in the norbornene moiety is selectively reacted to form mono-epoxide.

We further prepared two additional TiO<sub>2</sub> catalysts (TiO<sub>2</sub>-blue and TiO2-black), 16-18 and the colors of the catalysts are

attributed to the oxygen vacancies, based on the crystalline phases (anatase and rutile) (Fig. S1, ESI†). 19 At the initial reaction stage (until ca. 2 h), no conversion of DCPD was observed over TiO2-blue and TiO2-black. This suggests that (i) DCPD is not reactive with H2O2 in solution and (ii) a certain delay (or lagphase) is necessary to initiate DCPD epoxidation over the catalysts with oxygen vacancies. Since the textural properties of TiO2blue and TiO2-black are similar to those of TiO2-anatase and TiO2-rutile, respectively (Table 1 and Fig. S1, S2, ESI,† as also reported by previous works16-18,20), we expected that the observed lag-phase is related to the surface oxygen vacancies, which might need to be modified by the oxygen from H<sub>2</sub>O<sub>2</sub>. In terms of the surface area normalized activity (Table 1), TiO2-blue and TiO2black showed superior catalytic performance compared to TiO2anatase and TiO2-rutile. We expect that the few nanometer layers of the disordered TiO2 surface21 can be attributed to the enhanced epoxidation performance. Similarly, the formation of reactive oxygen species is preferred on the amorphous ZrO<sub>2</sub> than the crystalline monoclinic-ZrO<sub>2</sub>. <sup>22</sup> Amorphous Nb<sub>2</sub>O<sub>5</sub> and Ta<sub>2</sub>O<sub>5</sub> also showed higher performance in the catalytic oxidation of glycerol and cyclohexene than their crystalline forms. 23,24 For TiO2-blue, both P1 and P2 were produced with higher selectivity to P1 than P2. We suggest that the newly formed oxygen functionalities are highly reactive to convert the double bond in the norbornene ring as well as the one in the cyclopentene ring, similar to the fact that the oxygen vacancies are known to be responsible for the altered activity in oxidation reactions. 25 Meanwhile, only P2 was observed on TiO2-black, indicating that the selectivity towards P1 or P2 is largely dependent on the surface crystalline phase.

After the epoxidation of DCPD, the color of the spent TiO2-anatase catalyst was changed from white to yellow, indicating the formation of the additional surface oxygen functional groups on titania. 26,27 To identify the oxygen functionalities responsible for the catalytic activity, we characterized TiO<sub>2</sub> catalysts with Raman spectroscopy (Fig. 2). For TiO2-anatase and TiO<sub>2</sub>-blue, the Raman features at 148, 395, 515 and 630 cm<sup>-1</sup> are attributed to the anatase phase (the modes of Eg, B1g, A1g or B1g, and Eg, respectively).28 Meanwhile, the five Raman bands are observed for TiO2-rutile and TiO2-black at 140, 235, 445, 610 and 825 cm $^{-1}$ , due to the modes of  $B_{1g}$ , multi-phonon process,  $E_{g}$ ,  $A_{1g}$ and  $B_{2g}$ , respectively. After the treatment of  $TiO_2$  with  $H_2O_2$ , the formation of oxo species on TiO<sub>2</sub> (vellow coloration of TiO<sub>2</sub>) by H<sub>2</sub>O<sub>2</sub> was observed with the possibility of three different forms on the Ti metal centers (oxo, peroxo, and superoxo species).<sup>29</sup> The O-O stretching frequencies are typically observed between 800 and 930 cm<sup>-1</sup>, depending on the coordination with the environment. 30 For example, the Raman band of H<sub>2</sub>O<sub>2</sub> is typically positioned at 880 cm<sup>-1</sup>. 31 On TiO<sub>2</sub>-anatase, the Raman bands of peroxo species were observed at 871 cm<sup>-1</sup>, indicating that the peroxo species are coordinated to the Ti sites (Fig. 3(a)). 32 On the contrary, for TiO2-blue, the Raman band of peroxo species was observed at a higher wavenumber (910 cm<sup>-1</sup>) (Fig. 2(b)), related to the perturbation of the chemical structure of the adsorbed molecule on the catalyst surface.33 For the rutile phase TiO2 catalysts (e.g., TiO2-rutile and TiO2-black), however, no additional

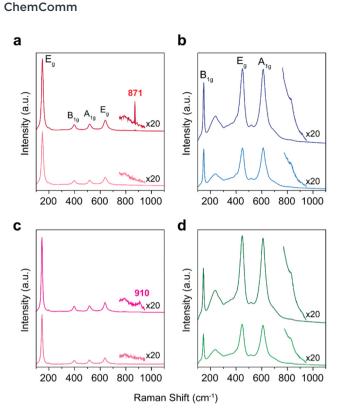


Fig. 2 Raman spectra of TiO<sub>2</sub> catalysts. (a) TiO<sub>2</sub>-anatase, (b) TiO<sub>2</sub>-rutile, (c) TiO<sub>2</sub>-blue and (d) TiO<sub>2</sub>-black. In each figure, the Raman spectra of H<sub>2</sub>O<sub>2</sub> treated samples are displayed on top of the spectra of the untreated samples.

Raman bands are observed after treatment with H<sub>2</sub>O<sub>2</sub> (Fig. 2(b) and (d)), possibly due to (i) the low surface areas of the rutile phase TiO2 catalysts and (ii) the short lifetime of peroxo intermediates on the rutile phase catalysts.<sup>34</sup>

We have explored the reaction pathway of DCPD epoxidation and the selectivity differences among the crystalline TiO2 phases using density functional theory (DFT) calculation (Fig. S3 and S4, ESI†). Fig. 3(a) shows the calculated free energy profiles for DCPD epoxidation with H2O2 catalyzed by the TiO2-anatase and TiO2rutile. Raman spectroscopy indicates that peroxo species are formed on the surface of TiO2, and the reaction starts from the TiO<sub>2</sub> surface with adsorbed H<sub>2</sub>O<sub>2</sub> (Ti-H<sub>2</sub>O<sub>2</sub>\*). Formation of the surface peroxo species (Ti-O<sub>2</sub>\*) proceeds via the generation of hydroperoxo compounds. Once the surface of TiO<sub>2</sub> adsorbed H<sub>2</sub>O<sub>2</sub>, it firstly generates  $\eta^{1}$ -coordinated Ti-hydroperoxo compounds, Ti- $\eta^1$ (OOH), following the release of a water molecule for TiO<sub>2</sub>-anatase and TiO<sub>2</sub>-rutile, which requires +13.0 (Fig. 3(b)) and +19.8 kcal mol<sup>-1</sup> (Fig. 3(c)) at Transition State 1 (TS1), respectively. Subsequently, the thermodynamically more stable  $\eta^2$ -coordinated Ti-hydroperoxo compound (Ti- $\eta^2$ (OOH)) is formed. The protonated Ti-peroxo species (Ti-O<sub>2</sub>\*) can be formed from Ti-η<sup>2</sup>(OOH) via hydrogen transfer to the adjacent Ti atom overcoming moderate energy barriers of +13.9 (Fig. 3(b)) and +16.3 kcal mol<sup>-1</sup> (Fig. 3(c)) at TS2 (Fig. 3(a)), respectively. Along the overall reaction steps, TiO<sub>2</sub>-anatase (Fig. 3(a), red color) has lower free energies than TiO<sub>2</sub>-rutile (Fig. 3(a), blue color), indicating a higher DCPD conversion rate of TiO2-anatase, which is in good agreement with our experimental results (Fig. 1(b)).

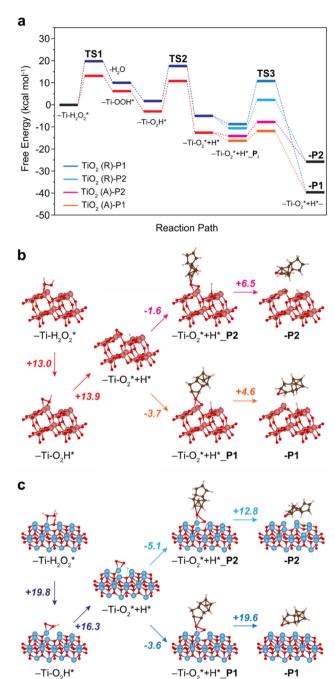


Fig. 3 DFT-calculated free-energy profile (kcal mol<sup>-1</sup>) for the DCPD epoxidation with H<sub>2</sub>O<sub>2</sub> on TiO<sub>2</sub>-anatase (b, orange, magenta) and TiO<sub>2</sub>-rutile (c, navy, blue). The free energies are calculated based on the models in Fig. S5 and S6 (ESI†). The numbers in Fig. 4b and c denote the energy barriers. Coordinates of -P2 and -P1 in (b) and (c) are provided in Tables S2-S5, ESI† as representative structures.

The two different C=C bonds in DCPD (cyclopentene or norbornene moiety) have different reactivity to TiO2 surface structures. Thus, the selectivity towards P1 or P2 strongly depends on the direction of oxygen transfer from Ti-peroxo (Ti-O<sub>2</sub>\*). Adsorption of the DCPD molecule on the surface of TiO<sub>2</sub>-rutile is exothermic for both cyclopentene and norbornene with a very small energy difference  $(-3.6 \text{ and } -5.1 \text{ kcal mol}^{-1})$ 

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(Fig. 3(c)), respectively). However, O-O bond cleavage with cyclopentene requires a significantly higher energy barrier compared with norbornene (+19.6 and +12.8 kcal mol<sup>-1</sup> (Fig. 3(c)) at TS3 (Fig. 3(a)), respectively). This leads to the selective, one-sided oxygen transfer to the C=C double bond in norbornene, which yields nearly 100% P2 selectivity. On the other hand, in the case of TiO2-anatase, P1 is preferably formed since the adsorption towards the norbornene moiety requires a higher energy barrier for O-O cleavage than cyclopentene  $(+6.5 \text{ and } +4.6 \text{ kcal mol}^{-1}, \text{ respectively})$  (see Fig. 3(b)).

In summary, the surface crystalline phase of TiO<sub>2</sub> catalysts plays a crucial role in selective DCPD epoxidation, not only in the conversion rate of DCPD but also in the product selectivity towards mono-epoxides in cyclopentene and the norbornene moiety. Moreover, the surface oxygen vacancies of TiO2-blue and TiO2-black are responsible for the lag-phases at the initial reaction stages, indicating that the formation of the surface peroxo functionalities (Ti-O<sub>2</sub>\*) is the rate-determining step.

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## Conflicts of interest

There are no conflicts to declare.

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