Gaining control on optical force by the stimulated-emission resonance effect†

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The resonance between an electronic transition of a micro/nanoscale object and an incident photon flux can modify the radiation force exerted on that object, especially at an interface. It has been theoretically proposed that a non-linear stimulated emission process can also induce an optical force, however its direction will be opposite to conventional photon scattering/absorption processes. In this work, we experimentally and theoretically demonstrate that a stimulated emission process can induce a repulsive pulling optical force on a single trapped dye-doped particle. Moreover, we successfully integrate both attractive pushing (excited state absorption) and repulsive pulling (stimulated emission) resonance forces to control the overall exerted optical force on an object, validating the proposed non-linear optical resonance theory. Indeed, the results presented here will enable the optical manipulation of the exerted optical force with exquisite control and ultimately enable single particle manipulation.

Introduction

Since the pioneering work of Ashkin and co-workers about exerting optical forces onto a single particle by a tightly focused laser beam,1 optical trapping/tweezers have been extensively expanded and applied to various research fields to manipulate micro- and nanoscale objects.2–6 Optical trapping is based on the fact that photon momentum can be transferred to objects, resulting in a so-called radiation force. The three most common optical forces are the gradient, scattering and absorption forces.7 In a solution, a particle can only be stably trapped when the gradient force is larger than the sum of scattering and absorption force.7 For this reason, efforts have been executed to modify the overall balance of those optical forces such as modifying the optical properties of the trapped material or the optical potential fields.8–10

Among them, the optical resonance effect (ORE) is a promising strategy that takes advantage of an electronic transition of the material to drastically modify the induced optical force on the trapped particle(s).11 Under this condition, the trapping laser is resonant with the said electronic transition, modifying the polarizability of the system. The ORE theory was initially developed considering only the optical linear region.12 Thus, the optical force should linearly increase with the resonant laser power. Experimental studies showed that the Brownian motion of dye molecules, dye-labeled antibodies, and dye-doped polystyrene particles was altered upon resonant excitation.13–17 However, the observed motion cannot be quantitatively rationalized by only considering linear ORE theory. Therefore, the ORE theory needed to be further refined by considering non-linear optical processes such as excited state absorption.18–20 Indeed, the proposed non-linear ORE theory was demonstrated by subsequent resonant optical trapping experiments.21

Given the opportunities provided by the non-linear ORE theory, we have recently reported that the resonance force is strongly enhanced at a solution interface using a two-laser excitation system. For example, we observed a 4-fold (400%) trapping stiffness enhancement compared to a 10–35% reported in other works in bulk solution.22 This large difference has two main origins: on one hand, the optical force balance is different at the interface as it acts as a physical barrier for
upwardly moving particles and therefore all the optical forces positively contribute to the trapping of the particle.\textsuperscript{23–26} On the other hand, we used a two-laser system: a widefield laser for exciting the dye to the first singlet excited state ($S_0 \rightarrow S_1$), while the trapping laser was resonant with an upper excited state transition ($S_1 \rightarrow S_n$).\textsuperscript{15,27} Under this condition, the number of optical resonant cycles ($S_1 \rightarrow S_n \rightarrow S_{t}$) is widely increased compared with the single laser system ($S_1 \rightarrow S_{t}$) due to the shorter $S_n$ lifetime compared to $S_1$. The non-linearly increased ORE not only includes the commonly considered polarizability enhancement, but also the absorption and gradient forces, which guides the particle toward the interface. The latter force also immobilizes the particle leading to an increase in optical stiffness.\textsuperscript{28} We have further refined this strategy to achieve chemical control over the optical trapping force by taking advantage that triplet excited states can be quenched by some specific molecules (e.g., $O_2$) and therefore the ORE force can be tuned by the concentration of the chemical quencher.\textsuperscript{28}

Inspired by the potential of non-linear electronic absorption processes on ORE, we speculate that stimulated emission (SE) can also be used to control the induced optical force, but with an opposite effect as the phase of the induced polarization is flipped ($\pi$-shifted) by a population inversion. SE is a non-linear transition, where $S_1$ relaxation to $S_0$ occurs via emission of a photon triggered by $S_0$ interaction with an incident resonant photon.\textsuperscript{29–31} For instance, SE has gained relevance as SE promoted by a very intense donut shape depletion laser (STED) is one of the main strategies used in super-resolution microscopy to break the diffraction limit.\textsuperscript{23–24} In this work, we use a two-laser system to control the exerted radiation force on a single dye-doped polystyrene microparticle (PS MP) trapped at the glass/solution interface. Similar to previous works,\textsuperscript{23,28} a widefield laser (561 nm) is used to excite the dye embedded inside the particle ($S_0 \rightarrow S_1$), while a tightly focused depletion laser (640 nm) is used to trap and simultaneously promote the SE ($S_1 \rightarrow S_0$) non-linear process. As the SE photon has the same direction as the depletion laser,\textsuperscript{29,30} the MP receives the optical force in the opposite manner from the conventional situation, yielding a repulsive gradient force and an optical pulling force due to the recoil of stimulated emission, respectively, instead of conventional attractive gradient force and absorption/scattering forces along the light propagation. In overall, a pulling (repulsive) instead of a pushing (attractive) ORE force is exerted on the MP. We support the proposed ORE model with comprehensive experimental evidence from both spectroscopic and MP’s trapping stiffness viewpoints as well as with theoretical calculations of radiation force that is exerted during the SE phenomenon. Finally, as a proof of concept that multiple ORE forces can be exerted on the same object, we introduced a three laser system: (i) a 561 nm widefield laser to excite the dye molecules from $S_0$ to $S_1$; (ii) a tightly 1064 nm focused laser to trap the particle and to simultaneously induce $S_{n} \rightarrow S_{t}$ ORE cycles (pushing ORE); and (iii) a 640 nm widefield laser with SE purposes (depopulation of $S_1$ and pulling ORE). Under this condition, the overall optical force is modulated as a function of the extension of the pushing and pulling ORE, respectively. The demonstration of the conceptually expanded ORE is expected to ultimately enable single resonant particle/molecule manipulation in solution at room temperature.

### Results and discussion

In this work, we have chosen a tetra $t$-phenoxo perylenediimide derivative (BP-PDI; Fig. 1) as the resonant dye for the following reasons: (i) PDI derivatives are good dyes for STED microscopy; (ii) PDIs are photostables in non-aqueous environment; (iii) PDIs have a large fluorescence quantum yield; and (iv) PDIs have a small $S_1$ to $S_n$ absorption cross section at the SE wavelength range.\textsuperscript{32} Moreover, the additional four $t$-phenoxo moieties hamper the aggregation of the dye molecules by steric hindrance. The BP-PDI doped PS MPs were obtained via diffusion and entrapment of the BP-PDI dye molecules through solvent-induced swelling of 1 $\mu$m PS MPs.\textsuperscript{35} As the dyeing process was not very efficient (Table S1†), we repeated the dyeing protocol for 5 cycles, achieving a final BP-PDI dye loading of approximately 1.3 millions of dye molecules for each PS MP, estimated by absorption spectroscopy. Fluorescence imaging of the MPs reveals that the BP-PDI dye molecules are distributed inside the MPs without the formation of local cluster aggregates (Fig. S1†). The morphology and the size of the obtained BP-PDI MPs was studied by scanning electron microscopy (SEM), revealing that their size (890 ± 20 nm) and morphology (spherical) did not significantly change during the swelling procedure (Fig. S2†). Moreover, the volume of each BP-PDI molecule is estimated as 1.3 nm$^3$ (calculated at AM1 level of theory;\textsuperscript{35} Fig. S3†), which corresponds to only 0.33% of the MP volume considering all the dye molecules. Thus, the combination of a low BP-PDI dye density and the presence of bulky $t$-phenoxo moieties should effectively prevent the formation of BP-PDI aggregates within the MPs.

In toluene, BP-PDI molecules absorb photons up to 600 nm, with an absorption maximum at 567 nm ($\varepsilon = 5 \times 10^4$ M$^{-1}$ cm$^{-1}$; Fig. 1A). In its monomeric form, BP-PDI is a highly emissive dye with its fluorescence band centered at 600 nm. Of note, we have studied the BP-PDI photophysical properties in toluene, because the polarity and the aromaticity properties of toluene mimic the PS microenvironment. The SE process of BP-PDI has been studied by femtosecond transient spectroscopy (Fig. 1B). The transient absorption spectrum of BP-PDI is characterized by negative and positive bands in the shorter and longer wavelength range than 670 nm, respectively. The negative band is due to the superposition between the depletion of the $S_0$ state (~580 nm) and the SE from the $S_1$ state (580–670 nm), while the positive band is ascribable to the absorption of the $S_1$ state. Fig. 1C shows a Jablonski diagram with all the BP-PDI electronic transitions, which can play a role in the non-linear ORE phenomena.

The optical setup used here is in principle similar to that of our previous work (Fig. S4†).\textsuperscript{34,36,39} However, considering the spectroscopic properties of BP-PDI, we have chosen a 640 nm laser as the optical trapping source and simultaneously inducing SE when the BP-PDI $S_1$ state is populated. An additional 561 nm widefield laser was used to photoexcite the BP-PDI molecules to $S_1$. At low MP concentration, a single BP-PDI...
tested conditions (see ESI and Fig. S9) for the di temperature elevation range from 0.5 to 5 °C because we theoretically calculated an upper limit for the due to a local temperature elevation, leading to an enhanced trapped in the aforementioned conditions (Fig. S8 for further details).† We speculate, that the decrease of the trapping stiffness in ESI; Fig. S6†. Under this condition, the optical force exerted on the trapped MP can be represented in terms of optical trapping stiffness, which is the restoring force constant of the system calculated by fitting Hooke’s restoring force law to the spatial distribution of a single trapped MP (see a detailed description of the methodology for determine the optical trapping stiffness in ESI; Fig. S6†).

As expected in absence of photoexcitation, the trapping stiffness of a single trapped BP-PDI MP linearly increases with the trapping laser power (Fig. 2A and S7†). We observed that the trapping stiffness does not significantly change when the 561 nm widefield excitation laser irradiation is up to 1000 W cm⁻², while a significant decrease of the trapping stiffness is observed at larger irradiance values. As a first control experiment, we photobleached the BP-PDI molecules embedded inside the trapped MP, and the initial trapping stiffness was recovered (open symbols in Fig. 2A). A second control on bare PS MP did also not show the trapping stiffness decrease when trapped in the aforementioned conditions (Fig. S8†). One may speculate, that the decrease of the trapping stiffness is simply due to a local temperature elevation, leading to an enhanced Brownian motion. However, we ruled out this hypothesis because we theoretically calculated an upper limit for the temperature elevation range from 0.5 to 5 °C for the different tested conditions (see ESI and Fig. S9† for further details).† Therefore, the observed trapping stiffness decrease can be directly related to the ORE. Although the relative value of the trapping stiffness decrease is similar for all the tested trapping laser powers, the absolute decrease changes with the trapping laser power.

To verify the origin of the non-linear optical process, we recorded the fluorescence images (λobs = 580–620 nm) of the trapped BP-PDI MP using the highest 561 nm excitation irradiance power (20 kW cm⁻²) for each trapping laser power (Fig. 2B). The fluorescence intensity from 580 to 620 nm (far away from 640 nm SE laser wavelength) decreases with a non-linear exponential trend upon increasing the 640 nm trapping (depleting) laser power (Fig. 2C) as previously reported for a SE process. In view of both experimental results (trapping stiffness and fluorescence dependence on the 561 nm WF irradiance), we hypothesize that the observed trapping stiffness decrease is induced by an ORE pulling force that arises from the non-linear SE process.

To confirm the proposed hypothesis, we calculate the optical force exerted on the dye molecules under the intense focused laser beam. A four-level energy scheme, including vibrational states, is assumed as the theoretical model (Fig. 3A). The parameters have been mostly chosen based on the spectroscopic measurements. The electronic transition energies between the different states are calculated by fitting both ground and excited state spectra with Gaussian functions (2.21, 2.05 and 1.89 eV for the 1 → 4, 2 → 4, and 3 → 4 electronic transitions, respectively). As the fluorescence spectrum is a mirror image of the absorption spectrum (Fig. 1A), we assign the fluorescence peak at 604 nm (2.05 eV) and the shoulder at 656 nm (1.89 eV) to the vibrational progression (S₁(ν = 0) to S₀(ν = 0) and S₁(ν = 0) to S₀(ν = 1), respectively). Indeed, the normal
mode calculation revealed that these vibrational energy levels originate from C–C stretching modes of the BP-PDI backbone around 1300 cm$^{-1}$ (Fig. S10†). The transition dipole moments for each transition are estimated by integrating the molar extinction coefficients along wavelengths (5.70, 5.44 and 3.38 Debye for the $1/4$, $2/4$, and $3/4$ electronic transitions, respectively; Table S2†). The dephasing constant was set at 20 meV (around 33 fs) which is a realistic parameter for a dye entrapped inside a polymeric matrix. Note that its order of magnitude is similar to the room temperature energy ($k_B T$). The $S_1$ lifetime is 6.4 ns obtained by time-resolved fluorescence (Fig. S11†). The vibrational relaxation times of organic molecules from $3 \rightarrow 2$ and $2 \rightarrow 1$ states are in the few picosecond range. Thus, both lifetimes are assumed as 1.5 ps. In a previous work, we demonstrated that the amount of ORE cycles is mainly determined by the $S_1$ lifetime. To confirm this claim, we calculated the optical force spectra for 3 different vibrational relaxation lifetimes (0.15, 1.5 and 15 ps; Fig. S12†) and no significant differences are observed. Finally, the number of entrapped dyes molecules per PS MP is $1.3 \times 10^6$ as we have estimated above.

Considering the above-mentioned parameters, we calculated the optical force as a function of the trapping laser wavelength (Fig. 3B). The optical force calculation was performed when the dye molecule is located nearby the laser focus ($x, z = 120, 0$ nm), where the gradient force becomes larger as detailed in Fig. S13†. Under this condition, the exerted optical force can be decomposed into three independent contributions, which are attributed to those transitions involving the absorption ($1 \rightarrow 4$ transition) and emission ($4 \rightarrow 2$ and $4 \rightarrow 3$ transitions) of a photon. The overall ORE force exerted on the dyes is determined by a linear combination of these three transitions and the force sign depends on which transition becomes dominant.

Of note, an attractive gradient force towards the focus will push the MP towards the interface, while a repulsive gradient force will induce a pulling effect outward the laser focus. Indeed, these attractive (pushing) and repulsive (pulling) forces increase (positive ORE) and decrease (negative ORE) the trapping stiffness. Additionally, these forces contribute to increase/decrease the friction with the solution/glass interface, respectively, which also indirectly affects the trapping stiffness. Then, the overall optical stiffness is determined by adding these pushing and pulling forces to the enhanced polarization of the MP due to population of the $S_1$. Next, we will describe the optical force arising from each transition to further understand their role:

- $1 \rightarrow 4$ transition (black line Fig. 3B): an attractive pushing force toward the focus is transferred to the dye when the trapping laser wavelength is red-detuned with respect to the ground state absorption peak (around 560 nm). The phase of the

Fig. 2 Stimulated emission impact on trapping stiffness. (A) Trapping stiffness dependence with the 561 nm WF irradiance. The used 640 nm trapping laser powers are 20, 10, 5, and 1 mW (gold, blue, red, and black, respectively). The trapped BP-PDI PS MP and its photobleached control are represented with closed and open symbols, respectively. (B) Representative fluorescence images ($\lambda_{obs} = 580-620$ nm) of a trapped BP-PDI PD MP under 561 nm WF photoexcitation (20 kW cm$^{-2}$) for each trapping laser power. The scale bar is 2 μm. (C) Fluorescence intensity dependence on the trapping 640 nm laser power because of the stimulated emission. $I_{561} = 2 \times 10^4$ mW cm$^{-2}$. The exponential fit (black dashed line) provides a decay constant of $6.5 \pm 2.3$ mW. The error bars denote the standard deviation of the mean value.
Theoretical calculations for stimulated emission impact on trapping stiffness. (A) Optical scheme showing the configuration of the 640 nm trapping laser and a BP-PDI dye molecule at the interface, which has been used to calculate the induced ORE optical force. (B) Jablonski diagram of the 4-level energy system used for the theoretical calculations, including the observed transitions. (C) Optical force spectra, when the BP-PDI molecule is fixed at (x,z) = (+120,0) nm. For all the calculations, we considered that the center of the tightly focused laser beam is the origin of the coordinate system. The black, magenta, and green curves are the induced optical forces that arise from 1 to 4 (S1(υ = 0) to S0(υ = 1)), 4 to 2 (S2(υ = 0) to S0(υ = 0)) and 4 to 3 (S1(υ = 0) to S0(υ = 1)) electronic transitions, respectively. The 561 nm excitation irradiance is set as 20 kW cm$^{-2}$. (D) Optical force spectrum for different 561 nm excitation irradiances (black, magenta, and green lines for 0.2, 2, and 20 kW cm$^{-2}$, respectively). (E) The calculated overall optical resonance force exerted on a single trapped BP-PDI PS MP as a function of the 561 nm excitation irradiance for 4 different 640 nm trapping laser powers (1, 5, 10, and 20 mW, bottom to top).

trapping laser and the induced polarization become the same at the red-detuned region, as is widely described in the laser cooling field.$^{44-46}$ Instead, a blue-detuned laser (with respect to the ground absorption peak) results in a repulsive pulling force since the phase is opposite (see a detailed description at the ESI†).

4 $\to$ 2 transition (magenta line Fig. 3B): the phase relation between the laser and the polarization is inverted when the transition starts from an upper excited state to a lower energy state as occurs in the non-linear SE transition. For instance, the blue-detuned laser for 4 $\to$ 2 transition results in an attractive pushing force. At the blue-detuned region, the phase of the laser and induced polarization are in phase, thereby the gradient force becomes attractive.

4 $\to$ 3 transition (green line Fig. 3B): similarly, the blue-detuned laser for the 4 $\to$ 3 transition also results in the attractive pushing force as shown in around 450–660 nm wavelength region. However, the amplitude of the force is smaller compared to that of the 4 $\to$ 2 transition because the transition dipole moment of the 4 $\to$ 3 transition is smaller than that of the 4 $\to$ 2 transition.

The individual contribution of each transition depends on the excitation laser irradiance as the population of S1 affects both 4 $\to$ 2 and 4 $\to$ 3 SE transitions in a non-linear fashion. Fig. 3C shows the overall ORE optical force spectrum for different 561 nm excitation laser irradiances. Depending on the trapping laser wavelength, the force amplitude and sign are drastically modulated. If we focus on what occurs using a 640 nm trapping laser, we observed that the optical force exerted on the dye becomes weaker upon increasing the 561 nm excitation laser irradiance (Fig. 3C). Under this condition, the repulsive pulling force of the 4 $\to$ 2 transition due to the red-detuned laser becomes dominant over the other two transitions. If we extrapolate the obtained optical force on a single BP-PDI molecule to the overall dye-doped MP, we observe a decrease in the trapping stiffness upon increasing the 561 nm excitation laser irradiance (Fig. 3D). Indeed, the calculated decrease in the trapping stiffness has a similar trend to the obtained experimental results (Fig. 2A). Thus, the theoretical calculation reveals that the observed repulsive pulling ORE effect is due to the 4 $\to$ 2 SE transition. In detail, the 640 nm trapping laser (which is a red-detuned laser for 4 $\to$ 2 transition) provides the repulsive gradient force during the SE processes. Besides, the MP receives the pulling force from the 640 nm laser, which is opposite to the direction of the light propagation as shown in Fig. S13B.† This pulling force is originated from a recoil of stimulated emission, which contribute to decrease the friction between particle and glass coverslip, which indirectly also decreases the trapping stiffness.

Once the individual contribution of SE has been understood, we integrate it on the recently developed non-linear ORE theory (Fig. S14†). On one hand, the positive pushing ORE was
previously observed by excited state absorption (S1→S0→S1 cycle) with another dye-doped particle using a similar two-laser system: a 488 nm WF laser (resonant with S0→S1 transition) and a 1064 nm trapping laser (resonant with S1→S0 transition). On the other hand, the negative pulling ORE has been reported above. The combination of both non-linear transitions could potentially expand the trapping stiffness range of a trapped object. To test this idea, we designed a new experiment using a three-laser system: a 561 nm WF laser (resonant with S0→S1 transition), a 640 nm WF laser (resonant with S1 SE) and a 1064 nm trapping laser (resonant with S1→Sn transition).

As expected, the trapping stiffness increases when the 561 nm widefield excitation laser is switched on as this enables the upper excited state (S1→S0→S1 cycle) resonance cycle (Fig. 4A). To our delight, we observed a trapping stiffness decrease when the third 640 nm WF laser was simultaneously switched on with high irradiance. Of note, if the same irradiance is used for both 561 and 640 nm WF lasers, no significant trapping stiffness reduction is observed as the SE cross-section is typically smaller than the S1 excited state absorption cross section, limiting the SE-based negative ORE to only high photon pressure. Moreover, the trapping stiffness decrease is related to the BP-PDI fluorescence decrease (Fig. 4B), confirming that the observed trapping stiffness decrease is due to a SE process. As a control, we photobleached the BP-PDI molecules and no enhancement nor decrease of the trapping stiffness is observed (blue bars Fig. 4A).

Based on our understanding, the decrease in the trapping stiffness is ascribed to two different factors, both directly related with SE. The first one is due to SE-induced S1 depopulation. A smaller photostationary S1 concentration as well as a faster S1 decay reduces the amount of S1→S0→S1 resonant cycles, yielding a smaller positive pushing ORE force. The second is caused by an indirect effect; the reduction of the friction with the interface by the negative pulling ORE force, leading to a decrease in the optical trapping stiffness.

Thus far, including our previous work on the excited state cyclic transition, we have shown that the optical force is meticulously controlled, reflecting the transient molecular excited states. Thus, we have only demonstrated this effect using continuous wave (CW) lasers. However, we believe that the optical force can be further finely and temporarily controlled by coherent manipulation of the molecular states with a pump-probe ultrashort pulsed laser technique. Recently, Oyamada et al. have reported the optical trapping of single small molecules at the gap of a single metal nanodimer by plasmonic effect at room temperature, we propose that the conceptually-expanded ORE described at the interface paves the way to ultimately enable single resonant particle/molecule selective trapping and manipulation in solution at room temperature using optical forces. This ORE-based methodology could have the potential to become an alternative to other (non-light involved) trapping techniques such as Anti-Brownian ELecktrokinetic (ABEL) or dielectrophoretic traps. Even more, the role of ORE forces has been recently expanded from a single particle system to the ensemble particle level, where the ORE force triggers the gathering and assembling of dye-doped particles at an interface as well as modifying the assembly morphology.

**Conclusion**

Like other non-linear optical processes, stimulated emitted photons can exert a resonant optical force on an object. However, the sign of this force is opposite to the conventional optical resonance effect initiated from the ground state of electronic transition of dye molecules. This leads to a decrease (instead of an increase) in the trapping stiffness, when the object is trapped at an interface. This finding is experimentally described for the first time, and it is fully supported by non-linear resonant radiation force theory. Moreover, the
combination of attractive/pushing (excited state transition) and repulsive/pulling (stimulated emission transition) optical resonance forces offers a unique possibility to achieve an unprecedented control of the overall optical force balance in a wide range of research fields from optics over biochemistry, to analytical chemistry, physical chemistry, and soft matter, among others.

Data availability

Details on materials and methods, the estimation of the temperature elevation of a single BP-PDI doped polystyrene microparticle trapped by a tightly focused 640 nm laser beam, description of red- and blue-detuned laser on optical trapping, SEM images, 3D optimized chemical structure of BP-PDI, optical scheme of the microscope used, trapping stiffness analysis, spectroscopic and optical force simulation details are included in the ESI.† Other data are available from the corresponding author upon reasonable request.

Author contributions

R. B.-O. and T. K. designed the study, performed the experiments, and analyzed the data. S. I., H. M., J. H. contributed to the design of the study and data analysis. B. L. contributed to the development of data analysis methodology. H. S. and H. M. performed the transient absorption spectroscopy experiments. J.-K. C. performed the SEM experiments. T. K., R. B.-O. and H. M. wrote the first version of the manuscript. T. K., S. I., H. M., J. H. and R. B.-O. supervised the project. All authors have given approval to the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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