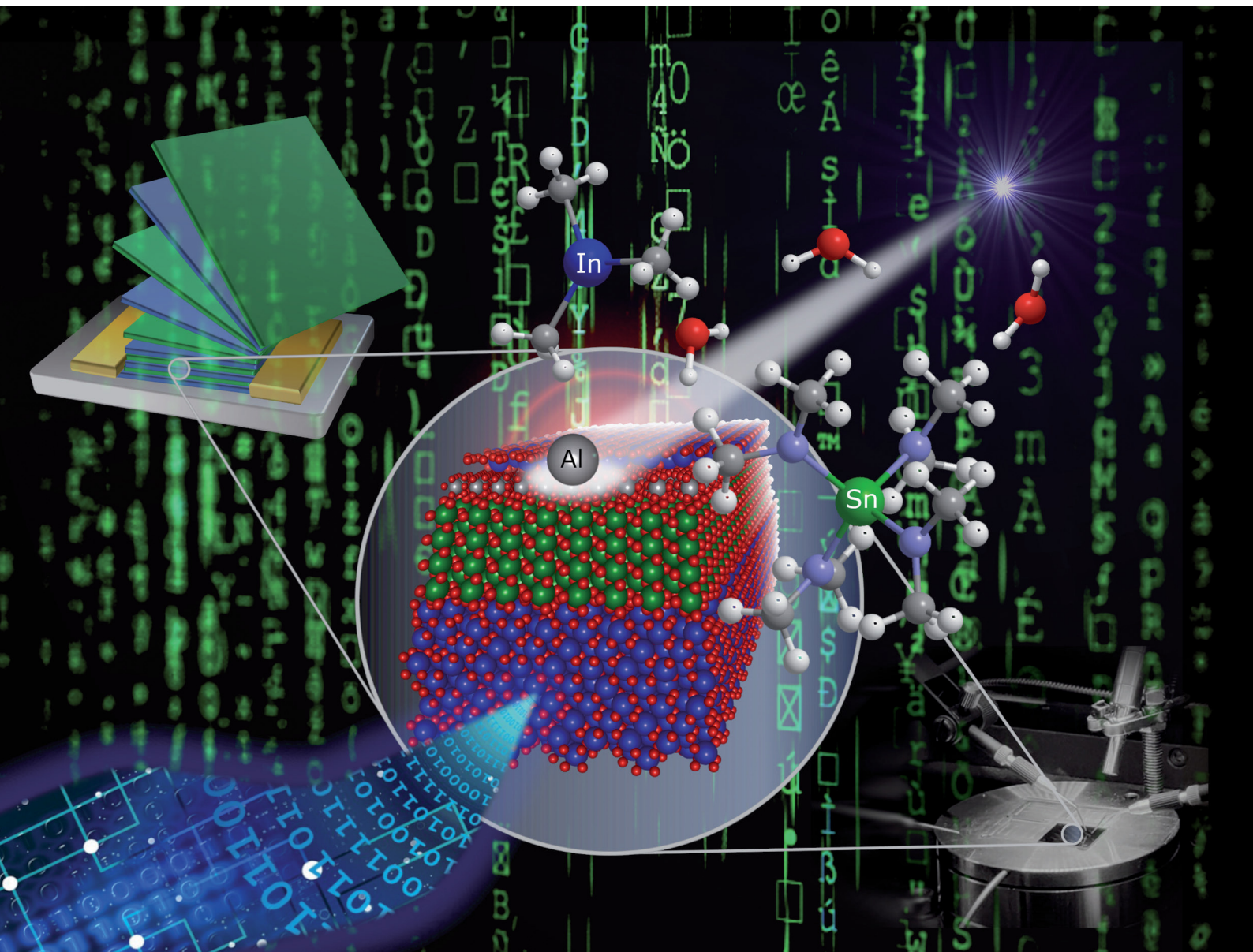


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Electronic influence of ultrathin aluminum oxide on the transistor device performance of binary indium/tin oxide films†

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Heterostack thin-film transistors (TFTs) with an active layer comprising indium-, tin-, and aluminum oxide (ITAO) were fabricated using atomic layer deposition (ALD) to investigate the influence of aluminum oxide on the TFT performance parameters. Deposition of the quaternary heterostacks was accomplished at 200 °C using trimethylindium (TMI), tetrakis(dimethylamino)tin (TDMASn), trimethylaluminum (TMA), and water as oxidizing agent. By adjusting the number of Al₂O₃ cycles, while keeping the ratio of In₂O₃ to SnO₂ constant, ITAO films with a well-defined Al₂O₃ content were obtained as confirmed by X-ray photoelectron spectroscopy (XPS). The stacked structure of the thin-films, as well as the uniform thickness was verified by high resolution transmission electron microscopy (HRTEM). The thin-film composition of the ternary ITAO semiconductors could be correlated to their electronic performance. Reducing the aluminum oxide content from three to one cycles in the atomic layer deposition experiment reveals a significant increase in the saturation mobility of nearly 300%, however at the expense of other important transistor parameters. The thus optimized ITAO thin-film exhibits a decent saturation mobility (μ_{sat}) of 2.28 cm² V⁻¹ s⁻¹, a threshold-voltage (V_{th}) of 6.8 V, a high current on/off ratio ($I_{\text{on}}/I_{\text{off}}$) of 9.0×10^5 , and a low subthreshold swing (SS) of 365.5 mV dec⁻¹, using a large width to length ratio ($W/L = 500$). Furthermore, the incorporation of aluminum oxide leads to an increase in performance stability towards exposure to background irradiation under transistor operation. The critical transistor performance parameters were altered only to a minor extent when irradiated with energies of 2.0 eV to 3.7 eV. This confirms the enhanced light stability of the TFTs, through employing a wide-band gap material as part of the semiconducting layer.

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Introduction

The interest in metal oxide semiconductor thin-film transistors (TFTs) has grown in the past decades, due to an increasing demand for thin and flexible devices in display technology. They possess numerous advantages over silicon-based TFTs such as optical transparency, good device performance and low temperature processability.¹ Many devices such as active-matrix liquid-crystal displays (AMLCD) and active-matrix organic light-emitting diodes (AMOLED) rely on transistors exhibiting high mobilities, high optical transparency and low processing temperatures, as well as cost.^{2,3} Materials

comprising multinary heavy metal cations especially indium, zinc, and tin have been studied intensively for their application in TFTs. A variety of ternary and quaternary oxides, such as zinc tin oxide (ZTO),⁴ indium zinc oxide (IZO),^{5–7} and zinc indium tin oxide (ZITO)⁸ have emerged as promising candidates. Conventional fabrication methods for semiconducting materials include solution processing^{2,3,9} and sputtering.^{10,11} However, these methods suffer disadvantages such as uneven film thickness² or difficulties in controlling the elemental composition.¹² Recently, the method of atomic layer deposition (ALD) has shown promising results for metal oxide TFTs with comparable performance parameters. Mobility values of 6.5 cm² V⁻¹ s⁻¹ up to 42.1 cm² V⁻¹ s⁻¹ for multinary metal oxides, such as IZO^{1,6,7} and indium gallium oxide (IGO),¹² as well as for single oxide TFTs,¹³ have been reported. ALD is a gas-phase method based on self-limiting surface reactions which enables reproducibility with a precise control over the composition and thickness of the deposited layers.⁶ By employing highly reactive precursor molecules, materials can be deposited at relatively low temperatures.¹⁴ Each precursor is

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consecutively pulsed into the reaction chamber with an inert gas being flushed between the different precursor pulses. This eliminates uncontrolled gas phase reactions and growth is limited to a maximum of one monolayer per precursor pulse. In addition, the formation of ideally pore-free layers ensures a conformal growth over large areas.¹³

In recent years, research has concentrated on multinary metal oxide systems, although targeted efforts have been made to establish materials based on multilayered heterostructure systems of different metal oxides.^{6,15} The use of these heterostructures has made it possible to achieve both improved stability¹⁶ and a significant increase in the performance of metal oxide TFT components.¹⁷ By employing a stacked architecture, interfaces between the individual oxides, as well as between the substrate and the first layer of semiconducting material are generated. These interfaces exhibit special properties which have a significant influence on a multitude of electronic devices. Regardless of their importance, only limited knowledge is available about the electronic properties of most interfaces and how these are influenced by the manufacturing processes used.¹⁸ Due to the ability of growing high quality films with a high degree of homogeneity and conformality, the ALD method is a promising way to produce heterostructure-based metal oxide films with defined interfaces.⁷

The advantage of utilizing heterostructure stacks over single oxides is the possibility of combining the properties of the individual oxides, thus enhancing the overall performance of the component. Despite their good suitability as active channel materials, indium and zinc oxide suffer from problems regarding their long-term stability due to a limited stability against environmental influences of their respective thin-films.¹⁹ By the incorporation of suitable metal oxides, such as Al_2O_3 , a large band gap material,¹⁸ or SnO_2 , with its property of being a robust channel material,^{8,20} this instability can be addressed.²¹

The material combination of In_2O_3 and SnO_2 (ITO), with unique optical and conducting properties, has been used as a transparent conducting oxide (TCO) in transparent electrodes for the application in solar cells and flat panel displays.²² These applications demand a high carrier concentration, whereas application of transparent oxides in transistor devices require semiconducting materials with a controllable carrier concentration.²³ An efficient method for controlling the overall conductivity of the material is to incorporate an ion which is able to reduce the concentration of free electrons, *viz.* the charge carrier concentration. For this purpose, gallium oxide is often used in semiconducting materials. The strong Ga–O bond suppresses the formation of oxygen defects and the associated generation of mobile electrons.²³ As an alternative aluminum oxide can be employed, as it also possesses a strong metal–oxygen bond, however, due to its high dielectric constant (~ 9),²⁴ Al_2O_3 is often used as dielectric in TFT devices.²⁵ Despite these properties, Al_2O_3 in combination with ZnO has been reported as an active semiconductor layer realizing high-performance TFTs with a mobility of $27.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$.²⁵ Furthermore, due to its large band gap of 8.7 eV,²⁴ an improved stability under illumination is expected in corresponding TFTs.

The combination of In_2O_3 , Al_2O_3 , and SnO_2 in a quaternary heterostructure is a promising material combination to study the influence of a wide band gap oxide like aluminum oxide with a large oxophilicity on the semiconducting properties of a thin-film metal oxide combination in a TFT device.²⁶ Such studies are meaningful in order to gain a further understanding on the influence and control-mechanisms of oxygen vacancies (V_O) which are responsible for the charge conduction in thin-film oxide semiconductors.^{27–29}

Herein, we have investigated the influence of aluminum oxide doping on binary thin-film heterostructures composed of In_2O_3 and SnO_2 . We have studied its influence on the TFT performance parameters of the indium oxide/tin oxide semiconducting layer structure, and have determined the conduction mechanism responsible for the transistor performance characteristics. In addition, we studied the performance of the thin-film transistors under illumination conditions and determined their respective characteristics.

Results and discussion

Fabrication and characterization of the ternary heterostack structures

To fabricate the desired heterostacks comprising of In_2O_3 , SnO_2 , and Al_2O_3 the individual oxides were deposited *via* ALD with a constant number of cycles for In_2O_3 and SnO_2 . However, the number of Al_2O_3 cycles was varied between one and three to investigate the influence of the wide band gap oxide Al_2O_3 on the TFT performance parameters of the layered $\text{In}_2\text{O}_3/\text{SnO}_2$ composition. The deposition of these three oxides consecutively forming the metal oxide heterostack is referred to as an ALD supercycle. By repetition of a supercycle the total film thickness can be controlled. A schematic representation of the deposition process for one supercycle is shown in Fig. 1. The first part of the supercycle consists of the exposure of the substrate to a TMI pulse for a certain exposure period and the consequent oxidation with water. In the first step TMI molecules react with surface OH-groups of the substrate (step 1). Following an exposure time, the reactor is purged with argon to remove remaining precursor molecules and gaseous side products. Subsequently an oxidizing agent in the form of water is introduced into the reaction chamber for an extended exposure time, thereby allowing OH-groups to be formed (step 2). After purging the reactor with argon, the sequence is repeated to obtain the desired thickness of the In_2O_3 film (step 3). SnO_2 and Al_2O_3 are deposited from TDMASn (steps 4–6) and TMA (steps 7–9), respectively, and oxidized with water in the same manner as described for In_2O_3 . The sequence of depositing the three individual oxides on top of each other is described as supercycle. It enables the fabrication of a heterostack with a precise control over the film thickness of each single oxide. By reiterating the full supercycle the total film thickness can be controlled.³⁰ The exact deposition parameters for each precursor is given in the experimental section. The investigated heterostacks comprise of 26 cycles In_2O_3 , 15 cycles SnO_2 , which



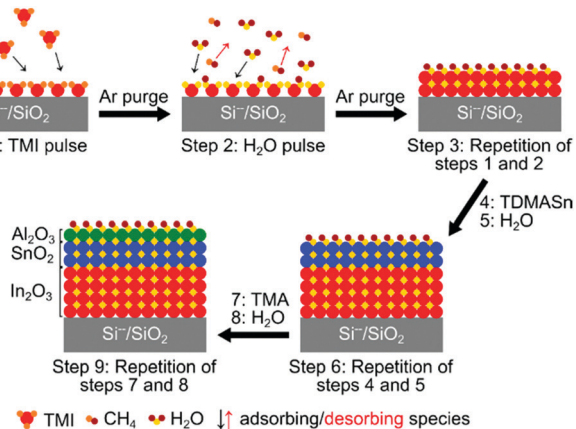


Fig. 1 Schematic diagram of the ALD process to fabricate $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ (ITAO) heterostructure stacks. The deposition of In_2O_3 from TMI and water is depicted in detail (step 1–3). In step 1 TMI reacts with surface OH groups of the substrate, an argon purge flushes unreacted molecules from the reactor. A subsequent water pulse reacts to form OH groups on the surface and CH_4 as by-product (step 2). A final argon purge removes the by-products and unreacted molecules. The layer thickness of the In_2O_3 can be adjusted by repeating steps 1 and 2 (step 3). In the same manner, SnO_2 and Al_2O_3 are deposited from TDMASn (steps 4–6) and TMA (steps 7–9), respectively. Thin-films prepared within this work exhibit a composition of $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ 26:15:1 (ITAO 1), 26:15:2 (ITAO 2), and 26:15:3 (ITAO 3), deposited in eight supercycles.

are modified with one to three cycles of Al_2O_3 deposited in eight supercycles (see caption of Fig. 1 for sample denomination).

The composition of the heterostructure stacks was investigated with UV-vis measurements, high resolution transmission electron microscopy (HRTEM), and X-ray photoelectron spectroscopy (XPS). Finally, to evaluate the semiconducting properties with respect to the influence of the aluminum oxide content, thin-film transistor devices were fabricated.

Thin-film characterization

To clarify the thin-film architecture of the deposited heterostructures cross-sectional high resolution transmission electron microscopy (HRTEM) was performed. Micrographs of sample ITAO 2 revealed a uniform film thickness of ~ 15 nm with an excellent homogeneity over a large area of the deposited film (Fig. 2a). Distinct layers with lighter and darker contrast are observable, which can be assigned to In_2O_3 and SnO_2 , respectively, revealing a layered architecture of the film (Fig. 2b) with a composition $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ of 26:15:2 deposited over eight supercycles. Due to the exceeding larger number of layers originating from In_2O_3 and SnO_2 , individual Al_2O_3 layers cannot be observed in the HRTEM images. However, the number of assignable layers is in accordance with the number of supercycles.

The elemental composition of the three heterostack architectures ITAO 1–3 with varying Al_2O_3 amount was investigated by X-ray photoelectron spectroscopy (XPS). The peaks for the individual Al 2p core level spectra are located at 73.9 eV for Al 2p_{3/2} and 74.5 eV for Al 2p_{1/2}, with no significant peak shifts (Fig. 3a) with respect to the increasing Al content in the stacks moving from ITAO 1–3.^{18,31} As expected from the compositional

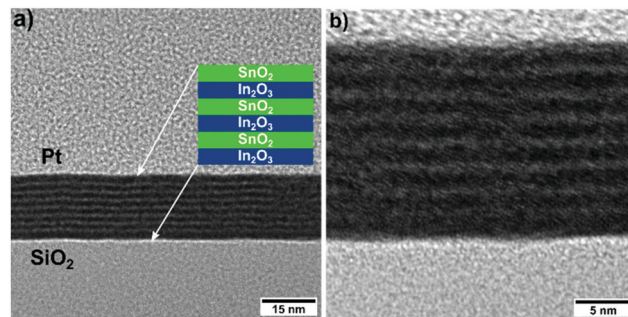


Fig. 2 Cross-sectional HRTEM micrographs obtained via focused ion beam (FIB) sample preparation of the thin-film ITAO 2 with the composition $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ 26:15:2. The homogenous nature of the film over a large area (a) can be observed as well as a layered architecture of the heterostack (b). Layers with lighter contrast can be attributed to In_2O_3 , while those with darker contrast can be assigned to SnO_2 .

differences of the thin-films, the Al concentration increases with the increasing number of Al_2O_3 deposition cycles, from 8.5 at% to 14.5 at%. As the oxygen-related species exert a strong influence on the performance parameters of a TFT,^{3,6} the investigation of the O 1s core level is intriguing. The O 1s core level (Fig. 3b) is deconvoluted into three peaks, which can be assigned to different oxygen species present within the thin-film. At lower binding energies, the peaks can be assigned to fully coordinated oxygen ($\text{M}_x\text{-O}_y$) species,³ related to In_2O_3 and SnO_2 at 530.1 eV and Al_2O_3 at 531.5 eV,^{32,33} respectively. The latter peak might also contain additional contributions from defect rich $\text{M}_x\text{-O}_y$ species, associated with V_O vacancies in the oxygen lattice.³⁴ Contributions of surface and bulk OH groups in the films, and probably carbonate species (due to very similar binding energies, contributions from hydroxyl and carbonate species are not clearly distinguishable^{33,35}) are represented by the peak at higher binding energies of 532.6 eV.^{3,33} As evident from the Al 2p core level, the peak at 531.5 eV also shows an increase with the increasing incorporation of Al^{3+} in the heterostructures of ITAO 1, 2, and 3, while the $\text{M}_x\text{-O}_y$ contributions from In_2O_3 and SnO_2 decrease from 43.2 to 31.7 at%, accordingly (Table 1). This fact clearly indicated the doping of Al^{3+} into the $\text{In}_2\text{O}_3/\text{SnO}_2$ layer, which reflects the high affinity of aluminum towards oxygen.²⁸ Simultaneously the concentration of M–OH increases. The Sn 3d_{5/2} core level peak (Fig. 3c) positioned at 486.6 eV together with the maximum of the valence band at 4.7 eV (Fig. S1b, ESI†) demonstrate the presence of SnO_2 .³⁶ The In 3d_{5/2} core level peak located at 444.5 eV (Fig. 3d) and the In MNN Auger line (Fig. S1a, ESI†) indicate unambiguously the presence of In_2O_3 .³⁷

The optical properties of the thin-films ITAO 1–3 were investigated through UV-vis measurements. All ITAO thin-films show an optical transparency above 85% in the visible region as indicated in Fig. 4a. From the transmission data Tauc plots (Fig. 4b) were generated to determine the optical band gaps of the thin-films.³⁸

The Tauc plots of the three thin-films differ only to a minor, but nevertheless significant, extent. Interestingly, the band gap widens from 3.08 to 3.15 eV observable even with a subtle increase



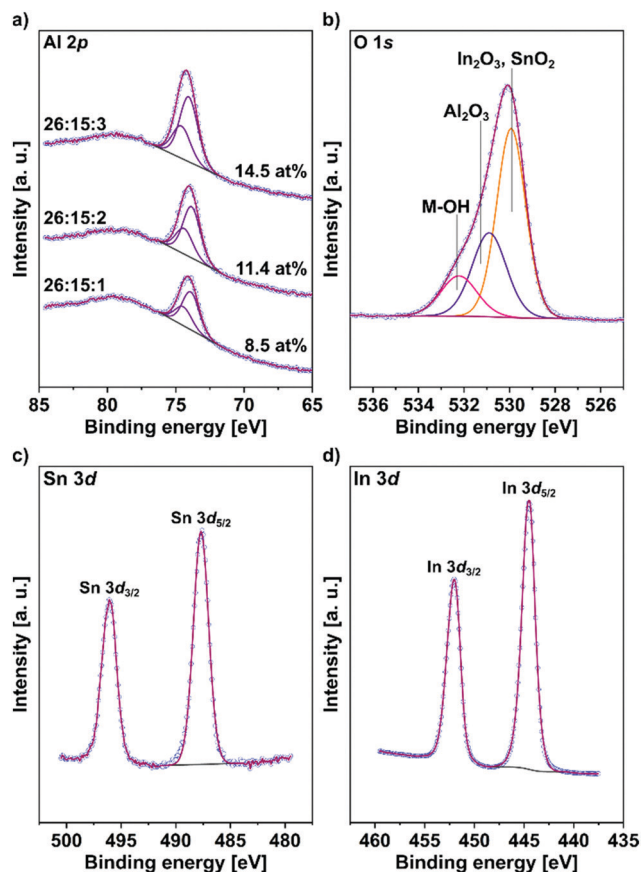


Fig. 3 XPS core level spectra of the heterostructure stack ITAO 2 for (a) Al 2p, (b) O 1s, (c) Sn 3d, and (d) In 3d. All spectra were referenced to the C 1s peak at 285 eV. The respective core level for ITAO 1 and ITAO 3 exhibit similar BE values and are reported in Table S1 (ESI†).

Table 1 Atomic concentrations of coordinated oxygen (M_x-O_y) and hydroxyl (M-OH) species for the three heterostructure stacks obtained from deconvoluted XPS O 1s spectra

Composition $In_2O_3/SnO_2/Al_2O_3$	M_x-O_y In_2O_3, SnO_2 atom%	M_x-O_y Al_2O_3 atom%	M-OH atom%
26 : 15 : 1 ITAO 1	43.2	9.7	2.9
26 : 15 : 2 ITAO 2	39.2	10.2	5.4
26 : 15 : 3 ITAO 3	31.7	16.1	8.8

in the number of aluminum oxide deposition cycles from one to three (Table 2). Obviously, by introducing the wide-band gap material aluminum oxide ($E_g = 7.0$ eV)³⁹ into the semiconducting In/Sn oxide heterostack, the band gap of the ternary ITAO films is affected considerably. This is in accordance with very recent work on the plasma enhanced ALD synthesis of indium oxide/aluminum oxide binary layers, in which a similar increase with an increase of the Al^{3+} incorporation is observed.²⁸

Thin-film device integration of the heterostacks ITAO 1–3 and determination of the conduction pathway

Finally, the ITAO heterostack thin-films were integrated into TFT devices to study the influence of aluminum oxide on the

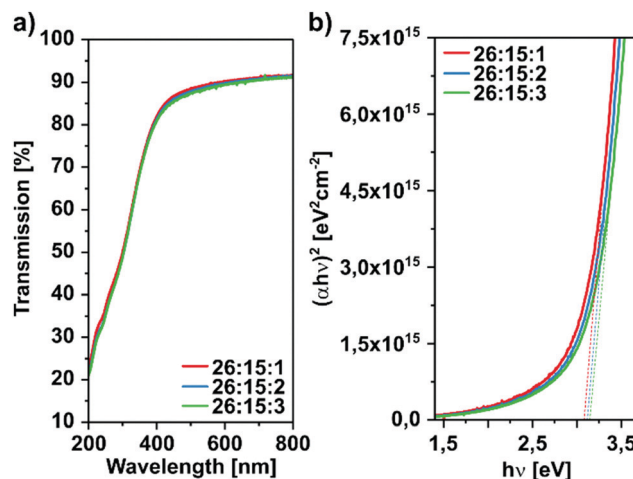


Fig. 4 Optical properties and Tauc plot of the three heterostructures ITAO 1–3 (red, blue, green).

Table 2 Calculated band gaps of the heterostructures with different contents of Al_2O_3 obtained from the corresponding Tauc plots

Composition $In_2O_3/SnO_2/Al_2O_3$	Band gap [eV]
26 : 15 : 1 ITAO 1	3.08
26 : 15 : 2 ITAO 2	3.12
26 : 15 : 3 ITAO 3	3.15

transistor performance of the indium/tin oxide semiconductor stack. As Al_2O_3 is a dielectric material with a strong metal-oxygen bond, a notable suppression of the density of free charge carriers could be expected.²⁹ In addition, the incorporation of a material such as aluminum oxide, with a significantly larger band gap, compared to In_2O_3 and SnO_2 , can lead to an increase in performance stability towards exposure to background irradiation under transistor operation. In order to verify this hypothesis, in addition to the examination of the transistor performance parameters under exclusion of light, the behavior of these parameters under irradiation with light of different wavelengths was examined. Due to the strength of the Al-O bond and the large band gap, the fraction of aluminum oxide in the ITAO films was kept low and was varied only to a small extent. The IV characteristics of the fabricated transistors were thus subsequently studied. From the transfer and output curves (Fig. 5), the characteristic TFT parameters (Table 3) were extracted to allow the comparison of heterostructures with different compositions.

By reducing the aluminum oxide content from two to one deposition cycles, the conductivity of the semiconductor layer is increased. This can be observed in the transfer curve of the TFT ITAO 1 (26 : 15 : 1) due to the higher currents in the on and off state (Fig. 5a), as well as in the corresponding output curve (Fig. 5b), while increasing the Al_2O_3 content to three deposition cycles leads to a reduction in conductivity (Fig. 5a and d). The TFT performance parameters of the three transistors are summarized in Table 3.



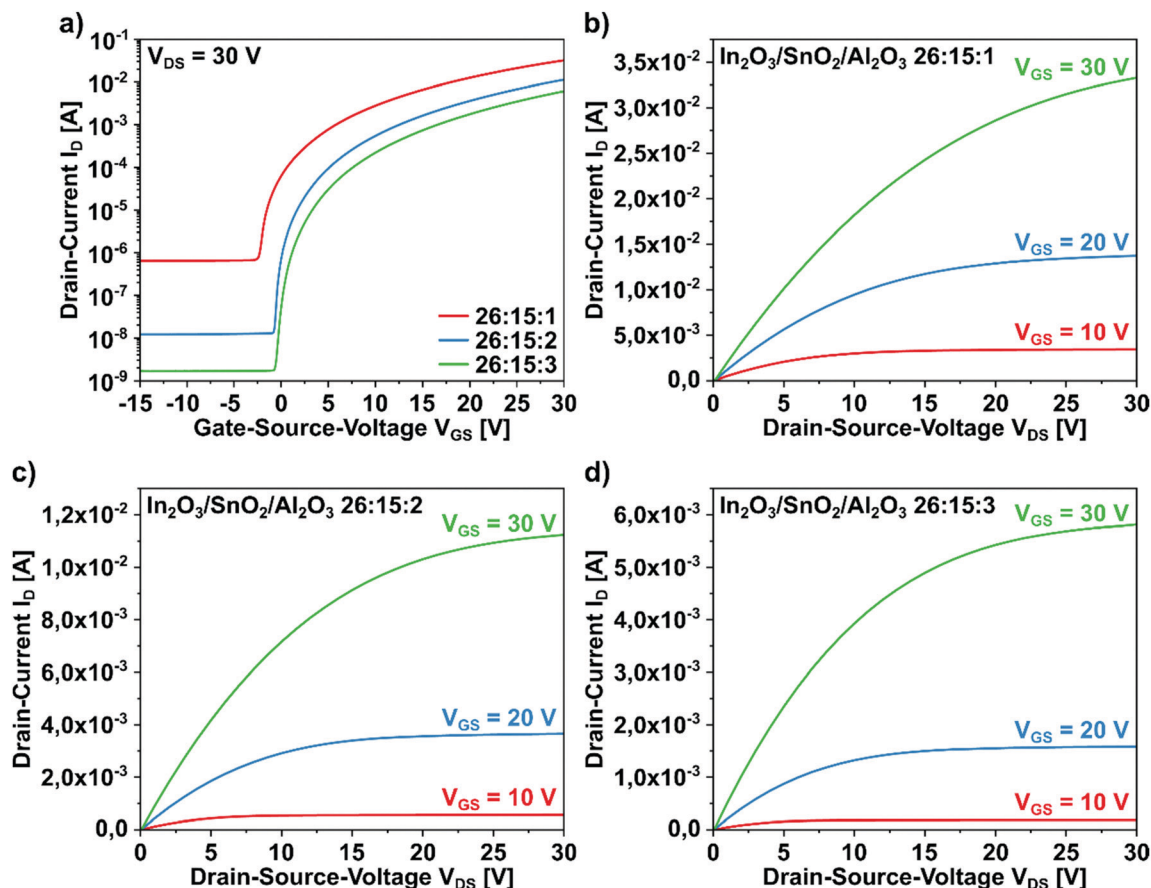


Fig. 5 (a) Collective transfer characteristics of the TFT with one, two, and three cycles Al_2O_3 ITAO 1–3 (red, blue, green) and (b–d) the corresponding output characteristics of the $\text{In}_2\text{O}_3/\text{Al}_2\text{O}_3/\text{SnO}_2$ heterostructures ITAO 1–3, at different gate–source voltages, respectively.

Table 3 TFT performance parameters for the stacked heterostructure TFT devices with the composition $\text{In}_2\text{O}_3/\text{Al}_2\text{O}_3/\text{SnO}_2$ with one, two, and three cycles of Al_2O_3

Composition $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$	Mobility, μ_{sat} [cm^2 $\text{V}^{-1} \text{s}^{-1}$]	On- voltage, V_{On} [V]	Threshold voltage, V_{th} [V]	Current on/off ratio, $I_{\text{On}}/I_{\text{Off}}$	Subthreshold swing, SS [mV dec^{-1}]
26:15:1 ITAO 1	4.87	−2.8	3.2	4.7×10^4	631.0
26:15:2 ITAO 2	2.28	−0.9	6.8	9.0×10^5	365.5
26:15:3 ITAO 3	1.37	−0.7	8.1	3.6×10^6	388.5

With respect to the charge carrier mobility μ , reducing the Al_2O_3 content from two to one cycle leads to a significant mobility increase from $2.28 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ to $4.87 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, while the threshold voltage is reduced to 3.2 V. Due to the significant increase of the off current with only a moderate increase of the on current, the current on/off ratio ($I_{\text{On}}/I_{\text{Off}}$) is lowered one order of magnitude compared to transistor ITAO 2, while the subthreshold swing is increased to 631 mV dec^{-1} . The subthreshold swing is directly related to the density of traps at the interface between dielectric and semiconductor.¹⁶

Lower SS values for ITAO 2 indicate a decreased trap density compared to ITAO 1, which is also in agreement with the property of Al to suppress oxygen defects effectively.⁴⁰ A further increase of the Al_2O_3 content up to three deposition cycles reduces the mobility further to $1.37 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, accompanied with a higher V_{th} of 8.1 V. However, while the on current is slightly reduced, the off current is lowered to a significant extent, leading to an increased $I_{\text{On}}/I_{\text{Off}}$ of 3.6×10^6 . Investigations of an $\text{ITO}/\text{Al}_2\text{O}_3$ interface by XPS revealed that by increasing the Al_2O_3 film thickness up to a certain value, the position of the Fermi level (E_F) of the binary structure is lowered.¹⁸ Therefore the difference between E_F and the conduction band minimum (CBM) increases, leading to significantly fewer sub-gap states being filled. These act as trap states with respect to charge carriers, hence influencing the charge transport and consequently the charge carrier mobility.¹¹

Despite the attractive mobility and relatively low V_{th} , the single Al_2O_3 cycle TFT (ITAO 1) exhibits an elevated off-current (Fig. 5b). However, the off-current should be as low as possible for an efficient transistor to consume as little energy as possible in the off state. The offcurrent can be significantly reduced by increasing the Al_2O_3 content up to three deposition cycles, but this is accompanied by a trade off in the charge carrier mobility. In order to further optimize the TFT parameters with respect to



a varying layer composition, different doping levels of wide band gap dopants into such binary semiconductor combinations have to be studied. The optimized value of the saturation mobility of $2.28 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for ITAO 2 falls within the range of previous reports of TFT device structures comprising of amorphous indium gallium oxide as active channel layer, deposited *via* ALD, with mobilities of $0.17 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ up to $9.45 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, depending on the individual fabrication conditions chosen.¹² However, in addition to the mobility, the subthreshold swing is also an important performance parameter. The TFT ITAO 2 shows a subthreshold swing of $365.5 \text{ mV dec}^{-1}$, thus falling in the range of recently reported IGO-TFTs ($260\text{--}420 \text{ mV dec}^{-1}$)¹² and that of polycrystalline $\text{In}_2\text{O}_3/\text{ZnO}$ -TFTs, with reported values between 162 mV dec^{-1} and 621 mV dec^{-1} .⁶ The density of free charge carriers, n_{free} , can be calculated directly from the measured drain-current, I_{DS} , employing the following equation¹¹

$$n_{\text{free}}(V_{\text{GS}}) = \frac{2C_{\text{ox}}L}{\mu_0 \varepsilon k T W} I_{\text{DS}}(V_{\text{GS}})$$

where C_{ox} is the gate-oxide capacitance, L and W are the length and width of the channel, μ_0 is the maximum mobility, ε is the permittivity of the channel material, k and T the Boltzmann constant and temperature.

For the thin-films ITAO 1–3 values for the charge carrier density n_{free} were obtained ranging from $2.01 \times 10^{16} \text{ cm}^{-3}$ to $3.74 \times 10^{16} \text{ cm}^{-3}$ (for details see ESI†). These values are in accord with n_{free} obtained for a-IGZO with values ranging from 10^{17} to 10^{20} cm^{-3} ⁴¹ and Ti-doped ITO with $n_{\text{free}} \sim 10^{17} \text{ cm}^{-3}$.⁴²

The conduction path of mobile electrons in TFT devices based on an active layer of metal oxides can be best understood by a percolation conduction (PC) or a trap-limited conduction (TLC) pathway. In the former a distribution of potential barriers is present within the conduction band. The mobile electrons as charge carriers move around these barriers, finding the path of least resistance.²³ Whereas in the TLC mode charge carriers are being trapped in tail states and are statistically released over time.⁴³ A schematic representation of the two conduction mechanisms is depicted in Fig. 6.

Along with the density of tail states (N_{tc}), the position of the Fermi level (E_{F}) is decisive for the prevalent type of conduction mechanism. If E_{F} is located within the localized tail states and thus below the CBM ($E_{\text{F}} \ll E_{\text{C}}$), these tail states act as traps whereby the charge transport is dominated by TLC. Here the field effect mobility μ_{FE} is proportional to $n_{\text{free}}/(n_{\text{free}} + n_{\text{trap}})$, with $n_{\text{free}}/n_{\text{trap}}$ – density of free/trapped charge carriers, where n is the number of charge carriers.⁴³ However, if the Fermi level is at or above the CBM, the tail states are prefilled and μ_{FE} is dominated by PC. Thus, a band-like charge transport occurs, which is only influenced by the height and width of the potential barriers within the conduction band.⁴³ At higher temperatures the electrons take a shorter conduction path, which increases the mobility and reduces the activation energy.²³

To determine the prevalent charge transport mechanism of the deposited heterostacks ITAO 1–3, the dependency of the

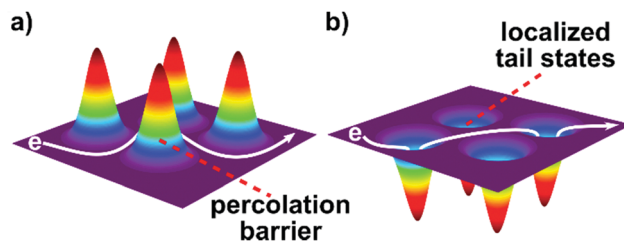


Fig. 6 Illustration of the (a) percolation conduction mechanism (PC) and the (b) trap-limited conduction mechanism (TLC). In (a) a distribution of potential barriers is shown, around which an electron 'e' moves taking the path of least resistance, whereas in (b) localized tail states hinder the path of a mobile electron by multiple trapping and releasing events.

field-effect mobility (μ_{FE}) on the gate voltage was investigated (Fig. 7) and fitted with the power law

$$\mu_{\text{FE}} = K(V_{\text{GS}} - V_{\text{th,P}})^{\gamma}$$

where V_{GS} , V_{th} , and V_{P} denote the gate, threshold, percolation voltages, respectively.⁴³ The factor K and the exponent γ are correlated to the conduction mechanism present. If γ reaches a value of around 0.7 the conduction is dominated by TLC, whereas a value of 0.1 indicates the presence of a PC dominated mechanism. In the range from 0.1 to 0.7 the boundaries between the two mechanisms are diffuse.⁴³ In Table 4 the obtained values for γ , μ_{FE} and the prevalent conduction mechanism are summarized for the three heterostacks ITAO 1–3.

The values for γ indicate that when reducing the aluminum oxide content from three deposition cycles to one, the conduction mechanism shifts from a TLC dominated to a transitional region, indicating no clear distinction between TLC and PC pathways. In contrast, for the heterostacks with two and three deposition cycles Al_2O_3 (ITAO 2 and ITAO 3), γ exhibits values of 0.73 and 0.87, respectively. These indicate a charge carrier transport clearly dominated by the TLC mechanism. This fact might explain the decreased values of the corresponding

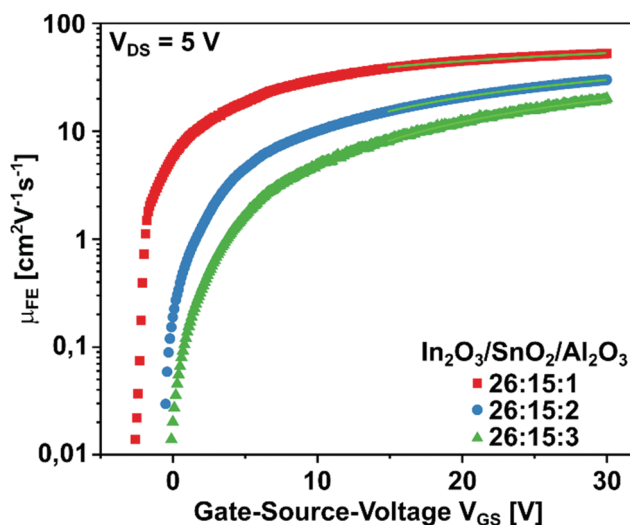


Fig. 7 Field-effect mobility (μ_{FE}) dependence on the gate voltage and their corresponding power-law fits for ITAO 1–3 (red, blue, green).



Table 4 Obtained values for γ , charge carrier mobilities and corresponding conduction mechanism for the investigated heterostructures ITAO 1–3 obtained from the power-law fits

Composition In ₂ O ₃ /SnO ₂ /Al ₂ O ₃	γ	Mobility μ_{FE} [cm ² V ⁻¹ s ⁻¹]	Conduction mechanism
26:15:1 ITAO 1	0.40	4.87	TLC
26:15:2 ITAO 2	0.73	2.28	TLC
26:15:3 ITAO 3	0.87	1.37	TLC

mobilities with 2.28 cm² V⁻¹ s⁻¹ and 1.37 cm² V⁻¹ s⁻¹ for ITAO 2 and ITAO 3, respectively. For ITAO 1, with a γ value of 0.40, it can be assumed that the conduction mechanism is shifted towards PC dominated, which is also reflected in the considerably higher mobility of 4.87 cm² V⁻¹ s⁻¹. Since the predominant charge transport mechanism is generally influenced by the density of tail states (N_{tc}) near the conduction band edge,⁴³ the decrease of the exponent indicates a reduction of these states for ITAO 1 and being responsible for the increased mobility value. When the Fermi level E_F is shifted towards higher energies and thus raised closer to the CBM, tail states are being filled, which significantly reduces the limitations on the charge transport.⁴³ In contrast, a high density of these states located near the CBM leads to Fermi level pinning.⁴³ Meaning E_F cannot be raised above the CBM by applying a large drain voltage and charge transport is controlled by trap and release events of charge carriers, and therefore described by TLC.⁴³

In order to investigate these findings in more depth, a study of the temperature dependence of the charge carrier mobility is advisable and could further discriminate between the two charge transport mechanisms TLC and PC.^{6,44} For the former, temperature dependency is observed, whereas the PC conduction mechanism is described as temperature-independent.^{41,43} This is due to the dependence of the activation energy on the density of free charge carriers, which is continuously reduced with increasing carrier density.⁴⁴ To elucidate the temperature dependence of the mobility, low-temperature measurements were performed. In Fig. 8 the mobility values of the transistors are logarithmically plotted against the inverse temperature $1000/T$ in an Arrhenius plot.

The Arrhenius plot shows that the mobility of the investigated transistors decreases with decreasing temperature. This temperature dependence of the mobility is also reflected in the values of the corresponding temperatures summarized in Table 5.

The Arrhenius plot as well as the mobility values show a temperature dependency which becomes more pronounced as the Al₂O₃ content increases. In determining the charge transport mechanism, it was assumed that the TFT with only one deposition cycle of Al₂O₃ is situated in a transition region between TLC and PC mechanism. This assumption can be confirmed by the low temperature measurement. While the TFT ITAO 1 shows a decline in mobility of only 46%, with a value of 4.85 cm² V⁻¹ s⁻¹ at $T = 295$ K decreasing to 2.64 cm² V⁻¹ s⁻¹ at $T = 123$ K, the TFT ITAO 2 displays a slightly

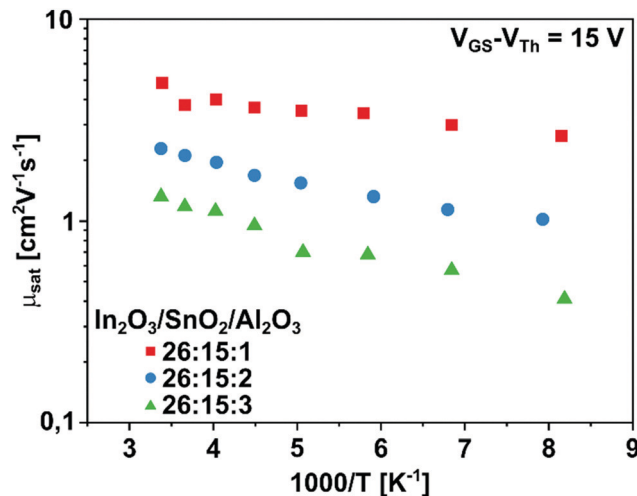


Fig. 8 Arrhenius plots of the transistors ITAO 1–3 (red, blue, green) displaying the temperature dependence of the saturation mobility in the range between room temperature and 123 K.

Table 5 Temperature dependence of the saturation mobility for the transistors ITAO 1, ITAO 2, and ITAO 3

	26:15:1 ITAO 1	26:15:2 ITAO 2	26:15:3 ITAO 3
Temperature [K]	Mobility μ_{sat} [cm ² V ⁻¹ s ⁻¹]		
295	4.85	2.28	1.32
273	3.76	2.11	1.18
248	4.00	1.95	1.12
223	3.65	1.68	0.95
198	3.52	1.54	0.70
173	3.43	1.32	0.68
148	2.99	1.14	0.57
123	2.64	1.02	0.41

greater decrease of 55%. In contrast, the transistor with three cycles of Al₂O₃ shows a significantly greater dependency with a drop in mobility of 69% from 1.32 cm² V⁻¹ s⁻¹ at $T = 295$ K to 0.41 cm² V⁻¹ s⁻¹ at $T = 123$ K. This strong temperature dependency of the TFT ITAO 3 confirms the previous assumption of the predominant transport mechanism for this transistor being best described by the TLC mechanism.

TFT performance of the heterostacks ITAO 1–3 under illumination

The behavior of the TFT performance parameters under the influence of illumination was also investigated. IV measurements were carried out, while the transistor devices were simultaneously exposed to LED light sources with different energies, ranging from 2.0 eV to 3.7 eV (for the setup see Fig. S3, ESI†). As a reference, IV measurements were performed in the dark. Between the transistor measurements and the illumination experiments, the TFTs were stored under dark conditions at a constant temperature of 22 °C. In Fig. 9 the evolution of the transfer curves with increasing energy of illumination is shown for the three transistors.



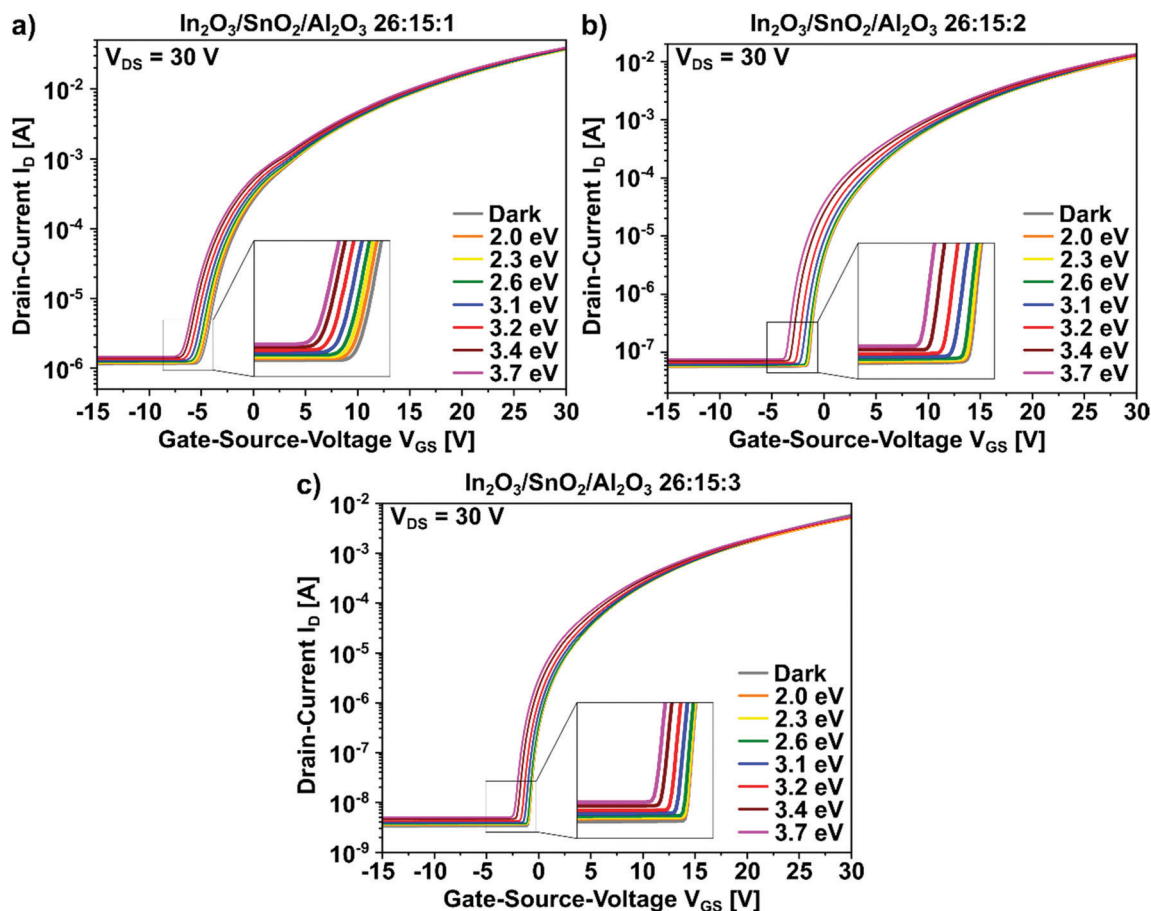


Fig. 9 Collective transfer characteristics of the individual ITAO heterostacks under illumination for the devices with the composition $\text{In}_2\text{O}_3/\text{Al}_2\text{O}_3/\text{SnO}_2$ (a) 26 : 15 : 1, (b) 26 : 15 : 2, and (c) 26 : 15 : 3.

The transfer curves for the three transistors with different Al_2O_3 contents (Fig. 9) show an increase in I_{Off} and a negative shift of V_{On} with increasing energy of illumination. From the data shown in Table 6 the difference between the three compositions becomes more evident. The transistor ITAO 1 (one deposition cycle of Al_2O_3) shows a clear negative shift of V_{On} of 2.2 V when illuminated with an energy of 3.7 eV, with an increase of I_{Off} by a value of 4.0×10^{-7} A. In comparison, the values for ITAO 3 (three deposition cycles of Al_2O_3) only shift by 1.3 V for V_{On} and 1.7×10^{-9} A for I_{Off} , respectively. The reduced shift of the two parameters indicates a higher stability under illumination for the transistor with three deposition cycles of Al_2O_3 , which can be attributed to a broadened band gap, as shown in Fig. 4b and listed in Table 2. From the data (Table S3, ESI†) it is also evident, that for transistor ITAO 3, a more

pronounced shift of V_{On} occurs at an energy of 3.1 eV, which falls within the energy range of the respective band gap of 3.15 eV. This indicates that for the TFT with three deposition cycles of Al_2O_3 photons of a higher energy are needed to generate a sufficient amount of charge carriers in the semi-conducting channel for it to be conducting. The phenomenon of a simultaneously increased on- and off-current with increasing energy of irradiation and thus a decreased $I_{\text{On}}/I_{\text{Off}}$ can be explained by persistent photoconductivity (PPC).²⁷ This is caused by inducing charge carriers into the semiconducting channel through irradiation. The phenomenon is more evident in the off- than in the on-state of the transistor. When in the on-state, the channel already possesses a high density of free charge carriers and inducing more carriers only leads to a minor increase in conductivity. However, when in the

Table 6 TFT parameters obtained under irradiation with UV-light (3.7 eV) and in the dark for the heterostructures ITAO 1–3

Heterostructure	Mobility μ_{sat} [$\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$]		On-voltage V_{On} [V]		Threshold-voltage V_{th} [V]		Off-current I_{Off} [A]		Current on/off ratio $I_{\text{On}}/I_{\text{Off}}$		Subthreshold swing SS [mV dec^{-1}]	
	Dark	3.7 eV	Dark	3.7 eV	Dark	3.7 eV	Dark	3.7 eV	Dark	3.7 eV	Dark	3.7 eV
26 : 15 : 1 ITAO 1	5.07	4.95	−5.4	−7.6	1.9	0.3	1.1×10^{-6}	1.5×10^{-6}	3.1×10^4	2.6×10^4	1357	1471
26 : 15 : 2 ITAO 2	2.42	2.12	−1.7	−4.0	6.4	3.6	5.5×10^{-8}	7.6×10^{-8}	2.2×10^5	1.6×10^5	598	728
26 : 15 : 3 ITAO 3	1.29	1.02	−1.1	−2.4	7.8	5.8	3.3×10^{-9}	5.0×10^{-9}	1.8×10^6	9.6×10^5	406	501



off-state, the semiconducting channel is deprived of charge carriers, hence inducing a certain amount of charge carriers though irradiation results in a more drastic increase in conductivity (rising I_{off}) in comparison.⁴⁵ A negative shift of the threshold-voltage, and also V_{on} , can be attributed to photo-generated charge trapping in the dielectric.^{46,47} Thereby the charge density in the semiconducting channel, as well as in the dielectric and their interface is influenced.⁴⁷ Comparing these results with those of transparent ZTO and IZO TFTs,^{6,47} a significantly improved stability of the TFT parameters under illumination is observed in the TFT in which Al_2O_3 is incorporated into the semiconducting layer (ITAO 1–3). In comparison with a-IGZO TFTs,⁴⁶ in which a similar effect can be expected because of its comparably large band gap of ~ 3.2 eV⁴⁸ and due to the properties of Ga, which is utilized to suppress the generation of charge carriers,¹⁶ it could be demonstrated herein that the use of Al_2O_3 leads to a significantly smaller change in the critical parameters under irradiation.

Our investigations reveal that an increased stability under illumination can be achieved when employing a modest quantity of Al_2O_3 as a component to tune the composition of the semiconducting layer in a most effective manner.

Conclusions

ALD derived heterostacks comprising In_2O_3 , SnO_2 , and Al_2O_3 were fabricated at 200 °C using trimethylindium, tetrakis(dimethylamino)tin, and trimethylaluminum as metal precursors and water as an oxidizing agent. The possibility of a layer-by-layer deposition allows a precise control over the composition and therefore over the thickness of each individual metal oxide, resulting in a heterostructure stack with well-defined and tunable properties. The material characterization revealed a high optical transparency in the visible region as well as a broadening of the thin-film's band gap with increasing Al_2O_3 content. To study the influence of the Al_2O_3 on the thin-film performance parameters the $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ heterostacks were integrated into TFT devices. Fabricated devices with an optimized composition of 26:15:2 ($\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$) demonstrated an average saturation mobility (μ_{sat}) of $2.28 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, a threshold voltage (V_{th}) of 6.8 V, a current on/off ratio ($I_{\text{on}}/I_{\text{off}}$) of 9.0×10^5 , and a subthreshold swing (SS) of 365 mV dec^{-1} at a postdeposition annealing temperature of 400 °C. Additionally, the alteration of the TFT performance parameters under the influence of illumination with light of varying wavelength was studied. By using Al_2O_3 as part of the semiconducting layer, an increased stability under illumination could be achieved. The improvement was expressed by a maintained semiconducting behavior, evident from the transfer curves, with only a minor increase in off-current (I_{off}) and a slight negative offset (V_{on}) of the curves. Altogether, our investigations revealed the possibility of tuning the semiconducting properties of thin-films by adding ultrathin layers or rather a relatively modest number of deposition cycles of a wide band gap material in a precise manner as doping material, thereby

controlling the interfacial charge transport mechanism. The resulting heterostack structures can lead to intriguing applications in TFT devices and further open the door to sophisticated modifications of functional thin layer device structures.

Experimental

Fabrication of the ITAO 1–3 heterostacks by ALD

The fabrication of the $\text{In}_2\text{O}_3/\text{SnO}_2/\text{Al}_2\text{O}_3$ heterostructures was carried out using a Savannah S 100 system (Cambridge Ultra-techn). The deposition process was performed at 200 °C with a base pressure of 3.0 torr. Prior to the deposition the substrates were kept inside the ALD chamber for 20 min at 200 °C under an argon flow of 20 sccm. As precursors for the metal oxides trimethylindium (99.999%, AkzoNobel), trimethylaluminum (min. 98%, AkzoNobel), and tetrakis(dimethylamido)tin⁴⁹ were used for In_2O_3 , Al_2O_3 , and SnO_2 , respectively. Water (HPLC grade, Sigma Aldrich) was used as an oxidant. The precursors including the oxidant were kept at room temperature, except for the tin precursor which was heated to 60 °C. Argon (99.9999%, Alpha Gaz™) was used as carrier gas for the deposition and set to a constant flow rate of 20 sccm. The deposition parameters for In_2O_3 were: TMI pulse 0.1 s, exposition 1.5 s, argon purge 20 s, H_2O pulse 0.1 s, exposition 1.5 s, argon purge 20 s. The sequence for SnO_2 was: TDMASn pulse 0.5 s, exposition 1.5 s, argon purge 30 s, H_2O pulse 0.015 s, exposition 1.5 s, argon purge 30 s. For Al_2O_3 the sequence used was: TMA pulse 0.015 s, exposition 1.5 s, argon purge 30 s, H_2O pulse 0.015 s, exposition 1.5 s, argon purge 15 s. The variation of the composition was regulated by the number of cycles of a single oxide. The number of Al_2O_3 cycles was varied between one and three, while the number of cycles for In_2O_3 and SnO_2 were kept constant at 26 and 15, respectively. To increase the overall film thickness the consecutive deposition of the single oxides was repeated eight times *via* ALD supercycles.

Material characterization of the ITAO 1–3 heterostacks

UV-vis measurements were performed on quartz substrates ($15 \times 15 \text{ mm}^2$) with an Evolution 600 spectrometer (Thermo Scientific) with wavelengths ranging from 190–900 nm. Film thicknesses were obtained by ellipsometry on coated Si/SiO₂ substrates using a spectroscopic ellipsometer M2000 (J.A. Woollam) in a spectral range of 370–1690 nm and an angular range of 45–85°. High resolution transmission electron microscopy (HRTEM) was performed using a FEI Tecnai G2 F20 with an operating voltage of 200 keV.⁵⁰ Samples for the TEM investigations were prepared by ALD deposition on TFT substrates ($15 \times 15 \text{ mm}^2$) which were annealed at 400 °C for 40 minutes and electrical characterized before the TEM measurements. Samples for focused ion beam (FIB) were prepared using a gallium-focused ion beam (FEI Helios NanoLab 460F1 FIB-SEM) and a subsequent coating with a platinum layer.⁵¹ XPS measurements were performed using a K-Alpha+ XPS spectrometer (ThermoFisher Scientific, East Grinstead, UK). Data acquisition and processing using the Thermo Advantage



software is described elsewhere.⁵² All samples were analyzed using a microfocused, monochromated Al K α X-ray source (400 μ m spot size). The K-Alpha+ charge compensation system was employed during analysis, using electrons of 8 eV energy, and low-energy argon ions to prevent any localized charge build-up. The spectra were fitted with one or more Voigt profiles (BE uncertainty: +0.2 eV) and Scofield sensitivity factors were applied for quantification.⁵³ All spectra were referenced to the C 1s peak (C–C, C–H) at 285.0 eV binding energy controlled by means of the well-known photoelectron peaks of metallic Cu, Ag, and Au, respectively. Samples for the XPS investigation were prepared by thin-film deposition on Si/SiO₂ substrates (10 \times 10 mm²) and annealed at 400 °C for 40 minutes.

Thin-film transistor characterization

For TFT characterization commercial substrates (Fraunhofer IMPS, Dresden) with prefabricated source–drain electrodes in a bottom-gate-bottom-contact (BGBC) device geometry were used. The substrates consist of highly n-doped silicon (gate electrode) with a 90 nm silicon oxide dielectric layer. The source–drain electrodes are employed as an interdigital structure, with a channel length of $L = 20 \mu\text{m}$ and width of $W = 10 \text{ mm}$ ($W/L = 500$), comprising of 40 nm gold with a 10 nm thick indium tin oxide (ITO) adhesion layer.⁵⁴ FET, Si/SiO₂, and quartz substrates were cleaned *via* ultrasonication using acetone, water, and isopropanol (HPLC grades, Carl Roth GmbH & Co. KG) for 10 minutes before deposition. Cleaned FET substrates were treated with UV light (UV Ozone Cleaner UVC-1014, NanoBioAnalytics) for 10 minutes with an optical power of 4 W at a wavelength of 254 nm.⁵⁵

Prior to TFT characterization the deposited thin-films were annealed in air at 400 °C for 40 minutes. TFT characterization was performed under exclusion of white light in an inert environment in a glovebox (H₂O < 0.5 ppm, O₂ < 0.5 ppm) using a B1500A Semiconductor Device Analyzer (Agilent Technologies). TFT characteristics under illumination were obtained using independent light emitting diodes (LED; Roithner Laser Technik GmbH) of wavelengths 605 nm, 532 nm, 470 nm, 405 nm, 389 nm, 360 nm, 340 nm, ranging from the visible to the UV region. Low temperature measurements were performed *via* cooling with liquid nitrogen in a temperature range from room temperature to 123 K. The samples were cooled down in steps of 25 K and maintained at the respective temperature for 30 minutes. Charge carrier mobility (μ_{sat}) and threshold voltage (V_{th}) were extracted from a linear fit of the square root of the source–drain current (I_{DS}), as a function of the gate–source voltage (V_{GS}). For each composition eight transistors were measured.

Conflicts of interest

There are no conflicts to declare.

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