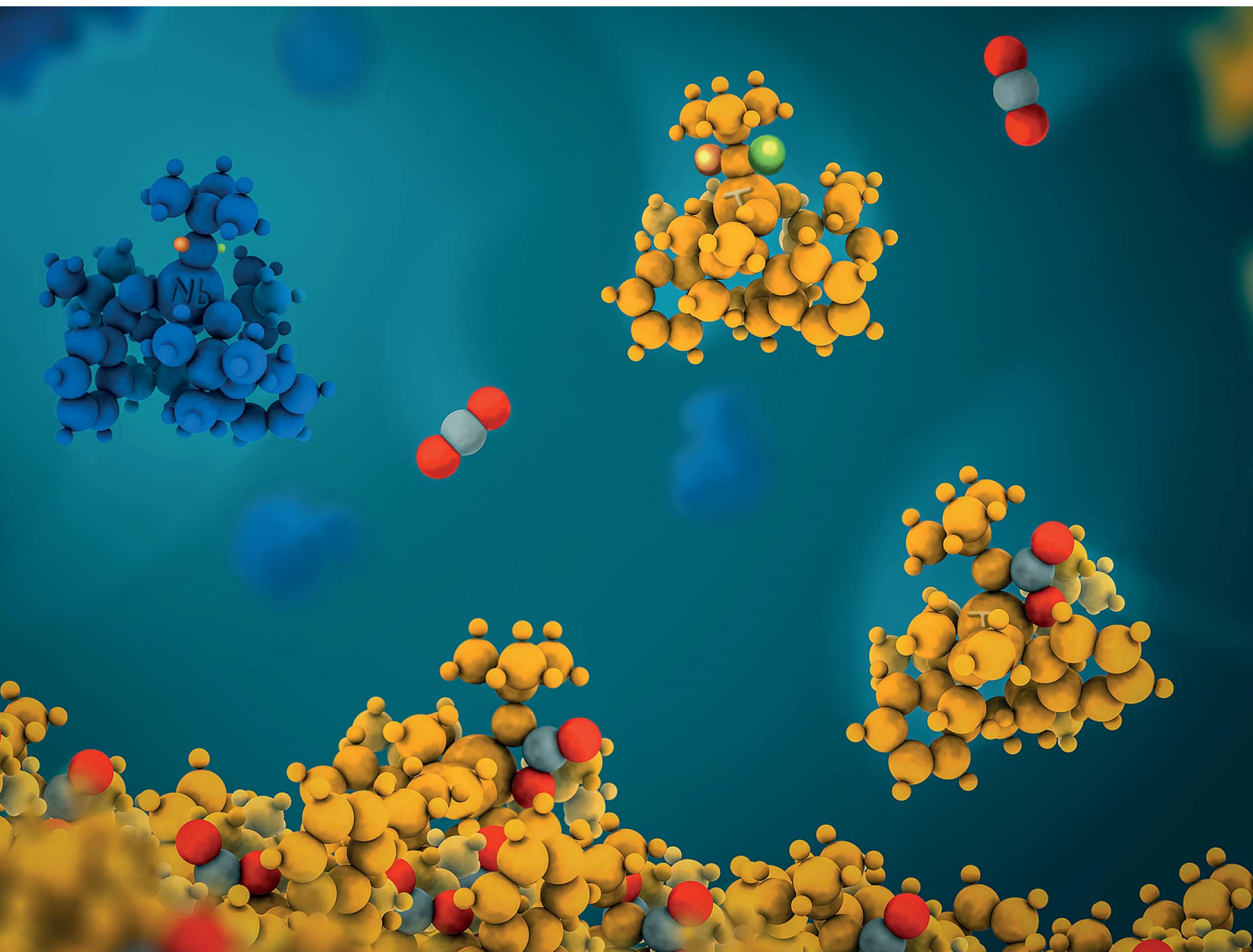


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**EDGE ARTICLE**

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# Tantalum, easy as Pi: understanding differences in metal–imido bonding towards improving Ta/Nb separations†

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The separation and purification of niobium and tantalum, which co-occur in natural sources, is difficult due to their similar physical and chemical properties. The current industrial method for separating Ta/Nb mixtures uses an energy-intensive process with caustic and toxic conditions. It is of interest to develop alternative, fundamental methodologies for the purification of these technologically important metals that improve upon their environmental impact. Herein, we introduce new Ta/Nb imido compounds:  $M(^t\text{BuN})(\text{TriNOx})$  (**1-M**) bound by the  $\text{TriNOx}^{3-}$  ligand and demonstrate a fundamental, proof-of-concept Ta/Nb separation based on differences in the imido reactivities. Despite the nearly identical structures of **1-M**, density functional theory (DFT)-computed electronic structures of **1-M** indicate enhanced basic character of the imido group in **1-Ta** as compared to **1-Nb**. Accordingly, the rate of  $\text{CO}_2$  insertion into the  $M=\text{N}_{\text{imido}}$  bond of **1-Ta** to form a carbamate complex (**2-Ta**) was selective compared to the analogous, unobserved reaction with **1-Nb**. Differences in solubility between the imido and carbamate complexes allowed for separation of the carbamate complex, and led to an efficient Ta/Nb separation ( $S_{\text{Ta/Nb}} = 404 \pm 150$ ) dependent on the kinetic differences in nucleophilicities between the imido moieties in **1-Ta** and **1-Nb**.

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## Introduction

Tantalum (Ta) and niobium (Nb) are two metals that are essential to various modern technologies and have been deemed “critical” due to their risk of supply. Ta metal is the optimal material for use in electrolytic capacitors and high-power resistors and cannot be replaced by alternatives without a corresponding loss of performance or increase in device size.<sup>1,2</sup> Nb is most commonly applied as an additive for high-strength steels (*ca.* 80%) and in superalloys (*ca.* 19%) with superior heat and corrosion resistance.<sup>1</sup> Ta and Nb have been classified as “critical” by the National Research Council of the United States and Ta has been classified as a conflict metal.<sup>3</sup>

Both metals were included on the European Union's 2017 list of the 27 most critical raw materials.<sup>4</sup>

Ta and Nb are often referred to as “chemical twins” owing to their virtually identical physical and chemical properties.<sup>2</sup> While Ta is most commonly obtained from the mineral tantalite  $[\text{Fe}, \text{Mn}][(\text{Ta}, \text{Nb})\text{O}_6]$ , which is composed of 40–86%  $\text{Ta}_2\text{O}_5$ , and Nb is most commonly obtained from the mineral columbite  $[\text{Fe}, \text{Mn}][(\text{Nb}, \text{Ta})\text{O}_6]$ , which is composed of 38–80%  $\text{Nb}_2\text{O}_5$ , each of these minerals always contains mixtures of Ta/Nb in different ratios.<sup>2,5</sup> As such, generating suitably pure samples of either metal involves a Ta/Nb separation step, which is challenging due to their similar properties.

Historically, Ta/Nb separation was achieved through a tedious fractional crystallization, first proposed by Jean-Charles de Marignac in the 19th century, that took advantage of differing Ta/Nb speciation in dilute hydrofluoric acid (HF):  $\text{K}_2\text{TaF}_7$  versus  $\text{K}_2\text{NbOF}_5 \cdot 2\text{H}_2\text{O}$  (Fig. 1).<sup>8</sup> This process was replaced in the 1960s with the Ames Laboratory process whereby  $\text{TaF}_6^-$  is extracted from dilute HF solutions of  $\text{Nb}(\text{O})\text{F}_5^{2-}$  using organic solvents such as methyl isobutyl ketone (MIBK), octanol, or cyclohexanone.<sup>9,10</sup> Today, the primary methods for Ta/Nb separation are derived from this process.<sup>9–12</sup> A drawback of these methods is the need for large quantities of HF. While the use of HF allows for good separation efficiencies and high concentrations of metal ions, the use of this toxic and

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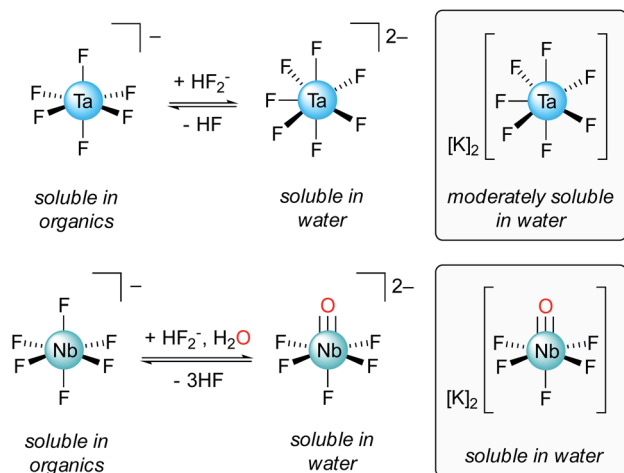


Fig. 1 Ta/Nb equilibria in solutions of HF relevant to the industrial Ta/Nb separation procedure, and structures of the potassium heptafluoro-tantalate and pentafluoro-oxy-niobate complexes.<sup>6,7</sup>

corrosive reagent is nonideal, and ultimately leads to caustic and environmentally damaging waste streams. Developing new methods towards more sustainable, fluoride-free Ta/Nb separations is therefore an important fundamental chemical challenge and highly desirable from an industrial standpoint.<sup>5</sup> Early research into fluoride-free Ta/Nb separations did not lead to economically competitive separations procedures.<sup>13–20</sup> More recent work in this area has led to valuable insight into Ta/Nb digestion and separation,<sup>21–25</sup> but are less efficient than currently adopted practices. Notably, Nete and coworkers recently published a fluoride-free Ta/Nb separation study wherein HF was substituted for a  $\text{NaH}_2\text{PO}_4/\text{Na}_2\text{HPO}_4$  flux (800 °C), and achieved an ion-exchange chromatographic separation, with separation factors ( $S_{\text{Ta/Nb}}$ ) ranging 9.5–11.5.<sup>25</sup> Although not strictly fluoride-free, Nete and coworkers also reported on the selective precipitation of Nb over Ta from a mixture of the metal pentafluorides using 1,4-phenylenediamine, which led to a  $S_{\text{Ta/Nb}}$  value of  $100 \pm 9$ .<sup>26</sup> Hydrometallurgical methods for imparting fluoride-free Ta/Nb separations have been developed, which have resulted in more efficient separations ( $S_{\text{Ta/Nb}} = 200–250$ ).<sup>21</sup> However, the majority of studies in this area of fluoride-free Ta/Nb separations have tended to be empirically driven, with less emphasis being placed on understanding the fundamental bonding interactions that lead to the metal–metal separations.

While there exists a rich foundation of coordination chemistry for both Ta and Nb, comparatively few reports<sup>27–33</sup> in this field directly compare fundamental differences in bonding and electronic structure between complexes of these two elements, with even fewer exploring these differences through the lens of Ta/Nb separations. As such, the task of designing alternative Ta/Nb separations procedures is made more difficult and is therefore studied almost exclusively through empirical approaches. Towards addressing this gap, our groups recently published a set of isostructural Ta/Nb *tris*(binolate) complexes in which differences in the metal–ligand  $\pi$ -bonding contributed

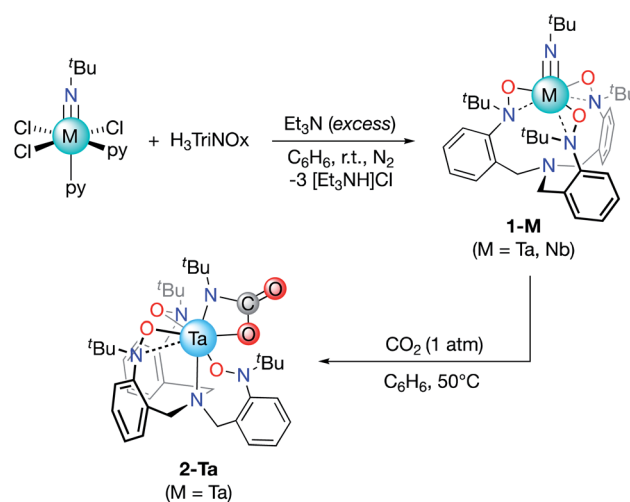
to  $\text{M}^{\text{V/IV}}$  redox couples that differed by *ca.* 0.75 V, allowing for the selective reduction and leaching of the Nb complex, resulting in a  $S_{\text{Ta/Nb}}$  value of  $6 \pm 2$ .<sup>34</sup> This study demonstrated a proof-of-concept Ta/Nb separation procedure based on fundamental differences in bonding interactions in Ta *versus* Nb complexes.

Herein we provide a fundamental study into new isostructural Ta/Nb-imido complexes and detailed analysis of their electronic structures and differences in bonding. In turn, this information is used to demonstrate predictable differences in their reactivity and ultimate proof-of-concept separation of their mixtures. The imido substituent critically provides a reactive site in the complexes that localizes  $\pi$ -bonding interactions with the metal centers and affords unique Ta/Nb reactivity differences with  $\text{CO}_2$ , providing important new insights for the efficient reactive separations of these critical elements.

## Results and discussion

### Syntheses of Ta/Nb imido complexes

The tripodal  $\text{TriNOx}^{3-}$  ligand (Scheme 1) was selected as a supporting ligand due to its demonstrated success in stabilizing high-oxidation state imido complexes.<sup>35–39</sup> We reasoned that the strongly donating character of  $\text{TriNOx}^{3-}$  would enhance the basicity of the imido groups, while its bulky *tert*-butyl groups would sterically shield the metal center, directing reactivity to the imido fragments.<sup>40</sup> Treatment of  $\text{H}_3\text{TriNOx}$  with an excess of triethylamine (15 equiv.) under an inert ( $\text{N}_2$ ) atmosphere, followed by the addition of one equiv. of  ${}^t\text{BuN}=\text{MCl}_2(\text{py})_2$  ( $\text{M} = \text{Nb, Ta}$ ;  $\text{py} = \text{pyridine}$ ) resulted in the formation of well-defined Ta/Nb imido complexes  ${}^t\text{BuN}=\text{M}(\text{TriNOx})$  (**1-M**,  $\text{M} = \text{Ta, Nb}$ ) (Scheme 1) in low to moderate yields (*ca.* 20–50%). Elucidation of the solid-state structures by X-ray crystallography revealed **1-M** to have  $C_3$ -symmetric geometries due to the propeller-like orientations of the  $\text{TriNOx}^{3-}$  aryl groups (Fig. 2A and B). The metal–N(5) bond distances are 1.748(4) and 1.749(4) Å for **1-Ta** and **1-Nb** respectively, consistent with metal–



Scheme 1 Formation of the Ta/Nb imido complexes (**1-M**) and the reaction of **1-Ta** with  $\text{CO}_2$  to form **2-Ta**.





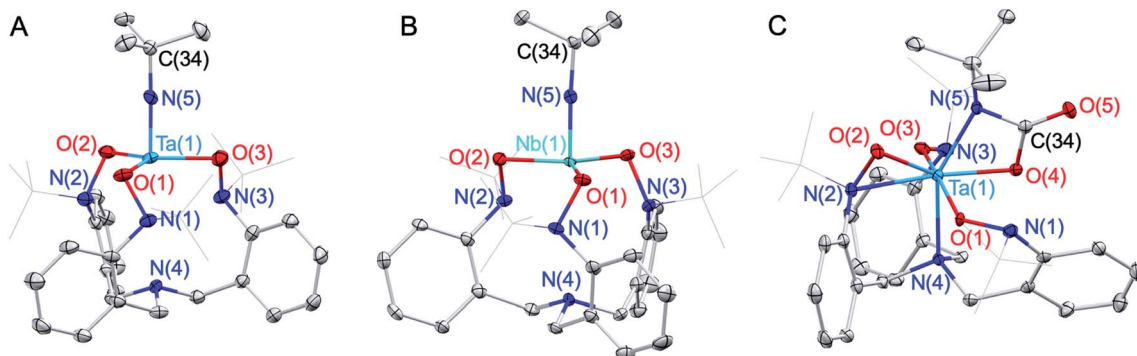


Fig. 2 Solid-state structures of **1-Ta** (A), **1-Nb** (B), and **2-Ta** (C). Thermal ellipsoids are depicted at either 50% (A, B) or 30% (C) probability, and hydrogen atoms are omitted for clarity. The *tert*-butyl groups of the TriNOx<sup>3-</sup> ligand are depicted in a wireframe format for clarity.

imido distances from previously-reported Ta/Nb imido complexes.<sup>41</sup> The imido binding angles in **1-M** are nearly linear, however the Ta(1)–N(5)–C(34) bond angle in **1-Ta** (174.9(4)°) deviates slightly more from 180° than the analogous bond angle in **1-Nb** (176.8(4)°). The primary coordination spheres of **1-M** are best described as trigonal pyramidal, wherein the three TriNOx<sup>3-</sup> ligand oxygen atoms occupy the equatorial positions of the trigonal pyramid. The TriNOx<sup>3-</sup> tertiary amino nitrogen atoms are not bound to the metal centers of **1-M** in the solid state, with M(1)–N(4) distances = 3.708(4) and 3.673(4) Å for **1-Ta** and **1-Nb** respectively, while the nitrogen atoms of the hydroxylamido moieties, N(1) to N(3), are positioned *ca.* 2.6 Å from the metal centers, indicating no appreciable bonding interactions.

Two sets of doublets are observed in the <sup>1</sup>H NMR spectra (C<sub>6</sub>D<sub>6</sub>) of **1-M** between 2.25–4.50 ppm associated with the diastereotopic methylene protons of the TriNOx-ligand and indicating that the C<sub>3</sub>-symmetric geometries observed in the solid-state are preserved in solution on the NMR timescale. <sup>1</sup>H NMR spectral resonances associated with **1-Ta** and **1-Nb** are similar ( $\Delta\delta \leq 0.05$  ppm) but distinct, indicating the presence of differing electronic environments imparted by the identity of the metal (Fig. S7–S10<sup>†</sup>). The infrared (IR) spectra of **1-M** are virtually identical throughout the fingerprint region, except for two strong features at 1305 cm<sup>-1</sup> and 1286 cm<sup>-1</sup> in the spectrum of **1-Ta** that are absent in the spectrum of **1-Nb**, and a single feature at 1269 cm<sup>-1</sup> in the spectrum of **1-Nb** that is absent in the spectrum of **1-Ta** (Fig. S16<sup>†</sup>). These bands are within the range of previously reported group V metal–imido stretching frequencies.<sup>42–45</sup>

### Density functional theory (DFT) calculations on **1-M**

The differences identified in the NMR and IR spectra of **1-M** imply slightly different electronic environments between the two complexes. To gain insight into these differences in the electronic structure, ground-state density functional theory (DFT) calculations were performed on **1-M**. Geometry optimizations using B3LYP<sup>46</sup>/def2-SVP<sup>47</sup> closely reproduced the bond metrics observed in the solid-state structures of **1-M**. Frequency calculations at the same level of theory were performed on **1-M**,

and the simulated spectra are in qualitative agreement with experiment. Of note, consistent with the experimental spectra, two asymmetric Ta-imido stretching vibrational modes are predicted for **1-Ta** (1314, 1315 cm<sup>-1</sup>), while only one Nb-imido stretching vibrational mode is predicted for **1-Nb** (1292 cm<sup>-1</sup>) (see ESI for details, Fig. S18–S20<sup>†</sup>). The Nb-imido stretching frequency in **1-Nb** is calculated to be lower in energy than the two Ta-imido stretching frequencies in **1-Ta** ( $\Delta\nu_{\text{Ta/Nb}} = 22$  and 23 cm<sup>-1</sup>), which is similar what is observed in the experimental spectra of **1-M** ( $\Delta\nu_{\text{Ta/Nb}} = 17$  and 36 cm<sup>-1</sup>). These energy differences are also consistent with previous reports of isostructural Ta/Nb complexes, wherein the M-imido stretching modes are observed at lower energies for Nb.<sup>43,44</sup> Examination of the computed valence manifold of **1-Ta** revealed that the highest occupied molecular orbital (HOMO) contains p-orbital-like

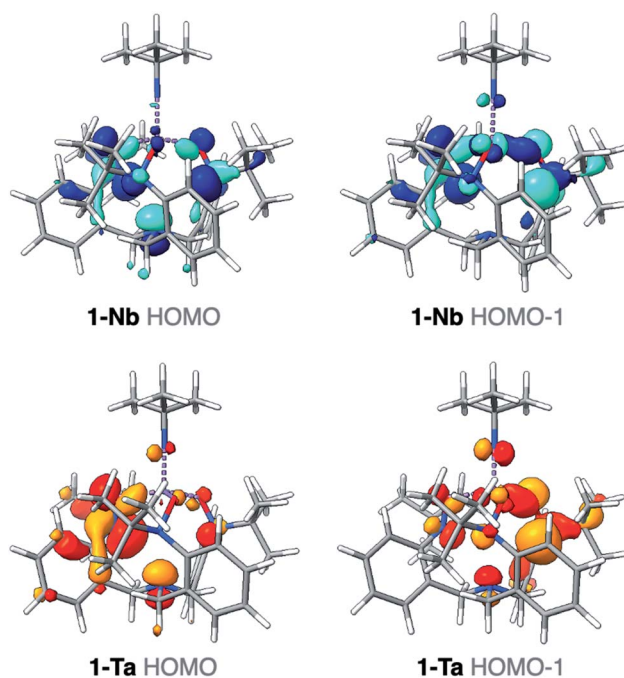


Fig. 3 Canonical HOMO/HOMO–1 for **1-Ta** (orange) and **1-Nb** (blue). Isovalues depicted at 0.04.



lobes on the imido nitrogen atom, as does the next lowest energy orbital (HOMO–1) in an orthogonal orientation (Fig. 3). In contrast, the HOMO of **1-Nb** is primarily  $\text{TriNOx}^{3-}$ -ligand based and does not exhibit appreciable imido character. Similarly, the HOMO–1 was calculated to contain far less imido character as compared with the HOMO–1 of **1-Ta** (Fig. 3). This predicted difference in the valence electronic structures in **1-Ta** versus **1-Nb** suggests potential for reactivity differences between the two complexes.

### Differing reactivities of **1-Ta** versus **1-Nb**: hydrolysis and $\text{CO}_2$ insertion

Consistent with the DFT-predicted differences in the HOMOs/HOMO–1s of **1-M**, we noted that **1-Ta** hydrolyzes at a faster rate than **1-Nb**. Upon treatment of a benzene solution of **1-Ta/1-Nb** (1 : 1 ratio) with 5 equiv. of water, NMR spectroscopy indicated the decay of **1-Ta**, while **1-Nb** remained intact. A white precipitate, likely metal oxide and/or hydroxide, was observed to form within seconds. This white solid was removed by filtration and the filtrate from this experiment was analyzed using inductively coupled plasma-optical emission spectroscopy (ICP-OES). The filtrate was determined to be enriched in Nb ( $\text{EF}_{\text{Nb}} = 25$ ), corroborating observations from the NMR experiment. Assuming a proton-gated mechanism of hydrolysis of **1-M**, the larger water sensitivity observed for **1-Ta** could result from the presence of the destabilized imido-based lone pairs in this complex, which are absent in **1-Nb**, providing anecdotal support for the DFT predictions outlined above. It should be noted that this difference in reactivity of the imido lone pair is consistent with the industrial process for Ta/Nb separation wherein  $\text{NbOF}_5^{2-}$  is observed at milder pH while the analogous Ta complex is not observed (Fig. 1), thus implying a less reactive Nb-oxo interaction under these conditions.<sup>48</sup> Similarly, Muetterties and Wright have shown related metal-oxo reactivity differences between isostructural Ta/Nb *tetrakis*-tropolonate complexes ( $\text{T}_4\text{M}^+$ , M = Ta, Nb). In this system, pH-dependent equilibria between  $\text{T}_4\text{M}^+$  and the hydrolysis oxo products,  $\text{T}_3\text{MO}$ , were observed. The conversion of  $\text{T}_3\text{NbO}$  to  $\text{T}_4\text{Nb}^+$  was found to require highly acidic conditions (pH < 3), while  $\text{T}_4\text{Ta}^+$  was found to be resistant to hydrolysis under less acidic conditions (up to pH = 7), implying a more basic oxo moiety in the putative  $\text{T}_3\text{TaO}$  as compared with  $\text{T}_3\text{NbO}$ .<sup>49</sup>

Based on the compositional differences in the HOMO/HOMO–1 for **1-Ta** versus **1-Nb**, combined with the observed difference in the rates of hydrolysis of **1-Ta** versus **1-Nb**, we reasoned that the **1-Ta** imido-substituent should similarly be more nucleophilic than that of **1-Nb**. Diverse early metal–imido complexes have shown reactivity with carbon dioxide ( $\text{CO}_2$ ) to form carbamate,<sup>50–58</sup> carboxylate,<sup>59</sup> iminodicarboxylate,<sup>50,58–60</sup> ureate,<sup>61</sup> and oxo/hydroxo<sup>55,61–65</sup> complexes, resulting from nucleophilic attack on the carbon atom of  $\text{CO}_2$ . Accordingly, benzene solutions of **1-M** were individually treated with  $\text{CO}_2$  (1 atm) to test for differences in reactivity (Scheme 1). **1-Ta** was found to fully react with  $\text{CO}_2$  at 50 °C over the course of several hours to form a pale-yellow precipitate. Single crystals of the product were obtained from the slow evaporation of

a dichloromethane (DCM) solution, and elucidation of the solid-state structure by X-ray crystallography revealed the formation of a  $\text{Ta}^{\text{V}}$  carbamate complex (**2-Ta**, 64%, Fig. 2C). Interestingly, to the best of our knowledge, **2-Ta** represents the first isolated Ta carbamate complex (*vide infra*). The carbamate moiety in **2-Ta** is bound  $\kappa^2$  to Ta at the nitrogen atom N(5) and one oxygen atom O(4) to form a 4-membered metallacycle. The primary coordination sphere geometry of **2-Ta** is best described as pentagonal bipyramidal, owing to one of the three  $\text{TriNOx}^{3-}$  hydroxylamide donors rearranging to bind  $\eta^2$ , as evidenced by the short Ta(1)–N(2) distance of 2.221(5) Å and more acute Ta(1)–O(2)–N(2) bond angle of 79.0(3)°. The propeller-like arrangement of the  $\text{TriNOx}^{3-}$  aryl groups observed in **1-M** is also apparent in **2-Ta**, thus giving the overall complex a  $C_1$ -symmetric geometry. This symmetry is maintained in the solution phase on the NMR timescale; the  $^1\text{H}$  NMR spectrum of **2-Ta** displays six distinct doublet resonances associated with the  $\text{TriNOx}^{3-}$  ligand methylene protons and three distinct singlet resonances associated with the *tert*-butyl group protons (Fig. S11†).

Unlike **1-Ta**, **1-Nb** was found to be unreactive with  $\text{CO}_2$  under identical conditions (benzene, 1 atm  $\text{CO}_2$ , 50 °C). Heating a sample of **1-Nb** to 80 °C in  $\text{C}_6\text{D}_6$  under 1 atm of  $\text{CO}_2$  likewise did not result in any appreciable reactivity, with only minor decomposition observed over the course of 18 h. No new resonances consistent with a Nb-carbamate complex were observed in the  $^1\text{H}$  NMR spectra (Fig. S3†) over this 18 h time span. It is also notable that the starting materials  $^t\text{BuN}=\text{MCl}_3(\text{py})_2$  (M = Nb, Ta) showed no reactivity with  $\text{CO}_2$  (50 °C, 18 h), indicating the importance of the supporting ligand to achieve selective metal reactivity.

### Demonstration of Ta/Nb separation

The  $\text{CO}_2$  reactivity difference between **1-Ta** and **1-Nb**, combined with the observed differences in solubility properties between **1-M** and **2-Ta**, provide a direct route for Ta/Nb separation. As such, a proof-of-concept Ta/Nb separation was undertaken to demonstrate the relevance of this system to the field of fundamental separations science. A 1 : 1 mixture of **1-Ta/1-Nb** was treated with 1 atm of  $\text{CO}_2$  in a sealed J-Young tube ( $\text{C}_6\text{D}_6$ ) and heated to 60 °C for 5 h. Over the course of the reaction, spectral resonances associated with **1-Ta** were observed to diminish, while spectral resonances associated with **1-Nb** were found to be largely unchanged (Fig. 4A). Additionally, weak resonances associated with **2-Ta** were observed to form, although the intensity of these features remained low due to its partial precipitation. Having confirmed that (a) the differences in  $\text{CO}_2$  reactivity is maintained when **1-Ta** and **1-Nb** are co-dissolved in the same solution, and (b) imido–carbamate ligand exchange between **1-Nb** and **2-Ta** does not occur under the reaction conditions, lab-scale (*ca.* 20 mg of each metal complex) separation procedures were undertaken on equimolar mixtures of **1-Ta** and **1-Nb** in toluene (Fig. 4B). An approximate 1 : 1 ratio of the two metals were tested to demonstrate versatility across the wide range of Ta/Nb ratios found in various mineral ores.<sup>2,5</sup> Across three trials, between 70–75% (based on the theoretical



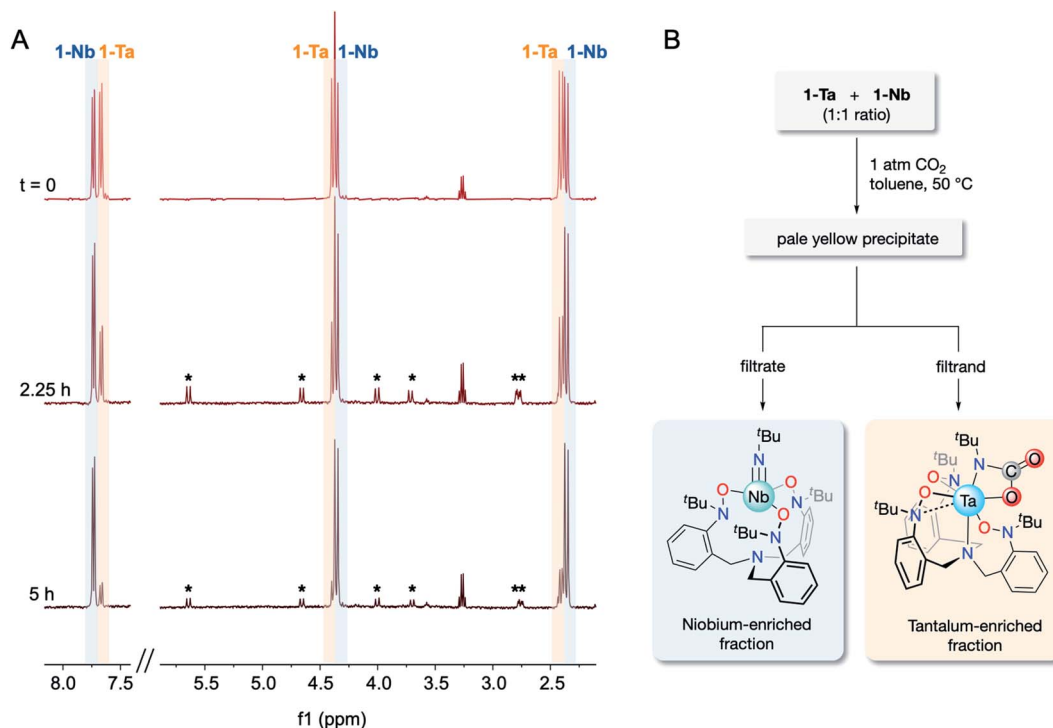


Fig. 4  $^1\text{H}$  NMR spectra following the treatment of a 1 : 1 mixture of **1-Ta**/**1-Nb** with  $\text{CO}_2$  (1 atm) at  $60^\circ\text{C}$  in  $\text{C}_6\text{D}_6$  over the course of 5 h (A). Spectral resonances associated with the methylene protons of **2-Ta** are marked with an asterisk (\*) and reflect the  $\text{C}_1$  symmetry of the compound. Flowsheet for the proof-of-concept Ta/Nb separation (B).

yield of **2-Ta**) of highly pure Ta material precipitated ( $\text{EF}_{\text{Ta}} = 103 \pm 31$  by ICP-OES), while virtually all of the Nb, and the remaining 25–30% of Ta remained in solution ( $\text{EF}_{\text{Nb}} = 3.85 \pm 0.56$  by ICP-OES). Averaging across the three trials gave a notable separations factor ( $S_{\text{Ta/Nb}}$ ) of  $404 \pm 150$  ( $n = 3$ ). To the best of our knowledge, this value of  $S_{\text{Ta/Nb}}$  represents an improvement over other fluoride-free Ta/Nb separations systems, which demonstrate separation factors between *ca.* 4–250.<sup>19–25,34</sup> However, it should be understood that this system is not yet industrially viable due to the air/moisture sensitivity of the compounds, the moderate to low yields of **1-M**, and the high cost of the starting materials. Instead, this result should be viewed as a fundamental proof-of-concept separation demonstrating the viability of this novel separation strategy.

#### Kinetics of $\text{CO}_2$ insertion (**1-Ta** $\rightarrow$ **2-Ta**)

The stark difference in the reactivity observed for **1-Ta** versus **1-Nb** is fundamentally interesting; however, an understanding for why these metals show such different reactivity was not immediately apparent. In effort to address this question, a mechanistic proposal for the reaction between **1-M** and  $\text{CO}_2$  was explored both experimentally and computationally. To provide an experimental benchmark for the computational study (*vide infra*), the kinetics of the reaction between **1-Ta** and  $\text{CO}_2$  were monitored under pseudo-first-order conditions with  $[\text{CO}_2] \geq 20 \times [\text{1-Ta}]$  using  $^1\text{H}$  NMR spectroscopy ( $\text{C}_6\text{D}_6$ ) at 303 K. The reaction was followed by monitoring the decrease in the intensity of the characteristic NMR resonance at 4.38 ppm,

associated with the methylene protons in **1-Ta**, and the increase in the intensity of the resonance at 4.01 ppm, associated with a methylene proton in **2-Ta**. The concentrations of **1-Ta**/**2-Ta** were determined by comparing the integrals of these resonances with respect to an internal standard, toluene. The resulting kinetic traces were fit to single exponentials (Fig. S1<sup>†</sup>). Plotting the natural logarithm of concentration versus time produced linear fits (Fig. S2<sup>†</sup>), consistent with the reaction being first order in **1-Ta**. Pseudo-first-order rate constants were obtained for the reaction at four different concentrations of  $\text{CO}_2$ , each of which was performed in triplicate (see ESI for details<sup>†</sup>). Plotting the observed rate constants  $k_{\text{obs}}$  versus  $[\text{CO}_2]$  revealed a linear relationship with a negligible y-intercept (Fig. 5A), indicating that the reaction is first order in  $\text{CO}_2$ . Taken together, these results indicate the following bimolecular rate law (eqn (1)):

$$\text{Rate} = k_{\text{obs}} [\text{1-Ta}] = k_s [\text{1-Ta}] [\text{CO}_2] \quad (1)$$

with a bimolecular rate constant  $k_s = 1.35(18) \times 10^{-4}$  (303 K). Values for  $k_s$  were also obtained from triplicate trials at both 313 K and 323 K by monitoring the reaction at a single  $\text{CO}_2$  concentration under pseudo-first-order conditions and dividing the resulting  $k_{\text{obs}}$  values by the  $\text{CO}_2$  concentration. The resulting Eyring relationship (Fig. 5B) was fit to a linear function, and activation parameters were determined from the slope and y-intercept values:  $\Delta H^\ddagger = 16(1)$  kcal mol<sup>-1</sup>,  $\Delta S^\ddagger = -22(3)$  cal K<sup>-1</sup> mol<sup>-1</sup>,  $\Delta G^\ddagger(298\text{ K}) = 23(2)$  kcal mol<sup>-1</sup> (Table 1).



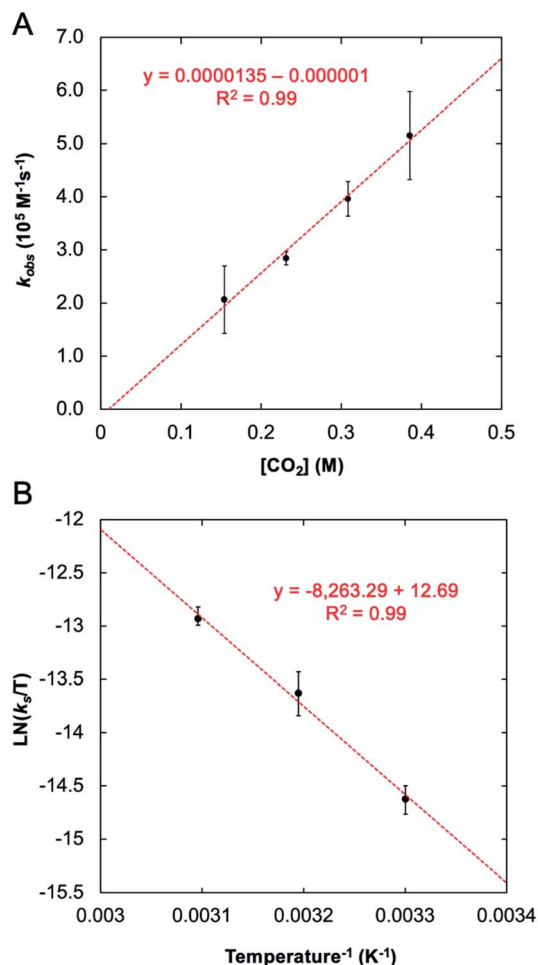


Fig. 5 Observed rate constant for reaction between **1-Ta** and  $\text{CO}_2$  as a function of  $\text{CO}_2$  concentration:  $T = 303 \text{ K}$ ,  $[\mathbf{1-Ta}] = 7 \text{ mM}$  (A) and the Eyring plot associated with this transformation (B).

Table 1 Experimental and computed activation parameters for the reaction of **1-M** with  $\text{CO}_2$  to form **2-M**

	Ta (exp.)	Ta (comp.)	Nb (comp.)
$\Delta H^\ddagger$ (kcal mol <sup>-1</sup> )	16(1)	14.3	17.7
$\Delta S^\ddagger$ (cal K <sup>-1</sup> mol <sup>-1</sup> )	-22(3)	-44.8	-44.5
$\Delta G^\ddagger$ (kcal mol <sup>-1</sup> )	23(2)	27.6	31.0

### DFT-generated potential energy surfaces for **1-M** → **2-M**

With experimental activation parameters in hand for the reaction of **1-Ta** with  $\text{CO}_2$ , the potential energy surfaces for this reaction with both **1-Ta** and **1-Nb** were evaluated computationally (Fig. 6). Accordingly, ground state geometry optimizations using B3LYP/def2-SVP were performed on **2-Ta** and the hypothetical **2-Nb'**. The reaction was calculated to be exergonic for **1-Ta** ( $\Delta G = -5.7 \text{ kcal mol}^{-1}$ ) and slightly endergonic for **1-Nb** ( $\Delta G = +0.4 \text{ kcal mol}^{-1}$ ). Transition states for these reactions (**TS1-M**) were approximated through identification of local maxima in relaxed surface scans wherein the N(5)–C(34) distances in **2-M** were constrained to larger distances in steps of

0.025 Å. The transition state structures were confirmed as being saddle points on the potential energy surfaces through frequency calculations in which a single imaginary vibration frequency was obtained for each structure. The activation enthalpy,  $\Delta H^\ddagger = 14.3 \text{ kcal mol}^{-1}$ , calculated for the reaction of **1-Ta** is in excellent agreement with the experimentally-determined value of  $16.7 \text{ kcal mol}^{-1}$ , while the calculated activation entropy,  $\Delta S^\ddagger = -44.8 \text{ cal K}^{-1} \text{ mol}^{-1}$ , is roughly twice as negative as the experimentally obtained value of  $-22.0 \text{ cal K}^{-1} \text{ mol}^{-1}$ , leading to a slight overestimation of the activation Gibbs free energy:  $\Delta G^\ddagger = 27.6 \text{ kcal mol}^{-1}$  (computed) as compared with  $23.0 \text{ kcal mol}^{-1}$  (experiment) (Table 1). Notably **TS1-Nb** is predicted to be *ca.*  $3.4 \text{ kcal mol}^{-1}$  higher in energy than **TS1-Ta**, suggesting that the reaction is kinetically more facile for **1-Ta** as compared to **1-Nb**. Remarkably consistent **1-Ta/Nb** differences in the kinetics and thermodynamics for this reaction profile were determined using various alternate functionals/level of theory combinations (see ESI for details, Fig. S17†).

Based on the computed potential energy surfaces, both the kinetics and thermodynamics determine the observed selectivity of this reaction for **1-Ta** over **1-Nb**. The reaction with **1-Ta** is sluggish near room temperature, indicating that elevated temperatures are required to make the reaction possible for **1-Nb**. However, given the large negative entropy of  $\Delta S = -48.8 \text{ cal K}^{-1} \text{ mol}^{-1}$  calculated for the hypothetical reaction of **1-Nb** with  $\text{CO}_2$ , increasing the reaction temperature would be expected to make the transformation more endergonic, which could explain why it is not observed experimentally.

### Insights into the stability of **2-Ta**

Reported Ta-imido complexes have been shown to react with  $\text{CO}_2$  to form isocyanates and Ta-oxo/hydroxo products;<sup>63,66</sup> the reaction to form **2-Ta** is unprecedented in tantalum chemistry. Additionally, despite Nb-carbamate complexes being reported,<sup>59,67</sup> **2-Ta** represents the first isolated Ta-carbamate complex. The  $\text{TriNOx}^{3-}$  *tert*-butyl groups in **2-Ta** may be essential for stabilizing the Ta-carbamate moiety; steric demands between the carbamate *tert*-butyl group and the  $\text{TriNOx}$ -ligand *tert*-butyl groups are proposed to kinetically prevent an intramolecular rearrangement to extrude *tert*-butyl isocyanate product. Alternatively, the  $\text{TriNOx}^{3-}$  *tert*-butyl groups may be important in preventing oxide cluster formation, which has been shown to be a thermodynamic sink in a previous example of Ta-imido reactivity with  $\text{CO}_2$ .<sup>63</sup> To shed light on these possible contributors to the stability of **2-Ta**, a hypothetical reaction pathway for isocyanate extrusion from **2-Ta** was explored using DFT.

A relaxed surface scan was performed in which the C(34)–O(4) bond distance was increased in sequential steps of 0.1 Å (B3LYP/def2-SVP). From this scan, a local maximum in electronic energy at *ca.* 2.2 Å and a local minimum in electronic energy at *ca.* 3.0 Å were identified. The local maximum was resolved into **TS2-Ta**, and the local minimum was resolved into a putative  $C_3$ -symmetric Ta-oxo compound,  $\text{O}=\text{Ta}(\text{TriNOx})$  (**3-Ta'**) in combination with a free equivalent of *tert*-butyl isocyanate (*t*-BuNCO). Frequency calculations were performed on **TS2-**





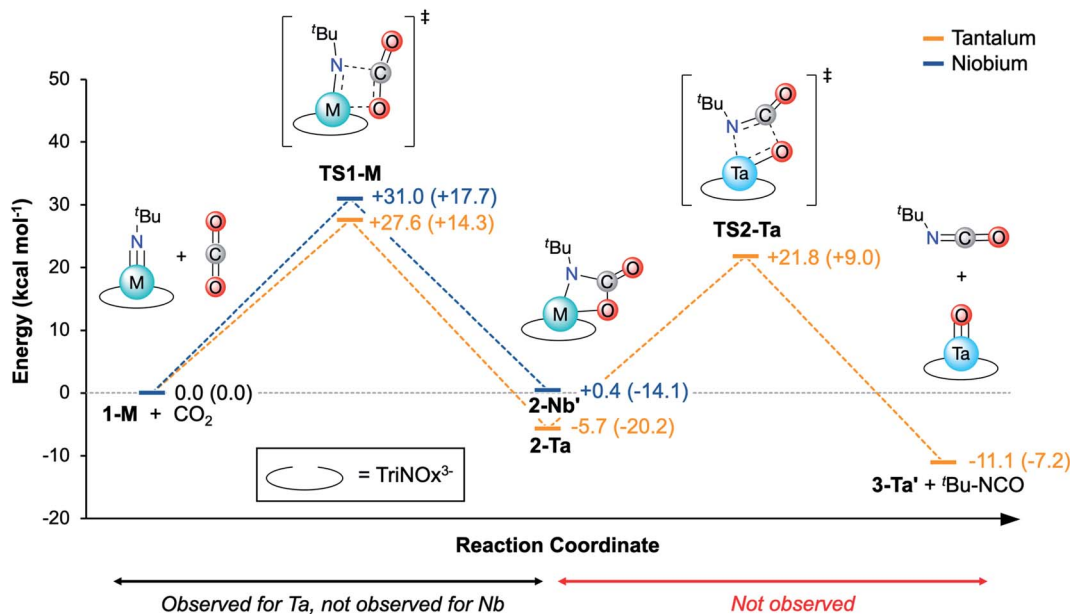
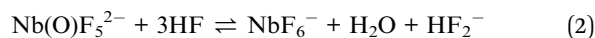


Fig. 6 DFT-calculated reaction coordinate diagram for the conversion of 1-M to 2-M (hypothetical for 2-Nb') by TS1-M, and conversion of 2-Ta to the hypothetical oxo complex, 3-Ta', by TS2-Ta. Orange features correspond to Ta and blue features correspond to Nb. Energies are represented in terms of Gibbs Free Energy. Enthalpy values are provided in parentheses.

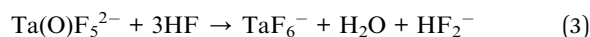
Ta, 3-Ta', and <sup>t</sup>BuNCO and the thermodynamics and kinetics of this hypothetical process were assessed (Fig. 6). The Gibbs Free Energy barrier associated with the formation of 3-Ta' and <sup>t</sup>BuNCO from 2-Ta was calculated to be 27.5 kcal mol<sup>-1</sup>, which should be kinetically feasible since this represents a smaller global maximum than TS1-Ta (Fig. 6). Additionally, the overall reaction (2-Ta → 3-Ta' + <sup>t</sup>BuNCO) was calculated to be exergonic by 5.4 kcal mol<sup>-1</sup>. However, since the activation entropy for TS1-Ta was found to be notably overestimated by DFT (*vide supra*), it is reasonable to expect that the large positive entropy calculated for this reaction (+61.6 cal K<sup>-1</sup> mol<sup>-1</sup> for 2-Ta → 3-Ta' + <sup>t</sup>BuNCO) is similarly overestimated. Decreasing the calculated entropic contribution would result in global Gibbs Free Energy increases for 3-Ta'/<sup>t</sup>BuNCO, which could explain why 2-Ta is unreactive toward formation of oxo complex 3-Ta' and <sup>t</sup>BuNCO. Heating a sample of 2-Ta in pyridine-*d*<sub>5</sub> (80 °C) led to slow decomposition, but neither 1-Ta, the putative 3-Ta', nor <sup>t</sup>BuNCO were observed to form as determined by <sup>1</sup>H NMR spectroscopy (Fig. S4<sup>†</sup>).

#### Broader context: analogy to the industrial Ta/Nb separation

This new Ta/Nb separations procedure, although squarely in the fundamental scientific space,<sup>5,9-12</sup> represents a notable model system from which valuable chemical insights can be gained. The observed differences in metal-imido reactivity/stability between 1-Ta and 1-Nb is reminiscent of the industrial process wherein Nb(O)F<sub>5</sub><sup>2-</sup> is a stable entity in solution, while the analogous Ta complex is not observed under identical conditions. In this case, Nb(O)F<sub>5</sub><sup>2-</sup> undergoes a formal protonation reaction with 3 equiv. of HF to form NbF<sub>6</sub><sup>-</sup>, H<sub>2</sub>O, and HF<sub>2</sub><sup>-</sup> (eqn (2), Fig. 1):



This process is a pH-dependent and reversible equilibrium.<sup>5</sup> Since the analogous Ta(O)F<sub>5</sub><sup>2-</sup> complex is not observed to form, the hypothetical Ta(O)F<sub>5</sub><sup>2-</sup> complex can be thought of as undergoing the analogous (hypothetical) reaction irreversibly (eqn (3)):



The reactivity of Ta(O)F<sub>5</sub><sup>2-</sup> toward electrophiles would therefore be expected to be far greater than that of Nb(O)F<sub>5</sub><sup>2-</sup>, which mirrors the trend observed in the reactivities of 1-M reported herein. Terminal metal-imido complexes are routinely studied as analogs for terminal metal oxo complexes since the metal-ligand multiple bonding is isoelectronic between these classes of molecules.<sup>41,68-72</sup> As such, 1-M can be viewed as model complexes for the industrially relevant M(O)F<sub>5</sub><sup>2-</sup> complexes; the greater reactivity of the imido group in 1-Ta, as compared to 1-Nb, directly parallels the strategy used in the industrial Ta/Nb separations procedure, and similarly results in differing Ta/Nb speciation under identical conditions.

## Conclusions

Isostructural Ta/Nb-imido complexes bound by the TriNOx<sup>3-</sup> ligand (1-M) were synthesized and fully characterized. Although the structures of 1-Ta/1-Nb are nearly identical, DFT analysis indicated that the HOMO/HOMO-1 of 1-Ta contain imido lone pair character, while the HOMO/HOMO-1 of 1-Nb do not. This difference in electronic structure results in reactivity differences between the two complexes; 1-Ta reacts with both water and CO<sub>2</sub>





at much faster rates than **1-Nb**. Heating **1-Ta** in the presence of CO<sub>2</sub> resulted in the complete conversion to the first isolated example of a Ta<sup>V</sup> carbamate complex (**2-Ta**), while **1-Nb** was found to be unreactive, even at higher temperatures. Differences in solubility between **1-Nb** and **2-Ta** allowed for a facile proof-of-concept separations procedure that led to an efficient fluoride-free Ta/Nb separation ( $S_{\text{Ta/Nb}} = 404 \pm 150$ ). A combined experimental and theoretical mechanistic study suggests that the formation of **2-Ta** is both kinetically and thermodynamically advantaged over the formation of the hypothetical **2-Nb'**. Although this system, as reported here, is not yet applicable to an industrial setting due to the air sensitivity of the complexes and the need for specialized starting materials, this study provides valuable information on fundamental differences in bonding for Ta versus Nb – insights that are essential for developing sustainable, fluoride-free Ta/Nb separations procedures. Specifically, understanding and controlling differences in  $\pi$ -bonding in Ta/Nb complexes is expected to be a key strategy for developing new and sustainable procedures to separate these critical elements.

## Author contributions

Alexander B. Weberg developed and optimized the syntheses of the Ta/Nb imido complexes (**1-M**), discovered the selective reaction between CO<sub>2</sub> and the Ta-imido complex, characterized the novel Ta-carbamate complex (**2-Ta**), designed/implemented the kinetic study between the Ta-imido complex and CO<sub>2</sub>, developed the Ta/Nb separation procedure between the isostructural Ta/Nb-imido complexes, and wrote/edited the manuscript and ESI. Subhajyoti Chaudhuri performed predictive DFT geometry optimizations on the Ta/Nb-imido complexes that suggested differences in Ta/Nb-imido basicities/nucleophilicities, generated potential energy surfaces for the reactions between the Ta/Nb-imido complexes and CO<sub>2</sub>, and contributed to the writing of the ESI. Thibault Cheisson synthesized the Nb-imido complex (**1-Nb**) that provided a starting point for the study. Christian Uruburo aided in the experimental kinetic study for the reaction between the Ta-imido complex (**1-Ta**) and CO<sub>2</sub>. Ekaterina Lapsheva and Pragati Pandey performed elemental analyses that demonstrated bulk purity of the complexes. Michael R. Gau and Patrick J. Carroll solved and refined the X-ray structures of the Ta/Nb-imido complexes (**1-M**) and the Ta-carbamate complex (**2-Ta**). George C. Schatz provided guidance, support, and funding for the DFT calculations, and contributed to the editing of the manuscript and ESI. Eric J. Schelter provided guidance, support and funding for the experimental studies described within, contributed to the writing/editing of the manuscript and ESI, and managed all aspects of the project.

## Conflicts of interest

There are no conflicts to declare.

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## Notes and references

¶ The separation factor,  $S_{\text{Ta/Nb}}$ , is an indication of the ratios of Ta/Nb in each phase. It is calculated as the ratio of distribution coefficients,  $D_M$ , of each metal ( $S_{\text{Ta/Nb}} = D_{\text{Ta}}/D_{\text{Nb}}$ ), where  $D_M$  is the ratio of metal concentration in the two reaction phases ( $D_M = [M]_{\text{phase1}}/[M]_{\text{phase2}}$ ). Alternatively,  $S_{\text{Ta/Nb}}$  can be expressed in terms of the product of enrichment factors,  $EF_M$ , of metals in each phase, where  $EF_{M1} = \text{moles}_{M1}/\text{moles}_{M2}$  for a given phase ( $S_{\text{Ta/Nb}} = EF_{\text{Ta,phase1}} \times EF_{\text{Nb,phase2}}$ ). It should be noted that higher separation factors can be achieved via multiple passes of a material through a separation procedure ( $S_{\text{total}} = S_{\text{pass1}} \times S_{\text{pass2}}$ ), which is more easily accomplished for liquid-liquid extraction separations, as compared with precipitation-based separations.

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