

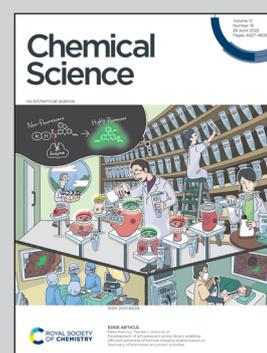


Showcasing research from the Groups of Dr. Shinji Tanaka at National Institute of Advanced Industrial Science and Technology (AIST), Japan and Professor Christophe Copéret at ETH Zürich, Switzerland. Image created by Yuko Yokoi.

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DNP NMR spectroscopy enabled direct characterization of polystyrene-supported catalyst species for synthesis of glycidyl esters by transesterification†

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Polymer-supported catalysts have been of great interest in organic syntheses, but have suffered from the difficulty in obtaining direct structural information regarding the catalyst species embedded in the polymer due to the limitations of most analytical methods. Here, we show that dynamic nuclear polarization (DNP)-enhanced solid-state NMR is ideally positioned to characterize the ubiquitous cross-linked polystyrene (PS)-supported catalysts, thus enabling molecular-level understanding and rational development. Ammonium-based catalysts, which show excellent catalytic activity and reusability for the transesterification of methyl esters with glycidol, giving glycidyl esters in high yields, were successfully characterized by DNP ¹⁵N NMR spectroscopy at ¹⁵N natural abundance. DNP ¹⁵N NMR shows in particular that the decomposition of quaternary alkylammonium moieties to tertiary amines was completely suppressed during the catalytic reaction. Furthermore, the dilute ring-opened product derived from glycidol and NO₃⁻ was directly characterized by DNP ¹⁵N CPMAS and ¹H-¹⁵N and ¹H-¹³C HETCOR NMR using a ¹⁵N enriched (NO₃) sample, supporting the view that the transesterification mechanism involves an alkoxide anion derived from an epoxide and NO₃⁻. In addition, the detailed analysis of a used catalyst indicated that the adsorption of products on the cationic center is the major deactivation step in this catalysis.

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Introduction

Immobilization of molecular catalysts on solid materials has been a strategy to intensify catalytic processes while keeping the advantages of homogeneous catalysts that are typically highly selective and more amiable to rational development.¹⁻⁴ In this context, quaternary alkyl ammonium salts are one of the most well-investigated molecular organocatalysts for base-mediated organic transformations, where a counter anion together with its ammonium cation behaves as a key reactive centre (*e.g.* phase transfer catalysis,⁵ transesterification,⁶⁻⁸ carbonate synthesis from CO₂ and epoxides,⁹⁻¹¹ *etc.*). Their immobilization has been investigated on cross-linked polystyrene (PS) because this support offers a high tolerance under basic conditions.¹²⁻¹⁷ However, the development of PS-supported alkylammonium salt catalysts has suffered from the lack of structural

characterization of the catalytic sites and how they evolve under reaction conditions.¹² For example, molecular quaternary alkylammonium salts are known to easily decompose into tertiary amines under basic conditions by Hofmann elimination and/or S_N2 substitution,^{7,8} leading to significant suppression of the catalytic activity. Therefore, in order to develop highly efficient PS-supported quaternary alkylammonium salt catalysts, it is of great importance to directly trace subtle structural changes of both the cation and anion species under a PS-supported environment, although it is still a significant challenge owing to the difficulties in structural identification.

In this context, solid-state NMR has emerged as a powerful tool to characterize the local structure of solid-supported catalysts in a non-destructive manner.¹⁸ However, its application range is often limited owing to the intrinsic low signal sensitivity of NMR. Recently, solid-state NMR enhanced by dynamic nuclear polarization (DNP) has attracted considerable attention because of its boosted signal sensitivity.¹⁹⁻²³ In DNP NMR, the microwave-driven polarization transfer *via* a cross-effect with a biradical combined with further polarization transfer methods (*e.g.* cross polarization) enables the enhancement of the intensity of signals from less sensitive nuclei (*e.g.* ¹³C, ¹⁵N, and ¹⁷O). With this benefit, DNP NMR is nowadays recognized

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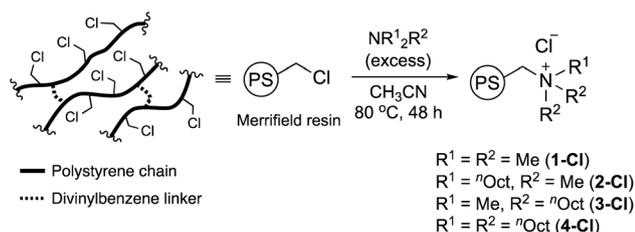


as a powerful tool for the characterization of heterogeneous catalysts and has mostly been explored for catalysts dispersed on high surface area oxide supports.^{22,23} However, its application to organic polymer-supported materials is less explored, probably due to the lack of a versatile sample preparation method.^{24–30} In this context, we have recently reported a rational guideline for DNP sample preparation from cross-linked PS, a prototypical insoluble synthetic polymer.³¹ An appropriate choice of a DNP polarizing agent (PA) solution^{32,33} can be predicted by the swelling properties of each polymer, thus facilitating the uniform distribution of the PA over the polymer network to achieve natural abundance (0.37%) ¹⁵N NMR of quaternary alkylammonium salts in reasonable measurement time.

In this contribution, we have explored transesterification with glycidol (GD), giving glycidyl esters that are useful precursors of the epoxy resin, using solid organocatalysts based on PS-supported quaternary alkyl ammonium salts. Compared with the conventional epichlorohydrin process, this catalytic reaction is promising owing to the availability of biomass-derived feedstock (*i.e.* glycidol), thus contributing to the development of sustainable processes.³⁵ Taking advantage of our recently developed DNP NMR protocol, we have performed detailed structural analysis of PS-supported quaternary alkyl ammonium salts at various stages of the catalytic process. In particular, the robustness of the quaternary alkyl ammonium fragment was clearly confirmed by DNP ¹⁵N NMR, consistent with the excellent activity and reusability for catalytic transesterification of methyl esters to glycidyl esters.^{36,37} Furthermore, we have also tracked the evolution of the active species embedded in the PS network, thanks to DNP-enhanced 1D and 2D NMR spectroscopy.

Results and discussion

Considering that the substituents of the ammonium fragment play an important role in transesterification with molecular catalysts,^{6,8} we first optimized the N-substituents of ammonium salts for transesterification of methyl esters with GD. Thus, **1-Cl**, **2-Cl**, **3-Cl**, and **4-Cl** were prepared by reactions of Merrifield resin with four types of alkyl amines, NMe₃, NMe₂ⁿOct, NMeⁿOct₂, and NⁿOct₃, respectively (Scheme 1). The amount of N-atoms in **1-Cl**–**4-Cl** was quantified by combustion analysis (see the ESI†). Their FT-IR spectra exhibited strong peaks assignable to C–H groups at 2800–3000 cm^{−1}, indicating the



Scheme 1 Preparation of PS-supported alkylammonium catalysts **1-Cl**, **2-Cl**, **3-Cl**, and **4-Cl**.

presence of alkyl groups (Fig. S1†). The conventional ¹³C CPMAS NMR spectra of the catalysts displayed signals at 15–35 ppm, which were assigned to *n*-octyl groups (Fig. S2†). The signals due to carbon atoms attached to a nitrogen atom were observed as broad signals at 50–70 ppm. Based on these observations, it was confirmed that nitrogen-based functional groups were successfully introduced into PS.

Full identification of the structure around a nitrogen atom was achieved by using ¹⁵N DNP NMR signals using our NMR protocol developed for PS samples.³¹ Thus, the swelling test of PS-supported catalysts (**1-Cl**–**4-Cl**) with 1,1,2,2-tetrachloroethane (TCE) and dimethylsulfoxide (DMSO) was first conducted to predict the optimal PA solution (TEKPol/TCE or AMUPol/DMSO-d₆) for efficient DNP signal enhancement of the immobilized catalysts. **2-Cl**, **3-Cl**, and **4-Cl** were well swollen in both solvents, while DMSO was needed for **1-Cl**; hence, AMUPol/DMSO-d₆ was selected as the optimal PA solution (see the ESI, Table S1†). All measurements resulted in good sensitivity enhancement ($\epsilon_{\text{H}} = 35\text{--}56$, Fig. S3(a)–(c)†); we could successfully identify ¹⁵N signals at natural abundance for each sample after a reasonable measurement time (3–6 hours) (see the ESI†). The DNP build-up curve indicated a homogeneous distribution of PAs giving T_{DNP} values of 1.6 s (**2-Cl**), 1.4 s (**3-Cl**), and 1.6 s (**3-NO₃**) (Fig. S4†). Fig. 1 shows the selected ¹⁵N CPMAS NMR spectra measured under DNP conditions at 102 K. **2-Cl** exhibited a ¹⁵N signal assignable to a quaternary nitrogen atom at 57.5 ppm, while **3-Cl** displayed a signal at 62.4 ppm along with a shoulder as the result of the presence of different conformers due to the sterically large alkyl substituents.^{31,34} These observations are consistent with our previous DNP ¹⁵N CPMAS NMR studies on **1-Cl** (52.4 ppm) and **4-Cl** (61.8 and 59.6 ppm).³¹ Overall, this clearly indicated that the quaternary alkylammonium moiety was introduced into the PS network.

We then investigated the PS-supported ammonium salt catalysts (**1-Cl**–**4-Cl**) in transesterification of methyl 4-nitrobenzoate (**5a**) with a slight excess of GD under azeotropic reflux conditions in hexane (Table 1). Although the catalytic activity of **1-Cl** was moderate (entry 2, 76% yield), **2-Cl**, **3-Cl** and **4-Cl** showed higher catalytic activity affording glycidyl 4-

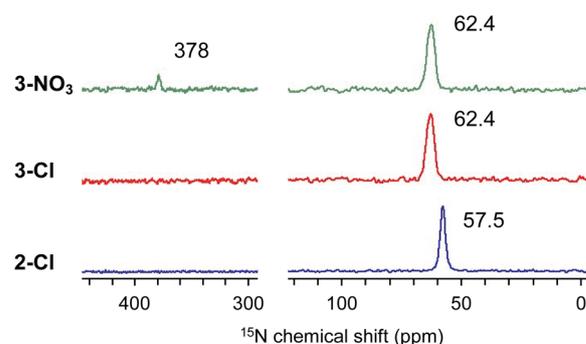
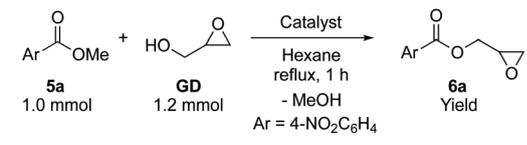


Fig. 1 DNP ¹⁵N CPMAS NMR spectra of **2-Cl**, **3-Cl**, and **3-NO₃**. AMUPol/DMSO-d₆ (16 mM) was used for all measurements. MAS frequency: 10 kHz (3.2 mm rotor), CP contact time: 3 ms, recycle delay: 2.2 s (**2-Cl**), 2.0 s (**3-Cl**) and 2.1 s (**3-NO₃**), and number of scans (for ¹⁵N): 7900 (**2-Cl**), 3300 (**3-Cl**), and 4096 (**3-NO₃**).

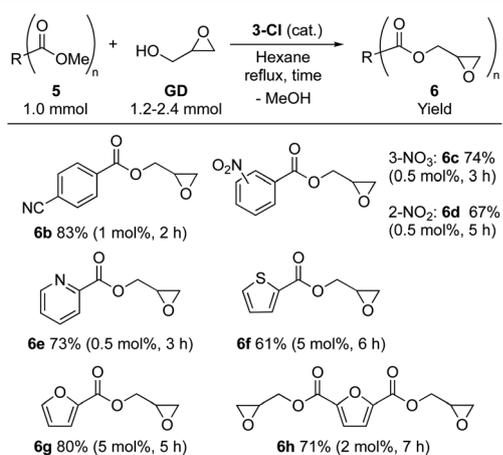


Table 1 Screening of catalysts for transesterification of methyl 4-nitrobenzoate (**5a**) with glycidol (**GD**)^a


Entry	Catalyst	Loading (mol%)	Yield (%) ^b
1	None	—	3
2	1-Cl	5	76
3	2-Cl	5	94
4	3-Cl	5	96
5	4-Cl	5	92
6	3-Cl	0.5	90 (87) ^c

^a Reaction conditions: a mixture of MNB (1.0 mmol), GD (1.2 mmol), and catalyst (5 mol%) in hexane (0.5 mL) was refluxed (heater temp: 80 °C) for 1 hour. ^b Yields were determined by ¹H NMR analysis. Values are an average of 2 runs. ^c Yield of the isolated product.

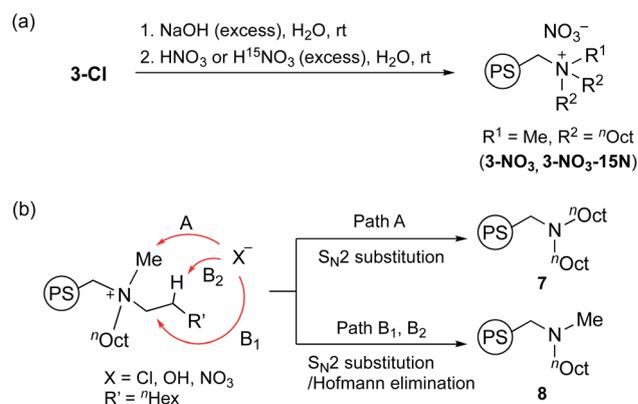
nitrobenzoate (**6a**) in 92–96% yields (entries 3–5). Of all the immobilized catalysts, **3-Cl** performed the best (entry 4, 96%). The higher activity of alkylammoniums with long-chain alkyl groups (**2-Cl**, **3-Cl** and **4-Cl**) compared to **1-Cl** parallels what is observed in homogeneous catalysis.⁶ The catalytic activity of **4-Cl** was slightly lower than that of **2-Cl** and **3-Cl**, owing to the absence of the smaller Me group(s).⁸ Yet, it should be noted that **1-Cl** showed lower activity in spite of the presence of three Me groups bound to nitrogen. This is probably due to the higher hydrophilicity of the polymer network in **1-Cl**, as indicated by the swelling test, which leads to the limited distribution of organic substrates.¹² **3-Cl** showed high activity even with a reduced loading of the catalyst (0.5 mol%), affording the isolated product **6a** in 87% yield (entry 6).

Table 2 Scope of the substrate for transesterification with GD using **3-Cl** as a catalyst^a

^a Reaction conditions were optimized for each substrate. Yields of the isolated product are shown.

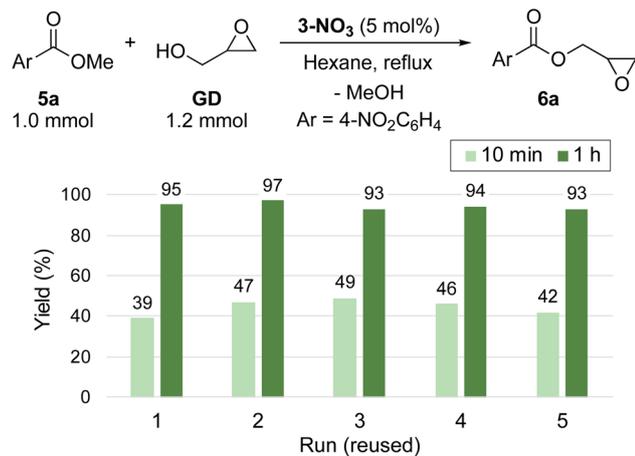
The substrate scope was investigated using **3-Cl** (Table 2). Glycidyl 4-cyanobenzoate (**6b**) was isolated in high yield (83%). For benzoate derivatives with a substituent at other positions (2- and 3-), glycidyl 2-nitrobenzoate (**6c**) and glycidyl 3-nitrobenzoate (**6d**) were obtained in moderate yields (**6c**: 74%, **6d**: 67%) with extended reaction time. The catalyst worked also for substrates having a heteroaromatic ring, affording glycidyl 2-pyridinecarboxylic acid (**6e**) and glycidyl 2-thiophenecarboxylic acid (**6f**) in moderate yields (**6e**: 73%, **6f**: 61%). Moreover, methyl 2-furancarboxylate (**5g**) and dimethyl 2,5-furancarboxylate (**5h**), which are useful biomass-derived substrates,^{38–42} are also accessible through this approach: glycidyl esters **6g** and **6h** were isolated in good to moderate yields (**6g**: 80%, **6h**: 71%). Overall, a wide range of value-added glycidyl esters is accessible using **3-Cl**.

Next, we performed the anion exchange reaction of **3-Cl**. Our previous work revealed that weakly basic anions facilitated transesterification while suppressing self-polymerization of **GD**, which might be a major side-reaction.^{43,44} Taking this result into account, we prepared **3-NO₃** from **3-Cl** by a sequential anion exchange *via* successive treatment with NaOH and HNO₃ (Scheme 2(a)). Since the procedure was conducted by treatment with NaOH as a strong base, one should check whether the decomposition of alkyl ammoniums possibly proceeds during the anion exchange *via* S_N2 substitution (paths A and B₁) and/or Hofmann elimination (path B₂) which results in the formation of tertiary amine moieties (Scheme 2(b)). To confirm this point, we performed DNP ¹⁵N NMR analysis to monitor ¹⁵N signals before and after the anion exchange reaction. As clearly shown from the DNP ¹⁵N NMR spectrum of **3-NO₃**, no additional signals except for NO₃[−] at 378 ppm were observed after the anion exchange (Fig. 1). Furthermore, PS-supported tertiary amines **7** and **8** were alternatively synthesized by the reaction of Merrifield resin with secondary amines (see the ESI[†]). DNP ¹⁵N NMR spectra of **7** and **8** exhibited signals at 25–60 ppm, which appeared as broad signals presumably due to the existence of distinct conformers at cryogenic temperature due to the bulky tertiary amines⁴⁵ (see the ESI, Fig. S5[†]). As a result, we confirmed that the quaternary ammonium fragment was maintained after the anion exchange procedure.



Scheme 2 Anion exchange reaction of **3-Cl** to **3-NO₃** and **3-NO₃-¹⁵N** (a), and possible decomposition pathways of quaternary alkylammonium moieties to tertiary amines **7** and **8** (b).





Scheme 3 Reuse test of 3-NO₃ toward the synthesis of 2a.

3-NO₃ exhibited comparable high catalytic activity with 3-Cl towards transesterification (Scheme 3), enabling the efficient synthesis of glycidyl esters in a chlorine-free manner, which is crucial for the production of high-grade epoxy resins utilized for insulating materials.³⁶ With this catalyst in hand, we further performed a reuse test for catalytic transesterification. Reusability is one of the key advantages of supported catalysts, generally relying on the stability of the catalyst under the reaction conditions. In this system, as described above, the decomposition of quaternary ammonium moieties into tertiary amines is a major pathway for catalyst deactivation (Scheme 2(b)). To reveal the catalytic behaviour of 3-NO₃, we performed the reuse test with 5 mol% loading with a short reaction time (10 min). Under these conditions, 3-NO₃ catalysed the transesterification of 5a with GD to give 6a in 39% yield in the first run. Interestingly, the activity was slightly improved in the second run (47%), and only slightly decreased after further reuse (5th: 42%) (Scheme 3). This result indicates that only a small structural transformation happened at the catalytically active site in PS. We note that the yield of 6a was excellent even in the fifth run under conditions with an extended reaction time (1 h), confirming the significant utility of this catalyst for the synthesis of glycidyl esters (Scheme 3).

The peculiar catalytic behaviour in the recycling study prompted us to gain insights into the active species on PS in a direct manner using DNP NMR. Our previous experimental and computational studies have implied that the ring-opening of glycidol affords the active anion that initiates transesterification by abstracting a proton from the substrate alcohol.⁶ It is also reported that the ring-opened species from epoxides and weak anions behave as an intermediate for the catalytic cycle of cyclic carbonate and polycarbonate synthesis from epoxides and CO₂.^{9–11} Because a high concentration of ring-opened species leads to the self-oligomerization of the epoxide, a dilute condition is necessary for achieving high yields of the product, yet such dilute species are not observable by conventional analytical methods. For an in-depth study of such dilute ring-opened species by DNP NMR spectroscopy,⁴⁶ we prepared 3-NO₃-¹⁵N using ¹⁵N-enriched HNO₃. Moreover, the

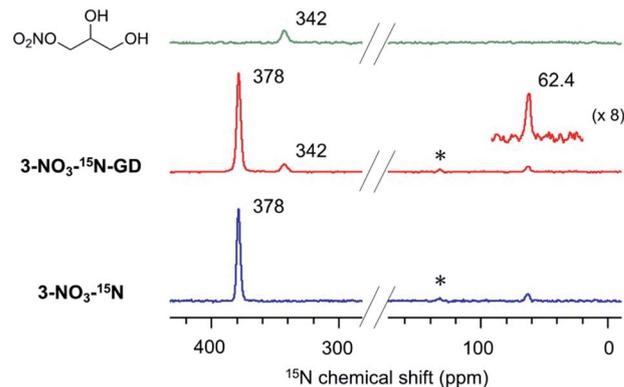


Fig. 2 DNP ¹⁵N CPMAS NMR spectra of 1-nitro-2,3-propanediol (top), 3-NO₃-¹⁵N-GD (middle) and 3-NO₃-¹⁵N (bottom). AMUPol/DMSO-d₆ (16 mM) was used as a PA. MAS frequency: 10 kHz (3.2 mm rotor), CP contact time: 3 ms (1-nitro-2,3-propanediol) and 8 ms (3-NO₃-¹⁵N-GD, 3-NO₃-¹⁵N), recycle delay: 3.3 s (1-nitro-2,3-propanediol), 2.1 s (3-NO₃-¹⁵N-GD), and 2.5 s (3-NO₃-¹⁵N), and number of scans: 128 (a), 512 (b), 2048 (3-NO₃-¹⁵N-GD), and 1024 (3-NO₃-¹⁵N). Peaks with an asterisk are spinning side-band signals.

sample 3-NO₃-¹⁵N-GD was synthesized by the reaction of 3-NO₃-¹⁵N with 2 equiv. of GD in hexane (see the ESI[†]). The DNP ¹⁵N CPMAS NMR spectrum of 3-NO₃-¹⁵N-GD with AMUPol/DMSO-d₆ displayed an additional signal at 342 ppm along with the original signals of 3-NO₃-¹⁵N (62.4 and 378 ppm) (Fig. 2). To identify the product that exhibits the signal at 342 ppm, we prepared 1-nitro-2,3-propanediol as a possible ring-opened compound derived from GD and NO₃⁻.⁴⁷ As expected, the DNP ¹⁵N CPMAS NMR spectrum of 1-nitro-2,3-propanediol under the same conditions (AMUPol/DMSO-d₆) also showed a ¹⁵N signal at 342 ppm (Fig. 2). Thus, it was possible to prove that the ring-opened product 1-nitro-2,3-propanediol was formed during catalysis. For further characterization of ring-opened species, we performed DNP-enhanced ¹H-¹⁵N and ¹H-¹³C HETCOR NMR of 3-NO₃-¹⁵N-GD. The ¹H-¹⁵N HETCOR spectrum showed a correlation peak between the ¹⁵N signal at 342 ppm and the ¹H signal at 4.1 ppm (Fig. 3(a)). In addition, the ¹³C signal at 73 ppm, which newly appeared after conversion of glycidol to 3-NO₃-¹⁵N, was also correlated with the ¹H signal at 4.1 ppm in the ¹H-¹³C HETCOR spectrum (Fig. 3(b)). These observations strongly supported the presence of a ring-opened product bearing NO₃ at the terminal position ($\delta_C \sim 76$ ppm) of GD rather than at an internal position ($\delta_C \sim 86$ ppm).⁴⁷ This result is consistent with the general regio-selectivity of ring-opening of epoxides under basic conditions. Because the signal of the ring-opened product was not significantly correlated with either alkyl (1.2 ppm for ¹H) or aromatic groups (7.0 ppm for ¹H) under these conditions (CP contact time: 1 ms (¹⁵N), 0.2 ms (¹³C)), the ring-opened product likely exists in a protonated form,⁴⁸ which is free from a cationic moiety in PS. Because decomposition of the quaternary ammonium fragment to a tertiary amine was not observed (Fig. 2), another GD molecule presumably worked as a proton source, generating a deprotonated GD (Scheme 4). As transesterification proceeds via an attack of the deprotonated GD on the carbonyl moiety of



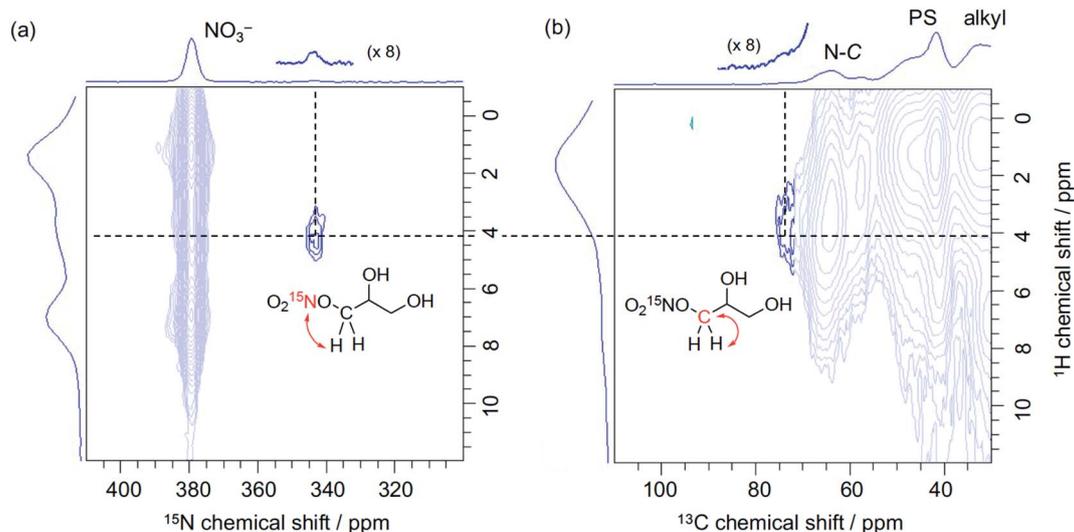
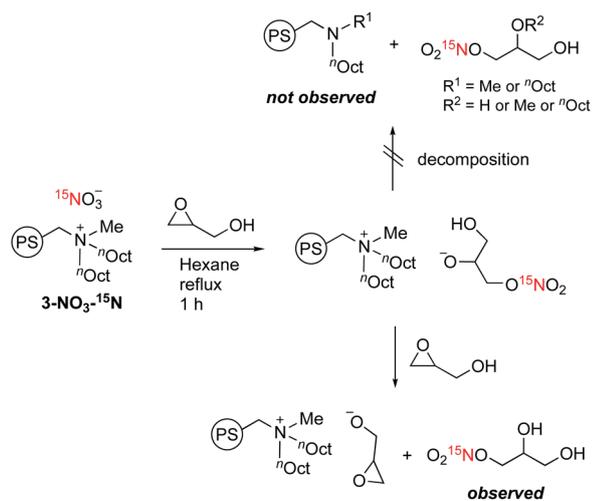


Fig. 3 DNP ^1H - ^{15}N HETCOR (a) and DNP ^1H - ^{13}C HETCOR spectra (b) of 3- NO_3 - ^{15}N -GD. AMUPol/DMSO- d_6 (16 mM) was used as a PA. FSLG (frequency-switched Lee-Goldburg) homonuclear decoupling was employed during the evolution of the ^1H chemical shift. A decoupling field of 100 kHz was used. MAS frequency: 10 kHz (3.2 mm rotor for ^{15}N and 1.9 mm rotor for ^{13}C), CP contact time: 1 ms (for ^{15}N) and 0.2 ms (for ^{13}C), recycle delay: 2 s (for ^{15}N) and 2.5 s (for ^{13}C) and number of scans: 32 (for ^{15}N) and 64 (for ^{13}C).

the ester, the presented results clearly support the mechanism that involves *in situ* generated active anion species. Overall, the observations by DNP NMR successfully evidenced the formation of ring-opened species derived from epoxides in an initiation step of the catalytic transesterification. The consumption of GD for the generation of active species likely explains the slightly lower product yield in the first run in the catalyst reuse test (Scheme 3).

Next, our aim was to understand the deactivation step. To shed light on the deactivated species for this PS-immobilized material, we analysed the catalyst moieties embedded in PS after the catalytic reaction. The DNP ^{15}N CPMAS NMR spectrum of 3- NO_3 following five catalytic runs indicated that the signal of

the quaternary ammonium fragment at 62.4 ppm remained unchanged, while no signal associated with tertiary amines was present. This confirms that the quaternary ammonium fragment remains intact even after five runs (Fig. 4(a)). Interestingly, a signal centered at 370 ppm was newly observed and was assigned to the nitro group (NO_2). The DNP ^{13}C CPMAS NMR spectrum showed signal(s) from the ester group at 158 ppm and broad shoulder signals due to a ring-opened epoxide moiety at 60–75 ppm (Fig. 4(b)). Consequently, it is likely that ring-opened



Scheme 4 Plausible mechanism of generation of ring-opened species from an epoxide and an NO_3^- anion and the reaction with proton sources.

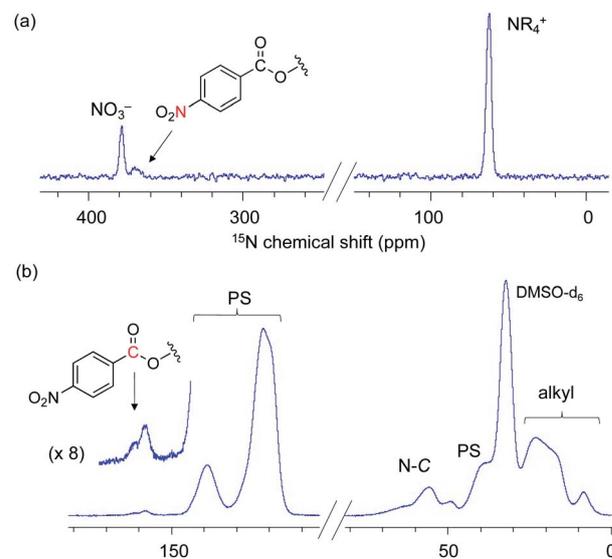
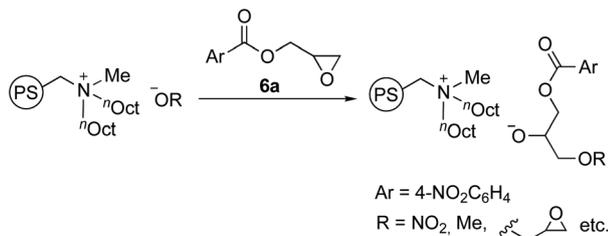


Fig. 4 DNP ^{15}N CPMAS NMR (a) and DNP ^{13}C CPMAS NMR spectra (b) of 3- NO_3 after five reuse cycles for the catalytic reaction. AMUPol/DMSO- d_6 (16 mM) was used as a PA. MAS frequency: 10 kHz (3.2 mm rotor), CP contact time: 3 ms, recycle delay: 4.4 s, and number of scans: 6000 (a) and 512 (b).





Scheme 5 Formation of ring-opened species derived from the product.

species derived from **6a** or its oligomerized forms are adsorbed during catalysis (Scheme 5). In other words, the major deactivation step of this catalysis is not the decomposition of the quaternary alkyl ammonium moiety itself, but is likely associated with the adsorption of products on a cationic moiety, inhibiting efficient catalyst turnover. This observation explains the slight loss of catalytic activity after several runs (Scheme 3). Understanding of the unusual deactivation mechanism of the alkylammonium salt catalyst, which was enabled with the help of DNP NMR spectroscopy, is certainly helpful toward the rational improvement of the catalyst.

Conclusions

In conclusion, we developed a well-defined PS-supported alkylammonium salt catalyst, which showed excellent catalytic activity and reusability for transesterification of methyl esters with **GD**, giving glycidyl esters in high yields. We also demonstrated that DNP NMR is a reliable analytical method to characterize and monitor the stability of PS-supported alkylammonium salt catalysts without the need for labelling strategies. The stability of the alkylammonium moiety, which is known to decompose into the inactive tertiary amine, was successfully monitored by DNP ^{15}N NMR: the absence of formation of tertiary amines in these supported catalysts under the mild basic conditions used in this study is consistent with their very good stability and reusability. DNP NMR also helped us to obtain a mechanistic insight into the generation of reaction intermediates and active species. Furthermore, a ring-opened product derived from **GD** and NO_3^- was directly characterized by DNP ^{15}N CPMAS and ^1H - ^{15}N and ^1H - ^{13}C HETCOR NMR using a ^{15}N enriched (NO_3) sample. The direct characterization of the used catalyst allowed us to gain a new insight into the deactivation mechanism, which is helpful for the rational improvement of catalysts. This study showcases how DNP NMR spectroscopy can provide unique molecular-level information regarding the active sites of polymer-supported catalysts. Further application of DNP NMR to various polymer-supported catalysts as well as high-performance polymer materials is ongoing in our laboratory.

Data availability

All available data are included in ESI.†

Author contributions

S. T.: conceptualization, investigation (NMR measurements), and writing – original draft. Y. N.: writing – review & editing. A. O. and T. K.: investigation (synthesis and catalysis). Y. K. and M. T.: supervision. K. S.: project administration and supervision. C. C.: conceptualization and writing – review & editing. All the authors have given approval to the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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