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## Prediction of the electronic structure of single-walled GeS nanotubes

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The structure and electronic properties of puckered GeS nanotubes have been investigated using first-principles density functional theory calculation. Our results show that both the armchair and zigzag GeS nanotubes are semiconductor materials with an adjustable band gap. The band gap increases gradually with increasing the tube diameter, and slowly converges to the monolayer limit. On the application of strain, the GeS nanotubes provide interesting strain-induced band gap variation. When the compressive strain reached 20%, zigzag GeS nanotubes are completely transformed into armchair GeS nanotubes. In addition, the elastic properties of the relatively stable armchair GeS nanotubes have been studied, the Young's modulus of the armchair (11, 11), (13, 13) and (15, 15) nanotubes were calculated to be 227.488 GPa, 211.888 GPa and 213.920 GPa, respectively. Our work confirms that compared with carbon nanotubes, two-dimensional materials with a puckered structure are easier to realize phase transition by stress.

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### Introduction

Since the discovery of graphene in 2004,<sup>1</sup> two-dimensional (2D) materials have attracted great research interest in nano-electronic devices due to their unique structural and electronic properties.<sup>2–4</sup> Although 2D monolayer materials show unique physical and electronic properties, the nanotubes (NTs), a kind of one-dimensional (1D) material, exhibit special mechanical and magnetic properties as a result of quantum confinement effects.<sup>5</sup> In the past few years, the experimental and theoretical exploration of various nanotubes has opened a promising new field in condensed matter physics and chemistry. For example, Iijima *et al.* firstly discovered and synthesized carbon nanotubes (CNTs) in the early 1990s.<sup>6,7</sup> CNTs have excellent properties such as high tensile strength, ultra-light weight, special electronic structures and high chemical and thermal stability.<sup>8,9</sup> Inspired by the discovery of CNTs, BN nanotubes (BNNTs) were

synthesized in 1995 by Chopra *et al.*<sup>10</sup> BNNTs display different physical properties from CNTs such as a wide bandgap which is larger than 6.0 eV, extraordinary heat resistance, good transparency and thermal neutron adsorption ability.<sup>11,12</sup> Later, nanotubes of other inorganic materials such as silicon nanotubes,<sup>13</sup> MoS<sub>2</sub> nanotubes<sup>14</sup> and GaSe nanotubes<sup>15</sup> were observed and successfully synthesized. The superior properties of these nanotubes provided a wide range of potential applications including biomolecules, drugs, biosensor diagnostic and analysis, photovoltaics, microelectronics, gas sensing, and infrared photoelectric detection.<sup>16–19</sup>

Recently, 2D GeS has aroused extensive research interest from theory to experiment owing to its earth-abundant, environmental compatibility and outstanding electronic performances. Experimentally, 2D GeS nanosheets were successfully synthesized using one-pot method<sup>20</sup> and vapor deposition processes.<sup>21</sup> It is a layered p-type semiconductor with a distorted rock-salt orthorhombic structure and a band gap of 1.65 eV.<sup>22,23</sup> Theoretically, Zhang *et al.* reported that the band gaps of GeS monolayer are rather sensitive to the external electric field, and the band gaps present an approximately linear increase under strain from –10% to 10%.<sup>24</sup> Xu and co-workers have predicted angular and strain dependence of effective masses of the GeS monolayer from the first-principles calculations.<sup>25</sup> Monolayer GeS shows a variety of quite extraordinary properties including high carrier mobility of 3680 cm<sup>2</sup> V<sup>–1</sup> s<sup>–1</sup>,<sup>26</sup> tunable electronic properties *via* external electric fields and strains,<sup>24</sup> anisotropic features<sup>27</sup> and giant piezoelectric effects.<sup>28</sup> These charming properties of 2D GeS make it to be promising applications in high-

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efficiency solar cells, selective gas sensing, high-sensitivity photodetectors and larger piezoelectric coefficients devices.<sup>29–31</sup>

In the research of 1D GeS, Lan *et al.* have synthesized the single-crystalline GeS nanoribbons by chemical vapor deposition method and explored the photoresponse properties.<sup>32</sup> Theoretically, Li *et al.* reported that GeS nanoribbons (GeSNRs) with armchair and zigzag edges are semiconductors and metals, respectively. And the hydrogen-terminated GeSNRs exhibited semiconducting properties no matter their edges are armchair or zigzag.<sup>33</sup> Su and co-workers reported that H-terminated armchair GeSNRs exhibit large in-plane polarization along the ribbon direction which converges to the value of 2D GeS as the width increases.<sup>34</sup> Although the 2D monolayer and 1D nanoribbon of GeS have been extensively studied in recent years. As far as we know, the structure and electronic properties of GeS nanotubes have not been studied before. In most two-dimensional materials such as carbon and BN nanosheets, nanotubes can be formed by rolling up two-dimensional sheets. In this work, we performed calculations based on first-principles methods to predict the structure and electronic properties of GeS nanotubes in armchair and zigzag directions by rolling up corresponding nanosheets. The optimization of single-walled nanotubes (SWNTs) networks and the detailed understanding of their electronic properties are given to offer considerable scope for the development of GeS nanotube-based electronics and to have important implications for next-generation optoelectronic devices.

## Calculation details

The smallest structural units of armchair and zigzag GeS nanotubes with different diameters were created. All of the first-principles calculations were carried out based on density functional theory (DFT) using the Vienna *Ab initio* Simulation package (VASP)<sup>35</sup> and the projection augmented wave (PAW) method.<sup>36</sup> Atomic relaxation was performed under the generalized gradient approximation (GGA) Perdew–Burke–Ernzerhof (PBE) exchange–correlation functional,<sup>37</sup> and the electronic structure calculation used a cutoff energy of 450 eV and a  $k$ -point grid of  $1 \times 1 \times 17$ . The geometry optimizations were performed by the conjugated gradient method, and the convergence threshold was set to be  $10^{-4}$  eV in energy and  $0.02 \text{ eV \AA}^{-1}$  in force. A vacuum layer of  $15 \text{ \AA}$  was set in the aperiodic direction to avoid the interaction between the nearest neighboring cells. Elastic properties of GeS nanotubes were predicted using our house code, and the computational details of mechanical properties can be found in previous work.<sup>38</sup>

## Results and discussion

The atomic structure of monolayer GeS after relaxation is depicted in Fig. 1(a). The calculated lattice constants are  $a = 3.664 \text{ \AA}$ ,  $b = 4.464 \text{ \AA}$ , which are in good agreement with theoretical data<sup>39,40</sup> and experimental value.<sup>41</sup> In addition, our

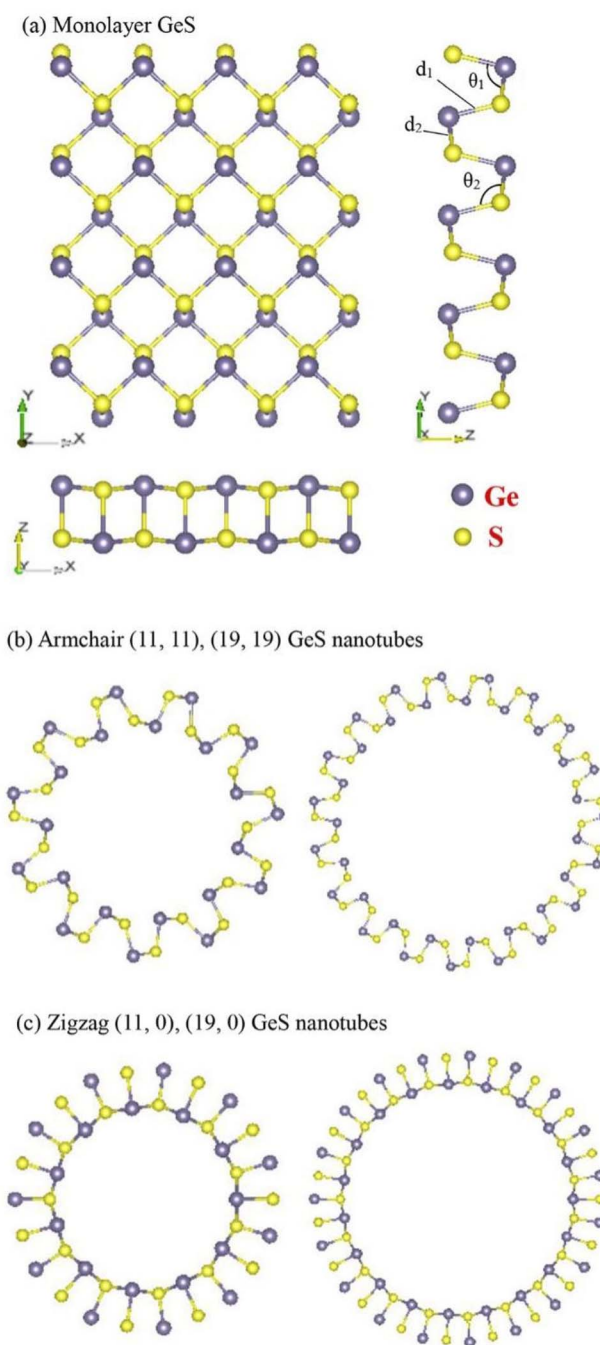


Fig. 1 Geometric structures of (a) monolayer GeS, (b) armchair (11, 11), (19, 19) GeS nanotubes and (c) zigzag (11, 0), (19, 0) GeS nanotubes.

calculations for Ge–S bond lengths and bond angles are  $d_1 = 2.427 \text{ \AA}$ ,  $d_2 = 2.473 \text{ \AA}$ ,  $\theta_1 = 94.602^\circ$ ,  $\theta_2 = 103.898^\circ$ , respectively, they are very close to previous works.<sup>39,42</sup> Nanotubes are built by rolling up monolayer GeS along with the armchair and zigzag directions, which can be denoted as armchair ( $n, n$ ) and zigzag ( $n, 0$ ) according to the definition used in carbon nanotubes.<sup>43</sup> The optimized GeS nanotubes displayed in Fig. 1(b) and (c), respectively. As shown in Table 1, after curling from two-dimensional planar structure to nanotubes, arrangement of the inner and outer atoms as well as structural parameters is



Table 1 Structure information for monolayer and nanotubes of GeS

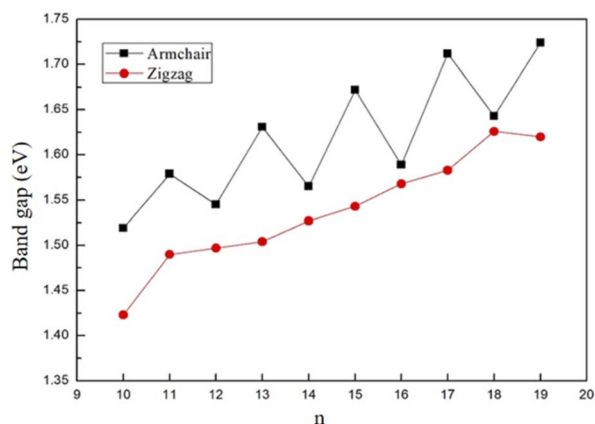
	Diameter (Å)	Bond length (Å)				Bond angle (°)			
		Inner		Outer		Inner		Outer	
		$d_1$	$d_2$	$d_1$	$d_2$	$\theta_1$	$\theta_2$	$\theta_1$	$\theta_2$
Monolayer		2.427	2.473	2.427	2.473	94.602	103.898	94.602	103.898
<b>Armchair</b>									
(11, 11)	14.018	2.427	2.442	2.427	2.460	95.272	119.541	92.742	102.345
(13, 13)	16.802	2.427	2.446	2.427	2.461	95.192	117.128	92.971	102.151
(15, 15)	19.502	2.427	2.452	2.427	2.465	95.187	114.472	93.293	101.403
(17, 17)	22.370	2.427	2.451	2.427	2.464	95.155	113.567	93.362	101.622
(19, 19)	25.202	2.427	2.452	2.427	2.465	95.128	112.494	93.501	101.705
<b>Zigzag</b>									
(11, 0)	11.378	2.361	2.430	2.361	3.917	88.088	83.923	70.760	72.135
(13, 0)	13.560	2.377	2.422	2.377	3.793	88.558	84.889	70.338	71.736
(15, 0)	15.792	2.389	2.420	2.389	3.722	89.213	86.028	69.884	71.217
(17, 0)	17.980	2.398	2.419	2.398	3.654	89.408	86.606	69.614	70.853
(19, 0)	20.294	2.400	2.423	2.400	3.626	90.162	87.588	69.270	70.433

determined by the angle between the chemical bond and the curl vector. For zigzag nanotubes, the bond length  $d_2$  in the inner layer slightly decreases from 2.473 Å to 2.419 Å, whereas that of the outer layer is stretched to 3.917 Å. However, the change of bond lengths for armchair nanotubes are almost negligible. The changes of bond angles  $\theta_1$  and  $\theta_2$  from monolayer to nanotubes are also that zigzag is larger than armchair nanotubes.

The diameter of GeS nanotubes is one of the most important parameters that determines the morphology of the nanotubes and further affects their physical properties. As shown in Fig. 2, the armchair and zigzag GeS nanotubes are a type of semiconductor materials. The band gap of the GeS nanotubes increases with the diameter, which is similar to that of BN, black phosphorus and MoS<sub>2</sub> nanotubes,<sup>44–47</sup> and this is attributed to the strong axial polarization along the tube axis.<sup>48</sup> The calculated band gaps of different armchair and zigzag nanotubes are in 1.579 eV – 1.724 eV and 1.490 eV –

1.620 eV, respectively. The band gaps are very close to the precious first-principles predictions<sup>42,49</sup> and experimental results<sup>20</sup> of the 2D GeS band gap with 1.65 and 1.58 eV. It is also found that the band gap of zigzag nanotube increases gradually with increasing the size, on the other hand, the band gap of armchair nanotubes with odd units is larger than that of nanotubes with even units. The PBE functional is widely used in the band gap prediction for various systems, including the nanotube system.<sup>47,50,51</sup> It is well known that the PBE method underestimates the band gap, and that the Heyd–Scuseria–Ernzerhof (HSE) functional gives more accurate values.<sup>52</sup> However, the correlative computed results of both methods can be used to qualitatively estimate the various electronic behaviors. For the case of the GeS nanotubes with small tube diameter, we have calculated its band gap using both functionals. As expected, the band gaps calculated with PBE functional are 1.49 eV, 1.50 eV, 1.58 eV and 1.63 eV for zigzag (11, 0), (13, 0) nanotubes and armchair (11, 11), (13, 13) nanotubes, respectively. And the band gap values of that calculated with HSE functional are 2.16 eV, 2.17 eV, 2.33 and 2.38 eV. The results show that the band gaps predicted by HSE functional are larger than that of PBE functional, but the relationship between band gap and diameter of GeS nanotubes is completely consistent.

The partial charge density of the conduction band minimum (CBM) and valence band maximum (VBM) states at the  $T$  point in armchair and zigzag nanotubes are presented in Fig. 3, the CBM and VBM orbitals of armchair nanotubes are located at both the outer and inner atoms. However, the CBM and VBM orbitals of zigzag nanotubes are mainly located at the inner atoms. When the tubes size are reduced, both the CBM and VBM orbitals of armchair and zigzag nanotubes become more populated. It is known that its curvature generally reduces the distance between neighboring sites, especially along the circumference to a certain extent when

Fig. 2 The band gap of armchair and zigzag tubes as a function of  $n$ .

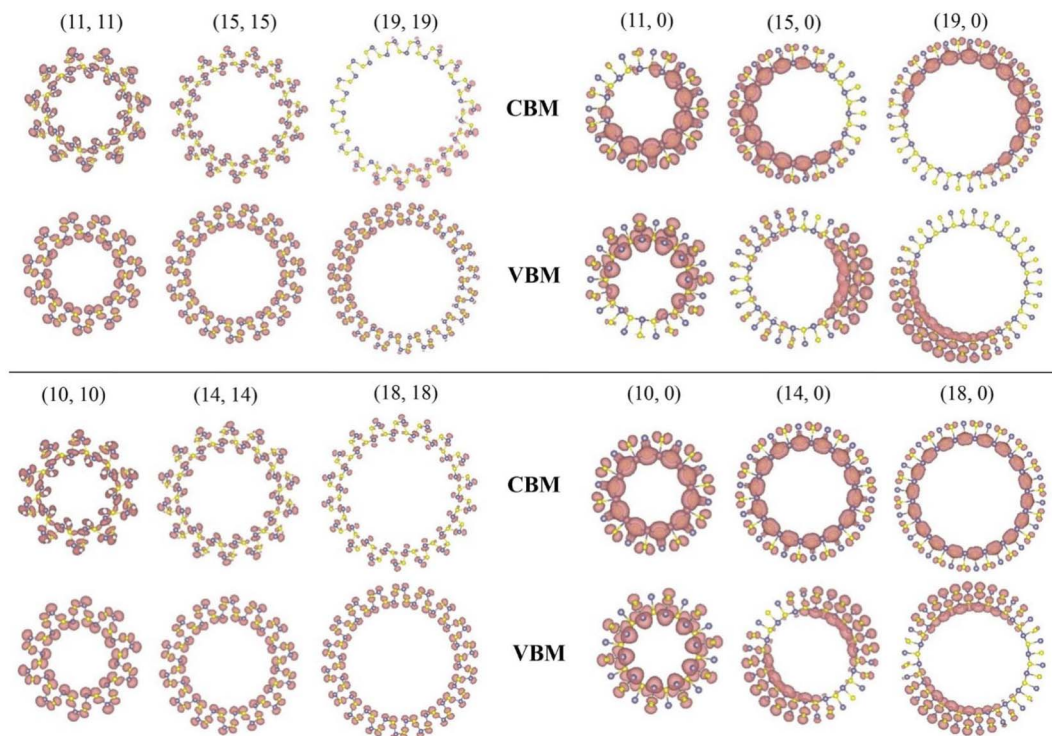


Fig. 3 Partial charge density distribution at  $\Gamma$  point of the CBM and VBM for the armchair and zigzag nanotube. The isosurface value is set to be  $0.0005 \text{ e \AA}^{-3}$ .

rolling up the sheet into a tube. What's more, the respective charge densities of zigzag nanotubes start to overlap inside the cylinder. Our calculated results are in good agreement with previous reports of single-walled black phosphorus and LiF nanotubes.<sup>46,53</sup> It is very interesting that the charges of CBM and VBM are not evenly distributed on the zigzag GeS tubes with large radius such as (11, 0), (15, 0) and (19, 0), but complementary. They are easy to form excitons with electron hole space separation after receiving photons, which can be applied to photodetectors, *etc.*

Applying mechanical strain is an effective way to modulate the electronic properties of materials, the strain is defined as  $(C-C_0)/C_0$ , where  $C_0$  is the unit cell length of the unstrained nanotube. So the positive or negative value indicates the tensile or compressive strain. As shown in Fig. 4, the band structures of the armchair (11, 11) nanotubes in the strain range of  $-8\%$  to  $3\%$  are indirect band gaps, and the indirect band gap is transformed to the direct band gap when the tensile strain reaches  $4\%$  to  $8\%$ . Fig. 5 shows zigzag (11, 0) nanotubes in the strain range of  $-6\%$  to  $5\%$  are indirect band gaps, and the band gap transforms into a direct band gap when the tensile strain reaches  $6\%$ . For the strain applied along the armchair (11, 11) in Fig. 6(a), the band gap is initially increased with tensile strain from a value of  $1.579 \text{ eV}$  for the relaxed structure and reached the maximal value of  $1.674 \text{ eV}$  at  $+4\%$  strain, then drops rapidly with further increased expansion. On the side of negative strain, the values of band gaps decrease monotonically from  $1.458 \text{ eV}$  to  $1.241 \text{ eV}$  in the strain range of  $-1\%$  to  $-8\%$ . Fig. 6(b) presents the band gap as a function of strain applied in the zigzag (11, 0).

The band gap decreases monotonically from  $1.490 \text{ eV}$  to  $1.366 \text{ eV}$  with the tensile strain from  $0\%$  to  $8\%$ . For the compressive strain, the band gap reaches the maximal value of  $1.500 \text{ eV}$  at  $-1\%$  strain, then decreases with further increased compression.

Theoretical<sup>54</sup> and experimental<sup>55</sup> works reported that the band gap of CNTs changes can range between  $\pm 100 \text{ meV}$  per  $1\%$  stretch, depending on nanotube chirality. In addition, Minot *et al.* reported that strain can open a band gap in a metallic carbon nanotube and modify the band gap in a semiconducting carbon nanotube.<sup>55</sup> Molecular-dynamics simulations of single-walled CNTs under tensile strain have shown, under certain conditions, breaking strains as large as  $30\text{--}40\%$ .<sup>56</sup> In this work, the zigzag (11, 0) GeS nanotubes deformed when the compressive strain was larger than  $6\%$ . The lowest value of deformation energy occurs when the compressive strain continued to increase to  $20\%$ , as shown in Fig. 7(a), and the zigzag (11, 0) nanotubes completely transformed into armchair (11, 11) nanotubes. Similarly, as shown in Fig. 7(b) and (c), the zigzag (13, 0) and (15, 0) nanotubes are transformed into armchair nanotubes when the compressive strain reached  $20\%$ . Whereas, the structures of armchair (11, 11) nanotube remain intact without any bond breaking within the strain of  $-8\%$  to  $8\%$ , which demonstrates the large elastic range of armchair GeS nanotubes. N. A. Poklonski *et al.* reported that the first order deformation structure phase transition is revealed at the critical uniaxial elongation  $9\%$  for the (6, 0) CNT<sup>57</sup> and  $5\%$  for the (5, 5) CNT.<sup>58</sup> It is well known that the puckered structures such as black phosphorus can bear



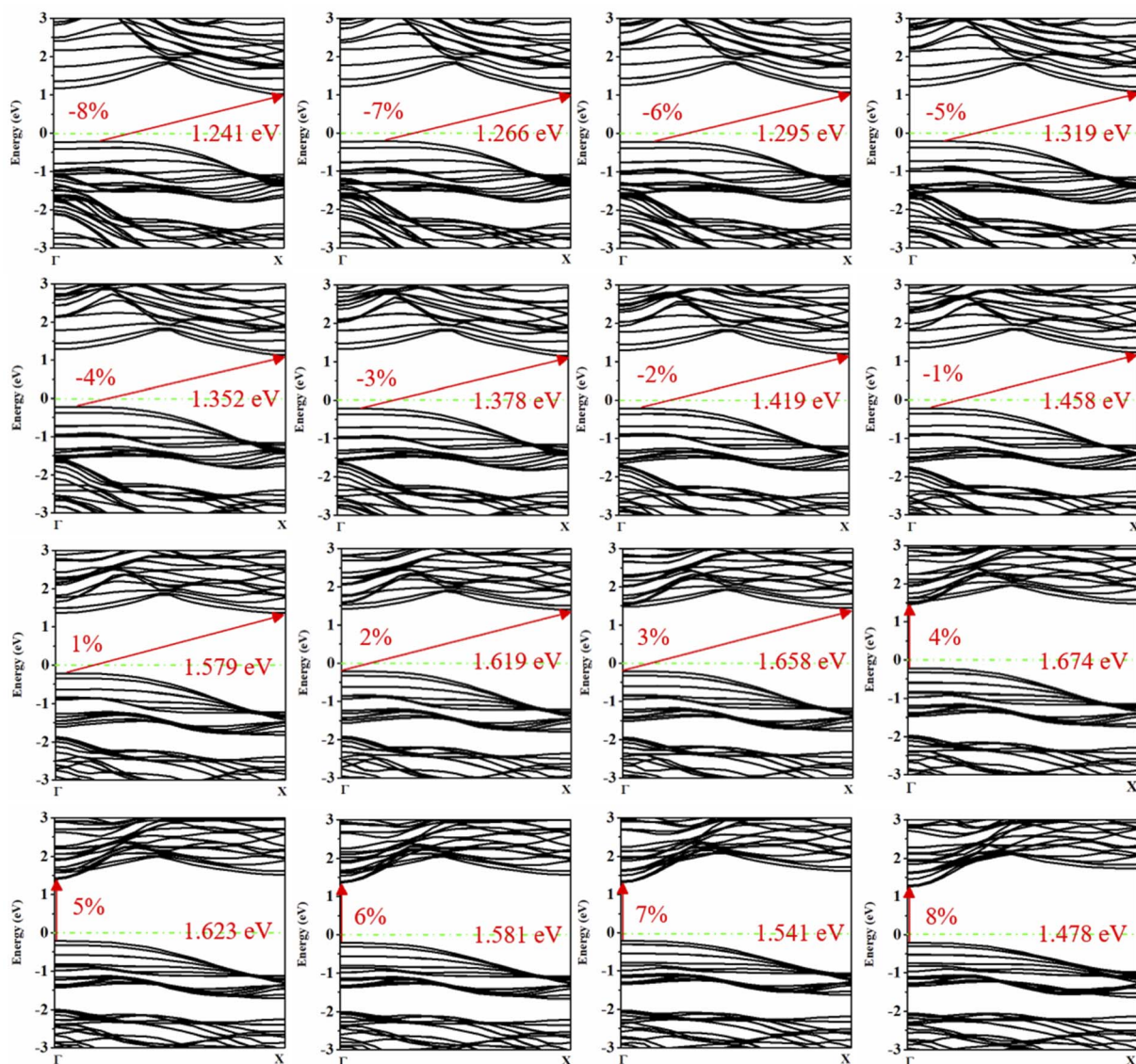


Fig. 4 Band structure of armchair (11, 11) nanotubes in the strain range of  $-8\%$  to  $8\%$ .

high strain without breaking the structure by changing their puckering angle. Therefore, the armchair GeS nanotube can bear high strain due to the puckered structure in the armchair direction. This result suggests that armchair GeS nanotubes with lower energy are the optimal structures.

The different performance between armchair and zigzag GeS nanotubes under strain indicate that the chirality has a significant influence on the mechanical properties of GeS nanotubes. Additionally, these significant changes suggest that applying strain can modulate the electronic properties of GeS nanotubes effectively. Thus, these results would provide a simple and effective route to tune the electronic properties of GeS nanotubes over a wide range and also facilitate the design of GeS nanotube devices.

Finally, the Young's modulus is calculated to investigate the elastic properties of GeS nanotubes. Young's modulus, which

indicates how much resistance the nanotube presents to its deformation under uni-axial strain, is defined as the second derivative of strain energy with respect to the strain at equilibrium configuration,<sup>59</sup>

$$Y = \frac{1}{V_0} \cdot \frac{\partial^2 E}{\partial \varepsilon^2}$$

where  $Y$  is the Young's modulus,  $V_0$  is the relaxed equilibrium volume,  $E$  is the strain energy per unit cell, and  $\varepsilon$  is the uniaxial strain. For a unit cell of nanotube,  $V_0$  can be defined as  $V_0 = \pi D_0 L C_0$ , where  $D_0$  and  $C_0$  are the diameter and unit cell length of the unstrained nanotube and  $L$  is the tube thickness. As shown in Fig. 8, the stress-strain relationships of armchair (11, 11), (13, 13) and (15, 15) nanotubes were studied, a certain elastic deformation was applied in the axial direction of each nanotube after structural relaxation. The



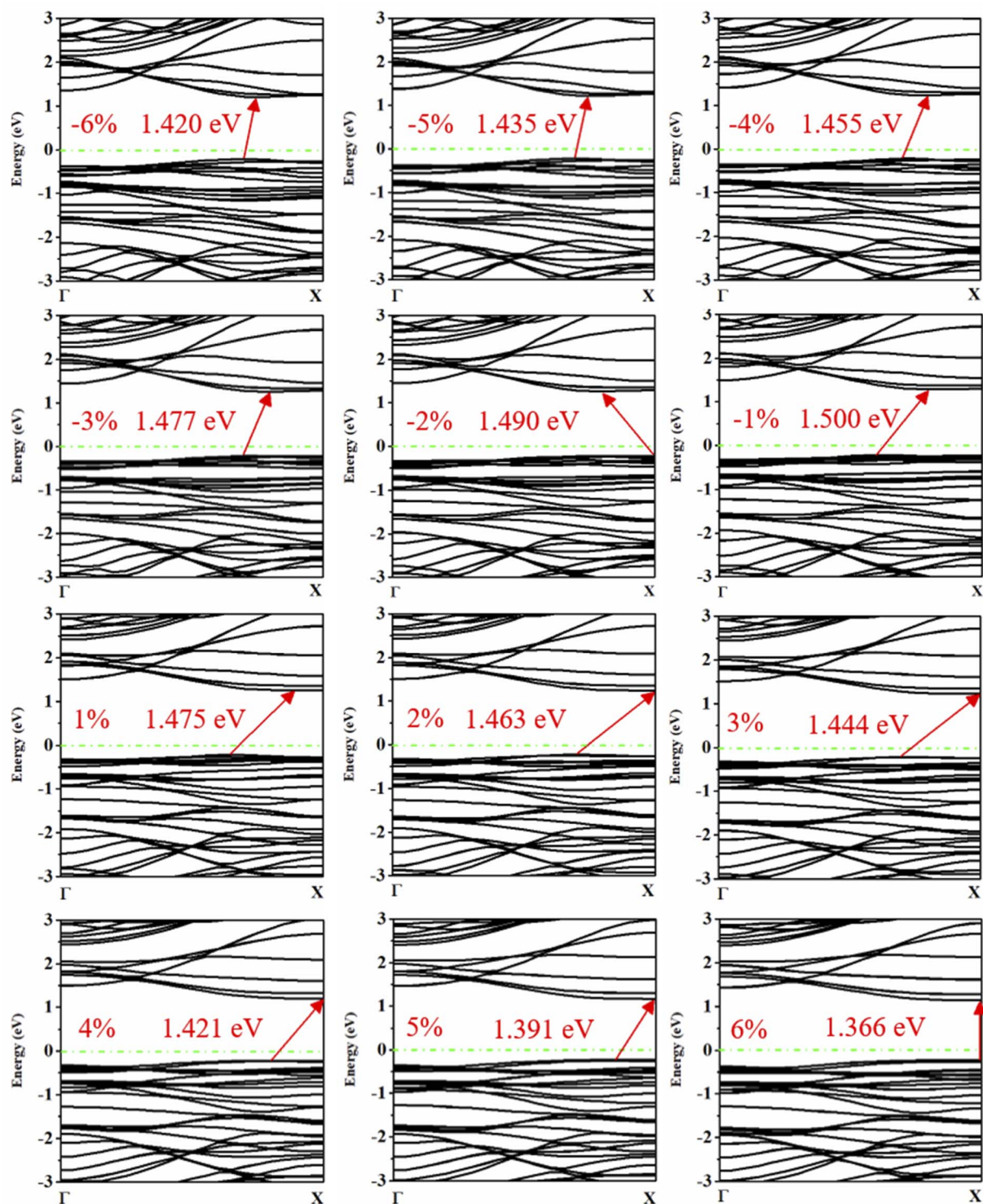


Fig. 5 Band structure of zigzag (11, 0) nanotubes in the strain range  $-6\%$  to  $6\%$ .

elastic deformation was from  $-1.75\%$  to  $1.75\%$  with a total of 15 deformations by first compressing and then stretching. The binding energies of the nanotubes at each deformation were obtained by fully relaxing all atoms during each deformation. Finally, the Young's modulus of the armchair (11, 11), (13, 13) and (15, 15) nanotubes were calculated to be

227.488 GPa, 211.888 GPa and 213.920 GPa, respectively, by fitting the deformation and binding energy curves. Compared with other nanotubes, GeS armchair nanotubes are comparable to MoS<sub>2</sub>, TiS<sub>2</sub> and buckled arsenene nanotubes,<sup>60–62</sup> but stiffer than silicon, MoTe<sub>2</sub>, black phosphorene and SiGe nanotubes.<sup>59,63–65</sup>



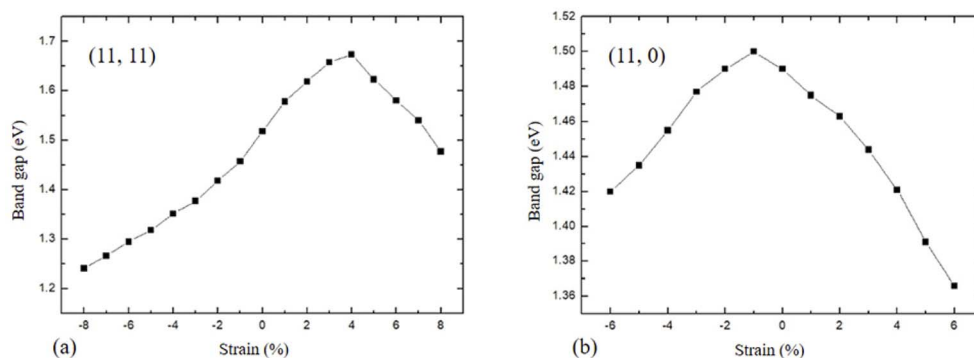


Fig. 6 Variation of band gap as a function of strain for (a) the armchair (11, 11) and (b) zigzag (11, 0) GeS nanotubes.

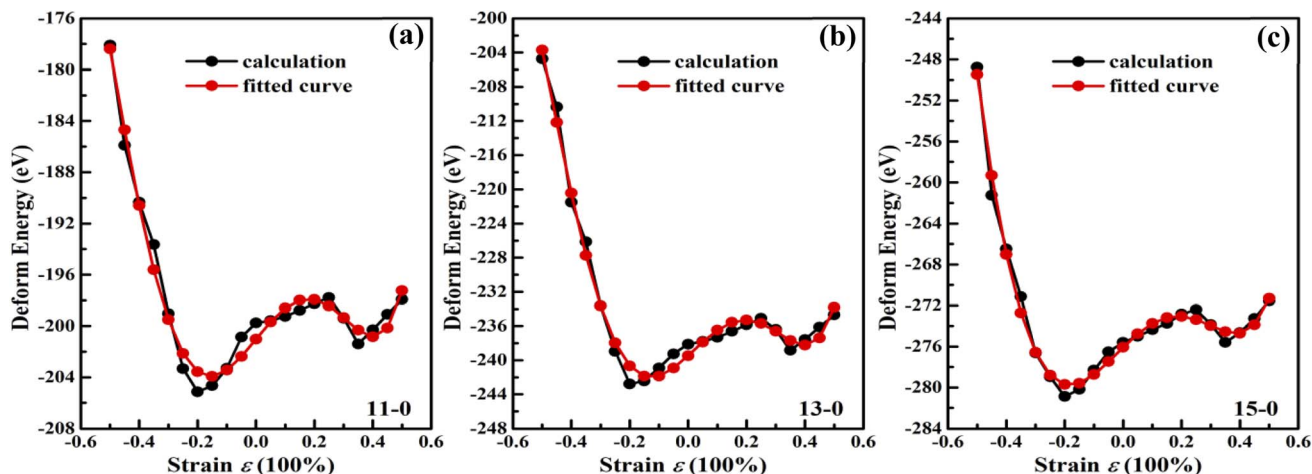


Fig. 7 Strain energy diagrams of (a) zigzag (11, 0), (b) (13, 0) and (c) zigzag (15, 0) nanotubes.

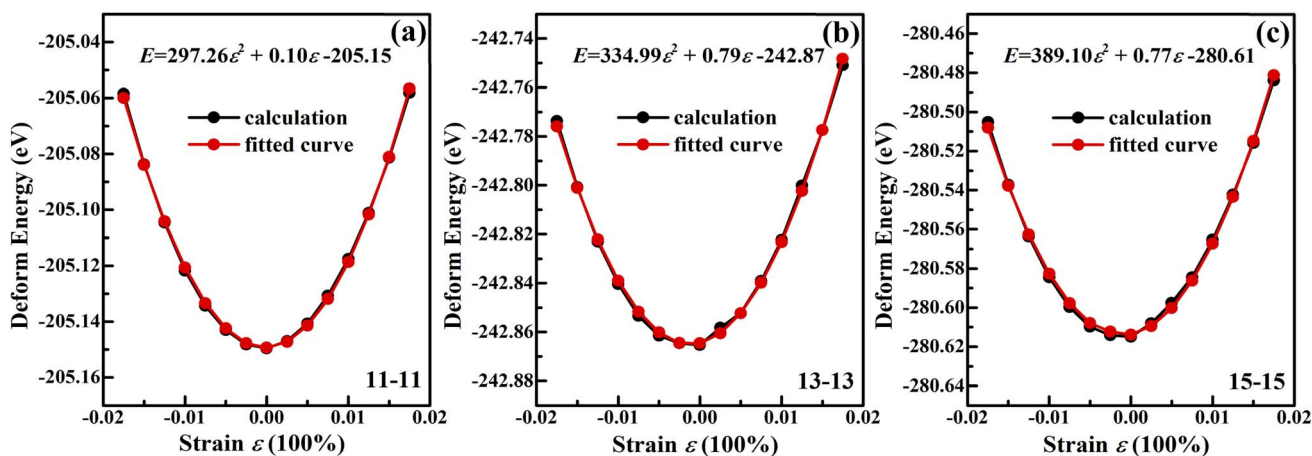


Fig. 8 Strain energy diagrams of armchair (11, 11), (13, 13) and (15, 15) nanotubes.

## Conclusion

In summary, the results of this study show that the armchair and zigzag GeS nanotubes are semiconductor materials with adjustable band gaps, and the band gaps monotonically

increase with the diameters of nanotubes. The band gaps of GeS nanotubes show abundant changes when a certain strain is applied in the axial direction of the nanotubes, indicating that GeS nanotubes are a stress-sensitive material. The structure of zigzag GeS nanotubes are greatly affected by different stresses,



when the compressive strain on the surface of the structure reaches about 20%, the zigzag GeS nanotubes completely transform into armchair GeS nanotubes. For the relatively stable armchair GeS nanotubes, we further study their elastic properties, the Young's modulus of armchair (11, 11), (13, 13) and (15, 15) nanotubes were calculated to be 227.488 GPa, 211.888 GPa and 213.920 GPa, respectively. These simulation results not only reveal the tube diameter and strain effects on the electronic structures of GeS nanotube, but also contribute to the future use of the GeS nanotube in electronic devices.

## Author contributions

D. Y., R. K., C. Z. and Z. L. performed the calculations and the initial data analysis. D. Y. wrote the first draft of the manuscript. Y. H., Y. W., W. L., J. Y. and X. L. performed additional analysis, interpretations, and writing of the manuscript. G. Z. and W. L. supervised and guided the work.

## Conflicts of interest

There are no conflicts to declare.

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