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Structural evolution, photoelectron spectra and vibrational properties of anionic GdGe_n^- ($n = 5-18$) nanoalloy clusters: a DFT insight†

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The structural growth of Gd-doped germanium anionic nanoclusters, GdGe_n^- ($n = 5-18$), has been explored *via* quantum chemistry calculations using the mPW2PLYP method and an unprejudiced structural searching technique known as ABCluster. The optimized geometries exhibited that when $n = 10-14$, the structural evolution favors the Gd-linked configuration where the Gd atom as a connector bridges two Ge subgroups, while the Gd atom is encapsulated in a closed cage-like Ge frame when $n = 15-18$. The properties like magnetic moment, charge transfer, relative stability, HOMO–LUMO gap, photoelectron spectra, and infrared and Raman spectra have been predicted. The information of these spectra could provide extra approaches to experimentally determine the electronic structures and equilibrium configuration of these compounds. The largest spin magnetic moment of $7 \mu_B$ is attained *via* half-filled 4f states. The GdGe_{16}^- nanocluster is determined to be a superatom because its total valence of 75 electrons can be distributed to the orbital sequence of $1S^2 1P^6 (4f^7) 1D^{10} 1F^{14} 2S^2 2P^2 1G^{18} 2P^4 2D^{10}$, which complies with not only Hund's rule, but also the spherical jellium model. Particularly, its UV-Vis spectra match well with solar energy distribution. Such materials act as nano multifunctional building units potentially used in solar energy converters or ultra-highly sensitive near-infrared photodetectors.

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1. Introduction

In spite of the fact that silicon has served a critical role in the development of the modern semiconductor industry, it was not the first material which was employed in such gadgets. Indeed, the usage of germanium is well known to build the first transistor.^{1,2} Now people's attention is back to germanium materials due to the fact that germanium-based materials have excellent electron and hole mobilities. Under the premise of low power and high-speed operation, germanium materials are more suitable for electronic equipment than silicon materials.^{3,4} As an alternative to silicon, the use of germanium channel materials in MOS-FET is a strong illustration of its applications.^{5,6} Moreover, germanium has different benefits contrasted with silicon, like higher saturation velocity and lower electronic band gap, which can dispose of the issue of depleting current saturation in MOS-FETs, reduce the operation voltage for the equipment, and improve the performance of photodetector.⁷⁻⁹ Germanium-based graphene directly realizes the integration of

high-quality graphene and semiconductor substrates, which will promote the wide application of graphene in the semiconductor industry more quickly.^{9,10} On the other hand, exploring the geometric mutations, electronic structures, photoelectron spectra and vibrational modes of nanoalloy clusters have considerable importance due to the fact that nanoalloy clusters play an incredibly essential role in the shift from molecular to condensed matter, with the ongoing progress and widespread application of nanotechnology.¹¹

Rare earth metals (REMs) have properties such as high magnetic moments and extremely narrow optical transitions. For example, rare earth molecular crystal has extremely narrow optical transitions and long-lived quantum states, which enables it to be used in fields such as quantum communication and quantum processors, thereby opening up optical quantum systems.¹² Doping of rare earth metals with Ge clusters not only enriches the properties of germanium-based compounds, but also produces synergistic effects to improve the germanium-based compound's intrinsic properties, thereby obtaining novel functional materials. Ge clusters doped with rare earth metals can be employed as a building block for self-gathered novel functional materials. In addition, the stability of Ge clusters can be improved by doping with rare earth elements since pure Ge clusters possessing only sp^3 -hybridized bonding characteristics are unstable.¹³⁻¹⁵ For instance, ScGe_{16}^- ,¹⁶ LuGe_{16}^- ,¹¹ and LuGe_{17}^+ (ref. 17 and 18) have been evaluated to be high-symmetry endohedral structures, which give

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prominence to enhance stability and render them possibly as a building block for new multi-functional nanomaterials. Although REM-doped germanium clusters are not much investigated until now, they are expected to fascinate broader interests since the synergistic effect induced by REM-doped germanium nanoalloys can produce multifunctional nanomaterials with novel properties such as magnetism, photoelectric properties and photosensitivity *etc.*

In terms of experiments, Atobe *et al.* examined the atomic configurations and electronic properties of Ge clusters containing a lanthanide- or transition-atom ($M\text{Ge}_n^-$; $M = \text{Lu}, \text{Sc}, \text{Y}, \text{Ti}, \text{Zr}, \text{Hf}, \text{V}, \text{Nb}, \text{and Ta}, n = 8\text{--}20$) *via* scrutinizing the photoelectron spectra (PES) and reactivity.¹⁹ On the theoretical aspect, Singh *et al.* investigated Th@Ge_n ($n = 16, 18, 20$) clusters with an *ab initio* calculation, and found that Th-encapsulating improved the stability of Th@Ge_{16} and Th@Ge_{20} , besides Th@Ge_{16} has a wide HOMO–LUMO energy gap of 1.72 eV.²⁰ Recently, the structural evolution and electronic properties of Lu-doped Ge_n ($n = 5\text{--}17$) compounds in anionic states have been reported.¹¹ The 4f orbitals of the Lu atom are fully-filled. Its valence electron configuration is $(4f^{14})5d^16s^2$. While 4f orbitals of Gd are half-filled, and its electron configuration is $(4f^7)5d^16s^2$. To compare the structure and properties of anionic germanium clusters doped with 4f orbital fully-filled Lu atom and 4f orbital half-filled Gd atom, in this study we have conducted a research for seeking the global minimum structure of doping Ge anionic clusters with Gd atom, *i.e.*, GdGe_n^- ($n = 5\text{--}18$). Global search scheme has been applied to explore their structural features and evolution systematically. Simulation of their PES, infrared and Raman spectroscopy, illumination of the electronic structure and ultraviolet-visible (UV-Vis) spectra of Gd@Ge_{16}^- as super atom with Frank–Kasper stable configuration has been performed. The findings of this study could help researchers better understand the global minimal structural features and evolution, as well as the stabilities and spectroscopic properties of doping Ge clusters with REM atom, which are highly significant for the construction of electronic equipment, solar cells and so on.

2. Computational details

The initial structures search for GdGe_n^- ($n = 5\text{--}18$) nanoalloy clusters are rooted in two ways: (1) through the ABCluster unbiased global search technique^{21–23} associated with Gaussian 09 package,²⁴ more than 400 geometries for each GdGe_n^- ($n = 5\text{--}18$) nanoalloy clusters were optimized adopting PBE0 scheme²⁵ with the pseudopotential ECP28MWB basis set²⁶ for Ge atoms and ECP53MWB basis set^{27,28} for Gd atoms. (2) Deduced from the earlier reported structures.^{11,17,20} The low-lying geometries that come from above calculations were re-optimized by using PBE0 combined with cc-pVTZ-PP²⁹ and quasi-relativistic *ab initio* effective core potential def2-TZVP^{30,31} basis set for Ge and Gd atoms, respectively. After optimization, vibrational frequency investigations were considered to proof the nature of stationary points. By the above process, mPW2PLYP hybrid functional³² were deployed to select isomers for further optimization. However, the mPW2PLYP vibrational

frequency was not performed due to limitations of computing capacity. Finally, the single-point energy was done through mPW2PLYP functional with basis set of aug-cc-pVTZ³³ for Ge and def2-TZVP for Gd.^{30,31} Natural population analyses (NPA) were conducted *via* same scheme. The theoretical PES spectra of these anion nanoalloys were simulated by an outer-valence Green function (OVGF) approximation³⁴ combined with aug-cc-pVDZ³³ and def2-TZVP^{30,31} basis set for Ge and Gd atoms, respectively. The infrared and Raman vibrational spectra of the global minimum structures have been performed by the PBE0 scheme. The DOS (density of states) and PDOS (partial DOS) of GdGe_{16}^- have been attained by Vienna *Ab initio* Simulation Package (VASP)^{35–38} with PBE-GGA functional.³⁹ The projector augmented wave (PAW) was set to explore the inert core electron.^{40,41} To prevent interplay between adjacent nanoalloy clusters, the $40 \times 40 \times 40 \text{ \AA}$ edge lengths cubic cells with periodic boundary condition were taken into consideration. The plane wave cut-off energy was set up to 500 eV. The structures, PES spectra, iso-surface maps, and orbitals were created by visualization software of Multiwfn and VMD.^{42,43}

Only spin multiplicities of octuplet were reported in this study for GdGe_n^- ($n = 5\text{--}18$) nano clusters based on the following case. (i) For GdGe_n^- ($n = 1\text{--}4$) compounds, the spin multiplicities of sextuplet, octuplet, decuplet and twelve states were taken into account. The results revealed that in sextuplet, spin contamination is always present and energies are always high. In twelve state, there are no spin contamination, but energies are also high. Their ground states are either octuplet or decuplet. As can be seen from Fig. S1 in ESI† that GdGe^- and GdGe_2^- compounds possess a $^{10}\Sigma$ and a $^{10}\text{B}_1$ ground states respectively, which are more stable in energy than that of $^8\Sigma$ and $^8\text{A}''$ excited state by 0.31 eV and 0.36 eV, respectively. For GeGe_3^- alloy, $^8\text{A}_2$ and ^{10}I electronic states compete with each other for the ground state since their energy differences are within 0.01 eV. GeGe_4^- compound has $^8\text{A}_1$ ground state, which is more stable than that of $^{10}\text{A}''$ by 0.65 eV in energy. This situation corresponds to the Ge_n ($n = 1\text{--}4$). The ground states of Ge and Ge_2 compounds are ^3P and $^3\Sigma_g^-$, respectively. For Ge_3 compound, $^1\text{A}_1$ (isosceles triangle) and $^3\text{A}_1'$ (equilateral triangle) electronic states compete with each other for the ground state structure.¹³ And the ground state is singlet for pure Ge_4 clusters.^{13,14} This means that when Gd^- anion doped Ge_n clusters, the 4f electrons of Gd atom do not participate in bonding, and the four valence electrons of Gd^- anion interact with the Ge_n clusters. If the ground states of Ge_n cluster are originally a triplet, the Gd^- anion doped Ge_n compounds are a decuplet state, and if the Ge_n clusters are originally a singlet, the Gd^- anion doped Ge_n compounds are an octuplet state. The ground state is singlet for Ge_n with $n = 5\text{--}18$.^{13,14} (ii) Nonetheless, we calculated the energies of the octuplet and decuplet for GdGe_n^- ($n = 5\text{--}18$) nanoclusters and listed them in Table S1 in ESI,† from which we can see that the energy of decuplet is larger than that of octuplet. Therefore, we only presented octuplet state for GdGe_n^- ($n = 5\text{--}18$) compounds.

So as to confirm the quality of our employed method, test calculations had formerly been performed through the ROCCSD(T) method for $\text{ScSi}_n^{0/-}$ compounds with $n = 4\text{--}9$ and



compared them with several different DFT functions.⁴⁴ The results proved that only the ground state geometry and vertical detachment energy of $\text{ScSi}_n^{0/-}$ compounds calculated by the mPW2PLYP functional agree with that of ROCCSD(T) scheme. Furthermore, the bond lengths of Ge_2 , AgGe , and AuGe compounds calculated *via* mPW2PLYP are 2.38 Å,⁴⁵ 2.45 Å,⁴⁵ and 2.34 Å,⁴⁶ which agree with experimental results of 2.368 Å,⁴⁷ 2.54 Å,⁴⁸ and 2.38 Å,⁴⁹ respectively. Another side, a lot of satisfactory instances of ABCluster were presented lately.²² Therefore, the conclusions derived from the ABCluster search technique coupled with the mPW2PLYP functional should be reliable.

3. Results and discussion

3.1 Structures and evolutions of GdGe_n^- compounds

All selected configurations, including most stable and low-lying configurations of doping Ge anionic clusters with Gd atom are displayed in Fig. 1. The compounds are designated as nAm , with n representing the number of Ge atoms, A representing anion, and m representing the number of compounds, based on their

energies ranging from low to high. For GdGe_5^- compound, two isomers are reported. Its global minimum structure is predicted to be C_{4v} -symmetry *tetragonal bipyramid* (**5A1**) in 8A_2 ground state. The C_{2v} -symmetry *edge-capped trigonal bipyramid* (**5A2**) of 8A_2 electronic state is above 0.36 eV than the **5A1** in energy. For GdGe_6^- compound, there are three isomers which are presented here. The most stable structure is evaluated to be C_{5v} -symmetry *pentagonal bipyramid* (**6A1**) in 8A_1 ground state. Both compounds of C_{2v} -symmetry *pentagonal bipyramid* (**6A3**) in 8B_2 electronic state and C_1 -symmetry **6A2** are less stable in energy than that of **6A1** by 1.19 and 0.80 eV, respectively. For GdGe_7^- compound, four structures are reported. The C_{2v} -symmetry **7A1** in 8A_2 ground state can be viewed by attaching two Ge atoms to the **5A1** structure. The C_s -symmetry *bicapped octahedron* (**7A-2**) can be viewed as a Gd atom substituting for a Ge atom in the most stable structure of Ge_8 compound.¹³ The C_s -symmetry **7A3** can be regarded by attaching a Ge atom to the **6A1** geometries. The C_s -symmetry **7A4** can be considered as linked structure in which Gd atom connects a Ge_3 *triangle* and a Ge_4 *tetrahedron*. In $^8A''$ electronic state, they are 0.09, 0.19 and 0.33 eV higher in

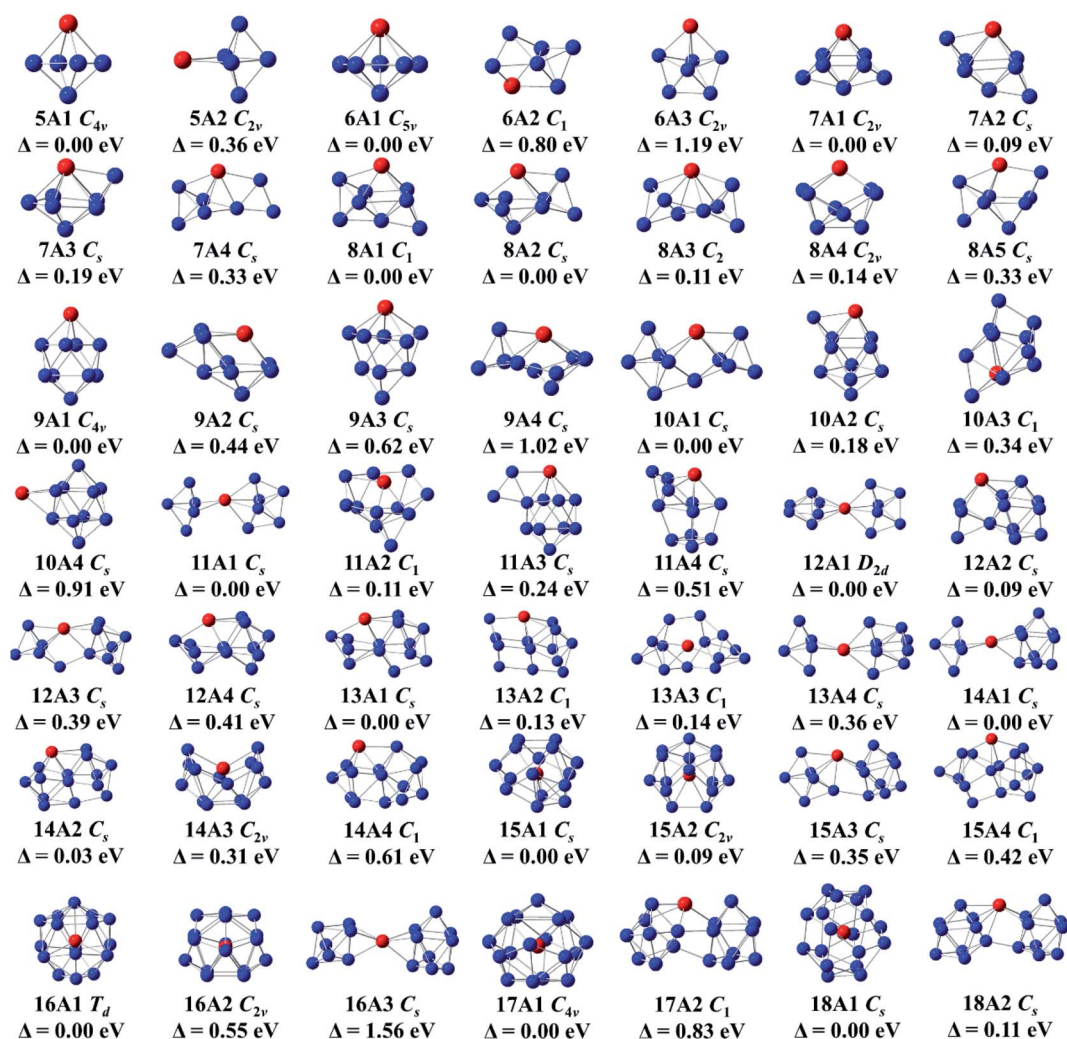


Fig. 1 Lowest energy structure and isomers of GdGe_n^- ($n = 5-18$) anionic nanoclusters, point group and relative energy (in eV). The blue and red circles represent germanium and gadolinium atoms, respectively.



energy than that of **7A1**, respectively. For GdGe_8^- compound, five isomers are presented. The C_1 -symmetry **8A1** is predicted to be the global minimum structure. It can be viewed by attaching a Ge atom to a face of **7A1** geometry. The **8A2**, **8A4** and **8A5** can be viewed as adding dual Ge atoms to the **6A1** geometry. They are C_s -symmetry in ${}^8A''$ electronic state, C_{2v} -symmetry in 8A_2 electronic state, and C_s -symmetry in ${}^8A''$ electronic state. The **8A3** geometry, similar to the most stable structure of GdSi_8^- compound,⁵⁰ is C_2 -symmetry with 8A electronic state. It belongs to linked structure in which Gd atom links two germanium *tetrahedral*. The linked structures were firstly proposed by Kumar and co-workers.⁵¹ Energetically, the **8A2**, **8A3**, **8A4** and **8A5** isomers are 0.08, 0.11, 0.14 and 0.33 eV higher than that of **8A-1**, respectively. For GdGe_9^- compound, four configurations are presented. The global minimum structure is calculated to be a *bicapped antitetragonal prism* (**9A1**) with C_{4v} -symmetry and 8A_2 ground state analogous to that of GdSi_9^- compound.⁵⁰ The **9A2** can be viewed by attaching a Ge_3 to the ground state structure of GdGe_6^- compound. The **9A2**, **9A3**, and **9A4** isomers have C_s -symmetry with ${}^8A''$ electronic state. They are 0.44, 0.62, and 1.02 eV higher in energy than that of **9A1**, respectively.

For GdGe_{10}^- compound, four structures are presented. The global minimum structure is forecasted to be **10A1** linked structure with C_s -symmetry in ${}^8A''$ ground state in which Gd atom connects a Ge_4 *tetrahedron* and a Ge_6 *capped trigonal bipyramid*. The C_s -symmetry **10A2** of ${}^8A''$ electronic state can be noted as capping the lowest energy isomer (**9A1**) of GdGe_9^- by a Ge atom close to the metal atom. The C_1 -symmetry **10A3** can be viewed as substituting a Gd atom for a Ge atom in the ground state structure of Ge_{12} .^{13,45} The C_s -symmetry **10A4** of ${}^8A''$ electronic state, Gd-*capped bicapped antitetragonal prism* of Ge_{10} , is comparable to the that of LuGe_{10} compound.¹⁷ They are higher in energy than that of **10A1** by 0.18, 0.34, and 0.91 eV, respectively. For GdGe_{11}^- compound, four geometries are reported. The ground state **11A1** is linked configuration where Gd atom links two sub-groups of Ge_5 and a Ge_6 . **11A2** geometry can be considered as adding four Ge atoms to the face of **7A2** structure. The C_s -symmetry **11A3** of ${}^8A''$ state can be considered as adding Ge_2 to the edge of the ground state *bicapped antitetragonal prism* of GdGe_9^- compound. These compounds and C_s -symmetry **11A4** of ${}^8A''$ electronic state are less stable as compared with **11A1** by 0.11, 0.24, and 0.51 eV, respectively. For GdGe_{12}^- complex, four geometries are noted. They are linked structures in which Gd links two orthogonal Ge_6 *distorted tetragonal bipyramid*, links a Ge_3 *isosceles triangle* and a Ge_9 *tricapped trigonal prism* (TTP). It also links a Ge_5 *trigonal bipyramid* and a Ge_7 *pentagonal bipyramid*, and links a Ge_4 *quadrilateral* and a Ge_8 *antitetragonal prism*, respectively. Energetically, D_{2d} -symmetry **12A1** of 8A_2 ground state is more stable than those of C_s -symmetry in ${}^8A''$ state about 0.09, 0.39, and 0.41 eV, respectively. For GdGe_{13}^- compound, four geometries are presented. The C_1 -symmetry **13A1**, C_s -symmetry **13A11**, **13A2** and **13A4** in ${}^8A''$ state belong to linked shapes where Gd atom connects a Ge_4 *tetrahedron* and a Ge_9 TTP, a Ge_4 *rhombus* and a Ge_9 TTP, and a Ge_5 *trigonal bipyramid* with a Ge_8 subcluster, respectively. The energy difference compared with the most stable structure of **13A1** is 0.13, 0.14, and 0.36 eV, respectively. For GdGe_{14}^-

compound, four configurations are described. Its most stable structure is calculated to be Gd-linked motif (**14A1**) with C_s -symmetry and ${}^8A''$ state where Gd atom attaches a Ge_5 and a Ge_9 motif. The C_s -symmetry **14A2** in ${}^8A''$ state belongs to a linked structure where Gd atom joins a Ge_5 *tetragonal pyramid* and a Ge_9 TTP. The C_{2v} -symmetry **14A3** in 8A_2 state is a semi-cage configuration. The **14A4** can be viewed by replacing a Ge atom in Ge_{15} ground state compound¹⁴ by Gd atom. The **14A1** is more stable than those of **14A2**, **14A3** and **14A4** by 0.04, 0.31, and 0.61 eV, respectively.

For GdGe_{15}^- compound, four motifs are presented. The first two isomers are Gd-encapsulated frameworks. The **15A1** cage can be considered as being derived from fullerene of Ge_{16} through removing a Ge atom, called as f-cage framework. Also, the **15A2** cage can be regarded as being derived from Frank-Kasper (FK) cage of Ge_{16} by eliminating a Ge atom, called as FK-cage framework. The f- and FK-cage frameworks possess C_s -symmetry with ${}^8A''$ ground state and C_{2v} -symmetry in 8A_2 state. The f-cage framework is more stable than that of FK-cage by 0.09 eV in energy. The latter two isomers are Gd-linked structures in which Gd atom links a Ge_6 subunit and a Ge_9 TTP motif. They are 0.35 and 0.42 eV higher than that of f-cage framework in energy, respectively. For GdGe_{16}^- compound, three isomers are reported. The T_d -symmetry Gd-encapsulated FK-cage in 8A_1 ground state is the most stable configuration. C_{2v} -symmetry f-cage endohedral framework (**16A2**) of 8A_2 electronic state is less stable in energy than **16A1** by 0.55 eV. The **16A3** with C_s -symmetry and ${}^8A''$ electronic state is 1.56 eV higher in energy than that of FK-cage framework. For GdGe_{17}^- compound, two isomers are presented. One of them is Gd-encapsulated five-capped FPTQ (four pentagonal faces and two quadrangles) cage framework (**17A1**) with C_{4v} -symmetry and 8A_2 ground state. It is more stable in energy than the C_1 -symmetry **17A2** linked geometry by 0.83 eV. For GdGe_{18}^- compound, two structures are presented. The most stable geometry is Gd-encapsulated endohedral configuration (**18A1**) with C_s -symmetry in ${}^8A''$ ground state, of which energy is lower than that of **18A2** linked structure with C_s -symmetry and ${}^8A''$ electronic state by 0.11 eV.

Before the discussion of most stable structure, we concentrate on the structural transformation of GdGe_n^- ($n = 5-18$) compounds at present. In the light of their structural characteristic of the determined global minimum configuration, the structural evolution favors Gd-linked configuration where metal atom connects two Ge subclusters starting from $n = 10$, and Gd-encapsulated germanium cage-like configuration is favored when n reaches to 15. Compared with LuGe_n^- ($n = 5-17$) clusters,¹¹ except for the different electronic states (the ground states of LuGe_n^- ($n = 5-17$) clusters are singlet), the most stable geometries of GdGe_n^- with $n = 8, 10$, and 15 are different from those of LuGe_n^- clusters.

3.2 Magnetic moment and charge transfer

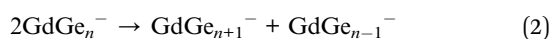
To learn more about the interaction between Gd atom and germanium nanoclusters, NPA of the GdGe_n^- ($n = 5-18$) global minimum structure is carried out. The results including NPA configurations and NPA charges on Gd atom, the 4f, 5d, 6s, 6p



and total magnetic moments of Gd, and total magnetic moments of compounds are shown in Table S2 in ESI.† It can be seen from Table S2† that (i) in GdGe_n^- ($n = 5-18$) compounds have the valence configuration of Gd as $6s^{0.36-0.81}4f^{6.99-7.00}5d^{1.71-5.30}6p^{0.25-2.05}$ which discloses that the 4f electrons of Gd ($[\text{Xe}]6s^24f^75d^1$) hardly participate in bonding. This phenomenon differs from Tb atom in TbSi_n^- compounds where 4f electrons of Tb prefer to take part in bonding *via* the 4f electrons jump into 5d orbitals.⁵² (ii) Because there are no 4f electrons involved in bonding, the oxidation number of the Gd in GdGe_n^- compounds is three. (iii) For $n = 5-9$, the charge of Gd in GdGe_n^- is within +0.15 to +0.37 a.u. It indicates that Gd is an electron donor, so the ionic bonds between Gd and germanium skeleton may be dominant. For cage-like configurations ($n = 15-18$), the charge of Gd is from -3.17 to -4.87 a.u., demonstrating that Gd is an electron acceptor and the bond nature between Gd and the host of the germanium cluster may be principally metallic bonds. And for linked structures ($n = 10-15$), the charge of Gd is from +0.25 to -0.26 a.u., revealing the fact that the characteristics of bonding between Gd and germanium clusters may be mixed with ionic bonds and covalent bonds in essence. (iv) The total magnetic moments of GdGe_n^- ($n = 5-18$) compounds are kept at the value of $7 \mu_B$, and provided by the 4f electrons of Gd atom which are left nearly unperturbed.

3.3 Stability

Average atomization energy (AAE) and second energy difference (Δ^2E) as two substantial parameters to evaluate thermodynamic and relative stability, have been performed on the most stable structures of GdGe_n^- ($n = 5-18$) compounds *via* atomization and disproportionation reaction as follow:



Incremental AAE is an effective approach to examine the local relative stability of different size compounds. The AAE of GdGe_n^- ($n = 5-18$) compounds as a function of the size of the compound is shown in Fig. 2(a), from which it can be deduced that GdGe_9^- and GdGe_{16}^- compounds are more stable than proposed by flat rising background. In addition to AAE, Δ^2E can not only mirror the local relative stability, but also gives a susceptible measure as shown in Fig. 2(b). The larger the Δ^2E , the stronger the relative stability. The results of AAE are clearly reproduced in Fig. 2(b). It is noted that GdGe_9^- compound has only good relative stability, not the best thermodynamic stability. However, GdGe_{16}^- compound not only has good relative stability, but also has the best thermodynamic stability due to the fact that its AAE is the largest.

Compared to anionic LuGe_n^- ($n = 5-17$) clusters,¹¹ the AAE curves of LuGe_n^- and GdGe_n^- are in parallel as can be seen from Fig. S2 in ESI.† And the AAE values of LuGe_n^- clusters are slightly larger than those of GdGe_n^- by 0.04 eV on average, indicating the stability of anionic germanium clusters doped

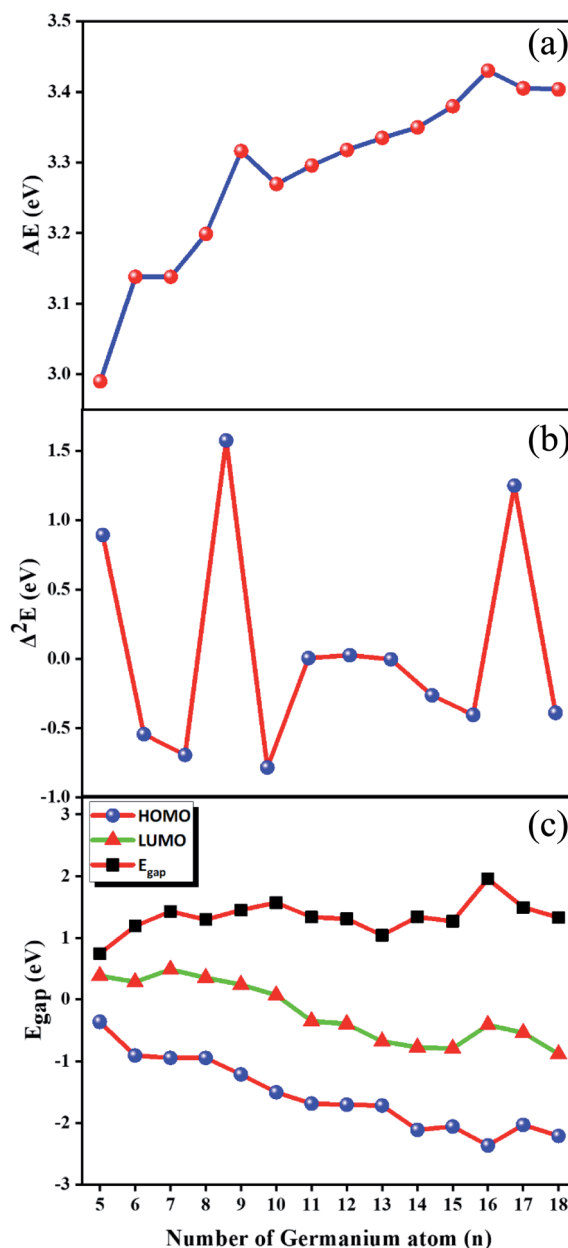


Fig. 2 Size dependences of (a) average atomization energy (AAE); (b) second energy difference (Δ^2E); and (c) HOMO-LUMO energy gap (E_{gap}).

with 4f orbital fully-filled Lu atom is slightly better than that of doped with 4f orbital half-filled Gd atom.

3.4 HOMO-LUMO energy gaps

An important physical parameter closely involved in chemical stability is HOMO-LUMO energy gap (E_{gap}). In the E_{gap} quantitative evaluation, Baerends *et al.*⁵³ mentioned that the E_{gap} calculated *via* pure density functional theory (DFT) is closer to the real optical gap than that evaluated by hybrid DFT due to the fact that the energy of HOMO and LUMO predicted in Kohn-Sham molecular orbital approximations experience in general the alike quantity increase. However, HF approach moves the



LUMO up a much higher energy levels than the HOMO up, which results in the E_{gap} of hybrid DFT becomes larger than that of pure DFT. Recently, An Wei¹⁵ calculated the E_{gap} of Ge_n ($3 \leq n \leq 20$) by using the PBE scheme, compared them with experiment data, and found that the theoretical E_{gap} match well with those in experiment. Therefore, the PBE scheme³⁹ are employed to evaluate the E_{gap} of GdGe_n^- ($n = 5-18$) compounds. The used basis sets are aug-cc-pVTZ³² and def2-TZVP^{29,30} for Ge and Gd atoms, respectively. They along with energies of HOMO and LUMO are shown in Fig. 2(c). We can see from it that the E_{gap} of GdGe_n^- ($n = 5-18$) compounds range from 0.75 to 1.96 eV. GdGe_5^- compound has the smallest E_{gap} (0.75 eV) because it has a relatively high HOMO energy. While GdGe_{16}^- compound possesses the largest E_{gap} (1.96 eV) due to the fact that it has the lowest HOMO energy level. The larger the E_{gap} , the better the chemical stability. It is proved that the GdGe_{16}^- cluster assembly material has ideal thermodynamic stability, chemical stability and energy gap, so that it would become an excellent semiconductor material. Compared to the E_{gap} of LuGe_n^- ($n = 5-17$) clusters, the E_{gap} of GdGe_n^- for $n = 5, 6, 11-13$, and $15-17$ differs little from that of LuGe_n^- clusters, and for $n = 7-10$, and 14 , the difference is between 0.16–0.69 eV as can be seen from Fig. S3 in ESI.†

3.5 PES of GdGe_n^- compounds

Spectral information is of considerable importance because the PES is an exceedingly hypersensitive approach for examining both electronic structures and equilibrium configuration of anionic atom, molecules and compounds. In particular, there is no experimental approach for directly determining the ground state configuration of compounds by now. One can only indirectly determine the ground state structures *via* detailed comparison of theoretical and experimental results. And PES is one of the most effective strategies. Therefore, we simulated the PES of GdGe_n^- ($n = 5-18$) compounds in order to provide strong motivation and theoretical information for future experimental investigations. In the PES simulation, to fit all peaks in the region of less than 5.00 eV, a Gaussian FWHM of 0.25 eV is utilized. The theoretical PES spectra are shown in Fig. 3. From it, we can see that for $n = 5$ and 6 , four distinct peaks (X, A, B, C) are resided at 2.34, 3.18, 3.73, and 4.55 eV, and 2.92, 3.27, 4.11, and 4.89 eV, respectively. For $n = 7$ and 8 , there are five peaks (X, A–D) resided at 2.08, 2.82, 3.33, 3.79, and 4.24 eV, and 2.84, 3.19, 3.57, 4.11 and 4.91 eV, respectively. Among them, the VDE of GdGe_7^- (2.08 eV) is the smallest among these investigated compounds. For $n = 9$, three obvious peaks located at 3.10, 3.87, and 4.19 eV are observed. The simulated PES of GdGe_{10}^- and GdGe_{12}^- exhibit four peaks (X, A–C) centered at 3.16, 3.73, 4.11 and 4.55 eV, and 3.73, 4.10, 4.46 and 4.78 eV, respectively. Three obvious peaks (X, A, B) for GdGe_{11}^- , GdGe_{13}^- and GdGe_{14}^- are simulated at 3.63, 4.32 and 4.66 eV, 3.34, 4.34 and 4.91 eV, and 4.03, 4.56 and 4.77 eV, respectively. The VDE of GdGe_{14}^- (4.03 eV) is the largest among these investigated compounds. And it is a weaker shoulder peak. There are also three obvious peaks (X, A, B) for $n = 15$ and 16 . They are resided at 3.48, 4.01, 4.57 eV, and 3.59, 4.01, 4.68 eV, respectively. For $n = 17$, four obvious

peaks (X, A–C) located at 3.18, 3.57, 4.31 and 4.66 eV are observed. For $n = 18$, the first peak (X) resided at 3.29 eV is a weaker shoulder. Its third and fourth peaks (B and C) are also relatively weaker peaks resided at 4.09 and 4.34 eV, respectively. The second and fifth peaks (A and D) centered at 3.62 and 4.69 eV are resolved easily. There are no experimental counterparts for comparison. We hope that our theoretical simulations will provide great incentive for further experimental research on these crucial Gd-doped germanium nanoalloys.

3.6 Infrared and Raman spectra

In addition to PES, infrared and Raman spectra are also one of the effective schemes to indirectly determine the ground state structures. The infrared and Raman spectra of GdGe_n^- ($n = 5-18$) compounds have been computed using the PBE0 method to better understand their vibrational features. The basis set used are aug-cc-pVTZ and def2-TZVP for Ge and Gd atoms, respectively. They are shown in Fig. 4 where no imaginary frequency was observed, which demonstrates that the structure is stable. In the infrared and Raman spectra of the GdGe_5^- compound, there are four and two prominent peaks observed, respectively. An angle-bending is doubly degenerated vibration mode at 67 cm^{-1} , and it leads to the highest intense infrared frequency. The second lowest vibration mode at 143 cm^{-1} with infrared active is breathing mode of GdGe_5^- *bipyramid*. The vibration modes at 179 cm^{-1} and 240 cm^{-1} with Raman and infrared active are breathing mode of LuGe_5^- *tetragonal bipyramid* and stretching mode of Ge_5^- *tetragonal pyramid* respectively. For GdGe_6^- compound, only one resolved infrared peak at 85 cm^{-1} is doubly degenerated angle-bending vibration mode. Two vibration modes at 143 and 220 cm^{-1} in Raman spectra are stretching mode of GdGe_6^- *pentagonal pyramid* and breathing mode of Ge_6^- *pentagonal pyramid* respectively. In infrared and Raman spectra of GdGe_7^- compound, four and one prominent peaks are seen, respectively. The vibration modes at 95 cm^{-1} and 155 cm^{-1} belong to the bending mode of GdGe_7^- , that at 199 cm^{-1} and 220 cm^{-1} belong to the stretching mode of GdGe_7^- , and that at 205 cm^{-1} of Raman spectra is the breathing mode of GdGe_7^- . In infrared and Raman spectra of GdGe_8^- compound, five and three prominent peaks are seen, respectively. The strongest peak in infrared spectra is at 179 cm^{-1} , which is resulted from the stretching mode of the Ge_4^- *tetrahedron*, and that in Raman spectra is at 183 cm^{-1} , which is resulted from the breathing mode of the GdGe_8^- . In infrared and Raman spectra of GdGe_9^- compound, there are four and one prominent peaks, and the strongest peaks locate at 235 cm^{-1} and 185 cm^{-1} with breathing and stretching mode of GdGe_9^- , respectively. Three lowest vibration modes of 64 , 116 and 137 cm^{-1} are doubly degenerated bending vibration mode.

In infrared and Raman spectra of GdGe_{10}^- compound, four and one prominent peaks are respectively reported. The vibration mode at 267 cm^{-1} with Raman and infrared active is breathing mode of Ge_4^- *tetrahedron*. The most prominent peak in infrared spectra at 172 cm^{-1} results from the breathing mode of the Gd-linked Ge_4^- *tetrahedron* and Ge_6^- *capped trigonal bipyramid* together. The second most prominent peak in infrared



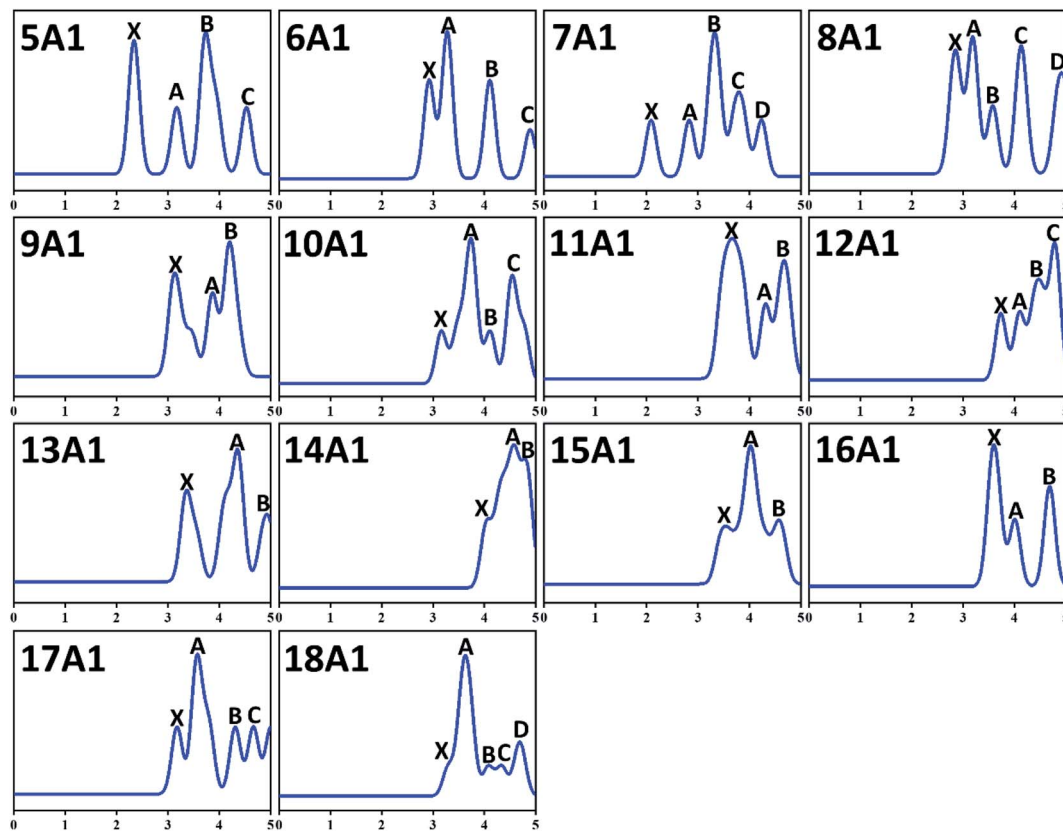


Fig. 3 Simulated PES spectra of the ground-state structures of GdGe_n^- ($n = 5-18$) nanoclusters.

spectra at 140 cm^{-1} is the breathing mode of Gd-linked Ge_6 . The infrared vibration mode at 236 cm^{-1} is the stretching mode of Gd_6 capped trigonal bipyramid. In infrared and Raman spectra of GdGe_{11}^- compound, three prominent peaks are reported. The two most intense infrared peaks at 152 and 175 cm^{-1} are resulted from the breathing mode of Ge_6 and Gd-linked Ge_5 together. The vibration mode at 189 cm^{-1} with infrared active is breathing mode of Gd-linked Ge_5 . The strongest peak in Raman spectral located at 147 cm^{-1} is bending mode of Gd-linked Ge_6 . The vibration mode at 251 cm^{-1} in Raman spectra results from the breathing mode of Ge_6 , and that at 203 cm^{-1} results from doubly degenerated stretching vibration mode. In infrared and Raman spectra of GdGe_{12}^- compound, the most prominent peak at 373 cm^{-1} results from the doubly degenerated breathing mode of Gd-linked Ge_6 . In addition, there are three dominant peaks in infrared spectra at 114 , 140 and 180 cm^{-1} related to bending mode of GdGe_{12} . In infrared and Raman spectra of GdGe_{13}^- compound, four and three prominent peaks are seen. The most intense peak in infrared spectra at 249 cm^{-1} results from stretching mode. The second most intense peak in infrared and the most intense peak in Raman located at 172 cm^{-1} results from bending mode of GdGe_{13} . The vibration modes at 104 cm^{-1} and 132 cm^{-1} with infrared active are stretching and bending mode respectively. And that at 224 cm^{-1} in Raman spectra results from bending mode. For GdGe_{14}^- compound, two dominant peaks are reported. The most prominent peak in infrared spectra at 157 cm^{-1} results from the

breathing mode of Gd-linked Ge_5 trigonal bipyramid. In Raman and infrared spectra, the vibration mode at 242 cm^{-1} results from the breathing mode of Gd-linked Ge_9 TTP, and that in Raman spectra at 264 cm^{-1} is the breathing mode of Ge_5 trigonal bipyramid.

For GdGe_{15}^- compound, only one prominent peak at 219 cm^{-1} in infrared spectra arises from the doubly degenerated bending mode. There are two major peaks in the Raman spectra at 171 cm^{-1} and 185 cm^{-1} in the bending mode of Gd-doped Ge_{15} motif and the breathing mode of peripheral Ge cage configuration (Gd atom remains static), respectively. In infrared and Raman spectra of GdGe_{16}^- compound, only one main peak resides at 214 cm^{-1} with the threefold degenerate bending mode and 161 cm^{-1} for breathing mode of peripheral Ge cage (Gd atom motionless). For GdGe_{17}^- compound, there is also single peak in infrared and Raman spectra, which resides respectively at 181 cm^{-1} with the doubly degenerate bending mode and 164 cm^{-1} in breathing mode. In infrared and Raman spectra of GdGe_{18}^- nanocluster, there are three prominent peaks respectively. The most intense peak in infrared spectra resides at 127 cm^{-1} consisted of approximately triple times of degenerate bending mode. In infrared spectra the vibration modes at 164 cm^{-1} and 191 cm^{-1} are stretching mode. In Raman spectra the largest peak resides at 176 cm^{-1} with the approximately doubly degenerated breathing mode, and those at 119 cm^{-1} and 152 cm^{-1} are bending mode.



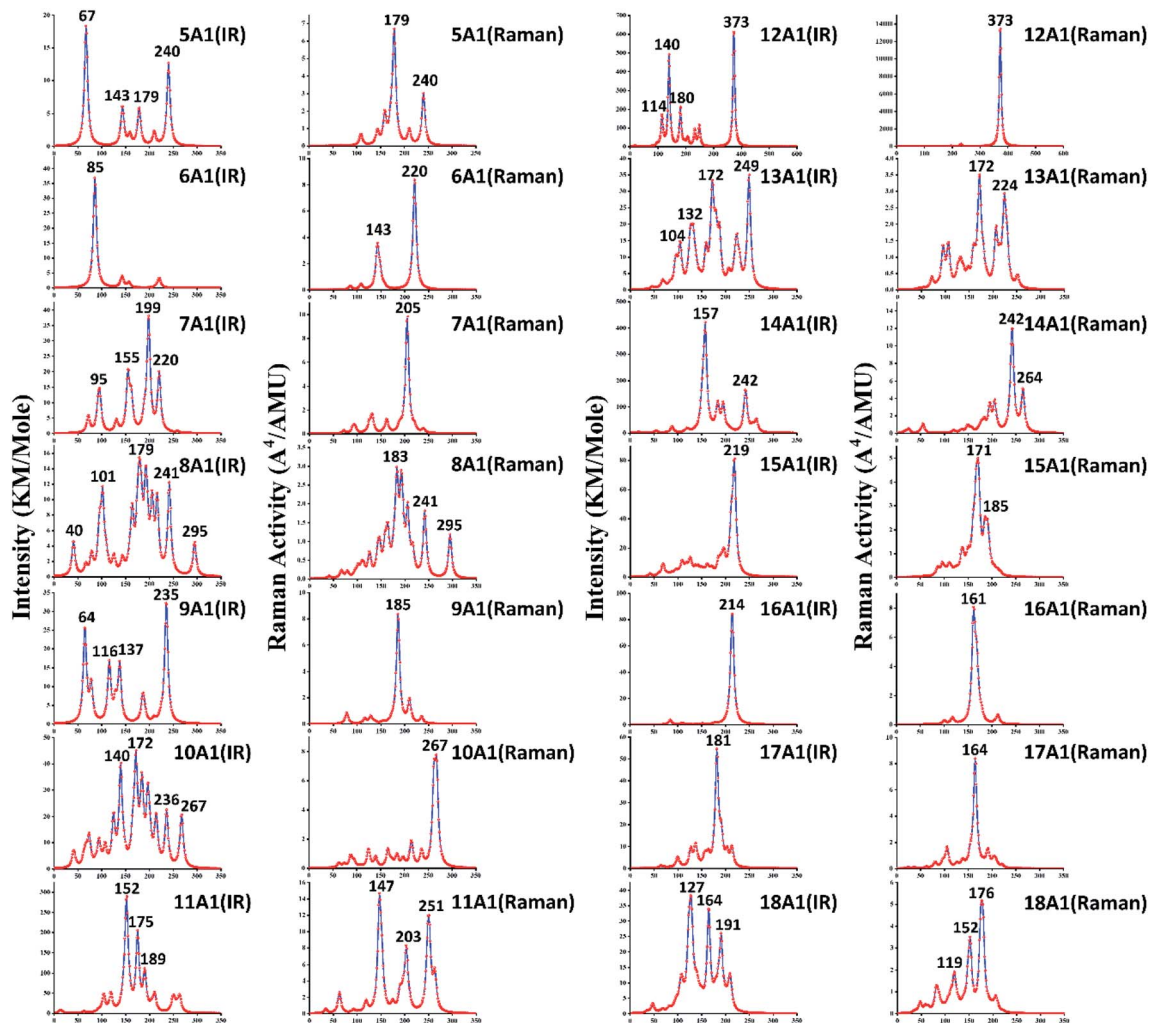


Fig. 4 Infrared and Raman spectra of GdGe_n^- ($n = 5-18$) nanoclusters.

As we could know from the description above, infrared and Raman activity manifests different spectra for these compounds and reflects the influence of geometrical changing. According to infrared analysis, breathing mode of the Gd-linked Ge subclusters for Gd-linked configurations excluded GdGe_{13}^- compound give rise to the most intense peak, and it is degenerated bending mode for Gd-encapsulated frameworks. In Raman spectra, the strongest peak is largely breathing or bending mode of Ge subclusters or Gd-linked Ge subclusters for Gd-linked geometries, and it is breathing mode of peripheral Ge cage (Gd atom hardly moves) for Gd-encapsulated structures. They occur in the infrared range of these compounds in comparison with the $400-10\text{ cm}^{-1}$ far-infrared region. Therefore, the most stable compounds with component might be useful for far-infrared sensing devices.

3.7 Iso-chemical shielding surface of GdGe_{16}^- compound

Because of great potential application of GdGe_{16}^- nanocluster in optoelectronic devices, we further evaluated its stability *via* the method of iso-chemical shielding surface (ICSS), which is

the negative value of nuclear independent chemical shielding (NICS), and was carried out by gauge-independent atomic orbital (GIAO) way.^{54,55} In Fig. 5(a), it is displayed that the whole real space displays the red region that means the chemical shielding opposed the external magnetic field with the isovalue of 0.05 ppm, and the blue region represents the chemical deshielding area with the isovalue of -0.05 ppm. Both of them have symmetry because GdGe_{16}^- nanocluster has a high symmetry of T_d . Clearly, inner cage area has a larger chemical shielding effect and outer has *vice versa*. In the Fig. 5(b), the curve map shows one direction shielding value which relates to the distance. Generally, the shielding value in the distance of 1 angstrom is a standard parameter to evaluate the aromaticity of the system, *i.e.*, $\text{ICSS}(1) = 46$ ppm. Besides that, the maximum shielding value is 78 ppm in a distance of 1.91 Å. In short, the stability of GdGe_{16}^- nanocluster has been revealed by the ICSS methods. Moreover, the excellent stability of such cluster has been further proved.

To further understand the outstanding chemical and thermal stabilities of GdGe_{16}^- nanocluster, the total and partial density of states analysis are shown in Fig. 6. In the near Fermi



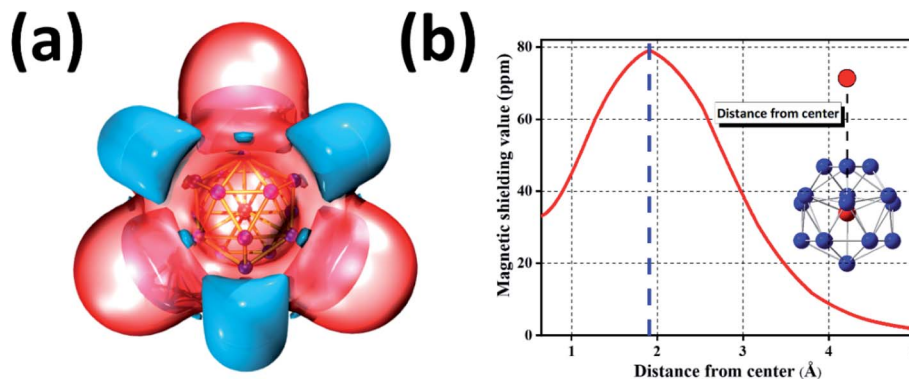


Fig. 5 ICSS of GdGe_{16}^- nanocluster. (a) Calculated ICSS isosurface with iso-value of 0.05 ppm (red region) and -0.05 ppm (blue region); (b) ICSS curve line of magnetic shielding value with distance from center.

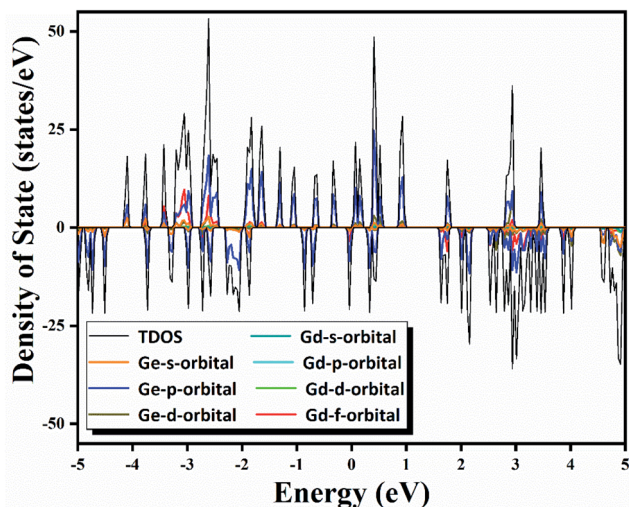


Fig. 6 Calculated total and partial density of states of GdGe_{16}^- nanocluster.

level of which the most contribution belongs to the 4p orbital of Ge atom which was mixed with the major of 5d and 6s orbital of Gd atom to form the hybrid bonds which stabilizes the whole structure. In the whole range, the spin up curve and spin down curve are asymmetric that indicates the system has magnetism and spin polarization effect. Combined with NPA analysis, we have known that Gd 4f electrons in half-filled state do not participate in the bonds, and hence provide magnetism. The total valence of 75 electrons of GdGe_{16}^- system can be distributed to the orbital sequence of $1s^2 1p^6 (4f^7) 1d^{10} 1f^{14} 2s^2 2p^2 1g^{18} 2p^4 2d^{10}$, which complies with not only Hund's rule, but also spherical jellium model. Hence, it proves that GdGe_{16}^- nanocluster is a superatom.

3.8 UV-Vis spectra of GdGe_{16}^- molecule

Owing to the high stability and proper semiconductor characteristics of anion cluster of GdGe_{16}^- , the ultraviolet-visible (UV-Vis) spectra have been simulated by time-dependent density functional theory (TD-DFT) calculation by the PBE scheme with

aug-cc-pVDZ and ECP28MWB basis set for Ge and Gd atoms respectively. To ensure the accuracy of calculation, enough bands were required to be considered, so the 120 excited states were performed to satisfy the described system. Full results are assembled in Fig. 7 with the Gaussian broadening value of 0.30 eV. Overall, the UV-Vis absorption spectrum of GdGe_{16}^- anion produces three absorption bands, two of them fall in the visible region and one in the near-infrared region. Compared to UV-Vis spectra of LuGe_{16}^- ,¹¹ the UV-Vis spectra of GdGe_{16}^- have obvious red shift. The first absorption band is from 350 nm to 465 nm. The strongest peak is at 413 nm. The second absorption band, with the strongest peak at 525 nm, is from 465 nm to 628 nm. The third absorption band having range of 628 nm to 1050 nm has the most intense peak at 767 nm. For summit of 413 nm, it is made of $S_0 \rightarrow S_{109}$, $S_0 \rightarrow S_{95}$, $S_0 \rightarrow S_{86}$ with the contribution of 74.9%, 8.4%, 7.1%, respectively. For the peak of 525 nm, it is composed of $S_0 \rightarrow S_{35}$, $S_0 \rightarrow S_{40}$, $S_0 \rightarrow S_{44}$ with the contribution of 46.7%, 45.1%, 7.6%, respectively. The last peak of 767 nm is attributed to the transition of 99% of $S_0 \rightarrow S_9$. As we know, the solar energy distribution is 43% visible light with the most intensity, and 52% near-infrared with the energy

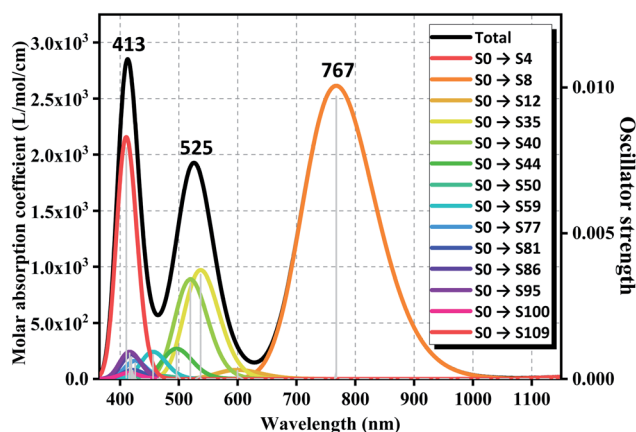


Fig. 7 Simulated UV-Vis spectrum of GdGe_{16}^- superatom. Solid and dotted lines stand for the absorption curve and oscillator strength, respectively.



intensity gradually decreased by increased wavelength so that the GdGe_{16}^- nanocluster can utilize most of the solar energy because its broad absorption ranges match well with solar energy distribution. That is to say, such material is potential candidates of solar energy converter or ultra-highly sensitive near-infrared photodetector.

4. Conclusion

All in all, the structural evolution of Gd-doped germanium anionic compounds, GdGe_n^- ($n = 5-18$) has been explored *via* quantum chemistry calculations using mPW2PLYP method and unprejudiced structural searching technique ABCluster. The results have clearly shown that with the increase of cluster size n , the structure evolution pattern moves from the Gd-linked configuration ($n = 10-14$) in which the Gd acts as a linked (the Gd atom links two germanium sub-clusters) to the Gd-encapsulated form ($n = 15-18$) in which the Gd atom is resided in the center of the germanium cage. The properties including magnetic moment, charge transfer, relative stability, HOMO-LUMO gap, PES, infrared and Raman spectra have been reported. The information of these spectra could give extra approaches to experimentally determine the electronic structures and equilibrium configuration of these compounds. The largest spin magnetic moment of $7 \mu_B$ for these species is attained *via* half-filled 4f states. The GdGe_{16}^- nanocluster is a superatom due to the fact that its total valence of 75 electrons can be distributed to the orbital sequence of $15^2 1P^6 (4f^7) 1D^{10} 1F^{14} 2S^2 2P^2 1G^{18} 2P^4 2D^{10}$, which complies with not only Hund's rule, but also with the spherical jellium model. Particularly, its UV-Vis spectra match well with solar energy distribution. Such materials can act as nano multifunctional building units which are potentially used in solar energy converter or ultra-highly sensitive near-infrared photodetector.

Conflicts of interest

The authors declare no conflict of interest.

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