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## Effect of the array of amines on the transfection efficiency of cationic peptidomimetic lipid molecules into neural cells†

 Xiang Yu,‡ Hai Xiao, ID‡ Muqier Muqier, Shuqin Han\* and Huricha Baigude ID\*  

The amino groups in the head group of a cationic lipid play a determinative role regarding the nucleic acid delivery efficiency of the LNP formulated from lipids. Herein, we designed four types of lipid bearing different amine-containing branched head groups to investigate the influence of type and number of amines on the neural cell targeted nucleic acid delivery. Conjugation of an ethylamino group at selected positions of a lysine-based cationic lipid resulted in 4 distinct lipids with 3 (denoted N3 lipid), 4 (denoted N4 lipid), 5 (denoted N5 lipid) and 6 (denoted N6 lipid) amino groups, respectively. Comparative analysis by flow cytometry revealed that the N3 lipid had the highest nucleic acid (plasmid and siRNA) transfection efficiency to neural cell lines (BV2 cells and N2a cells). Furthermore, the N3 lipid mediated delivery of siRNA against Toll Like Receptor 4 (TLR4) into oxygen glucose deprivation (OGD)-treated BV2 cells resulted in remarkable silencing of TLR4, inducing alternative polarization (M2) of the cells. Collectively, our data suggest that the N3 lipid is a promising siRNA delivery agent in neural cells.

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## 1. Introduction

RNA interference (RNAi) is an RNA-dependent gene silencing process controlled by the RNA-induced silencing complex (RISC) and activated by small interfering RNA (siRNA) molecules.<sup>1–4</sup> In 2006, Andrew Fire and Craig Mello won the Nobel prize for their discovery of the mechanism of RNAi in *C. elegans*, and the field has grown rapidly since.<sup>5</sup> siRNA has huge potential as a therapeutic agent in the treatment of diseases such as gene disorders,<sup>6</sup> viral infections<sup>7</sup> and cancers.<sup>8</sup> siRNA has unique advantages over traditional small molecular drugs, and protein-based or antibody-based drugs.<sup>9</sup> Currently, four siRNA-based drugs have been approved by the US FDA, namely Onpattro (Patisiran),<sup>10–12</sup> Givlaari (Givosiran),<sup>13–15</sup> Oxlumo (Lumasiran)<sup>16,17</sup> and Leqvio (Inclisiran).<sup>18,19</sup> However, the nuclease susceptibility<sup>20</sup> and off-target effect<sup>21</sup> of siRNA are still unsolved problems in siRNA delivery. Appropriate chemical modification<sup>22–24</sup> or vector protection<sup>25–27</sup> of siRNA can increase its circulation time and targeting ability.<sup>28</sup> For example, a cationic polymeric delivery system can increase siRNA circulation time *in vivo* by 100 times.<sup>29</sup> Moreover, recent

studies have shown that lipid nanoparticles (LNP) delivered siRNA with a serum half-life of more than 90 h and have no negative effect on gene silencing efficiency.<sup>25</sup> Nevertheless, chemical modification may cause increased toxicity and reduced gene silencing efficiency.<sup>23,30</sup> Viral and non-viral vectors are widely used as siRNA delivery systems. Amongst these, viral vectors may induce gene mutation and fatal defects in the delivery process, with hidden dangers of triggering immunogenicity and inflammatory response.<sup>30</sup> On the other hand, non-viral vectors are relatively non-immunogenic, non-infective, non-capacity limited, and low cost. At present, natural lipids or synthetic lipids are commonly used as materials for siRNA delivery.<sup>31,32</sup> In particular, the FDA approval of Onpattro has led to increased focus on its lipid nanoparticle delivery systems.

However, it is difficult to achieve gene silencing in organs other than liver,<sup>33,34</sup> particularly central nervous system<sup>35–37</sup> using the lipid delivery system. Therefore, it is necessary to develop an efficient delivery system that can maintain the integrity of siRNA and promote the cellular uptake efficiency of siRNA. And LNP delivery systems can increase their biocompatibility and transfection efficiency by adjusting the amino array and number of amino groups in the hydrophilic head.<sup>38,39</sup> In this paper, four kinds of cationic lipid molecules with different amino arrangement and number were designed. We have explored the effects of amino group arrangement and number on biological activity by transfection on nerve cells.

School of Chemistry & Chemical Engineering, Inner Mongolia University, Hohhot, Inner Mongolia, 010020, P.R. China. Fax: +86 471 4992511; Tel: +86 471 4992511.  
 E-mail: XiangYu@mail.imu.edu.cn; haixiao@mail.imu.edu.cn; 2770561016@qq.com; chem-hshq@mail.imu.edu.cn; hbaigude@mail.imu.edu.cn

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‡ These authors contributed equally.



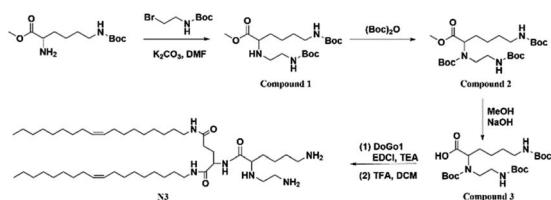
## 2. Materials and methods

### 2.1 Chemicals

Boc-L-Glu-OH, oleylamine, H-Lys(Z)-OMe·HCl, *N*-(allyloxycarbonyloxy) succinimide, triethylamine, *N,N*-diisopropylethylamine (DIPEA), palladium-tetrakis(triphenylphosphine) (Pd [P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>]<sub>4</sub>), trifluoroacetic acid (TFA), di-*tert*-butyl decarbonate, *N,N*-dimethylformamide (DMF), and 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDCI) were purchased from Aladdin Chemicals (Shanghai, China). 2-(Boc-amino) ethyl bromide was purchased from J&K Chemicals (Beijing, China).

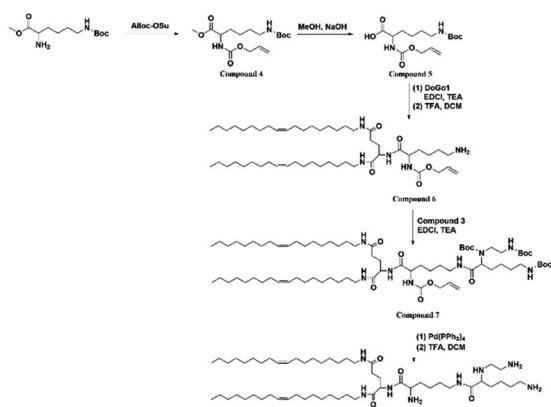
### 2.2 Synthesis of N3 lipid

Through the modification of lysine, the amide reaction with DoGo1 (ref. 40) was carried out to synthesize N3 with three free amino groups. The specific synthesis methods and corresponding characterization are shown in ESI.†



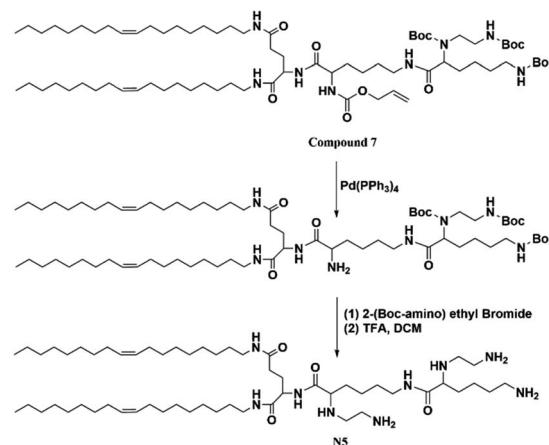
### 2.3 Synthesis of N4 lipid

N4 containing four free amino groups is synthesized by modifying N3. The synthetic methods and corresponding characterization are shown in ESI.†



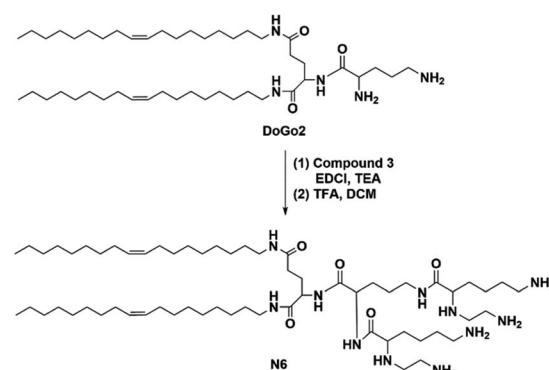
### 2.4 Synthesis of N5 lipid

An additional amino group is added to N4 to synthesize N5 with five free amino groups. The synthesis route and characterization were shown in ESI.†



### 2.5 Synthesis of N6 lipid

Amide reaction of modified lysine with DoGo2 (ref. 40) to synthesize N6 with six free amino groups. The synthesis schemes and characterization were shown in ESI.†



### 2.6 Electrophoretic mobility shift assay

The complexes of siRNA and the lipids were formed at various nitrogen/phosphate (N/P) ratios (1, 2, 3, 4, 5, 6) in a gel mobility shift assay, respectively. The mixtures were incubated at r.t. for 20 min and then subjected to electrophoresis on agarose gel (2%) for 30 min at 100 V to confirm the siRNA complexation in 1 × Tris/borate/ethylenediaminetetraacetic acid (EDTA) running buffer. After the gel was stained using 0.5 µg mL<sup>-1</sup> ethidium bromide, the banding pattern was obtained using a Gel Logic 212 PRO imaging system (Carestream, Toronto, Canada).

### 2.7 Cell culture and cytotoxicity assay

BV2 and N2a cells (ATCC, Manassas, VA, USA) were maintained at 37 °C (with 5% CO<sub>2</sub>) in DMEM supplemented (10% fetal bovine serum) with 100 mg mL<sup>-1</sup> streptomycin and 100 U mL<sup>-1</sup> penicillin. For cytotoxicity assay, cells were plated onto 96-well plates at 1 × 10<sup>4</sup> cells per well in 100 µL of culture medium. Twenty-four hours after plating, lipid stock solutions (4 mg mL<sup>-1</sup>) at different



final concentrations at 20, 40, 60, 100, 140  $\mu\text{g mL}^{-1}$  were added to the cells in triplicate and incubated as described above. Lipofectamine 2000 (1  $\text{mg mL}^{-1}$ ) and DoGo4 (4  $\text{mg mL}^{-1}$ ) were used as control. The final concentration of lipofectamine 2000 were 5, 10, 15, 25, 35  $\mu\text{g mL}^{-1}$ , and the concentration of DoGo4 was similar with above lipids. After a 24 h of incubation, cell viability was assayed using the MTT test.

## 2.8 Cellular uptake of LNPs by neural cells

For transfection of the plasmid, 2  $\mu\text{g}$  pcDNA3-eGFP was diluted in 50  $\mu\text{L}$  Opti-MEM. This solution was mixed with 50  $\mu\text{L}$  Opti-MEM containing lipids (N3, N4, N5, N6, DoGo4, and lipofectamine 2000, respectively), and incubated at room temperature for 20 min before adding into cell culture. The final concentrations were as follows: N3, N4, N5, N6, DoGo4 (32  $\mu\text{g mL}^{-1}$ ) and lipofectamine 2000 (4  $\mu\text{g mL}^{-1}$ ). After 48 h, the GFP expression was evaluated by observation under a fluorescence microscope. For flow cytometric analysis (FCM), cells were trypsinized and resuspended in PBS and assessed on a NovoCyte Benchtop Flow Cytometer (ACEA Biosciences, Inc., San Diego, CA, USA).

For siRNA transfection, FITC-siRNA transfection experiments were conducted on BV2 and N2a cells. BV2 or N2a were seeded at 70% cell confluence in a 24-well plate (Costar, Corning Inc.) and were cultured overnight. Then, the FITC-siRNA/N3 complexes were added to the cell cultures and incubated in a cell-culturing incubator. FITC-siRNA were synthesized by Takara Biotechnology Co., Ltd (Dalian, Liaoning, China). Before adding the complexes into the cell culture, the mixtures were incubated at r.t. for 20 min. The concentrations were as follows: FITC-siRNA (50 nM)/N3 (32  $\mu\text{g mL}^{-1}$ ), DoGo4 (16  $\mu\text{g mL}^{-1}$ ), and lipofectamine 2000 (4  $\mu\text{g mL}^{-1}$ ). After incubation for 6 h, the cells were washed with 1  $\times$  PBS (PH = 7.4) and quantified the fluorescence intensity by flow cytometry.

BV2 cells were seeded in a 24-well plate at  $1 \times 10^6$  cell density and cultured for 24 h. After blocking the cells with different final concentrations (10, 20, 40, 80, 100, 150, and 200  $\mu\text{g mL}^{-1}$ ) of blocking solutions (mannan, zymosan, and dextran) for 2 h, FITC-siRNA/N3 complexes were added to the cell cultures and incubated for 6 h in a cell-culturing incubator. FITC-siRNA/N3 complexes were incubated at r.t. for 20 min before transfection. The results were assessed by flow cytometry. For temperature-dependent cellular uptake, the cells were transfected with FITC-siRNA/N3 complexes and incubated at 4 or 37 °C. The cells were re-suspended in 110  $\mu\text{L}$  of PBS and assessed by flow cytometry.

## 2.9 siRNA transfection efficiency

In order to verify the transfection efficiency of siRNA, siGENOME nontargeting siRNA was used as the control (Dharmacon, Lafayette, CO, USA). TLR4 siRNA was custom-synthesized by Takara Biotechnology Co., Ltd (Dalian, Liaoning, China). The sequences of the TLR4 siRNA are as follows: antisense strand: 5'-UUAUAGUCAAAUUAGGGCCtt-3'; sense strand: 5'-GGCCCAUUAUUGACUAUAAtt-3'. The BV2 cells were seeded in 24-well plate for 24 h before siRNA transfection. siTLR4 or siRNA

control (100 nM in 50  $\mu\text{L}$ ) and N3 (32  $\mu\text{g mL}^{-1}$  in 50  $\mu\text{L}$ ) were mixed in Opti-Medium, respectively, and incubated at r.t. for 20 min. The cell culture medium was replaced by fresh complete medium before adding the transfection solution into the cells. The cells were treated with OGD insult<sup>41</sup> after the incubation of 4 h. For OGD insult, cells were washed three times in DMEM without glucose and FBS, and then, the medium was replaced to low glucose DMEM. Cells were cultured in an airtight incubation chamber flushing through with 5% CO<sub>2</sub> and 95% N<sub>2</sub> for 2 h, then sealing the chamber for the duration of the experiment. OGD was alleviated by removing the culture from the incubator and maintaining the cells in high glucose medium to reoxygenate for 24 h under normal conditions (37 °C, 5% CO<sub>2</sub>, and 95% air at high humidity). Total RNA was extracted with TRIzol (Invitrogen, Carlsbad, CA, USA) and the expression of endogenous TLR4 mRNA was measured using iScript reverse transcription supermix for RT-qPCR and iTaq Universal SYBR Green supermix (Bio-Rad, Hercules, CA, USA) for qPCR.

## 2.10 *In vitro* microglia polarization

Immunocytochemistry (ICC) was used to detect the expression of M1 and M2 markers in BV2 microglia.<sup>42,43</sup> BV2 cells were grown on 24-well plate with a glass slide chamber for overnight and were treated with either siTLR4/N3 or siCtrl/N3 complexes for 4 h, followed by OGD treatment. Cells were cultured for 24 h under normal cell culture conditions and fixed with 4% PFA in 0.1 M PBS. The fixed cells were rinsed using PBS, permeabilized with 0.1% Triton-X 100 for 10 min, and then incubated with 2% BSA in PBS for 1 h to block nonspecific binding. Then the cells were probed with primary antibodies for the M1 phenotype (iNOS, 1 : 400; Cell Signaling Technology, MA, USA) or the M2 phenotype (CD206, 1 : 400; Cell Signaling Technology, MA, USA). For visualization, the secondary antibodies, anti-rabbit Alexa-594 (1 : 1000; Cell Signaling Technology, MA, USA), or goat anti-rabbit IgG-FITC (1 : 1000; Abcam, Cambridge, MA) were used along with the nuclear marker DAPI (1 : 1000; Thermo Scientific, MA, USA). Images were obtained by a Nikon fluorescence confocal microscope (Nikon, C2<sup>+</sup>).

## 3. Results and discussion

We designed novel peptidomimetic based cationic lipids and investigated their efficiency in intracellular nucleic acid delivery on neural cells. Our previous work confirmed that the lipid conjugated peptidomimetics based on natural amino acids (DoGo lipids) can deliver siRNA efficiently *in vivo* and *in vitro*.<sup>40</sup> We also proved that head group configuration remarkably decreased the cytotoxicity of peptidomimetic based cationic lipids.<sup>44</sup> Therefore, in order to maximize the transfection efficiency and minimize the cytotoxicity, four cationic lipids were designed in this study: 2-(6-amino-2-((2-aminoethyl)amino)hexanamido)-N1,N5-di((Z)-octadec-9-en-1-yl)pentanediamide (denoted N3), 2-(2-amino-6-(6-amino-2-((2-aminoethyl)amino)hexanamido)hexanamido)-N1,N5-di((Z)-octadec-9-en-1-yl) pentanediamide (denoted N4), 2-(6-(6-amino-2-((2-aminoethyl)amino)hexanamido)-2-((2-aminoethyl)amino)hexanamido)-



*N1,N5-di((Z)-octadec-9-en-1-yl)pentanediamide* (denoted N5), or *2-(2,5-bis(6-amino-2-((2-aminoethyl)amino)hexanamido)pentanamido)-N1,N5-di((Z)-octadec-9-en-1-yl)pentanediamide* (denoted N6).

### 3.1 Characterization of cationic lipids and siRNA binding assay

To evaluate the nuclei acids binding affinity of the novel lipids, we performed gel shift assay on all lipids at various cationic lipid (amine, N) to siRNA (phosphate, P) ratio. The positive charge of cationic lipids and the negative charge on siRNA enforce electrostatic interaction between them to form the nanoparticles. The influence of charge ratios on siRNA condensation by lipids can be estimated by the gel retardation assay. The results showed that the migration of siRNA in gel was retarded with the increasing N/P ratio in all lipids, indicating that lipids and siRNA can form a complete complex through electrostatic interactions at this condition (Fig. 1). All lipids completely bound to siRNA at N/P ratio 1, 2, 3, 4, 5, and 6, respectively. Moreover, measurement of particle size of the siRNA/lipid complexes at N/P = 5 by dynamic light scattering revealed that the complexes have monodisperse peaks and high dispersion, which lay in the range of optimal size of complexes for nucleic acid delivery to various cell lines and tissues. The results indicated that siRNA/lipid complexes display an average size of 135.4 nm, 200.5 nm, 239.8 nm, 250.7 nm (PDI: 0.401, 0.403, 0.357, and 0.754), respectively (Table 1). The particle size of the siRNA/lipid complexes were in the optimal range of gene delivery. In addition, electrokinetic potential is an important parameter representing the stability of a compound. The smaller the electrokinetic potential, the more likely it is to induce polymerization. On the contrary, the larger the electrokinetic potential, the stronger the stability in solution. The zeta potential of siRNA/lipid complexes were +52.1, +39.2, +35.8 and +41.2 mV, respectively (Table 1). These results indicated that all lipids formed a stable complex with siRNA, which was not easy to generate polymerization and precipitation, and could exist stably in solution.

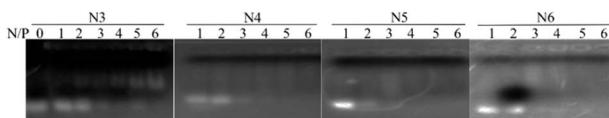


Fig. 1 siRNA binding ability of siRNA/lipid complexes.

Table 1 Particle size distribution and zeta potential of siRNA/lipid complexes

Sample	Particle size (d, nm)	Zeta potential (mV)	PDI
siRNA/N3	$135.4 \pm 0.16$	$+52.1 \pm 1.15$	0.401
siRNA/N4	$200.5 \pm 1.21$	$+39.2 \pm 2.19$	0.403
siRNA/N5	$239.8 \pm 1.58$	$+35.8 \pm 1.01$	0.357
siRNA/N6	$250.7 \pm 0.79$	$+41.2 \pm 1.97$	0.754

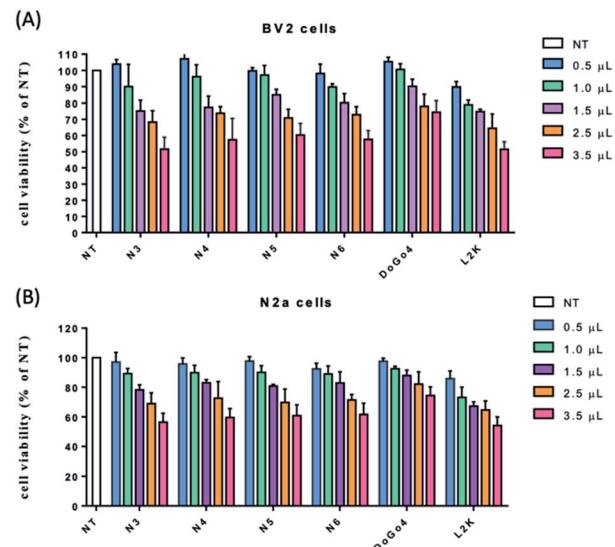


Fig. 2 Cytotoxicity analysis on BV2 (A) and N2a (B) cells. The concentration of stock solution of N3, N4, N5, N6 and DoGo4 were  $4 \text{ mg mL}^{-1}$ , while the concentration of stock solution of L2K was  $1 \text{ mg mL}^{-1}$ .

### 3.2 Cytotoxicity measurement

Safety is one of the primary considerations in gene delivery. To examine the cytotoxicity of the novel lipids, BV2 and N2a cells were treated with various concentration of the lipids, respectively, and the cell viability was assessed by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay. DoGo4 and lipofectamine 2000 were used as control. Cells treated with all lipids and DoGo4 had higher survival rates at all the concentration ranges that were tested (Fig. 2). Impressively, the cell viabilities after all lipids and DoGo4 treatment remained around 50–90% at the highest concentration tested ( $140 \text{ μg mL}^{-1}$ ) while the concentration of L2K reached  $35 \text{ μg mL}^{-1}$ , the cell viability was comparable to that of other lipids, demonstrating that all lipids and DoGo4 have low cytotoxicity and may not induce various adverse effects in the cells after the treatment.

### 3.3 Transfection efficiency

Next, we evaluated the nucleic acid transfection efficiency of all lipids. First, we conducted a test of the plasmid DNA transfection efficiency of all lipids using DoGo4 and lipofectamine 2000 as control. To do this, we complexed a plasmid encoding green fluorescence protein (GFP) (pcDNA3-eGFP) with the lipids, DoGo4 and lipofectamine 2000, respectively, and treated with BV2 cells and N2a cells with the complexes for 24 h. Subsequent observation of the cells treated with plasmid/lipid complex under a fluorescence microscope revealed that the N3 lipid has the highest efficiency followed by lipofectamine 2000 and the DoGo4 (Fig. 3). Further quantification by flow cytometry revealed that the highest GFP expressing cell population were 79% on BV2 cells (Fig. 4A) and 80% on N2a cells (Fig. 4B) after a single transfection, demonstrating that N3



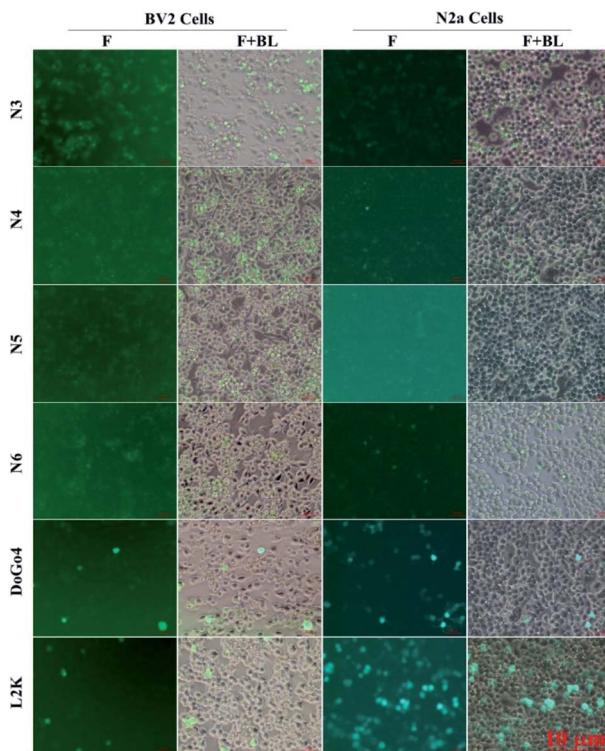


Fig. 3 Plasmid transfection efficiency of N3, N4, N5, N6, DoGo4 and lipofectamine 2000 (L2K). BV2 cells (left column) and N2a cells (right column) were treated with pcDNA3-eGFP complexed to N3, N4, N5, N6, DoGo4 and lipofectamine 2000. 24 h after the transfection, GFP expressing cells were observed under a fluorescence microscope. F, fluorescence channel; BL, bright light channel.

lipids had remarkable transfection efficiency. Therefore, we chose N3 lipids as a nucleic acid delivery agent for the subsequent experiment. Then, we performed FITC-siRNA transfection experiment on BV2 cells and N2a cells by using N3 lipid. Flow cytometry analysis showed that the FITC-positive cell for

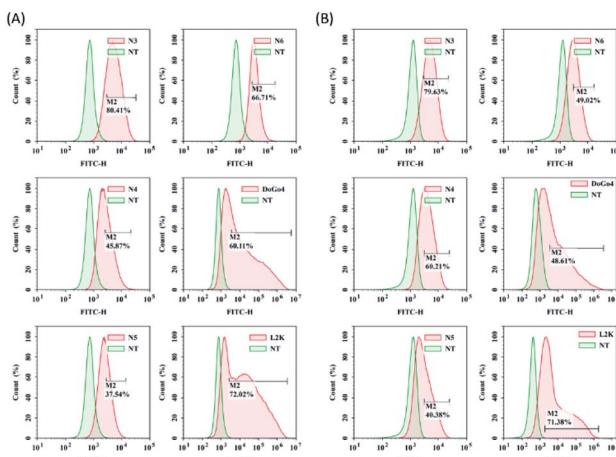


Fig. 4 Quantification of GFP expression of BV2 and N2a cells treated with pcDNA3-eGFP/lipid complexes by flow cytometry, lipids: N3, N4, N5, N6, DoGo4 and lipofectamine 2000.

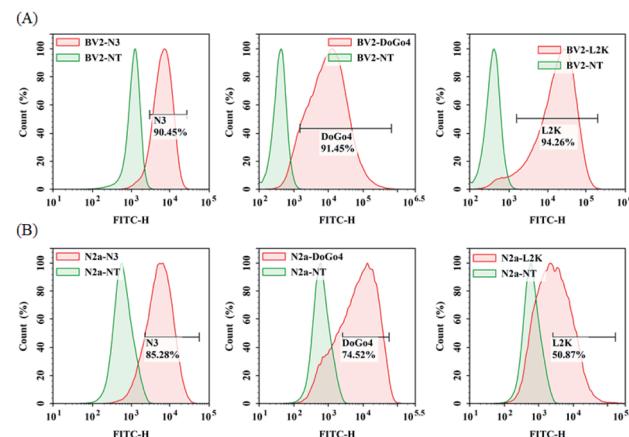


Fig. 5 Quantification of BV2 and N2a cellular uptake of FITC-siRNA/lipid complexes by flow cytometry.

N3 lipid was higher than L2K and DoGo4 in N2a cells (Fig. 5B), while slightly weaker than L2K and DoGo4 in BV2 cells (Fig. 5A). These data indicated that N3 lipid has high transfection efficiency of plasmid DNA or siRNA in various cell type.

**3.3.1 Microglia polarization *in vitro*.** To further confirm the RNAi efficiency of N3 loaded with siRNA, we treated BV2 cells with siTLR4 or nontargeting control siRNA (siCtrl) complexed to N3 lipid, followed by the OGD treatment. After 24 h, we measured the mRNA level of TLR4 expression by RT-qPCR. The expression level of TLR4 in BV2 microglia rapidly increased upon OGD treatment, which was remarkably decreased upon pretreated with siTLR4/N3 (Fig. 6A). In addition, the expression level of CD206 (M2 marker) in BV2 cells rapidly increased upon OGD treatment. When the BV2 pre-transfected with the siTLR4/

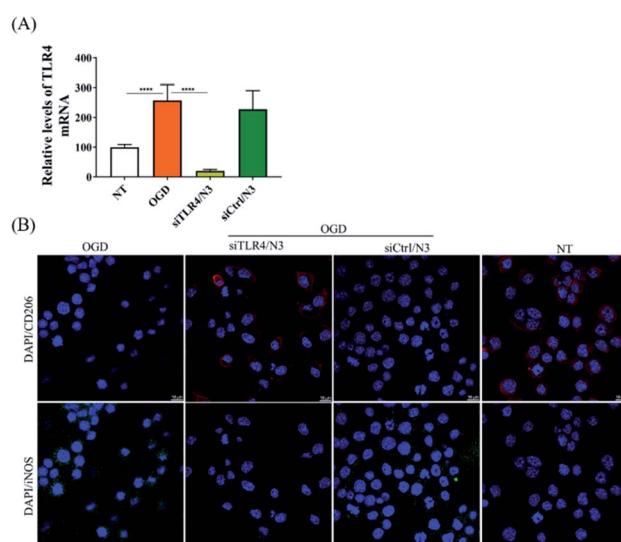


Fig. 6 Knockdown of TLR4 by N3 LNP mediated delivery of siTLR4. (A) RT-qPCR analysis of expression level of TLR4 after transfecting BV2 cells. \*\*\*p < 0.0001 compared to NT or OGD group. (B) Immunocytochemistry analysis of OGD treated BV2 cells transfected with either siTLR4 or siCtrl complexed to N3 lipid. OGD: oxygen and glucose deprivation.

N3 complex were treated with OGD, the expression of CD206 was decreased remarkably, while iNOS (M1 marker), which has dramatically upregulated after OGD treatment, was significantly silenced only in siTLR4/N3 transfected BV2 cells (Fig. 6B). These results suggested that the silencing of TLR4 by siTLR4/N3 can regulate the gene expression characteristics in activated microglia and promote the transition of microglia from pro-inflammatory to anti-inflammatory polarization *in vitro*.

## 4. Conclusions

Through particle size distribution, zeta potential measurement, cytotoxicity analysis and plasmid DNA or siRNA transfection in neural cells, we conclude that, when the hydrophilic head of the cationic lipid molecule has a branched structure, the zeta potential and nucleic acid transfection efficiencies will decrease as the branched chain increases; when the branched chain is modified but the number of amino groups is the same, the zeta potential and transfection efficiency (GFP, FITC-siRNA) will increase as the branch structure increases. Collectively, we successfully synthesized a novel cationic lipid (denoted N3) for plasmid DNA or siRNA delivery. N3 showed low cytotoxicity, high transfection efficiency and can regulate microglia polarization by downregulate siTLR4.

## Author contributions

X. Y. and H. X.: methodology, investigation, writing-original draft preparation. M. M.: software and validation. S. H. and H. B.: conceptualization, supervision, writing-original draft preparation, reviewing and editing.

## Conflicts of interest

There are no conflicts to declare.

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