


 Cite this: *RSC Adv.*, 2022, 12, 17950

An experimental and theoretical investigation into the self-assembly of a chemically modified high- χ coil-rod diblock copolymer†

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A precursor diblock copolymer with a silicon backbone, polystyrene-*block*-poly(methylvinylsiloxane), was synthesized, and 1*H*,1*H*,2*H*,2*H*-perfluorodecanethiol was quantitatively introduced into the backbone via a thiol-ene reaction to yield a novel coil-rod diblock copolymer, poly(styrene-*block*-poly(2-((3,3,4,4,5,5,6,6,7,7,8,8,9,9,10,10,10-heptadecafluorodecyl)thio)ethyl)methylsiloxane). The ultra-hydrophobicity of the introduced perfluoroalkyl side chain enhanced the segregation between counter-blocks and significantly increased the χ value, which is essential for minimizing the size of self-assembled domains for lithographic applications. Thus, self-assembled domains with a minimal spacing of approximately 10 nm were formed. A hexagonally packed array with significant anisotropy was observed in the self-assembled morphology by small-angle X-ray scattering and transmission electron microscopy. Such an array was precisely reproduced by modified self-consistent field theory (SCFT) calculation developed for the coil-rod structure. Furthermore, the phase diagram was estimated, and the morphological dependence on the relative scale of the rod unit was investigated by SCFT prediction.

Received 20th April 2022

Accepted 2nd June 2022

DOI: 10.1039/d2ra02536a

rsc.li/rsc-advances

Introduction

The self-assembly of block copolymers (BCPs) could form patterns on the scale of 3–100 nm, which are promising for next-generation lithographic applications. In general, lithography requires BCPs with a high χ parameter, high etching contrast, and orientation control.^{1,2} Among all reported BCP materials, silicon-based ones are advantageous due to their high χ parameter and etching contrast. The high χ parameter enables minimization of both the self-assembled periodic nanostructure and the interfacial roughness.^{3–6} For example, one widely studied silicon-based BCP is poly(styrene-*b*-dimethylsiloxane) (PS-*b*-PDMS) with $\chi \approx 0.2$, which is significantly higher than that of poly(styrene-*b*-methyl methacrylate) (PS-*b*-PMMA, $\chi \approx 0.04$).⁷ As a result, the reported minimum feature size of PS-*b*-PDMS (~16 nm (ref. 7 and 8)) is much smaller than that achieved in PS-*b*-PMMA (~24 nm (ref. 9)). Additionally, the above-mentioned hybrid Si-containing BCPs possess advantages in the process of transferring patterns, as the Si-containing block

within the self-assembled BCP can be directly transformed into silicon oxide via oxygen plasma etching without causing any potential over-etching problems.

Segments more hydrophilic than polystyrene have been introduced as counter-blocks to further enhance the χ parameter of silicon-based BCPs. Examples include poly(dimethylsiloxane-*b*-methyl methacrylate) (PDMS-*b*-PMMA),¹⁰ poly(2-vinylpyridine)-*b*-poly(dimethylsiloxane),¹¹ poly(4-vinylpyridine)-*b*-poly(dimethylsiloxane),¹¹ poly(trimethylsilylstyrene-*b*-D,L-lactide),¹² poly(lactide-*b*-dimethylsiloxane-*b*-lactide),¹² and poly(3-hydroxystyrene)-*b*-poly(dimethylsiloxane).¹³ Recently hydroxyl-end groups were introduced into the siloxane-based backbone of BCP,^{14,15} in order to balance the SFE of the PS and siloxane-based blocks for the desired perpendicular orientation of domains in thin-film states. Methylvinylsiloxane was selected as the precursor because it can be introduced into functional side chains via a thiol-ene reaction or hydrosilylation. The thiol-ene click reaction is particularly attractive because of its use of a metal-free catalyst.¹⁶ However, the attempt to balance surface free energy (SFE) via chemical tailoring on BCP also diminishes the strength of block segregation and limits the minimization of feature domain size. Therefore, a silicon-containing BCP of high χ parameter is in demand for lithographic applications. To increase the incompatibility between the counter-blocks and enhance the χ parameter of silicon-containing BCPs, the siloxane-based blocks could be chemically modified to form more hydrophobic segments, instead of replacing the PS block with more hydrophilic ones. Long fluorinated side chains, such as

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 † Electronic supplementary information (ESI) available: ¹H NMR spectra, SEC traces and DSC thermograms of all polymers used in this study, SAXS profiles of BCPs from solvent evaporation, TEM images of annealed bulk sample SF20.8, estimation of χ using RPA and 2D SCFT simulations of investigated BCPs (PDF). See <https://doi.org/10.1039/d2ra02536a>


perfluoroalkyl, in BCPs exhibit an extremely low SFE ($\sim 10 \text{ mN m}^{-1}$, depending on the crystal type or liquid crystal phase^{17–19}), that is even lower than that of siloxane ($\sim 20 \text{ mN m}^{-1}$).²⁰ Thus, the introduction of a fluorinated side chain into the backbone of siloxane could effectively enhance the hydrophobicity of the block²¹ and obtain a higher χ parameter with PS (SFE $\approx 43 \text{ mN m}^{-1}$)²⁰ segments. Additionally, the low friction coefficient of the fluorinated side chain^{22–24} in combination with the highly mobile siloxane backbone accelerates self-assembly during thermal annealing.

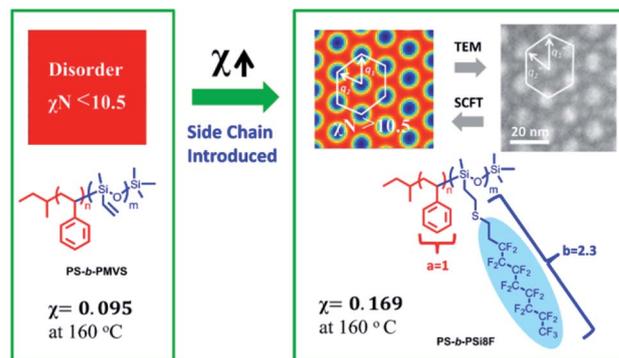
Besides lithography, the self-assembly of coil-rod BCPs is appealing in other application areas, such as photovoltaics^{25–28} and biomaterials.²⁹ Some coil-rod BCPs can segregate into nematic nanostructures at even lower χN values ($\chi N \geq 5.0$) than the segregation limit for coil-coil BCPs ($\chi N \geq 10.5$),^{30–33} owing to the different kinds of physics governing their self-assembly. The self-assembly of coil-coil diblock copolymers favors an isotropic morphology in the thermodynamically stable states,³⁴ despite the reported anisotropic fluctuation of cylinders in a poly(styrene-*block*-isoprene-*block*-styrene) coil triblock copolymer.³⁵ In contrast, the self-consistent field theory (SCFT) model often indicates the anisotropic packing of cylinders to be the thermodynamically stable state for rod-coil³⁶ or semiflexible-flexible^{37,38} BCPs. However, experimental observation of such an anisotropic self-assembled morphology has never been reported in diblock copolymer systems. Meanwhile, the pendent bulky perfluoroalkyl side chain attached to the polymer main chains, being highly rigid^{39–41} with a large free volume,^{42,43} could be approximated as rods against the flexible coils of PS segments, when the annealing temperature is well above the isotropic transition temperature of the introduced perfluoroalkyl side chain with liquid crystalline behavior. In this situation, as the liquid crystalline ordering of perfluoroalkyl side chains becomes negligible, the modified SCFT could be used to predict the self-assembled morphology of rod-coil BCPs in their thermodynamically stable states.

In this study, we employed a molecular design of a rod-coil diblock copolymer including PS segments against polysiloxane backbone segments with pendent perfluoroalkyl side chains, in order to develop BCP materials with high χ for next-generation lithographic applications. This paper discusses estimation of the χ parameter and related self-assembled morphologies, both before and after the introduction of perfluoroalkyl side chains into the backbone of BCPs. In addition, the experimentally observed self-assembled morphologies, especially the observed anisotropic hexagonal packing of the cylinders, were studied using SCFT. Since the anisotropic array predicted from SCFT is highly dependent on the assumption of rods, possible effects of the scale of the rods on the morphology were further revealed by SCFT calculations (Scheme 1).

Experimental section

Methods

¹H NMR spectra were recorded with a JEOL JNM-ECS400 (400 MHz) instrument using chloroform-*d* as the solvent. Gel permeation chromatography (GPC) analysis was performed on



Scheme 1 Concept for designing high- χ parameter coil-rod BCPs via introducing perfluoroalkyl side chains and the resulting self-assembled morphology in an anisotropic hexagonal packing array.

a Shodex GPC-101 instrument equipped with Shodex LF804 columns. The number average molecular weights (M_n) and the molecular weight distributions (M_w/M_n) were determined by GPC (Showa-Denko GPC-101) with polymer/tetrahydrofuran solution at a flow rate of 1.0 mL min^{-1} at $40 \text{ }^\circ\text{C}$ and calibrated with polystyrene. The thermal behaviors of the polymers were estimated by differential scanning calorimetry (DSC; Seiko DSC 7020) at a heating rate of $10 \text{ }^\circ\text{C min}^{-1}$ under a nitrogen flow. The scanning temperature range was set from $-30 \text{ }^\circ\text{C}$ to $200 \text{ }^\circ\text{C}$. The transition temperatures were determined from the second heating and cooling scans. Small angle X-ray scattering (SAXS) measurements were carried out using a Bruker SAXS NanoSTAR instrument (output: 50 kV, 50 mA). After monochromatic processing by a Göbel mirror, focused CuK α radiation (wavelength: 1.5416 \AA) was applied to the sample. The scattered X-rays were collected by a 2D-PSPC detector to determine the morphologies in the bulk sample. Bright-field transmission electron microscope (TEM) images of the sample structure were also obtained using a Hitachi H7650 Zero A instrument under an accelerating voltage of 100 kV. For TEM analysis, the bulk samples were first pasted onto epoxy resin for handling, and then microtomed (Reichert-Jung Ultracut E) with a DiATOME diamond knife at room temperature to a preset thickness of 70 nm. The produced sections were then placed onto TEM grids and stained by ruthenium oxide for observation. The value of δ was estimated from the structural formula of the material,⁴⁴ and the density and volume fraction of the fluorinated block could thus be calculated.

Materials

Styrene was washed with 0.1 M sodium hydroxide (NaOH) aqueous solution three times and dried with magnesium sulfide (MgSO_4). Calcium hydride (CaH_2) was then added and stirred overnight before distillation. After distillation, di-*n*-butylmagnesium (MgBu_2) was added under Ar protection to remove any residual water in the styrene. A trap-to-trap process was further carried out for degassing, using liquid nitrogen as the freezing source. Similarly, 1,3,5-trimethyl-1,3,5-trivinylcyclotrisiloxane (D3v) was distilled over CaH_2 and subsequently degassed



under argon. Chlorotrimethylsilane (TMSiCl) was degassed under argon. All other materials were reagent grade (purchased from Kanto Chemical Co. Inc., Tokyo Chemical Industry, FUJIFILM Wako Pure Chemical Corporation, and Sigma-Aldrich) and used as received. The detailed synthetic procedures of the homopolymers are described in ESI.†

Synthetic procedures

Synthesis of poly(styrene-*b*-methylvinylsiloxane) (PS-*b*-PMVS) (1). Here, SV13.6 (PS_{11.3k}-*b*-PMVS_{2.3k}, where the subscripts indicate the molecular weight of the blocks) is used to illustrate the synthetic routine. In a 50 mL Schlenk flask, 30 mL of dehydrated tetrahydrofuran (THF) without a stabilizer was added under Ar protection. To remove the moisture and oxygen dissolved in THF, the mixture was cooled down to $-78\text{ }^{\circ}\text{C}$, and approximately 0.3 mL of *sec*-BuLi (in 1.04 M hexane/cyclohexane solution) was added, which caused the color of the THF solution to change from transparent to yellow. Afterwards, the Schlenk flask was kept at room temperature until the yellow color vanished, indicating that *sec*-BuLi in the solution had become inactive. Again, the Schlenk flask was cooled down to $-78\text{ }^{\circ}\text{C}$, and 0.014 mL of *sec*-BuLi (0.0009 g, 0.015 mmol in 1.04 M cyclohexane solution) was added as the initiator of anionic polymerization. Subsequently, a calculated amount of styrene (1.50 g, 14.42 mmol) was added into the tetrahydrofuran (THF) containing the initiator, and stirred at $-78\text{ }^{\circ}\text{C}$ for 30 min under Ar atmosphere, during which the solution color changed to orange. Afterwards, a calculated amount of 1,3,5-trimethyl-1,3,5-trivinylcyclotrisiloxane (D3v) monomer (0.50 g, 1.94 mmol) was added into the reactor. After 10 min, the reaction temperature was increased to $-20\text{ }^{\circ}\text{C}$. After 24 h, excess TMSiCl was added into the reactor, and the resulting polymer was precipitated in methanol.

¹H NMR (CDCl₃, 400 MHz) δ (ppm): 0.14 (s, 3H, CH₃), 1.42 (br, 2H, CH₂), 1.84 (br, 1H, CH), 5.76–6.04 (br, 3H, CH=CH₂), 6.31–6.72 (br, 2H, Ar), 6.89–7.22 (br, 3H, Ar).

Synthesis of chemically modified poly(styrene-block-poly(2-((3,3,4,4,5,5,6,6,7,7,8,8,9,9,10,10,10-heptafluorodecyl)thio)ethyl)methylsiloxane) (PS-*b*-PSi8F) (2). A thiol-ene reaction was utilized to introduce functional side chains into the backbone of the BCP precursor. In a 10 mL recovery flask, 0.20 g of SV13.6 (0.40 mmol MVS units), 0.57 g of 1H,1H,2H,2H-perfluorodecanethiol (3.0 mole equiv. to MVS repeating units), and 9.8 mg of azobisisobutyronitrile (AIBN) (0.15 mole equiv. to MVS repeating units) were added to 2.0 mL of degassed THF. The solution was stirred at $65\text{ }^{\circ}\text{C}$ for 5 h under Ar atmosphere. Afterwards, the flask was placed in liquid N₂ to terminate the reaction. The solution was precipitated in hexane (or in mixed hexane and methanol (1 : 3 v/v), depending on the M_n of the BCP) and repeated twice. The white powder was dried *in vacuo* under $40\text{ }^{\circ}\text{C}$ overnight to yield the desired product.

¹H NMR (CDCl₃, 400 MHz) δ (ppm): 0.15 (s, 3H, CH₃ PSi8F), 0.90 (br, 2H, Si-CH₂), 1.41 (br, 2H, CH₂ PS), 1.82 (br, 1H, CH PS), 2.29 (t, 2H, Si-CH₂-S-CH₂ PSi8F), 2.59 (t, 2H, CF₂-CH₂ PSi8F), 2.69 (t, 2H, CF₂-CH₂-CH₂ PSi8F), 6.31–6.72 (br, 2H, Ar), 6.89–7.22 (br, 3H, Ar).

Estimating the surface free energies of homopolymers Homo-PSi8F

The SFE of the homopolymer Homo-PSi8F was calculated by measuring the water and diiodomethane contact angles (C.A.) on thin polymer films. Because of the poor solubility of Homo-PSi8F in common organic solvents, the thin films were prepared by uniformly placing approximately 20 mg of homopolymer powder on a 1 cm \times 1 cm bare silicon wafer, followed by melting at $180\text{ }^{\circ}\text{C}$ for 24 h under vacuum.

Bulk sample preparation

The bulk samples used for investigating the bulk morphology and domain spacing (d_{spacing}) in the microphase-separated structures were prepared by slowly evaporating a dilute THF solution of BCP at $30\text{ }^{\circ}\text{C}$, after filtering through a PTFE membrane syringe filter (0.25 μm pore size). The as-prepared samples were dried under reduced pressure before annealing at $160\text{ }^{\circ}\text{C}$ for 24 h.

Morphology prediction by SCFT

Briefly, an incompressible melt of AB linear diblock polymers is placed in a simulation volume V . Each polymer with volume fractions of f_A and f_B and a degree of polymerization N is modeled as a Gaussian chain characterized by random-walk statistics. The local interactions are governed by the estimated binary interaction parameter $\chi_{AB} = 0.169$ at $160\text{ }^{\circ}\text{C}$, along with the incompressibility condition of $f_A + f_B = 1$. The code for performing SCFT was taken from our published paper⁴⁵ and other literature.^{46–48}

The simulated polymers were assumed to be monodispersed with temperature-independent densities. The statistical segment lengths of PS and PSi8F were set at $a = 1$ and $b = 2.3$ units, respectively, according to estimation based on the random-walk model (in which the end-to-end distance is governed by the root square value of the molecular weight of each segment). The statistical segment lengths of PSi8F were also set to other arbitrary units to mimic rods of different rigidities. A typical grid size of 64×64 was used for the 2D SCFT calculations with 100 contour steps in inner loops. A simulation box of size $L \times L$ with $L = 16\text{ Rg}$ with periodic boundary conditions and a spatial resolution of 0.25 Rg was used for all simulations. The simulations were performed using the pseudo-spectral method developed by Rasmussen and Kalosakas, starting from random initial conditions followed by Anderson acceleration once a stable morphology could be identified (typically when the change in the free energy $\leq 1 \times 10^{-10}$).^{46,49} Calculations were performed on a broadly accessible Intel Core i7 CPU 10750H 2.60 GHz.

Results and discussion

Synthesis of PS-*b*-PMVS

Synthesis of the targeted diblock copolymer could be divided into two steps: synthesis of the precursor main chain polymer PS-*b*-PMVS *via* sequential anionic living polymerization, and the subsequent post-functionalization to introduce side chains.



The synthesis routine for the targeted BCP is illustrated in Scheme 2. All the precursor BCPs (PS-*b*-PMVS with distinctive molecular weights) were synthesized *via* sequential anionic polymerization of styrene and 1,3,5-trimethyl-1,3,5-trivinyl cyclotrisiloxane. As shown in Fig. 1, the appearance of characteristic proton signals from the two blocks indicated the successful synthesis of PS-*b*-PMVS. The composition of vinylsiloxane was kept at low levels because of the bulky side chain introduced in the next step.

Synthesis of PS-*b*-PSi8F

After synthesis of the BCP precursors, the vinyl groups in the siloxane unit were chemically modified with 1*H*,1*H*,2*H*,2*H*-perfluorodecanethiol. In Fig. 1b, the disappearance of proton signals from the vinyl groups and the emergence of those from the introduced side chain indicated complete conversion in the modification reaction. The ¹H NMR signals are well assigned to each proton in the targeted product without any characteristic signals from residual thiols. Besides, the assigned peak integration is highly proportional to the number of protons in the chemical structure (Fig. S2(b)[†]), indicating the high purity of the products. Following the thiol-ene modification reaction, the trace of SEC was shifted to the higher *M_n* direction, together with a near constant narrow dispersity (Fig. S3[†]). The determined *M_n* value of PS-*b*-PSi8F from SEC was in good agreement with the estimated *M_n* based on the precursor PS-*b*-PMVS.

Bulk morphology

To determine the suitable thermal annealing temperature for inducing self-assembly, DSC characterization was carried out first. The results (Fig. S4[†]) suggest an obvious glass transition attributed to the PS segments near 72–100 °C, depending on the *M_n* of the PS block in PS-*b*-PSi8F. A transition from an ordered liquid crystal phase to an isotropic phase was detected at 50 °C or a lower temperature proportional to the molecular weight of PS-*b*-PSi8F. Compared to the reported isotropic transition of similar fluorinated side chains attached to a poly-(methyl acrylate)-based⁵⁰ or poly-(isoprene)-based backbone,¹⁸ that of the perfluoroalkyl side chain attached to a siloxane-based

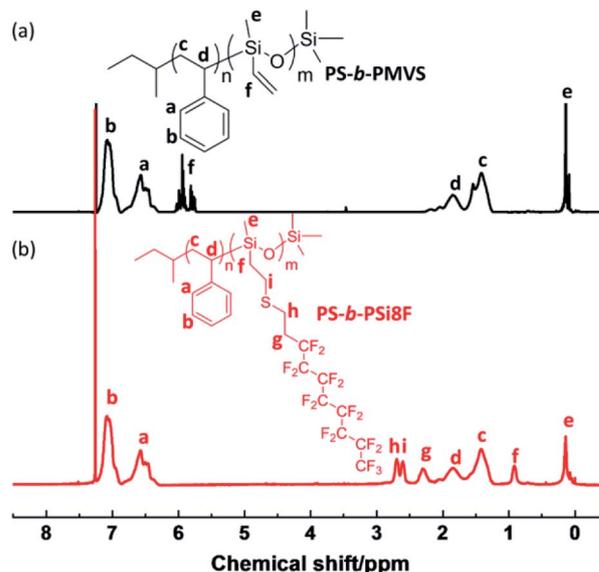
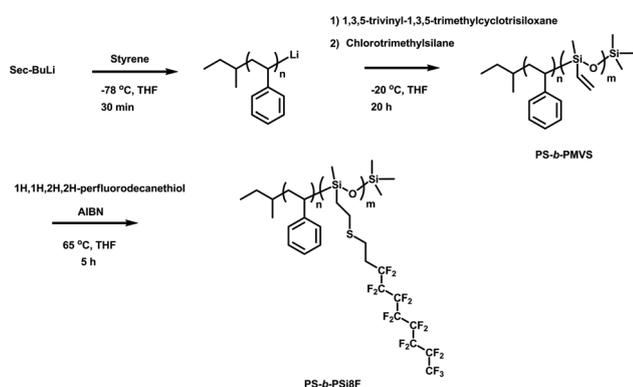


Fig. 1 Representative ¹H NMR spectra of (a) PS-*b*-PMVS (SV13.6) and (b) PS-*b*-PSi8F (SF20.8) in CDCl₃ solvent.

backbone is significantly lower because of the intrinsically flexible backbone. The annealing temperature for the bulk sample in this study was set at 160 °C, which far exceeds the isotropic transition temperature. Therefore, the interplay between liquid crystal nano-ordering and self-assembly under thermal annealing should be negligible. Afterwards, the bulk morphologies of the synthesized BCPs were characterized by SAXS, as shown in Fig. 2.

The formation of a liquid crystal nanostructure from the side chain below the isotropic transition temperature was evidenced by the SAXS profiles (Fig. S5[†]) of the as-prepared SF20.8 bulk sample evaporated from THF solution at 30 °C, which is below the corresponding isotropic transition temperature detected from thermal analysis. A significant scattering peak at *q* of 2.0 nm⁻¹ indicates a liquid crystal ordering of 3.14 nm, which is highly consistent with the reported self-assembly scale from similar perfluoroalkyl side chains in the literature.^{18,50} It is also worth noting that compared to the reported 0.74 nm Gaussian segment length of polystyrene,⁵¹ the segment length of a single



Scheme 2 Synthetic scheme of PS-*b*-PMVS precursor *via* sequential living anionic polymerization of styrene and cyclic siloxane-based monomer, and post-functionalization with thiols.

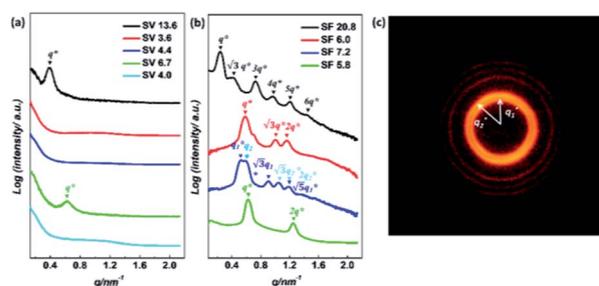


Fig. 2 SAXS profiles of the synthesized (a) precursor PS-*b*-PMVS, (b) chemically modified PS-*b*-PSi8F bulk samples following thermal annealing at 160 °C for 24 h, and (c) collected SAXS scattering signals from the SF7.2 annealed bulk sample.



introduced perfluoroalkyl side chain was estimated to be half of the liquid crystal ordering, *i.e.*, 1.6 nm considering the reported head-to-head regularity of the smectic phase consisting of perfluoroalkyl side chains.¹⁸ Therefore, the segment length of PSi8F is estimated to be more than double that of the PS segment, indicating the non-negligible rigidity of the PSi8F segment compared to the PS segment. In comparison, no liquid crystalline ordering was observed in the self-assembled nanostructure of as-prepared SF6.0 or SF7.2 with lower M_n (Fig. S5†), because their isotropic transition temperatures were all below 10 °C according to the DSC analysis (Fig. S4†). Therefore no significant liquid crystal ordering could proceed during solvent evaporation induced self-assembly at 30 °C.

Following thermal annealing, all chemically modified PS-*b*-PSi8Fs exhibited multiplied scattering peaks, unlike the disordered morphologies of the PS-*b*-PMVS precursors, indicating the formation of an ordered self-assembly nanostructure. The domain spacing in the formed nanostructure was determined by the position of the primary scattering peak, and the results are summarized in Table 1. The SAXS profiles of SF20.8 reveal a self-assembly morphology of a hexagonally packed cylinder, as a significant scattering peak at $\sqrt{3}q^*$ was observed. The self-assembled hexagonally packed cylinder domains were also evidenced by TEM observation (Fig. S6†). Considering the near symmetric volume fraction of counter-blocks and strong segregation driving force (χN) of SF20.8, a self-assembled lamellar morphology should be predicted based on a flexible coil-coil model. This mismatch between experimental observation and theoretical prediction could be attributed to the rigid perfluoroalkyl side chains, which differ from flexible PS segments.

When the M_n of BCP was further reduced from 20k to 6k Da, the self-assembled morphology revealed cylinders in isotropic/anisotropic hexagonal packing arrays, depending on the specific volume fraction of the blocks in each BCP. In contrast, all the PS-*b*-PMVS precursors exhibited disordered morphologies, indicating a significant increase in the χ parameter after chemical modification. Chemically modified SF6.0 and SF7.2

exhibited multiple scattering peaks, compared to the sole primary scattering peak of SV6.7 with a similar molecular weight. Generally, the periodicity of a self-assembly structure is revealed by the number of scattering peaks. Therefore, the different number of scattering peaks also indicated the enhanced χ parameter between blocks after chemical modification.

It is worth noting that two distinctive primary scattering peaks were observed in the SAXS profiles of the SF7.2 annealed bulk sample (Fig. 2b and c), indicating anisotropy in the self-assembled periodic structure. Besides, TEM observation also suggested that SF7.2 seemingly revealed a structure of anisotropic hexagonally packed cylinders (Aniso-HEX), which is

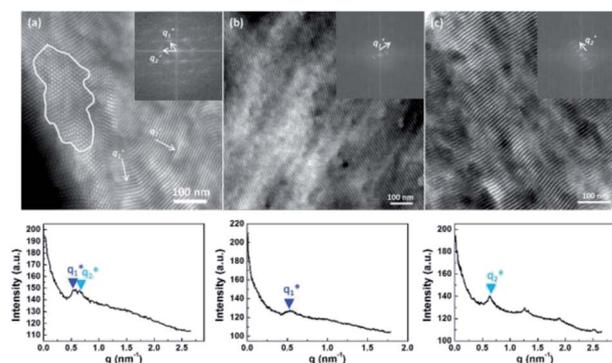


Fig. 3 Top row: TEM micrographs showing the domains corresponding to (a) the top view of PSi8F cylinders in anisotropic hexagonal packing and (b and c) the striations of two distinctive dimensions at q_1^* and q_2^* corresponding to the side view in the annealed SF7.2 bulk sample. The inset displays the fast Fourier-transform (FFT) pattern of the real-space image (a) within the indicated white circle or (b and c) the entire image. Bottom row: integrated signals along the radius to the center of the FFT image. Two distinctive spacings could thus be estimated: $d_1 = 11.8$ nm (q_1^*) and $d_2 = 10.0$ nm (q_2^*), which correspond to two center-to-center distances $a_1 = 2/\sqrt{3} d_1 = 13.6$ nm and $a_2 = 2/\sqrt{3} d_2 = 11.5$ nm. The PS and PSi8F domains appear as dark and bright areas, respectively, due to selective ruthenium tetroxide staining of the PS segments.

Table 1 Characterization data of the synthesized BCPs

| BCPs | Label ^a | M_n^b (kg mol ⁻¹) | \mathcal{D} | w_{PS}^c | f_{PS}^d | $d_{spacing}^e$ (nm) | Morphology ^f |
|---------------------|--------------------|---------------------------------|---------------|------------|------------|----------------------|-------------------------|
| PS- <i>b</i> -PMVS | SV13.6 | 13.6 | 1.22 | 0.83 | 0.82 | 16.1 | Disordered |
| | SV4.4 | 4.4 | 1.29 | 0.86 | 0.86 | — | Disordered |
| | SV3.6 | 3.6 | 1.20 | 0.81 | 0.80 | — | Disordered |
| | SV4.0 | 4.0 | 1.35 | 0.93 | 0.93 | — | Disordered |
| | SV6.7 | 6.7 | 1.14 | 0.56 | 0.55 | 10.0 | Disordered |
| PS- <i>b</i> -PSi8F | SF20.8 | 20.8 | 1.18 | 0.43 | 0.54 | 26.6 | HEX |
| | SF7.2 | 7.2 | 1.17 | 0.49 | 0.60 | 12.0/10.7 | Aniso-HEX |
| | SF6.0 | 6.0 | 1.16 | 0.39 | 0.50 | 10.7 | HEX |
| | SF5.8 | 5.8 | 1.28 | 0.62 | 0.72 | 10.1 | LAM |

^a The labels SV and SF refer to PS-*b*-PMVS and PS-*b*-PSi8F, respectively, while the following number refers to the number-average molecular weight (M_n) of the polymer. ^b M_n and dispersity (\mathcal{D}) were obtained with SEC using THF as the eluent based on PS standards. ^c PS weight fractions (w_{PS}) of PS-*b*-PMVS and of PS-*b*-PSi8F were calculated from ¹H NMR data. ^d PS volume fractions (f_{PS}) were calculated using the bulk densities for each block (1.05 g cm⁻³ for PS, 1.01 g cm⁻³ for PMVS, and 1.65 g cm⁻³ for PSi8F). ^e The domain spacings ($d_{spacing}$) were estimated from the position of the first-order scattering peak in the SAXS profile upon thermal annealing at 160 °C for 24 h. ^f The morphologies in the bulk were determined by SAXS and TEM.



different from the conventional isotropic hexagonally packed cylinders (HEX) observed in SF20.8 and SF6.0. The TEM image in Fig. 3 serves as direct evidence for the formation of an anisotropic hexagonal packing array.

Two distinctive periodic spacings at an angle of approximately 60° to each other were indicated from the FFT analysis. Moreover, the split primary scattering peaks at q_1^* ($d_1 = 12.0$ nm) and q_2^* ($d_2 = 10.7$ nm) in the SAXS profiles are in good agreement with the two estimated spacings q_1^* ($d_1 = 11.8$ nm) and q_2^* ($d_2 = 10.0$ nm) extracted by FFT analysis from the TEM images of the anisotropic packing array. This anisotropic hexagonal packing array was thought to be caused by the mismatch between certain block volume fractions and rigid perfluoroalkyl side chains, which failed to form the isotropic packing array with incompressible chains. Owing to a similar side chain effect, a coil-comb diblock copolymer capable of forming a tetragonally packed cylinder structure was previously reported.⁵² In contrast with SF7.2 and SF6.0, SF5.8 with a relatively more asymmetric volume fraction ($f_{PS} = 0.72$) revealed a lamellar morphology with 10.1 nm domain spacing, which indicates a potential narrow half-pitch of 5 nm in width.

Estimation of χ_{eff}

The strength of the segregation before and after chemical modification of the fluorinated side chain was quantified using the random-phase approximation method,^{13,53–55} in terms of the effective Flory–Huggins interaction parameter expressed by $\chi_{\text{eff}} = A/T + B$, where A/T is the enthalpic contribution, and B is the entropic contribution. Firstly, temperature-dependent SAXS analyses were conducted on the precursor PS-*b*-PMVS (SV6.7) and the chemically modified PS-*b*-PSi8F (SF5.8), from 240 °C to 160 °C or from 290 °C to 210 °C in 10 °C decrements (Fig. S7 and S8†). The SAXS profiles of SF5.8 showed a continuous linear change in the I_{max}^{-1} vs. T^{-1} plot between 290 and 240 °C, and a breakthrough point between 240 and 230 °C, as the temperature range of 290–240 °C is beyond the BCP order-to-disorder transition temperatures (ODTs) (Fig. S8(b)†). Therefore, the SAXS profiles in the mean-field disordered state were then analyzed, based on Leibler's mean-field theory and modified to include the effects from the molecular weight dispersity and asymmetry in the segmental volume. Remarkably, since χ_{eff} depends on the reference volume used to calculate N , a common reference volume ($v_0 = 118 \text{ \AA}^3$) was utilized to compare the χ_{eff} with other reported values. For the PS-*b*-PMVS precursor, χ_{eff} was estimated from $\chi_{\text{eff}} = 1.12/T + 0.0924$ (Table 2). A weak temperature dependence was observed for $\chi_{PS/PDMS}$, possibly because of geometrical packing constraints on the differently shaped monomers of the two species⁵⁶ and the chain

polarization/stretching effects near ODTs,⁵⁷ which have been observed in other systems with a large entropic contribution to χ_{eff} .⁴⁵ The estimated χ_{eff} value of PS-*b*-PMVS at 160–240 °C was consistent with the previously reported value for PS-*b*-PDMS (0.108 at 160 °C).^{58,59} The slightly lower χ_{eff} value of the former (PS-*b*-PMVS) was possibly caused by a lower silicon composition in the siloxane-based segments, which reduces the incompatibility with organic PS segments. According to Leibler's theory,³² the driving force for self-assembly is indicated by the magnitude of χN . Based on the estimation results, SV6.7 has $\chi_{\text{eff}}N = 8.6$, which falls outside the boundary condition for self-assembly of a symmetric diblock copolymer ($\chi_{\text{eff}}N \geq 10.5$). In contrast, owing to the enhanced χ parameter after chemical modification, SF6.0 and SF7.2 of similar N values, and even SF5.8 with a lower N value have $\chi_{\text{eff}}N \geq 10.5$, which enables the micro-phase separation to proceed. The estimated χ_{eff} from chemically modified SF5.8 is expressed by $\chi_{\text{eff}} = 2.56/T + 0.163$. Compared to the precursor PS-*b*-PMVS, the introduced long fluorinated side chain obviously contributed to a higher χ_{eff} (0.169 at 160 °C), which is almost twice that of the precursor BCPs prior to chemical modification. The super-hydrophobic fluorinated side chain increases the hydrophobicity of the siloxane segments, as evidenced by the measured SFE value of 5.9 mJ m⁻² for Homo-PSi8F, which is extremely low compared to the reported value of 20.4 mJ m⁻² of polydimethylsiloxane at room temperature.⁶⁰ Moreover, the reported SFE of counter-block polystyrene is 40.7 mJ m⁻².⁶¹ Therefore, the increased difference between the SFEs of counter-blocks leads to enhanced segregation, which is further revealed by a higher χ_{eff} parameter.

Morphological predictions by SCFT

SCFT calculation based on two-dimensional models failed to precisely predict the self-assembled morphology of SF20.8, as a less ordered morphology consisting of randomly oriented short rods and dots was preserved in the evolution of *in situ* self-assembly (Fig. 4a and S10†). With certain volume fractions (f_{PS}), the extremely high driving force for self-assembly (χN) leads to the instant formation of discrete aggregated domains. And the morphology even remains trapped in this metastable state following an extended annealing time, as the high energy barrier separating the metastable and stable states prevents the formation of an ultimately stable structure in SCFT prediction. In fact, the following predicted 2-D morphological phase diagram revealed that SF20.8 falls into the transition boundary between lamellar and HEX morphologies.

As χN becomes lower, SF7.2 and SF6.0 of similar symmetric block volume fractions begin to reveal hexagonally packed cylindrical morphologies. The experimentally observed isotropy and anisotropy in the hexagonal packing array of SF6.0 and SF7.2 are precisely predicted by SCFT. The anisotropic packing array leads to at least two distinct center-to-center periodic distances between adjacent PSi8F cylinders, which match the TEM and SAXS characterization results. The two distinct center-to-center distances from SCFT prediction are $d_1 = 1.14$ units

Table 2 Estimated enthalpic and entropic contributions for PS-*b*-PMVS (SV6.7) and PS-*b*-PSi8F (SF5.8)

| BCP | Label | A/T | B |
|---------------------|-------|--------|--------|
| PS- <i>b</i> -PMVS | SV6.7 | 1.12/T | 0.0924 |
| PS- <i>b</i> -PSi8F | SF5.8 | 2.56/T | 0.163 |



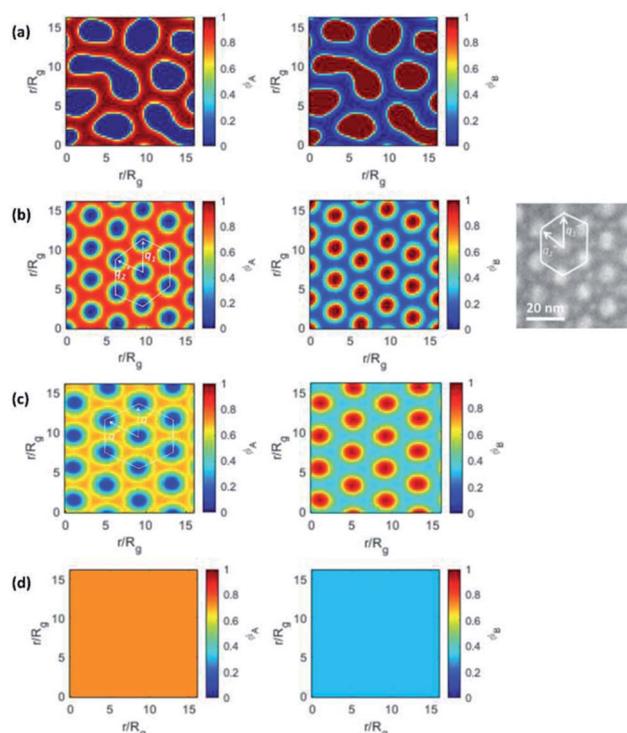


Fig. 4 Theoretically predicted 2-D morphologies by SCFT for (a) SF20.8, (b) SF7.2, (c) SF6.0, and (d) SF5.8 by assuming different segment lengths ($a = 1$, $b = 2.3$). The red and blue colors indicate PS and PSi8F segments in the left-hand column, and inverted in the right-hand column. The density gradient bar for different segments is given next to each image. In (b), the experimental TEM image of SF7.2 is presented on the right for comparison. The two distinct periodic distances are also marked in both simulated SCFT and experimental TEM results.

and $d_2 = 1.00$ units, and their ratio is highly consistent with the experimental observation ($d_1 = 1.12 d_2$).

In contrast, a typical isotropic hexagonal packing array is suggested for SF6.0, consistent with the experimental observation. This is possibly caused by the difference in block volume fractions. As the molecular weight of PS-*b*-PSi8F is further reduced to 5.8k Da, SCFT suggests a disordered state at the annealing temperature of 160 °C, in which two different segments are homogeneously mixed. The inconsistency between SCFT and random phase approximation results, which suggests an ODT between 230 °C and 240 °C for SF5.8, originates from the different assumptions regarding the effects of BCP dispersity on the self-assembly.

In addition, SCFT morphological prediction based on equal segment lengths was performed to mimic the flexible coil-coil model. As shown in Fig. S9,† isotropic hexagonal packing of cylinders and lamellae are predicted for SF7.2 and SF6.0, which are obviously inconsistent with the experimental observation. The results indicated that the flexible coil-coil model is not suitable for the studied BCP.

After verifying the ratio of segment lengths, a phase diagram could be further calculated using SCFT for the investigated PS-*b*-PSi8F (Fig. 5). A minimal molecular weight of 6k Da with

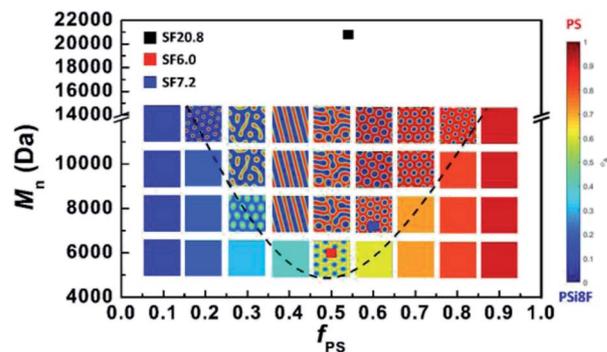


Fig. 5 Theoretically predicted 2-D morphological phase diagram by SCFT using $f_{PS} = 0.1/0.2/0.3/0.4/0.5/0.6/0.7/0.8/0.9$ under PS-*b*-PSi8F ($M_n = 6000/8000/10\ 000/14\ 000$ Da), assuming different segment lengths ($a = 1$, $b = 2.3$). A homogenous color inside the square indicates a disordered morphology. The dashed curve is a visual aid to indicate the boundary between ordered and disordered regions. The red and blue colors indicate PS and PSi8F segments, respectively. The density gradient bar for the two different segments is given next to the phase diagram.

a symmetric volume fraction ($\chi_{eff}N = 11.0$) was predicted to almost approximate the limit for inducing self-assembly. Upon increasing the volume fraction f_{PS} , there is a transition in morphology from hexagonally packed PS cylinders to lamellae. No axial symmetry was observed at $f_{PS} = 0.5$ among the predicted morphologies in the left or right halves of the diagram, which is obviously attributed to the assumption of significantly different segment lengths for each block representing rigidity.^{36,38} Remarkably, the anisotropic hexagonal packing array was only predicted in the vicinity of $f_{PS} = 0.6$ with relatively low M_n , which correlates with the reported anisotropic fluctuations of hexagonally packed cylinders that mostly occurred in weakly segregated states.^{62,63}

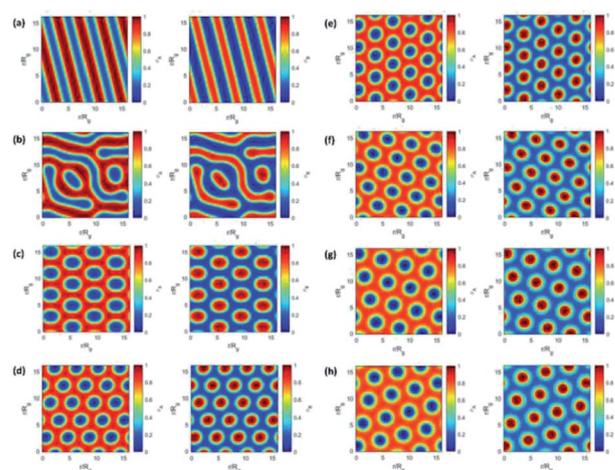


Fig. 6 Theoretically predicted 2-D morphologies by SCFT for SF7.2, assuming a fixed segment length of $a = 1$ and varied b : (a) $b = 0.5$, (b) $b = 0.75$, (c) $b = 1$, (d) $b = 1.5$, (e) $b = 2$, (f) $b = 3$, (g) $b = 4$, and (h) $b = 5$. The red and blue colors indicate PS and PSi8F segments in the left-hand column, and they are inverted in the right-hand column. The density gradient bar for different segments is given next to each image.



To further investigate the effects of varied segment lengths on the self-assembled morphology, the segment length of PSi8F was tuned to 0.5, 0.75, 1.0, 1.5, 2, 3, 4, and 5 times that of the PS segment, as shown in Fig. 6. As the segment length of PSi8F gradually increased, the morphology changed from lamellae to hybrid and then to hexagonally packed cylinders. The anisotropy of the hexagonal packing array becomes more significant when the segment length of PSi8F is more than 1.5 times that of PS segments. The anisotropy is most obvious when this segment length ratio was between 2 and 3, which is consistent with the experimentally and theoretically estimated ratio of 2.3 in this study. However, as the segment length was further increased to more than 3, the anisotropy of the hexagonal packing array seemed to diminish again. These transitions clearly indicate the dependence of the self-assembled morphology on the segment lengths at given block volume fractions and molecular weights.

Conclusions

1H,1H,2H,2H-perfluorodecanethiol was introduced into the backbone of Si-containing polystyrene-*block*-poly(methylvinylsiloxane) *via* a facile thiol-ene reaction. The superhydrophobic long fluorinated alkyl chain is meant to boost the segregation between counter-blocks. The estimated χ parameter after modification was determined to be $\chi_{\text{eff}} = 2.56/T + 0.163$, which is 80% higher than that of the precursor BCP (PS-*b*-PMVS) at an annealing temperature of 160 °C. Owing to the high rigidity of the introduced perfluoroalkyl side chain, the synthesized coil-rod diblock copolymer could self-assemble into anisotropic hexagonally packed cylinders with two distinct center-to-center distances. The experimental observations were further verified by morphological prediction from self-consistent field theory (SCFT) assuming a coil-rod model. A phase diagram for the investigated coil-rod diblock copolymer was generated using SCFT, and the boundaries between different self-assembled phases were clearly revealed. Additionally, SCFT was used to study the effects of different relative segment lengths, which correspond to varied rigidity or stiffness of the rod segment, on the self-assembled morphologies. A gradual morphological transition from lamellae to anisotropic/isotropic hexagonally packed cylinders was revealed. This paper reports the first experimental observation of the predicted anisotropy in the self-assembly morphology of diblock copolymers, which adds to a fundamental understanding of the unique self-assembly behavior in coil-rod diblock copolymers. Furthermore, by chemically tailoring the BCP to minimize the scale of self-assembly domains, the developed PS-*b*-PSi8F polymers with a high χ parameter are promising candidates for resists in next-generation BCP lithography.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors thank Ryohei Kikuchi of the Tokyo Institute of Technology, Ookayama Advanced Materials Division for support with TEM measurements. The authors acknowledge the financial support of 2021 Jiangsu Province Shuangchuang (Innovation and Entrepreneurship) Talent Program (No. JSSCBS20210067) and State Key Laboratory of High Performance Civil Engineering Materials Open Fund (No. 2021CEM002).

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