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## Efficient conversion of lactic acid to alanine over noble metal supported on Ni@C catalysts<sup>†</sup>

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Alanine (Ala), regarded as the building block for protein synthesis, has been widely used in the field of food processing, pharmaceutical, and bio-based plastic industries. Containing plenty of oxygenic functional groups, biomass-derived chemicals are proper for Ala synthesis in an economic and green way *via* amination. In this work, lactic acid (LA) derived from renewable biomass and waste glycerol (the major by-product of biodiesel industry) was used to produce Ala. Here, a series of magnetic catalysts M/Ni@C (M = Ru, Pt, Pd, Ir, and Rh) were synthesized by ethylene glycol reduction of metal M supported on encapsulated Ni@C. Compared with catalysts based on other M metals, Ru/Ni@C catalysts exhibited extraordinary efficiency with 91.4% selectivity for Ala synthesis from LA (63.7% yield of Ala and 69.7% conversion of LA). The results of experiments and catalyst characterization indicated that the doping of M metals could improve the dehydrogenation ability of catalysts, as well as the ability of NH<sub>3</sub> adsorption, facilitating the reaction towards Ala. Overall, this study provides an efficient chemo-catalytic way for the production of Ala from biomass-derived substrates.

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### 1. Introduction

As basic building blocks in protein synthesis, amino acids are widely used in the field of biological, pharmaceutical, and food industries for the synthesis of sweeteners and chiral medical intermediates.<sup>1</sup> Currently, the production of amino acids is mainly achieved *via* microbial cultivation, but the severe operating conditions and complicated separation procedures are time-consuming and costly, which impose restrictions on their industrial application.<sup>2</sup> Besides fermentation, amino acids can be synthesized by chemical methods, including the Strecker synthesis,<sup>3,4</sup> Gabriel synthesis<sup>5</sup> and Miller synthesis.<sup>6</sup> As described in Strecker synthesis procedure, aldehydes, NH<sub>4</sub>Cl and cyanides were used to form  $\alpha$ -amino nitrile as an intermediate, which was further hydrolyzed to Ala. However, the application of the Strecker synthesis is limited owing to the use of highly toxic cyanides as nitrogen sources. Similarly, the other two methods of chemical conversion are also restricted to industry application because of the low yield of products and complicated procedure. Therefore, developing a new process for

efficient amino acid production *via* a green and clean way is of significance but still an open challenge.

Biomass-derived chemicals, including plenty of oxygen-containing groups,<sup>7,8</sup> which can be used as a renewable feedstock meet with the demand of economical and greener processes in amino acid production *via* amination.<sup>9</sup> As reported, *N*-acetylglucosamine (NAG) can be used as a resource to yield glycine in two steps.<sup>10</sup> NAG was first converted into *N*-acetylmonooethanolamine (AMEA) by Ru/C in a NaHCO<sub>3</sub> aqueous solution that could avoid the side isomerization of the acetamido group in NAG under H<sub>2</sub> pressure. For the second step, the combination of Ru/C and NaHCO<sub>3</sub> was also applicable for the transformation of AMEA to glycine under O<sub>2</sub> pressure. Recently, Deng *et al.* have realized the conversion from glucose to Ala in a two-step protocol:  $\alpha$ -hydroxyl acids were produced first and further aminated to  $\alpha$ -amino acids, and Ala yield of 43% was achieved in the presence of Ba(OH)<sub>2</sub> and Ru/CNT.<sup>11</sup> After that, Yan *et al.* realized the direct conversion of waste glycerol into Ala over a RuNi/MgO catalyst with high Ala yield of 43%.<sup>12</sup> The conversion was conducted with dehydrogenation, dehydration, the Cannizzaro reaction and amination, and the cleavage of  $\alpha$ -C–H of LA was identified as the rate-determining step calculated by density functional theory (DFT) calculations. Moreover, Ru supported on N-doped carbon nanotubes shows high efficiency on amination of bio-derived hydroxyl acids.<sup>13</sup> After N was doped, the dispersion of Ru nanoparticles could be improved, facilitating the adsorption of acidic reactants through the basic sites. In conclusion, catalytic synthesis of amino acids from biomass-derived substrates is still in its infancy. Hence, it is of great interest to develop effective

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catalytic systems for amino acid production from biomass and its derivatives.

Lactic acid (LA), expediently accessible from biomass-derived substrates and waste glycerol (a major by-product of the biodiesel industry) conversion,<sup>14,15</sup> possesses a hydroxyl group and a similar carbon block to Ala, which is qualified for Ala production.<sup>16–19</sup> Conversion step of LA into Ala involves dehydrogenation, amination and hydrogenation, and the reaction pathway was rarely discussed, but we can reference the amination reaction of alcohols, aldehydes and ketones to design an appropriate catalyst.<sup>20,21</sup> In the research by Zhang group, a Ru/ZrO<sub>2</sub> catalyst was designed to convert glycolaldehyde, glyceraldehyde and various ketones in aqueous ammonia.<sup>22</sup> The ratio of RuO<sub>2</sub>/Ru could be mediated by changing the temperature of pre-reduction, in which RuO<sub>2</sub> activates the carbonyl group as a Lewis acidic site and Ru promotes hydrogenation as a metallic site. Wang *et al.* used methanol as a model compound to investigate the regularity of alcohol amination.<sup>23</sup> Combined with the first-principles calculation, the relationship between various metal-alloy catalysts, reaction activity and primary amine selectivity was revealed, and the best catalytic performance could be achieved over the catalysts CoAg and CoRu. Shimizu *et al.* designed a Ni/Al<sub>2</sub>O<sub>3</sub> catalyst for the amine alkylation without using any additives for the first time.<sup>24</sup> The results indicated that the low-coordinated Ni species and the metal–support interface were the active sites, and the acid–base pair site of Al<sub>2</sub>O<sub>3</sub> assisted the deprotonation and hydrogen-transfer steps.

Combined with the above-mentioned research on catalytic amination, it is recognized that dehydrogenation is the rate-limiting step when it is involved. Noble metals are favored as active metal sites with high performance of C–H cleavage for dehydrogenation. In addition, the introduction of metallic oxides as Lewis acid sites could also activate the hydroxyl group by adsorption.<sup>25</sup> Hence, we designed and synthesized a magnetic bimetal Ru/Ni@C catalyst, and the catalyst exhibited extraordinary efficiency with 91.4% selectivity for synthesizing Ala from LA. Experimental and analysis results indicated that after metal Ru was introduced on Ni@C, the formed RuO<sub>2</sub> was proved as the key active site in the dehydrogenation process for its excellent activation of the hydroxyl group in LA. Besides, a series of other noble magnetic catalysts M/Ni@C (M = Pt, Pd, Ir and Rh) were synthesized and compared.

## 2. Experimental

### 2.1. Materials

The chemicals used in this work, Ni(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O (AR, 98%), citric acid (AR, 99.5%), DL-lactic acid (AR, 99%), pyruvic acid (AR, 98%), DL-alanine (AR, 99%), DL-lactamide (98%), NH<sub>3</sub>·H<sub>2</sub>O (AR, 28%), RuCl<sub>3</sub>·nH<sub>2</sub>O (Ru ≥ 37.5 wt%), IrCl<sub>3</sub> (Ir ≥ 60.0 wt%), RhCl<sub>3</sub>·3H<sub>2</sub>O (Rh ≥ 39 wt%), H<sub>2</sub>PtCl<sub>6</sub>·6H<sub>2</sub>O (Pt ≥ 37.5 wt%), PdCl<sub>2</sub> (Pd ≥ 60.0 wt%), ethylene glycol (AR, 98%), and ethanol (AR, 99.5%) were purchased from Shanghai Macklin Biochemical Co., Ltd. H<sub>2</sub>SO<sub>4</sub> (AR, 98%), formic acid (AR, 88%), acetic acid (AR, 99.8%), and propanoic acid (AR, 99.5%) were purchased from Sinopharm Chemical Reagent CO., Ltd. All the chemicals were used as received without further purification.

### 2.2. Preparation of Ni@C, M/Ni@C (M = Ru, Pt, Pd, Ir, and Rh), CS (carbon shells), and Ru/CS

**2.2.1 Synthesis of Ni@C.** Ni encapsulated in a graphene layer catalyst (Ni@C) was prepared with appropriate modification according to our work reported previously.<sup>26</sup> First, a homogeneous solution was formed by adding 11.52 g citric acid (C<sub>6</sub>H<sub>8</sub>O<sub>7</sub>·H<sub>2</sub>O, CA) and 17.83 g Ni(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O into 5 mL deionized water. Then, a greenish gel would be observed after stirring at 70 °C for 6 h, and excess water was removed by drying at 60 °C for 72 h. The obtained precursor was denoted as CA-Ni. Next, CA-Ni was treated in a N<sub>2</sub> flow (40 mL min<sup>−1</sup>) at 600 °C for 3 h. After calcination, 1 mol L<sup>−1</sup> H<sub>2</sub>SO<sub>4</sub> aqueous solution was used to dispose the obtained black samples for removing the unencapsulated Ni particles. Finally, the sample was dried in a freeze-dryer overnight to obtain the Ni@C catalyst.

**2.2.2 Synthesis of M/Ni@C.** For the Ru/Ni@C catalysts, 0.04 g of RuCl<sub>3</sub>·nH<sub>2</sub>O (Ru mass fraction is 37.5%) was dissolved into 50 mL ethylene glycol in a flask. The solution was stirred and sonicated for 10 min to form a homogeneous solution. Then, 0.3 g Ni@C was added into the solution and sonicated for 30 min. The residual air in the flask was repeatedly replaced with Ar, and the flask was placed in an oil bath and refluxed at 160 °C for 2 h.

Finally, the black powder was collected with magnet, thoroughly washed with deionized water and dried in a freeze-dryer. The theoretical loadings of Ru metals are 5 wt%. Similarly, Pt/Ni@C, Pd/Ni@C, Ir/Ni@C and Rh/Ni@C were synthesized by the same way, and the raw materials were H<sub>2</sub>PtCl<sub>6</sub>·6H<sub>2</sub>O, PdCl<sub>2</sub>, IrCl<sub>3</sub> and RhCl<sub>3</sub>·3H<sub>2</sub>O, respectively.

**2.2.3 Synthesis of CS (carbon shells).** The catalyst precursor of CA-Ni was calcined under N<sub>2</sub> flow at 400 °C for 4 h. In addition, Ni particles would be removed after treating in 5 mol L<sup>−1</sup> H<sub>2</sub>SO<sub>4</sub> aqueous solution. The above-mentioned samples were then collected, washed several times with deionized water to achieve neutrality, and freeze-dried to obtain graphene carbon shells (CSs).

**2.2.4 Synthesis of Ru/CS.** The synthesis method of Ru/CS was similar to that of Ru/Ni@C, the difference is that CS was used instead of Ni@C as the support.

### 2.3. Catalyst characterization

X-ray diffraction (XRD) patterns were recorded in the range of  $2\theta = 10^\circ$  to  $80^\circ$  using an X-ray diffractometer equipped with a Cu K $\alpha$  radiation source ( $\lambda = 0.154$  nm). High-resolution transmission electron microscopy (HRTEM) and relevant energy-dispersive X-ray (EDS) mappings were performed at an accelerating voltage of 200 kV using a JEM-2100 microscope. X-ray photoelectron spectroscopy (XPS) of the catalyst was performed using a multichannel Thermo Fisher Scientific Escalab 250 Xi photoelectron spectrometer equipped with a monochromatic Al-K $\alpha$  radiation source ( $h\nu = 1486.6$  eV). The actual binding energies with an error of  $\pm 0.1$  eV were calibrated with an adventitious C 1s peak (284.8 eV). NH<sub>3</sub>-TPD profile was measured using an Autochem 2910 apparatus. A He flow rate of 80 mL min<sup>−1</sup> was used to treat the catalyst at 600 °C for 2 h. Then, 8 vol% NH<sub>3</sub>/He was allowed to flow through the inlet, while the temperature was decreased to 100 °C. After that,



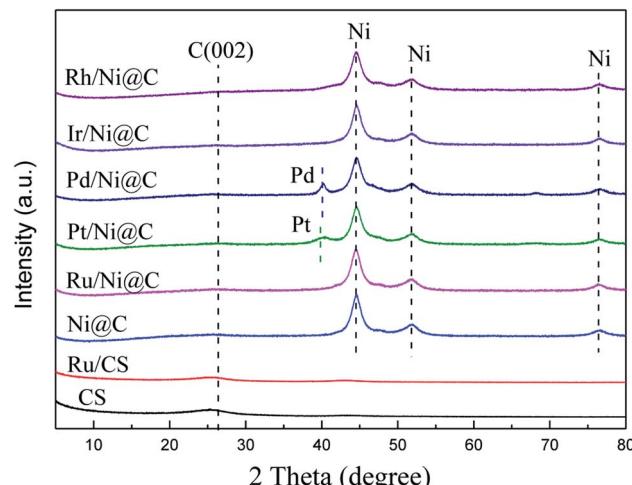


Fig. 1 XRD patterns of Ni@C, M/Ni@C, CS and Ru/CS (M = Ru, Pt, Pd, Ir, and Rh).

physically absorbed  $\text{NH}_3$  was removed by He flow ( $80 \text{ mL min}^{-1}$ ) for 1 h. Meanwhile, the program was carried out to target  $650^\circ\text{C}$  at a heating rate of  $10^\circ\text{C min}^{-1}$ . In addition, the signal was recorded by TCD. The characterization was done by inductively coupled plasma atomic emission spectroscopy (ICP-AES) using a PerkinElmer OPTIMA 8000 instrument to measure the metal leaching. In addition, the metal loading (wt%) of mentioned catalysts was also quantified by ICP-AES.

#### 2.4. Catalytic performance

All the test reactions were carried out in a sealed 25 mL autoclave reactor (NSC25-P5-T3-HC1-SV, Anhui Kemi Machinery Technology Co., Ltd., Hefei, China). The details are as follows: 0.1 g substrate, 0.05 g catalysts and 10 mL  $\text{NH}_3 \cdot \text{H}_2\text{O}$  (28 wt%) solution were added to the autoclave. Then, the

autoclave was sealed and purged with pure  $\text{H}_2$  five times and set in 1.5 MPa. After that, the autoclave was heated to a desired temperature for a certain time period under vigorous stirring (800 rpm). After each reaction, the autoclave was cooled down to room temperature naturally and the liquid was separated by filtration.

For the recycling test, the Ru/Ni@C catalyst after reaction was collected by a magnet (Fig. S11†), fully washed several times with deionized water and then used for the next run directly after drying in a freeze-dryer.

The target product Ala was qualitatively analyzed by thin-layer chromatography (TLC). The principle of TLC is to separate species based on different adsorption capacities. The stationary phase used for TLC is a silica gel G plate ( $5 \times 10 \text{ cm}$ ), the chromatographic solution is a mixed solution (*n*-butanol : AA : water = 3 vol : 1 vol : 1 vol), and the chromogenic reagent is a ninhydrin ethanol solution (0.2 g ninhydrin/100 mL ethanol). The  $R_f$  value of standard Ala is 0.47. The reaction solution catalyzed by Ru/Ni@C is shown in Fig. S1.†

The liquid products were quantitatively analyzed using a HPLC equipped with both RI and UV detectors. Ala was detected on a  $\text{C}_{18}$  column ( $4.6 \times 250 \text{ mm}$ ) by a precolumn derivatization method, where phenyl isothiocyanate (PITC) was used as the derivatization reagent. LA and other products were analyzed using a Shodex Sugar SH1011 column ( $8.0 \times 300 \text{ mm}$ ) with  $\text{H}_2\text{SO}_4$  ( $0.005 \text{ mol L}^{-1}$ ) as the mobile phase at a flow rate of  $0.5 \text{ mL min}^{-1}$ . All products detected were calculated based on the external calibration method.

The conversion of LA, the yield and selectivity of products were calculated as follows:

$$\text{Conversion of LA (\%)} = \frac{\text{moles of consumed lactic acid}}{\text{moles of initial lactic acid}} \times 100 \quad (1)$$

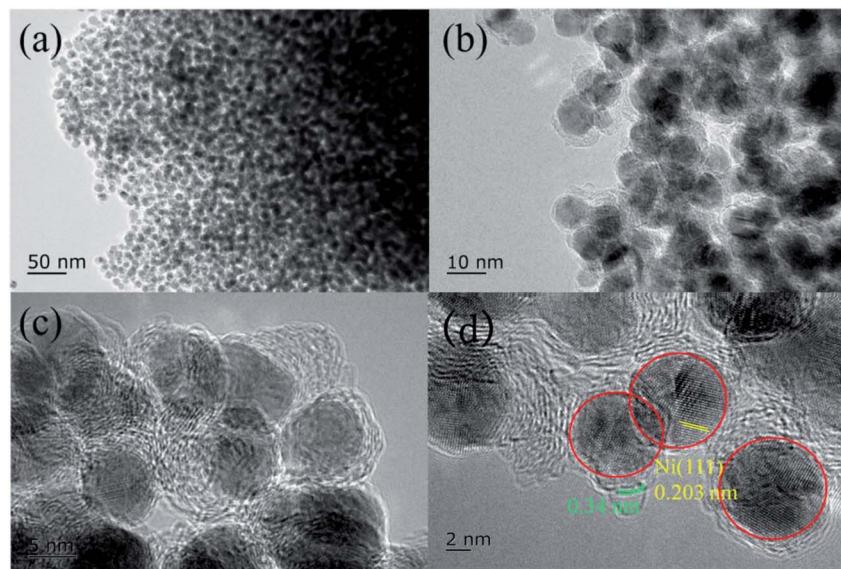


Fig. 2 TEM and HRTEM images of the Ni@C catalyst.



$$\text{Yield of product (\%)} = \frac{(\text{moles of product}) \times (\text{carbon number of product})}{3(\text{moles of initial lactic acid})} \times 100$$

$$\text{Selectivity of product (\%)} = \frac{(\text{moles of product})(\text{carbon number of product})}{3(\text{moles of consumed lactic acid})} \times 100$$

### 3. Results and discussion

#### 3.1. Catalyst characterization

The XRD patterns of Ni@C, M/Ni@C (M = Ru, Pt, Pd, Ir, and Rh), CS and Ru/CS are shown in Fig. 1. For Ni@C, the peaks

centered at 44°, 51°, and 76° were attributed to the Ni phase (JCPDS File Card no. 04-0850). For the bimetal catalyst M/Ni@C, the noble metals showed no specific peaks except Pt/Ni@C and Pd/Ni@C, which displayed the high dispersion and small particle size of Ru, Ir and Rh. The diffraction peaks at 39.8°, 46.2°, and 67.4° correspond to the (111), (200), and (220) planes of Pt (JCPDS File Card no. 04-0802), and the (111) plane of Pt is mainly displayed for the Pt/Ni@C catalyst. The peaks at 40.1°, 46.6°, and 68.1° correspond to the (111), (200), and (220) planes of Pd (JCPDS File Card no. 46-1043), and the (111) plane of Pd is mainly displayed for Pd/Ni@C. This indicates that Pt and Pd metals were not well-dispersed, resulting in obvious diffraction

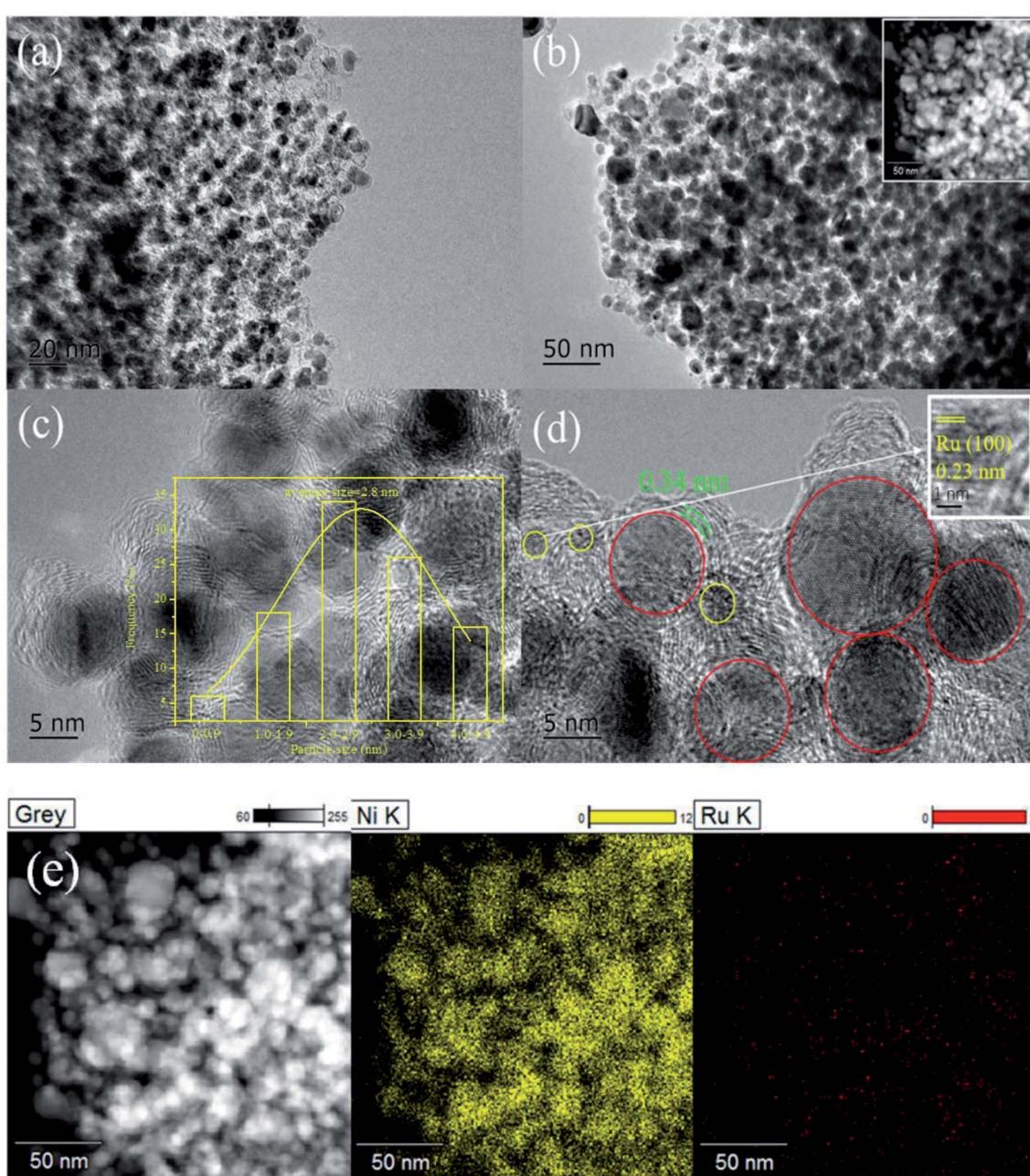


Fig. 3 Ru/Ni@C catalyst: (a)–(d) TEM and HRTEM images and (e) chemical elemental mapping for the spatial distribution of Ni and Ru.



peaks. The samples of CS and Ru/CS only showed a weak peak of C(002) (JCPDS File Card no. 41-1487) at  $26.4^\circ$ , which means that Ni metal particles were removed during the CS preparation process, and the Ru/CS dispersed homogeneously after loading Ru.

Fig. 2–4 and S2–S9† show the microscopic images of Ni@C, M/Ni@C and Ru/CS. As shown in Fig. 2, the nickel particles encapsulated with multilayer graphene carbons were observed for the Ni@C catalyst. In the HRTEM images, lattice fringes with interplanar distances of 0.34 nm and 0.203 nm were detected in Fig. 2d, corresponding to the interplanar spacing of the graphene and Ni(111) planes, respectively. The images of Ru/Ni@C are similar to Ni@C with encapsulated nickel particles, as shown in Fig. 3. The obscure existence of ruthenium particles is probably caused by the thick substrate of metal Ni and Ru. The diffraction fringes of Ru(100) were observed in the HRTEM

image (Fig. 3d) measured as 0.23 nm. Besides, the Ru particles were uniformly dispersed on the surface of Ni@C in the element mapping image (Fig. 3e). The TEM and HRTEM images of Rh/Ni@C are similar to Ru/Ni@C, which showed no obvious rhodium particles in Fig. S2,† because of the close contrast between Rh and Ni, and the element mapping shown in Fig. S3† confirmed the well dispersion of Rh. For the catalysts of Pt/Ni@C and Pd/Ni@C in Fig. S4–S7,† there are some aggregated metal spots in TEM and mapping images, which is consistent with the XRD spectra. For the sample of Ir/Ni@C, many small spherical Ir particles and the diffraction fringes of Ir(200) with 0.192 nm can be observed in the HRTEM image (Fig. S8†). The uniform dispersion and small particle size of Ir are consistent with the XRD pattern. For the single metal catalyst Ru/CS, most nickel particles disappeared and ruthenium particles emerged around the hollow carbon shells, as shown in Fig. 4. In the

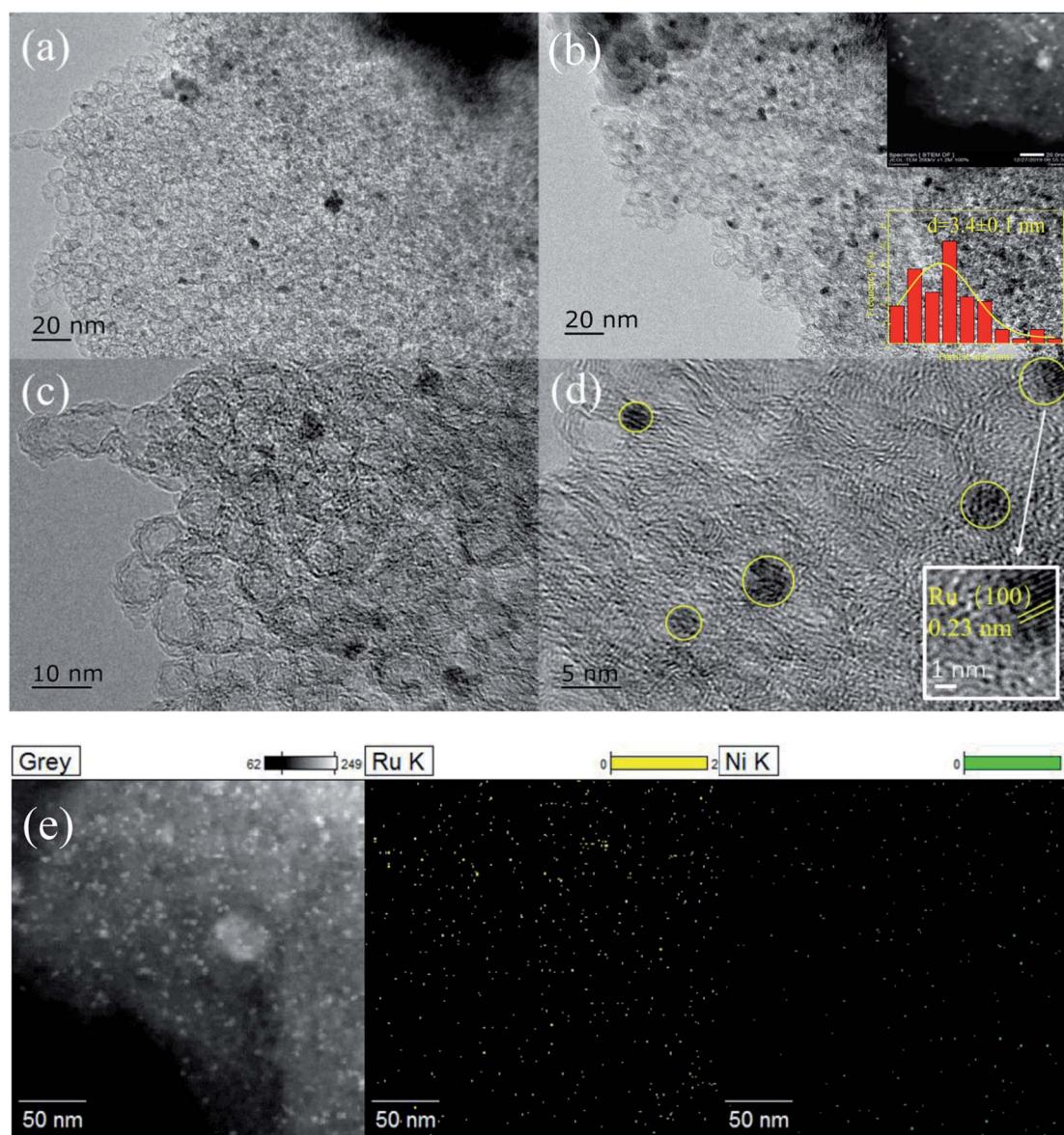


Fig. 4 Ru/CS catalyst: (a)–(d) TEM and HRTEM images and (e) chemical elemental mapping for the spatial distribution of Ni and Ru.



elemental mapping images (Fig. 4e), there are few metal spots detected as Ni and Ru dispersed around CS. The small amount of Ni is probably derived from the incomplete acid treatment of CS.

The surface chemical states of M/Ni@C catalysts were analyzed by XPS. The results indicated that the metals of Ni and M were both partially reduced in the preparation of catalysts. For the Ni 2p<sub>3/2</sub> XPS spectra shown in Fig. 5, there is no obvious difference in the valence states and proportion for Ni<sup>0</sup> and NiO<sub>x</sub>, which accounts for about 6 : 4 for each M/Ni@C catalyst calculated by peak fitting, as given in Table 1. This also reflects the stability of the Ni@C supporter. Catalysts of Ru/Ni@C and Ru/CS show two similar peaks in Fig. 6a and f, representing Ru and RuO<sub>2</sub> respectively. The oxidation of Ru accounts for 55.3% in the Ru/Ni@C catalyst, which is higher

than 48.2% in the Ru/CS catalyst. The spectra of metal Pt (Fig. 6b) and Pd (Fig. 6c) indicate that Pt<sup>0</sup> and Pd<sup>0</sup> are the dominating metal chemical states of catalysts Pt/Ni@C and Pd/Ni@C, which is also consistent with the results presented in Table 1. Similar to other metal spectra, Fig. 6d and e show the peaks of metallic oxides of Ir and Rh, accompanied by the peak of Ir<sup>0</sup> and Rh<sup>0</sup>. Conclusively, since no further reduction treatment was carried out on the M/Ni@C catalyst, the noble metals displayed both metal sites and ionic sites in the meantime.

Besides, the chemical states of C and O in the Ru/Ni@C catalyst were analyzed and the results are shown in Fig. S10;† the existence of C-O-, C=O, and -COOH groups in the C<sub>1s</sub> spectra was due to the oxidation of the catalyst. The presence of

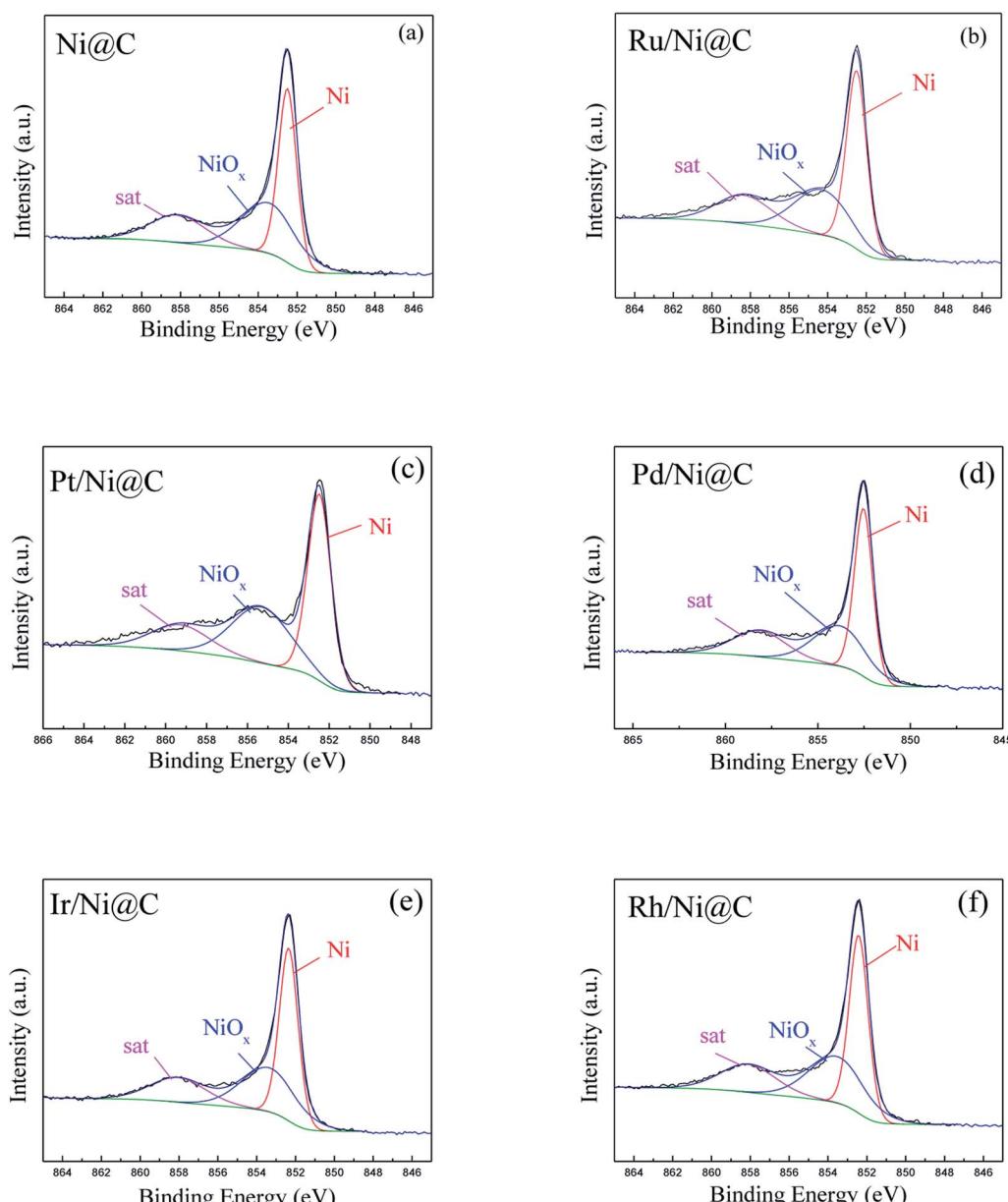


Fig. 5 Ni 2p<sub>3/2</sub> XPS spectra of various M/Ni@C catalysts.



Table 1 Surface metal chemical states of M/Ni@C

Catalyst	Ni (atom%)		M (atom%)	
	Ni <sup>0</sup>	NiO <sub>x</sub>	M <sup>0</sup>	MO <sub>x</sub>
Ni@C <sup>a</sup>	56.3	43.7	—	—
Ru/Ni@C <sup>b</sup>	58.9	41.1	44.7	55.3
Pt/Ni@C <sup>c</sup>	56.9	43.1	62.9	37.1
Pd/Ni@C <sup>d</sup>	58.9	41.1	75.7	24.3
Ir/Ni@C <sup>e</sup>	57.3	42.7	37.9	62.1
Rh/Ni@C <sup>f</sup>	56.5	43.5	48.3	51.7
Ru/CS <sup>b</sup>	—	—	51.8	48.2

<sup>a</sup> Surface chemical states of Ni was calculated *via* peak fitting from XPS analysis referring from Yan.<sup>27</sup> <sup>b</sup> Surface chemical states of Ru was calculated *via* peak fitting from XPS analysis referring from Liang and Li.<sup>22,28</sup> <sup>c</sup> Surface chemical states of Pt was calculated *via* peak fitting from XPS analysis referring from Kim.<sup>29</sup> <sup>d</sup> Surface chemical states of Pd was calculated *via* peak fitting from XPS analysis referring from Lei.<sup>30</sup> <sup>e</sup> Surface chemical states of Ir was calculated *via* peak fitting from XPS analysis referring from Xu and Vos.<sup>31,32</sup> <sup>f</sup> Surface chemical states of Rh was calculated *via* peak fitting from XPS analysis referring from Jiang.<sup>33</sup>

signals of  $\pi-\pi^*$  also proved that the Ni particles are encapsulated by graphene layers,<sup>26</sup> which matches the TEM images shown in Fig. 3. In addition, we can further confirm that the metals Ni and Ru are present partially in the form of metal oxides according to the presence of lattice O species in Ru(Ni)-O bonds.<sup>34,35</sup>

In order to obtain the true metal loadings of catalysts, the ICP-AES analysis was executed and the results are listed in Table 2. Compared with the initial 78.8 wt% of Ni@C, the most Ni loss rate of 11.2 wt% was observed for Pt/Ni@C. In addition, the Pt agglomeration spots of Pt/Ni@C can be observed in TEM images (Fig. S4 and S5†), which is also consistent with the XRD spectrogram. Besides, the tiny loss of Ni occurred in other M/Ni@C catalysts. The content of metal M as detected in Table 2 was close to the theoretical value. It should be noted that, after five runs, there is almost no loss in the content of Ru on the Ru/Ni@C catalyst (the Ru leaching was below 1 ppm in the reaction liquid). Meanwhile, 4.6 wt% loss of Ni was observed.

The ammonia adsorption properties of catalysts were measured by NH<sub>3</sub>-TPD, as shown in Fig. 7, and the acid amounts are listed in Table S1.† Compared with CS, the Ni@C catalyst only showed an obvious desorption peak at 417 °C, and the Ru/CS catalyst showed two enhanced peaks at 224 °C and 436 °C, respectively. This result revealed that the introduction of metal Ru is conducive to improving the NH<sub>3</sub> adsorption capacity of the catalyst. When Ru was introduced, the catalyst of Ru/Ni@C shows significantly increased ammonia adsorption. The obvious increase in the desorption amount of NH<sub>3</sub> in peak 2 indicates that Ru is the key for the increase in ammonia adsorption capacity, which is beneficial to promote the amination.<sup>11</sup> Besides, the presented peak of the Ru/Ni@C catalyst at 376 °C indicates that the introduction of Ru would enhance the surface acidic sites apparently by forming RuO<sub>2</sub>, which is proved to be the main acid promoter.<sup>22</sup>

### 3.2. Catalytic performance

The conversion of LA to Ala was performed in a batch reactor and the main liquid products were detected as Ala (Ala), lactamide (LMD), and propanoic acid (PA). The qualitative analysis of Ala was performed by TLC, as shown in Fig. S1.†

The catalytic performances of various M/Ni@C catalysts are summarized in Table 3. In the conversion of LA into Ala, Ni@C exhibited no obvious catalytic activity with the conversion of 2.7% and trace amounts of Ala. After doping with noble metals, the catalytic activity of the bimetal catalysts was significantly improved. Among them, Ru/Ni@C performed the highest catalytic activity (LA conv. = 70.5%, yield of Ala = 53.0%). In order to investigate the effect of Ru alone, we compared the commercial catalyst Ru/C with the laboratory-produced graphitic carbon shell-supported Ru/CS. The Ru/C exhibited inferior catalytic activity with the LA conversion and Ala yield of 35.0% and 22.0%, respectively, while the enhanced performance was observed over Ru/CS with the 63.4% conversion and 49.1% Ala yield. The adjacent value of catalytic effect between Ru/Ni@C and Ru/CS implies that Ru is the main active metal of Ru/Ni@C, and the metal Ni and graphitic carbon shell CS promote the reaction in cooperation.

Considering that the presence of H<sub>2</sub> may inhibit the forward reaction proceeding of dehydrogenation, we compared the effect of different atmospheres (H<sub>2</sub> and N<sub>2</sub>) on the reaction over the Ru/Ni@C catalyst, and the result is displayed in Table 4. In the atmosphere of H<sub>2</sub>, Ala and PA were generated as the main product and by-product, respectively. However, the product distribution was reversed in N<sub>2</sub>, where a large number of acetic acid (AA, yield = 21.7%) and ethanol (yield = 12.6%) appeared as the yield of Ala decreased to 18.1%. A plausible explanation is that H<sub>2</sub> would not inhibit the dehydrogenation reaction under such low pressure, while the final hydrogenation step toward Ala will be impeded in the absence of H<sub>2</sub> accompanied by other side reactions such as the formation of AA and ethanol.<sup>12</sup>

In order to optimize the catalytic activity in the reaction, the Ru loading of the Ru/Ni@C catalyst and reaction parameters (Ru loading, temperature, reaction time and H<sub>2</sub> pressure) were tested. In Fig. 8a, as the Ru loading increased from 0 wt% to 7 wt%, the catalytic activity of Ru/Ni@C was promoted (conv: 2.7% to 75.6%, Ala yield: 1.0 to 56.0%), while the by-product PA increased as well. The results indicated that metal Ru was the main active site for Ru/Ni@C and the 5 wt% Ru loading was chosen in the view of economy and selectivity.

The effect of reaction temperature is shown in Fig. 8b. The increase in the reaction temperature promoted the conversion of LA, and Ala yield increased before the temperature increased to 200 °C and began to decrease with the further increase in the reaction temperature. In addition, the by-products showed the opposite trend, which indicated that the conversion of LA into Ala is sensitive to the reaction temperature, and higher temperature could induce the side reaction and suppress the production of Ala. Hence, it is reasonable to fix the temperature at 200 °C in which no PA was produced and Ala reached the highest yield of 63.7%. Fig. 8c depicts the influence of reaction time in the LA conversion. The conversion and Ala yield



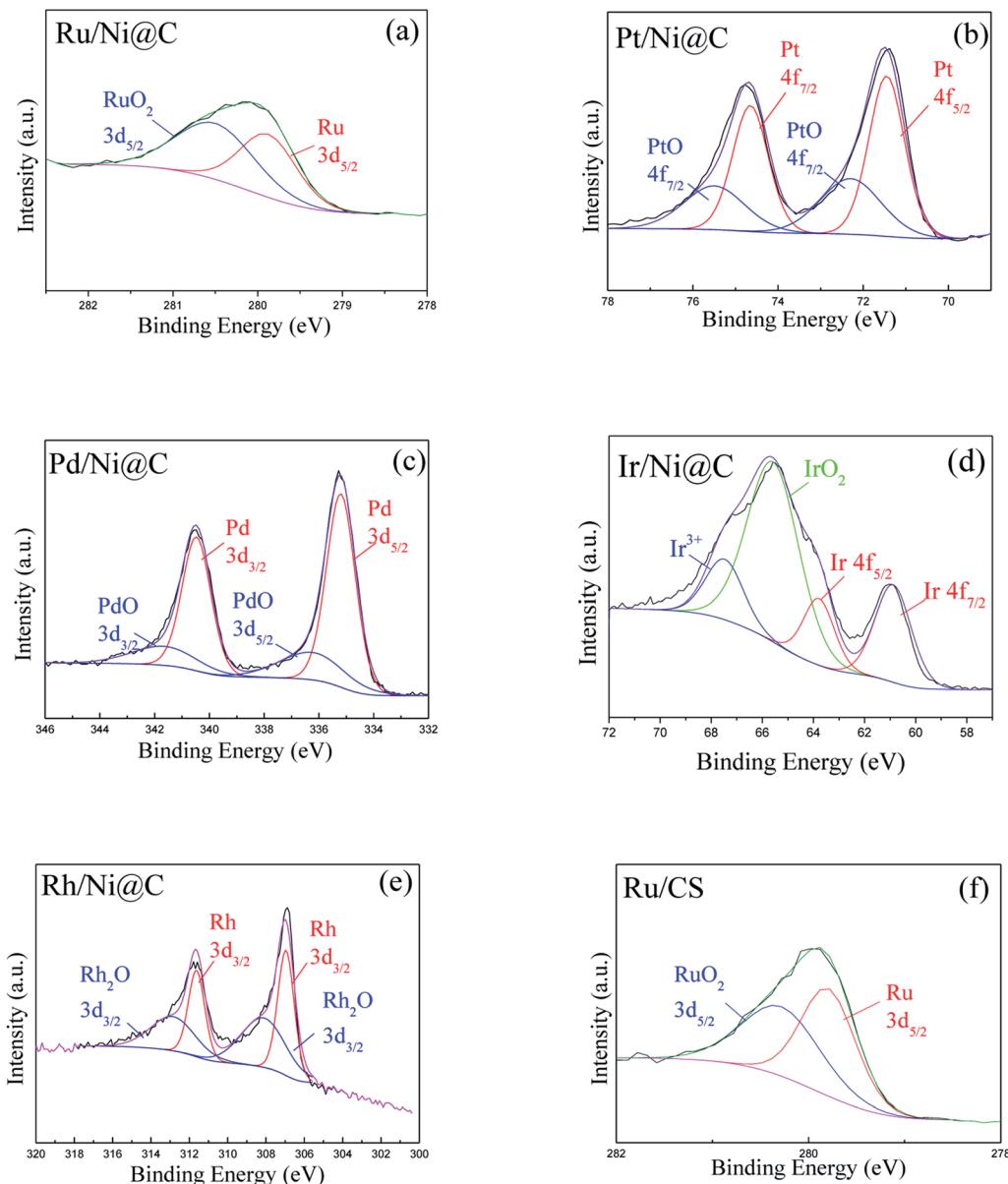


Fig. 6 M metal XPS spectra of various M/Ni@C catalysts.

Table 2 ICP-AES analysis results of M/Ni@C catalysts

Catalyst	Ni (wt%)	M <sup>a</sup> (wt%)
Ni@C	78.8	—
Ru/Ni@C	77.8	4.49
Pt/Ni@C	67.6	4.96
Pd/Ni@C	74.6	3.98
Ir/Ni@C	76.2	4.05
Rh/Ni@C	77.4	3.88
Ru/Ni@C after 5th run	73.2	4.42

<sup>a</sup> M represents the metal of Ru, Pt, Pd, Ir, or Rh.

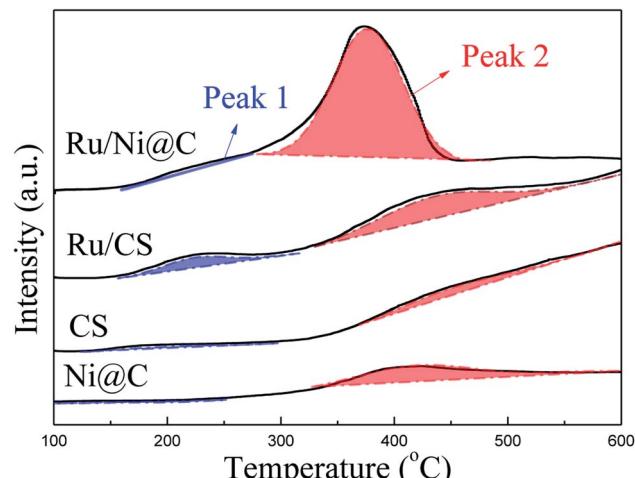
increased with the time prolonging in the first 2 hours and change slightly after that. In addition, the yield of the main byproduct (PA) increased after 2 hours. Therefore, the reaction

time was fixed for 2 hours in the next research. Fig. 8d shows the effect of H<sub>2</sub> pressure in the reaction. As the pressure increased, the LA conversion decreased continuously in the range of 0.5–2 MPa, which implied that the reaction proceeds in a dehydrogenation way. Moreover, the highest yield of Ala was achieved at 1.5 MPa H<sub>2</sub>, and it is also the hydrogen pressure with the lowest by-product yields. In conclusion, compared with previous related reports,<sup>11,12</sup> the best catalytic performance can be obtained with a higher conversion efficiency (91.4% selectivity, LA conversion of 69.7% and Ala yield of 63.7%) and milder reaction conditions of 200 °C for 2 h.

### 3.3. Catalyst stability

The Ru/Ni@C catalyst was recovered by magnetic separation (Fig. S11†), thoroughly washed several times with deionized



Fig. 7  $\text{NH}_3$ -TPD profiles of catalysts.Table 3 Performance of LA conversion into Ala over different catalysts<sup>a</sup>

Catalyst	Conversion (%)	Yield <sup>b</sup> (%)			
		Ala	PA	LMD	TOC <sup>c</sup> (%)
Ni@C	2.7	1.0	0	1.0	74.1
Ru/Ni@C	70.5	53.0	3.4	0.2	80.3
Pt/Ni@C	62.4	40.4	4.9	0.4	71.0
Pd/Ni@C	17.3	16.1	0	0.3	95.4
Ir/Ni@C	58.5	44.4	0.5	0.7	77.9
Rh/Ni@C	29.1	23.2	0	0.2	84.2
Ru/C	30.5	22.0	0	1.0	75.4
Ru/CS	63.4	49.1	2.1	0.1	80.9

<sup>a</sup> Reaction conditions: LA 0.1 g, catalyst 50 mg,  $\text{NH}_3 \cdot \text{H}_2\text{O}$  (28 wt%) 10 mL, 493 K,  $\text{H}_2$  1.5 MPa  $\text{H}_2$ , 2 h. <sup>b</sup> Products: LA (lactic acid), Ala (alanine), PA (propanoic acid), LMD (lactamide). <sup>c</sup> TOC: carbon balances were calculated according to both detected products and the converted LA.

Table 4 Catalytic effects of two different reaction atmospheres<sup>a</sup>

Atmosphere	Conversion (%)	Yield (%)				
		Ala	AA	PA	Ethanol	TOC (%)
$\text{H}_2$	70.5	53.0	0	3.4	0	80.3
$\text{N}_2$	83.3	18.1	21.7	6.0	12.6	70.1

<sup>a</sup> Reaction conditions: LA 0.1 g, Ru/Ni@C catalyst 50 mg,  $\text{NH}_3 \cdot \text{H}_2\text{O}$  (28 wt%) 10 mL, 493 K,  $\text{H}_2/\text{N}_2$  1.5 MPa, 2 h. Ala (alanine), PA (propanoic acid), AA (acetic acid).

water, and then directly used for the next run. Fig. 9 shows the investigation of the recycle test of the Ru/Ni@C catalyst and the corresponding metal loss rate was detected in the reaction liquid. During Ru/Ni@C recycling, the LA conversion and Ala yield gradually decreased. After the 4th run, the LA conversion and Ala yield decreased by 26.1% and 24.4%, respectively, and the rate dropped significantly after the fifth cycle. The declined

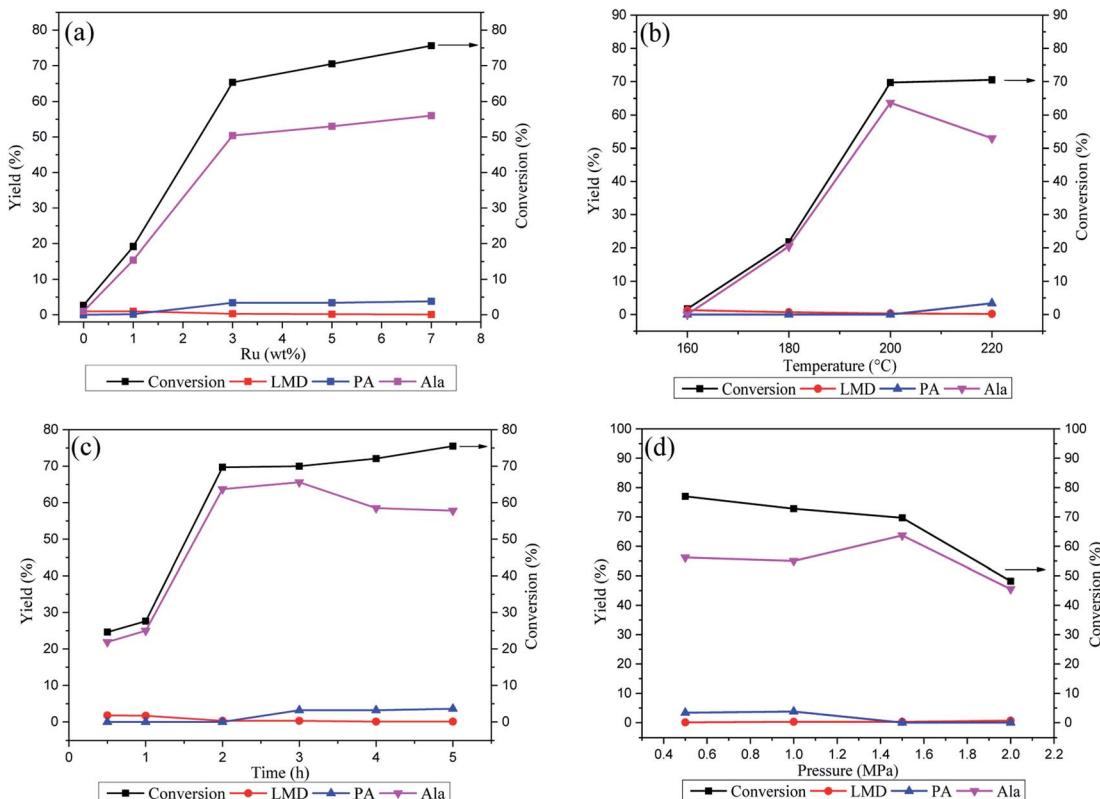
activity of Ru/Ni@C may be induced as: (1) the catalyst might suffer inevitable loss during the magnetic recovery process and (2) the active sites might be leached under the alkaline and high-temperature conditions. In addition, we detected the concentration of Ni and Ru leaked in the reaction solution by ICP-AES. The results indicated that there was Ni leaching in the solution with the loss rate of 1.4–2.1 wt%, and no loss of Ru was detected. Besides, we dissolved the recycled catalyst after the 5th run and tested the metal loading, as listed in Table 2, which confirmed the Ni loss of 4.6% compared to the Ni loading of fresh one. In addition, TEM and XPS analysis were used to characterize the morphology and chemical composition of recycled Ru/Ni@C.

Fig. 10 depicts the TEM and HRTEM images of Ru/Ni@C after the 5th run. It can be seen that a certain number of Ni particles removed from the graphene layers and many hollow carbon shells appeared instead. The average size of Ru particles after use was increased from 2.8 nm to 3.0 nm slightly. The XPS analysis of the spent catalyst is shown in Fig. 11, and the surface atomic percentages of Ni and Ru are calculated in Table 5. The weakened intensity of Ni for the recycle catalyst verified the nickel leaching during the reaction. Besides, the percentage of the oxidation state of Ni increased obviously with the cycle number increment, while the content of  $\text{Ru}^{4+}$  increased slightly. The change in the electronic state of Ru after being reused is probably due to the partial oxidation of  $\text{Ru}^0$  that acted in the hydrogenation step. In conclusion, the ordinary cycle performance of Ru/Ni@C was caused by the mass loss during recovery and a slight increase in the Ru particle size, as well as the leaching and oxidation of Ni during the reaction.

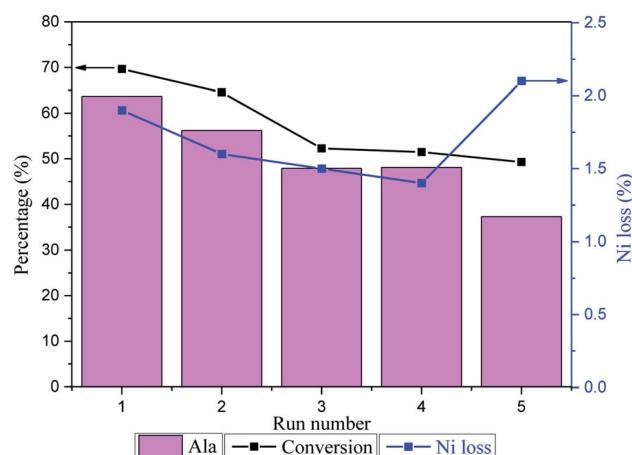
### 3.4. Mechanism study

The previous report supposed that Ala may be produced from LA in two ways: (1) LA was dehydrogenated to pyruvate acid first, and then converted into imine under ammonia, following hydrogenation to form Ala and (2)  $\alpha$ -OH of LA undergoes the  $\text{SN}_2$  substitution to generate Ala directly.<sup>11</sup> The pathway of dehydrogenation-amination-hydrogenation was confirmed when using  $\alpha$ -hydroxyisopropanoic acid without  $\alpha$ -C–H sites as the reactant in the research. Therefore, we use the possible intermediate pyruvate acid as a substrate to investigate the performance of the catalyst. In Table 6, there is no obvious difference in the yield of Ala with and without the addition of catalysts. The results verified that the LA undergoes a dehydrogenation-amination-hydrogenation pathway, and the catalyst is crucial in the initial step of dehydrogenation.

During this process, LMD and PA were detected as the main by-products in this reaction. There are few studies on the conversion of LA into PA, and the mechanism is not completely clear. Korstanje *et al.* used a homogeneous catalyst molybdenum complex in the conversion of LA into PA at 200–270 °C with a PA yield of 41%.<sup>36</sup> The article speculated two possible pathways in the reaction: (1) LA is first dehydrated to acrylic acid and then hydrogenated to PA. (2) LA forms PA directly *via* hydrodeoxygenation. Besides, the by-product of AA could be originated from the oxidation of the aldehyde which was



**Fig. 8** (a) Amount of Ru loading on Ni@C, LA 0.1 g, catalyst 50 mg,  $\text{NH}_3\cdot\text{H}_2\text{O}$  (28 wt%) 10 mL, 493 K,  $\text{H}_2$  1.5 MPa, 2 h. (b) Reaction temperature, LA 0.1 g, Ru/Ni@C (Ru: 5 wt%) 50 mg,  $\text{NH}_3\cdot\text{H}_2\text{O}$  (28 wt%) 10 mL,  $\text{H}_2$  1.5 MPa, 2 h. (c) Reaction time, LA 0.1 g, Ru/Ni@C (Ru: 5 wt%) 50 mg,  $\text{NH}_3\cdot\text{H}_2\text{O}$  (28 wt%) 10 mL, 473 K,  $\text{H}_2$  1.5 MPa. (d) Reaction pressure, LA 0.1 g, Ru/Ni@C (Ru: 5 wt%) 50 mg,  $\text{NH}_3\cdot\text{H}_2\text{O}$  (28 wt%) 10 mL, 473 K, 2 h. Ala (alanine), PA (propanoic acid), LMD (lactamide).



**Fig. 9** Recycle test of the Ru/Ni@C catalyst and the Ni loss in the reaction solution. Reaction conditions: LA 0.1 g,  $\text{NH}_3\cdot\text{H}_2\text{O}$  (28 wt%) 10 mL, 473 K,  $\text{H}_2$  1.5 MPa, 2 h.

decarboxylated/decarboxylated from LA, pyruvate or acrylic acid.<sup>37</sup> What is more, the intermediate pyruvate acid could produce formic acid (FA) through C–C bond cleavage at high temperatures.<sup>38</sup> The possible reaction pathway is shown in Scheme 1.

Combining the performance of various M/Ni@C and the results of  $\text{NH}_3$ -TPD analysis, the high activity of Ru/Ni@C could be explained as follows: the metals Ru and Ni promote the dehydrogenation step collectively with Ru acting as the main active site. The formed Lewis acids of  $\text{RuO}_2$  are mainly responsible for activating the hydroxyl group of LA, which could promote the dehydrogenation step and form pyruvic acid as the intermediate. The addition of Ru not only provides an active metal site for dehydrogenation, but also greatly promotes the  $\text{NH}_3$  adsorption for amination reaction.<sup>39</sup> The role of metallic oxide in amination has also been discussed in many research studies. Zhang *et al.* designed a Ru/ $\text{ZrO}_2$  catalyst in the aldehyde/ketone amination, where  $\text{RuO}_2$  acted as a Lewis acid and activated the carbonyl group.<sup>22</sup> Shimizu *et al.* tested the catalytic effect of different supports (Lewis acidic, Brønsted acidic and basic) in the ketone amination.<sup>40</sup> The catalyst with Lewis acid supports can reduce the adsorption wavelength of the C=O bond and increase the primary amine yield. Wang *et al.* modified Pt/ $\text{CeO}_2$  with  $\text{CoO}_x$  for the amination of alcohols, in which  $\text{CoO}_x$  improved the activity and selectivity of products by promoting the dehydrogenation of alcohols and inhibiting the excessive hydrogenation of Schiff bases.<sup>41</sup> Therefore, the effect of the metal site of Ru and the Lewis site of  $\text{RuO}_2$  could be depicted, as shown in Scheme 2.



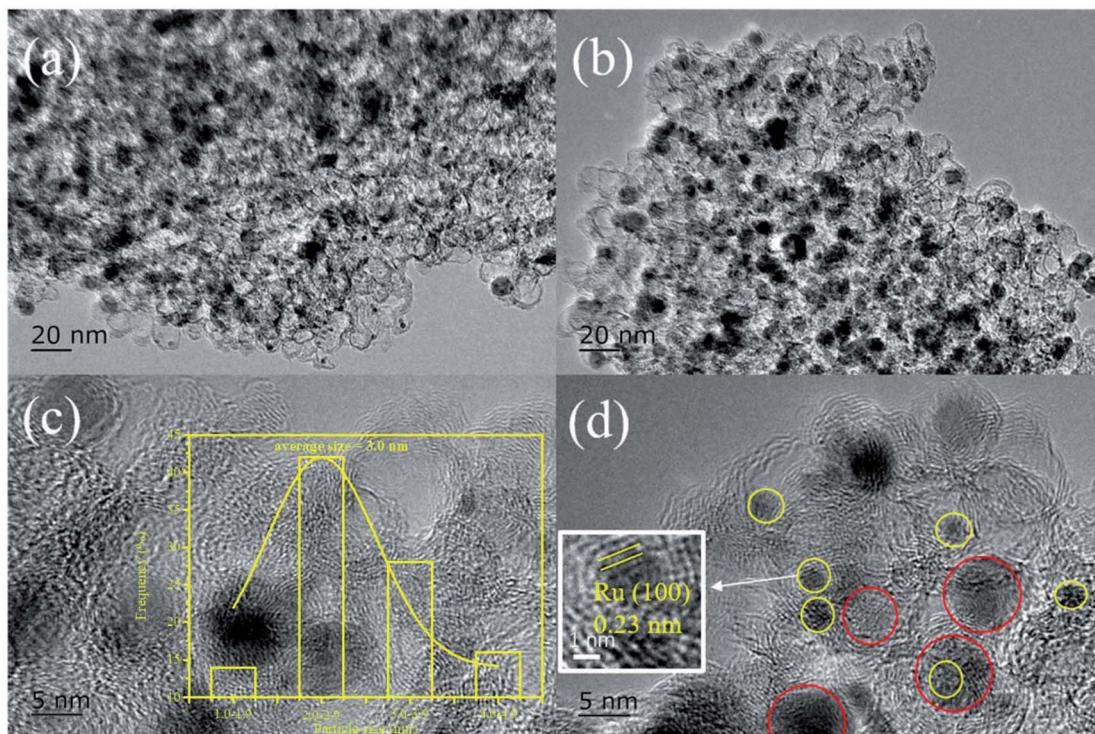


Fig. 10 TEM and HRTEM images of the Ru/Ni@C catalyst after the 5th run.

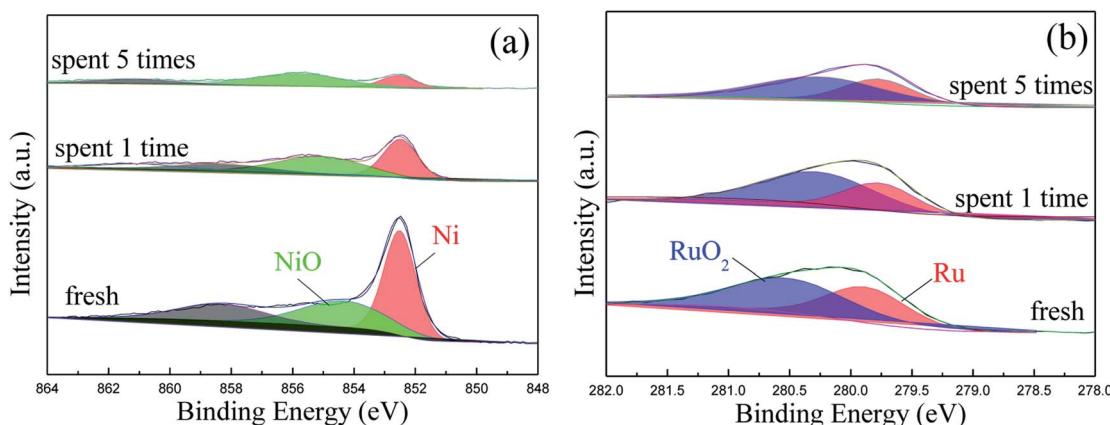
Fig. 11 XPS spectra of fresh and spent Ru/Ni@C. (a) Ni 2p<sub>2/3</sub>, (b) Ru 3d<sub>5/3</sub>.

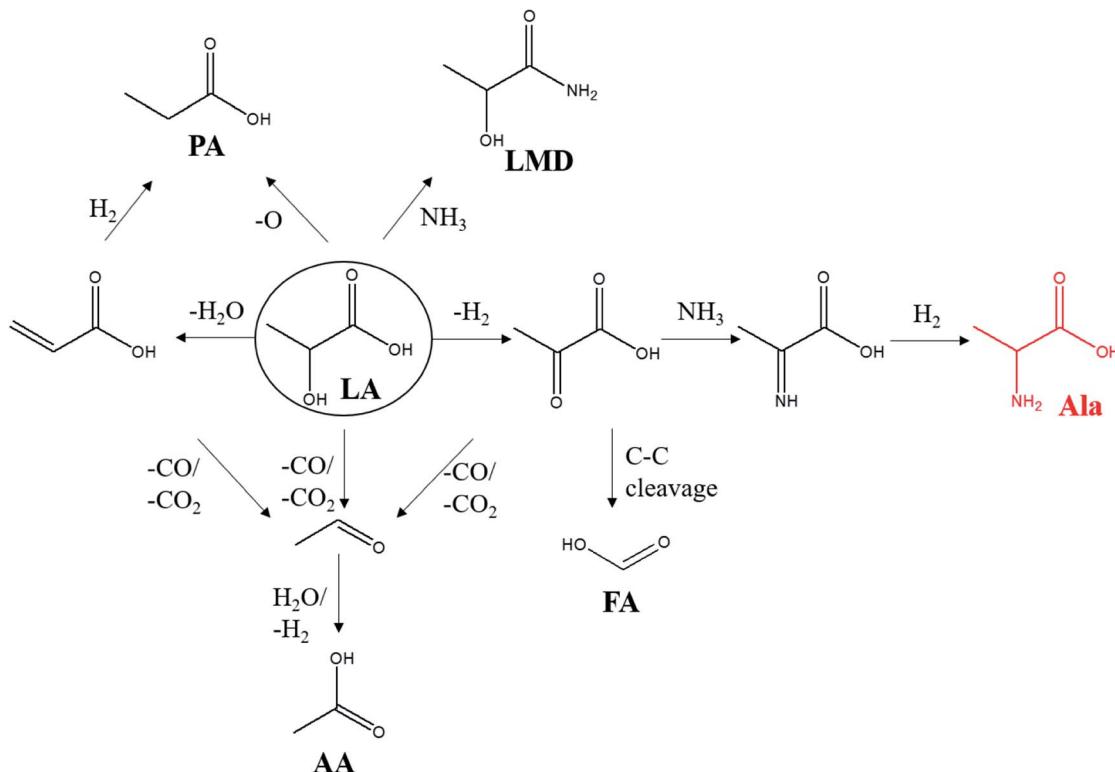
Table 5 Surface atom percentage of Ru and Ni of fresh and spent Ru/Ni@C

Ru/Ni@C	Ni (atom%)		Ru (atom%)	
	Ni <sup>0</sup>	Ni <sup>2+</sup>	Ru <sup>0</sup>	Ru <sup>4+</sup>
Fresh	58.9	41.1	44.7	55.3
Spent after one time	45.9	54.1	33.9	66.1
Spent after five times	27.3	72.7	39.2	60.8

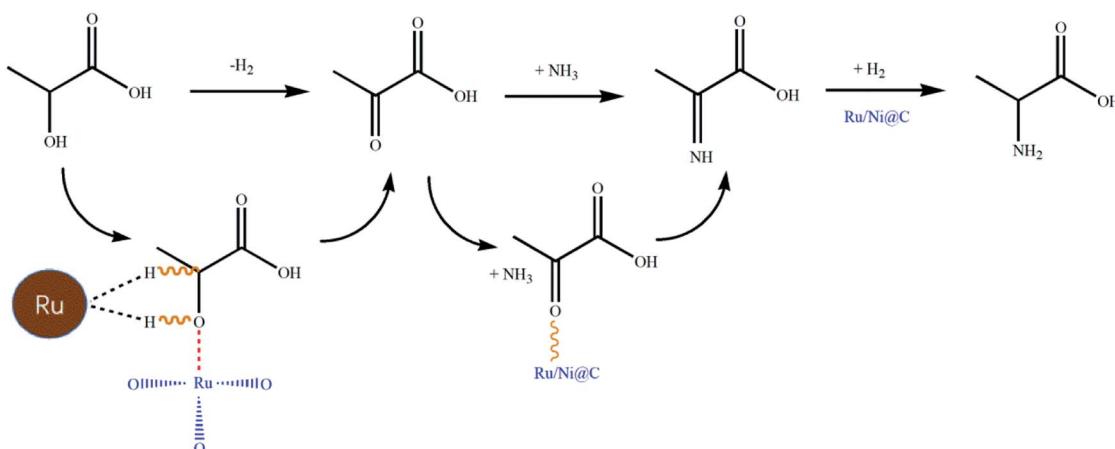
Table 6 Conversion of pyruvic acid into Ala with and without catalyst<sup>a</sup>

Catalyst	Conv. (%)	Yield (%)		
		Ala	LA	TOC
None	97.1	68.3	0.7	71.1
Ru/Ni@C	97.3	69.0	2.43	73.4

<sup>a</sup> Reaction conditions: 0.1 g pyruvic acid, 50 mg catalyst, 10 mL NH<sub>3</sub>·H<sub>2</sub>O (28 wt%), 373 K, 2 h, 1.5 MPa H<sub>2</sub>. LA (lactic acid), Ala (alanine).



**Scheme 1** Possible reaction pathway of LA conversion. LA (lactic acid), Ala (alanine), PA (propanoic acid), LMD (lactamide), AA (acetic acid), FA (formic acid).



**Scheme 2** Possible reaction mechanism for LA conversion into Ala over the Ru/Ni@C catalyst.

## 4. Conclusions

A suite of magnetically separable catalysts M/Ni@C (M = Ru, Pt, Pd, Ir, and Rh) have been synthesized to evaluate the conversion of LA into Ala in an ammonia solution. The related characterization analysis results indicated that most noble metals could disperse uniformly on Ni@C, and the production of Ala could be significantly promoted after noble metals were introduced. The Ru/Ni@C catalyst showed the best catalytic performance with the highest 91.4% selectivity for Ala synthesis from LA (Ala

yield was 63.7%). The mechanism study revealed that metal Ru is the main active metal site responsible for the dehydrogenation of LA, which is the rate-determining step in the conversion of LA into Ala. After metal Ru was introduced, the formed RuO<sub>2</sub> works as a Lewis acid that could activate the hydroxyl group of LA, so that the formation of intermediate pyruvic acid would be promoted, and the following amination could be also be facilitated due to the excellent ability of NH<sub>3</sub> adsorption of the Ru/Ni@C catalyst. This work offered an opportunity for efficient



conversion of biomass-derived acids with the  $\alpha$ -hydroxyl group to high-value amino acids *via* a catalytic route.

## Author contributions

Haosheng Xin: Investigation, Experiment, Analysis, Writing. Zhongxun Xiu: Experiment, Investigation. Shijun Liu: Analysis. Haiyong Wang: Analysis, Founding provider. Chenguang Wang & Longlong Ma: Supervision, Founding provider. Qiying Liu: Analysis, Editing, Founding provider.

## Conflicts of interest

The authors declare that they have no competing financial interests or personal relationships in this work.

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