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High-throughput growth of HfO₂ films using temperature-gradient laser chemical vapor deposition†

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The use of hafnia (HfO₂) has facilitated recent advances in high-density microchips. However, the low deposition rate, poor controllability, and lack of systematic research on the growth mechanism limit the fabrication efficiency and further development of HfO₂ films. In this study, the high-throughput growth of HfO₂ films was realized via laser chemical vapor deposition using a laser spot with a large gradient temperature distribution (100 K mm⁻¹), in order to improve the experimental efficiency and controllability of the entire process. HfO₂ films fabricated by a single growth process could be divided into four regions with different morphologies, and discerned for deposition temperatures increasing from 1300 K to 1600 K. The maximum deposition rate reached 362 μm h⁻¹, which was 10² to 10⁴ times higher than that obtained using existing deposition methods. The dielectric constants of high-throughput HfO₂ films were in the range of 16–22, which satisfied the demand of replacing the traditional SiO₂ layer for a new generation of microchips.

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1. Introduction

High-density microchips offer the advantages of a compact size, adaptive integration, and massive parallelization. Meanwhile, corresponding advances are required in dielectric materials to passivate exposed surfaces against destabilization related to ion transport and in barrier materials to protect core components against thermal shock or fluid erosion in harsh operating environments.^{1,2} In 2007, Intel Inc. announced that HfO₂, a high-*k* inorganic material with an ultrahigh melting point (3085 K), a sufficient electrical breakdown field (3.9–6.7 MV cm⁻¹), low thermal conductivity (~2.55 W K⁻¹ m⁻¹), and four times the density (9.68 g cm⁻³) of SiO₂ (2.26 g cm⁻³), was the most promising dielectric layer material to replace the traditional SiO₂ layer for a new generation of microchips.^{3–7}

However, HfO₂ films have disadvantages of the low growth rate, poor controllability, and high preparation cost, resulting in a lack of systematic research on the growth mechanism. Table 1 shows the deposition temperature (*T*_{dep}), deposition rate (*R*_{dep}), and thickness (*d*) measured for HfO₂ films grown using different methods, such as metal–organic CVD (MOCVD),^{8–12} thermal CVD (TCVD),¹³ atomic layer deposition (ALD),^{14–18} sol–gel,^{19–21} radio frequency magnetron sputtering (RFMS),²² and pulsed laser deposition (PLD).²³ As a result of the low deposition rate of HfO₂ films, most scholars have studied the growth of films with thicknesses below 100 nm over the last decade, such that HfO₂ films have not been exploited at the micron scale. In order to significantly improve the CVD deposition rates, our group has been developing LCVD since 2012,²⁴ and we have been able to rapidly grow SiC,²⁵ SiOC,²⁶ AlN,²⁷ LiAlO₂,²⁸ BaTi₂O₅,²⁹ and SmBa₂Cu₃O₇ (ref. 30) films at deposition rates 10¹ to 10⁴ times higher than those of conventional CVD methods. In addition, novel structures and growth mechanisms have been found using LCVD to grow films in previous studies.^{25,26,31}

Moreover, in order to further improve the efficiency and controllability of LCVD, the high-throughput growth was introduced for analyzing the evolution of growth mechanisms. Because the high-throughput growth offers a significant advantage in fabricating multiple specimens from only a single preparation. It is able to shorten the experimental period and fabricate samples under the continuous conditions.³² However, various factors in CVD processes, such as the growth temperature, pressures, and gas flow rates, increase the difficulty of experiments in high-throughput HfO₂ films.³³ The presence of

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Table 1 Comparison of deposition temperatures and rates of HfO₂ films grown using different methods

Ref.	Method	Precursor	T_{dep} (K)	R_{dep} ($\mu\text{m h}^{-1}$)	d (nm)
8	MOCVD	Cp ₂ Hf(NEt ₂) ₂	1273	2.40×10^{-1}	84
9	MOCVD	(Cp ₂ CMe ₂)HfMe ₂	923	7.20×10^{-2}	25
10	MOCVD	Hf(dmml) ₄	973	5.40×10^{-2}	20
11	MOCVD	Hf(mp) ₄	873	1.62×10^{-1}	4.1
12	MOCVD	HfO ⁺ Bu(NEtMe) ₃	873	4.80×10^{-1}	19
13	TCVD	HfCl ₄	473	1.64×10^{-3}	59
14	ALD	Hf(NMe ₂) ₄	1373	9.60×10^{-3}	3.4
15	ALD	HfO ⁺ Bu(NEtMe) ₃	623	8.90×10^{-2}	13
16	ALD	Hf(NEtMe) ₄	633	1.01×10^{-2}	4.0
17	ALD	Hf(NMe ₂) ₄	1273	8.64×10^{-2}	3.5
18	ALD	CpHf(NMe ₂) ₃	523	7.20×10^{-2}	15
19	Sol-gel	HfCl ₄	873	1.03×10^{-3}	3.1
20	Sol-gel	HfCl ₄	823	2.07×10^{-3}	2.0
21	Sol-gel	HfCl ₄	873	6.67×10^{-3}	3.0
22	RFMS	HfO ₂ target	573	7.50×10^{-2}	25
23	PLD	HfO ₂ target	1173	8.52×10^{-1}	17
This study	HT-LCVD	Hf(acac) ₄	1300–1600	4.68×10^1 to 3.62×10^2	7.8×10^3 to 6.0×10^4

multiple variables results in an extended experimental time and reduces the repeatability of the entire process; hence, little pertinent research has been performed on high-throughput CVD.

In this study, HfO₂ films were grown using a highly efficient HT-LCVD process, achieving a stable control of large gradient (100 K mm⁻¹) temperature fields. We significantly shortened the experimental time by modifying the high-throughput LCVD (HT-LCVD) method by using a temperature-gradient preparation with credible measurements and repeatability, resulting in 10² to 10⁴ times higher growth rates than other methods and the fabrication of multiple specimens per unit time. And four novel gradient microstructures appeared simultaneously on a high-throughput sample. Their morphologies, deposition rates, dielectric constants, and growth mechanisms were determined.

2. Experimental section

Fig. S1† shows the HT-LCVD apparatus that was constructed to fabricate HfO₂ films. An InGaAlAs beam-by-diode laser

(wavelength: 808 nm, LHTC-200, ZK-LASER, China) was introduced into the chamber through a quartz-glass window and diverged by an optical lens (around 18 mm in diameter) to irradiate the entire substrate surface. The diode laser beam created a temperature-gradient distribution over the substrate surface. Fig. 1 shows vertical and stereo views of the temperature distribution during the high-throughput growth. As T_{dep} increased from 1300 K to 1600 K, the HfO₂ film with different novel microstructures could be observed in four specific regions. The temperature distribution was measured by an infrared pyrometer (CHINO IR-AH) and regulated by an infrared temperature-measurement program (OMRON, Japan). The program was based on the LabVIEW 8.5 Virtual Instrument engineering platform (NI, USA), and used to measure the temperature distribution within the entire substrate surface, adjusting the laser power to stabilize the temperature field automatically. Fig. S2† shows the growth procedure. Si (100) single crystal wafers were cut into samples with dimensions of 10.0 × 10.0 × 0.5 mm for use as substrates, which were placed

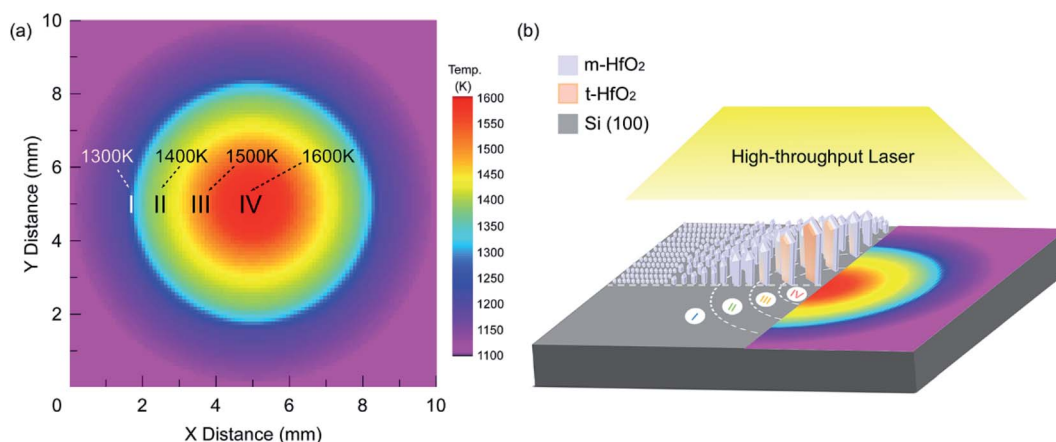


Fig. 1 (a) Top view of the temperature distribution and (b) stereo view in the four discernible regions.



Table 2 Deposition conditions

Precursor	Hf(acac) ₄
Substrate	Si (100)
Deposition temperature (T_{dep})	1250–1600 K
Total pressure (P_{tot})	200 Pa
Laser power (P_L)	100 W
Precursor vaporization temperature	493 K
Pipe/gas nozzle temperature (T_{pip})	573 K
Deposition time (t_{dep})	10 min
Ar carrier gas flow rate	$1.67 \times 10^{-6} \text{ m}^3 \text{ s}^{-1}$ (100 sccm)
Ar diluent gas flow rate	$1.67 \times 10^{-6} \text{ m}^3 \text{ s}^{-1}$ (100 sccm)
Nozzle diameter	6.0 mm
Distance between nozzle and substrate	15 mm

on a heating stage in the chamber and preheated at 873 K for 5 min after evacuating the chamber to 5 Pa. The substrate holder was supported by an alumina plate with a K-type thermocouple, which was used to monitor the temperature of the heating stage. The precursor, Hf(acac)₄ (Aladdin Inc., Shanghai, China), was vaporized at 493 K and carried into the vacuum chamber by Ar gas (99.999%). Table 2 shows a summarization of deposition conditions used to prepare the temperature-gradient HfO₂ films *via* HT-LCVD.

The crystalline phases were examined by the micro X-ray diffraction (μ -XRD; D8 DISCOVER, Bruker, Germany; 40 kV, 40 mA) with Cu K α 2 radiation, whereby a small region (0.4 mm in diameter) in the specimen was analyzed. The composition of the micro areas on the surface was detected by Raman spectroscopy (inVia Renishaw, 633 nm He–Ne laser, UK). The surface and cross-section morphologies were characterized by scanning electron microscopy (SEM, Quanta FEG 450, FEI, USA, at 20 kV). The microstructures were observed using double-beam electron microscopy with focused ion beam processing (FIB, Helios NanoLab G3 UC, FEI, USA, at 20 kV) and transmission electron microscopy (TEM, JEOL Ltd., Japan, JEM-2100F, at 200 kV). The complex permittivity and dielectric loss of the HfO₂ films in four regions were measured by Leakage Inductance–Capacitance–Resistance dielectric tester (LCR, Agilent E4980A, Radiant, USA).

3. Results and discussion

Fig. 2(a)–(d) displays the μ -XRD patterns of a high-throughput HfO₂ film in four temperature regions. The ($\bar{1}11$) and (111) main peaks of m-HfO₂ at $2\theta = 28.3^\circ$ and 31.7° , respectively, were identified for all specimens. At $T_{\text{dep}} = 1400$ K, m-HfO₂ exhibited a strong (002) orientation. The two diffraction peaks at $2\theta = 34.6^\circ$ and 72.7° corresponded to the (002) and (004) planes of m-HfO₂, respectively. The patterns for the HfO₂ film in Regions III (1500 K) and IV (1600 K) exhibited two prominent peaks at $2\theta = 30.1^\circ$ and 35.1° corresponding to the (111) and ($\bar{1}11$) planes of t-HfO₂, respectively, indicating the coexistence of m- and t-HfO₂.

Fig. 3 shows the Raman scattering spectra for the HfO₂ film in the four regions with deposition temperatures of 1300–1600 K. For Raman shifts from 100 to 800 cm^{-1} , the two characteristic peaks at $\sim 129 \text{ cm}^{-1}$ and $\sim 144 \text{ cm}^{-1}$ were ascribed to two acoustic phonon modes for transverse acoustic (T_A) and

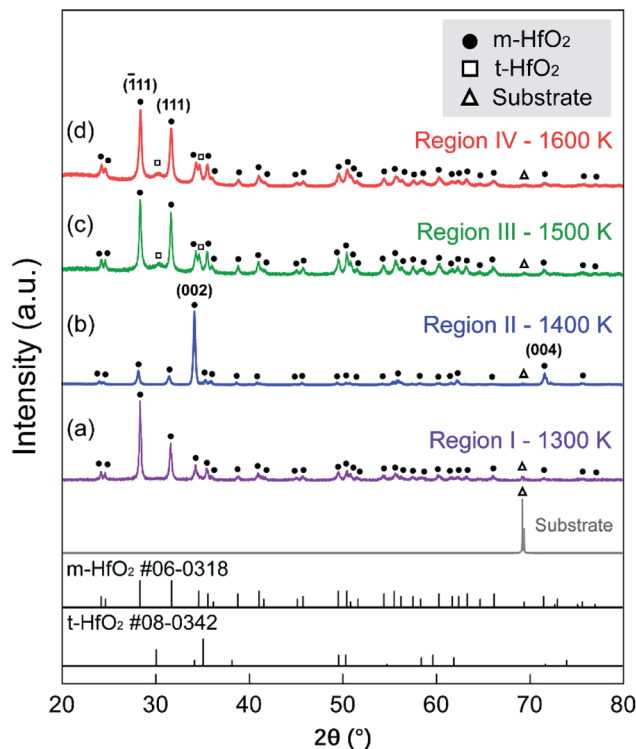


Fig. 2 μ -XRD patterns of the HfO₂ film in different regions produced by the high-throughput growth process: (a) 1300 K/I, (b) 1400 K/II, (c) 1500 K/III, and (d) 1600 K/IV.

longitudinal acoustic (L_A) phonons of m-HfO₂ films, respectively.³⁴ The intensity of the acoustic-phonon peaks increased remarkably with the film thickness. Two characteristic bands appeared at approximately 494 cm^{-1} and 572 cm^{-1} for all samples that were ascribed to transverse optical (T_O) and

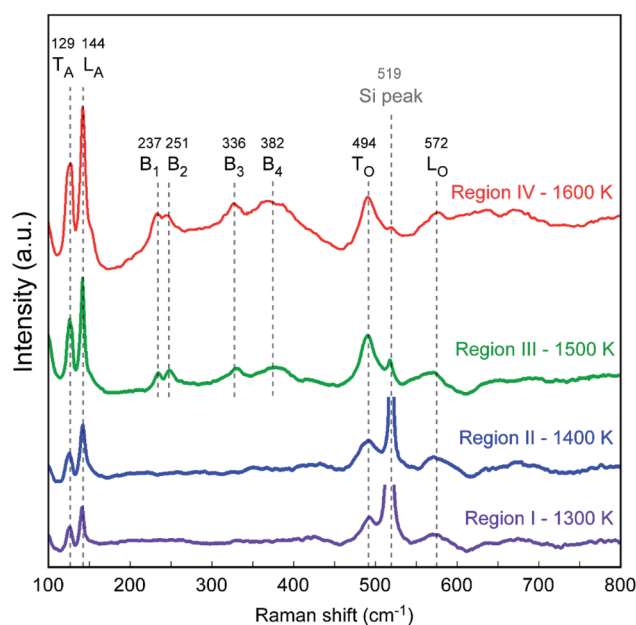


Fig. 3 Raman spectra for the HfO₂ film in the four regions.



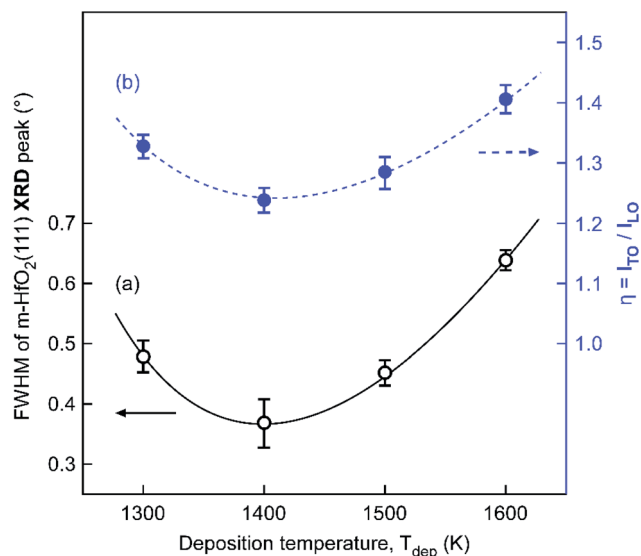


Fig. 4 (a) FWHM of the m-HfO₂ (111) XRD peak, and (b) intensity ratio $\eta = I_{T_0}/I_{L_0}$ obtained from Raman spectra of the HfO₂ film in the four regions, with T_{dep} ranging from 1300 K to 1600 K.

longitudinal optical (L_O) phonons, respectively. Fig. 4(b) shows the intensity ratio between T_O and L_O bands, η , that was used to evaluate the crystallinity of HfO₂ films. When T_{dep} increased from 1300 K to 1600 K, the lowest η was observed at 1400 K (Region II). A similar trend is observed for the full width at half maximum (FWHM) of the m-HfO₂ (111) peaks in μ -XRD patterns shown in Fig. 4(a). Tkachev *et al.*³⁵ analyzed the XRD patterns and Raman spectra of polycrystalline HfO₂ films with various grain sizes, and found that η for the Raman spectra decreased as the grain size increased. Hence, we inferred that the increase in the crystallinity of HfO₂ films in different regions resulted from an increase in the proportion of large grains. For T_{dep} above 1500 K (Regions III and IV), the four broad peaks (B_1 – B_4) at ~ 237 , ~ 251 , ~ 336 , and ~ 382 cm^{−1} in the spectra were ascribed to the existence of the tetragonal phase. The peaks at 519 cm^{−1} were assigned to the Si (100) substrates.

Fig. 5 shows SEM micrographs of the cross-section and surface of the HfO₂ film in Regions I to IV as T_{dep} increased from 1300 K to 1600 K. Measurements taken from the cross-sectional SEM micrographs (Fig. 5(a)–(d)) show that the thickness increased from 7.8 μ m to 60.3 μ m, corresponding to calculated

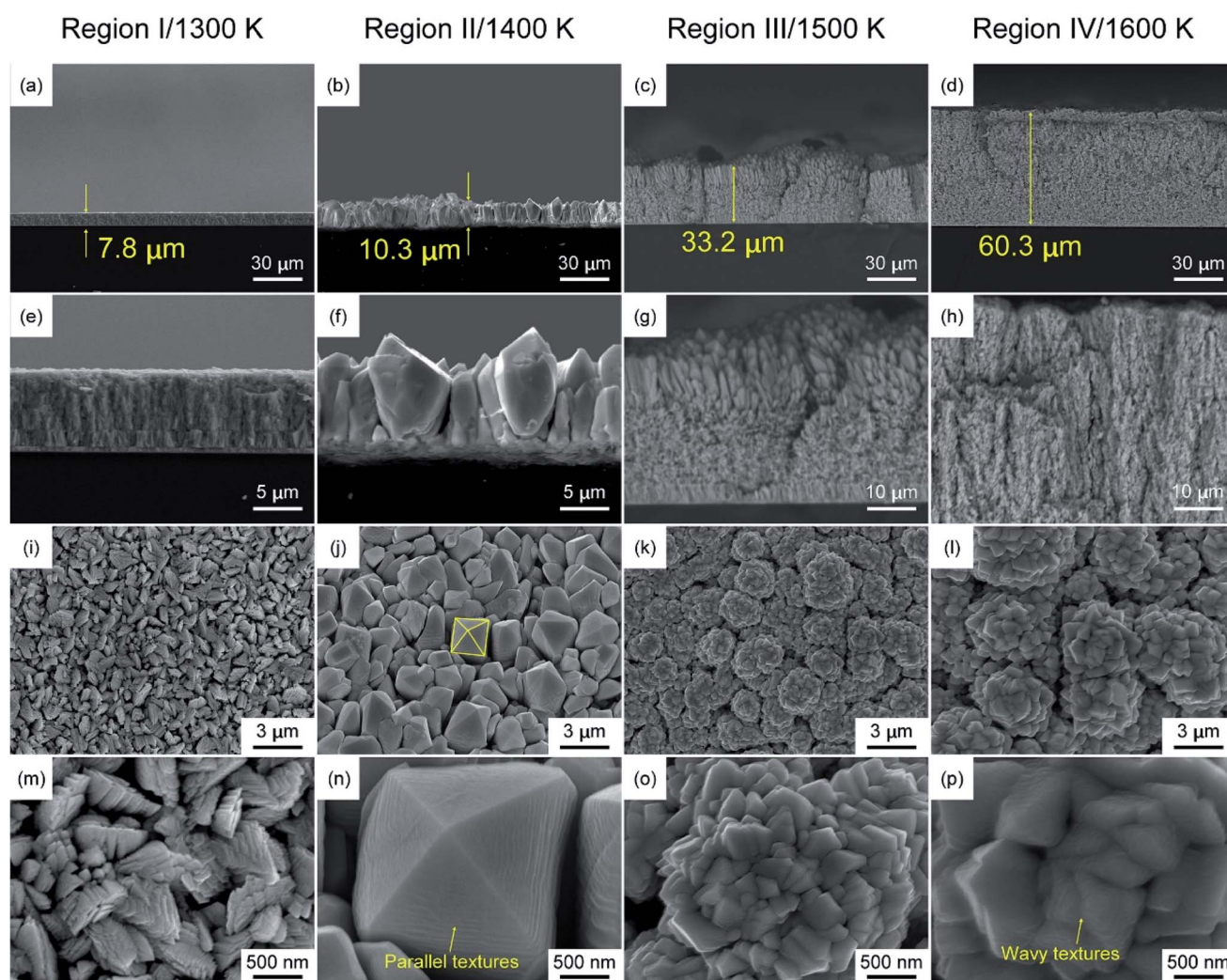


Fig. 5 SEM images of cross-sections and surfaces of HfO₂ films in the four regions, obtained using the high-throughput growth process. (a), (e), (i), (m) Region I at $T_{\text{dep}} = 1300$ K, (b), (f), (j), (n) Region II at $T_{\text{dep}} = 1400$ K, (c), (g), (k), (o) Region III at $T_{\text{dep}} = 1500$ K, (d), (h), (l), (p) Region IV at $T_{\text{dep}} = 1600$ K, respectively.



growth rates of $47\text{--}362\ \mu\text{m h}^{-1}$. The surface SEM images show four morphologies in the as-deposited film. The cross-sectional micrographs show that the HfO_2 film in Region I, formed at 1300 K, has a columnar microstructure. Aggregates of fine grains are arrayed in random lines on the film surface. The HfO_2 film in Region II, formed at 1400 K, is composed of $\langle 002 \rangle$ -oriented columnar grains, corresponding to μ -XRD patterns in Fig. 2(b). Fig. 5(n) shows a pyramidal faceted morphology of m- HfO_2 , with a parallel texture at the surface of $\langle 002 \rangle$ -oriented m- HfO_2 grains. Fig. S3(a)† shows the atomic nodes with a twofold rotational symmetry, which is the typical morphology of the $P2_1/c$ space group. Javier Sanz *et al.* calculated the surface energies for the m- HfO_2 (111) and $(\bar{1}\bar{1}\bar{1})$ planes, lower than that for the (100) and (010) planes.³⁶ The calculation was based on the Born-Oppenheimer molecular dynamics (BOMD) simulations. And lower surface energies usually correspond to exposed surfaces, as shown in Fig. S3(b).† The HfO_2 film in Region III (1500 K) consists of crystal clusters. At the surface, the crystal clusters are aggregates of vertical bundles that are decorated all over with fine grains, presumably resulting from the coexistence of m- and t- HfO_2 . The HfO_2 film in Region IV (1600 K) exhibits a cluster-like microstructure. The surface morphology in Region IV is similar to that in Region III,

except that the grain size increases from $\sim 200\text{ nm}$ to $\sim 500\text{ nm}$ with increasing temperature, and a wavy texture observed at the surface in Region IV. The morphologies correspond to XRD patterns ranging from random (Region I) to highly oriented (Region II) and back to random (Regions III and IV) with increasing temperature.

Fig. 6 shows a dependence of deposition rates (R_{dep}) on deposition temperatures (T_{dep}) of HfO_2 films grown using HT-LCVD, with the presence of crystal phases (monoclinic, tetragonal or amorphous) and precursors, and the results are compared against those in the literature. In order to improve the measurement accuracy, the HfO_2 film was divided into eight specimens, which were fabricated at 1250–1600 K using a single growth process. The R_{dep} of the HfO_2 film prepared by HT-LCVD reached a maximum of $362\ \mu\text{m h}^{-1}$ at $T_{\text{dep}} = 1600\text{ K}$, which was 10^2 to 10^4 times higher than that obtained using existing methods, such as MOCVD,^{8–12} TCVD,¹³ and ALD.^{14–18} Using the infrared temperature-measurement program, the microstructure of the HfO_2 film was found to change from columnar to cluster-like at approximately 1420 K.

The Arrhenius equation was used to calculate the activation energies (E_a)³⁷ of the specimens as $100\text{--}220\text{ kJ mol}^{-1}$ in the curved regions over the range of 1250–1400 K (Regions I and II)

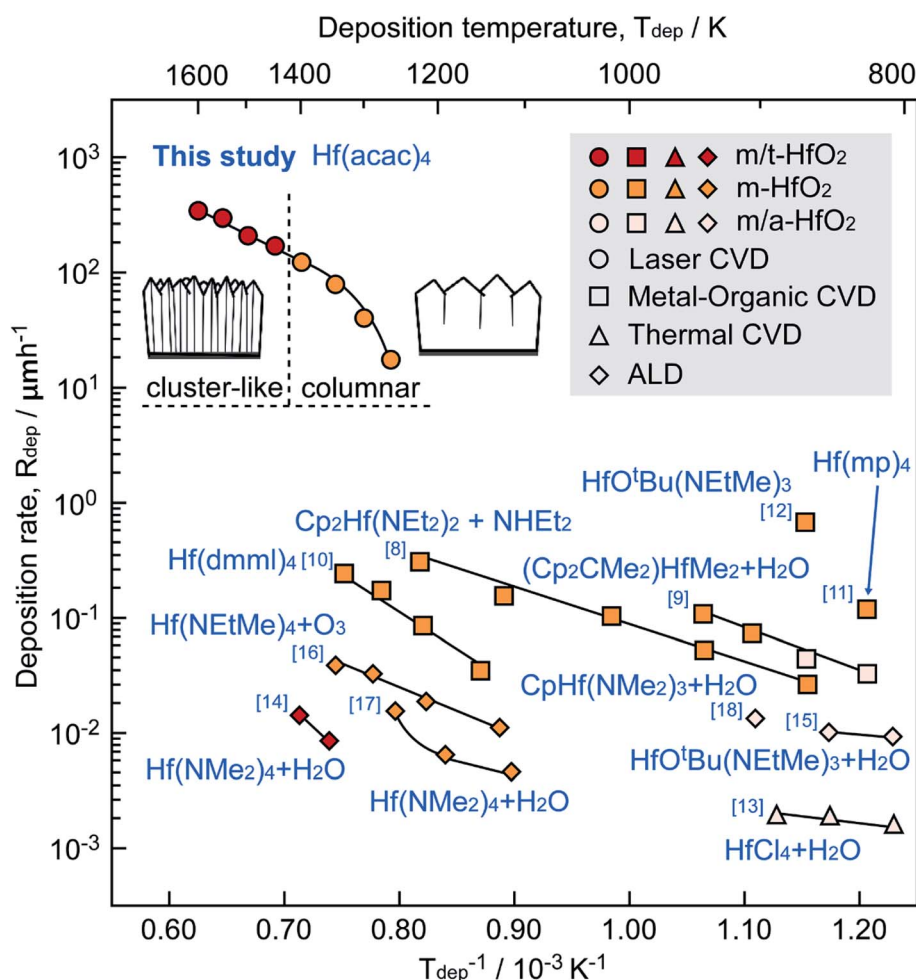


Fig. 6 Effect of T_{dep} on R_{dep} and crystal phase of HfO_2 films prepared using HT-LCVD, MOCVD, TCVD and ALD.

and 80 kJ mol^{-1} in the linear part at $T_{\text{dep}} = 1400\text{--}1600 \text{ K}$ (Regions III and IV). The deposition rates in the curved regions were correlated with controlling kinetic mechanisms of deposition processes [*i.e.*, the chemical reaction regime (CRR) or the mass transfer regime (MTR)], where the transition from CRR to MTR is normally induced by an increase in temperature.^{38,39} In Regions I and II, the slope of the Arrhenius equation decreased from 220 kJ mol^{-1} to 100 kJ mol^{-1} , indicating a transition from the CRR to the MTR domain.

Fig. 7 shows the cross-section TEM images of specimens in Regions I and II. The bright-field (BF) image of the HfO_2 film in Region I shows columnar grains with a variety of orientations. The selected area electron diffraction (SAED) pattern, which was exhibited in Fig. 7(b), was indexed to a set of well-defined (002) sites along the zone axis of [110]. Application of Vander Drift's evolutionary selection model³⁸ to the high-resolution (HR) TEM image revealed exposed surfaces along the $[\bar{1}11]$ or [002] directions, which explained why the fastest growing crystallographic plane overlaid the other growing planes. Nishioka *et al.*⁴⁰ developed a model for the controlling mechanisms of the CVD processes by assuming Langmuir-type adsorption of the reactants. The HfO_2 film in Region I, formed at 1300 K , was associated with the CRR, and R_{dep} was expressed as given in eqn (1):

$$R_{\text{dep}} = k_r N_{hkl} V \quad (1)$$

where k_r , N_{hkl} , and V represent the reaction-limited rate coefficient, atomic density of the (hkl) plane, and molar volume of HfO_2 , respectively. In a finite region, $R_{\text{dep}} \propto N_{hkl}$. The natural stacking sequence of the m- HfO_2 unit cell with a $P2_1/c$ symmetry lies along the $[\bar{1}11]$ direction, in agreement with the XRD patterns. As there was insufficient energy for the system to reach the equilibrium state at a low temperature, supersaturation of m- HfO_2 grains led to lattice distortion of the $(\bar{1}11)$ planes and a variety of orientations in Region I. Fig. S4† shows three common growth models, named Frank-van der Merwe (2D), Volmer-Weber (3D), and Stranski-Krastanov (2D + 3D) models. The overall growth process of the HfO_2 film in Region I could be considered an extension of the Stranski-Krastanov (SK) growth mode for a two-dimensional thin film to a finite 3D case, as shown in Fig. S4(c).†⁴¹

The SAED in Fig. 7(e) for the HfO_2 film in Region II is indexed to a set of (002) sites along the zone axis of [110]. The HRTEM image shows exposed surfaces corresponding to the $(\bar{1}11)$ and (111) planes of m- HfO_2 . The HfO_2 film in Region II, formed at 1400 K , was associated with the MTR, and R_{dep} was expressed using eqn (2):

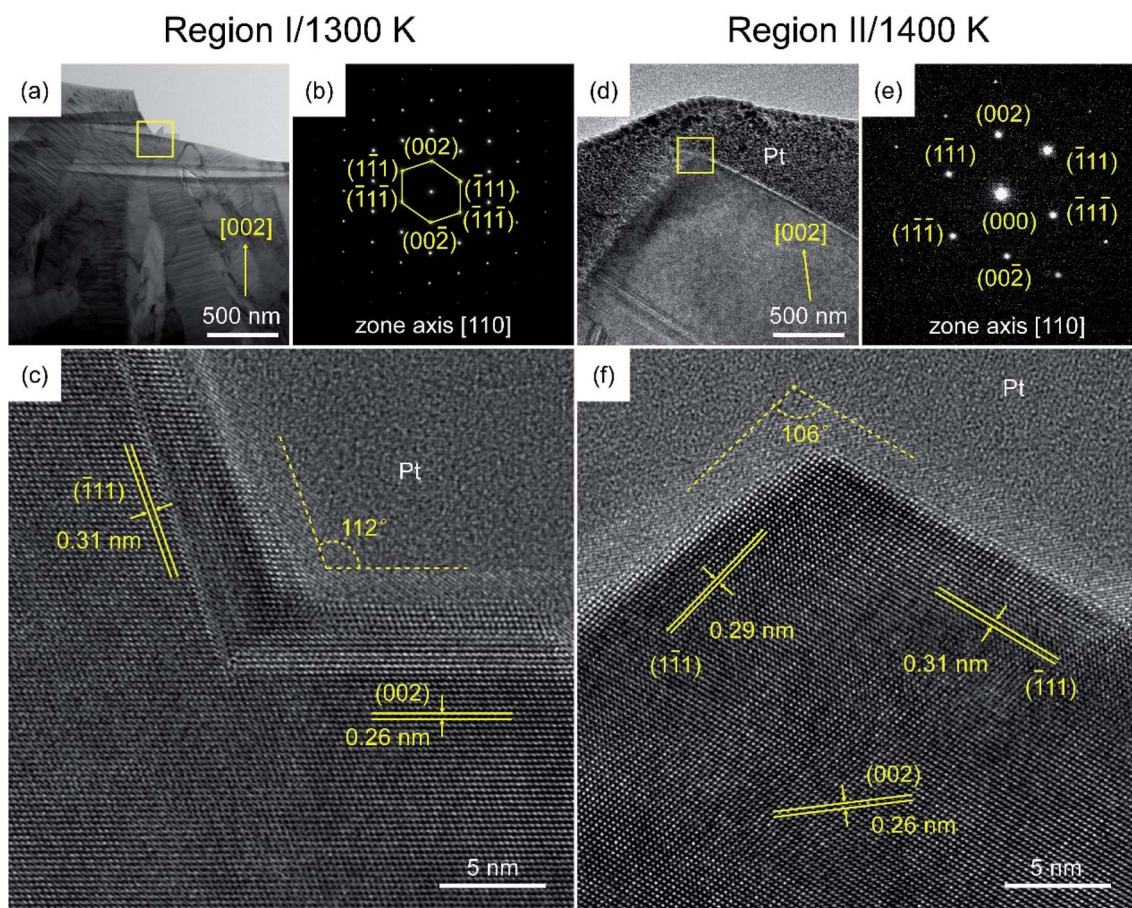


Fig. 7 Cross-section TEM images of the HfO_2 film in (a–c) Region I and (d–f) Region II.



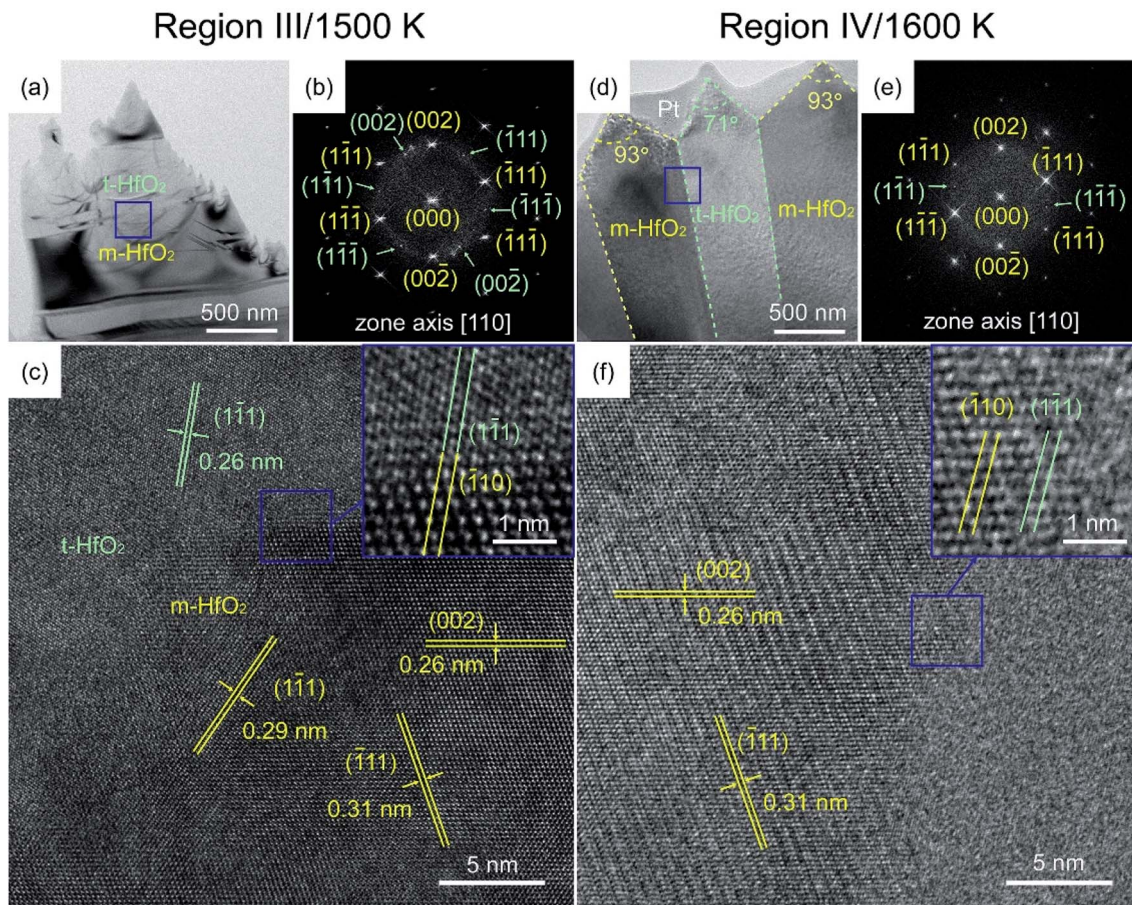


Fig. 8 Cross-section TEM images of the HfO₂ film in (a–c) Region III and (d–f) Region IV.

$$R_{\text{dep}} = k_s \eta_{hkl} V \quad (2)$$

where k_s and η_{hkl} represent the adsorption-limited rate coefficient and sticking probability for the (hkl) plane, respectively.⁴⁰ For Langmuir-type adsorption, the stacking sequence transforms into the multiple-layer inclusions of other polytypes. The cluster-like structure was formed by the Volmer–Weber (VW) growth mode, as shown in Fig. S4(b)† and provided many adsorption sites, making [002] the fastest growing direction.³⁸ The faster-growing grains covered the slower-growing grains, such that the grains in the fastest [002] growing direction survived and dominated the entire thickness.

Fig. 8 shows the cross-section TEM images of specimens in Regions III and IV composed of monoclinic (yellow) and tetragonal (bright green) grains. When the deposition temperature exceeded 1500 K, the growth deviated from the equilibrium state again *via* the Volmer–Weber (VW) growth mode, and the increase in the concentration of activated molecules resulted in an increase in the supersaturation of the grains.^{38,42} The HRTEM images showed the in-plane boundary for m-HfO₂($\bar{1}10$){111}/t-HfO₂($\bar{1}11$){111}. The self-vanishing defects at the interface induced the migration of {111} planes, such that no distinct interface between the two phases of HfO₂ could be observed.

Fig. S5† shows the dielectric measurements of the HfO₂ films in different regions. The real parts of the complex permittivity of samples in four regions indicate the real-time storage capacity of the dielectric material to the applied high-frequency electric field. And the dielectric loss ($\tan \delta$), the ratio of the imaginary-part to the real-part value, indicates the energy-loss degree in the use of dielectric materials. Fig. S5(d)† shows the curves of the real-part value of complex permittivity and dielectric loss in different regions. With the increase of T_{dep} , both of the permittivity and dielectric-loss values increase first and then decrease. In Region II (1400 K), the real-part value of complex permittivity reached the maximum value, 22, but the dielectric loss was also up to 0.60. When T_{dep} increased to 1500 K, the permittivity decreased slightly to 21, while the dielectric loss correspondingly decreased to 0.43. At 1600 K, the permittivity decreased to the minimum value,¹⁶ but still higher than that of traditional SiO₂ layers (3.9).⁴³

4. Conclusion

In this study, high-throughput HfO₂ films were deposited on Si (100) substrates *via* HT-LCVD using Hf(acac)₄ as a precursor. Two typical lattice stacking structures (SK and VW) were observed by TEM. The evolutions of microstructures and growth



mechanisms of HfO₂ films in different regions were studied by a continuous temperature field, which was controlled steadily with a large gradient (100 K mm⁻¹). The HfO₂ film obtained using a single growth process could be divided into four regions corresponding to increasing T_{dep} from 1300 K to 1600 K, with orientations ranging from random to highly oriented and back to random. The maximum deposition rate of 362 $\mu\text{m h}^{-1}$ was 10² to 10⁴ times higher than that obtained using existing CVD methods. The permittivity of the high-throughput HfO₂ film is in the range of 16–22, and the permittivity reached the maximum value, 22, in Region II at 1400 K.

Conflicts of interest

The authors declare that they have no conflict of interest.

Acknowledgements

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