


 Cite this: *RSC Adv.*, 2022, **12**, 20850

# Silicon micron cages derived from a halloysite nanotube precursor and aluminum sacrificial template in molten $\text{AlCl}_3$ as an anode for lithium-ion batteries†

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Porous nanostructures have been proposed a promising strategy to improve the electrochemical performance of Si materials as anodes of lithium-ion batteries (LIBs). However, expensive raw materials and the tedious preparation processes hinder their widespread adoption. In this work, silicon micron cages (SMCs) have been synthesized in molten  $\text{AlCl}_3$  through using spherical aluminum particles as a sacrificial template, and the earth-abundant and low-cost natural halloysite clay as a precursor. The aluminum spheres (1–3  $\mu\text{m}$ ) not only act as a sacrificial template but also facilitate the formation of silicon branches, which connect together to form SMCs. As anodes for LIBs, the SMC electrode exhibits a high reversible capacity of 1977.5  $\text{mA h g}^{-1}$  after 50 cycles at a current density of 0.2  $\text{A g}^{-1}$ , and 1035.1  $\text{mA h g}^{-1}$  after 300 cycles at a current density of 1.0  $\text{A g}^{-1}$ . The improved electrochemical performance of SMCs could be ascribed to the micron cage structure, providing abundant buffering space and mesopores for Si expansion. This promising method is expected to offer a pathway towards the scalable application of Si-based anode materials in the next-generation LIB technology.

 Received 2nd March 2022  
 Accepted 16th May 2022

DOI: 10.1039/d2ra01394k

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## Introduction

Silicon, with a theoretical lithium storage capacity (3580  $\text{mA h g}^{-1}$ ) of nearly 10 times that of the graphite anode material (372  $\text{mA h g}^{-1}$ ), is one of the most promising candidates for the next generation of lithium-ion batteries (LIBs). The attractive properties including low operational voltage, high earth abundance and low toxicity are additional benefits for silicon used as an anode material. Compared with the conventional electrode materials with relatively small structural changes during lithiation and delithiation, silicon suffers from large volume changes up to 300% due to the insertion/extraction of Li during every cycle. The instability of the solid-electrolyte interphase (SEI) caused by volume change and side reactions with the electrolyte, as well as the capacity fading caused by particle fracture and poor contact with current collector hinder its widespread adoption. Several strategies, including nanostructure anodes materials, nanocomposites of

anode materials, surface modification of electrode and porous structures of anode materials, have been proposed to promote the electrochemical performance of silicon-based anode materials. Among these strategies, porous structure can provide buffering space for the volume expansion and optimize the Li-ion diffusion path, making it a promising strategy to improve the cycling performance and rate capability of silicon-based anode materials.<sup>1</sup> Template methods, as is one of the most favorable methods, is widely used to synthesis porous silicon. A series of materials such as alumina,<sup>2</sup> silica<sup>3</sup> and graphene<sup>4</sup> have been used as template to synthesis silicon nanotubes, silicon hollow nanospheres and silicon nanosheets, *etc.* The capacity, cycling performance and rate capability of silicon anode materials prepared using template have been significantly improved. However, porous silicon anode materials reported previously were mostly derived from the reduction of commercial  $\text{SiO}_2$  nanosphere/particle or chemical etching of commercial Si or Si/Al nano/micron particles, all of which require expensive raw materials and tedious preparation processes.<sup>3,5</sup> Recently, natural aluminosilicate clay minerals have been used as precursor to synthesis silicon anode material *via* magnesiothermic/aluminothermic reduction for their nano structure and low cost. Huang *et al.*, synthesized porous silicon/carbon (pSi/C) composite nanosheets by reducing the carbon-coated expanded vermiculite with metallic Al in the molten salts.<sup>6</sup> The anode of pSi/C nanosheets exhibited a reversible capacity of 1837  $\text{mA h g}^{-1}$  at 4  $\text{A g}^{-1}$  after 500 cycles. Chen *et al.*,

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† Electronic supplementary information (ESI) available. See <https://doi.org/10.1039/d2ra01394k>

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prepared self-templating one-dimensional (1D) silicon nanorods from natural sepiolite by magnesiothermic reduction.<sup>7</sup> Zhang *et al.*, reported hierarchically porous micron-sized Si particles derived from the low-cost diatomite precursor. 90% capacity can be retained after 500 cycles at 0.2C for the sample without any coating or prelithiation.<sup>8</sup> Halloysite is one of the natural clay minerals with a tubular morphology and a chemical formula of  $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4 \cdot 2\text{H}_2\text{O}$ . The inner, external diameter and length of halloysite monomer tubes are about 15–100 nm, 40–190 nm and 500–1000 nm, respectively. Abundant resources, industrial supply, as well as low price make halloysite an excellent source of silicon. Various silicon structures such as Si nanoparticles,<sup>9</sup> interconnected Si nanoparticles<sup>10</sup> and 3D interconnected Si frameworks<sup>11</sup> have been synthesized using acid etched natural halloysite nanotubes through the magnesiothermic reduction process. This indicates that delicate structures can be designed when preparing silicon materials using natural clay minerals as precursors through magnesiothermic/aluminothermic reduction. In this work, we proposed that aluminum metal nanospheres (diameter  $\approx 2 \mu\text{m}$ ) and H-aSiO<sub>2</sub> (Halloysite-amorphous SiO<sub>2</sub>: acid selective etched natural halloysite nanotubes) can be used as sacrificial template and Si precursor, respectively. Silicon-micron-cages (SMCs) are further synthesized successfully through aluminothermic reduction in the molten salts. Using as anode of LIBs, compared with commercial silicon (C-Si) material, SMCs exhibit outstanding lithium storage performance due to the meticulous structure design. Another aluminothermic reduction of H-aSiO<sub>2</sub> was conducted as comparative study using the same method except for aluminum with larger particle diameter of 100–200  $\mu\text{m}$  to confirm the sacrificial template role of the aluminum metal nanospheres.

## Experiments

### Synthesis of silicon micron cages (SMCs)

**Chemical compounds used in this article.** Chlorohydric acid (PubChem CID: 313), (36%, AR); hydrofluoric acid (PubChem CID: 14917), (40%, AR); ethanol (PubChem CID: 702), (99.5%, AR); aluminum powder (1–2  $\mu\text{m}$ ) (PubChem CID: 5359268); aluminum powder (100–200  $\mu\text{m}$ ) (PubChem CID: 5359268); aluminum chloride (PubChem CID: 24012), (99%, AR); commercial silicon (diameter: 2  $\mu\text{m}$ ) (PubChem CID: 5461123). All the reagents used in this study were purchased from Sino-pharm (Shanghai, China). The natural halloysite clay used in this work was provided by Hunan Xianglei Kaolin Industrial Co., Ltd.

**Preparation of H-aSiO<sub>2</sub>.** Typically, 4 g of halloysite powder was well dispersed in a hydrochloric acid solution (6 M, 40 mL) and transferred into a 100 mL Teflon autoclave. The dispersion was acid treated at 160 °C for 4 h. After cooling down to room temperature, the white precipitates were collected with a filter and washed three times with deionized water and ethanol, respectively. The collections were dried in oven at 110 °C for 6 h. The halloysite-derived aSiO<sub>2</sub> (amorphous SiO<sub>2</sub>) samples were labeled as H-aSiO<sub>2</sub>.

**Aluminothermic reduction.** Fig. 1 shows the schematic illustration of the synthesis of silicon micron cages (SMCs). The aluminothermic reduction of H-aSiO<sub>2</sub> obeys:  $4\text{Al} + 3\text{SiO}_2 + 2\text{AlCl}_3 \rightarrow 3\text{Si} + 6\text{AlOCl}$ . 2 g of H-aSiO<sub>2</sub> was mixed well with 2.5 g Al powder and 16 g AlCl<sub>3</sub> in air in a 100 mL Teflon autoclave. Then, the autoclave was sealed immediately and heated in an oven at 240 °C for 20 h. After cooling to room temperature naturally, the resultant was washed with 1 mol L<sup>-1</sup> HCl/HF in a 100 mL Plastic beaker with a magnetic stirrer for 1 h. Sample denoted SMCs was obtained after washed with distilled water three times and dried in vacuum oven at 110 °C for 10 h. In order to investigate the formation mechanism of SMCs, silicon was prepared using the same process with larger diameter aluminum particles (100–200  $\mu\text{m}$ ). The silicon material prepared as a comparative study was denoted as COM-Si.

### Material characterization

The structure and morphology of the product were characterized by X-ray diffractometer (Rigaku D/Max-2400), Raman spectrometer (Renishaw, RM-1000), X-ray photoelectron spectroscopy (XPS) (Kratos Analytical Ltd AXIS Supra), nitrogen adsorption isotherms (Mocromeritics ASAP 2020 analyzer), Scanning electron microscopy (FEI Nova NanoSEM 430, 15 kV, 176  $\mu\text{A}$ ) and Transmission electron microscopy (FEI Tecnai F20).

### Electrochemical measurements

The electrochemical properties of SMCs and C-Si were evaluated through coin type cells (2016 R-type) which were assembled under an argon-filled glove box ( $\text{H}_2\text{O}$ ,  $\text{O}_2 < 1 \text{ ppm}$ ). The metallic Li sheet was used as a counter electrode. 1 M LiPF<sub>6</sub> in a mixture of ethylene carbonate (EC)/dimethyl carbonate (DEC)/diethylene carbonate (DMC) = 1 : 1 : 1 in volume with 5 wt% fluoroethylene carbonate (FEC) was used as electrolyte. For preparing working electrode, the slurry mixed with as-prepared SMCs material, carbon black (super P) and sodium alginate (SA) binder in a weight ratio of 6 : 2 : 2 in water solvent was pasted onto a Cu foil, and then dried in a vacuum oven at 80 °C for 10 h. The active material density of each electrode was determined to be about 1.0 mg cm<sup>-2</sup>. Galvanostatic measurements were conducted using a LAND-CT2001A instrument at room temperature with a fixed voltage range of 0.005–1.5 V (*vs.* Li/Li<sup>+</sup>). Cyclic voltammetry (CV) was performed on electrochemistry workstation

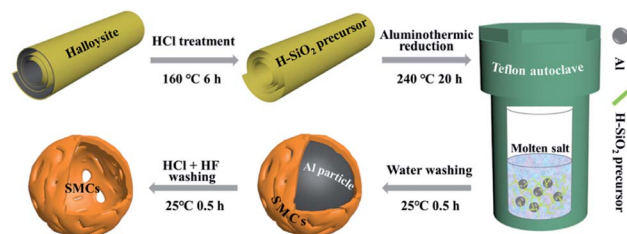


Fig. 1 Schematic illustration of the synthesis of silicon micron cages (SMCs).





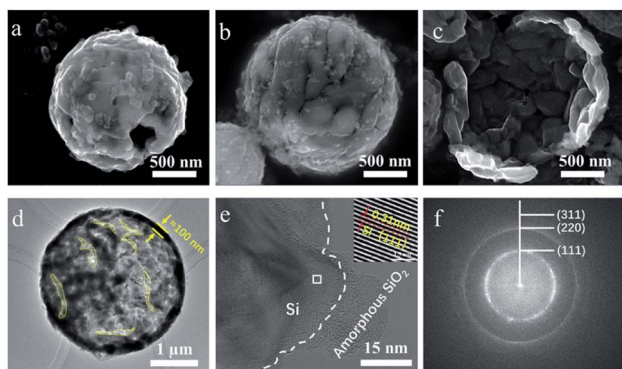


Fig. 4 Morphological characterization of silicon micron cages (SMCs). (a and b) The magnified SEM image of (SMC); (c) the inner surface of SMC. (d) TEM image of SMC (the yellow dash lines represent the slits in the SMC); (e) HRTEM image of SMC (amorphous  $\text{SiO}_2$  covering the surface of SMC indicating the oxidation of Si when exposure to air; the inset image magnified of the white box showing the crystalline structure of SMC); (f) the SAED pattern of SMC.

COM-Si was synthesized using aluminum with larger particle diameter (100–200  $\mu\text{m}$ ) as reductant through the same process. The SEM image of COM-Si shows irregular bulk (Fig. 5a), which is different from SMCs, confirming the sacrificial template role of spherical aluminum in preparing SMCs. First, previous reports introduced that ionization process ( $\text{Al} = \text{Al}^{3+} + 3\text{e}^-$ ) may exist in molten  $\text{AlCl}_3$  during the reduction process.<sup>15</sup> The tubular Si material is expected to be obtained for the tubular morphology of H-aSiO<sub>2</sub>. However, the Si material synthesized in this work shows the morphology of the silicon micron cage, which is different from H-aSiO<sub>2</sub> in both size and shape. Therefore, the electrons provided by the ionization process of Al are distributed near the surface of the Al particles. Consequently, the reduction process in this study takes place near the surface of the Al particles. Second, the tubular morphology of unreduced H-aSiO<sub>2</sub> shows the undecomposable of H-aSiO<sub>2</sub> in the molten salt (Fig. 5b). Only when attached to or near the aluminum sphere dose the reduction of H-aSiO<sub>2</sub> take place. The tubular H-aSiO<sub>2</sub> was reformed into silicon branches and then attached to the aluminum sphere. Since the SMCs are composed of silicon branches that are not present in COM-Si, the nano aluminum sphere is considered to facilitate the formation of silicon branches. Finally, the magnified image of

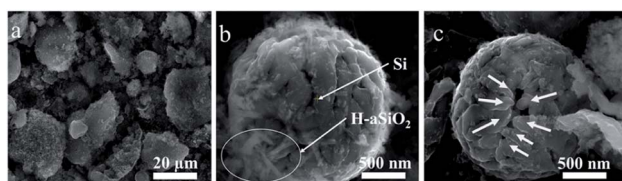


Fig. 5 SEM images of (a) Silicon material prepared using aluminum with larger particle diameter of 100–200  $\mu\text{m}$  as reductant by the same method as SMCs, (b) the magnified image of prepared SMCs crud products after HCl washing and (c) the incomplete SMC shows the growth trend of silicon branches.

an incomplete SMC shows a tendency of silicon branches to connect together if they grow further (Fig. 5c). Based on the above analysis, the formation mechanism of SMCs was proposed in a brief illustration (Fig. 6). Step 1, liquid phase molten  $\text{AlCl}_3$  ensures the tight contact of reactants and avoids the appearance of  $\text{Al}_2\text{O}_3$  passivation layer.<sup>16</sup> Step 2, the reduction process begins at the contact point of the reactants first. Step 3, silicon branches grow as more H-aSiO<sub>2</sub> is reduced to silicon. Step 4, as the reduction progresses, the silicon branches are connected to form a silicon micron cage. Step 5, SMC will be obtained after aluminum is eliminated with HCl solution.

Raman test was conducted to confirm the composition of SMCs (Fig. 7a). One sharp peak at  $494.5\text{ cm}^{-1}$  (resulting from the Si–Si stretching mode) and two weak and broad peaks at  $281.3\text{ cm}^{-1}$  and  $917.3\text{ cm}^{-1}$  (corresponding to the overtones of TA(X) and TO(L),<sup>7</sup> respectively) were detected. The location of all three peaks moved to lower Raman shift for approximately  $20\text{ cm}^{-1}$ , attributing to the small size of the prepared silicon particles.<sup>17</sup> The surface content of prepared SMCs was tested by X-ray photo-electron spectroscopy (XPS) spectrum (Fig. 7b). The high resolution Si 2p XPS spectrum of SMCs shows a strong peak at 99.12 eV and a relatively weak peak at 103.4 eV, corresponding to  $\text{Si}^0$  and  $\text{Si}^{4+}$ , respectively.<sup>18</sup> The peak of  $\text{Si}^{4+}$  suggests the oxidation of silicon when exposed to air.<sup>19</sup> The nitrogen adsorption–desorption isotherms and pore size distribution curve of SMCs are presented in (Fig. 7c and d). A type of IV isotherm and H3 hysteresis loops was observed, indicating mesoporous structure. The rapid increase in the  $\text{N}_2$  adsorption capacity in the high relative pressure region indicated the existence of macropores.<sup>7</sup> The specific surface area and total pore volume are  $46.22\text{ m}^2\text{ g}^{-1}$  and  $0.134\text{ cm}^3\text{ g}^{-1}$  analyzed by Brunauer–Emmett–Teller (BET) and Barrett–Joyner–Halenda (BJH) measurements, respectively. The pore size distribution curve shows a peak located at around 50 nm, and rises continually when the pore size is larger than 100 nm, indicating the pore size is mainly mesoporous and macropore.

Half-cell lithium-ion battery performance was investigated by applying SMCs and commercial silicon (C–Si) as anode electrode material. Cyclic voltammetry (CV) test was conducted at  $0.1\text{ mV s}^{-1}$  to investigate the lithiation/delithiation process of SMCs (Fig. 8a). In the initial cathodic process, a weak peak at about 1.16 V is corresponding to the formation of SEI film at the surface of silicon.<sup>10</sup> A strong peak at around 0.01 V could be assigned to the formation of the amorphous- $\text{Li}_{15}\text{Si}_4$  phase and followed by the cubic  $\text{Li}_{15}\text{Si}_4$  phase.<sup>8</sup> In the anodic scan, two peaks at 0.38 and 0.53 V can be attributed to the delithiation of  $\text{Li}_x\text{Si}$  alloys to amorphous-Si. After the first cycle, a new peak near 0.17 V appeared, which is associated with the lithiation process and finally results in the reversible transformation from amorphous Si to  $\text{Li}_x\text{Si}$  alloy. The current of CV peaks increased gradually, indicating the activation of crystalline Si, which is similar to previous reports.<sup>20,21</sup> The galvanostatic charge/discharge of SMCs tested at  $0.2\text{ A g}^{-1}$  for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> cycles are plotted in Fig. 8b. The cells were deep charged and discharged between 0.005 V and 1.5 V, and the plateau of charge–discharge curves is consistent well with the CV curve, respectively. In the initial cycle, the main potential plateau of



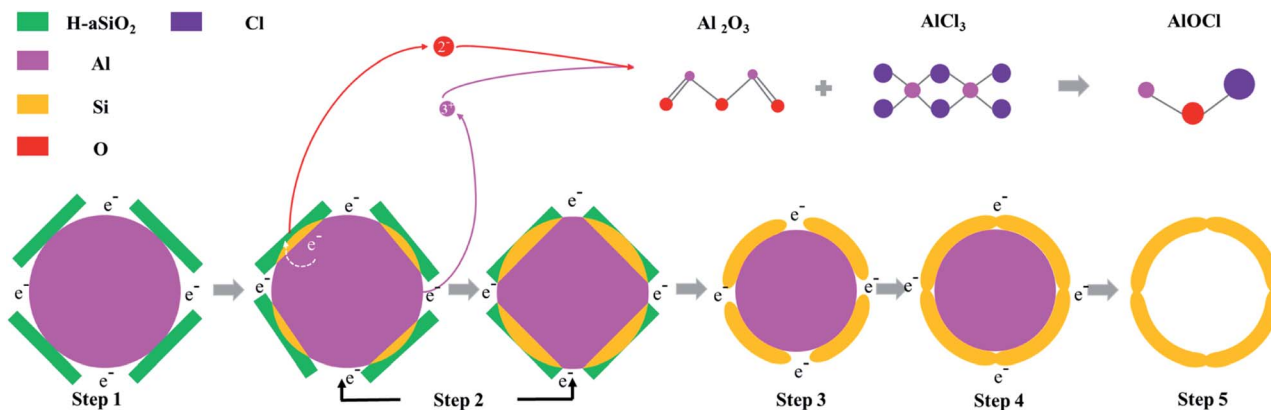


Fig. 6 Schematic illustration of the formation mechanism of silicon micron cages (SMCs).

the discharge process was located at around 0.1 V, agreeing well with the lithiation process of crystalline Si. In the following cycles, the main discharge potential plateau shows around 0.1–0.5 V, which is attributed to the transformation from amorphous Si to  $\text{Li}_x\text{Si}$  alloy. The initial discharge and charge capacities were 3272.8 and 2675.9  $\text{mA h g}^{-1}$  for SMCs, respectively, which was lower than 4102.7 and 3548.6  $\text{mA h g}^{-1}$  for C-Si. The initial coulombic efficiency (CE) of SMCs was 81.8%, which was lower than 83.6% for C-Si. The lower CE of SMCs could be attributed to the larger specific surface area of SMCs since the micron cage structure, resulting in more SEI film formation. The 2<sup>nd</sup> cycle coulombic efficiency increased to 95.3%, and the average coulombic efficiency for the cycles from 3 to 50 is 98.6%, suggesting the excellent reversibility of SMCs electrode. SMCs shows a slow capacity decay from 3272.8  $\text{mA h g}^{-1}$  to a reversible capacity of 1977.5  $\text{mA h g}^{-1}$  after 50 cycles at a current density of 0.2  $\text{A g}^{-1}$ , while the reversible capacity of C-Si decayed seriously from 4102.7  $\text{mA h g}^{-1}$  to 81.2  $\text{mA h g}^{-1}$  after 50 cycles (Fig. 8c).

Rate performances of SMCs and C-Si were evaluated using galvanostatic charge–discharge measurements by increasing the current density from a low value of 0.1 to 5.0  $\text{A g}^{-1}$  and then back to 0.1  $\text{A g}^{-1}$  (Fig. 8d). The stable discharge capacities of SMCs electrode were 2573.5, 2401.4, 2013.1, 1640.9, 1070.4 and 515.9  $\text{mA h g}^{-1}$  at current densities of 0.1, 0.2, 0.5, 1.0, 2.0 and 5.0  $\text{A g}^{-1}$ , respectively. When current density increased from 0.1 to 5.0  $\text{A g}^{-1}$ , 20% reversible capacity has been maintained for SMCs, significantly improved than C-Si (1.1%). Besides, while the current density returns to 0.1  $\text{A g}^{-1}$ , the reversible capacity of SMCs can immediately recover to 1881.8  $\text{mA h g}^{-1}$ , suggesting excellent rate capability. A discharge specific capacity of

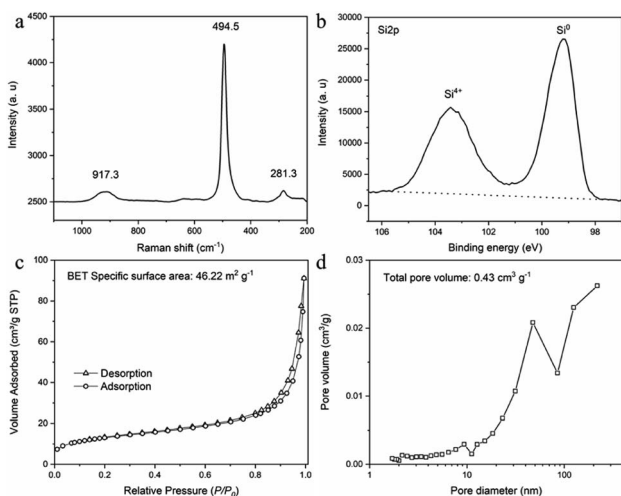


Fig. 7 Characterization of silicon micron cages (SMCs). (a) Raman spectrum; (b) XPS spectrum; (c) nitrogen adsorption–desorption isotherm; (d) pore size distribution curve.

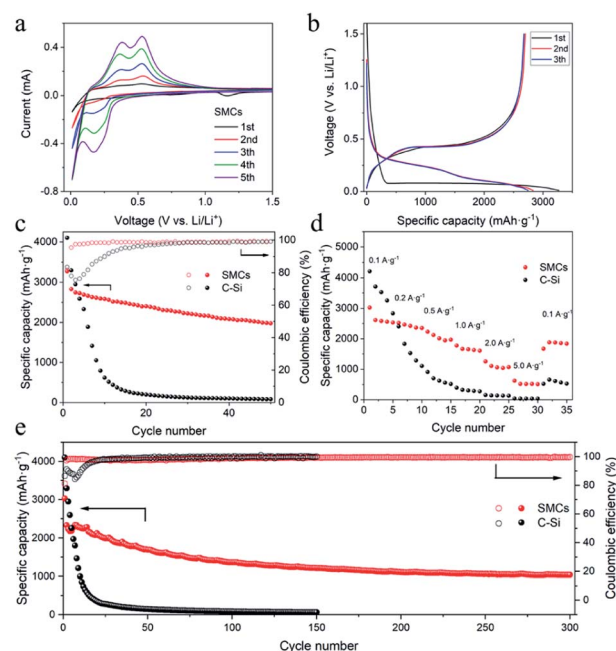


Fig. 8 Electrochemical performance of silicon micron cages (SMCs) and commercial silicon (C-Si). (a) Cyclic voltammograms of first five cycles of SMCs at a scan rate of 0.1  $\text{mV s}^{-1}$ ; (b) discharge–charge voltage curves at current density of 0.2  $\text{A g}^{-1}$ ; (c) cycling stability at 0.2  $\text{A g}^{-1}$  for SMCs and C-Si; (d) rate performance of SMCs and C-Si; (e) long-term cycling of SMCs and C-Si at 1  $\text{A g}^{-1}$ .



1035.1 mA h g<sup>-1</sup> after 300 cycles for SMCs electrode at a higher current density of 1.0 A g<sup>-1</sup> was attained, which is higher than the values from the most recent reports<sup>6–9,11,15,22–24</sup> (for detailed data, see Table S1†). Compared with the third cycle, the capacity retention of the 300th cycle is 46.4%. Some oscillations in the specific capacity values could be observed in the first 100 cycles. This may result from the temperature difference, the active sites, and the surface conditions of the sample and the SEI layer thickness.<sup>18,25</sup> In contrast, the capacity of C–Si electrode drops rapidly from 4102.7 mA h g<sup>-1</sup> to 60.8 mA h g<sup>-1</sup> in 150 cycles (Fig. 8e).

The top-view and cross-sectional images of silicon micron cages (SMCs) and commercial silicon (C–Si) electrodes before and after cycling were obtained using SEM to further investigate the volume expansion and long-term structural stability. The inset image in (Fig. 9a) shows the irregular particle morphology of C–Si with similar diameter to SMCs. Compared to that of the pristine C–Si electrode (Fig. 9a), the electrode collapsed severely after 50 cycles (Fig. 9b) due to the volume change of C–Si during cycling. Fortunately, the SMCs electrode (Fig. 9d) shown relatively neat surface morphology with tiny cracks after 50 cycles compared to the pristine electrode (Fig. 9e). The C–Si particles have been destroyed after cycling (Fig. 9c), while no severe crack or fracture of SMCs were found (Fig. 9f), which indicates the excellent cyclic stability of SMCs. The cross-sectional image of C–Si (Fig. 10a) and SMCs (Fig. 10c) electrodes shows that the active material binds tightly to the copper foil collector. After 50 cycles, part of the active material of C–Si electrode is detached from the collector (Fig. 10b), while the SMCs active material still binds tightly to the collector (Fig. 10d), showing outstanding structural stability of SMCs electrode during long cycling. The improved electrochemical performance and structural stability of SMCs electrode can be attributed to the micron cage constructed through using spherical aluminum particles as sacrificial template. Abundant buffering space and mesopores provided by the micron cage structure ease the Si expansion and

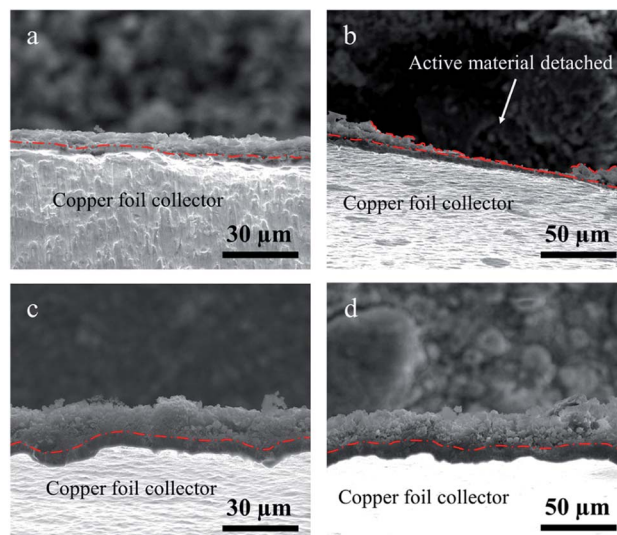


Fig. 10 Cross-sectional SEM images of (a and b) commercial silicon (C–Si) and (c and d) silicon micron cages (SMCs) electrodes before and after 50 cycles at 1 A g<sup>-1</sup>.

promote the fast ion immigration during the charge and discharge process.<sup>3,26</sup>

## Conclusions

Silicon micron cages (SMCs) have been successfully synthesized in molten AlCl<sub>3</sub> through using spherical aluminum particles as sacrificial template, and the earth-abundant and low-cost of natural halloysite clay as precursor. The aluminum spheres (1–3 μm) not only act as a sacrificial template but also facilitate the formation of silicon branches, which connect together to form SMCs. As anodes for lithium-ion batteries, SMCs exhibited a high reversible capacity of 1977.5 mA h g<sup>-1</sup> after 50 cycles at a current density of 0.2 A g<sup>-1</sup>, and 1035.1 mA h g<sup>-1</sup> after 300 cycles at a current density of 1.0 A g<sup>-1</sup>. The improved electrochemical performance of SMCs could be attributed to the micron cage structure. Abundant buffering space and mesopores provided by the micron cage structure ease the Si expansion and promote the fast ion immigration during the charge and discharge process. This promising method is expected to offer a pathway towards the scalable application of Si-base anode materials in the next-generation LIB technology.

## Author contributions

Bo Li: conceptualization, methodology, validation, data curation, formal analysis, software, visualization, writing – original draft, writing – review & editing. Xiuyun Chuan: funding acquisition, project administration, supervision, resources, reviewing and editing. Shunpeng Chen: methodology, review & editing. Fangfang Liu: methodology, formal analysis, reviewing and editing. Xingguo Li: project administration, resources, methodology.

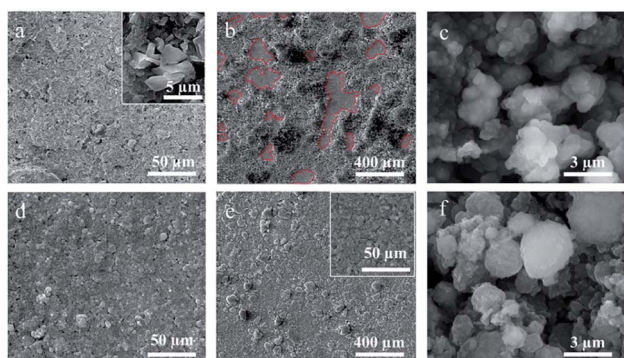


Fig. 9 SEM images of the microscopic morphological changes of commercial silicon (C–Si) and silicon micron cages (SMCs) electrode in the long cycling process at 1 A g<sup>-1</sup>. (a and b) Top-view images of C–Si electrode before cycling and after 50 cycles (the inset shows the irregular particle morphology of C–Si with similar diameter to SMCs); (c) the morphology characterization of C–Si particles after 50 cycles; (d and e) top-view images of SMCs electrode before cycling and after 50 cycles; (f) the morphology characterization of SMCs after 50 cycles.



## Conflicts of interest

The authors declare no conflicts of interest.

## Acknowledgements

This study was financially supported by the National Natural Science Foundation of China (Grant No. 52074015 and 51774016) and the Test Fund of Peking University (0000012321).

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