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## Insight on the effect of Ni and Ni–N co-doping on $\text{SnO}_2$ anode materials for lithium-ion batteries

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With the increased demand for high-rate performance Li-ion batteries, it is necessary to find available methods to improve the rate properties of  $\text{SnO}_2$  electrodes. It is noteworthy that doping was considered to be a feasible means. The electronic structures and diffusion energy barriers of Ni-doped and Ni–N co-doped  $\text{SnO}_2$  were calculated based on density functional theory. The results estimated that the energy gaps of Ni-doped and Ni–N co-doped  $\text{SnO}_2$  are 1.07 eV and 0.94 eV, which both are smaller than the value of 2.08 eV of  $\text{SnO}_2$ . These exhibit that the conduction properties of  $\text{SnO}_2$  can be enhanced by doping with the Ni or Ni–N atoms. Moreover, the diffusion properties of Li can also be improved by doping with Ni–N atoms due to the diffusion energy barrier of Li from the B to C point for Ni–N co-doped  $\text{SnO}_2$  being 0.12 eV smaller than the value of 0.24 eV for the pristine  $\text{SnO}_2$ . Meanwhile, the diffusion energy barriers of Li along other pathways for Ni–N co-doped  $\text{SnO}_2$  are almost the same as 0.24 eV for  $\text{SnO}_2$ . These results show that both the electronic and ionic conductivity of  $\text{SnO}_2$  can be enhanced by Ni–N co-doping, which provides a theoretical explanation to promote the rate properties of  $\text{SnO}_2$  by Ni–N co-doping as anode materials for Li-ion batteries.

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### 1. Introduction

Lithium-ion batteries (LIBs) have been extensively applied in portable electronics and are expected to be used in electric vehicles (EV) and plug-in hybrid electric vehicles (HEV). Further improvements in energy and power density put forward higher requirements on either new electrode materials or their novel structural design. In the past few decades, significant efforts have been made to meet these needs. Rutile  $\text{SnO}_2$  has become one of the most promising substitutes for graphite anode materials owing to its high theoretical capacity (781 mA h g<sup>-1</sup>) compared with the theoretical capacity (372 mA h g<sup>-1</sup>) of graphite.<sup>1–4</sup> However, there is a severe impediment to the development of  $\text{SnO}_2$  as anode materials for LIBs because of its poor electric conductivity. Moreover, compared with two-dimensional materials, such as black phosphorus (BP), defective graphene, Li diffusion energy barrier in the bulk  $\text{SnO}_2$  is high.<sup>5–8</sup> Therefore, it is necessary to find a feasible method to improve electronic and ionic conductivities of  $\text{SnO}_2$ .

The conduction property of  $\text{SnO}_2$ -based composites with conducting materials (such as carbonaceous materials and conducting polymers) is high in comparison with  $\text{SnO}_2$ , in accordance with the quantitative experiments.<sup>9–11</sup> Meanwhile,

other methods used to improve the rate property of  $\text{SnO}_2$  also play an essential role. For instance, doping, the metal cationic (such as Al, Mo, Ni, Co, Cu, Sb, W) doping, non-metal anionic (such as N, P, F) doping, or metal and non-metal (such as Ni–N, Co–N, Cu–N) co-doping can also be used to enhance the electrochemical properties of  $\text{SnO}_2$  as anode materials.<sup>12–22</sup> Moreover, the rate property of  $\text{SnO}_2$  with graphene as electrode for LIBs was investigated by Miao *et al.* based on the density functional theory (DFT) method.<sup>6</sup> They found that the Li ionic conductivity of  $\text{SnO}_2$  with graphene was enhanced due to a new Li diffusion path [110] with a low diffusion barrier of Li compared to the pristine  $\text{SnO}_2$  with [001] direction.<sup>6,7</sup>

Although the electronic and ionic conductivities of  $\text{SnO}_2$  as the anode material for LIBs can be enhanced with distinguishable modified techniques. However, the improvement of  $\text{SnO}_2$  by doping with impurity atoms mainly focuses on the experiment. There are few investigations to explain why the rate property of  $\text{SnO}_2$  improved by doping is high compared with the pure  $\text{SnO}_2$ . Therefore, herein, the electronic and ionic conductivities of  $\text{SnO}_2$  as anode materials for LIBs were calculated using the DFT method, and the effects of doping atoms (Ni or Ni–N) on electronic and ionic conductivities of  $\text{SnO}_2$  were investigated.

### 2. Simulation methodology

The DFT calculations were performed using the SIESTA (Spanish Initiative for Electronic Simulations with Thousands of Atoms) with Perdew–Burke–Ernzerhof (PBE) generalized

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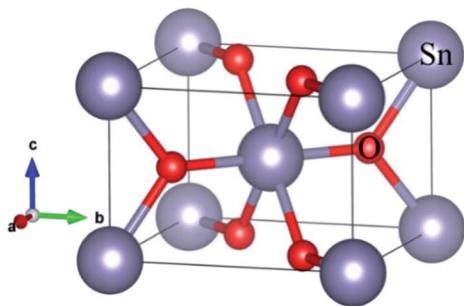


Fig. 1 The crystal structure of the bulk  $\text{SnO}_2$ , where the big and small balls are Sn and O atoms, respectively.

Table 1 Lattice parameters of the rutile  $\text{SnO}_2$

	$a = b$ (Å)	$c$ (Å)	Ref.
Cal.	4.734	3.220	7
	4.83	3.23	32 (PBE)
	4.815	3.225	33 (PBE)
	4.81	3.25	34 (PBE)
Exp.	4.737	3.186	25
	4.7655	3.1843	18
	4.7447	3.1870	12
	4.7467	3.1839	13
Ours	4.85	3.29	(PBE)

gradient approximation (GGA-PBE) function.<sup>23,24</sup> The valence electron wave functions were expanded using a DZ basis set without polarization. An energy cut-off was set to be 150 Ry. The rutile  $\text{SnO}_2$  with  $P4_2/mnm$  space group, Sn and O atoms occupy the 2a and 4f sites, respectively, using a  $2 \times 2 \times 3$   $k$ -point mesh to relax the bulk  $\text{SnO}_2$ . The relaxation of the pristine  $\text{SnO}_2$  unit cell was performed with a conjugate gradient (CG) method until the maximum force was less than 0.02 eV  $\text{\AA}^{-1}$ . The optimized bulk structure is shown in Fig. 1, where big and small balls are Sn and O atoms, respectively. The calculated bulk equilibrium lattice constant of  $\text{SnO}_2$  is  $a = b = 4.85$  Å,  $c = 3.29$  Å, which is almost identical to the experimental and calculational values, as listed in Table 1.<sup>12,13,18,25</sup> A Ni occupies a Sn site (Ni-doping) and a Ni occupies a Sn site while a N replaces a O Site (Ni-N co-doping) in  $2 \times 2 \times 3$   $\text{SnO}_2$  supercells were used to study. A  $1 \times 1 \times 3$   $k$ -point mesh to relax the Ni-doped  $\text{SnO}_2$  and Ni-N-doped  $\text{SnO}_2$ . The Li diffusion barrier and band structure were computed after relaxing.

### 3. Results and discussion

The nearest neighboring heteroatom doping was only considered in our work. According to the symmetry of  $\text{SnO}_2$ , each Sn atom has 8 the nearest neighboring Sn atoms and 6 the neighboring O atoms, respectively, as shown in Fig. 1. Therefore, one Ni position doping and one Ni-N position co-doping were studied. Consider first, some materials demonstrate good computational performance but are difficult to synthesize, which limits their application in experiments or in practice.<sup>26</sup>

So, it is important to first investigate the stability of  $\text{SnO}_2$  in order to explore the possibility of its synthesis. We use the formation energy descriptor to measure the stability of doped  $\text{SnO}_2$ . The calculated formation energies of Ni doping and Ni-N co-doping 2.21 eV and 2.70 eV, respectively. Low formation energies of defects generally mean that these defects form easily.<sup>27</sup> The result indicates that Ni-doped  $\text{SnO}_2$  and Ni-N co-doped  $\text{SnO}_2$  are energetically favorable, which is consistent with the experimental observations.<sup>28,29</sup>

The conduction property of  $\text{SnO}_2$  by doping (Ni, Ni-N-co-doping) was investigated by considering their electronic structures calculated based on the DFT. The calculated band structure and density of states (DOS) of the bulk  $\text{SnO}_2$  are shown in Fig. 2(a) and (b), respectively. It is shown that the bulk  $\text{SnO}_2$  is a semiconductor with a direct band gap of 2.08 eV. Its band gap is within other calculations (1.6 to 2.3 eV),<sup>6,30,31</sup> as shown in Fig. 2(a), where the Fermi level is zero. Fig. 2(b) shows that the energy states near the Fermi level ( $E_f$ ) are mainly occupied by electrons in the 2p orbital of O atoms. The conduction band is largely contributed by 5s states of Sn atom. However, the band gaps of Ni-doped and Ni-N co-doped  $\text{SnO}_2$  are 1.07 eV and 0.94 eV, respectively, as shown in Fig. 3(a) and (c), which both are smaller about 1 eV than that of the bulk  $\text{SnO}_2$ . Therefore, the partial transfer of electrons caused by thermal excitation from the valence band to conduction band will be more effortless in the doped  $\text{SnO}_2$  than in the pure  $\text{SnO}_2$ .

Moreover, it can be seen from Fig. 3(b) that the  $E_f$  is localized at the maximum of the valence band (VBM) for Ni-doped  $\text{SnO}_2$ , as well as the states near  $E_f$  mainly consist of 3d states of Ni atom. For Ni-N co-doped  $\text{SnO}_2$ , as shown in Fig. 3(d), the states near  $E_f$  are occupied primarily by 3d states of Ni and 2p states of N. This indicates that the states near  $E_f$  can be changed by doped atoms (Ni, Ni-N) for the bulk  $\text{SnO}_2$  to improve their electronic structures. Then their degree of conductivity has been enhanced by doping with Ni and Ni-N. In addition, the conductivity of Ni-N co-doped  $\text{SnO}_2$  is a little better than that of Ni-doped  $\text{SnO}_2$  according to their energy gaps. The Li ion property of  $\text{SnO}_2$  as anode material for LIBs is as significant as its electronic property discussed above. Therefore, the following Li diffusion properties of  $\text{SnO}_2$  and  $\text{SnO}_2$  doped were studied in the light of Li diffusion energy barrier calculated with the DFT

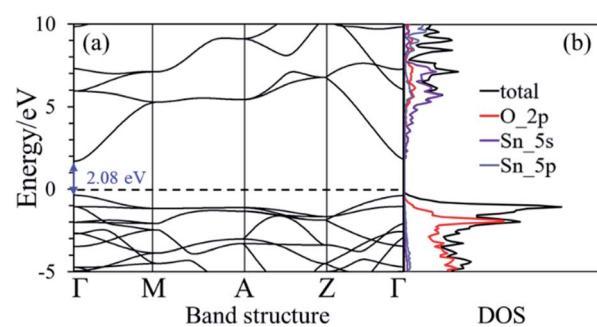


Fig. 2 (a) and (b) are the band structure and the density of state of the bulk  $\text{SnO}_2$ , respectively. The dashed black line is the Fermi level that its value is zero.



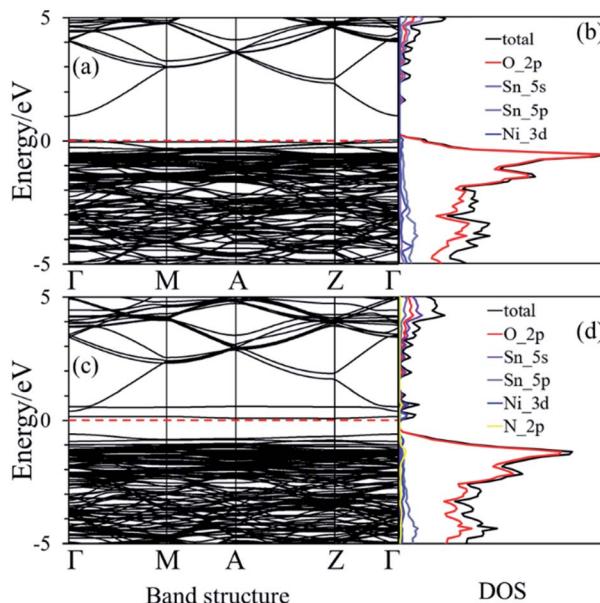


Fig. 3 (a) and (b) are the band structure and density of states of Ni-doped  $\text{SnO}_2$ , respectively. (c) and (d) are the band structure and density of states of Ni–N co-doped  $\text{SnO}_2$ , respectively. The dashed red line that its value is zero.

method. The diffusion energy barrier of Li in the bulk  $\text{SnO}_2$  obtained is 0.24 eV, as shown in Fig. 4(a). Moreover, the diffusion path (a path from A point to D point) shown in Fig. 4(b), is identical to the experimental observation that Li diffusion direction is dominated by the [001] direction,<sup>6,7</sup> which both is a one-dimensional diffusion path.

The diffusion path of Li in Ni-doped  $\text{SnO}_2$  and Ni–N co-doped  $\text{SnO}_2$  remains one-dimensional, as shown in Fig. 5(b) and (c), but the diffusion energy is different. It can be seen from Fig. 5(a) that the energy barriers of Li for the nearest neighbor diffusion path (from B to C point) in the Ni-doped  $\text{SnO}_2$  and Ni–N co-doped  $\text{SnO}_2$  are 0.02 eV and 0.12 eV, which are both less than the value (0.24 eV) of the bulk  $\text{SnO}_2$ , which exhibits that the Li ionic conductivity of Ni-doped  $\text{SnO}_2$  and Ni–N co-doped  $\text{SnO}_2$  has been greatly improved for the nearest neighbor path. Furthermore, the energy barriers of Li far from the nearest-neighbor diffusion path (such as from C to D point, D to E

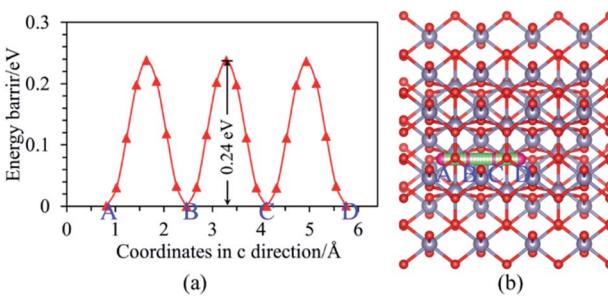


Fig. 4 (a) Energy barriers and (b) diffusion pathways of Li in the bulk  $\text{SnO}_2$ .

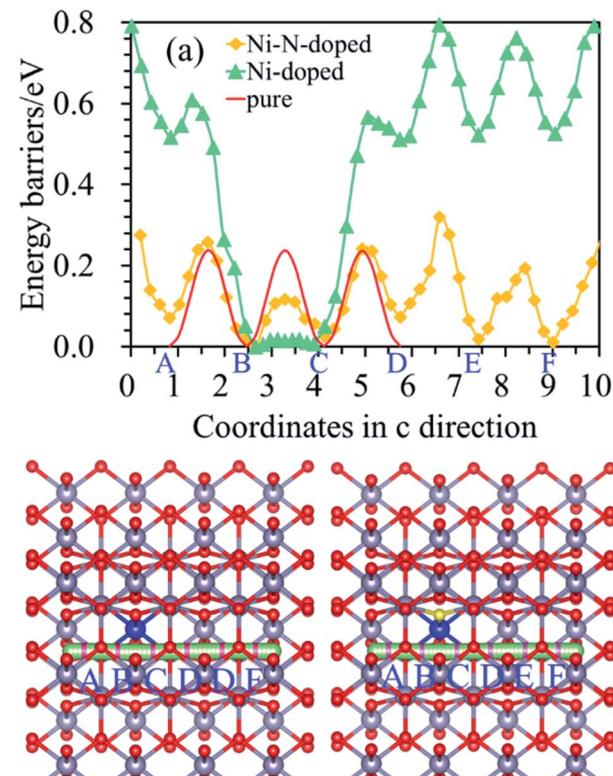


Fig. 5 (a) Energy barriers of Li, where red, green and yellow curves represent diffusion energy barriers of Li in the pure, Ni-doped, and Ni–N co-doped  $\text{SnO}_2$ , respectively. (b) and (c) show diffusion pathways of Li in the Ni-doped, and Ni–N co-doped  $\text{SnO}_2$ , respectively. Green/purple, blue, and bright yellow balls are Li, Ni and N atoms, respectively.

point, and E to F point) be also calculated to see the effect of dopant atoms on all diffusion properties. For Ni-doped  $\text{SnO}_2$ , diffusion barriers of Li from C to D, D to E site, and E to F position, are 0.57 eV, 0.25 eV and 0.24 eV, respectively. The Li diffusion for the second nearest neighbor Li (from C to D site) is more difficult in Ni-doped  $\text{SnO}_2$  than in the pure  $\text{SnO}_2$ . The adsorption energies of Li at C position for pure  $\text{SnO}_2$ , Ni-doped  $\text{SnO}_2$  and Ni–N co-doped  $\text{SnO}_2$  are  $-1.28$  eV,  $-3.52$  eV and  $-2.56$  eV, respectively. The results show that lithium is energetically able to adsorb on C site for Ni-doped  $\text{SnO}_2$ , and Li diffusion barrier (from C to D site) as shown in Fig. 5(a) would increase because of a strong Li adsorption. Above results indicates that although the electronic conductivity of  $\text{SnO}_2$  can be improved by Ni doping, the ionic conductivity was reduced when one Sn atom was replaced by one Ni atom because of a stronger Li adsorption at C site for Ni-doped  $\text{SnO}_2$ . Therefore, it is not beneficial to improve the electrochemical properties of  $\text{SnO}_2$  as anode material for LIBs. However, for Ni–N co-doped  $\text{SnO}_2$ , the diffusion energy of Li from C to D position is 0.22 eV. It almost is the same as that of the pure  $\text{SnO}_2$ . Meanwhile, the energy barriers of Li (from B to C) just described above in the Ni–N co-doped  $\text{SnO}_2$  are 0.12 eV.

The ionic conductivity of  $\text{SnO}_2$  was enhanced by Ni–N co-doping. Therefore, both the electronic and ionic conductivity



of  $\text{SnO}_2$  can be improved by Ni–N co-doping. It illustrates that Ni–N co-doping in the pure  $\text{SnO}_2$  is energetically favorable to promote the rate performance of  $\text{SnO}_2$ .

## 4. Conclusions

In conclusion, Ni–N co-doping in the pure  $\text{SnO}_2$  is energetically favorable to improve both the electronic and ionic conductivity. The results calculated that the band gaps of Ni-doped and Ni–N co-doped  $\text{SnO}_2$  are 1.07 eV and 0.94 eV, which both are smaller than the value 0.24 eV of the pure  $\text{SnO}_2$ . The diffusion energy barrier of Li from C to D for Ni-doped  $\text{SnO}_2$  is 0.57 eV larger than the value 0.24 eV for  $\text{SnO}_2$ . However, the diffusion energy barrier of Li from B to C for Ni–N co-doped  $\text{SnO}_2$  is 0.12 eV smaller than the value 0.24 eV for  $\text{SnO}_2$ . Meanwhile, the diffusion energy barriers of Li along pathways far from the nearest neighbor path for Ni–N co-doped  $\text{SnO}_2$  is almost the same as 0.24 eV for  $\text{SnO}_2$ . Our results demonstrates that the electronic and ionic conductivity of  $\text{SnO}_2$  can be promoted by Ni–N co-doping, and provides a theoretical explanation to enhance the rate property of  $\text{SnO}_2$  by Ni–N co-doping.

## Conflicts of interest

There are no conflicts to declare.

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