


Cite this: *RSC Adv.*, 2022, 12, 9238

# Glucose hydrothermal encapsulation of carbonized silicone polyester to prepare anode materials for lithium batteries with improved cycle stability†

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A silicon polyester (Si-PET) was synthesized with ethylene glycol and phthalic anhydride, and then it was carbonized and hydrothermally coated with glucose. The formed  $\text{SiO}_x$  with layered graphene as the 3D network had an amorphous carbon layer. The graphene oxide (rGO) after carbothermal reduction was completely retained in  $\text{SiO}_x$ , which improved the conductivity of the  $\text{SiO}_x$  anode material.  $\text{SiO}_x$  were encapsulated with a flexible amorphous carbon layer on the surface, which can not only improve the electrical performance, but also effectively relieve the huge volume changes of the compound. Further, the key point is that, the solid electrolyte interphase (SEI) film was mainly formed on the surface carbon layer. This would keep a stable SEI film during volume pulverization, and result in a good cycle stability. The  $\text{SiO}_x$ /C-rGO material maintained a reversible capacity of  $660 \text{ mA h g}^{-1}$  at a current density of  $100 \text{ mA g}^{-1}$  for 100 cycles, a reversible capacity of  $469.7 \text{ mA h g}^{-1}$  at a current density of  $200 \text{ mA g}^{-1}$  for 300 cycles. The Coulomb efficiency was maintained at 98% except for the first cycle. After long cycling, the electrode expansion was 16%, which was much lower than those of silicon based materials. Therefore, this article provides a cheap, simple, and commercially valuable anode material for lithium batteries.

Received 14th February 2022  
Accepted 6th March 2022

DOI: 10.1039/d2ra00960a

rsc.li/rsc-advances

## Introduction

With society developing, energy shortage becomes a problem should be solved, and the requirement of energy storage equipment is gradually increasing. As a highly efficient rechargeable secondary battery, lithium ion batteries (LIBs) are widely used in portable equipment, electronic vehicles, medical apparatus and other fields because of their high specific capacity, good safety, long cycle life, and environmental protection.<sup>1</sup> With the pursuit of high energy density, stable cycle performance, and excellent safety of LIBs, the optimization of anode materials is a vital part of the current lithium-ion battery research.<sup>2,3</sup> The current commercial lithium battery negative electrode is graphite with a specific capacity of  $372 \text{ mA h g}^{-1}$ . Si-based anode materials are considered a promising choice for lithium ion anode materials because of their ultra-high specific capacity and low delithiation potential. However, they have the following disadvantages, which are caused by huge volumetric changes ( $\sim 300\%$ ) during charging and discharging, and must be improved: cracks between active material and electrode,

unstable solid electrolyte interphase (SEI) layer, low initial coulombic efficiency (ICE), and low conductivity ( $10^{-5}$  to  $10^{-3} \text{ S cm}^{-1}$ ).<sup>4-8</sup>

As a form of silicon-based anode,  $\text{SiO}_x$  (silicon protoxide,  $0 < x < 2$ ) has attracted much attention due to its relatively high specific capacity ( $\sim 1600 \text{ mA h g}^{-1}$ ), low charge-discharge potential and smaller volume expansion. The high-strength Si-O bond and the formation of  $\text{Li}_2\text{O}$  and  $\text{Li}_4\text{SiO}_4$  effectively reduce volume expansion. Nonetheless, the conductivity and electron migration rate of  $\text{SiO}_x$  anode materials are very low, which would affect its electrical performance.<sup>9,10</sup> The main method is to introduce carbon to improve conductivity and cycle stability while alleviating volume changes.<sup>11-15</sup> The carbon coating could be achieved by chemical synthesis,<sup>16,17</sup> *in situ* synthesis,<sup>18,19</sup> introduction or modification of nanostructures,<sup>20</sup> ball milling,<sup>21,22</sup> and spraying,<sup>23</sup> etc. Jiang *et al.*<sup>20</sup> directly pyrolyzed (methyl methacrylate) (PMMA) polymer on the surface of silicon nanoparticles to construct a dual core-shell structure  $\text{Si}@ \text{SiO}_x @ \text{C}$  nanocomposite. The introduction of graphene into  $\text{SiO}_x$  composite materials is due to the unique single-atomic sheet structure of graphene, which exhibits good electrical conductivity, large specific surface area, and special mechanical properties. This allows graphene to be applied to the negative electrode material of lithium batteries to buffer the volume shrinkage in structure, improve the conductivity of the material, provide more tracks for lithium ions, and inlay space for silicon-containing particles.<sup>24-27</sup> Bai *et al.*<sup>16</sup> synthesized  $\text{Si}@ \text{SiO}_x /$

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/d2ra00960a



graphene hydrogel composite so that Si@SiO<sub>x</sub> nanoparticles can be evenly dispersed within the GH structure with a 3D network. Daniel *et al.*<sup>28</sup> mixed polydopamine-coated Si NPs and graphene oxide (GO) through a simple solution mixing. The above methods have been proved to improve the performance of SiO<sub>x</sub> anode materials, and the pursuing of Si-based anode materials about cycle stability is continuing.

In this research, graphene oxide (GO) was evenly dispersed in silicon-containing polyester (Si-PET), and then the resulting material was thermally reduced and carbonized. Finally, using hydrothermal of glucose to form a carbon layer on the surface. The obtained SiO<sub>x</sub>/C-rGO composite regarded graphene as the 3D network, and Si-PET was reduced to silicon with carbon on it. At the meanwhile, a double-layer structure of SiO<sub>x</sub> and carbon layers were formed on the surface. The advantages of this method is simple, low in cost, and feasible in practical application. It is worth noting that this SiO<sub>x</sub>/C-rGO composite has good electrical cycle stability. The excellent electrical performance of it due to the layer structure of graphene, which provides tracks for the insertion and desertion of lithium ions, increasing the conductivity of the material as well. The carbon-silicon structure obtained by reduction provides high capacity, and the flexible carbon layer with a certain mechanical strength effectively relieves volume expansion. The special structure is conducive to forming a stable solid electrolyte interphase (SEI) layer and reducing volume changes of active materials.<sup>29–32</sup> Carbon coating can also effectively hinder the formation of silicon crystals and reduce the polarization resistance of the electrode, thereby improving the conductivity and electrical performance of it.<sup>33–35</sup> This paper also studied the ratio of glucose hydrothermal coating. When the ratio of glucose to the coated material is 3 : 1, the performance of the material is the best. At a current density of 200 mA g<sup>−1</sup>, the specific capacity of it can still be 469.7 mA h g<sup>−1</sup> after 300 cycle.

## Materials

The graphene oxide (GO, >99.9%) used in this article is commercial product. Trimethoxysilane (industrial purity) was purchased in Hubei Wuda Silica New Material Co., Ltd. Ethylene glycol ((CH<sub>2</sub>OH)<sub>2</sub>, 99.0% wt), phthalic anhydride (C<sub>8</sub>H<sub>4</sub>O<sub>3</sub>, 99.7% wt), ammonia (NH<sub>3</sub>·H<sub>2</sub>O, 25.0–28.07% wt), tin(II) chloride dihydrate (SnCl<sub>2</sub>·2H<sub>2</sub>O, 98.0% wt), ethanol absolute (C<sub>2</sub>H<sub>6</sub>O, 99.7% wt) and D(+)-glucose anhydrous

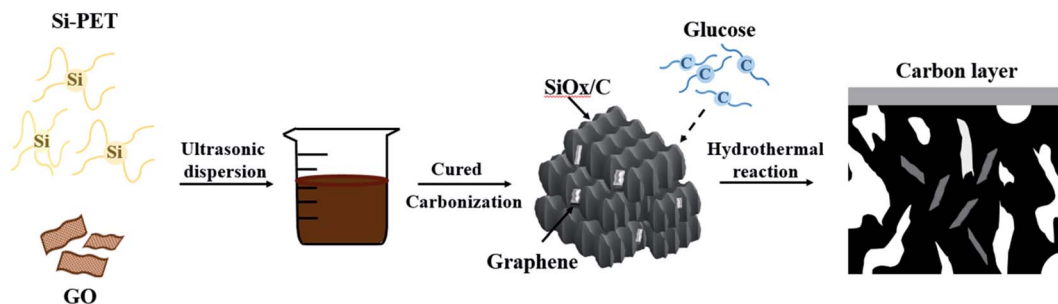
(C<sub>6</sub>H<sub>12</sub>O<sub>6</sub>) were purchased in Sinopharm Group Chemical Reagent Co., Ltd.

## Synthesis of SiO<sub>x</sub>/C-rGO

The fabrication of SiO<sub>x</sub>/C-rGO with a simple solution blending process is shown in Scheme 1. Ethylene glycol (40 g) and a slight excess of phthalic anhydride (100 g) were esterified at 120 °C, and then trimethoxysilane was introduced to synthesize silicon-containing polyester (Si-PET). 0.08 g of graphene oxide (GO) was added to 4 g of deionized water, and then ultrasonically dispersed for 15 minutes. In order to obtain a uniformly dispersed graphene oxide aqueous solution, a few drops of ammonia were added. The graphene oxide solution was slowly dropped into 20 g of the silicon-containing polyester prepared above, ultrasonicated for 30 minutes, and then tin(II) chloride dihydrate was added as a catalyst, and the obtained mixed solution was exposed to room temperature for curing. Next, the cured material was reduced at 750 °C with a heating rate of 5 °C min<sup>−1</sup> under Ar atmosphere for 2 h. The obtained sample was denoted as SiO<sub>x</sub>/C-rGO-0. The sample of the above pure silicon polyester directly cured without graphene oxide was recorded as SiO<sub>x</sub>/C. Finally, the carbonized material and D(+)-glucose anhydrous in different proportions were uniformly dispersed in 50 ml of deionized water, and then placed in an autoclave for hydrothermal reaction at 160 °C for 6 hours. The obtained sample was washed with ethanol absolute and deionized water, then dried under vacuum at 80 °C for 24 hours. The ratio of glucose anhydrous to the carbonized sample is 2 : 1, 3 : 1, 4 : 1, 5 : 1, respectively denoted as SiO<sub>x</sub>/C-rGO-1, SiO<sub>x</sub>/C-rGO-2, SiO<sub>x</sub>/C-rGO-3, SiO<sub>x</sub>/C-rGO-4.

## Structural characterization

X-ray diffraction (XRD, XPert Pro, Netherlands) was carried out to characterize the crystal structure by using a Cu K<sub>α</sub> (λ = 1.54178 Å) in the 2θ ranging of 5–80°. Fourier transform infrared spectroscopy (FTIR, Thermo 5700, USA) was collected from 400 to 4000 cm<sup>−1</sup>. The morphologies and energy-dispersive X-ray spectroscopy of the samples were observed by scanning electron microscope (SEM, Tescan – MIRA3, Czech Republic) and high resolution transmission electron microscopy (HR-TEM, JEOL JEM F200, Japan). Raman spectra were recorded using a Scientific LabRam HR Evolution with an argon ion laser operating at 514 nm for excitation. The Brunauer–



**Scheme 1** Schematic illustration of the synthesis process of the SiO<sub>x</sub>/C-rGO.



Emmett–Teller (BET, AUTOSORB IQ, Quantachrome, USA) was used to measure the specific surface area of samples. The pore size distribution was confirmed by Barrett–Joyner–Halenda (BET, AUTOSORB IQ, Quantachrome, USA) at liquid nitrogen temperature. Thermogravimetric analysis (TGA) was tested using a Henven HTG-1 analyzer at a heating rate of  $10\text{ }^{\circ}\text{C min}^{-1}$  from room temperature to  $750\text{ }^{\circ}\text{C}$  in air. X-ray photoelectron spectroscopy (XPS) was conducted with Thermo Scientific ESCALAB 250Xi using Al  $K_{\alpha}$  (1486.6 eV) excitation.

### Electrochemical measurement

The working electrode was fabricated *via* pasting slurries of the active material, acetylene black (super P) and polyvinylidene fluoride (PVDF) at a weight ratio of 8 : 1 : 1 on the thin copper foil. After vacuum drying, the electrode was stamped into a disk with a diameter of 12 mm. Finally, using the lithium electrode as counter electrode and Celgard 2300 as separator in a glove box filled with argon to form the coin cell CR-2032. The electrolyte consisted of  $1\text{ mol l}^{-1}$   $\text{LiPF}_6$  in a mixed solvent with ethylene carbonate (EC)/ethyl methyl carbonate (EMC) = 1 : 1 by volume. Charge/discharge measurement were performed by LAND (CT2001A) battery-test system in the voltage range of 0.01–2.0 V. Cyclic voltammetry curves (CV) were measured at a scanning rate of  $0.1\text{ mV s}^{-1}$  within the potential range of 0.01–2.0 V vs.  $\text{Li/Li}^{+}$  using an electrochemistry working station (Squidstat Plus). The electrochemical impedance spectroscopy (EIS) test was carried out in the frequency range from 100 kHz to 10 mHz on an electrochemical workstation (Squidstat Plus).

## Results and discussion

XRD patterns of  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  are compared in Fig. 1a. The similar XRD spectra of the two samples have same crystal structure. The  $\text{SiO}_x/\text{C-rGO}$  material in this study is composed of carbon and amorphous silicon oxide ( $\text{SiO}_x$ ). The broad peak in the  $20^{\circ}$ – $25^{\circ}$  region corresponds to  $\text{SiO}_x$ . The main peak of carbon overlaps with the  $\text{SiO}_x$  peak, and the small peak at  $43^{\circ}$  represents amorphous carbon (JCPDS No. 26-1081).<sup>36,37</sup> Fourier transform infrared (FT-IR) spectra of three samples of  $\text{SiO}_x/\text{C}$ ,  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$ , as shown in Fig. 1b,

have similar absorption peaks. The FT-IR spectra indicate the several bonding in the above composites mentioned. The strong and shape peaks at  $3430\text{ cm}^{-1}$  are assigned to the Si–OH or C–OH. There is no significant difference in these peaks of the three samples in intensity, indicating that the addition of graphene oxide (GO) and hydrothermal treatment will not significantly affect the interaction between molecular. At the meanwhile, the  $\text{SiO}_x/\text{C}$  has a small peak at  $2930\text{ cm}^{-1}$ , which is attributed to the C–H bond.<sup>38</sup> Compared with  $\text{SiO}_x/\text{C}$ , the peak intensity of  $\text{SiO}_x/\text{C-rGO-0}$  is obviously smaller, and the peak of  $\text{SiO}_x/\text{C-rGO-2}$  is almost absent. It illustrates that the addition of GO and hydrothermal reaction contribute to carbonization completely. The absorption peaks at  $1060\text{ cm}^{-1}$ ,  $792\text{ cm}^{-1}$  and  $449\text{ cm}^{-1}$  are ascribed to three different structural vibrations: asymmetric stretching vibration (asymmetric Si–O–Si bond stretching) and symmetric stretching vibration ( $\text{SiO}_4$  tetrahedral ring), bending vibration (Si–O–Si bond angle deformation), the above three peaks are all represented to  $\text{SiO}_x$ .<sup>39</sup> It is worth mentioning that the peak intensity at  $792\text{ cm}^{-1}$  is obviously smaller accompanied by the addition of GO and hydrothermal treatment, which proves that carbon coating indeed formed around silicon. The peak near  $1602\text{ cm}^{-1}$  is assigned to C=C, indicating that glucose has been carbonized during the hydrothermal treatment.<sup>40</sup>

The Raman spectrum of the  $\text{SiO}_x/\text{C-rGO-2}$  sample is shown in Fig. 2a. Although there is no obvious Si band, the D band and G band that typically characterize the graphene structure appear in it. The D band near  $1330\text{ cm}^{-1}$  attribute to the structural defects on the graphitic carbon plane, and the G band near  $1590\text{ cm}^{-1}$  associate with a strong tangential mode of the graphene structure. The  $I_D/I_G = 0.84$ , which can indicate the degree of graphitization of the sample. From the Raman spectrum, it is obvious that there is amorphous carbon in the composite. The X-ray photoelectron spectroscopy (XPS) of  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$  are shown in Fig. 2b. The three dominant elements C (C 1s), O (O 1s) and Si (Si 2p and Si 2s) can be seen. It is easy to conclude from the figure that the three main elements of the both composites are quite different. The XPS high-resolution spectrum comparison of the C 1s spectrum of  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$  are shown in Fig. 2c and S1.†

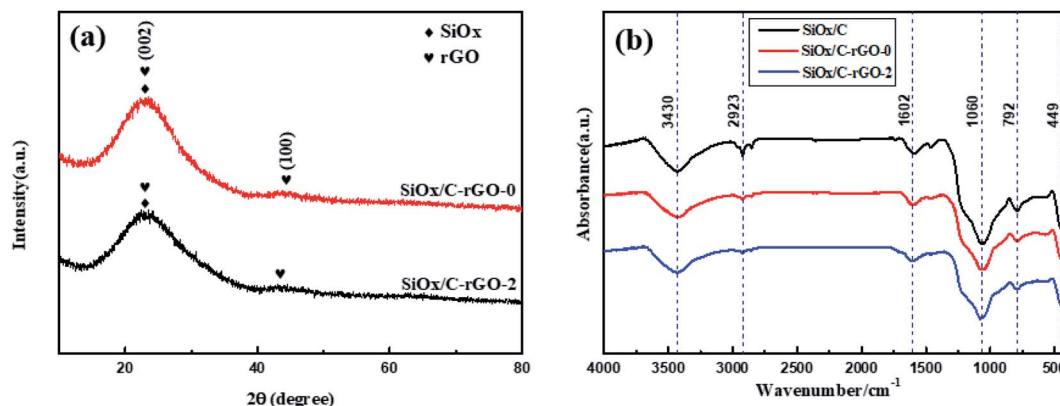


Fig. 1 (a) Powder XRD patterns of  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  (b) FT-IR spectra of  $\text{SiO}_x/\text{C}$ ,  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$ .



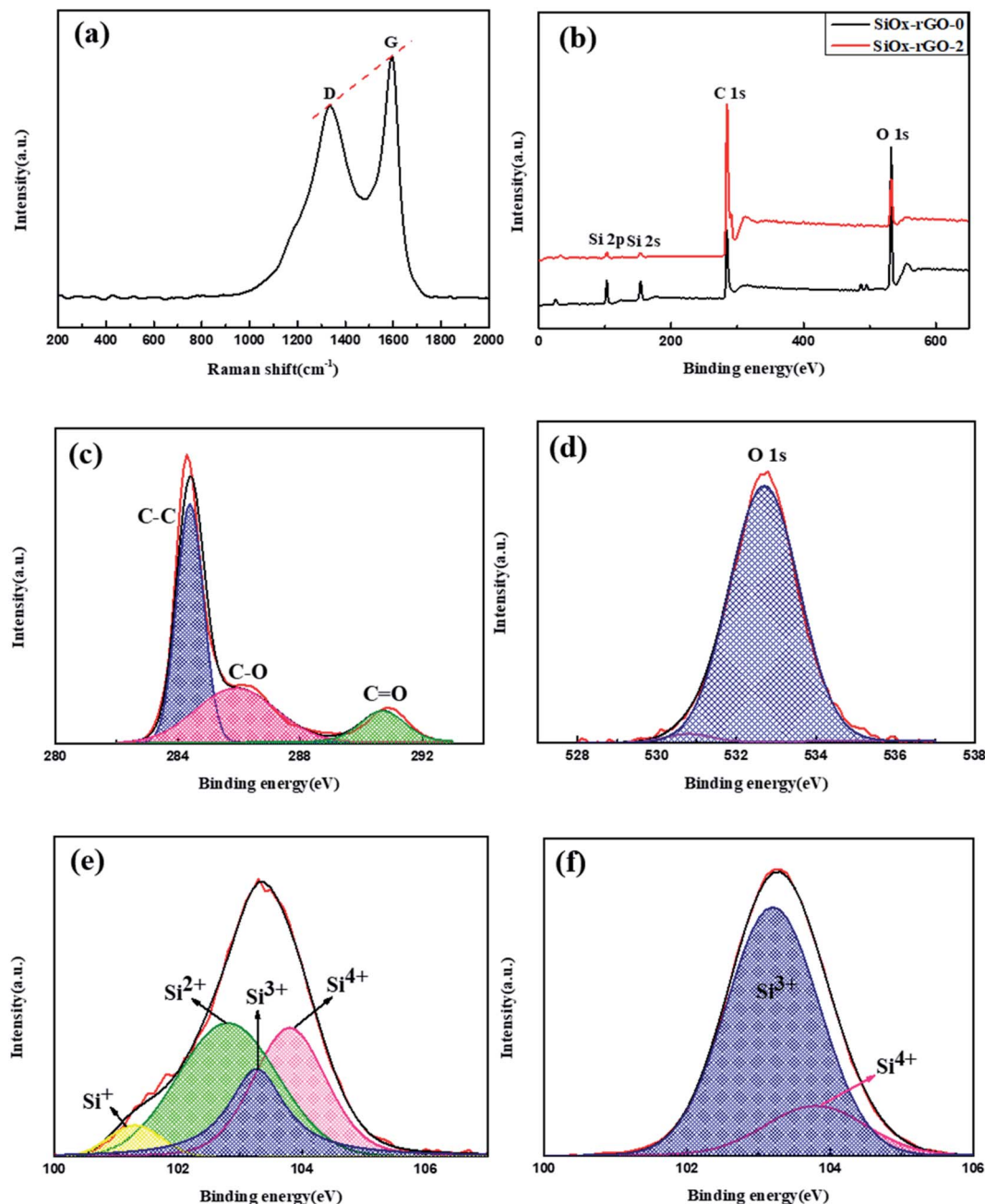


Fig. 2 (a) Raman spectra of  $\text{SiO}_x/\text{C-rGO-2}$  with an excitation laser wavelength of 514.5 nm. (b) XPS spectra of  $\text{SiO}_x/\text{C-rGO-0}$  composite and  $\text{SiO}_x/\text{C-rGO-2}$  composite. High-resolution XPS spectra for the  $\text{SiO}_x/\text{C-rGO-2}$  composite of C 1s (c), O 1s (d) and Si 2p (e). (f) High-resolution XPS spectra of Si 2p for the  $\text{SiO}_x/\text{C-rGO-0}$ .

After the hydrothermal reaction, a significant peak appeared near 286 eV. The strong peak at 284.7 eV corresponds to the C–C bond in graphitic carbon, 285.9 eV and 290.7 eV correspond to the C–O bond and C=O bond, respectively.<sup>41</sup> The peak area of the C–C bond is the largest, which indicates that the functional groups containing carbon–oxygen bonds in the complex have been reduced. Glucose hydrothermal coating, on the one hand, fully carbonized on the surface to increase the carbon content, on the other hand, the introduction of carbon-containing groups made up for the insufficient conductivity of the  $\text{SiO}_x$

anode material. The O 1s spectrum (Fig. 2d and S2†) prove that glucose is fully reduced in the hydrothermal process to form an effective carbon layer, leading to a significant reduction in surface oxygen. The strong peak at 532.3 eV corresponds to the multiplicity of adsorbed  $\text{H}_2\text{O}$  molecule at or near the surface, and 530.8 eV correspond to the metal–oxygen bond.<sup>42</sup> Fig. 2e and f illustrate respectively the Gaussian fitting of the Si 2p spectrum of the  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$ . The peak of Si 2p can be split into four peaks, which correspond to different spins of Si element in p orbital under different oxidation states.



**Table 1** Abundance ratios of the Si oxidation states present in the  $\text{SiO}_x/\text{C-rGO}$ , calculated by curve fitting of the Si 2p spectra

Sample	$\text{Si}^{4+}$ (%)	$\text{Si}^{3+}$ (%)	$\text{Si}^{2+}$ (%)	$\text{Si}^+$ (%)
$\text{SiO}_x/\text{C-rGO-2}$	31.39	20.19	42.88	5.54
$\text{SiO}_x/\text{C-rGO-0}$	17.63	82.37	0	0

Peaks at 103.8 eV, 102.8 eV, 102.1 eV, and 101.3 eV are respectively referred to  $\text{Si}^{4+}$ ,  $\text{Si}^{3+}$ ,  $\text{Si}^{2+}$ ,  $\text{Si}^+$ ,<sup>43</sup> and the absence of  $\text{Si}^0$  in the composite is also consistent with the results of Raman spectrum. The proportions of Si in different valence states of  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$  are compared in Table 1 according to the area of the fitted peaks. After hydrothermal reduction, the proportion of high-valence silicon is significantly lower, which demonstrates that a carbon layer has formed on the surface to cover the silicon oxide compound and part of the silicon is reduced to lower valence state. It is concluded that  $\text{SiO}_x/\text{C-rGO-2}$  is composed of silicon-oxygen ( $\text{SiO}_x$ ) and amorphous carbon, and the silicon element is further reduced to a mixed valence state after hydrothermal reaction.

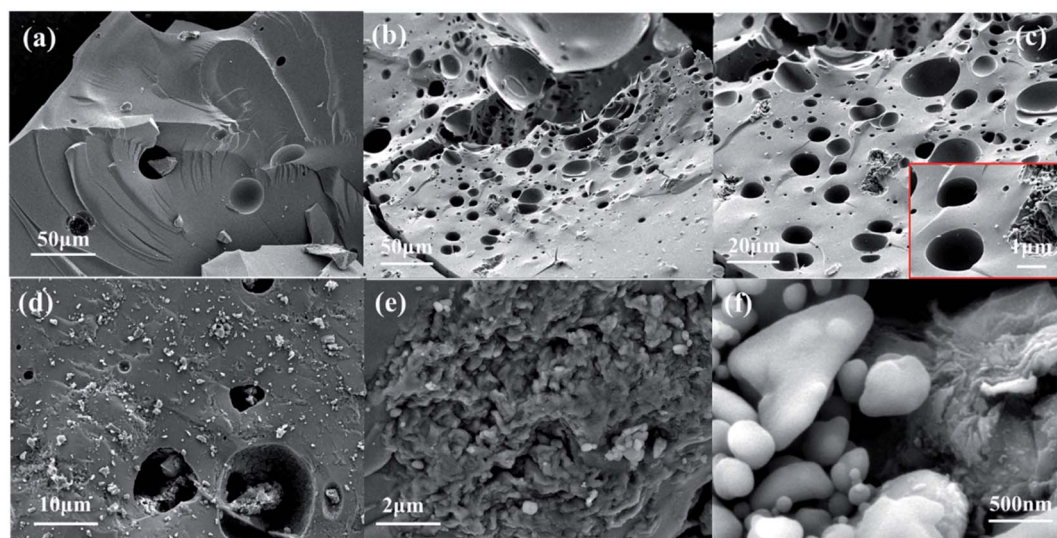
Scanning electron microscope (SEM) observes the microscopic morphology of the sample as shown in Fig. 3. The structure of  $\text{SiO}_x/\text{C}$  without graphene (Fig. 3a) is flat and smooth, which is a typical  $\text{SiO}_x$  morphology.<sup>44</sup> The  $\text{SiO}_x/\text{C-rGO-0}$  with graphene oxide (rGO) added is shown in Fig. 3b and c, which are macroporous, and the graphene fold-like structure can be clearly observed at higher magnification. The necessity of introducing graphene has been verified, because the generation of holes is conducive to the lithiation and delithiation, so that it has better electrical performance.<sup>45</sup> The hydrothermally treated  $\text{SiO}_x/\text{C-rGO-2}$  is shown in Fig. 3d and e. The graphene fold-like structure and pores still exist, but the pore size is smaller than before. The agglomerate structure that did not exist before can be clearly seen in the depression of the fracture (Fig. 3f), which is presumed to be an irregular carbon layer

formed by hydrothermal reduction, and this inference was further verified.

The specific surface area and pore size distribution of these samples were evaluated by nitrogen adsorption-desorption measurements in Fig. 4a–c. The isotherms of these three materials show distinct hysteresis loops, which are type IV plots, showing the existence of mesoporous structures in these materials.<sup>46,47</sup> Based on the multi-point BET method, the specific surface area of  $\text{SiO}_x/\text{C}$ ,  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  are  $6.065 \text{ m}^2 \text{ g}^{-1}$ ,  $8.165 \text{ m}^2 \text{ g}^{-1}$  and  $12.725 \text{ m}^2 \text{ g}^{-1}$ , respectively. With the addition of graphene and the formation of carbon layer on the surface, the specific surface area increased significantly. The specific surface area of the sample is improved by the hydrothermal method, which is beneficial to provide lithium ion migration channels, reduce power assistance, release volume expansion, and have better electrical properties.

Thermogravimetric analysis (TGA) test is carried out under air atmosphere, and the carbon is completely oxidized by heating to  $750^\circ\text{C}$ . The weight increase of silicon into silicon dioxide at a temperature ( $<800^\circ\text{C}$ ) is negligible. Therefore, the remaining weight after heating to  $>700^\circ\text{C}$  can reflect the carbon content of the composite. Fig. 4d is the comparison between  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-1}$ . The weight loss increased from 25 wt% to 27 wt% after hydrothermal treatment, demonstrating that glucose by hydrothermal method does introduce more carbon elements. The thermal weight loss data of different ratios of glucose added are shown in Table 2. With the added amount of glucose increasing, the weight loss percentage of the sample becomes larger and the carbon content increases. The difference between the values is not great because the relative atomic mass of carbon is relatively small compared to that of silicon oxide.

The structure and morphology of the sample were characterized by field emission scanning electron microscope



**Fig. 3** SEM images of composites: (a)  $\text{SiO}_x/\text{C}$   $\times 1.00\text{k}$ , (b)  $\text{SiO}_x/\text{C-rGO-0}$   $\times 1.00\text{k}$ , (c)  $\text{SiO}_x/\text{C-rGO-0}$   $\times 2.00\text{k}$  and  $10.00\text{k}$ , (d)  $\text{SiO}_x/\text{C-rGO-2}$   $\times 5.00\text{k}$ , (e)  $\text{SiO}_x/\text{C-rGO-2}$   $\times 20.00\text{k}$ , (f)  $\text{SiO}_x/\text{C-rGO-2}$   $\times 100.00\text{k}$ .



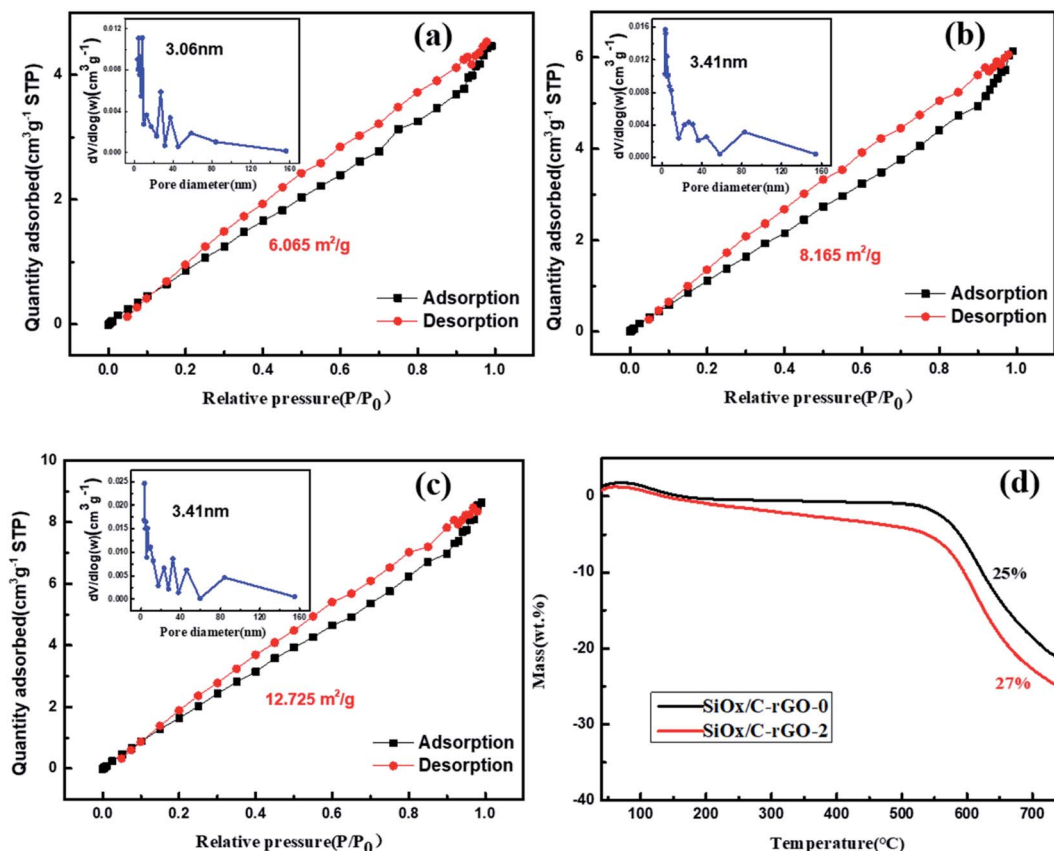


Fig. 4  $N_2$  adsorption/desorption isotherms of  $SiO_x/C$  (a),  $SiO_x/C-rGO-0$  (b) and  $SiO_x/C-rGO-2$  (c), inset shows the pore size distribution. (d) Thermogravimetry analysis of  $SiO_x/C-rGO-0$  and  $SiO_x/C-rGO-2$  in air.

Table 2 TGA weight loss of hydrothermal treated composites with different ratio of glucose

Sample	$SiO_x/C-rGO-1$	$SiO_x/C-rGO-2$	$SiO_x/C-rGO-3$	$SiO_x/C-rGO-4$
Ratio of glucose	2 : 1	3 : 1	4 : 1	5 : 1
Weight (wt%)	27.75	29.56	30.38	32.17

(FESEM), transmission electron microscope (TEM) and high resolution transmission electron microscope (HRTEM). From the TEM images of  $SiO_x/C-rGO-2$  (Fig. 5a), at low magnification, it is obvious that the graphene sheets are distributed in  $SiO_x$ , which is consistent with the previously envisaged structure. Fig. 5c can more clearly see that the carbon layer is coated on the  $SiO_x$  surface, and amorphous carbon formed on the surface of the sample, which further verifies the results of the previous XRD and Raman spectroscopy. The lattice lines in Fig. 5b are clearly marked, and the  $d$ -spacing measured 0.33 nm corresponds to the C nanocrystals, indicating that in addition to the presence of amorphous carbon in the sample, the carbonized graphene crystal structure is still intact.<sup>48</sup> The  $d$ -spacing measured 0.27 nm corresponds to SiC structure,<sup>49</sup> which is in agreement with the XRD analysis. The disordered domain in the Fig. 5c is an amorphous  $SiO_x$  ( $0 < x < 2$ ) microstructure. The actual morphology and structure of the sample are consistent with the above analysis of XRD and XPS, which proves that there

is no silicon crystal in the sample. The STEM images (Fig. 5d–g) show the existence of the three elements, including C, O and Si in this sample. The silicon is obviously reduced near the edge of the surface, while the carbon has no obvious decrease, indicating that the hydrothermal reaction happened indeed in the  $SiO_x$  to form carbon layer on the surface. What's more, the interface between  $SiO_x$  particle and graphene is very tight without interstice from HR-TEM image. EDS line scan curve (Fig. 5h) also indicates the existence of C, Si and O. This is consistent with above speculations about its structural performance for electrical cycling stability.

### Electrochemical performance

$SiO_x/C-rGO-0$ ,  $SiO_x/C-rGO-1$ ,  $SiO_x/C-rGO-2$ ,  $SiO_x/C-rGO-3$  and  $SiO_x/C-rGO-4$  were tested for cycle stability. As shown in Fig. 6a, the charge–discharge experiment was carried out in the voltage range of 0.01–2 V and the current density of  $100 \text{ mA g}^{-1}$ . The cycling stability of  $SiO_x/C-rGO-2$  is the best. After 100 cycles, the



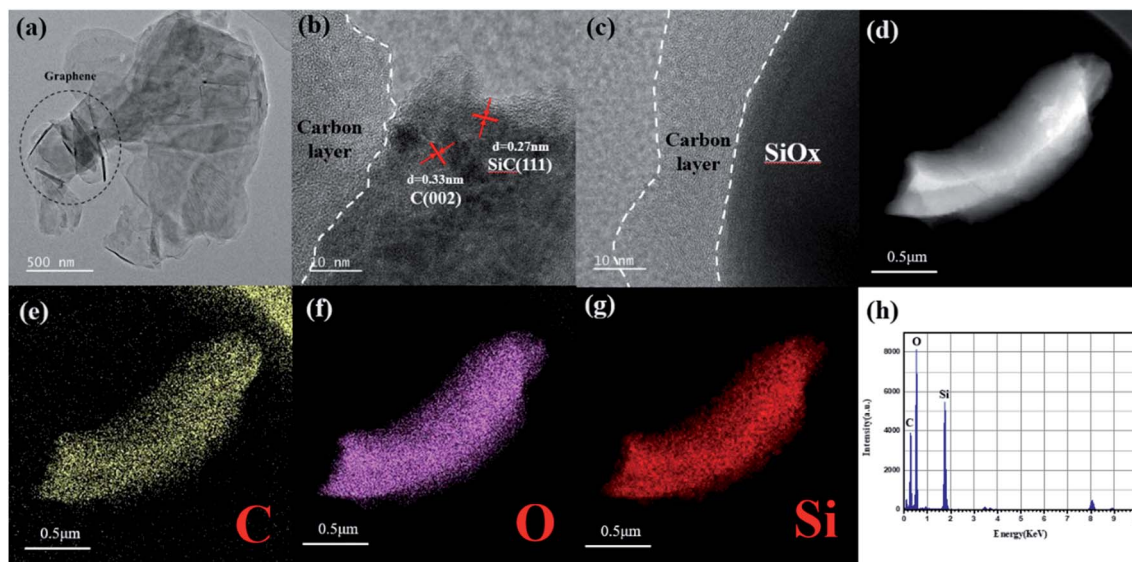


Fig. 5 (a) TEM and (c) and (d) HRTEM images of  $\text{SiO}_x/\text{C-rGO-2}$ . (d)–(g) Elements mapping and EDS line scan curve (h) of  $\text{SiO}_x/\text{C-rGO-2}$ .

capacity remains at  $568 \text{ mA h g}^{-1}$ , showing good cycling stability. In contrast, the cycle stability of the other four samples is not much different. However,  $\text{SiO}_x/\text{C-rGO-4}$  and  $\text{SiO}_x/\text{C-rGO-3}$  have obvious activation stages, and the activation stage is longer

with the increase of glucose. Because the proportion of glucose is too much, which leads to a significant increase of carbon content in the composites. It is also reflected in the weight loss of TGA in oxygen atmosphere in Table 2. Too much carbon

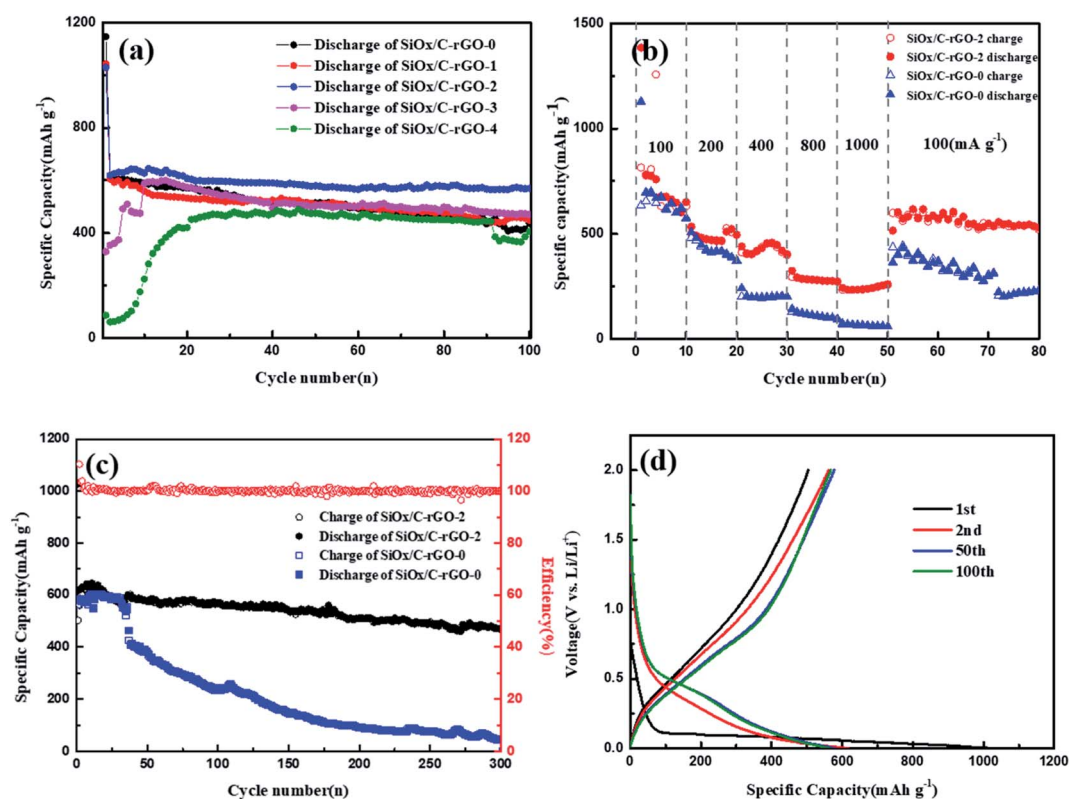


Fig. 6 (a) Cycling stability comparison of  $\text{SiO}_x/\text{C-rGO-0}$ ,  $\text{SiO}_x/\text{C-rGO-1}$ ,  $\text{SiO}_x/\text{C-rGO-2}$ ,  $\text{SiO}_x/\text{C-rGO-3}$  and  $\text{SiO}_x/\text{C-rGO-4}$  at  $100 \text{ mA g}^{-1}$ . (b) Rate capability of  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$  at various current densities. (c) The long-term cycling stability of  $\text{SiO}_x/\text{C-rGO-2}$  and  $\text{SiO}_x/\text{C-rGO-0}$  at  $200 \text{ mA g}^{-1}$ . (d) Discharge-charge curves of  $\text{SiO}_x/\text{C-rGO-2}$  cycled between 0.01 and 2.0 V under a current density of  $200 \text{ mA g}^{-1}$  for the 1st, 2nd, 50th and 100th cycle.





coating on the surface, on the one hand, reduces the theoretical capacity of the material, and at the same time increases the resistance in the process of lithium ion deintercalation. On the other hand, there will be an activation stage in the first few cycles of charging and discharging, which will significantly affect the electrical capacity of the material. It can be illustrated that the more glucose is added, the better the electrical performance, but when over a certain ratio, the worse the electrical performance. Therefore, the ratio of glucose to polyester in 3 : 1 is undoubtedly the most suitable, and the cycle performance of  $\text{SiO}_x/\text{C-rGO-2}$  is consistent with the structural advantages mentioned above. The first-cycle charge–discharge volt–ampere curve of the above sample is shown in Fig. S3,<sup>†</sup> showing the typical behavior of Si: the discharge curve is a plateau below 0.25 V, and the gentle slope of the volt–ampere curve between 0.3 and 0.6 V corresponds to the lithium insertion of Si and delithiation with  $\text{Li}_x\text{Si}$  phase respectively. The charge and discharge platform of  $\text{SiO}_x/\text{C-rGO-2}$  in the first cycle is significantly better than other samples, and has a higher first-cycle capacity.

The rate capability of  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  was performed at different current densities from 100 to 1000  $\text{mA g}^{-1}$ , as shown in Fig. 6b. Compared with  $\text{SiO}_x/\text{C-rGO-0}$ ,  $\text{SiO}_x/\text{C-rGO-2}$  obviously has excellent rate stability and capacity recovery. The capacities are 676.9  $\text{mA h g}^{-1}$ , 471.9  $\text{mA h g}^{-1}$ , 431.8  $\text{mA h g}^{-1}$ , 281.6  $\text{mA h g}^{-1}$ , 234.4  $\text{mA h g}^{-1}$  at current densities of 100, 200, 400, 800, and 1000  $\text{mA g}^{-1}$  respectively. When the current density returned from 1000  $\text{mA g}^{-1}$  to 100  $\text{mA g}^{-1}$ , the capacity rose again to 616.5  $\text{mA h g}^{-1}$ , indicating that the electrode material structure was not damaged under high current density test. The excellent rate stability is due to the flexible carbon layer formed on the surface by the hydrothermal reaction of glucose, which not only improves the conductivity but also acts as a buffer for the shrinkage and expansion of the silicon-containing electrode material. The activation process is also evident from the rate capability of  $\text{SiO}_x/\text{C-rGO-3}$  and  $\text{SiO}_x/\text{C-rGO-4}$  (Fig. S5<sup>†</sup>), which are the same as the performances in Fig. 6a. Moreover, as shown in Fig. 6c, the long-cycle stability of  $\text{SiO}_x/\text{C-rGO-2}$  is very significant. At a current density of 200  $\text{mA g}^{-1}$ , the reversible capacity of

469.7  $\text{mA h g}^{-1}$  is maintained after 300 cycles, and the capacity retention rate is 77.5%; at a current density of 100  $\text{mA g}^{-1}$ , it still maintains 660  $\text{mA h g}^{-1}$  after 100 cycles, the capacity retention rate is 84.6% (Fig. S5<sup>†</sup>). However, the  $\text{SiO}_x/\text{C-rGO-0}$  has only 43.8  $\text{mA h g}^{-1}$  after 300 cycles at 200  $\text{mA g}^{-1}$ , which is more than ten times lower than the former. This once again proves the improvement of the cycle stability after glucose hydrothermal coating. Fig. 6d shows the charge–discharge curves of  $\text{SiO}_x/\text{C-rGO-2}$  from 0.01 to 2.0 V at 200  $\text{mA g}^{-1}$  in the 1st, 2nd, 50th, and 100th. The discharge curve between the first cycle and the second cycle has a certain difference due to the irreversible capacity loss of the silicon-containing material, and the low coulomb conversion rate in the first cycle is due to the generation of SEI film, inert lithium oxide and  $\text{Li}_4\text{SiO}_4$ .<sup>22</sup> The Coulomb efficiency of  $\text{SiO}_x/\text{C-rGO-2}$  was 60.1% in the first cycle, quickly reached 90.7% in the second cycle, and then maintained at around 98% in the following cycles.

The cyclic voltammetry (CV) curve of  $\text{SiO}_x/\text{C-rGO-2}$  is shown in Fig. 7a. The cathodic peak at around 0.74 V (vs.  $\text{Li}/\text{Li}^+$ ) of first cycle is due to the decomposition of the electrolyte and the formation of the SEI film. During the formation of the SEI film, silicon dioxide is reduced to Si, accompanied by the formation of  $\text{Li}_2\text{O}$  and  $\text{Li}_4\text{SiO}_4$ .<sup>9,11,50</sup> The cathodic peak at 0.01 V corresponds to the alloying of Si and Li and the reversible reaction between Li and carbon (including carbon coating and rGO), and the obvious peak change in the second cycle is attributed to the further formation of the SEI film.<sup>51,52</sup> During the anodic polarization of the first cycle, the insignificant anodic peak at 0.35 V is de-lithiation of  $\text{Li}_x\text{Si}$  phase.<sup>53,54</sup> Fig. S6<sup>†</sup> shows Nyquist plots for the six samples ( $\text{SiO}_x/\text{C}$ ,  $\text{SiO}_x/\text{C-rGO-0}$ ,  $\text{SiO}_x/\text{C-rGO-1}$ ,  $\text{SiO}_x/\text{C-rGO-2}$ ,  $\text{SiO}_x/\text{C-rGO-3}$ ,  $\text{SiO}_x/\text{C-rGO-4}$ ). For a clearer comparison, the Nyquist plots of  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  are shown in Fig. 7b. The Nyquist plots of the two samples both present a semicircle in the high frequency region and a straight line in the low frequency region. The former corresponds to the parallel combination of capacity and charge transfer resistance ( $R_{\text{ct}}$ ) and/or resistance from the SEI layer ( $R_{\text{SEI}}$ ), while the latter reflects  $\text{Li}^+$  diffusion resistance.<sup>55</sup> The charge transfer resistance ( $R_{\text{ct}}$ ) of  $\text{SiO}_x/\text{C-rGO-0}$  is much larger than that of  $\text{SiO}_x/\text{C-rGO-2}$ . The reduced resistance of glucose hydrothermally is due to

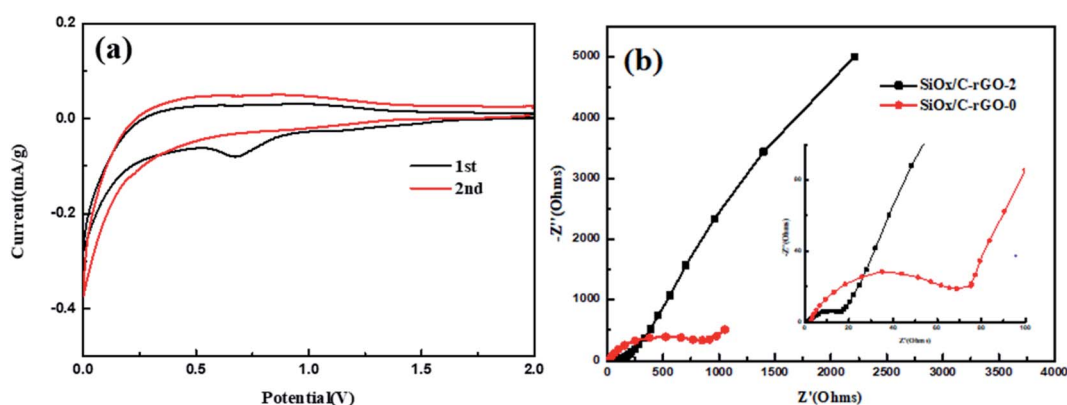


Fig. 7 (a) Cyclic voltammetry curves of the  $\text{SiO}_x/\text{C-rGO-2}$  measured in the voltage range of 0.01–2.0 V with a scan rate of 0.1  $\text{mV s}^{-1}$ , (b) Nyquist plots of the  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$ .



the formation of a stable carbon coated structure, while the introduction of carbon improves the conductivity of the material, which is consistent with our previous speculation and subsequent characterization of the composite. The  $\text{SiO}_x/\text{C-rGO-2}$  Nyquist diagram after three cycles of charging and discharging is shown in Fig. S7.† The three curves are composed of two semi-circular arcs in the high frequency range and a straight line in the low frequency range. Obviously, it can be seen that the impedance becomes significantly smaller after the first cycle, and the material properties tend to be stable.

The formation of SEI film has always been proved to be a very important factor affecting the electrochemical stability of materials. Since the specific SEI film composition is still controversial, this article will not describe it in detail. However, it is not difficult to draw a conclusion from the chemical composition of the two SEI films, and we can distinguish them through element characterization. Fig. 8a–e and f–j are the high-magnification scanning electron micrographs and the mappings of  $\text{SiO}_x/\text{C-rGO-0}$  and  $\text{SiO}_x/\text{C-rGO-2}$  after 100 cycles at  $100 \text{ mA g}^{-1}$ . The SEI film is a stable solidified layer that is insoluble in organic solvents.<sup>56</sup> In order to further study the principle of the significant increase in the stability of  $\text{SiO}_x/\text{C-rGO-2}$  after the hydrothermal coating of glucose, the electrodes after a long cycle were soaked in an organic solvent to remove the residual electrolyte on the surface, and then they were ultrasonically dispersed in ethanol solution to test its *in*

*situ* elemental composition. Although after a long cycle, the material structure has changed a little. However, the information about the SEI film can still find from its elemental composition. The phosphorus and fluorine of  $\text{SiO}_x/\text{C-rGO-0}$  are much higher than that of  $\text{SiO}_x/\text{C-rGO-2}$ . On the basis that the remaining  $\text{LiPF}_6$  had been removed, the P and F detected were all derived from the already formed SEI film. The decrease of P and F after glucose hydrothermal coating is because the carbon layer formed on the original  $\text{SiO}_x/\text{C-rGO-0}$  in this study, the SEI film mainly formed on the carbon surface. However, the carbon layer formed by hydrothermal might fall off the surface during ultrasonic dispersion, and the SEI film on the surface would also falls off. Therefore, it is not easy for us to find an area with a special structure where the carbon layer is ultrasonically removed, and there is a significant reduction in elements. It can be known that after hydrothermal coating, the formation of the SEI film on the carbon surface is more conducive to the cycle stability of the material than the formation on the silicon surface. In addition, we also investigated the dimensional stability of the  $\text{SiO}_x/\text{C-rGO}$  composite electrode after cycling.<sup>31</sup> We compared the cross-sectional FE-SEM images of the  $\text{SiO}_x/\text{C-rGO-2}$  composite electrode before and after 300 cycles (Fig. 8k and l). Before cycling, the electrode thickness of the  $\text{SiO}_x/\text{C-rGO-2}$  composite was measured to be  $10.52 \mu\text{m}$  (Fig. 8k), which increased to  $12.22 \mu\text{m}$  after 300 cycles (Fig. 8l), and the electrode expansion was 16%, which is much lower than those of silicon

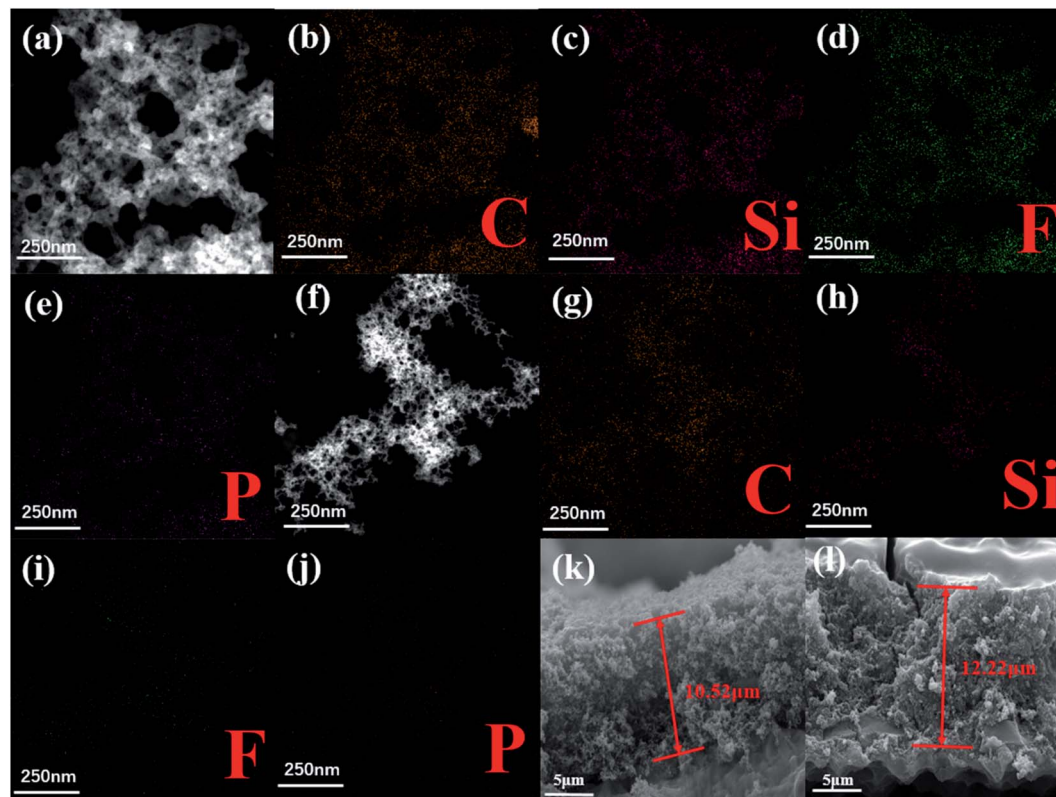


Fig. 8 (a) SEM image and (b)–(e) the corresponding elemental mappings of C, Si, P and F of  $\text{SiO}_x/\text{C-rGO-0}$  after 100 cycles at  $100 \text{ mA h g}^{-1}$ , (f) STEM image and (g)–(j) the corresponding elemental mappings of C, Si, P and F of  $\text{SiO}_x/\text{C-rGO-2}$  after 100 cycles at  $100 \text{ mA h g}^{-1}$ . Cross-sectional FE-SEM images of the  $\text{SiO}_x/\text{C-rGO-2}$  composite electrode (k) before and (l) after 300 cycles at room temperature.



based materials. The composite electrode has good dimensional stability in terms of structure. After long cycling, the structural properties of the electrodes are still stable, so the electrical cycling stability is remarkable.

## Conclusions

This research innovatively obtained a  $\text{SiO}_x/\text{C-rGO}$  composite material that can maintain stability in long cycles by hydrothermally coating silicon-containing polyester with glucose. The holes formed in the material due to the introduction of graphene are conducive to the deintercalation of lithium ions. At the same time, the flexible carbon layer on the surface not only buffers the volume shrinkage and expansion during the charge and discharge of the silicon oxide compound, but also increases the electrical conductivity of it. Its resistance to electron migration becomes smaller. The structural advantage of the stability of the composite is that the SEI film mainly formed on the carbon surface, avoiding the problem of low coulomb conversion efficiency of the Si-containing anode materials, but it also utilizes the superior theoretical specific capacity of the  $\text{SiO}_x$ . Therefore, the  $\text{SiO}_x/\text{C-rGO}$  material still maintains a reversible capacity of  $469.7 \text{ mA h g}^{-1}$  at a current density of  $200 \text{ mA g}^{-1}$  for 300 cycles, and still maintains a reversible capacity of  $660 \text{ mA h g}^{-1}$  at a current density of  $100 \text{ mA g}^{-1}$  for 100 cycles. The Coulomb efficiency maintained at 98% except for the first cycle, and it has good cycle stability. This study provides a method for preparing lithium-ion anode materials with simple preparation, low cost, high industrial feasibility, and excellent cycle stability, and finds a new reference for optimizing the performance of existing commercial lithium-ion batteries.

## Conflicts of interest

There are no conflicts to declare.

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