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Vacuum-free solution-based metallization (VSM) of a-IGZO using trimethylaluminium solution†

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This research demonstrates a method to reduce the resistance of amorphous indium–gallium–zinc–oxide (a-IGZO) using a “vacuum-free solution-based metallization” (VSM) process, which revolutionizes the metallization process thanks to its simplicity, by simply dipping the a-IGZO into trimethyl aluminium (TMA, $(\text{CH}_3)_3\text{Al}$) solution. From the XPS results, it was found that oxygen vacancies were generated after the VSM process, resulting in the enhanced conductivity. Various metallization time and solution temperature conditions were investigated, and the measured conductivity of the a-IGZO could be enhanced up to 20.32 S cm^{-1} , which is over 10^5 times larger compared to that of the untreated a-IGZO. By utilizing the VSM process, self-aligned top-gate (SATG) a-IGZO thin-film-transistors (TFTs) were successfully fabricated, and to provide an explanation for the mechanism, X-ray photoelectron spectroscopy (XPS) was employed.

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Introduction

With the increasing demand for the future displays, amorphous oxide semiconductors (AOSs) have received much attention in the field of displays as alternatives for silicon semiconductors due to their high on/off ratio, high electron mobility, high uniformity and low fabrication temperature.^{1–8} Thanks to such advantages, amorphous–indium–gallium–zinc–oxide (a-IGZO) is currently being widely used as driving and switching transistors (TRs) in display backplanes.^{9–13}

As the future displays often require a resolution of over 8 K (7680×4320 pixels) and refreshing rate of over 240 Hz, the switching speed required for the TRs is often less than a few μs .¹⁴ Such an ever demanding switching speed requirement cannot be easily met with the conventional TFT structure, where the gate electrode and source & drain (S&D) electrodes overlap, due to high parasitic capacitance.¹⁵ Therefore, self-aligned top-gate (SATG) thin-film transistors (TFT) have been set as an industry standard thanks to their very low parasitic capacitance, which originates from having almost zero overlap between the gate electrode and the S&D electrodes.^{16–19}

However, the SATG TFTs using AOSs can't function without the proper metallization process, since the resistance of the pristine AOSs is too high to be used as conductors. Therefore, the conductivity of the S&D region of the SATG TFTs must be enhanced to ensure lower contact resistance and high current

flow through the S&D electrodes.^{15,20} Otherwise, the source-to-drain electrical field may suffer from the voltage drop mostly occurring in the high-resistance S&D region, and therefore increasing the power consumption, and decreasing the current flow through the active region.^{18,21} The decrease in the on-current also results in the decrease in the calculated field-effect mobility.

Various research groups have proposed effective techniques to metallize the AOSs, and such techniques include implantation,^{22–24} UV exposure,^{25–27} and plasma treatment.^{21,28–30} Although the proposed techniques successfully metallize the AOSs, each method possesses unique challenges.²¹ For example, the implantation method not only requires additional equipment for implantation but also requires high temperature annealing for activation. Additionally, the UV exposure method may induce unwanted high energy light absorption in the semiconductor–gate insulator stack, which may lead to bias stress instabilities. Furthermore, the plasma treatment method often induces unwanted migration of hydrogen species into the channel region, which often results in excessively populated carrier concentration in the channel region.

Recent research proposes metal-induced metallization by using reductive metals such as aluminium (Al), titanium (Ti), and magnesium (Mg).^{21,31–36} Highly reductive nature of these metals can be confirmed by standard reduction potential (E°) of metal ions and Gibbs free energy of formation (G_f°) of metal oxides that are shown in Table 1. Even though those reductive metals are excellent candidates to chemically reduce a-IGZO, they are mostly deposited in rather complex high vacuum environment to prevent unwanted oxidation, and high

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Table 1 Standard reduction potential of reductive metal ions and Gibbs free energy of formation of metal oxides

| Ions | E° (V) | Oxides | G_f° (kJ mol ⁻¹) |
|------------------|---------------|--------------------------------|-------------------------------------|
| In ³⁺ | -0.34 | In ₂ O ₃ | -830.7 |
| Ga ³⁺ | -0.56 | Ga ₂ O ₃ | -998.4 |
| Zn ²⁺ | -0.76 | ZnO | -320.6 |
| Al ³⁺ | -1.66 | Al ₂ O ₃ | -1582.3 |
| Ti ²⁺ | -1.63 | TiO ₂ | -889.1 |
| Mg ²⁺ | -2.37 | MgO | -569.3 |
| Li ⁺ | -3.05 | Li ₂ O | -562.1 |

temperature activation annealing is often required to enhance the conductivity to a sufficient level. Such annealing often induces defect diffusion from the metallization region to the channel region.^{29,37-39}

Additionally, most previously reported literature about solution-processed oxide semiconductors mainly focuses on deposition of the oxide semiconductors,^{40,41} while the proposed metallization process in this paper solely focuses on the metallization of already deposited oxide semiconductors. Since the mechanism of the metallization process is mainly a redox reaction between the Al incorporated in the oxide semiconductor and metal species in the oxide semiconductor, it is believed that the proposed metallization process is widely applicable regardless of the oxide semiconductors' deposition method.

In this research, a novel, cost effective and solution processable approach, which incorporates chemical reduction of a-IGZO using trimethyl aluminium (TMA) solution, namely "vacuum-free solution-based metallization" (VSM), was investigated. Since the VSM process only requires simply dipping the a-IGZO into the TMA solution, there is no need to install and maintain costly and bulky vacuum chambers and their accessories. Although this research mainly focuses on the metallization of a-IGZO, by controlling the temperature and the time, the degree of the metallization can be changed, which grants us better controllability over the conductivity of a-IGZO. Additionally, the conductivity enhancement achieved by the VSM process is comparable to the conductivity achieved by conventional metallization methods. Therefore, the VSM process proposed in this manuscript is simple yet effective. The conductivity was first measured to confirm the enhanced conductivity of a-IGZO, then subsequent integration of the VSM process to the TFTs fabrication was followed. Lastly, peaks from XPS measurement were analysed to clarify the underlying mechanism of the TMA-solution metallization process.

Experimental

SATG fabrication

Silicon wafer with 300 nm-thick thermally grown SiO₂ was used as a substrate. The substrate was cleansed in acetone, isopropyl alcohol (IPA) and distilled (D.I.) water for 10 minutes each. Then 30 nm-thick a-IGZO layer was deposited as an active layer on the

substrate using radio frequency (RF) sputtering with oxygen partial pressure of 20%. After the a-IGZO deposition, the samples went through a-IGZO etching process using HCl as an etchant, followed by annealing at 300 °C for 1 h in ambient air. Plasma-enhanced chemical vapor deposition (PE-CVD) was utilized to deposit 100 nm-thick SiO₂ gate insulator (G.I.) at 300 °C. Molybdenum (Mo) gate electrode was deposited using direct current (DC) sputtering and patterned using a lift-off process. After that, the G.I. was etched using the gate electrode as a mask using inductively coupled plasma reactive ion etching (ICP-RIE), therefore forming a self-aligned active region. Again, DC sputtering and a lift-off process were used to form 100 nm-thick Mo S&D electrodes. The defined channel region was 100 × 50 μm, and the gaps between the channel and the S&D electrodes were 30 μm each. Optical microscopic image and 3-D structure of the fabricated TFT sample are shown in Fig. 1a and b.

Additionally, tag patterns for the a-IGZO's conductivity measurement were also formed simultaneously on the same substrate during the SATG fabrication process by placing a 30 nm-thick a-IGZO layer between 100 nm-thick Mo electrodes. Width and length of the a-IGZO in the tag patterns are 100 μm and 50 μm, respectively.

VSM process

The fabricated SATG samples were put in a glove box filled with argon (Ar) (H₂O < 1 ppm). Each sample was placed individually in a glass vial, and each vial was filled with 10 ml of the TMA solution (2.0 M in toluene, Sigma-Aldrich). The vials were quickly closed with polytetrafluoroethylene (PTFE) coated polypropylene (PP) screw caps to prevent TMA from reacting with oxygen and water molecules that may reside in the glove box. Then the vials were placed on hot plates where the temperature was set in advance accordingly. After undergoing the metallization process for a desired amount of time, the samples were cleansed with toluene and blown with Ar in the glove box.

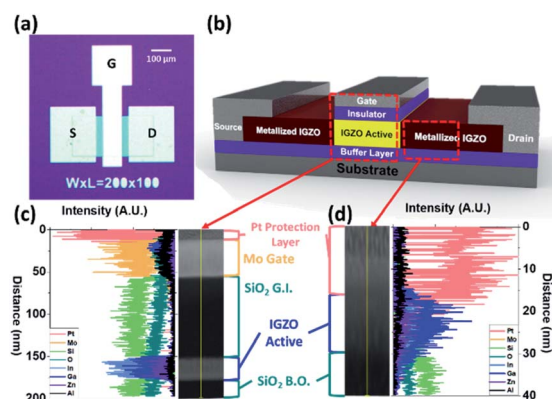


Fig. 1 (a) Optical microscopic image of the fabricated SATG TFT, and (b) 3-D schematic that shows the structure of the SATG TFT. Note that the underlapping IGZO must be metallized to fabricate a functional SATG TFT. As a proof that the SATG TFT was fabricated as intended, TEM images and EDS data of the TFT stack and the metallized IGZO are shown in (c) and (d), respectively.



Results and discussion

Conductivity measurement

To analyse how well the TMA solution metallizes a-IGZO, 2-point conductivity was measured. The conductivity (σ) was calculated using eqn (1),

$$\sigma = \frac{1}{\rho} = \frac{I \times L}{V \times t_{\text{IGZO}} \times W} \quad (1)$$

where ρ is the resistivity, I is the current, V is the voltage, L is the length, t_{IGZO} is the thickness of a-IGZO, and W is the width.

The calculated conductivity of the untreated sample and the VSM-processed samples is shown in Fig. 2. By simply putting the a-IGZO in the TMA solution for 15 minutes at 30 °C, enhanced conductivity was achieved. To accelerate the metallization and to further enhance the conductivity, additional heating was introduced. As the graph shows, the conductivity is enhanced faster when the temperature is higher. In all the temperature range, increased metallization time results in further enhanced conductivity. By changing the solution temperature, the speed of the metallization process can be adjusted while changing the time affects the degree of the metallization. Therefore, by controlling both the temperature and time, the conductivity of the a-IGZO can be precisely controlled.

By maximizing the temperature and time to fully metallize a-IGZO, very high conductivity of 20.32 S cm⁻¹ was achieved from the sample that underwent through the VSM process for 180 min at 110 °C. The measured conductivity is approximately 1.97×10^5 times larger than that of the untreated sample (1.03×10^{-4} S cm⁻¹). Since the boiling point of toluene is known to be 110.6 °C, 110 °C was technically the maximum temperature able to be investigated safely. Since the conductivity was not enhanced in a sample treated with only the toluene solvent as shown in Fig. S1,[†] the conductivity enhancement effect is believed to be mostly derived from the TMA in the solution instead of the solvent.

Unlike other transition metal-based metallization methods, the proposed VSM process does not require additional heat

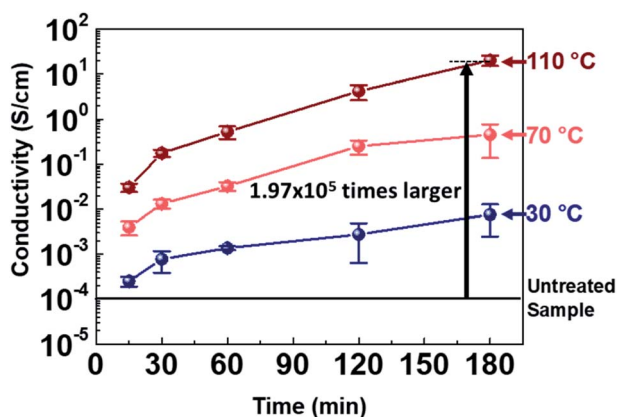


Fig. 2 Time-dependent conductivity change of the samples treated in 30, 70, and 110 °C. The conductivity was enhanced by 1.97×10^5 times after the VSM process in 110 °C for 180 minutes.

treatment after the metallization. Additionally, considering that the VSM process is solution-based, requiring only 3 hours for the metallization is fast enough to be integrated in the TFT fabrication process. To confirm the compatibility with the TFT fabrication process, we have fabricated TFTs by incorporating the VSM process as a method to metallize the S&D region.

TFT characterization

Graphs from Fig. 3a show combined transfer curves of samples that were metallized in 30 °C, 70 °C and 110 °C. Each graph contains transfer curves with different metallization time, namely from 0 minutes (untreated) to 180 minutes. Parameters of the transfer curves of the samples that were metallized in 110 °C are shown in Fig. 3b.

As the temperature or the metallization time increases, on-current level increases. This is probably due to the increased conductivity of the VSM-processed a-IGZO S&D region, as we could confirm that the conductivity of a-IGZO increases further as the metallization time or temperature increases. Such increase in the on-current level leads to enhanced mobility, since the calculated mobility (μ) is largely affected by the drain current (I_D) level according to the following equation,

$$I_D = \mu \frac{C_{\text{OX}}}{2} \frac{L}{W} (V_G - V_{\text{th}})^2 \quad (2)$$

where C_{OX} is the specific capacitance of the gate, L and W are the length and width of the channel, respectively, V_G is the gate bias, and V_{th} is the threshold voltage.

In terms of the threshold voltage (V_{th}), the V_{th} was 6.16 V for the sample metallized in 110 °C for 15 minutes, and 6.31 V for the sample metallized in 30 °C for 180 minutes. However, the

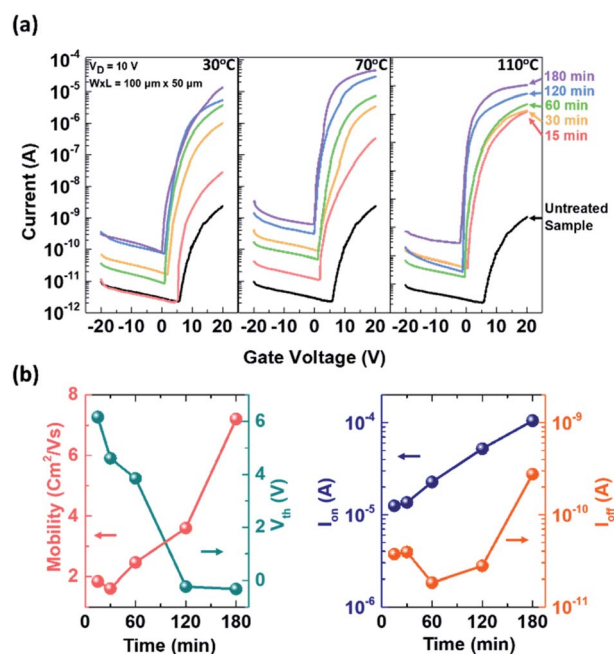


Fig. 3 (a) Transfer curves from the VSM-metallized SATG TFTs, and (b) parameters from the TFTs that were metallized in 110 °C drawn in terms of the metallization time.



sample metallized in 110 °C for 180 minutes showed the negatively shifted V_{th} value of -0.32 V. Increase in the metallization time or the temperature induces negative shift of the V_{th} . This is probably due to slight migration of carriers that reside in the metallized S&D region of the a-IGZO film into the channel region of the a-IGZO film. Such behaviour is easily observable in other literature as well,^{29,35,37} and it is due to the carrier concentration gradient formed inherently between the metallized S&D region and the channel region.

As shown in Fig. 3a and b, the off-current, which is mostly incurred due to the gate leakage, generally increases as the metallization time or temperature increases. This is probably due to the combined effects of decreased resistance of SiO₂ around the edges and increased overall current level at the S&D region. Although existence of Al was found in the TEM-EDS results as shown in Fig. 1c and d, it is believed not to be metallic since the increase in the off-current is not as drastic as the increase in the on-current or the conductivity of the VSM-processed a-IGZO. If the metallic Al was precipitated on the surface of the TFTs, the entire surface of the TFTs should be conductive. Functioning TFTs cannot be achieved with the existence of uncontrollable current pathways,⁴² which include leakage paths from the gate electrode to the S&D electrodes and from the source electrode to the drain electrode.

Since the only reasonable current path of the gate leakage is from the gate electrode to either the drain or the source electrode, the enhanced conductivity of the metallized a-IGZO region should be at least partly responsible for the increased gate leakage.

XPS measurement

From the XPS-depth analysis shown in Fig. S2,[†] the apparent oxygen concentration was not found to be lower in the samples with higher metallization temperature. Instead, Al was found to be incorporated in the a-IGZO layer. Incorporation of Al has been also confirmed in time-of-flight secondary ion mass spectroscopy (ToF-SIMS) data as shown in Fig. S3.[†] As we have confirmed in TEM or optical microscopic images in Fig. 1, no significant amount of Al was found on the surface of the VSM-processed a-IGZO. Therefore, deposition of a conductive Al layer is highly unlikely, and the conductivity enhancement in VSM process was not achieved simply by the deposition of a metallic layer.

In addition, Al incorporated in the a-IGZO layer was found to be in an oxidized state as shown in Fig. S4a.[†] The Al 2p peaks found in the VSM-processed samples tend to have the peak values of around 75 eV, which is closer to oxidized Al species' peak values such as Al₂O₃ (74.1 eV), Al(OH)₃ (74.8 eV), AlO(OH) (75.2 eV), than it is to metallic Al's peak value that is known to be around 72.7 eV. In terms of atomic ratio, more Al was found in samples that were metallized in higher temperature as shown in Fig. S4b.[†]

To further analyse the origin of the conductivity enhancement, O 1s peaks were deconvoluted into three subpeaks. The first subpeak found at around 529 eV (O₁ peak) represents lattice oxygen in a-IGZO, the second peak at around 530 eV (O₂

peak) represents the oxygen vacancy, and the third subpeak at around 531 eV (O₃ peak) represents loosely bound oxygen impurities.^{43–46} During the deconvolution process, the full-width half-maximum of each subpeak was set around 1.6 eV to ensure accurate fit results.⁴⁷

As shown in Fig. 4a and b, the relative peak area of the O₂ peak becomes significantly larger as the solution temperature rises. In addition, as shown in Fig. S5b,[†] the relative peak area of the O₃ peak becomes smaller as the temperature increases. From the understanding on how each peak is related to the movement of the oxygen species in AOSs, we could predict how the VSM process affects the a-IGZO. It is known that the increase in the oxygen vacancy concentration induces increased carrier generation due to the oxygen vacancy's donor like behaviour in most AOSs.⁴⁸ On the other hand, the loosely bound oxygen often acts as a charge trap, which reduces the current level.⁴⁹ Therefore, the XPS results agree well with the results from the conductivity measurement, where the conductivity was increased faster and even further when the solution temperature was higher. In addition, in Fig. S5a,[†] slight increase in the relative area of O₁ peaks is also noticeable as the temperature rises. This is probably due to slight annealing effect that is induced during the VSM process at heated conditions.^{13,27,43}

O 1s peak spectra from the XPS-depth analysis of the sample that was VSM-processed at 110 °C for 3 h were further analysed. From 150 s to 750 s, O 1s peaks were deconvoluted again into the three subpeaks. Interestingly, the highest relative peak area of the O₂ peak was found near at the surface of the a-IGZO, as shown in Fig. 4c and d. This proves that the TMA solution used in the VSM process takes oxygen mostly from the surface of the

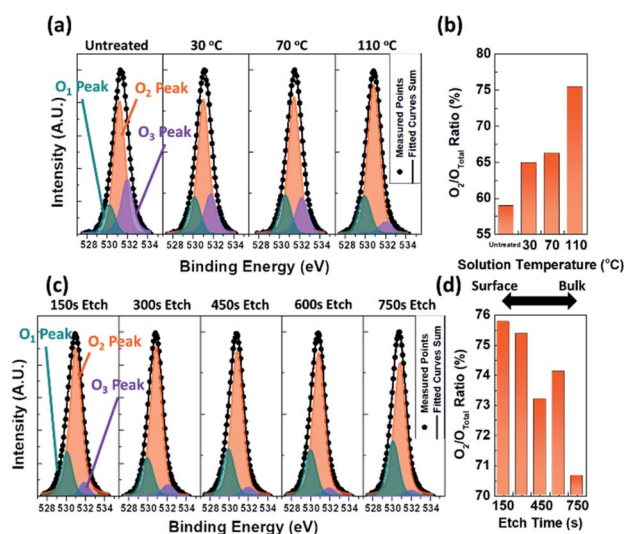


Fig. 4 XPS analysis of samples. (a) Deconvoluted O 1s spectra of the samples VSM-processed under different temperatures, and (b) calculated relative peak area of O₂ subpeak. Increase in the O₂ subpeak area indicates formation of oxygen vacancies. (c) Deconvoluted O 1s spectra of the 110 °C, 3 h sample for the depth analysis. Graphs in (d) indicate the relative O₂ subpeak area change in relation to the etch time. Surface of the a-IGZO seems to be more metallized than the bulk of the a-IGZO.



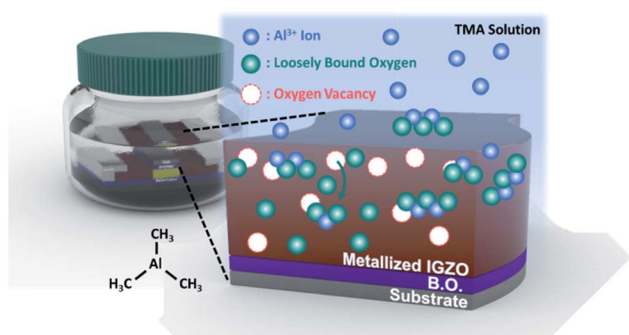


Fig. 5 Schematics of the expected mechanism of the VSM process. Al is incorporated and oxidized in the a-IGZO layer during the VSM process, and oxygen vacancy is produced in the a-IGZO layer as a result.

a-IGZO, where active exchange of materials may occur. In Fig. S5c,† the O_1 peaks show slight increase in their relative area, while Fig. S5d† shows decrease in the O_2 relative peak area as the etch time increases. This indicates that the bulk of the a-IGZO is more stoichiometric than the surface of the a-IGZO, where the loosely bound oxygen and oxygen vacancy are more frequently present. Such concentration gradient formed along the thickness of the a-IGZO means that the reaction between the TMA and oxygen in a-IGZO mostly occurs near the surface of a-IGZO.

From the XPS analysis, oxygen species in the a-IGZO were studied and the relationship between the electrical conductivity enhancement and materialistic change could be established. The schematics of the proposed mechanism are shown in Fig. 5 to help visualizing it. From the results that the concentration of the oxygen vacancy showed huge increase after the VSM process, where the O_2/O_{total} increased from the 58.91% of the untreated sample to 75.39% of the sample that is VSM-processed at 110 °C for 3 h, and that the concentration of the oxygen vacancy is higher at the surface of the a-IGZO, it can be concluded that the TMA mostly takes up oxygen (especially loosely bound oxygen) at the surface of the a-IGZO, and produces additional oxygen vacancy. The formation of the oxygen vacancy is known to generate carriers, and the generated carriers are expected to enhance the conductivity of the a-IGZO.⁴³ Similar to the a-IGZO used in this research, most other AOSs also exhibit increased carrier concentration when the O_2 peak ratio is increased.^{43–46,48} Therefore, the VSM process has a potential to be generalized and utilized to control the carrier concentration in other AOSs as well.

Conclusions

In conclusion, by simply dipping the a-IGZO in the TMA solution, the conductivity of the a-IGZO S&D region was able to be enhanced significantly. Furthermore, excellent controllability of the VSM process in terms of the conductivity, the process can be used not only to metallize, but also to control the conductivity to a desired level. Owing to the high conductivity of the VSM-processed S&D region, working SATG TFTs were able to be

acquired using the proposed VSM process. The XPS analysis suggests that the main cause of the conductivity enhancement is due to the oxygen vacancy generation by the TMA solution, which contains reductive Al that reacts with the oxygen. The Al incorporated in the a-IGZO layer was found to be oxidized by combining with the oxygen while producing additional oxygen vacancy in the a-IGZO. Additionally, heating the TMA solution accelerated the whole process by generating more oxygen vacancy for a given time. Since the VSM process is simple, yet effective, and solution-process compatible, it can be widely used in the field of display technology as a method to control the carrier concentration in AOSs.

Conflicts of interest

There are no conflicts to declare.

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