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# Accurate predictions of the electronic excited states of BODIPY based dye sensitizers using spin-component-scaled double-hybrid functionals: a TD-DFT benchmark study†

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The vertical excitation energies of 13 BODIPY based dye sensitizers are benchmarked by means of TD-DFT, using 36 functionals from different DFT rungs. Most TD-DFT results were found to overestimate the excitation energies, and show mean absolute error (MAE) values in the range 0.2–0.5 eV. The dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals DSD-BLYP and DSD-PBEP86 were found to have the smallest MAE values of 0.083 eV and 0.106 eV, respectively, which is close to the range of average errors found in the more expensive coupled-cluster methods. Moreover, DSD-BLYP and DSD-PBEP86 functionals show excellent consistency and quality of results (standard deviation = 0.048 eV and 0.069 eV respectively). However, the range separated hybrid (RSH) and the range separated double hybrid (RSDH) functionals were found to provide the best predictability (linear determination coefficient  $R^2 > 0.97$  eV).

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## 1. Introduction

The current environmental and energy dilemma has promoted substantial research and efforts in renewable resources of energy. Dye-sensitized solar cell (DSSC) photovoltaic systems are among the leading technologies in renewable energy and are therefore extensively explored.<sup>1–15</sup> Boron dipyrromethene (BODIPY) chromophores are very promising in this regard, since they present sharp and intense absorption and emission spectra and excellent chemical and photochemical stabilities.<sup>16–18</sup> Moreover, the optical properties of BODIPYs can be tuned by chemical modifications through a multitude of substitutions.<sup>19–23</sup> Due to these remarkable optical and chemical properties, BODIPYs are widely used as light harvesting moieties in dye-sensitized solar cells (DSSC),<sup>24–31</sup> heterojunction organic solar cells,<sup>32–34</sup> and perovskite solar cells.<sup>35</sup> In addition, BODIPY chromophores are also used in other diverse applications ranging from optoelectronics and OLEDs<sup>36,37</sup> to bioimaging.<sup>38–40</sup>

Theoretical modeling of dye sensitizers, which are the workhorse for all DSSC devices, can effectively aid the experimental research by providing basic design guidelines of new

sensitizers and a deeper understanding of the photophysical and photochemical processes governing the functioning and the performance of solar cells.<sup>41–44</sup> Of these fundamental photophysical processes, the absorption of sunlight by the dye sensitizer leading to the electronic excitation to an excited state, is the first and the most important step toward subsequent electron injection and dye and electrolyte regeneration. Therefore, being able to correctly model the optical absorption spectra of dye sensitizers is crucial.<sup>45</sup> An ideal dye suitable for DSSCs should have a broad absorption range that covers both the visible and near infrared regions of the solar spectrum and its molar extinction coefficient must be high to enable efficient light harvesting.<sup>14,15</sup> These and other optical properties can be calculated by most excited state computational methods.<sup>46–51</sup> Typically, push-pull dyes designed with the “electron donor– $\pi$  linker–electron acceptor” (D– $\pi$ –A) conventional architecture, and many other variations such as the D–A– $\pi$ –A architecture,<sup>52</sup> are found to be efficient for photosensitized applications such as DSSCs.<sup>14,53</sup>

Despite many theoretical and computational efforts,<sup>54–70</sup> the electronic molecular spectroscopy of BODIPYs is still not yet fully understood, and the accurate calculation of their excited-states is still a challenge for both theoretical and computational chemists. In general, conventional time dependent (TD) DFT is the preferred method for electronic excited states calculations on large compounds, since it is efficient and reasonably accurate, with errors of transition energies in the range 0.1–0.3 eV for many simple organic chromophores. Unfortunately, TD-DFT tends to highly overestimates the low-

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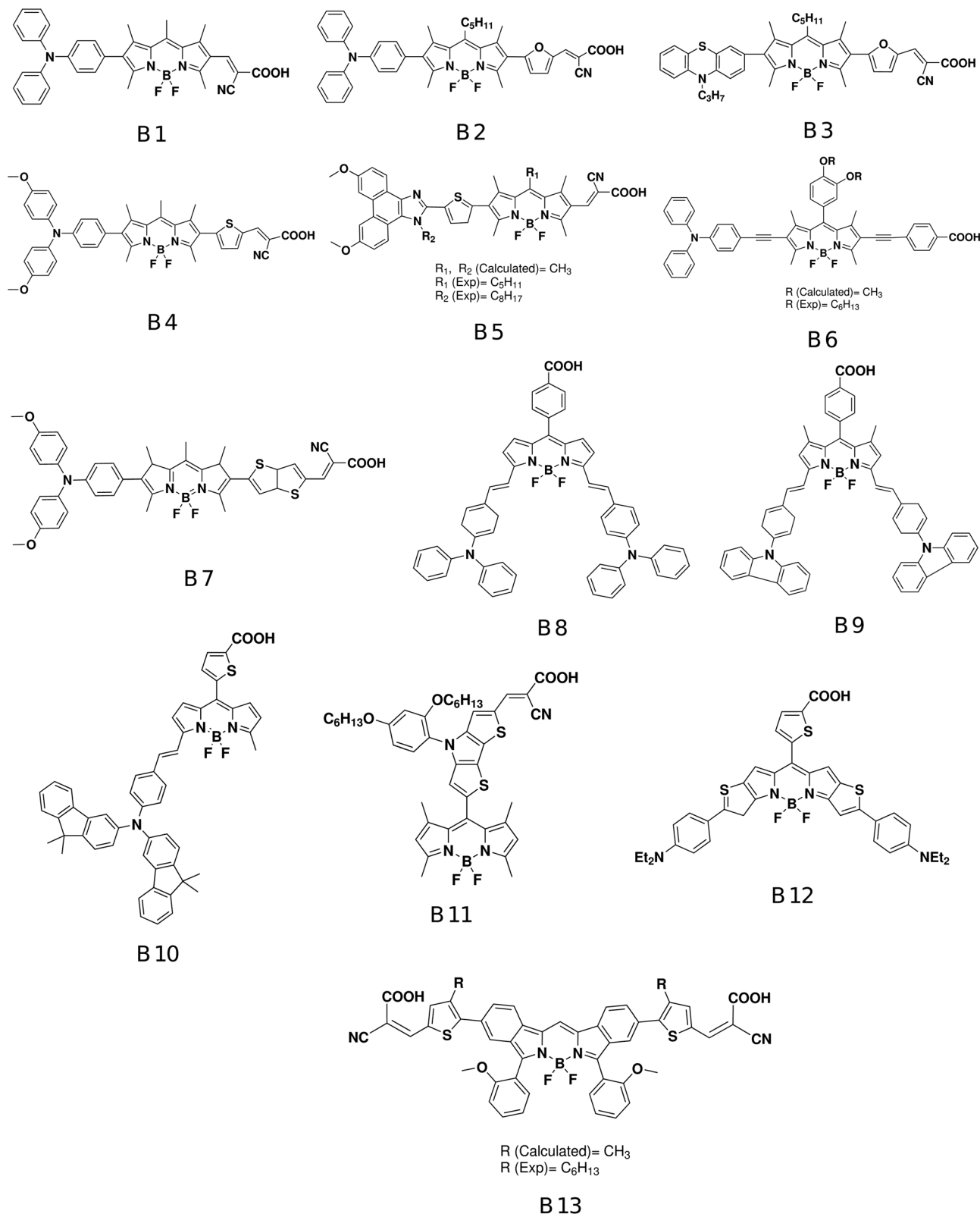


Fig. 1 Molecular structure of BODIPY dyes considered in this study.

lying excited states (ES) of BODIPYs.<sup>54–58</sup> Typically, the errors obtained with TD-DFT for BODIPYs are usually more than 0.3 eV.<sup>55,57</sup> The failure of time-dependent regime with BODIPYs

is mainly due to the presence of double excitations that play an important role in these compounds.<sup>55,61,71–73</sup> Better results were obtained using the more expensive *ab initio* or combined *ab*

*initio* and TD-DFT methods, such as combined TD-DFT and SOS-CIS(D),<sup>59–61</sup> combined TD-DFT and Bethe–Salpeter formalism,<sup>62</sup> spin-flip TD-DFT approach,<sup>63</sup> and coupled-cluster methods.<sup>55,64–68</sup> For instance, Brown and coworkers found that the mean absolute error (MAE) of the Laplace transformed local CC2 (LCC2\*) and the DLPNO-STEOM-CCSD methods are around 0.1 eV.<sup>55,66</sup> It should be emphasized, however, that these two accurate coupled-cluster methods, cannot be applied for reasonably large molecules.

It is our objective in this work to propose a density functional(s) that is reliable, accurate, simple to use, and relatively fast, for the calculations and predictions of the electronic absorption and other excited state properties of BODIPY sensitizers used in light harvesting technology such as DSSCs. In fact, the use of pure, hybrid, and range separated hybrid functionals to study the excited state properties of BODIPY dye sensitizers is not quantitatively or even qualitatively justified, despite their attractive computational speed for large molecules. In a recent benchmark study, where we have tested the double hybrid functionals on a set of relatively small BODIPY chromophores, we found that the dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals DSD-BLYP and DSD-PBEP86 perform very well, with MAE values close to 0.1 eV.<sup>70</sup> The average of errors found in that benchmark study using TD DH functionals fall in the range of errors of the more expensive coupled-cluster methods.<sup>55,66</sup> In this work, we have decided to extend the benchmark of double hybrid functionals, on larger dyes that are designed on push–pull architectures and having electronic transitions that are characterized with significant long range charge transfer. The double hybrid functionals are not frequently used for the computational description of the optical properties of BODIPY and other dye sensitizers. Moreover, while there are numerous benchmark studies on organic dyes,<sup>74–76</sup> benchmark studies on dyes sensitizers used in DSSCs are not frequent in the literature.<sup>41,77–80</sup>

Double hybrid (DH) functionals include a fraction of a perturbative second-order correlation part to the exchange–correlation (xc) functionals,<sup>81–83</sup> and are increasingly used in TD-DFT calculations.<sup>84–91</sup> Furthermore, time-dependent DH density functionals that include spin-component and spin-opposite scaling were recently proposed and they seems to be very promising for accurate calculations of excitation energies.<sup>92–94</sup> We have investigated the performance of DH functionals for the prediction of the vertical excitations of a set of large BODIPY dye sensitizers. We have benchmarked the following DH functionals using TD-DFT: B2PLYP,<sup>81</sup> B2GPPLYP,<sup>95</sup> mPW2PLYP,<sup>96</sup> the empirical dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals (DSD-BLYP and DSD-PBEP86),<sup>97,98</sup> and the range separated DH functionals  $\omega$ B2PLYP and  $\omega$ B2GPPLYP.<sup>87</sup> In addition, we have tested the performance of TD-DFT using 29 other functionals from other rungs (see the Computational methods for details). Our benchmark set is composed of 13 BODIPY based dye sensitizer (see Fig. 1) that have been experimentally investigated as promising sensitizers for DSSC applications: **B1**,<sup>99</sup> **B2**,<sup>100</sup> **B3**,<sup>101</sup> **B4**,<sup>102</sup> **B5**,<sup>103</sup> **B6**,<sup>104</sup> **B7**,<sup>102</sup> **B8**,<sup>105</sup> **B9**,<sup>106</sup> **B10**,<sup>107</sup> **B11**,<sup>108</sup> **B12**,<sup>109</sup> and **B13**.<sup>110</sup>

## 2. Computational methods

All quantum chemical calculations have been carried out with ORCA 4.2.0 code.<sup>111,112</sup> Molecular orbital isosurface densities have been visualized using Gabedit 2.4.8.<sup>113</sup> The ground state (GS) equilibrium geometries of all molecules have been fully optimized without any symmetry restriction using DFT employing PBE0 functional<sup>114</sup> and the Ahlrichs def2-TZVP<sup>115</sup> basis set. PBE0 functional has been shown to produce accurate ground state geometries for BODIPY molecules.<sup>54</sup> Geometry optimizations are repeated for all possible stable conformers of the molecules, and the subsequent excited state calculations correspond to the most stable conformer. Frequency calculations were carried out for all optimized geometries at the same level of theory. None of our optimized geometries shows any imaginary frequency values. We have also calculated coupled-cluster singles and doubles (CCSD)<sup>116,117</sup> and the recently developed DLPNO-STEOM-CCSD method<sup>67,68,118</sup> with def2-TZVP basis for compound **B1**.

The lowest 20 singlet–singlet vertical electronic excitations on the optimized GS geometries were calculated by means of TD-DFT and the def2-TZVP basis set, without using the Tamm–Dancoff approximation (TDA), which is set as default in TD-DFT ORCA module. We have assessed the impact of solvent effects in all geometry optimizations and excited state calculations using the linear-response conductor-like polarizable continuum model (LR-CPCM),<sup>119</sup> and solvents as indicated by the corresponding experimental results; see Table S1 in the ESI.† Furthermore, TD-DFT calculations in solvent were performed using the non-equilibrium regime, which is set as default for all ES calculations in ORCA.

All DFT and TD-DFT calculations were sped up with the resolution-of-the-identity (RI) approximation. The RIJCOSX procedure,<sup>120</sup> a standard setting in ORCA which uses both the RI for Coulomb integrals (RI-J) and the chain-of-spheres approximation for exchange integrals (COSX),<sup>121</sup> is employed with all functionals. The RI-MP2 (ref. 122) is also used for the DH and the range separated DH functionals. The def2/J auxiliary basis set<sup>123</sup> were used with RIJCOSX, and the def2-TZVP/C<sup>124</sup> with RI-DH. Converged SCF orbitals were obtained using the TightSCF setting in ORCA (energy change =  $10^{-8}$   $E_h$ ). A multi-grid approach<sup>125</sup> for the numerical quadrature integration was chosen, where the SCF iterations are done with a pruned grid of 40 radial shells (Gauss–Chebyshev) and 434 angular points (Lebedev434) per shell, whereas gradients and final energies are evaluated on a pruned grid of 45 radial shells and 590 angular points per shell.

TD-DFT calculations were performed using 36 functional of different rungs: generalized gradient approximation (GGA), meta-GGA (mGGA), global-hybrid GGA (GH-GGA), global-hybrid meta-GGA (GH-mGGA), range separated hybrid GGA (RSH-GGA), double hybrid GGA (DH-GGA), and range separated double hybrid GGA (RSDH-GGA). See Table 1 for more details. The exchange correlation energy of GH-GGA expression is:

$$E_{xc}^{GH-GGA} = a_x E_x^{HF} + (1 - a_x) E_x^{GGA} + E_c^{GGA} \quad (1)$$



Table 1 List of functionals used in this work, arranged according to their type<sup>a</sup>

Functional	$a_x$ (%HF)	$a_c$ (%MP2)	$c_c$	$c_o$	$c_s$	$\omega$ bohr <sup>-1</sup>	Exchange functional	Correlation functional	Year	Ref.
<b>GGA</b>										
OLYP							OptX	LYP	2001	131 and 132
BLYP							B88	LYP	1988	131 and 133
BP86							B88	P86	1988	133 and 134
XLYP							B88 + PW91	LYP	2004	131 and 135
PBE							PBE(X)	PBE(C)	1996	136
mPWPW							mPW91	PW91	1998	137
mPWLYP							mPW91	LYP	1998	131 and 137
B97-D3							RB97	B97	2011	138
<b>mGGA</b>										
M06-L							M06-L(X)	M06-L(C)	2006	139
TPSS							TPSS	TPSS	2003	140
<b>GH-GGA</b>										
O3LYP	11.6						OptX	LYP	2001	131 and 132
B3LYP	20						B88	LYP	1993	141 and 142
B3P86	20						B88	P86	1993	134 and 141
X3LYP	22						B88 + PW91	LYP	2004	131 and 135
PBE0	25						PBE(X)	PBE(C)	1999	114
mPW1PW	25						mPW91	PW91	1998	137
mPW1LYP	25						mPW91	LYP	1998	131 and 137
BH&HLYP	50						B88	LYP	1993	143
<b>GH-mGGA</b>										
TPSSh	10						TPSS	TPSS	2003	144
TPSS0	25						TPSS	TPSS	2005	145
M06	27						M06(X)	M06(C)	2008	146
M06-2x	54						M06-2X(X)	M06-2X(C)	2008	146
<b>RSH-GGA</b>										
LC-BLYP	0–100					0.33	B88	LYP	2004	147
CAM-B3LYP	19–65					0.33	B88	LYP	2004	148
$\omega$ B97	0–100					0.40	$\omega$ B97	B97	2008	149
$\omega$ B97X	15.77–100					0.30	$\omega$ B97X	B97	2008	149
$\omega$ B97X-D3	19.57–100					0.25	$\omega$ B97X	B97	2013	150
$\omega$ B97X-D3(BJ)	16.7–100					0.30	$\omega$ B97X	B97	2018	151
$\omega$ B97X-V	16.7–100					0.30	$\omega$ B97X	B97	2014	152
<b>DH-GGA</b>										
B2PLYP	53	27					B88	LYP	2006	81
B2GPPLYP	65	36					B88	LYP	2008	95
mPW2PLYP	55	25					mPW	LYP	2006	96
DSD-BLYP	69		54	46	37		B88	LYP	2010	97
DSD-PBEP86	70		43	53	25		PBE	P86	2011	98
<b>RSDH-GGA</b>										
$\omega$ B2PLYP	53	27				0.30	$\omega$ B88	LYP	2019	87
$\omega$ B2GPPLYP	65	36				0.27	$\omega$ B88	LYP	2019	87

<sup>a</sup> List of abbreviations and symbols: GGA: generalized gradient approximation; mGGA: meta-GGA; GH: global hybrid; RSH: range separated hybrid; DH: double hybrid; RSDH: range separated double hybrid;  $a_x$ : scale factor for exact (HF) exchange in GH-GGA [eqn (1)], GH-mGGA, RSH-GGA, DH-GGA [eqn (2)], and RSDH-GGA;  $a_c$  second-order perturbative correlation for various DH-GGA [eqn (2)];  $c_c$ ,  $c_o$ , and  $c_s$ : scale factors of the DFT functionals [eqn (3)]; and  $\omega$ : screening factor RS and functionals.

where the scaling parameter  $a_x$  governs the fraction of the HF exchange energy  $E_x^{\text{HF}}$  in the hybrid functional and  $E_x^{\text{GGA}}$  and  $E_c^{\text{GGA}}$  are the DFT exchange and correlation energy approximations, respectively. The expression of DH exchange–correlation energy, as introduced by Grimme,<sup>81</sup> is

$$E_{xc}^{\text{DH-GGA}} = (1 - a_x)E_x^{\text{DFT}} + a_x E_x^{\text{HF}} + (1 - a_c)E_c^{\text{DFT}} + a_c E_c^{\text{MP2}} \quad (2)$$

where  $E_c^{\text{MP2}}$  is a nonlocal second-order perturbative correlation-energy term, and  $a_x$  and  $a_c$  are scale parameters. Details of computational procedures for time-dependent DH functionals



are found in the literature.<sup>71,84</sup> The general form of the dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals is:<sup>97</sup>

$$E_{xc}^{DSD-DFT} = (1 - a_x)E_x^{DFT} + a_x E_x^{HF} + c_c E_c^{DFT} + c_o E_c^{OS-MP2} + c_s E_c^{SS-MP2} + E_{disp} \quad (3)$$

where  $E_c^{OS-MP2}$  and  $E_c^{SS-MP2}$  are the opposite- and same-spin contributions to the MP2 energy, scaled by the parameters  $c_o$  and  $c_s$ . The scale factor  $c_c$  for the DFT correlation is independent from the two MP2 parameters. Moreover, in DSD-DFAs, all parameters were fitted in the presence of a dispersion correction  $E_{disp}$ . See Table 1 for the values of the different parameters and coefficients corresponding to all functionals used in this work.

### 3. Results and discussion

The BODIPY based dye sensitizers (**B1–B13**) investigated in this study are shown in Fig. 1. All dyes have been experimentally studied as potential successful sensitizers for DSSC applications.<sup>99–110</sup> Our selected set of BODIPY based dye sensitizers represent different architectures and designs. First, the set of 13 BODIPY dyes represents three different designs:<sup>27,126</sup> the horizontal design (**B1–B7**), the vertical design (**B8–B11**), and the fused design (**B12** and **B13**). Second, many of our dyes (**B1–B4**, **B6**, **B7**, and **B10**) are based on the conventional so called D- $\pi$ -A architecture, where an electron-donating (D) group is linked to a  $\pi$  spacer that is coupled in turn to an electron-withdrawing group (A); dye **B5** is based on the D-A- $\pi$ -A architecture,<sup>52</sup> where an auxiliary acceptor is added before the  $\pi$  linker; dyes **B8**, **B9**, and **B12** share the (D)<sub>2</sub>- $\pi$ -A architecture. Dye **B11** is based on a typical D- $\pi$ -A, but the BODIPY unit here act as the light harvesting antenna and is linked to the acceptor; while dye **B13** is based on a (D)<sub>2</sub>- $\pi$ -(A)<sub>2</sub> architecture or the so called “butterfly-shaped” BODIPY dye.<sup>110</sup>

In order to enhance DSSC efficiency, long hydrophobic linear alkyl side chains are integrated into the dye sensitizer framework to prevent dye recombination with the semiconducting oxide electrode. Many molecules in our set contain these type of long alkyl chains: **B2**, **B3**, **B5**, **B6**, **B11**, and **B13**. In order to reduce the computational cost, the long linear alkyl chains in dyes **B5**, **B6**, and **B13** are simply modeled by replacing them with methyl groups in all geometry and ES calculations (Fig. 1). The theoretical justification for such an approximation is that these outer linear alkyl chains are not involved in the electronic structure and optical properties for those highly conjugated chromophores. Furthermore, we have actually verified the effect of long alkyl side chain substitution with a methyl group on the calculated optical properties of one dye sensitizer, namely **B2**. We have found that replacing the alkyl chain C<sub>5</sub>H<sub>11</sub> with a simple CH<sub>3</sub> has almost no effect on the vertical energy of absorption. In particular, the computed vertical excitation energy of compound **B2**-C<sub>5</sub>H<sub>11</sub> is found to be 0.015 eV lower than that **B2**-CH<sub>3</sub>. The differences in the oscillator strengths of **B2**-C<sub>5</sub>H<sub>11</sub> and **B2**-CH<sub>3</sub> are found to be insignificant as well: 1.47

and 1.52, respectively. In fact, this kind of approximation is also used in many other studies.<sup>127–129</sup>

Experimentally, the dye sensitizers and the electrolyte redox couple (such as I<sup>−</sup>/I<sub>3</sub><sup>−</sup>) used as regenerator are typically dissolved in an organic solvent. Thus, all our geometry optimization and excited state calculations were performed using the implicit CPCM approximation in order to estimate the bulk solvent effects. The solvent used for each dye is the same as that used in the UV-vis absorption experiment, as indicated in Table S1 in the ESI.† The xyz coordinates of the optimized geometries in solvent for all dyes investigated in this work are reported in Table S43 in the ESI.†

The coupled-cluster  $T_1$  diagnostic test of Lee and Taylor<sup>130</sup> was used, at the CCSD/def2-SVP level of theory, on the **B1** dye sensitizer to check the reliability of using single reference methods for such compounds. A CCSD calculation produce the  $T_1$  diagnostic test which is based on the norm of the vector of single-excitation amplitudes from CCSD in a closed shell system.<sup>130</sup> If the  $T_1$  value is less than 0.02, the system is considered to be dominated by single reference wavefunction, otherwise, the system is considered to be of a multireference character. According to diagnostic test performed in this study, no multi-reference characteristics were found in the GS of **B1** compound ( $T_1 = 0.0139$ ), which means that it is reliable to use a single reference method, such as TD-DFT, for describing the vertical ES. In fact, we did not calculate CCSD/def2-SVP for all compounds, since these calculations are very long for such large compounds. However, we believe that the other dyes will behave like the “parent” **B1** dye, since they all share the same core, which is the “problematic” BODIPY fragment in all dye configurations.

The calculated vertical excitation energies do not take into consideration the vibronic effects, which are associated with all molecular electronic band spectra. Therefore, the experimental band maxima do not necessarily exactly match the vertical excitation energies that are obtained using conventional excited state methods, such as TD-DFT. Ideally, comparing 0–0 energies ( $E^{0-0}$ ) with the experimental absorption–fluorescence crossing point (AFCP) is more appropriate for excited state benchmarks.<sup>56–58</sup> However, the calculation  $E^{0-0}$  needs the geometry optimization of the ES in solvent, and the subsequent calculation of ES Hessian in order to obtain ES vibrational frequencies in solvent, which is a very difficult task in general for moderate size molecules, and almost impossible for large molecules. In addition, accurate experimental AFCP values are rather uncommon for large molecules such dye sensitizers. It has been shown, however, that the use of the vertical approximation is justified in the case of BODIPY compounds.<sup>55,70</sup> Considering the average large size of dye sensitizers in general and the large size of the dyes investigated in this study, we have decided to adopt the vertical excitation regime.<sup>46,55,66,70</sup> Moreover, the practice of frequent calculations of large dyes in order to evaluate their potential use as light harvesting systems should be easy and straightforward. Thus it would be necessary to be able to calculate the optical properties of moderate to large size molecules using a simple protocol such as that of the vertical excitation energies, and not by using the complicated many-step





protocol of finding  $E^{0-0}$  values. In fact, we are comfortable with comparing the vertical excitation energies directly with the experimental band maxima since the results of some of the functionals investigated in this study are very satisfactory, *vide infra*. Therefore, in this work, we compare the available experimental  $\lambda_{\text{max}}$  values with the first dipole-allowed vertical excitation energies from the GS to a singlet ES.

TD-DFT vertical excitation energies typically produce errors in the range 0.2–0.3 eV for simple organic compounds,<sup>47,50</sup> though sometimes “better” and “worse” predictions can be observed for particular families of chromophores.<sup>47</sup> A TD-DFT functional is said to be accurate if the calculated results of electronic excitations are deviated from experiment by values equal to or less than 0.1 eV. The range separated hybrid (RSH) and the range separated double hybrid (RSDH) functionals are designed for excited state property calculations and should take into consideration the charge transfer present in the electronic transitions of the dye sensitizers. Therefore, RSH and RSDH functionals should in principle give the best performance among the whole set of functionals tested in this work. Interestingly, the functionals of these two rungs were found to produce the worst performance among all functionals; see Table 2 and Fig. 2 (the details of TD vertical excitations for all functionals and all dyes are reported in Tables S2–S6 in the ESI†). All RSH and RSDH functionals show mean absolute error (MAE) values of more than 0.4 eV. The two recent RSDH functionals  $\omega$ B2PLYP and  $\omega$ B2GPPLYP,<sup>87</sup> that are optimized specifically for excited state properties and should in theory reproduce the correct asymptotic long-range behavior, have MAE values of 0.433 and 0.414 eV, respectively. For the case the  $\omega$ B97 family of RSH functionals, the mean error becomes more than 0.5 eV. In fact, these findings are consistent with that of our recent benchmark study on small BODIPY chromophores, in which the range separated functionals (RSH and RSDH) were also among the worst performing ones with MAE values around 0.5 to 0.6 eV.<sup>70</sup>

The behavior of GGA, mGGA, GH-GGA, GH-mGGA and some DH functionals is unsatisfactory as well, with MAE values ranging in general between 0.2 and 0.4 eV. All pure GGA functionals show similar MAE values around 0.3 eV, regardless of the nature of the exchange or the correlation functional incorporated. Including the exact exchange HF component in the global hybrid functionals GH-GGA and GH-mGGA does not improve the quality of the results. In fact, an obvious deterioration of the values of the mean errors as a function of increasing the percentage of the HF exchange is observed; see Table 2 and Fig. 2 (in Table 2 and Fig. 2, the functionals of GH-GGA and GH-mGGA rungs are ordered in an ascendant manner according to their percentage of the HF exchange). For example, the MAE values of the O3LYP ( $a_x = 11.6\%$ ) and the BH&HLYP ( $a_x = 50\%$ ) are 0.196 and 0.429 eV, respectively. Indeed, the increase of calculated errors in the absorption energies obtained by the global hybrids as a function of the percentage of the HF exchange of BODIPY compounds is not surprising, as it was also revealed in our previous study for a set of smaller BODIPY chromophores.<sup>70</sup>

**Table 2** The Mean Absolute Error (MAE), relative maximum error (Max), relative minimum error (Min), standard deviation (SD), and linear determination coefficient ( $R^2$ ) of the vertical excitation energies (eV) of BODIPY based dye sensitizers using all functionals with the def2-TZVP basis set

Functional	MAE	Max	Min	SD	$R^2$
<b>GGA</b>					
OLYP	0.294	0.415	−0.781	0.289	0.010
BLYP	0.319	0.396	−0.836	0.314	0.001
BP86	0.314	0.406	−0.801	0.286	0.002
XLYP	0.315	0.395	−0.869	0.317	0.002
PBE	0.308	0.407	−0.782	0.280	0.003
mPWPW	0.308	0.406	−0.785	0.289	0.003
mPWLYP	0.320	0.394	−0.867	0.317	0.001
B97-D3	0.310	0.411	−0.840	0.314	0.002
<b>mGGA</b>					
M06-L	0.240	0.466	−0.517	0.167	0.151
TPSS	0.172	0.453	−0.299	0.126	0.519
<b>GH-GGA</b>					
O3LYP	0.196	0.412	−0.582	0.164	0.612
B3LYP	0.244	0.554	−0.370	0.176	0.594
B3P86	0.228	0.566	−0.066	0.184	0.721
X3LYP	0.259	0.582	0.041	0.175	0.820
PBE0	0.336	0.645	0.100	0.167	0.857
mPW1PW	0.336	0.643	0.103	0.165	0.858
mPW1LYP	0.326	0.628	0.113	0.158	0.859
BH&HLYP	0.429	0.589	0.290	0.086	0.946
<b>GH-mGGA</b>					
TPSSH	0.233	0.631	−0.124	0.183	0.579
TPSS0	0.350	0.676	0.136	0.159	0.823
M06	0.304	0.446	−0.270	0.109	0.875
M06-2x	0.361	0.500	0.267	0.067	0.965
<b>RSH-GGA</b>					
LC-BLYP	0.469	0.541	0.384	0.048	0.975
CAM-B3LYP	0.415	0.522	0.318	0.060	0.979
$\omega$ B97	0.518	0.608	0.416	0.056	0.966
$\omega$ B97X	0.510	0.592	0.413	0.053	0.972
$\omega$ B97X-D3	0.496	0.571	0.396	0.053	0.976
$\omega$ B97X-D3(BJ)	0.532	0.615	0.432	0.054	0.972
$\omega$ B97X-V	0.532	0.615	0.432	0.054	0.972
<b>DH-GGA</b>					
B2PLYP	0.179	0.423	−0.036	0.102	0.865
B2GPPLYP	0.280	0.409	0.207	0.053	0.972
mPW2PLYP	0.231	0.442	0.077	0.090	0.917
DSD-BLYP	0.083	0.114	−0.175	0.048	0.886
DSD-PBEP86	0.106	0.108	−0.234	0.069	0.848
<b>RSDH-GGA</b>					
$\omega$ B2PLYP	0.433	0.500	0.358	0.043	0.979
$\omega$ B2GPPLYP	0.414	0.475	0.345	0.040	0.980

The two pure meta GGA functionals M06-L and TPSS show an improvement on their hybrid counterparts with MAE values of 0.240 and 0.172 eV, respectively. In fact, the two simple mGGA produce results comparable with that of some of the more demanding DH functionals: B2PLYP, B2GPPLYP, and mPW2PLYP. The original DH B2PLYP functional perform rather



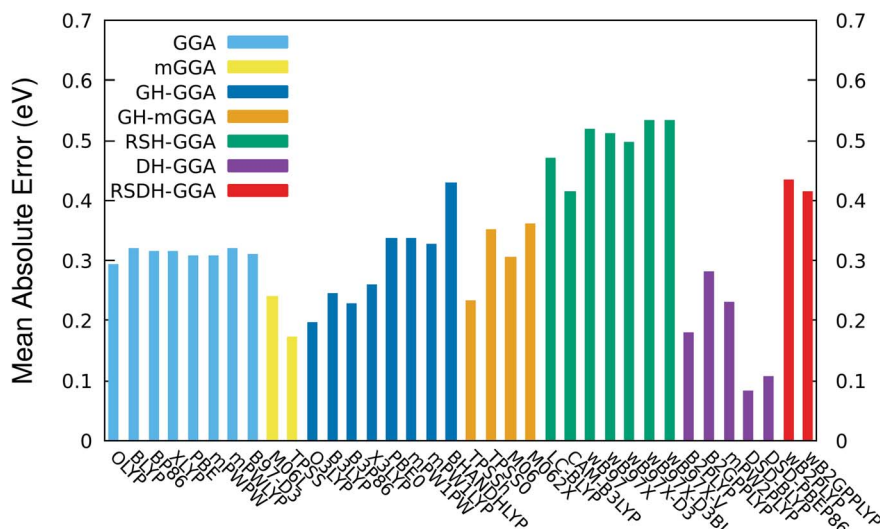


Fig. 2 Histogram showing the mean absolute error (MAE) of TD-DFT excitation energies.

well, with an MAE value = 0.179 eV, in comparison with the B2GPPLYP and mPW2PLYP functionals with MAE values of 0.280 and 0.231 eV, respectively. Although the MAE values of the two DH functionals B2PLYP and B2GPPLYP (0.179 and 0.280 eV, respectively) are much lower than that of their range separated counterparts  $\omega$ B2PLYP and  $\omega$ B2GPPLYP (0.433 and 0.414 eV, respectively), it should be underlined that the percentages of HF exchange ( $a_x$  scale parameter) and MP2 correlation ( $a_c$  scale parameter) are the same for B2PLYP and  $\omega$ B2PLYP ( $a_x = 53\%$  and  $a_c = 27\%$ ) and for B2GPPLYP and  $\omega$ B2GPPLYP ( $a_x = 65\%$  and  $a_c = 36\%$ ). This may lead to the conclusion that the percentages of the HF exchange and MP2 correlation are irrelevant to the performance of the DH functionals toward electronic ES calculations.

The two dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals, namely DSD-BLYP and DSD-PBEP86 produce the lowest MAE values among all functional investigated: 0.083 and 0.106 eV, respectively. It is therefore safe to conclude that these two empirical DH functionals developed by Martin and coworkers, reproduce “accurate” vertical excitation energies. This conclusion is especially true for the case of DSD-BLYP functional. The next lowest MAE values are almost double the small MAE value of the DSD-BLYP functional (0.179 eV for B2PLYP and 0.172 eV for TPSS). As a matter of fact, we have also obtained this remarkable performance of the two spin-component-scaled functionals in our recent study about another set of BODIPY model compounds which are smaller than the dye sensitizers studied in this work.<sup>70</sup> It is interesting to note however, that the MAE values obtained using the two DSD functionals for the much larger and extended charge transfer dye sensitizers investigated in this work are slightly better than the smaller set of BODIPY chromophores studied previously.<sup>70</sup> For instance, the MAE for DSD-BLYP functional is 0.083 eV for the larger dye sensitizers, and 0.119 eV for the significantly smaller size BODIPY set of chromophores.<sup>70</sup> Not only the DSD functionals are the most accurate functionals

among the large set of other functionals tested in this work, but those two empirical DH functionals are comparable with the excellent performance of the more expensive wavefunction based coupled-cluster methods in the gas phase, such as the LCC2\* (ref. 55) (0.100 eV) and the DLPNO-STEOM-CCSD<sup>66</sup> (0.114 eV). For comparison, we have calculated the lowest six excited states of dye **B1** using the coupled-cluster DLPNO-STEOM-CCSD method. The vertical electronic excitation is found to be 2.239 eV compared with 2.220 for DSD-BLYP and 2.170 for DSD-PBEP86, while the experimental value is 2.321 eV (see Table S6†). It is worth to notice that the excellent mean absolute errors values produced by DSD-BLYP and DSD-PBEP86 is probably due to the incorporation of the spin-component-scaled (SCS) contributions to the MP2 energy in the correlation part of those functionals. The nature of the exchange and correlation functionals seems to be not relevant to the performance of these two double hybrids; see Table 1.

Moreover, the two DSDs show the best relative maximum error values (Max): 0.114 and 0.108 eV for DSD-BLYP and DSD-PBEP86, respectively; see Table 2 and Fig. S1† in the ESI. The next lowest Max values are that of pure GGA functionals (Max around 0.4 eV), which are almost four times higher than that of DSD-BLYP and DSD-PBEP86 functionals. All GH-GGA, GH-mGGA, RSH and RSDH functionals produce significantly higher maximum errors, reaching more than 0.6 eV for some of them. The relative minimum error (Min) of DSD-BLYP is  $-0.175$  eV, and that for DSD-PBEP86 is  $-0.234$  eV; see Table 2 and Fig. S2 in the ESI.† These two Min are, however, not the lowest among all the functionals. For instance, the following Min values are observed:  $-0.036$  eV for the DH functional B2PLYP, and 0.077,  $-0.066$  and 0.04 eV for the GH functionals mPW2PLYP, B3P86, and X3LYP, respectively. All Min values for all other functionals are greater than that of the DSDs, with the notable extreme deviation in producing too low excitation energies for some compounds in the case of pure GGAs where Min values are almost  $-0.8$  eV, or the other flip of the coin in



the case of the RSHs where the Min values are much higher in energy than the experimental data by an amount of at least +0.4 eV.

When it comes consistency and predictability, the two DSD functionals are again among the best functionals tested in this work. The standard deviation (SD) values of DSD-BLYP and DSD-PBEP86 are 0.048 and 0.069 eV, respectively (see Table 2 and Fig. S3 in the ESI†). In fact, the two RSDHs also show low SD results: 0.043 eV for  $\omega$ B2PLYP and 0.040 eV for  $\omega$ B2GPPLYP. Nevertheless, the linear determination coefficient ( $R^2$ ) values of DSD-BLYP (0.886) and DSD-PBEP86 (0.848) are far to be considered as satisfactory (see Table 2 and Fig. S4 in the ESI†). Actually the best  $R^2$  are found for the two RSDH functionals  $\omega$ B2PLYP and for  $\omega$ B2GPPLYP (0.979 and 0.980 eV, respectively), B2GPPLYP (0.972 eV), and all the RSH functionals (around 0.97 eV). Therefore, the RSH and RSDH functionals, together with the B2GPPLYP double hybrid functional, may all give accurate results if scaled properly.

It will be interesting to inspect the deviations from experiment of the two best performing DH functionals DSD-BLYP and DSD-PBEP86, with respect to the different BODIPY dye architectures and designs (see Table S6 in the ESI†). The mean of absolute errors of compounds **B1–B7** that belong to the so called horizontal design, are 0.103 eV for DSD-BLYP and 0.154 eV for DSD-PBEP86, which is almost twice the mean of errors of compounds **B8–B13** that belong to the vertical (**B8–B11**) and fused (**B12** and **B13**) designs: 0.059 eV for DSD-BLYP and 0.051 eV for DSD-PBEP86. In all cases, we will not emphasize more on this conclusion since the difference in errors is insignificant, *i.e.* around 0.04 eV in the case of DSD-BLYP.

The analysis of the nature of the transitions and other excited state properties for all functionals and all dyes are presented in Tables S7–S42 in the ESI.† The results of all RSH, DH, and RSDH functionals, in addition to BH&HLYP and M06-2X functionals, show that the maxima of the absorption transition energies of all dyes correspond to the first dipole-allowed transition from the ground singlet state ( $S_0$ ) to the first singlet excited state ( $S_1$ ), *i.e.* an  $S_0 \rightarrow S_1$  transition. In addition, those transitions are found to be characterized by the electron excitations HOMO–1  $\rightarrow$  LUMO (mainly for **B1–B7**) or HOMO  $\rightarrow$  LUMO (mainly for compounds **B8–B13**). In both cases, these two orbital excitations correspond to  $\pi \rightarrow \pi^*$  transitions; see Fig. S5 in the ESI† for the isosurface density plots of the frontier molecular orbitals involved in the main transitions of BODIPY dyes. On the other hand, the results of all other functionals (GGA, mGGA, most GH-GGA, and most GH-mGGA) erroneously predict that the transitions reach higher singlet states, *e.g.*  $S_2$ ,  $S_3$ , *etc.*, sometimes reaching  $S_9$  for **B11** using pure GGAs and  $S_7$  for the same dye using mGGAs. The reason for this is that pure GGAs and mGGAs create unphysical low-lying states, sometime called ghost states. However, the promotion to those higher ESs are still characterized by HOMO–1  $\rightarrow$  LUMO or HOMO  $\rightarrow$  LUMO excitations which also correspond to  $\pi \rightarrow \pi^*$  transitions. Finally, the set of BODIPY dye sensitizers investigated in our work were all tested experimentally to study their potential use in DSSCs. One of the key variables in determining the cell efficiency is the light harvesting efficiency (LHE) that is

calculated using the oscillator strengths  $f$  obtained by TD-DFT excited state calculations:  $LHE = 1 - 10^{-f}$ . The values of the oscillator strengths for all dyes using all functionals are reported in the ESI in Tables S7–S42.† It is noted that the functionals of the higher rungs (GH-GGA, GH-mGGA, RSH, DH, and RSDH) predict rather higher oscillator strengths values in general ( $f \sim 1$ – $1.5$ ) for the dyes compared to the functionals of the lower rung such as GGA and mGGA ( $f \sim 0.2$ – $0.6$ ). The calculated high values of oscillator strengths should in theory reproduce the high molar absorption coefficients that are typical to BODIPY dyes.

## 4. Conclusions

To summarize, we do not recommend the use of GH-GGA (B3LYP, PBE0, *etc.*), GH-mGGA (TPSS0, M06-2X, *etc.*), and RSH-GGA (CAM-B3LYP,  $\omega$ B97X-D3, *etc.*), by means of TD-DFT in order to study and predict the ES properties and especially the band maxima of dye sensitizers based on the BODIPY core. Although the aforementioned functionals are frequently used in ES calculations for BODIPY dyes by many researchers, with the B3LYP being the most abused, all these functionals suffer from at least one major problem: They all overestimate/underestimate excitation energies when compared to experimental results, with absolute average errors in the range 0.2 to 0.5 eV. Increasing the percentage of the exact HF exchange in the case of GH-GGA and GH-mGGA will not enhance the results, on the contrary it will worsen the situation. However, the RSH-GGA and RSDH-GGA functionals show high  $R^2$  values and therefore can be accurate if they are properly scaled. The mean absolute error values of pure GGA and mGGA functionals are lower than their hybrid or range separated hybrid counterparts (in the range 0.2–0.3 eV), but they actually suffer from creating unphysical low-lying ghost states, and thus will complicate and mislead the analysis and the transition assignments.

The MAE of the dispersion-corrected, spin-component-scaled, double-hybrid (DSD) functionals DSD-BLYP and DSD-PBEP86 functionals are 0.083 eV and 0.106 eV, respectively, for the set of BODIPY based dye sensitizers investigated in this study. The error produced by these double hybrid spin-component-scaled functionals falls within the convention of chemical accuracy ( $\leq 0.1$  eV) of a theoretical ES method. Moreover, the accuracy of TD ES calculations using the DSD functionals is remarkably comparable to the range of errors produced by the more expensive wavefunction coupled-cluster methods such as LCC2\* and DLPNO-STEOM-CCSD. Indeed, the DSD-BLYP and DSD-PBEP86 functionals provide the lowest relative maximum error (0.114 and 0.108 eV, respectively) and among the lowest standard deviation values (0.048 and 0.069 eV, respectively) when compared to all other functionals benchmarked in this work.

We therefore recommend the use of the double hybrid functionals DSD-BLYP and DSD-PBEP86 for both the design of new BODIPY dyes, and for the analysis and band assignment purposes for such “tricky” dye sensitizers. As a matter of fact, TD-DFT with the DH functionals can be easily calculated routinely in most available recent codes. The calculations





becomes reasonably fast even for large “real-life” molecules when using the resolution of identity (RI) approximation that is also incorporated in most recent codes.

Future work will concentrate on further extension of the results and conclusions of this study in two directions: first, benchmark more dye sensitizers from different families other than BODIPY dyes. Second, include more DH functionals with different fractions and types of spin-component-scaled contributions to test their performance in the prediction of the absorption spectra of different dye sensitizers.

## Conflicts of interest

There are no conflicts of interest to declare.

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