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# Stepwise synthesis of a Zr–C–Si main chain polymer precursor for ZrC/SiC/C composite ceramics†

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Novel polymers containing a refractory metal in the main chain are highly desired as ultra-high temperature ceramic precursors. Herein, a low oxidation state active species  $\text{Cp}_2\text{Zr(II)}$  with two semi-filled outer orbits was firstly obtained using  $\text{Cp}_2\text{ZrCl}_2$  with Mg. This active species of  $\text{Cp}_2\text{Zr(II)}$  was subsequently copolymerized with  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  to form a PZCS precursor with a Zr–C–Si main chain. The pathways of constructing the Zr–C–Si main chain were proposed based on the active species  $\text{Cp}_2\text{Zr(II)}$  polymerization combined with the auxiliary function of  $\cdot\text{MgCl}$ , quite different from the conventional understanding of using the Grignard reaction mechanism to explain the synthesis of metal-containing polymer precursors. The ceramic yield of the PZCS precursor at 900 °C is 43.9 wt%, and the ZrC/SiC/C composite preceramic powder was prepared by the pyrolysis of the PZCS precursor, which has important application prospects in ultra-high sonic aircraft and aero rocket engines. It is expected that this stepwise method of using refractory metal-containing active species to synthesize main-chain metallopolymers would provide significant guidance for preparing novel UHTCs precursors.

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## 1 Introduction

Refractory metal (Zr, Hf, Ta, *etc.*) borides, nitrides and carbides commonly serve as ultra-high temperature ceramics (UHTCs) due to their high thermal stabilities and chemical inertness. They are heat- and wear-resistant candidate materials for solid rocket motors, hypersonic vehicles and nuclear protection.<sup>1,2</sup> Furthermore, the incorporation of Si, B, N-containing components into the above refractory compounds enables an even greater protection against oxidation, further promoting their applications in extreme thermal and oxidizing environments.<sup>3–6</sup> In contrast to the conventional manufacturing of preceramic powder at high temperatures, the polymer derived ceramics (PDCs) route offers a number of advantages in regulating the phase composition and microstructure of the ceramics.<sup>7</sup>

At present, the synthesis of refractory metal-containing polymeric precursors can broadly be divided into three categories of (i) chemical modification of polymeric precursors with metal compounds,<sup>8–10</sup> (ii) blending of the precursors with metal or metal oxide powders and (iii) direct synthesis of metallopolymers as single source precursors.<sup>11</sup> Of note, the elemental composition, solubility, fusibility and viscosity of a single source precursor are adjustable by regulating its molecular

structure, which provides possibilities for preparation of high-performance ceramics including UHTCs fibers.<sup>11,12</sup> Generally, there are mainly two kind of metal bonds, including M–N and M–C in metallopolymers as UHTCs precursors.<sup>13,14</sup> As one of the most popular reactions of building new chemical bonds, Grignard reaction has been applied to synthesize M–C polymeric precursors.<sup>7,15–17</sup> The Grignard reaction-based one-pot copolymerization is facile and easy to control, thus has been widely used for preparing pre-ceramic polymers. However, it is worth noting that most of previous investigations were focused on the property characterization of polymer-derived ceramics, but provided very few insights into the reaction mechanism of synthesizing polymer precursors.

Considering that the complexity of one-pot copolymerization system would bring much difficulties in explicating the reaction mechanism, we creatively proposed a stepwise method to synthesize Zr–C–Si main chain polymer as UHTCs precursors. To be specific, the low oxidation state active species  $\text{Cp}_2\text{Zr(II)}$  with two semi-filled outer orbits was firstly obtained by the reaction of bis(cyclopentadienyl) zirconium dichloride ( $\text{Cp}_2\text{ZrCl}_2$ ) with magnesium (Mg). After separation, this active species of  $\text{Cp}_2\text{Zr(II)}$  was subsequently copolymerized with bis(chloromethyl)-dimethylsilane ( $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ ) to form a Zr–C–Si main chain polymeric precursor with certain polymerization degree, labeled as polyzirconosilane (PZCS). Moreover, olefin polymerization occurred when the active species  $\text{Cp}_2\text{Zr(II)}$  was used to react with bischloromethylvinylsilane ( $\text{CH}_3\text{Si}(\text{CH}=\text{CH}_2)\text{Cl}_2$ ), further confirming the reactivity of  $\text{Cp}_2\text{Zr(II)}$  as a reaction monomer. This result was different from

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previous reports that no olefin polymerization occurred when using Na,  $\text{Cp}_2\text{ZrCl}_2$ , and  $\text{CH}_3\text{Si}(\text{CH}=\text{CH}_2)\text{Cl}_2$  as raw chemicals in a one-pot system.<sup>18,19</sup> The reasons might be related to the removal of residual Mg from  $\text{Cp}_2\text{Zr}(\text{II})$  but need further research and verification. To have a better understanding on the reaction mechanism of  $\text{Cp}_2\text{Zr}(\text{II})$ , we also performed DFT calculations on the synthetic path of the PZCS precursor. The results showed that the Cl atom in  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  was attracted by  $\text{Cp}_2\text{Zr}(\text{II})$  or  $\cdot\text{MgCl}$ , and the subsequent complex polymerization proceeded under the combined impacts of free radical reaction and Grignard reaction. Last but not in the least, we prepared a ZrC/SiC/C composite preceramic powder by pyrolyzing of PZCS precursor, which have important application prospects in ultra-high sonic aircraft and aero rocket engines. To the best of our knowledge, such a stepwise method of using refractory metal-containing active specie to synthesize main-chain metal-lopolymers as UHTCs precursor has rarely been reported.

## 2 Experimental procedures

### 2.1 Materials and characterization methods

$(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  was purchased from TCI (Tokyo, Japan).  $\text{CH}_3\text{Si}(\text{CH}=\text{CH}_2)\text{Cl}_2$ , vinyltrimethylsilane( $(\text{CH}_3)_3\text{Si}(\text{CH}=\text{CH}_2)$ ) were purchased from Thermo Fisher Scientific (Massachusetts, USA).  $\text{Cp}_2\text{ZrCl}_2$  and tetrahydrofuran (THF) were purchased from Innochem reagent Co., Ltd (Beijing, China). Magnesium (Mg) and toluene were purchased from Tianjin Chemical Factory (Tianjin, China). All reagents and solvents were analytically pure, and all solvents were refluxed with  $\text{CaH}_2$  to remove water before use.

All calculations were carried out with the Gaussian 09 software. The Gibbs free energy profile ( $\text{kcal mol}^{-1}$ ) for the reaction of  $\text{ZrCp}_2(\text{II})/\cdot\text{MgCl}$  and  $\text{R}-\text{Cl}$  in toluene was calculated at PBE0-D3BJ/def2-TZVP/TZVP/SMD level. The PBE0 functional<sup>20</sup> was adopted for all calculations in combination with the D3BJ dispersion correction.<sup>21</sup> For geometry optimization, the Ahlrich's def2-TZVP basis set<sup>22</sup> was used for Mg and Zr, and the TZVP basis set for the other atoms. Gibbs free energies were obtained by frequency calculations at the same level. The SMD implicit solvation model<sup>23</sup> was used to account for the solvation effect of toluene for all calculations.

The structure of the PZCS precursor was analysed by  $^1\text{H}$ -nuclear magnetic resonance spectroscopy ( $^1\text{H}$  NMR, Agilent NMR Systems 400 MHz, USA) and Fourier transform infrared spectroscopy (FT-IR/FIR Spectrum Frontier, USA) using KBr disks, detection was performed from 4000 to  $400\text{ cm}^{-1}$ . The molecular weight of the PZCS was measured by gel permeation chromatography (GPC, EcoSEC HLC-8320GPC, Japan) using tetrahydrofuran as eluent, and the acquisition time was 0–20 min with a sampling interval of 100 ms. A thermogravimetric analyser (TGA) machine (Pyr 1 TGA, USA) was used to test the ceramic yield of the precursor with heat from  $50\text{ }^\circ\text{C}$  to  $910\text{ }^\circ\text{C}$  at  $10\text{ }^\circ\text{C min}^{-1}$ . X-ray diffraction (XRD) measurements were performed with a powder diffractometer (Bruker D8, Germany) using  $\text{Cu-K}_\alpha$  radiation to determine the phase composition and crystallinity of the final preceramic powder. The elemental compositions and the bonding states in the precursors and

preceramic powder were characterized by X-ray photoelectron spectroscopy analysis (XPS, Thermo Fisher Scientific K-Alpha, USA). The oxygen content in the preceramic powder was characterized by an oxygen and nitrogen analyzer (HORIBA, EMGA-820, Japan), and a carbon and sulfur analyzer (HORIBA, EMIA-320V2, Japan) was used to measure and analyse the C content with SiC powder as the standard sample at a test power of 6.0 kW. The content of silicon and zirconium was determined by inductively coupled plasma-optical emission spectrometer (ICP-OES, Thermo ICP 6300). Transmission electron microscope (TEM) (Tecnai G2 F20 S-TWIN, USA) characterization was performed to study the microstructure and crystallization behaviour of preceramic powder. Scanning electron microscope (SEM) (TESCAN MIRA4 LMH, Czech Republic) and elemental analysis (EDS) was adopted to observe the microstructure or to analyse the element distribution of preceramic powder surface.

### 2.2 Synthesis of precursor

(1) **Synthesis of active species  $\text{Cp}_2\text{Zr}(\text{II})$  solution.** The precursor was synthesized *via* a standard Schlenk line technique in nitrogen atmosphere. Briefly, 2 g (6.84 mmol) of  $\text{Cp}_2\text{ZrCl}_2$ , 0.985 g (41.04 mmol) of Mg were added into a 100 ml Schlenk flask and followed by the addition of 25 ml of THF. Then, the solution was heated to  $60\text{ }^\circ\text{C}$  and stirred for 4 hours. After cooling down, the solution was filtered to remove excess Mg chips from the system, and the active species solution of  $\text{Cp}_2\text{Zr}(\text{II})$  was obtained.

(2) **The reaction of  $\text{Cp}_2\text{Zr}(\text{II})$  with  $\text{CH}_3\text{Si}(\text{CH}=\text{CH}_2)\text{Cl}_2$ .** A dropping funnel was used to slowly add 4.47 ml (34.20 mmol) of  $\text{CH}_3\text{Si}(\text{CH}=\text{CH}_2)\text{Cl}_2$  into  $\text{Cp}_2\text{Zr}(\text{II})$  solution. After stirring for 30 min, the solution was heated to  $60\text{ }^\circ\text{C}$  and reacted for 16 h. Then the solution was cooled down to an ambient temperature, filtered and concentrated under vacuum to yield green flaky solid, which was marked as PZCS-1.

(3) **The reaction of  $\text{Cp}_2\text{Zr}(\text{II})$  with  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ .** A dropping funnel was used to add 30 ml of anhydrous toluene into  $\text{Cp}_2\text{Zr}(\text{II})$  solution, and 0.788 ml (5.47 mmol)  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  was slowly added from a injector. After stirring for 30 min, the solution was heated to  $110\text{ }^\circ\text{C}$  for 16 h. After cooling down to an

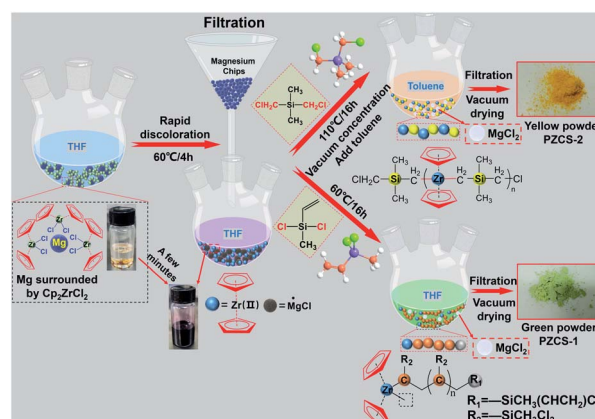


Fig. 1 Synthesis process of PZCS-1 and PZCS-2 precursors.



ambient temperature, the solution was filtered and concentrated under vacuum to yield orange powdery solid, which was marked as PZCS-2. The above synthesis process of PZCS-1 and PZCS-2 precursor were shown in Fig. 1.

### 2.3 Pyrolysis of PZCS precursor

PZCS-2 precursor was pyrolyzed in a quartz tube furnace in an ultra-high purity argon atmosphere. The furnace was heated from room temperature to 400, 600, 800 and 1000 °C, respectively, at a heating rate of 5 °C min<sup>-1</sup>, and this temperature was held for 1 h. To investigate the structure evolution behaviour of the as-prepared preceramic powder at high-temperature, the 1000 °C-pyrolyzed products was further heat-treated in graphite furnace at 1200, 1400, 1600, and 1800 °C for 1 h under argon atmosphere with heating rate of 5 °C min<sup>-1</sup>.

## 3 Results and discussion

### 3.1 The synthesis of active species Cp<sub>2</sub>Zr(II) and its reactivity

In our stepwise method of synthesizing main-chain metallopolymer, the first and crucial step lied in the generation of active species Cp<sub>2</sub>Zr(II) from Cp<sub>2</sub>ZrCl<sub>2</sub> reacting with Mg, which subsequently acted as active species to trigger the polymerization of Zr–C–Si main chain. Since the existent state of the active species Cp<sub>2</sub>Zr(II) are difficult to directly measure, we use effective characterization combined with experimental phenomena to indirectly explain the structure and reactivity of active species. In order to explicit the active species, the content of metal elements in the product of Cp<sub>2</sub>ZrCl<sub>2</sub> reacting with Mg was analyzed by ICP after removal of excessive Mg granules and evaporation of solvent. As shown in Table 1, the atomic ratio of Zr/Mg was measured to be 1/1.56, which indicated that the Zr–Cl bond in Cp<sub>2</sub>ZrCl<sub>2</sub> did react with Mg to generate active species similar to the reaction with sodium.<sup>18,19</sup> Moreover, there was no MgCl<sub>2</sub> sediment being observed or tested in the filtered residue. Based on the redox theory of valence state change, as well as the Grignard reaction mechanism with free radical of ·MgX as intermediates, we thus inferred that a redox reaction occurred between Cp<sub>2</sub>ZrCl<sub>2</sub> and Mg to produce low oxidation state species Cp<sub>2</sub>Zr(II) and ·MgCl in THF as active species. According to the reaction equation, the atomic ratio of Zr/Mg in active species solution should be 1/2, in good agreement with the above ICP result. It is worth noting that when toluene was used as the reaction solvent instead of THF, the system colour did not change and no reaction occurred. However, when DMF was used instead of THF, similar products were obtained. Although we did not perform a more detailed study on the coordination structure of Cp<sub>2</sub>Zr(II) with THF solvent, we inferred that the O

atom in nonprotonic solvent THF/DMF indeed acted as an electronegative center to promote the reaction.

In order to prove the reactivity of Cp<sub>2</sub>Zr(II) and ·MgCl active specie, we firstly tried using the filtrate solution from Cp<sub>2</sub>ZrCl<sub>2</sub> reacting with Mg to further react with CH<sub>3</sub>Si(CH=CH<sub>2</sub>)Cl<sub>2</sub>. A green flaky solid was obtained and remarked as PZCS-1, which was further characterized by using FTIR spectroscopy and <sup>1</sup>H NMR, as shown in ESI-1 (Fig. S1a and b†). The result shows that the vinyl bond of CH<sub>3</sub>Si(CH=CH<sub>2</sub>)Cl<sub>2</sub> was broken under the impact of Cp<sub>2</sub>Zr(II). Meanwhile, the Mn and Mw of PZCS-1 were measured to be 1013 and 1833 g mol<sup>-1</sup> respectively, through GPC analysis, indicating the polymerization of vinyl group to construct a saturated main chain of single bonds.

It was thus worthy to deeply analyze the polymerization mechanism, namely the role of Cp<sub>2</sub>Zr(II) and ·MgCl active species in constructing a polymeric chain. Since zirconocene compounds are commonly used as olefin polymerization catalysts,<sup>24–26</sup> we believed the mechanism of coordination insertion polymerizations of 1-olefin by metallocenes<sup>18,19</sup> would inspire our understanding on the role of Cp<sub>2</sub>Zr(II) in creating a polymeric structure in PZCS-1, and the synthesis path was shown in Fig. S1c.† By the way, it was found that the atom transfer between ·MgCl/Cp<sub>2</sub>Zr(II) and R–Cl played significant roles to initiate olefin insertion polymerization. When Si(CH<sub>3</sub>)<sub>2</sub>(CH=CH<sub>2</sub>) was used as the reactant instead of SiCH<sub>3</sub>(CH=CH<sub>2</sub>)Cl<sub>2</sub>, the obtained sample only possessed Mn of 324 g mol<sup>-1</sup> and Mw of 373 g mol<sup>-1</sup> analysed from GPC, which meant no polymerization occurred (ESI-2†).<sup>27</sup> Through the above experiments and analysis, we believed that the Cp<sub>2</sub>Zr(II) species in the Cp<sub>2</sub>Zr(II)/·MgCl/THF system would not spontaneously change from a low oxidation state to a high oxidation state but were active to initiate the polymerization of Zr–C–Si chain. This also provided a theoretical guideline for our subsequent synthesis of PZCS precursor with incorporated Zr in the main chain.

### 3.2 Synthesis mechanism and structure characterization of PZCS-2

In order to insert the Zr element into the polymer backbone structure, we used the Cp<sub>2</sub>Zr(II)/·MgCl/THF system to react with (CH<sub>3</sub>)<sub>2</sub>Si(CH<sub>2</sub>Cl)<sub>2</sub>, a chemical with two C–Cl bonds. The structure of the as-prepared PZCS-2 precursor was firstly analysed by using FTIR spectra, as shown in Fig. 2a. For the PZCS-2 spectrum, the characteristic peak at 2960 cm<sup>-1</sup> could be assigned as the –C–H stretching vibration in –CH<sub>3</sub>, the peaks centred at 808, 1018 and 1446 cm<sup>-1</sup> were contributed by the C–H bending vibration in Cp, the 2927 cm<sup>-1</sup> peak corresponded well with the C–H stretching vibration of –CH<sub>2</sub>– structure, while the 1260 cm<sup>-1</sup> peak was caused by the Si–CH<sub>3</sub> bending vibration. The above results proved the presence of zirconium metallocene and silane structural units in PZCS-2, indicating a copolymerization or blend occurred between the two monomers of Cp<sub>2</sub>Zr(II) and (CH<sub>3</sub>)<sub>2</sub>Si(CH<sub>2</sub>Cl)<sub>2</sub>. It is noteworthy that a by-product of white precipitate was obtained and identified as MgCl<sub>2</sub>·6H<sub>2</sub>O (Fig. 2b). It indicated that the C–Cl bond in (CH<sub>3</sub>)<sub>2</sub>Si(CH<sub>2</sub>Cl)<sub>2</sub> was broken by active species ·MgCl or Cp<sub>2</sub>Zr(II), which was similar with the above discussion of Fig. S1c.†

Table 1 Element content of Cp<sub>2</sub>ZrCl<sub>2</sub> and Mg reaction product

Element	Content	Atomic ratio
Mg	6.83%	Zr : Mg = 1 : 1.56
Zr	16.43%	
Remark: 0.1% = 1000 mg kg <sup>-1</sup>		



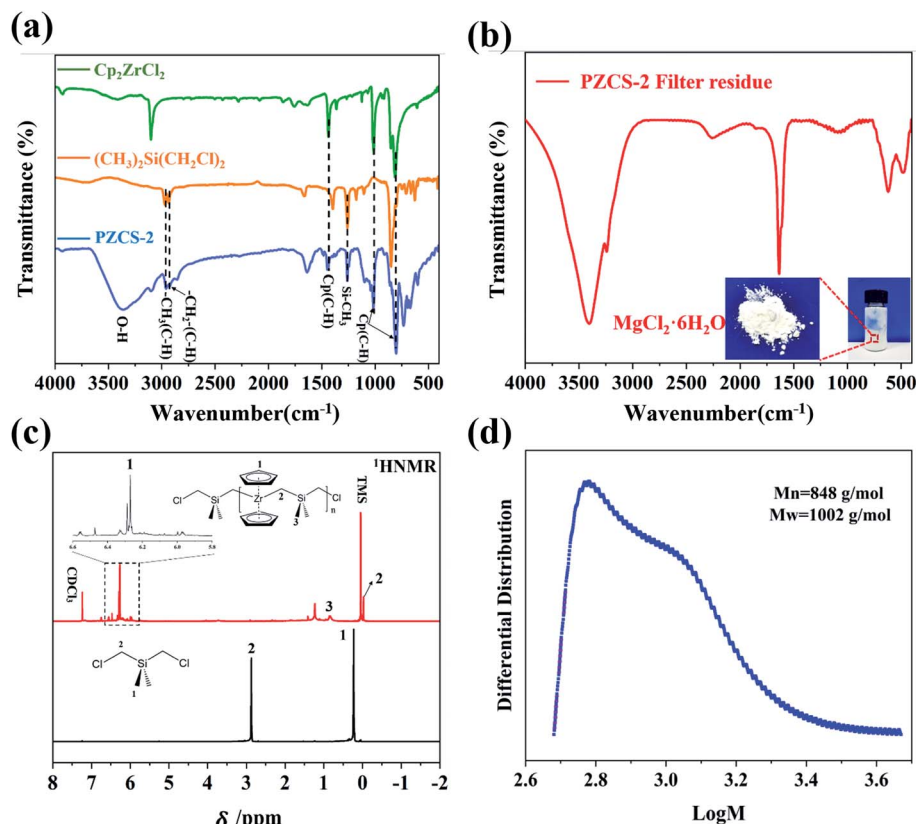


Fig. 2 (a) FTIR spectra of PZCS-2 precursor,  $\text{Cp}_2\text{ZrCl}_2$  and  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ ; (b) FTIR spectra of PZCS-2 filter residue; (c)  $^1\text{H-NMR}$  spectra of PZCS-2 and  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  and (d) GPC spectra of PZCS-2 precursor.

Moreover, there is no characteristic peak of  $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$  found in PZCS-2, indicating that the by-products have been cleanly removed.

The  $^1\text{H-NMR}$  spectra were carried out to further investigate the bonding structures of PZCS-2. Seen from the PZCS-2 spectra in Fig. 2c, the peaks in the range of 6.25–6.35 ppm were associated with the Cp group, and the peak located at  $-0.02 \text{ ppm}$  was ascribed to  $-\text{Si-CH}_2-$  fragment. Meanwhile, the formation of Zr-C bond in PZCS-2 was confirmed by the Zr3d XPS spectrum, which would be detailed analysed in Fig. 6e. In contrast with the spectra of  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ , the 2.88 ppm peak corresponding to the  $-\text{CH}_2\text{Cl}$  was not observed in PZCS-2, because the  $\text{Si-CH}_2\text{Cl}$  structure has reacted with  $\text{Cp}_2\text{Zr(II)}/\text{MgCl}$  to generate a main chain structure of  $-(\text{Zr-C-Si})_n-$  and a by-product of  $\text{MgCl}_2$ . In addition, Gel Permeation Chromatography (GPC) as a powerful method for measuring the molecular weight of organic matter was performed to characterize our prepared PZCS-2. The  $M_n$  and  $M_w$  of PZCS-2 were mainly measured to be 848 and  $1002 \text{ g mol}^{-1}$  respectively, through the GPC curve in Fig. 2d, indicating that the polymerization indeed occurred and the polymerization degree of  $-(\text{Zr-C-Si})_n-$  was about to be 2–3.

Through the above results and analysis, we have demonstrated the feasibility of using an active species  $\text{Cp}_2\text{Zr(II)}$  to synthesize polymer precursor with Zr-C-Si main chain structure. Priority was thus given to the polymerization mechanism, namely how the  $\text{Cp}_2\text{Zr(II)}$  was incorporated into the polymeric

main chain. From the main chain structure of  $-(\text{Zr-C-Si})_n-$ , it seemed pretty clear that the C-Cl bond in  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  monomer was broken by reacting with the active species of whether  $\cdot\text{MgCl}$  or  $\text{Cp}_2\text{Zr(II)}$ . This matter might offer a breakthrough to investigate the polymerization mechanism. On the one hand, the formation of by-product  $\text{MgCl}_2$  inspired the possibility of  $\cdot\text{MgCl}$  capturing Cl atom from R-Cl bond. On the other hand, since the outer electrons distribution state of Zr is  $[\text{Kr}]4d^25s^2$ , its stable valence state should be +4. Thus the transition from low oxidation state  $\text{Zr(II)}$  to high oxidation state  $\text{Zr(IV)}$  might provide the vacant site for Cl atom transfer. This process was very similar with the common atom transfer radical polymerization.<sup>28,29</sup> Based on the above description of Cl atoms transfer to whether  $\cdot\text{MgCl}$  or  $\text{Cp}_2\text{Zr(II)}$ , we proposed two possible reaction mechanisms (Fig. 3). The first pathway is that  $\text{MgCl}\cdot$  attracts Cl atoms in  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  to generate R· radicals and  $\text{MgCl}_2$ , and then R· radicals reacted with  $\text{Cp}_2\text{Zr(II)}$  to form Zr-C bond<sup>18</sup> (way 1 in Fig. 3). The second pathway mainly includes three steps of (i)  $\text{Cp}_2\text{Zr(II)}$  attracted Cl atoms and generated R· radicals; (ii) R· radical and  $\cdot\text{MgCl}$  reversibly generated Grignard reagent of  $\text{RMgCl}$  at high temperature,<sup>30,31</sup> and (iii) the Grignard reaction occurred between  $\text{RMgCl}$  and  $\text{Cp}_2\text{ZrCl}$ . Similar with way 2, an idealized reaction process was proposed and discussed in ESI-3.†

In order to further proved the proposed pathways, we performed DFT calculations of the two possible pathways, and the results were shown in Fig. 4. The first pathway was described as





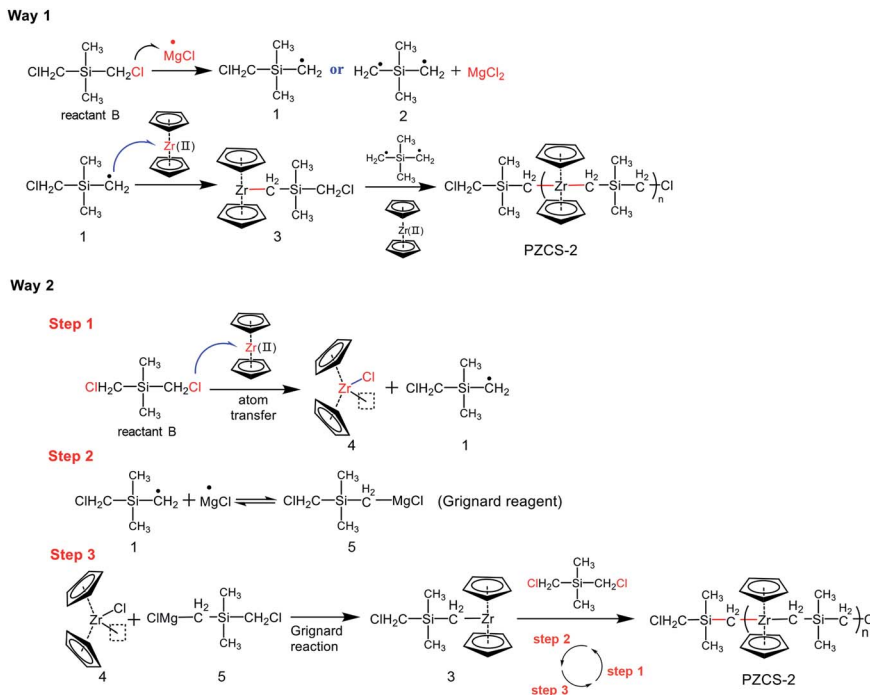


Fig. 3 Possible synthesis pathway of PZCS-2 polymer precursor.

$\cdot\text{MgCl}$  attracting Cl atoms from  $\text{R}-\text{Cl}_2$  to form the transition state TS1. Although the thermodynamic energy change ( $\Delta G$ ) of generating  $\text{R}\cdot$  was about  $-15.7 \text{ kcal mol}^{-1}$ , the form of transition state TS1 needs to overcome a kinetic energy barrier of  $14.8 \text{ kcal mol}^{-1}$ . While in the second pathway (black line in Fig. 4), the active species of  $\text{Cp}_2\text{Zr(II)}$  would grab Cl atoms from one of the C-Cl bonds in  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ . The energy change of the initial step was  $-34.2 \text{ kcal mol}^{-1}$ , indicating it could proceed spontaneously and there was no kinetic energy barrier limitation. Under the comprehensive considerations of thermodynamics and kinetics limitation, the reaction process was more likely to proceed through pathway 2 and went on through

Grignard reaction transition states of TS2, TS3 to generate  $\text{Cp}_2\text{Zr}-\text{RCl}$ . Thereafter, the kinetic energy barrier of  $\text{Cp}_2\text{Zr}-\text{RCl}$  reacting with  $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$  to form TS4 was  $-57.0 \text{ kcal mol}^{-1}$ , which was higher than the kinetic energy barrier for TS1 and  $\text{Cp}_2\text{Zr}-\text{RCl}$  (eqn (1)). Therefore, the possible reaction process was that  $\text{Cp}_2\text{Zr}-\text{RCl}$  was generated through pathway 2, while  $\text{Cp}_2\text{Zr}-\text{R}_2$  might be generated according to the pathway 1 as shown in Fig. 5. The main possible reaction processes as follows:

(1)  $\text{Cp}_2\text{Zr(II)}$  attracted Cl atoms of  $\text{Cl}-\text{R}-\text{Cl}$  ( $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ ) to generated  $\text{Cl}-\text{R}\cdot$  radicals and  $\text{Cp}_2\text{Zr}-\text{Cl}$ .

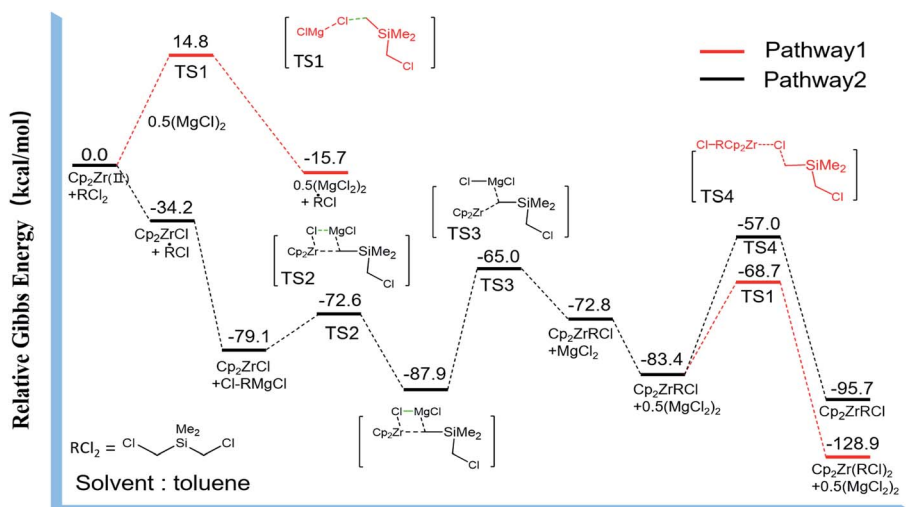


Fig. 4 The Gibbs free energy change of two pathways for PZCS-2 polymer precursor.

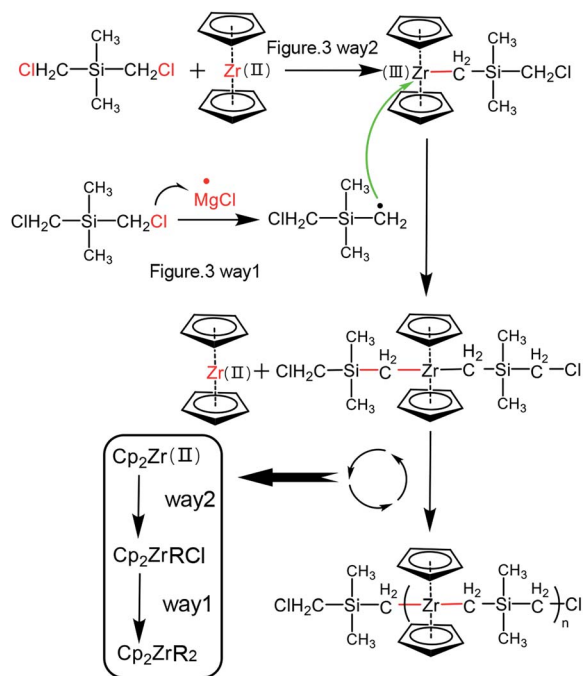


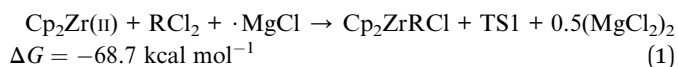
Fig. 5 The synthesis pathway of the PZCS-2 precursor.

(2) The Grignard reaction occurred between  $\text{Cl-R-MgCl}$  ( $\text{Cl-R}\cdot$  and  $\cdot\text{MgCl}$ ) and  $\text{Cp}_2\text{Zr-Cl}$  to generate  $\text{Cp}_2\text{Zr(III)-R-Cl}$ .

(3)  $\cdot\text{MgCl}$  attracted Cl atoms of  $\text{Cl-R-Cl}$  ( $(\text{CH}_3)_2\text{Si}(\text{CH}_2\text{Cl})_2$ ) to generate  $\text{Cl-R}\cdot$  radicals and  $\text{MgCl}_2$ .

(4) The product  $\text{Cl-R}\cdot$  of step (3) was reacted with  $\text{Cp}_2\text{Zr(III)-R-Cl}$  of step (2) to generate  $\text{Cp}_2\text{Zr(R-Cl)}_2$ .

Repeat the above steps, the polymer precursor with  $-(\text{Zr-C-Si})_n-$  was obtained.



We believe our findings here provide fresh insights into the polymerization mechanism of incorporating refractory metal elements into the main chain of  $\text{M-C-Si}$  molecular structure. This mechanism was based on the active species  $\text{Cp}_2\text{Zr(II)}$  radical polymerization combined with the auxiliary function of  $\cdot\text{MgCl}$ , quite different from the conventional understanding of using Grignard reaction mechanism ( $\text{R-MgX}$  directly react with  $\text{Cp}_2\text{MCl}_2$ ) to explain the synthesis of metal-containing polymer precursors.

According to the above analysis, the PZCS-2 precursor with  $-(\text{Zr-C-Si})_n-$  structure was obtained through a complicated pathway. Our work effectively explaining the formation of  $\text{Cp}_2\text{-Zr(II)}$  and  $\cdot\text{MgCl}$ , which promoted the transfer of Cl atoms in  $\text{R-Cl}$  to generate  $\text{R}\cdot$  radical. Because Mg reacts with  $\text{X-R-X}$  ( $\text{X} = \text{Cl}, \text{Br}$ ) and  $\text{Cp}_2\text{MCl}_2$  to form a complex Grignard reagent/radical system, we concluded that the Grignard reaction mechanism between  $\text{XMg-R-MgX}$  and  $\text{Cp}_2\text{MCl}_2$  did not well match the synthesis of UHTCs precursor through the one-pot method of Mg,  $\text{X-R-X}$  ( $\text{X} = \text{Cl}, \text{Br}$ ) and  $\text{Cp}_2\text{MCl}_2$  ( $\text{M} = \text{Hf}, \text{Zr}, \text{Ti}$ ). At the same time, the formation of  $\text{R}\cdot$  radical as well as the possible

reaction mechanism between free radicals and active species  $\text{Cp}_2\text{Zr(II)}$  was well explained in our work. Such a stepwise method of using refractory metal-containing active species to synthesize main-chain metallopolymers provides significant guidance for preparing UHTCs precursor with  $\text{M-C}$  main chain structure.

### 3.3 Characterization of PZCS-derived UHTCs

In order to investigate whether the synthesized PZCS-2 was suitable as a ceramic precursor, we further studied its ceramization features. Theoretically speaking, the Zr, Si, and C atom were well defined and covalently linked in the main chain structure of PZCS-2, thus would produce preceramic powder materials with highly homogenous distribution of elements and components. In addition, the PZCS-2 precursor was soluble in some solvents such as tetrahydrofuran, xylene and toluene, and meltable with a softening point of  $140\text{--}185\text{ }^\circ\text{C}$ . These features of PZCS-2 make it potential for the preparation of ceramic matrices or dense monoliths, thin films, and coatings, etc.

The pyrolysis of PZCS-2 precursor was performed at different temperature and the structure of products was firstly characterized using FTIR spectroscopy, as shown in Fig. 6a. After pyrolysis treatment at  $400\text{ }^\circ\text{C}$ , the C-H bending vibration peak of the Cp ring weakened, indicating that some of the Cp cracked and escaped. The peak positions of other groups did not change much as compared with the PZCS-2 precursor spectroscopy, indicating that the precursor was still in organic at this stage. When pyrolysis temperature raised to  $600\text{ }^\circ\text{C}$ , the Si-CH<sub>3</sub> group in PZCS-2 almost disappeared. Meanwhile, the weaker C-H vibration peak of Cp ring still existed at  $808\text{ cm}^{-1}$ , which would be cracked and rearranged at temperature higher than  $600\text{ }^\circ\text{C}$ . To generate small propyne molecules and free carbon. The organic components of PZCS-2 were completely cracked and transformed into inorganic components when pyrolyzed at  $1000\text{ }^\circ\text{C}$ .

According to the TG-FTIR-GC-MS results in Fig. 6b and ESI-4,<sup>†</sup> the PZCS-2 experienced three stages of structural evolution as follows. The weight loss below  $200\text{ }^\circ\text{C}$  was about 7.0 wt%, mainly due to the volatilization of solvent and a small amount of small methyl silane molecules. When the temperature was raised to  $200\text{--}500\text{ }^\circ\text{C}$ , the rearrangements of chemical bonds occurred, which released a large amount of small molecules such as methylsilane, Cp and methane, caused a main weight loss of about 40.4 wt%.<sup>7,16</sup> The completion of inorganization process occurred between  $500$  and  $900\text{ }^\circ\text{C}$  with a weight loss of 8.7 wt%. The ceramic yield of PZCS-2 was finally 43.9 wt% when heated to  $900\text{ }^\circ\text{C}$  in  $\text{N}_2$  atmosphere.

Whereas mainly amorphous components were obtained by pyrolyzing the PZCS precursor at  $1000\text{ }^\circ\text{C}$ , subsequent annealing at higher temperature would result in the crystallization of amorphous phase. According to the XRD results in Fig. 6c, a broad and weak diffraction peak of  $\text{ZrO}_2$  appeared after  $1000\text{ }^\circ\text{C}$  pyrolysis treatment, indicating that the precursor was oxidized during the preparation or transfer process, and gradually transformed into  $\text{ZrO}_2$  crystallites at  $1000\text{ }^\circ\text{C}$ . When annealed at  $1200\text{ }^\circ\text{C}$ , a faint diffraction peak of ZrC was



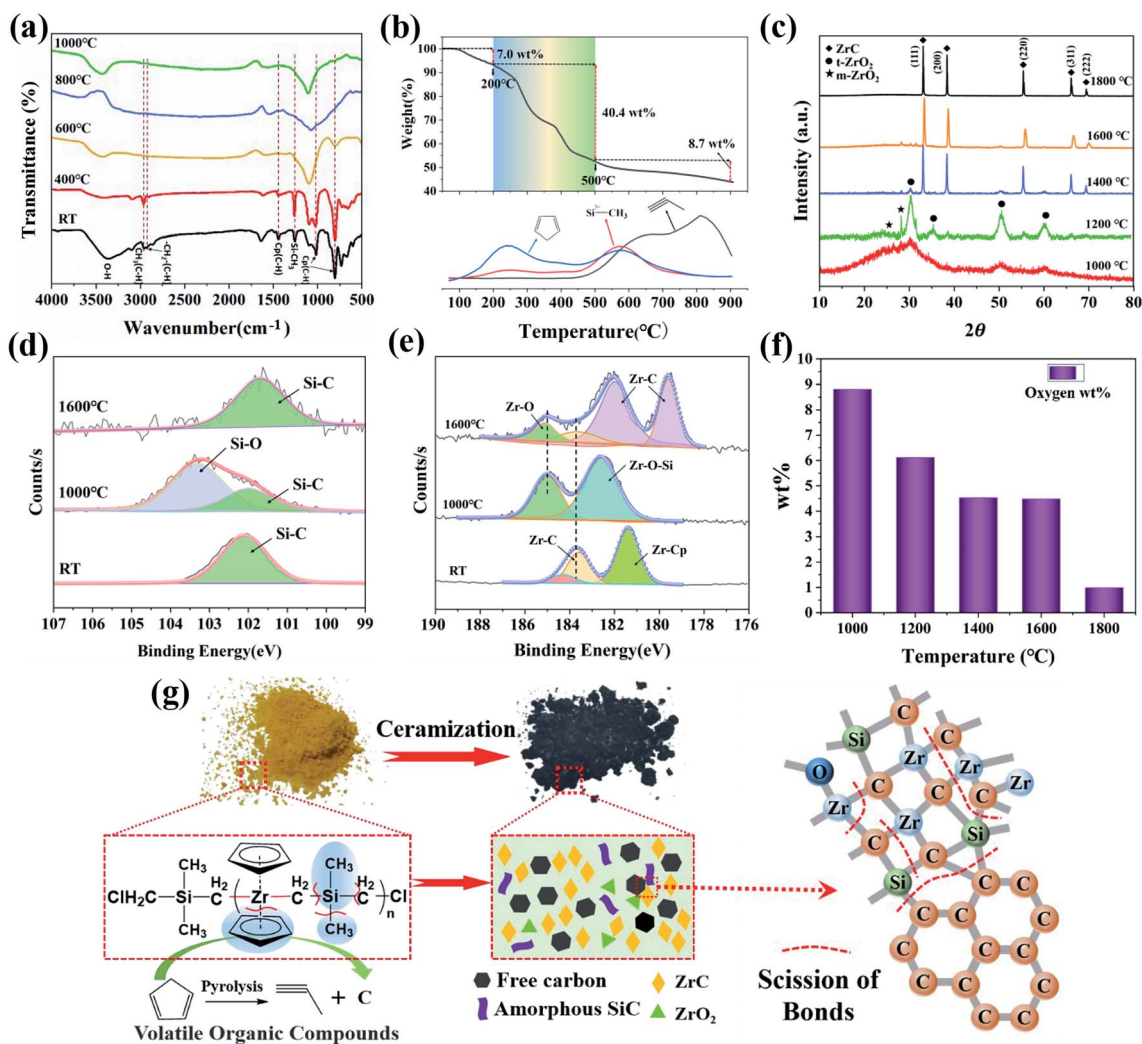


Fig. 6 FTIR spectra of PZCS-2 precursor pyrolyzed at 400, 600, 800 and 1000 °C; (b) TG spectra of PZCS-2 precursor; (c) XRD spectra of PZCS-2 treated at 1200, 1400, 1600 and 1800 °C; (d and e) Narrow XPS spectra of Si2p and Zr3d at different temperature; (f) oxygen content of preceramic powder treated at 1200, 1400, 1600 and 1800 °C; (g) inorganic organization and ceramization of PZCS-2 precursor.

observed, suggesting that the Zr-C bond structure in PZCS-2 began to crystallize. The diffraction peaks of ZrC (111) ( $2\theta = 33.0^\circ$ ), (200) ( $2\theta = 38.3^\circ$ ), (220) ( $2\theta = 55.3^\circ$ ), (311) ( $2\theta = 65.9^\circ$ ) and (222) ( $2\theta = 69.2^\circ$ ) crystal planes became remarkable and sharp in the 1400 °C-annealing sample. At 1800 °C, the diffraction peaks of ZrO<sub>2</sub> phase completely disappeared, mainly because CO gas was generated at temperature above 1600 °C,<sup>32,33</sup> the oxygen content decreased gradually with the increase of pyrolysis temperature, as shown in Fig. 6f. The process of inorganic organization and ceramization was shown in Fig. 6g.

In order to further analyse the change of element bonding state during the thermal treatment of precursor, the XPS characterization was carried out. The XPS survey spectra in Fig. S5† indicate the presence of Zr, C, Si and O elements. As presented in the Si2p spectra of Fig. 6d, the fitted peak at 102 eV was ascribed to the Si-C bond in the sample of 1000 °C treating, which was also observed in the spectrum of pristine PZCS-2. Another peak at 103.3 eV was observed and could be assigned to Si-O bonds.<sup>34,35</sup> Due to the carbothermal reduction reaction

of SiO<sub>2</sub>, the Si-C bond was generated with amorphous SiC phase, supported by the Si-C peak in the Si2p spectrum of sample treated at 1600 °C. As for the Zr3d spectra of precursor pyrolyzed at 1000 °C (Fig. 6e), the peaks of 185.1 and 182.6 eV are corresponded to Zr-O bonds of ZrO<sub>2</sub> phase and Zr-O-Si bonds of ZrSiO<sub>4</sub> phase,<sup>36</sup> respectively. As revealed by the above XRD results, the ZrC phase would not crystallize under 1000 °C annealing, and thus there was no ZrC peak observed in the spectrum. When the precursor was treated at 1600 °C, the main peaks of 182.0 eV, 183.6 eV and 179.6 eV are corresponded to Zr-C bonds.<sup>37–39</sup> At this temperature, ZrC began to crystallize and

Table 2 The elemental composition of the preceramic powder heat-treated at 1600 °C

Element	C	Zr	Si	O	Composition
wt%	44.34	51.38	2.65	4.48	Zr <sub>0.56</sub> C <sub>3.69</sub> Si <sub>0.10</sub> O <sub>0.28</sub>



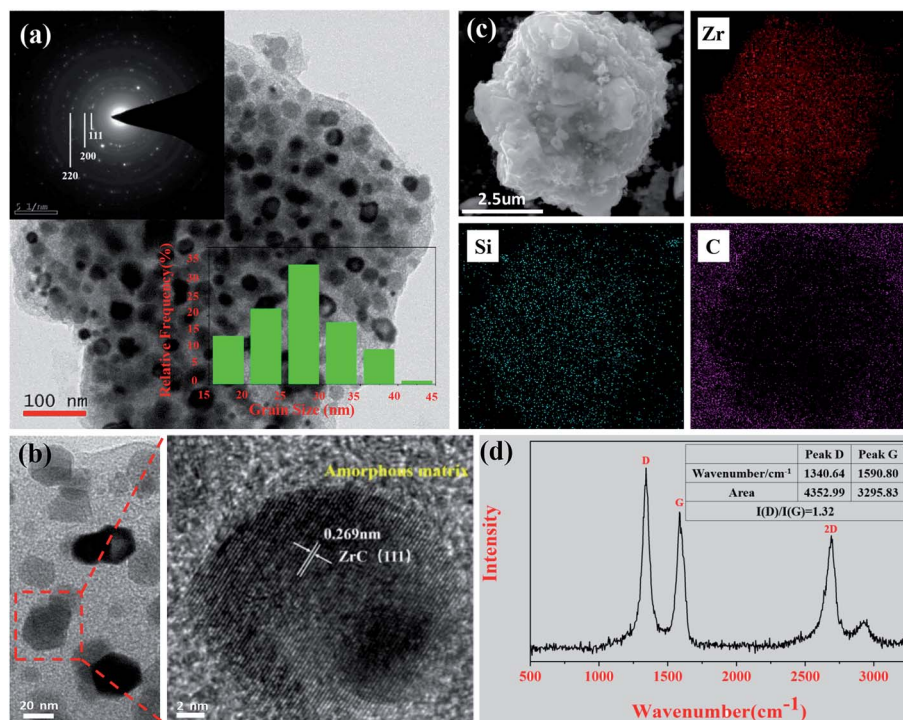


Fig. 7 Microstructure of ZrC/SiC/C preceramic powder; (a) TEM of PZCS-2 treated at 1600 °C; (b) HRTEM of PZCS-2 treated at 1600 °C; (c) SEM and EDS of PZCS-2 treated at 1800 °C; (d) Raman spectroscopy of PZCS-2 treated at 1600 °C.

grow up, and the ZrO<sub>2</sub> phase underwent carbothermal reduction to further generate ZrC grain, which was in good agreement with the XRD analysis.

The elemental composition of the obtained preceramic powder heat-treated at 1600 °C was shown in Table 2. The relative content of Si in the preceramic powder was lower than that in PZCS-2 precursor, which might be caused by the released small molecules of Si-CH<sub>3</sub> during the pyrolysis process. The microstructure of preceramic powder was studied by TEM and SEM subsequently. The selected area electron diffraction (SAED) pattern (inset in Fig. 7a) mainly corresponds to the (111), (200) and (220) crystal planes of the ZrC crystal, in accordance with the XRD results. From the statistical analysis of the TEM image, the average size of the ZrC grains is 28 nm, which is consistent with the grain size calculated by the Scherrer formula (32 nm). The HRTEM (Fig. 7b) illustrates that the crystal has an interplanar spacing of 0.269 nm, which belongs to the (111) crystal plane of the ZrC grain.<sup>40</sup> As shown in Fig. 7c, the elemental mapping results confirmed the uniform distribution of Zr, Si, and C elements in preceramic powder. Raman analysis was performed to analyse the elemental state of carbon atoms in the preceramic powder. Two strong peaks were detected at 1341.7 and 1596.7 cm<sup>-1</sup>, corresponding to the D peak of disordered graphite and the G peak of the graphite structure. In addition, the intensity ratio of D to G peak  $I(D)/I(G)$  is 1.32, showing that the preceramic powder mainly contains free carbon and some graphite structure.<sup>41</sup> Combined with the SEM (Fig. 7c), it is speculated that the ZrC grains and SiC are embedded in the free carbon to form a uniform “coagulation-framework structure”. Thus, a ZrC/SiC/C preceramic

powder was obtained by pyrolyzing of PZCS-2 precursor. When applied in high-temperature aerobic environment, the binary MC ceramics will be easily oxidized. Nevertheless, the addition of SiC to prepare MC/SiC composite ceramics can bring excellent oxidation resistance in a wide temperature range.<sup>42–44</sup> Therefore, we believe the prepared ZrC/SiC/C composite preceramic powder in this work have important application prospects in ultra-high sonic aircraft and aero rocket engines.

## 4 Conclusions

In summary, combining experimental results and theoretically calculations, we have demonstrated a novel synthesis method of preparing refractory metal containing polymers with metal in the main chain. The active species Cp<sub>2</sub>Zr(II) were formed by the reaction of Cp<sub>2</sub>ZrCl<sub>2</sub> and reducing metal Mg in THF. First, metallocene-catalysed olefin polymerization was used to synthesize Cp<sub>2</sub>Zr-R end-capped polymer, and its synthesis mechanism was analysed. Secondly, the reaction between Cp<sub>2</sub>Zr(II) and (CH<sub>3</sub>)<sub>2</sub>Si(CH<sub>2</sub>Cl)<sub>2</sub> generated a single-source polymer precursor containing main chain structure of -(Zr-C-Si)<sub>n</sub>-. Through DFT calculations, we found that the formation of Cp<sub>2</sub>ZrR<sub>2</sub> was based on the active species Cp<sub>2</sub>Zr(II) radical polymerization combined with the auxiliary function of ·MgCl. The pyrolysis process of PZCS precursor was studied. The ceramic yield of PZCS-2 precursor at 900 °C was 43.9 wt%. Meanwhile, the ZrO<sub>2</sub> crystals appeared after the PZCS-2 precursor was





treated at 1000 °C, indicating that the precursor was oxidized during the preparation or transfer process. With the temperature raised, ZrC crystal grains appeared and gradually grew up to be a diameter size of 28 nm. This study mainly proposes a new method to synthesize UHTCs precursor with M–C–Si backbone structure, which is an important supplementary for the synthesis of polymer precursors by Grignard reaction. More importantly, this method can be applied to the reaction of Cp<sub>2</sub>Zr(II) and other dihaloalkanes, which provides a new idea for the synthesis of precursors of single-source backbone structure.

## Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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