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Functional pH-responsive polymers containing dynamic enaminone linkages for the release of active organic amines†

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Dynamic covalent bonds have attracted considerable attention for the development of pH-responsive polymers, however, studies using acid-cleavable enaminone linkages as a means of controlled release are limited. Herein, we report pH-sensitive benzocaine-modified poly(ethylene glycol) monomethyl ether-*block*-poly[2-(acetoacetoxy)ethyl methacrylate] (mPEG_x-*b*-pAEMA_y)/BNZ nanoparticles (NPs) for the aqueous controlled release of benzocaine through enaminone bond cleavage. The system is based on the commercially available monomer 2-(acetoacetoxy)ethyl methacrylate (AEMA) which contains free pendant β -ketoester functionality. Well-defined poly[2-(acetoacetoxy)ethyl methacrylate] (pAEMA) homo-polymers and poly[(ethylene glycol) monomethyl ether]-*block*-poly[2-(acetoacetoxy)ethyl methacrylate] (mPEG_x-*b*-pAEMA_y) amphiphilic block copolymers were prepared by photoinduced Cu(II)-mediated RDRP to investigate their modification with propylamine (a model amine) and benzocaine (a primary amine containing API) through an enaminone bond. Block copolymers were prepared via two poly(ethylene glycol) monomethyl ether-2-bromo-2-phenylacetate (mPEG_x-BPA, $x = 43$ or 113) macroinitiators synthesised by esterification which acted as the hydrophilic coronas of the ensuing NPs. The self-assembly of both mPEG_x-*b*-pAEMA_y and (mPEG_x-*b*-pAEMA_y)/BNZ was assessed in water by the direct dilution approach forming spherical NPs as characterised by dynamic light scattering (DLS) and dry-state transmission electron microscopy (TEM). Finally, the *in vitro* controlled release of benzocaine from mPEG_x-*b*-pAEMA_y/BNZ NPs was examined at different pH environments demonstrating faster release kinetics at lower pH with potential utility in applications with relevant chemical environments.

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Introduction

Functional polymers are an important keystone of advanced technologies that continuously develop to meet the needs of today's society. At the same time, introducing new functionalities into polymers requires establishment of efficient functionalisation methodologies in order to access polymers with improved performance and properties.^{1,2} Of major importance are the means by which functional polymers are synthesised, with the current polymer-chemistry toolbox offering various controlled and "living" polymerisation methodologies for the synthesis of well-defined polymers and copolymers.³

Functionality can be introduced into polymers either by directly polymerising functional monomers or by post-functionalisation with both approaches being compelling depending on the availability of the starting materials and cost.⁴ Nevertheless, the occasionally long synthetic procedures related to the synthesis of functional monomers in conjunction with different incompatibility upon polymerisation can impart complexity.⁵ In that regard, post-polymerisation modification is an appealing approach, overcoming certain incompatibility issues while finding use in the functionalisation of block and random copolymers with applications in controlled stimuli-responsive materials and self-assembly.^{6,7}

Stimuli-responsive materials are functional polymers emerging for potential in a wide range of applications including controlled drug delivery,⁸ sensing,⁹ chemo-mechanical actuation,¹⁰ etc.^{11,12} This developing class of functional polymers are designed to respond to different stimuli (e.g. temperature,¹³ pH,^{14,15} and light¹⁶) manipulating their physical or chemical properties.¹⁷ Special focus has been given to pH-sensitive nanomaterials as controlled delivery systems targeting

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biological sites within the body, cells and tumours based on their local pH environment.¹⁸ Introducing dynamic acid-cleavable linkages is an excellent strategy to engineer pH-sensitive nanoparticles able to release their cargo under acidic conditions.¹⁹ These dynamic covalent bonds are reversible and easily formed from fast condensation reactions,²⁰ commonly used in the development of smart materials,²¹ dynamic networks,^{22,23} and prodrug formulations.²⁴ Dynamic bonds such as imines,²⁵ hydrazones,²⁶ oximes,²⁷ *etc.*, have found extensive use in pH-responsive drug delivery systems with specific focus on cancer therapy. However, several of these delivery systems suffer from instabilities under physiological conditions consequence of fast hydrolysis leading to deviations from optimum pH-sensitive carriers.²⁸

Amongst the various types of dynamic chemistries, enaminones (the β -dicarbonyl adducts of enamines) have enhanced stability with respect to bonds of the same family (*e.g.* imines and enamines) demonstrating in parallel a dynamic nature.²⁹ Studies investigating the hydrolysis profiles of enaminones have been previously carried out,^{30,31} showing a range of reaction rates that depend on the basicity of the bond and the chemical nature of the substituent groups, making enaminones promising chemical links for the development of pH-responsive nanocarriers, for example. To the best of our knowledge, the use of enaminones as acid-cleavable bonds in pH-responsive polymer nanoparticles with potential for cargo release capabilities is limited with Li *et al.*³² recently reporting an enaminone-based doxorubicin release copolymer system of poly(ethylene glycol) (PEG) and hexamethylenediamine (HDMA) showing potential as drug carrier for cancer therapy.

There is considerable interest in polymers bearing β -keto-ester side groups which are available for post-functionalisation strategies through dynamic enaminone linkages³³ and the formulation of performance materials such as vitrimers,³⁴ dental resins,³⁵ coatings,³⁶ and lithography.³⁷ A convenient way to surpass the laborious tasks of preparing monomers with reactive carbonyl moieties is the radical polymerisation of 2-(acetoacetoxy)ethyl methacrylate (AEMA); a commercially available, inexpensive, methacrylate that gives the poly[2-(acetoacetoxy)ethyl methacrylate] (pAEMA) polymer. Well-defined copolymers containing pAEMA blocks have found use as bidentate ligands for metal coordination chemistry,^{38,39} while the interaction of AEMA units with amines has been also proven fruitful for sensors and the development of enaminone-based dynamic organogels.^{40,41} Evidently, the free-radical copolymerisation of AEMA with poly(ethylene glycol) methacrylate (PEGMA) leads to biocompatible copolymers with potential applications in fluorescent bioimaging and novel polymer radioprotectors.^{42,43} To our knowledge there is only one reported example of the block copolymerisation of pAEMA with hydrophilic monomers with the thermally induced RAFT chain-extension of p(PEGMA) with AEMA towards polymerisation induced self-assembly (PISA) in ethanol as the solvent.⁴⁴ Nevertheless, despite the wide use of pAEMA in diverse applications, the incorporation of pAEMA for release studies in aqueous media is restricted by its hydrophobicity. One

example has been reported by Sanchez-Sanchez *et al.*⁴⁵ who developed hydrophobic pAEMA containing pH-responsive single-chain nanoparticles (SCNPs) which were able to release diamines in organic media suggesting the way towards enaminones bond cleavage for controlled release applications.

Reversible-deactivation radical polymerisation techniques (RDRP) have provided access to well-defined polymers with controlled molecular weights (MWs), narrow molecular weight distributions (MWDs) and sophisticated architectures for the synthesis of macromolecules that have led to innovative materials with diverse functionalities.^{46–49} Among the various polymerisation types, photoinduced copper-mediated reversible-deactivation radical polymerisation (photoinduced Cu(II)-RDRP) has been established as a powerful photochemical tool for the synthesis of well-defined homopolymers, copolymers, and sequence-controlled multiblock copolymers,^{50–53} demonstrating fast polymerisation rates, with environmentally friendly features,⁵⁴ and spatiotemporal control upon demand.

The objective of this current work was to develop pH-sensitive polymer NPs using the combination of AEMA (a β -keto-ester containing monomer), and PEG to investigate the dynamic nature of enaminone bonds to allow for the aqueous acid-triggered release of primary amines. As an example, of an active pharmaceutical ingredient (API), we chose to use benzocaine, an aromatic primary amine which exhibits a topical anaesthetic effect with high permeability and low water solubility.⁵⁵ Although benzocaine can be considered as a relatively safe drug that rarely causes side reactions such as hypersensitivity, it has been reported that a controlled anaesthetic effect would be beneficial, offering more lasting relief to the area in pain.⁵⁶ Initially, photoinduced Cu(II)-mediated RDRP was employed to synthesize narrow disperse pAEMA homopolymers which were further post-modified with propylamine as a model amine and benzocaine as the amine of interest through enaminone linkages. Subsequently, the synthesis of well-defined amphiphilic block copolymers of poly[(ethylene glycol) monomethyl ether]-*block*-poly[2-(acetoacetoxy)ethyl methacrylate] (mPEG_x-*b*-pAEMA_y) is reported deriving by the chain-extension of linear in-house synthesised poly[(ethylene glycol) monomethyl ether-2-bromo-2-phenylacetate] (mPEG_x-BPA, $x = 43$ or 113) macroinitiators with AEMA. Finally, the aqueous self-assembly of both unmodified and benzocaine-modified copolymers into spherical NPs was assessed alongside with the *in vitro* pH-triggered controlled release of benzocaine from drug-loaded NPs.

Results and discussion

Synthesis and characterisation of pAEMA homopolymers *via* photoinduced Cu(II)-RDRP

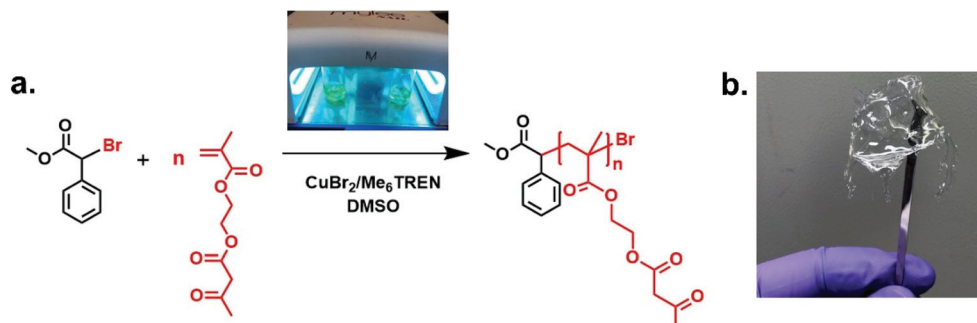
Controlled radical homo- and copolymerisations of AEMA have been previously reported using RAFT. Initial RAFT polymerisation conditions resulted in polymers with high dispersity, but optimisations of the choice of the chain transfer agent (CTA) and polymerisation temperature led to an improved control



over molecular weight.^{33,57,58} Thermally-induced ATRP has also been previously utilised to polymerise AEMA, reaching high conversions in 40 min with a keto percent of 87% against the enol form.⁵⁹ To the best of our knowledge, there is no previous report on the polymerisation of AEMA using photo-induced Cu(II)-mediated RDRP.

Well-defined polymers bearing pendent β -ketoester moieties with different degrees of polymerisation (DP_n) (targeting $DP_{n, target} = 20, 40, 60$, and 80) were synthesised, using photo-induced Cu(II)-RDRP, taking advantage of the milder polymerisation conditions in contrast to the use of elevated temperature and the availability of spatiotemporal control.^{60,61} All polymerisation reactions were exposed to UV light (under a UV nail lamp or a custom-made UV box for bigger scale reactions (Fig. S1 and S2, see ESI†) using AEMA as monomer, DMSO (50 vol% to monomer) as solvent, α -methyl bromophenyl acetate (MBPA) as initiator, tris(2-(dimethylamino)ethyl)-amine (Me_6TREN) as the ligand with $Cu(II)Br_2$ as the source of copper (Scheme 1a). The photoinduced Cu-mediated polymerisations of methacrylates are more challenging than acrylates.⁶¹ Therefore, the choice of appropriate initiator and Cu-ligand complex type and molar ratio was important in order to regu-

late the concentration of deactivating species during polymerisation to achieve the required well-defined polymers. The MBPA initiator has been previously found effective for the polymerisation of methacrylates due to its reduced rate of initiation (k_i) arising from the stabilisation of the derived radical by the aromatic ring and the relatively slow propagation rate constant of methacrylates (k_p).^{62–64} On polymerisation, the 1H -NMR signals corresponding to the aromatic protons of MBPA initiator can be distinguished as they are well resolved, providing a method for the determination of the number average molecular weight. A reagent ratio of $[Cu(II)Br_2]:[Me_6TREN] = [0.05]:[0.36]$ was found to be optimal leading to very high monomer conversions (>99% as determined by 1H -NMR after 12 h) with relatively narrow dispersities achieved ($D < 1.4$) and quite symmetrical size exclusion chromatography (SEC) chromatograms showing clear shifts to higher molecular weights with an increase in the targeted degree of polymerisation ($DP_{n, target}$) (Fig. 1a). Attempts to further optimise the polymerisation system were conducted by altering the $Cu(II)Br_2$ and Me_6TREN ratios as shown in Table S1.† Polymerisations led to low monomer conversions within the first 12 h, with the lowest examined ratio,



Scheme 1 Synthetic procedure for the polymerisation of AEMA via photoinduced Cu(II)-RDRP

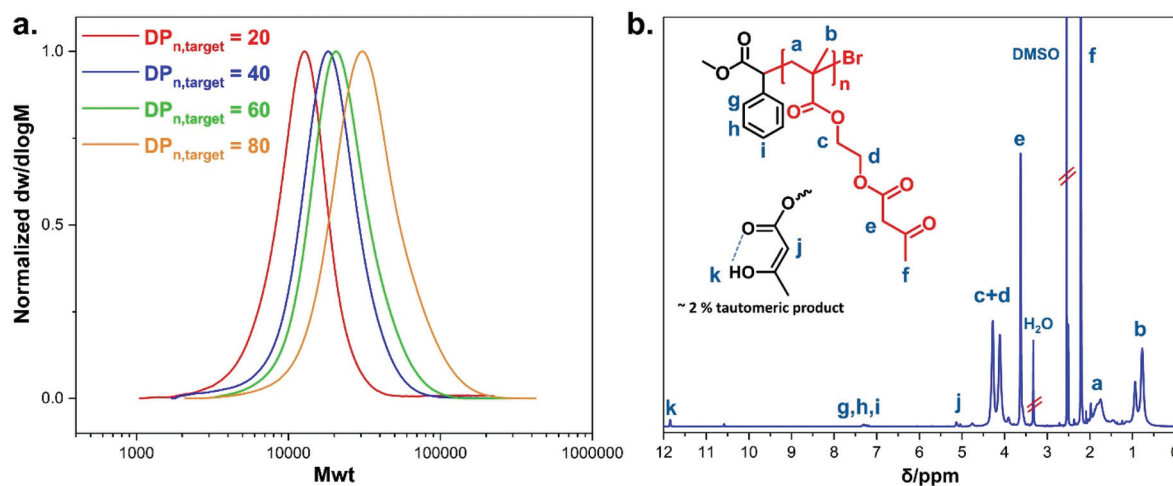
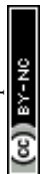


Fig. 1 (a) Molecular weight distribution traces of pAEMA homopolymers as measured by SEC in DMF as eluent. (b) 1H -NMR (400 MHz, $DMSO-d_6$) spectrum of pAEMA homopolymer.



[0.03]:[0.21], leading to a conversion of 1%. This was ascribed to a possible interaction between the Cu(II) and the ketoester resulting in a rate of polymerisation reduction.^{65,66} The ¹H-NMR and SEC traces of the crude optimisation reactions (Fig. S11–S14, see ESI†) proved the existence of high monomer concentration after 12 h of polymerisation. The data from the polymerisations using the optimal reagent ratio are summarised in Table 1, where a discrepancy between the values of $M_{n, th}$ and $M_{n, SEC}$ was noticed due to comparison of the synthesised polymers with commercial poly(methyl methacrylate) standards. The $M_{n, NMR}$ values were determined using ¹H-NMR spectroscopy (Fig. S18, see ESI†) by comparing the proton integrals of the initiator $-C_6H_5$ peaks ($\delta = 7.24$ ppm) ($g + h + i$, Fig. S11†) relative to the methacrylate $-CH_3$ protons from the polymer backbone ($\delta = 0.43$ – 1.10 ppm) (b, Fig. S1b†). The $M_{n, NMR}$ were close to the theoretical values and the assignment of peaks in both ¹H-NMR and ¹³C-NMR spectra confirmed the successful synthesis of pAEMA (Fig. 1b and S17†). Protons corresponding to the enol form of the β -ketoester were seen at $\delta = 5.02$ and 11.85 ppm in the ¹H-NMR spectra (Fig. S19, ESI†), showing the occurrence of keto–enol tautomerism in solution. Comparison of the peak integrals of $-OCCH_2CO-$ ($\delta = 3.50$ ppm) and $=CHCO-$ ($\delta = 5.02$ ppm) indicated that $\sim 92\%$ existed in the keto form (which is the desired functionality for post-modification) rather than the enol form. Minor peaks at $\delta = 3.91$ and 4.76 ppm were a good indication of copolymerised 2-(hydroxy)ethyl methacrylate (HEMA) (Fig. S15, see ESI†). As previously reported,⁶⁷ acetoacetate groups are susceptible to hydrolysis leading in our case to the formation of HEMA units. This was also supported by a GC-FID analysis of the AEMA monomer proving the presence of a small amount ($\sim 7\%$) of HEMA available for copolymerisation (Fig. S16, see ESI†). Interestingly, the macroscopic properties of the synthesised pAEMA homopolymers could be compared to a viscoelastic material (Scheme 1b) exhibiting elasticity under an applied force.

To gain a better insight into the photoinduced Cu(II)-mediated RDRP of AEMA in DMSO, a kinetic investigation was conducted targeting a $DP_{n, target} = 40$ over 11.5 h (Fig. S22, see ESI†). Good linear first-order behaviour was noticed with an observed rate of propagation $k_p^{app} = 0.43$ h^{−1}. A linear dependence between the experimental molecular weight ($M_{n, SEC}$) and % monomer conversion with relatively low dispersity (D) were observed throughout the reaction. Polymerisation

reached 99% monomer conversion over 11.5 h with $D = 1.33$ and $M_{n, NMR} = 9200$ g mol^{−1} being close to the theoretical value $M_{n, th} = 8800$ g mol^{−1}.

Post-polymerisation amine modification of pAEMA

Following the successful synthesis of well-defined pAEMA using photoinduced Cu(II)-mediated RDRP, the next objective was to explore the post-polymerisation modification of pAEMA homopolymers with amines *via* a condensation reaction to the formation of dynamic enaminone bonds. Initially, we chose propylamine as a small primary amine model compound (with $pK_a = 10.58$).⁶⁸ Although acid catalysis is often required to achieve full conversion of the β -ketoester to enaminone within 6 h,³³ we preferred catalyst-free conditions. Addition of propylamine was conducted at room temperature (25 °C) over 24 h by mixing pAEMA₄₂ homopolymer with a 2-fold excess of propylamine relative to the β -ketoester, yielding propylamine modified (pAEMA₄₂/PrA) polymers. Different reaction times were also investigated with 6 h leading to low modification yields due to absence of catalyst while 72 h not offering any improvements. Thus, 24 h were found appropriate to ensure maximum modification efficiency. A colour change from transparent to amber was observed, indicating the successful formation of the enaminone as verified by FT-IR and NMR. The FT-IR of the modified polymers showed the appearance of two new strong characteristic peaks at 1600 and 1650 cm^{−1}, corresponding to the C=C stretching and N–H bending respectively (Fig. 2c). This was accompanied by a simultaneous reduction in the intensity of the C=O shoulder peak at 1750 cm^{−1}. NMR analysis revealed appearance of new peaks at $\delta = 8.51$, 4.38 and 1.91 ppm attributed to the formation of enaminone (Fig. S25†), and complete disappearance of the carbon signals at $\delta = 30.4$ ($-CH_3$) and 201.5 (C=O) ppm in the ¹³C-NMR (Fig. S26†), indicating successful reaction between propylamine and pAEMA. 2D-heteronuclear single quantum coherence (HSQC) NMR of pAEMA₄₂/PrA, (Fig. S27†), showed appearance of two peaks at $\delta = 6.66$ ppm and $\delta = 8.51$ ppm which were assigned for the $-NH$ protons of the *E*-isomer and the *Z*-isomer at a ratio of $[E]:[Z]$ -isomer = $38:62$. This ratio of isomers was in agreement with a previous report,⁶⁷ where the higher percentage of *Z*-isomer was attributed to the formation of a stable six-membered ring as a result of hydrogen bonding interactions between the $-NH$ and the C=O groups of the enaminone. The extent of modification was determined using

Table 1 Polymerisation data for the photoinduced Cu(II)-mediated RDRP of AEMA in DMSO using as I: MBPA and as L: Me₆TREN. Polymerisation time for all reactions was 12 h

Entry	[AEMA]:[I]:[Cu ^{II}]:[L]	$M_{n, th}$ (g mol ^{−1})	$M_{n, SEC}$ (g mol ^{−1})	$M_{n, NMR}$ (g mol ^{−1})	Conv. (%)	D	$DP_{n, NMR}$
1	20 : 1 : 0.05 : 0.36	4000	10 300	4900	>99	1.25	~22
2	40 : 1 : 0.05 : 0.36	8800	15 500	8400	>99	1.31	~38
3	40 : 1 : 0.05 : 0.36 ^a	8800	18 600	9200	99	1.33	~42
4	60 : 1 : 0.05 : 0.36	13 000	19 200	13 300	>99	1.27	~61
5	80 : 1 : 0.05 : 0.36	17 200	27 700	18 000	>99	1.38	~83

^a Performed on a larger scale (20 g) using an in-house UV box.



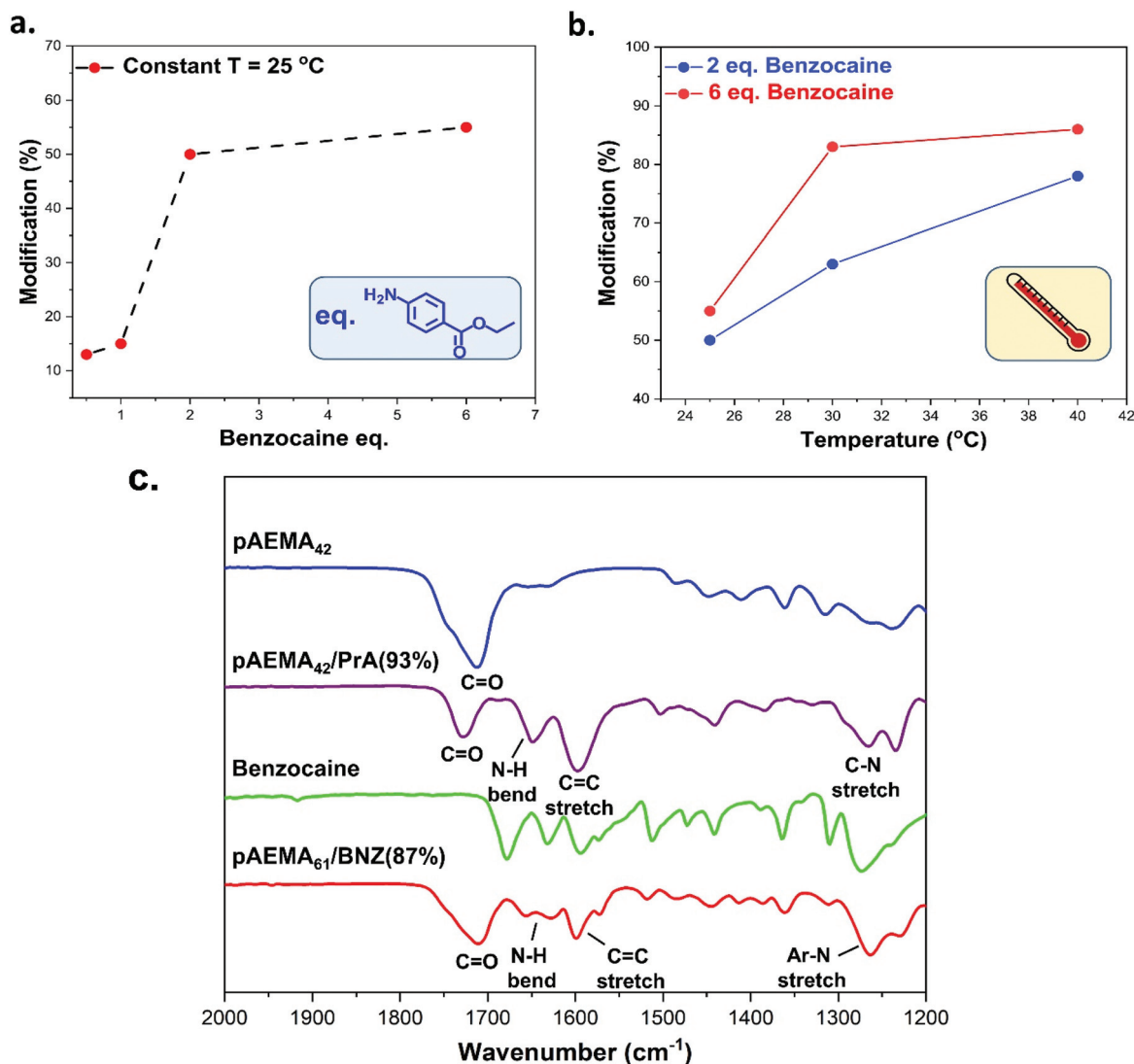


Fig. 2 Effect of (a) molar equivalents and (b) temperature during the post-functionalisation of pAEMA₄₂ homopolymers with benzocaine. (c) FT-IR spectra prior and after modification of pAEMA₄₂ with propylamine (pAEMA₄₂/PrA(93%)) and benzocaine (pAEMA₆₁/BNZ(87%)).

¹H-NMR by a comparison of integrations of the two α -protons at $\delta = 3.6$ ppm (e, Fig. S25, see ESI[†]) of the β -ketoester groups before and after modification against a reference peak, in this case, $\delta = 2.2$ ppm (f, Fig. S25, ESI[†]) which corresponds to the $-\text{CH}_3$ of the ketoester group with the modification of pAEMA₄₂/PrA being (~93%).

The focus of this work was to synthesise pH-responsive polymeric assemblies that can be used for delivery applications, thus examining the acid-triggered response of the modified polymers was important. For that purpose, modified pAEMA₄₂/PrA(93%) homopolymers were mixed with an excess of concentrated phosphoric acid (2 eq. H₃PO₄, 85 wt%) to trigger hydrolysis of the enaminone and the release of the free amine. After 24 h incubation at room temperature, the solution returned to its original transparent colour. ¹H-NMR spectroscopy confirmed enaminone cleavage giving back the

unmodified polymer chains (Fig. S28[†]). The proposed mechanism for enaminone's acid triggered hydrolysis is outlined in Fig. S29.[†]

Following the reversible release of propylamine, benzocaine was chosen as a readily available API.⁶⁹ Benzocaine has a less nucleophilic primary amine than propylamine with $\text{pK}_a = 2.8$.⁷⁰ Functionalisation of pAEMA₄₂ with the optimised conditions for propylamine (2 fold excess of benzocaine, r.t. for 24 h) resulted in lower efficiency (50%) from ¹H-NMR. Estimations of the percent of modification occurred by comparing the methacrylate $-\text{CH}_3$ protons ($\delta = 0.4$ –1.1 ppm) against two aromatic protons of benzocaine ($\delta = 7.81$ ppm) (c, Fig. S30[†]).

To further understand this system, optimisations were carried out by altering both the molar equivalents of reagents and reaction temperature. The modification of polymers con-



taining AEMA with 4-methoxyaniline (similar reactivity to benzocaine) was achieved reaching >95% conversion with *p*-TsOH as catalyst and 5 eq. excess of amine.³³ The initial objective was to understand how benzocaine molar equivalents affected modification efficiencies in the absence of catalyst to benzocaine modified polymers, **paEMA_x/BNZ**. Reactions with **paEMA₄₂** were carried out at 25 °C at four different concentrations of benzocaine (0.5, 1, 2, and 6 eq. to the moles of acetoacetate groups), Table S1.† The recovered **paEMA₄₂/BNZ** products demonstrated an increase in the level of modification by increasing equivalents of amine, from 13% at 0.5 eq. to 55% at 6 eq. of benzocaine (Fig. 2a). In a second series of experiments, the effect of temperature was explored (Fig. 2b). Reactions at 30 °C, led to higher levels of modification efficiency with 63% and 83% conversion at 2 eq. and 6 eq. of benzocaine respectively while reaction at 40 °C caused additional improvements reaching 85% conversion with 6 eq. of benzocaine.

Structural confirmation of the **paEMA_x/BNZ** polymers was confirmed by FT-IR (Fig. 2c) and NMR spectroscopy (Fig. S30–S32s, see ESI†) showing formation of the enaminone. The FT-IR spectrum of **paEMA₆₁/BNZ(87%)** showed the appearance of new peaks at 1656 cm^{−1} corresponding to the N–H bend, 1580–1617 cm^{−1} corresponding to the C=C stretching, and 1262 cm^{−1} attributed to the Ar–N bond (Fig. 2c). ¹H-NMR demonstrated the successful incorporation of benzocaine as evidenced by the strong –NH signal at δ = 10.59 ppm and the aromatic signals of benzocaine at δ = 7.13 and 7.81 ppm. Interestingly, in this case, HSQC (Fig. S26†) and proton integrations showed the prevalence of the *Z*-isomer (94%) relative to the *E*-isomer which gave a weak signal peak at δ = 8.81 ppm (6%) due to possible steric hindrance effects from the aromatic group. The **paEMA₆₁/BNZ(87%)** was analysed by SEC in comparison with the unmodified **paEMA₆₁** precursor, Fig. S33,† with a clear shift to higher molecular weight after modification, $M_{n, SEC}$ = 25 500 g mol^{−1}, and little affecting on the dispersity (D = 1.32).

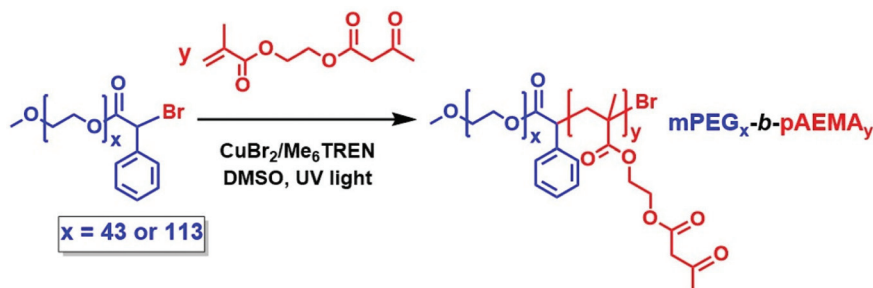
Synthesis of block copolymer *via* photoinduced Cu(II)-RDRP

Amphiphilic block copolymers were synthesised *via* photoinduced Cu(II)-RDRP using MBPA esterified PEG macroinitiators (mPEG_x-BPA) as the stabilising hydrophilic blocks and paEMA as the hydrophobic core-forming blocks, (Scheme 2).

PEG was chosen due to its enhanced water solubility, stealth behaviour, antifouling properties,⁷¹ and the stability offered in liposomal and micellar formulations for drug-delivery applications.^{72–74} Statistical copolymers of poly(ethylene glycol methyl ether) (PEGMA) with AEMA have previously been demonstrated to have excellent biocompatibility with ~100% viability against cells.⁴³

Two mPEG_x-BPA macroinitiators were synthesised having different molecular weights, **mPEG₄₃-BPA** and **mPEG₁₁₃-BPA**. The water-soluble macroinitiators were synthesised from mPEG_x-OH (x = 43 or 113) precursors by esterification of the hydroxyl groups with α -bromophenyl acetic acid through *N,N'*-dicyclohexylcarbodiimide (DCC) chemistry. ¹H-NMR confirmed the structure with phenyl signals (–C₆H₅) at δ = 7.35–7.68 ppm, the methine proton connected to the bromide and phenyl group at δ = 5.95 ppm (–C₆H₅CHBr) and the two protons close to the ester group at δ = 4.26 ppm alongside with additional confirmation by ¹³C-NMR, FT-IR, and MALDI-ToF MS (Fig. S4–S9†). The hydroxyl-terminated (–OH) macromolecular analogues were identified in the MALDI-ToF MS after the loss of the halogen atom from the terminal end group of the initiator due to potential hydrolysis during sample preparation.^{75,76} Esterification efficiencies were >99% after comparing the integrals of the methoxy protons of mPEG at δ = 3.25 ppm (CH₃O–) with the α -bromophenyl ring protons at δ = 7.35–7.68 ppm.

The macroinitiators were chain-extended with AEMA by photoinduced Cu(II)-RDRP in DMSO using Me₆TREN as ligand and Cu(II)Br₂ to form the amphiphilic block copolymers mPEG_x-*b*-paEMA_y (x = 43 or 113 and y = DP_n of the block as determined by ¹H-NMR spectroscopy, Fig. S20 and S21, see ESI†). MW determination by ¹H-NMR occurred by comparison of the macroinitiator phenyl signals at δ = 7.10–7.35 ppm against the methyl protons at δ = 0.37–1.09 ppm. Any remaining unreacted macroinitiator was removed during precipitation. In the case of **mPEG₄₃-BPA**, block copolymers of different targeted lengths were synthesised, **mPEG₄₃-b-paEMA_y**, using a ratio of [Cu(II)Br₂]:[Me₆TREN] = [0.05]:[0.36], Table 2. Reactions reached >99% monomer conversions within 8 h forming relatively narrow dispersity block copolymers (usually D < 1.4) with symmetrical SEC traces shifted to higher molecular weights compared to mPEG₄₃-BPA ($M_{n, SEC}$ = 4400 g.mol^{−1}, D = 1.06), Fig. 3a.



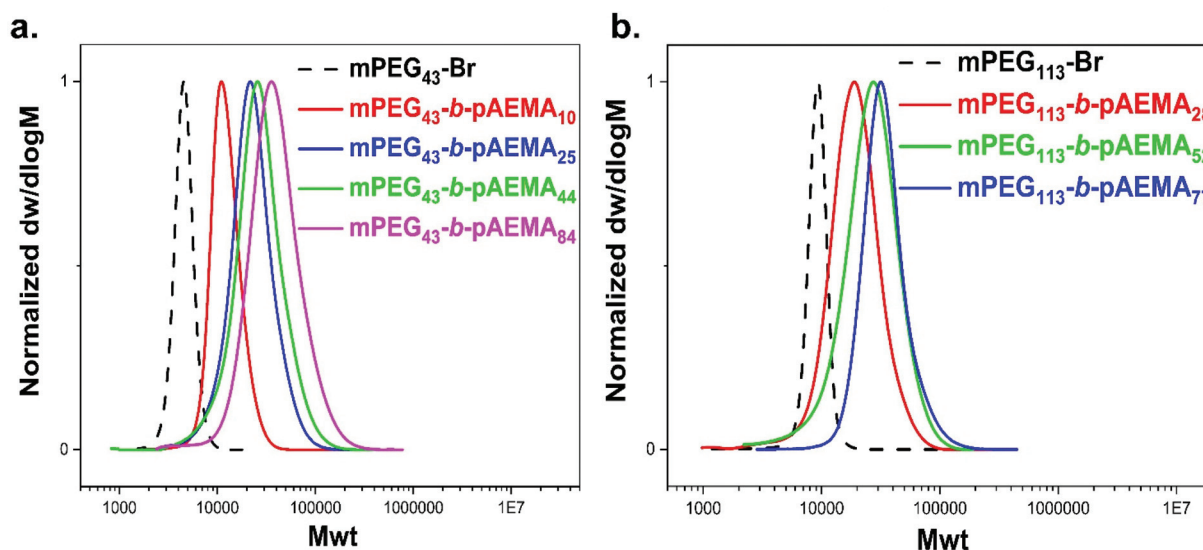
Scheme 2 Synthetic procedure for the diblock copolymers mPEG_x-*b*-paEMA_y



Table 2 Polymerisation data from the chain-extension of mPEG_x-BPA (*x* = 43 or 113) macroinitiators with AEMA via photoinduced Cu(II)-RDRP in DMSO

Blocks	[AEMA]:[I]:[Cu ^{II}]:[L]	Time (h)	Conv. (%)	<i>M</i> _{n, theor} ^b (g mol ⁻¹)	<i>M</i> _{n, SEC} ^a (g mol ⁻¹)	<i>M</i> _{n, NMR} (g mol ⁻¹)	<i>D</i>
mPEG ₄₃ - <i>b</i> -pAEMA ₁₀	10:1:0.05:0.36	8	>99	4240	11 600	4240	1.12
mPEG ₄₃ - <i>b</i> -pAEMA ₂₅	20:1:0.05:0.36	8	>99	6380	19 000	7450	1.35
mPEG ₄₃ - <i>b</i> -pAEMA ₄₄	40:1:0.05:0.36	8	>99	10 660	22 900	11 500	1.24
mPEG ₄₃ - <i>b</i> -pAEMA ₈₄	80:1:0.05:0.36	8	>99	19 200	32 800	20 000	1.41
mPEG ₁₁₃ - <i>b</i> -pAEMA ₂₈	20:1:0.1:0.72	5	96	9300	16 400	11 200	1.28
mPEG ₁₁₃ - <i>b</i> -pAEMA ₅₂	60:1:0.1:0.72	2	73	14 600	27 700	16 340	1.23
mPEG ₁₁₃ - <i>b</i> -pAEMA ₇₇	80:1:0.1:0.72	2.3	78	22 300	30 900	21 690	1.21

^a Determined by SEC analysis in DMF eluent and compared against PMMA narrow calibration standards. ^b Calculated based on the equation: $M_{n, theor} = M_{w, mPEG-BPA} + (M_{w, AEMA} \times DP_{n, targ} \times Conv.)$

**Fig. 3** SEC traces of diblock copolymers obtained by photo Cu(II)-RDRP in DMSO with different targeted DP_n of AEMA (a) mPEG₄₃-*b*-pAEMA_y and (b) mPEG₁₁₃-*b*-pAEMA_y.

A loss of control at high monomer conversions was noticed by chain-extending with mPEG₁₁₃-BPA leading to an undesirable high molecular weight shoulder in the mass distribution. This loss was attributed to the increased viscosity of the medium causing diffusion limitations.^{77,78} Whereas, at high monomer conversions, the increased viscosity from the formation of long polymer chains can make the monomers and the catalytic activator and deactivator species experience diffusion limitations leading to decreased deactivation rates and uncontrolled terminations. Molecular weight shouldering was even more pronounced when targeting lower DP_n blocks as the high radical concentration (higher macroinitiator concentration) increased the termination rate (*k*_t) being second-order to the radical concentration.⁷⁹ In order to circumvent this issue, chain extensions were conducted at higher ratios of [Cu(II)Br₂]:[Me₆TREN] = [0.1]:[0.72] which suppress termination through deactivation by Cu(II).⁸⁰ Consequently, mPEG₁₁₃-*b*-pAEMA_y block copolymers were synthesised at different lengths, Table 2, with narrow and symmetrical MWDs (typi-

cally *D* < 1.3) and increased MWs compared to mPEG₁₁₃-BPA (*M*_{n, SEC} = 8800 g mol⁻¹, *D* = 1.05) based on SEC analysis (Fig. 3b).

Further kinetic studies for each copolymerisation were conducted with a first order kinetic plot observed for the chain extension of AEMA with mPEG₄₃-BPA, (Fig. S23†). The molecular weight evolution (*M*_{n, SEC} and *M*_{n, NMR}) increased linearly with monomer conversion with decreasing dispersities reaching *D* = 1.31 at 97% conversion after 6 h with an initiator's efficiency close to 100% (as calculated from the ratio of (*M*_{n, theor}/*M*_{n, NMR}) × 100%). In the case of mPEG₁₁₃-BPA (Fig. S24†), there was a linear increase in molecular weight evolution (*M*_{n, SEC} and *M*_{n, NMR}) with monomer conversion although dispersity increased at high conversions due to a high MW shoulder peak reaching a value of *D* = 1.29 at 94% conversion after 5 h with a calculated macroinitiator efficiency of 97%.⁸¹ The propagation rate values determined were similar *k*_p^{app} = 0.62 and 0.58 h⁻¹ for mPEG₄₃-BPA and mPEG₁₁₃-BPA respectively and higher compared to MBPA (*k*_p^{app} = 0.43 h⁻¹).



Modification of mPEG_x-*b*-pAEMA_y block copolymers with benzocaine

The modification of mPEG_x-*b*-pAEMA_y blocks with benzocaine was investigated to mPEG_x-*b*-pAEMA_y/BNZ(M%) (M%: modification percent). Attempts to react mPEG₄₃-*b*-pAEMA₈₄ with 1 eq. and 2 eq. of benzocaine at 25 °C gave lower modification efficiencies, Table S2,† with conversions of 8% and 15% respectively in contrast to 15% and 50% for pAEMA₄₂ at the respective equivalents of benzocaine (Table S1†). Functionalisation of the mPEG₄₃-*b*-pAEMA₈₄/BNZ(15%) blocks was confirmed by ¹H-NMR (complete assignment in Fig. 4a) with the appearance of the -NH signal at δ = 10.57 ppm and the aromatic protons at δ = 7.01–8.05 ppm along with FT-IR spectroscopy which additionally confirmed the desired structure, (Fig. 4c). The functionalised mPEG₄₃-*b*-pAEMA₈₄/BNZ (15%) blocks showed a clear shift to higher MW with symmetrical mass distribution as analysed by SEC, (Fig. 4b). The

repeatability of this modification was assessed by functionalising mPEG₄₃-*b*-pAEMA₈₄ in triplicates using 2 eq. of benzocaine at 25 °C. The mean modification percent was determined as Mod. % = 14.0 ± 0.9% from ¹H-NMR (Fig. S34†).

Modifications with benzocaine were extended using mPEG₁₁₃-*b*-pAEMA_y block copolymers. The reactions proved slower with low conversions even after altering solvents and temperature, (Table S2†), requiring *p*-TsoH as an acid catalyst (5 mol%) in DMSO as a solvent to assist solubilisation.^{82,83} The modification of mPEG₁₁₃-*b*-pAEMA₂₈ reached the highest conversion at 70% (mPEG₁₁₃-*b*-pAEMA₂₈/BNZ(70%)), whilst mPEG₁₁₃-*b*-pAEMA₅₂ reached 58% (mPEG₁₁₃-*b*-pAEMA₅₂/BNZ (58%)).

Thermal characterisation analysis

The polymers and macroinitiators were characterised *via* thermal analysis with differential scanning calorimetry (DSC).

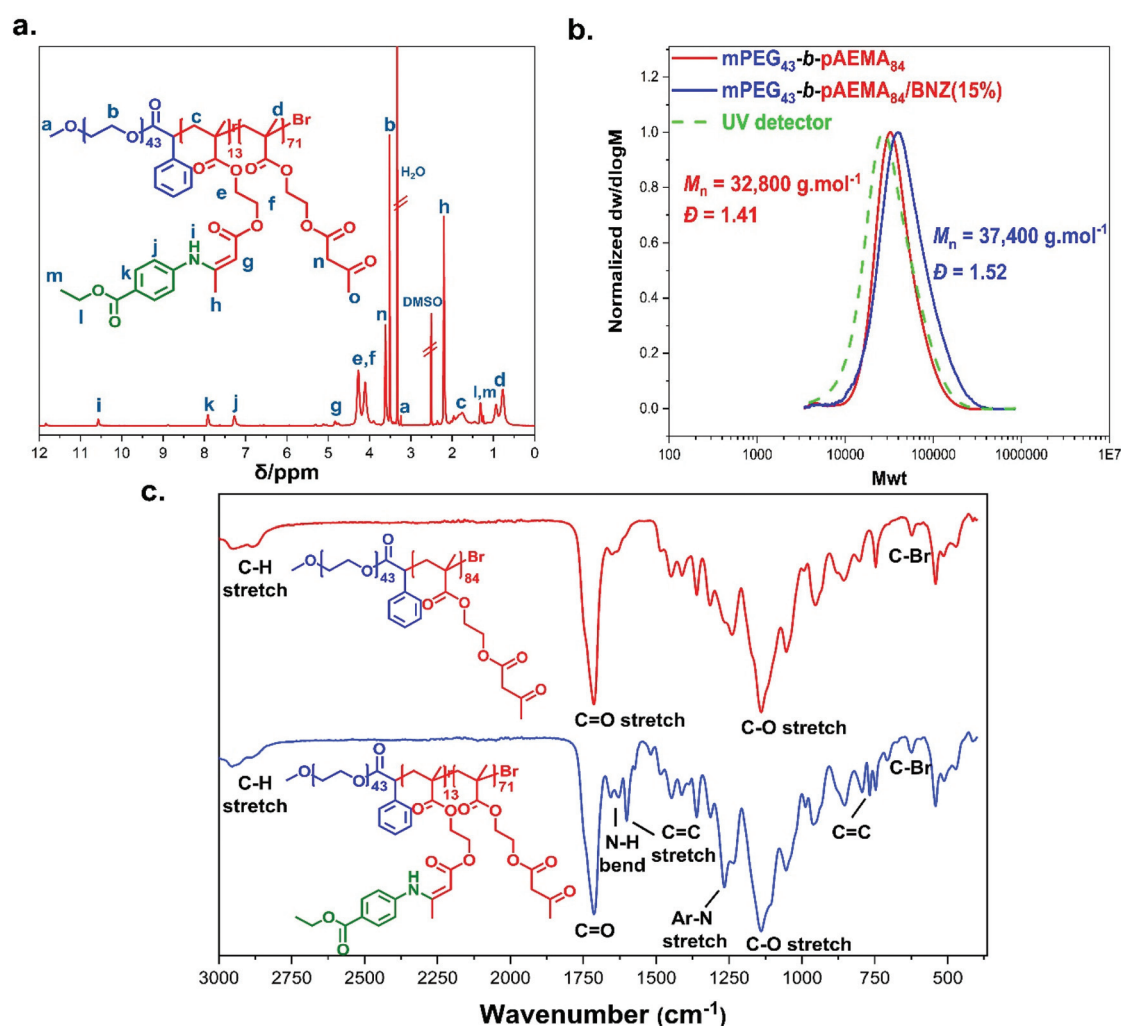


Fig. 4 (a) ¹H-NMR (400 MHz, DMSO-d₆) spectrum of functionalised mPEG₄₃-*b*-pAEMA₈₄/BNZ(15%) block copolymer. (b) Molecular weight distribution of mPEG₄₃-*b*-pAEMA₈₄ and benzocaine modified mPEG₄₃-*b*-pAEMA₈₄/BNZ(15%) block copolymers along with the UV signal as analysed by DMF SEC. (c) FT-IR comparison of mPEG₄₃-*b*-pAEMA₈₄ and benzocaine modified mPEG₄₃-*b*-pAEMA₈₄/BNZ(15%) block copolymers proving successful functionalisation.



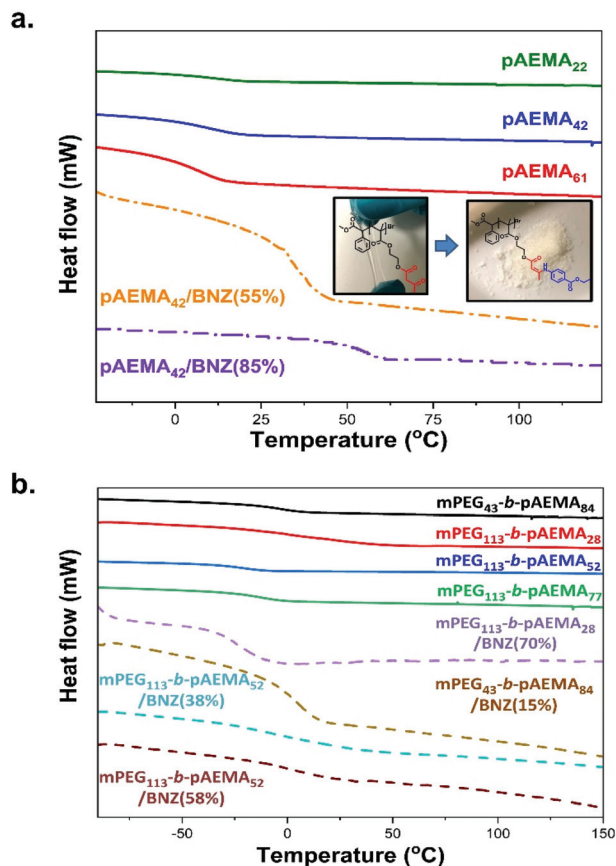


Fig. 5 DSC thermograms of (a) pristine and modified with benzocaine pAEMA homopolymers and (b) pristine and modified with benzocaine mPEG_x-b-pAEMA_y block copolymers. *Exo up*.

The $T_{g, \text{onset}}$ and $T_{g, \text{midpoint}}$ are tabulated in Table S3† and seen in Fig. 5. pAEMA homopolymers exhibited a negative glass transition temperature at $T_{g, \text{onset}} \sim -3^\circ\text{C}$ attributed to the flexible long pendant chains.^{41,84} Incorporation of benzocaine moieties reduced the chains mobility raising the $T_{g, \text{onset}}$ to $\sim +31^\circ\text{C}$ and $\sim +48^\circ\text{C}$ for 55% and 92% of modification respectively. The difference in thermal properties was also seen by the physical change of the polymers from viscoelastic materials to powdery white solids following this modification (Fig. 5a).

Both mPEG_x-BPA macroinitiators did not demonstrate an observable T_g though distinct melting peaks were observed at $T_m \sim +50^\circ\text{C}$ for mPEG₄₃-BPA and $T_m \sim +58^\circ\text{C}$ for mPEG₁₁₃-BPA, (Fig. S10†). All block copolymers illustrated a single T_g and no T_m showing good compatibility of the two blocks.^{85,86} The presence of PEG in the block formulations led to lower T_g 's compared to pAEMA homopolymers due to imparted flexibility,⁸⁷ reaching a $T_{g, \text{onset}}$ of $\sim -27^\circ\text{C}$ for 80% PEG content in mPEG₁₁₃-b-pAEMA₂₈ blocks. The incorporation of benzocaine into the polymers raised their T_g values with them approaching the room temperature but with mPEG₁₁₃-b-pAEMA₂₈/BNZ (70%) remaining almost unchanged ($T_{g, \text{onset}} \sim -33^\circ\text{C}$) compared to its block precursor mPEG₁₁₃-b-pAEMA₂₈. This was

attributed to its high PEG content that rendered enough mobility and flexibility to the polymer chains thus masking any effect of the aromatic rings on the T_g .

Self-assembly studies and *in vitro* controlled release of benzocaine

The self-assembly behaviour of the synthesised block copolymers was investigated in water at ambient temperature. Direct dilution was the technique of choice so as to avoid the extended exposure of the polymers to aqueous media which could trigger undesirable hydrolysis.⁸⁸ The NPs were evaluated by dynamic light scattering (DLS) and transition electron microscopy (TEM). mPEG₄₃-b-pAEMA_x blocks formed assemblies with an average diameter of ~ 125 nm for mPEG₄₃-b-pAEMA₁₀ and ~ 147 nm for mPEG₄₃-b-pAEMA₂₅ by DLS (Fig. S36†). Copolymers with longer pAEMA chains ($DP_n, \text{pAEMA} = 44$ and 84) were insufficiently stabilised in water leading to fast precipitation or limited solubilisation even after sonication at elevated temperatures. This limitation was attributed to the high hydrophobicity of the core-forming block in conjunction with the low MW of the hydrophilic corona block. To elaborate that, assemblies of mPEG₄₃-b-pAEMA₄₄ were formed in methanol (diameter of ~ 151 nm by DLS, Fig. S36†) showing stability in less polar media as has also been demonstrated by Zhou *et al.*⁴⁴ with the similar pAEMA containing nanoparticles in ethanol through polymerisation induced self-assembly (PISA). Despite this, the self-assembly of benzocaine modified mPEG₄₃-b-pAEMA₁₀ and mPEG₄₃-b-pAEMA₂₅ blocks seemed to also lead to insufficient stabilization due to increased hydrophobicity after incorporating the benzocaine. The stability of the amphiphilic block copolymers was also investigated in water by NMR (Fig. S35†). As already mentioned, previous studies have shown that pAEMA units may hydrolyse to HEMA when left for several weeks at physiological pH and temperature.⁶⁷ To assess that, mPEG₄₃-b-pAEMA₂₅ was dispersed in water for a period of two weeks and the peak integral $-\text{CH}_2$ of HEMA at $\delta = 3.9$ ppm was monitored. Results showed no change in the integrals proving the stability of the acetoacetate groups towards hydrolysis for a period of two weeks.

An increase in the length of the hydrophilic corona PEG segment was thought to lead to more stable assemblies in water.⁸⁹ The self-assembly of mPEG₁₁₃-b-pAEMA_y was also examined in using a direct dilution approach. This led to larger-sized micelles with average diameters of ~ 74 nm and ~ 116 nm for mPEG₁₁₃-b-pAEMA₂₈ and mPEG₁₁₃-b-pAEMA₅₂ respectively along with the absence of any secondary size distributions from DLS (Fig. S36†). The zeta potential values at pH 7.4 showed negative surface charges of -4.2 ± 1.2 mV for mPEG₁₁₃-b-pAEMA₂₈ and -5.8 ± 0.2 mV for mPEG₁₁₃-b-pAEMA₅₂ in good agreement with previously reported values for PEGylated NPs (Fig. S38†).^{90,91} TEM showed roughly spherical NPs with the apparent mean diameters being smaller in size than from DLS due to the dry state TEM analysis. The benzocaine modified copolymer analogues, mPEG₁₁₃-b-pAEMA₂₈/BNZ(70%) and mPEG₁₁₃-b-pAEMA₅₂/BNZ(47%), demonstrated a decrease in the particle size with hydrodynamic diameters of



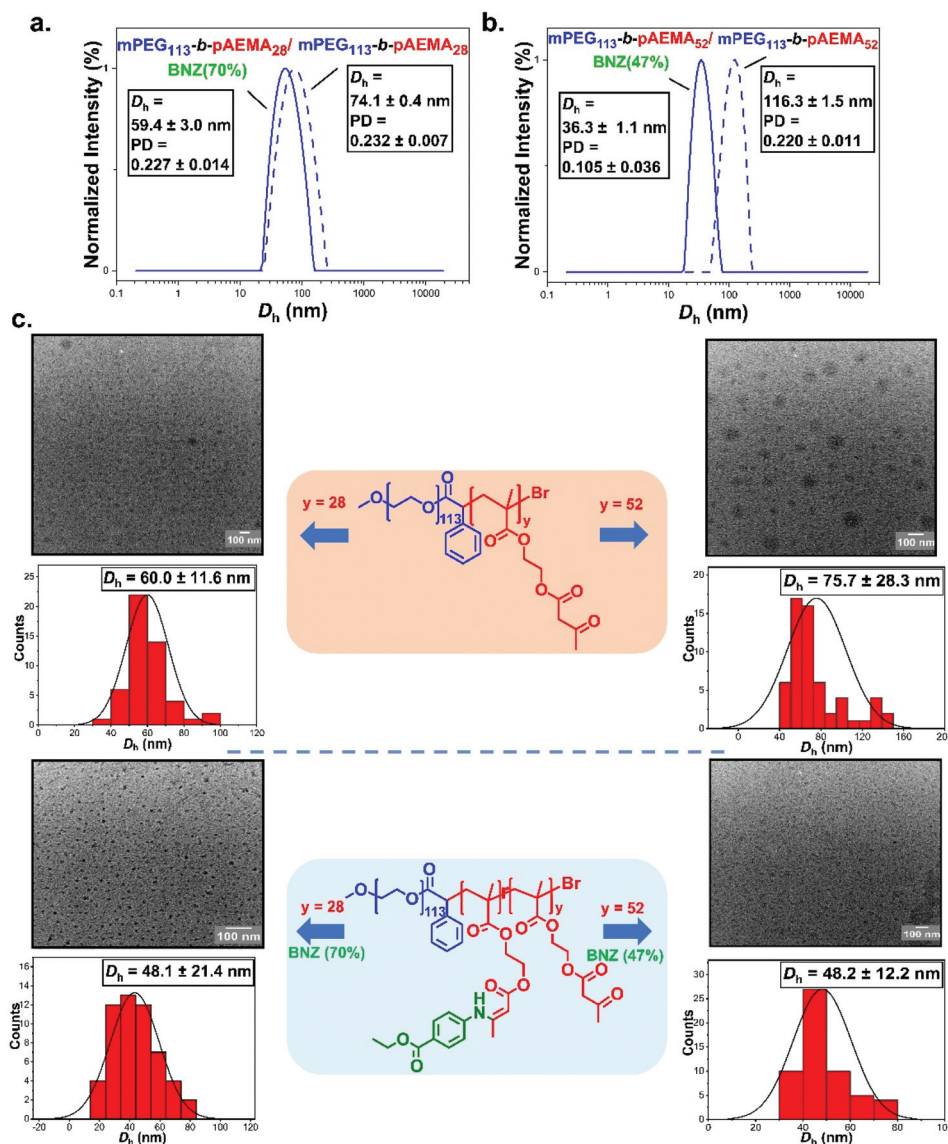


Fig. 6 Normalised intensity-weighted size distributions comparisons obtained by DLS at 0.5 mg mL^{-1} between (a) $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}$ (dashed line) and $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}/\text{BNZ}(70\%)$ (blue line) and (b) $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{52}$ (dashed line) and $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{52}/\text{BNZ}(47\%)$ (blue line) along with (c) Representative dry-state TEM images of $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}$, $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}/\text{BNZ}(70\%)$, $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{52}$ and $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{52}/\text{BNZ}(47\%)$ nano-objects along with their corresponding histograms.

~ 59 nm and ~ 36 nm based on DLS analysis (Fig. 6a, b and Fig. S37†). TEM analysis for both copolymers agreed well with the decrease in the particle size (~ 48 nm) maintaining the spherical morphologies (Fig. 6c). This decrease was attributed to the π - π stacking interactions between the aromatic rings of benzocaine,^{92–94} leading to a type of non-covalent “core-cross-linking” effect.⁹⁵ This was reinforced after hydrolysis of $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{52}/\text{BNZ}(47\%)$ NPs at pH 4.4 over 2 days where a shift to higher particle sizes was detected by DLS (Fig. S39†) attributed to the escape of benzocaine from the swollen core of the NPs. In addition, slightly more negative zeta potential values were measured after modification with benzocaine, with $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}/\text{BNZ}(70\%)$ being the most stable at -8.1 ± 1.2 mV (Fig. S38†). A similar effect was

reported by Shi *et al.*⁹⁶ whereby the π - π interactions of the aromatic groups increased both the stability and the drug loading efficiency of their micelles.

The *in vitro* release of benzocaine-loaded NPs was finally studied at three different pH values (phosphate buffers at pH = 6.6, 4.4, and 3.5) using $m\text{PEG}_{113}\text{-}b\text{-pAEMA}_{28}/\text{BNZ}(70\%)$ NPs as an example. The selected pH values included mildly acidic and heavily acidic environments commonly reported in potential targeted delivery systems. For example, the pH of tumour cells is mildly acidic (pH 6.4–7.0), lysosomes have been reported with a pH range of pH 4.5–5.0, while the gastrointestinal tract has a gradient pH beginning with highly acidic environments in the stomach (pH < 3).^{97–99} The pH-responsive cumulative percent release of benzocaine with respect to time



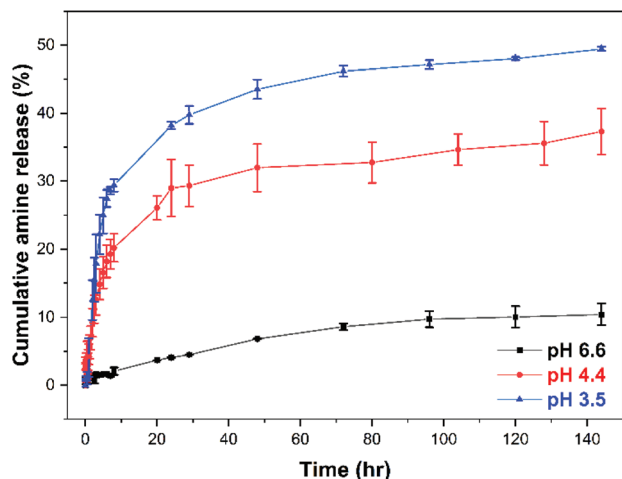


Fig. 7 (a) *In vitro* cumulative percent release profile of benzocaine modified mPEG₁₁₃-b-pAEMA₂₈/BNZ(70%) NPs in three different phosphate buffer solutions with pH 6.6, 4.4, and 3.5 at 37 °C. The concentration of NPs was 0.5 mg mL⁻¹ while 2.5 mg of NPs contained 700 µg of BNZ as measured by ¹H-NMR at 400 MHz using DCM as an internal standard. Quantification of BNZ was achieved by comparing with a calibration curve (Fig. S3, see ESI†). Data are presented as mean ± SD (*n* = 3).

increased with decreasing pH attributed to increased hydrolysis of the enaminone bonds, (Fig. 7 and UV raw data found in Fig. S40†). In all cases a biphasic release pattern was observed,¹⁰⁰ beginning with a *burst release* phase over approximately 8 hours followed by a slow steady amine release over an extended period of time which varied based on the applied pH environment. Over 6 days, a cumulative release of <10% was achieved at pH 6.6 reaching ~36% at pH 4.4 after the same period. The highest percentage cumulative benzocaine release was seen at the lowest pH = 3.5 with a slow and continuous release profile plateauing at approximately 50%.

Thus, these pH-responsive NPs are relatively stable at physiological pH conditions with a low rate of release at pH 6.6, while demonstrating a slow release of their cargo at more acidic conditions due to the enaminone bond cleavage. The slow release profiles of these systems can be explained by the high stability of enaminone bonds which is a consequence of their tautomeric equilibria,²⁹ in combination with a possible retardation effect due to π - π interactions between the free and conjugated to the polymer benzocaine moieties that sustain their release from the core of the NPs.^{101,102}

Conclusion

In summary, pH-sensitive polymeric NPs containing pAEMA and PEG were prepared in water and used for the controlled release of benzocaine through enaminone bond cleavage. Optimised conditions for the photoinduced Cu(II)-RDRP of AEMA monomer are reported targeting the synthesis of well-defined pAEMA homopolymers and mPEG_x-b-pAEMA_y (*x* = 43 or 113) amphiphilic block copolymers by chain-extending

mPEG-BPA synthesised macroinitiators. Polymers were further post-modified through dynamic enaminone linkage initially with propylamine, a model primary amine and with benzocaine as a model drug. Thermal characterisations *via* DSC analysis illustrated a variety of *T*_g's based on their extent of modification and the chain length of the mPEG blocks. The self-assembly of the unmodified and benzocaine modified diblocks was assessed using direct dilution in water with mPEG acting as the hydrophilic corona block and pAEMA as the hydrophobic core forming spherical micelles with diameters ranging from 30–60 nm as demonstrated by TEM and DLS. Finally, *via* cleavable enaminone bonds, the polymeric NPs illustrated pH dependent release characteristics as assessed by the *in vitro* cumulative release of benzocaine at various pH. A typical kinetic profile of a drug from NPs was observed, displaying two distinct regions; an early, rapid release followed by a slow diffusion-controlled release over an extended period.

This work demonstrates that amphiphilic block copolymers of pAEMA have potential towards enaminone based controlled release applications in water and aqueous media. Since the rate-determining step of the hydrolysis of enaminones is the proton addition to the C=C bond,³¹ different variations of amines can be tested in the future to tune the release rates and basicity of the bond depending on the desired application in the fields of biology or agriculture. In addition, we also envisage these polymers as potential crosslinkers for the fabrication of dynamic enaminone based self-healing hydrogels with potential biological interest.

Conflicts of interest

There are no conflicts to declare.

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