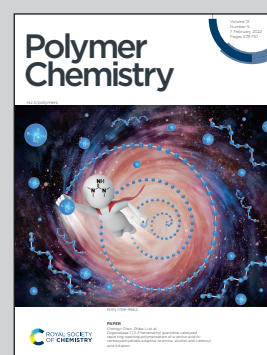


Highlighting research from the group headed by Professor Christopher Bielawski of the Institute for Basic Science and the Ulsan National Institute of Science and Technology, Ulsan, South Korea.

New classes of functionalized parylenes and poly(phenylene vinylene)s *via* coupling of dihaloalkyl diesters

Two different high-performance polymers were prepared from a common starting material. The synthetic procedure used is modular, assembly-like and enables tuning of the polymer properties through a simple transesterification step.

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New classes of functionalized parylenes and poly(phenylene vinylene)s *via* coupling of dihaloxylyl diesters†

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New classes of poly(*p*-xylylene)s and poly(*p*-phenylene vinylene)s were synthesized and studied. The novel polymers differ from known variants of their kind in that the ethylene and vinylene repeat units are outfitted with ester groups, which can be readily modified through transesterification. The polymers were prepared by treating an appropriate dihalobenzene diester with either a reductant to afford the corresponding poly(*p*-xylylene) or a base to afford the corresponding poly(*p*-phenylene vinylene). Variation of the ester groups enabled a broad range of functional polymers to be prepared, including derivatives that are liquid crystalline, photoswitchable, water soluble or amenable to cycloaddition chemistry.

Introduction

Poly(*p*-xylylene) (PPX) is a polymer that features an alternating arrangement of arylene and ethylene units along its backbone. The unique structure affords PPX with high thermal stability, broad chemical resistance, good insulating properties, and a low dielectric constant.^{1,2} Although the polymer was first synthesized in the 1940s by Szwarc,³ it was later commercialized by Union Carbide and sold under the name of 'parylene'.⁴ The typical synthesis of PPX entails the pyrolysis of an appropriate monomer, such as *p*-xylene or, more commonly, [2,2]paracyclophane. Heating the precursor to an elevated temperature (>500 °C) and under low pressure results in the deposition of a polymer film, presumably *via* radical intermediates that generate *in situ* and then combine.^{5,6} Although high quality films can be produced using this method, the polymers are typically intractable which challenges processing or functionalization steps.⁷

Poly(*p*-phenylene vinylene) (PPV) is an unsaturated analogue of PPX that features an alternating arrangement of arylene and vinylene (carbynyl) units. Due to its conjugated electronic structure and small band gap, PPV has found utility in numerous optoelectronic applications, including organic light emitting diodes,⁸ solar cells,⁹ and sensors.¹⁰ The material

can also be rendered electrically conductive upon doping.¹¹ A variety of synthetic methods have been disclosed for synthesizing PPVs including the Heck coupling of 1,4-dibromobenzene and ethylene,^{12,13} Wittig or Knoevenagel condensations of terephthaldehyde and suitably functionalized xylynyl derivatives,^{14–16} or the ring-opening metathesis polymerization of barrelene.^{17–19} Variations of these methods are known and have enabled the synthesis of PPV derivatives that exhibit enhanced solubility or tailored electronic characteristics (Scheme 1).^{20,21}

Other methods that have been used to synthesize PPX and PPV utilize base-mediated polymerizations of α,α' -disubstituted *para*-xylenes and are often referred to as the 'Gilch route', which was named after the initial discovery of the chemistry.²² The addition of a strong base, such as potassium *tert*-butoxide, to α,α' -dibromo-*p*-xylene, for example, results in a deprotonation reaction and the formation of anionic xylyl intermediates which then self-condense. One equivalent of base affords PPX as the major product;^{23,24} however, excess base results in elimination (typically dehydrohalogenation) of the PPX intermediate and affords PPV.^{22,25} Variations of the Gilch route have been reported and used to optimize polymer molecular weight or to access functionalized derivatives, although most of these efforts have entailed modification of the arylene unit.^{22–24,26} For example, poly[2-methoxy-5-((2'-ethylhexyl)-oxy)-*p*-phenylene vinylene] (MEH-PPV) features an arylene core that has been modified to promote solubility and to exhibit a band gap needed for use in optical displays. MEH-PPV is typically prepared by condensing the corresponding functionalized *para*-xylene with α,α' -dibromo-*p*-xylene under basic conditions.^{20,27}

We envisioned an alternative strategy to PPV and PPX derivatives that utilized a common precursor, 2,2'-(1,4-pheny-

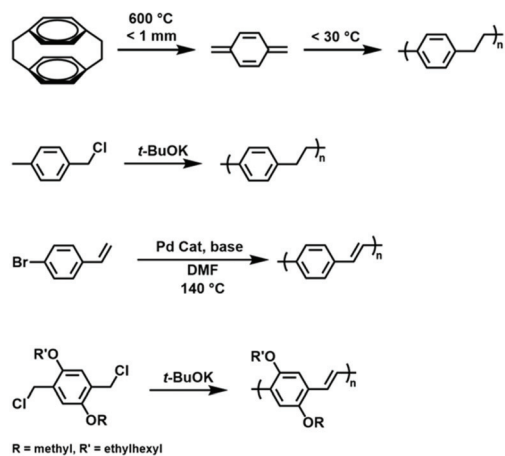
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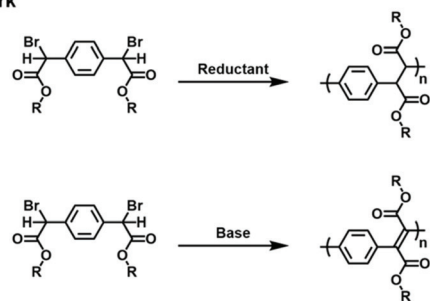
† Electronic supplementary information (ESI) available: Additional synthetic procedures; ¹H & ¹³C NMR spectra; infrared spectra; thermogravimetric and differential scanning calorimetry data; GPC data; UV-vis spectra; polarized optical microscopy images; and X-ray diffraction data. See DOI: 10.1039/d1py01063h



Previous work



This work



Scheme 1 Selected examples of methods that have been used to synthesize PPX and PPV.

lene)bis(2-bromoacetic acid). Our hypothesis was that the dimerization of a reduced (*i.e.*, dehalogenated) form of the compound should result in the formation of a PPX whereas condensation followed by elimination would afford a PPV.^{28,29} An intrinsic advantage of such an approach is that it enables the modification of the ethylene or vinylene repeat units and, as such, can be expected to more profoundly influence the intrinsic chemical, optoelectronic and other properties displayed by the polymers, particularly when compared to approaches that entail arene modifications or are relatively distal to the main chains. Moreover, the precursor is commercially available and can be readily adapted through esterification with various alcohols which should facilitate the integration of PPV and PPX in a broader range of applications and enable more detailed studies of the fundamental properties displayed by these polymeric materials.

Results and discussion

Model reactions

The proposed polymerization methodology requires α -bromophenylacetate derivatives to be coupled. To determine

Table 1 Dimerization of EBPA using various reagents^a

Entry	Conditions (equivalents)	Product	Yield ^d (%)
1	DIPEA (10)	No reaction	
2	TEA (10)	No reaction	
3 ^b	Ru(bpy) ₃ Cl ₂ (0.05), DIPEA (2)	A	48 ^e
4 ^b	Ru(bpy) ₃ Cl ₂ (0.05), DIPEA (10)	A	60 ^e
5 ^b	Ir(fppy) ₃ (0.05), DIPEA (10)	A	61 ^e
6 ^c	TDAE (4)	A	81
7 ^c	TDAE (1), TEMPO (1)	A	60
8 ^c	TDAE (1), 2-methyl 2-nitrosopropane (1)	A	78 ^e
9 ^c	TDAE (1), 4-nitrobenzyl bromide (1)		61
10	K ₂ CO ₃ (10)	No reaction	
11	NaOH (10)	B	83
12	NaOH (0.5)		58

^a Conditions: 0.25 mmol of EBPA, 1 mL of DMF, 18 h. ^b Irradiated using blue LEDs. ^c 0.12 mmol of EBPA was used. ^d Isolated yield. ^e Conversion of EBPA to the product shown was determined by ¹H NMR spectroscopy.

the viability of such chemistry, initial efforts were directed toward the dimerization of ethyl α -bromophenylacetate (EBPA) as a model reaction. As summarized in Table 1, a variety of bases and reductants were explored as potential reagents to effect combination and/or condensation. The addition of diisopropylethylamine (DIPEA) or triethylamine (TEA) to EBPA did not result in a significant reaction (entries 1 and 2), presumably because the amines were not sufficiently basic or reducing. As such, photoredox reagents^{30,31} were utilized to enhance the reducing power of the amine. Irradiating ($\lambda = 435$ nm *via* blue LEDs) a DMF solution of Ru(bpy)₃Cl₂ (5 mol%) (bpy = 2,2'-bipyridine), DIPEA (2 equiv.), and EBPA in at room temperature afforded the corresponding bibenzyl dimer (diethyl 2,3-diphenylsuccinate) (A) as a major product (entry 3). While additional DIPEA was found to be beneficial (entry 4), the use of a stronger reductant, tris[2-(2,4-difluorophenyl)pyridine]iridium(III) (*fac*-Ir(Fppy)₃), in lieu of the aforementioned Ru catalyst (*cf.*: Ir: $E_{\text{red}} = -1.46$ V *vs.* SCE *vs.* Ru: -0.81 V *vs.* SCE)³² did not appear to significantly increase the conversion (*cf.*, entry 5).

Efforts were also directed toward the use of organic electron donors (OEDs) to promote product formation. Tetrakis(dimethylamino)ethylene (TDAE) ($E_{\text{red}} = -0.78$ V *vs.* SCE),³³ for example, has been shown to facilitate the reductive cleavage of carbon-halogen bonds *via* sequential electron transfer.³⁴ Benzyl halides,³⁵ bromodifluoroalkanes,³³ and α -bromo



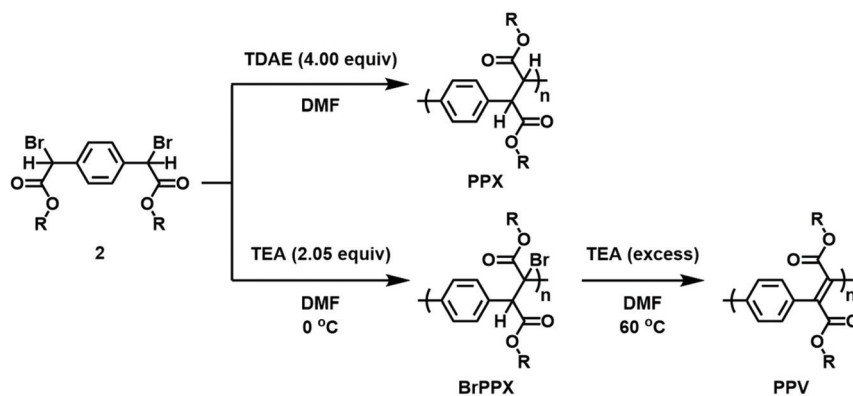
and AIBN, and then heated to 80 °C to afford 2,2'-(1,4-phenylene)bis(2-bromoacetic acid). The acid was then transformed *via* Steglich esterification, where dicyclohexylcarbodiimide (DCC) and 4-dimethylaminopyridine were used to activate the acid toward condensation with the added alcohol.

With the monomers in hand, subsequent efforts were directed toward adapting the conditions developed for the model reactions to facilitate polymerization. A summary is shown in Scheme 3. The reductive polymerizations were conducted by treating DMF solutions of **2** with excess TDAE. After 24 h, the reaction mixtures were filtered to remove the TDAE⁺Br⁻ salts that formed as the reaction progressed, and then the filtrate was poured into excess methanol. The precipitated solids were collected, dried, and characterized using multinuclear NMR spectroscopy, FT-IR spectroscopy, and size exclusion chromatography (SEC) (see Fig. S46–S54†). For example, the polymer obtained from **2a** (*i.e.*, **PPX-2a**) exhibited a diagnostic signal at δ 4.0–4.5 ppm (CDCl₃) in its corresponding ¹H NMR spectrum which was assigned to the ethylene moieties in the backbone. The integral of this signal was commensurate with that recorded for the signal assigned to the ester group and indicated that the two groups were present in an equimolar ratio, consistent with the structure shown. Signals at 171.5 and 173.2 ppm were also observed in the ¹³C NMR spectrum recorded for **PPX-2a** which may reflect the different stereochemistry adopted by the polymer. These signals were downfield when compared to the corresponding signal displayed by the starting material (168.6 ppm). FT-IR spectroscopic analysis of **PPX-2a** revealed a strong absorption at 1150 cm⁻¹ which was assigned to the C–C backbone of the polymer chain. In contrast, the $\nu_{\text{C=O}}$ recorded for **PPX-2a** (1734 cm⁻¹) was measured at a lower frequency when compared to that of **2a** (1738 cm⁻¹). The molecular weight of the polymer was determined by SEC to be 2.4 kDa relative to polystyrene standards and exhibited a polydispersity index (*D*) of 1.73, consistent with that expected from a step-growth polymerization. Similar analyses were performed for the other polymers derived from **2** and key results are summarized in Table 2.

While the conversion of EBPA to its stilbene derivative required the use of a relatively strong base (NaOH), it was discovered that **2** underwent polymerization when treated with TEA.^{38,39} Adding 2.05 equiv. of TEA at 0 °C to a solution of **2** resulted in the formation of a polymeric product as determined by multinuclear NMR and FT-IR spectroscopy (see Fig. S3, S4 and S55–S63†). While PPX exhibits a diagnostic ¹H NMR signal at δ 4.0–4.5 ppm (CDCl₃), the signal decreased in relative intensity (2H → 1H) and shifted downfield to 4.5–5.0 ppm upon conversion to BrPPX. Subsequent addition of excess TEA to BrPPX at 60 °C effectively converted the material to its PPV derivative as the ¹H NMR spectrum recorded for the polymer lacked the diagnostic signal which indicated that the elimination reaction was complete. Moreover, signals that could be attributed to vinylene repeat units were also observed in the FT-IR spectra that were recorded for the PPVs (*e.g.*, at 1610 cm⁻¹ for **PPV-2a**).

The ¹³C NMR spectra recorded for **BrPPX-2a** and **PPV-2a** exhibited signals at 170.5 and 167.5 ppm, respectively, which provided additional support for the elimination reaction. Likewise, UV-vis spectroscopy revealed a bathochromic shift upon the addition of TEA to **BrPPX-2a**, presumably due to the formation of a conjugated backbone. Similar approaches were used to convert the other BrPPX derivatives described above to the corresponding PPVs, and the key results are summarized in Table 3. The λ_{max} values displayed by the PPVs depended on their pendant substituents and ranged between 278 and 287 nm when measured in CH₂Cl₂ (see Fig. S6†).

Since PPXs are renowned for their thermal properties, thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) were used to evaluate the derivatives described above. For comparison, the corresponding PPVs were analyzed as well. Each of the PPXs displayed a decomposition temperature, defined as the temperature at which 5% weight loss (*T*_{d5}) was observed, that exceeded 300 °C under nitrogen. The result underscored the high thermal stability exhibited by this class of polymers and demonstrated that the ester functional groups present in the ethylene linkage may be key contributors. For comparison, the *T*_{d5} values measured for



Scheme 3 Synthetic methodology used to prepare PPX, BrPPX and PPV.



Table 2 Summary of data recorded for various PPXs^a

Polymer	Conc. (M)	Time (h)	Yield ^b (%)	M_n^c (kDa)	D	T_{d5}^d (°C)	T_g^e (°C)
PPX-2a	0.24	24	78	2.4 ^f	1.73	365	178
PPX-2b	0.48	24	73	5.8	1.81	314	119
PPX-2c	0.48	24	60	9.4	1.71	353	79
PPX-2d	0.48	24	67	13.7	1.53	392	14
PPX-2e	0.48	24	46	5.2	1.43	361	70
PPX-2f	0.48	24	67	3.2	1.68	357	46
PPX-2g	0.12	24	95	5.5	1.34	338	84
PPX-2h	0.12	24	90	8.1	1.37	360	37
PPX-2i	0.48	24	80	3.9	1.40	308	46

^aThe polymerization reaction was performed by injecting a solution of TDAE in DMF into a pre-filled DMF solution containing the corresponding monomer at room temperature. ^bIsolated yield. ^cDetermined by SEC relative to polystyrene standards in THF. ^dThe temperature at which 5 wt% loss occurred (T_{d5}) was measured by TGA under an atmosphere of nitrogen. ^eThe glass transition temperatures (T_g) were measured by DSC (heating rate: 20 °C min⁻¹). ^fSamples were first stirred in CH₂Cl₂ at 35 °C for 12 h and analyzed by SEC relative to polystyrene standards in CH₂Cl₂.

Table 3 Summary of data recorded for various PPVs^a

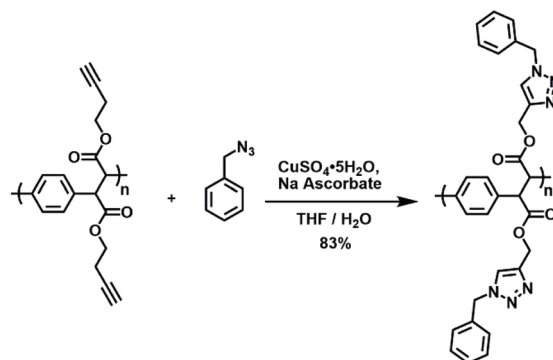
Polymer	Conc. (M)	Time (h)	Yield ^b (%)	M_n^c (kDa)	D	T_{d5}^d (°C)	T_g^e (°C)
PPV-2a	0.30	18	96	17.1	2.15	228	142
PPV-2b	0.30	18	90	28.8	2.65	303	115
PPV-2c	0.30	18	81	28.2	2.10	270	13
PPV-2d	0.30	24	99	34.7	1.75	251	3
PPV-2e	0.30	24	67	16.1	1.89	303	52
PPV-2f	0.12	24	84	7.7	2.00	271	64
PPV-2g ^f	0.12	24	96	37.9	1.89	268	96
PPV-2h ^f	0.12	24	96	19.7	1.73	284	27
PPV-2i ^f	0.12	24	88	3.0	2.39	254	52

^aThe dehydrohalogenation reaction was performed by adding excess TEA to a DMF solution containing the corresponding BrPPX at 60 °C. Additional characterization data for the BrPPX derivatives may be found in the ESI (see Table S1†). ^bIsolated yield. ^cDetermined by SEC relative to polystyrene standards in THF. ^dThe temperature at which 5 wt% loss occurred (T_{d5}) was measured by TGA under an atmosphere of nitrogen. ^eThe glass transition temperatures (T_g) were measured by DSC (heating rate: 20 °C min⁻¹). ^fThe polymerization reaction was conducted in THF.

the PPVs were relatively low, presumably due to the increased reactivity associated with conjugated systems. The PPXs and the PPVs displayed glass transition temperatures (T_g s) that ranged from 3 to 178 °C in a manner that depended on the structure and composition of the pendant ester groups. The broad range highlights an intrinsic advantage of the synthetic modularity as it enables access to polymers with tunable thermal properties.

Functionalized PPX and PPV derivatives: synthesis and application

Due to the modularity intrinsic to the synthetic methodology, a variety of PPX and PPV derivatives were prepared. Many of these derivatives feature functional groups that are amenable to further modification and utilization. For example, **PPX-2e**

**Scheme 4** The use of copper-catalyzed azide–alkyne cycloaddition chemistry to effect post-polymerization modification.

features pendant alkyne groups, which can be readily converted through copper-catalyzed azide–alkyne cycloaddition chemistry.^{40,41} As shown in Scheme 4, the introduction of **PPX-2e** to benzyl azide along with copper sulfate and sodium ascorbate afforded the corresponding triazole-based product in high yield. The ¹H NMR spectrum recorded for the modified polymer featured signals that were assigned to the triazole groups (5.4 ppm, CDCl₃) but lacked signals that could be attributed to the alkyne groups of the starting material (see Fig. S7†).

A cholesterol⁴² group was also attached to a PPX as well as a PPV to determine if the polymers can be bestowed with liquid crystalline properties.^{1,2,43–45} The syntheses of these derivatives began by condensing cholesterol with various diols followed by attachment to the aforementioned monomer precursors. Standard polymerization conditions were used, and the resulting polymers were analyzed using DSC, polarized-light optical microscopy (POM) and powder X-ray diffraction (XRD); data were also collected for the monomeric precursors to facilitate comparisons (see Fig. S97, S98 and S101–S104†). As summarized in Table 4, phase transitions were observed to occur at temperatures that depended on the length of the alkyl chains that connected the cholesterol units to the polymer backbones.

Table 4 Summary of phase transition temperatures, assignments and enthalpies

Compound	Transition ^a	T^b (°C)	ΔH^c (J g ⁻¹)
2g	Cr → SmA	45	1.04
	SmA → Iso	117	20.83
2h	Cr → N	20	0.74
	N → Iso	48	3.16
PPX-2g	SmA → Iso	128	1.62
PPX-2h	SmA → Iso	149	2.40
BrPPX-2g	SmA → Iso	164	2.85
BrPPX-2h	SmA → Iso	147	1.34
PPV-2g	SmA → Iso	160	2.46
PPV-2h	SmA → Iso	149	3.88

^aCr = crystalline phase; SmA = smectic A phase; N = nematic phase; Iso = isotropic liquid phase. ^bOnset temperature as observed by DSC at a heating rate of 10 °C min⁻¹. ^cEnthalpy change.



For example, monomer **2g** became isotropic at 117 °C whereas the corresponding transition for **2h** occurred at 48 °C. A sharp signal was observed at $2\theta = 2.0^\circ$ (44.2 Å) in the X-ray data recorded for **2g** at 45 °C, consistent with the adoption of a smectic A phase upon melting. A broad diffraction at $2\theta = 14\text{--}19^\circ$ (4.7–6.3 Å) was also observed which can be attributed to the relatively short alkyl spacer that was utilized. For comparison, monomer **2h** appeared to melt into a nematic phase upon heating and exhibited a broad diffraction signal due to the relatively longer linker length employed. All of the polymers appeared to adopt smectic A phases at room temperature and underwent isotropization at elevated temperatures (>120 °C) when compared to their respective monomers. These results indicated that the polymers could not only be rendered to display liquid crystalline properties but that the stabilities of the liquid crystalline phases were increased after polymerization.

To further demonstrate the versatility and the modularity of the synthetic methodology, attention was directed toward the incorporation of azobenzene units into the polymers. Azobenzene is well known to isomerize from its thermodynamically stable *trans* geometry to a kinetically stable *cis* form upon irradiation. The process is not only reversible but is accompanied by changes in sterics and electronics. As such, azobenzenes have found utility in as photoswitches⁴⁶ and have been used in a variety of patterning,⁴⁷ self-healing,⁴⁸ and responsive applications.⁴⁹

A PPX containing pendant azobenzene units was prepared from monomer **2i**. As shown in Scheme 2, aniline was first treated with NaNO₂ and HCl to obtain the respective diazonium chloride, and then subjected to a Williamson ether synthesis as well as esterification to afford **2i**. Treatment of **2i** with TDAE afforded **PPX-2i**, which was subsequently characterized using the techniques described above. A CH₂Cl₂ solution of **PPX-2i** ([repeat unit]₀ = 4.2×10^{-5} M) was irradiated with ultraviolet light (365 nm). As shown in Fig. 1a, the *trans* isomer was converted to its *cis* form within 2 min, as determined by a hypsochromic shift in the absorption profile recorded upon analysis of the reaction mixture. Subsequent irradiation of the

solution with visible light (520 nm) returned the initial state. The reversible photoisomerization was repeated multiple times without detriment (Fig. 1b), reflective of the high fidelity of the isomerization chemistry in conjunction with the high chemical and thermal stability of the polymer. Irradiation for longer periods of time (1 h) and under more concentrated conditions ([repeat unit]₀ = 0.01 M) enabled the isomerization process to reach a 94% conversion, as determined by ¹H NMR spectroscopy (see Fig. S73†). In addition to electronic variation, the photoisomerization process affected the thermal properties of the polymer as well.⁴⁶ After the isomerization process was complete, the solvent was removed and the residual polymer was analyzed by DSC (Fig. 1c). The *trans* isomer of **PPX-2i** exhibited a *T*_g at 46 °C and a phase transition at 93 °C. However, the *cis* isomer of **PPX-2i** exhibited a *T*_g at –22 °C as well as a broad exothermic transition upon heating to 70 °C, which was assigned to the release of heat that accompanies geometric isomerizations.

Finally, it was envisioned that the methodology may be adapted to access water-soluble derivatives of PPXs and PPVs, which are often desired for their processing advantages. Such types of polymers have historically been prepared through the incorporation of quaternary ammonium⁵⁰ or carboxylic acid groups.¹³ A THF solution of the benzyl ester functionalized PPX (**PPX-2f**) was treated with an aqueous solution of KOH and then poured into an aqueous solution of HCl (1 M) to induce precipitation.⁵¹ The FT-IR spectrum recorded for the precipitate exhibited a $\nu_{\text{C=O}}$ in the range expected for a carboxylic acid (*cf.*, 1712 cm⁻¹ vs. 1733 cm⁻¹ recorded for precursor; see Fig. S89†) and a broad O–H signal at 2900–3300 cm⁻¹, consistent with the formation of the structure shown for **PPX-acid**, was observed. As shown in Fig. 2a, the unsaturated analogue, **PPV-acid**, was prepared by exposing **BrPPX-2f** to an aqueous solution of KOH as treatment with an aqueous solution of HCl appeared to afford anhydride side products.^{28,52} The carboxylic acid product exhibited a broad absorption over the range of 3000 to 3600 cm⁻¹ and a $\nu_{\text{C=O}}$ at 1566 cm⁻¹ (Fig. 2b). Further support for the formation of the **PPV-acid** was obtained *via* UV-spectroscopy which revealed a strong

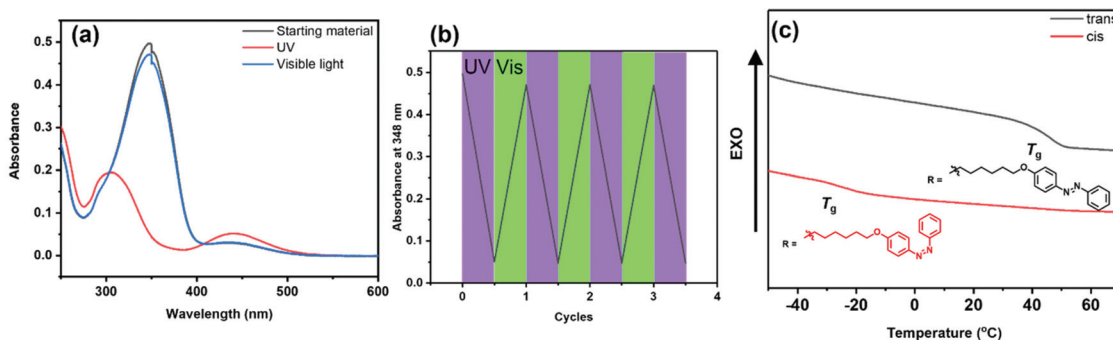


Fig. 1 (a) UV-vis spectra recorded for **PPX-2i** before (black) and after irradiation with 365 nm UV light (red), and then after irradiation with 520 nm visible light (blue) in CH₂Cl₂. (b) Plot of the magnitude of the signal recorded at 348 nm after exposing a CH₂Cl₂ solution of **PPX-2i** versus multiple exposures to UV or visible light (indicated). (c) DSC data recorded for *trans* and *cis* **PPX-2i**. Additional characterization data may be found in the ESI (see Fig. S100 and S105, S106†).



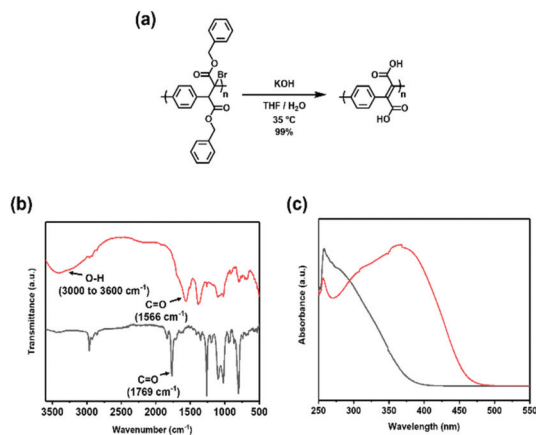


Fig. 2 Post-polymerization modification of BrPPX-2f and corresponding characterization data. (a) Treatment of BrPPX-2f with potassium hydroxide afforded the corresponding acid, PPV-acid. (b) FT-IR spectra recorded for BrPPX-2f (black) and PPV-acid (red). (c) UV-vis spectra recorded for BrPPX-2f (black) and PPV-acid (red) in DMSO.

bathochromic absorption when compared to polymeric starting material (Fig. 2c).

Conclusions

New classes of PPXs and PPVs that feature functionalized ethylene and vinylene backbones were synthesized. A library of different monomers was prepared in few steps and then subsequently polymerized through exposure to a mild base or an organic reductant. The versatility of the approach enabled a broad range of derivatives PPXs and PPVs to be prepared. For example, polymers that featured pendant alkynyl groups were functionalized with azides to afford the corresponding triazoles, cholesterol to bestow the polymers with liquid crystalline characteristics, or azobenzene to enable reversible photoisomerization that manifested in distinct electronic and thermal changes. To facilitate processing, water-soluble derivatives were also synthesized. Overall, the methodology offers a new venue for accessing functional PPXs and PPVs from readily available precursors and offers a means to tune the electronic, chemical, and thermal properties of such polymers through straightforward modifications.

Conflicts of interest

There are no conflicts to declare.

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