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Packaging of DNA origami in viral capsids: towards synthetic viruses†

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We report a new type of nanoparticle, consisting of a nucleic acid core (>7500 nt) folded into a 35 nm DNA origami sphere, encapsulated by a capsid composed of all three SV40 virus capsid proteins. Compared to the prototype reported previously, whose capsid consists of VP1 only, the new nanoparticle closely adopts the unique intracellular pathway of the native SV40, suggesting that the proteins of the synthetic capsid retain their native viral functionality. Some of the challenges in the design of such near-future composite drugs destined for gene delivery are discussed.

The concept of an artificial virus – a synthetic entity that mimics key properties of a virus and which is destined to replace viral gene delivery vectors is not new – synthetic vectors have been under development since the early days of gene delivery.^{1,2}

Synthetic approaches offer several important advantages over viral approaches including potentially improved safety profiles, lower cost and ease of production.³ Additionally, they provide significant flexibility with respect to the size, sequence and type of nucleic acid. Unlike viral vectors which are limited to only naturally occurring nucleic acids, non-viral systems can deliver a synthetic version of any nucleic acid, modified to exhibit superior activity.^{4,5} However, the scope of applications of these methods is typically restricted. While synthetic formulations of lipid and nucleic acid, have shown tremendous progress for delivery of mRNA and siRNA, most notably in vaccines, adapting these assemblies to a wider range of medical applications still requires significant improvements. Over the last three decades, extensive efforts, based mainly on macromolecular conjugate and lipid chemistry approaches, have been dedicated to improving the pharmacokinetics of these

systems, as well as the efficiency of the cellular trafficking of the delivered nucleic acid. However, intracellular transport (1% escape from endosome), poor tissue/cell targeting and a marked tendency to be sequestered by blood-filtering organs like the liver and spleen, remain major challenges for systemic delivery by synthetic platforms.^{3,5–8}

In contrast to synthetic systems, the viral capsid has evolved as a natural gene delivery agent, inherently capable of all the multiple functionalities that are required for efficient intracellular delivery of the viral nucleic acids.

In vitro encapsulation of nucleic acids by a functional viral capsid has been a long-time scientific ambition.^{9–18} The field has mostly been focused on encapsulation of flexible, unfolded nucleic acid cargos. However, packing of the relatively long, negatively charged nucleic acid chains into the constrained capsid-size cavity requires bending of the nucleic acid chain,¹⁹ which is energetically unfavorable.^{9,10} Consequently, double stranded DNA (dsDNA), a stiff polymer known for its long persistence length, is a poor substrate for packaging.^{9,10,12,14,15} In contrast, assembly of viral capsids around solid supports is typically simpler because these substrates are *a priori* compact. Previous studies on encapsulation of gold nanoparticles,^{20–22} have shown that a cargo has to withstand strict size and shape constraints to fit into the capsid cavity and allow for efficient encapsulation. The subject of our interest is the capsid of Simian Vacuolating virus 40 (SV40), whose native cargo, the SV40 genome, is to some extent characterized. According to early electron microscopy (EM) studies,^{23,24} SV40 genome, a nucleoprotein complex composed of a SV40 mini-chromosome and histones, can be isolated as a spherical compact structure with a diameter of ~30–40 nm at the late stages of infection, suggesting that the genome is pre-folded prior to assembly of the capsid.²⁴ Our approach to encapsulation of nucleic acids by a viral capsid using SV40 capsid as a model system is based on these considerations (Fig. 1).

Previously, we have reported on the successful encapsulation of a large DNA molecule, packed as 35 nm diameter

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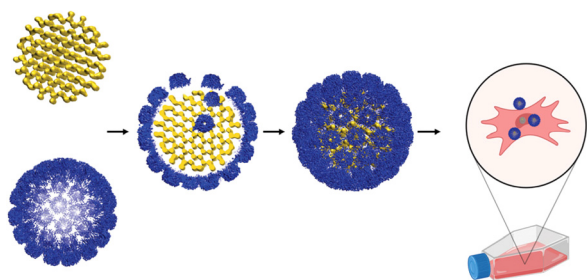


Fig. 1 A schematic representation of a gene delivery system destined to combine the virtues of viral and non-viral vectors. Nucleic acid (shown in yellow), pre-folded by DNA origami technology to precisely fit into the capsid's cavity, is encapsulated by the viral capsid capsomers (blue) to form a virus-like nanoparticle. Individual capsomers produced by disassembly of recombinant empty capsids tend to spontaneously reassemble around negatively charged substrates.^{9–22}

spherical DNA origami by VP1, the major SV40 capsid protein.²⁵ The main assembly product was a 50 nm DNA origami-containing particle with an outer shell, composed of VP1 pentamers, that formed a regular SV40 lattice of $T = 7$ d icosahedral symmetry, as shown by cryo-electron microscopy (cryo-EM) analysis. Assembly of this new type of a nanoparticle was highly reproducible and efficient.

Here, we incorporated all three SV40 capsid proteins, VP1, VP2, and VP3, each carries out a distinct non-redundant function during cell entry (reviewed in ref. 26), towards the goal of assembling a synthetic nanoparticle with the functionality of an authentic virus.

SV40 is a small, nonenveloped virus belonging to the polyomavirus family. The capsid of SV40 is composed of 72 pentamers of VP1, the major capsid protein, that form a 50 nm diameter $T = 7$ d icosahedral outer shell around the 5200 bp dsDNA genome. Each pentamer harbors one of the hydrophobic minor capsid proteins, either VP2 or VP3, attached to its inward facing cavity.^{27–29} VP2 and VP3 differ only in their N-terminus where VP2 contains 118 additional amino acids.

To construct DNA origami-containing SV40-like nanoparticles with a shell composed of VP1, VP2, and VP3, we used our *in vitro* assembly system, previously defined for VP1.^{10,25} Empty viral-like particles (VLPs) produced from insect cells overexpressing either VP1 alone (VP1 VLPs) or VP1 with VP2 (VP1/2 VLPs) or VP1 with VP3 (VP1/3 VLPs) were purified and analyzed for their protein content by Coomassie blue staining (Fig. S1(a)†). Densitometric analysis of the Coomassie-stained gel showed that the molar ratios of VP1 : VP2 (in VP1/2 VLPs) and VP1 : VP3 in (VP1/3 VLPs) are approximately 5 : 1, suggesting that most of the VP1 pentamers in the VP1/2 and VP1/3 VLPs are associated with one molecule of VP2 or VP3, respectively.

VP1, VP1/2 and VP1/3 pentamers were produced, each from its respective empty VLPs, using our disassembly protocol.¹⁰ VP1 pentamers or a mixture of VP1/2 and VP1/3 pentamers at the ratio of 1 : 10 (representing VP1/2 : VP1/3 ratio in the

native SV40 virion and referenced below as VP1/2/3 pentamer mixture) were assembled with 35 nm spherical DNA origami at increasing pentamer/origami ratios. DNA origami core of 35 nm diameter was selected as it was found optimal for assembly of SV40-like particles in our previous study.²⁵ Assembly products were analyzed by electrophoretic mobility shift assay (EMSA) on agarose gel to monitor migration (Fig. S1(b)†). In the presence of pentamers, both VP1 alone as well as VP1/2/3 pentamer mixture, the fast-migrating free DNA origami band essentially disappeared, already at the lower pentamer/origami ratio of 75 : 1, and instead appeared a distinct slower-migrating band, suggesting the formation of a stable, higher molecular weight SV40 pentamers/DNA origami complex. Further increase in pentamer/origami ratio did not result in further change in migration, suggesting that the final assembly product indeed comprises of a single capsid composed of 72 pentamers per one origami structure. In contrast, when using unfolded plasmid DNA as a substrate for assembly, multiplsets of capsids are often formed on a single nucleic acid, resulting in electrophoretic mobility shift that does not approach saturation at increasing pentamer/DNA ratios (ref. 10 and Kler, unpublished data).

Analysis of VP1/2/3/DNA origami assembly products, formed at a molar ratio of 150 : 1 pentamers per DNA origami, by negative staining TEM (Fig. S1(c)†), revealed SV40-like particles. The large majority of the particles are in size and morphology similar to that of native SV40 virions and to the assembly product formed by VP1 alone.²⁵

VP1/2/3/DNA origami assembly products were then fractionated by sedimentation velocity ultracentrifugation through 2.5 ml 20–60% sucrose gradient. 200 μ l fractions were collected and analyzed for the presence of VP1, VP2 and VP3 by immunoblotting and for the presence of DNA by agarose gel (Fig. S1(d)†). All four components co-sedimented as a single particle, peaking at fractions 3 to 5.

The 3D map of the VP1/2/3/DNA origami particle was reconstructed by cryo-EM single particle analysis. Particles, formed at a molar ratio of 150 : 1 pentamers per DNA origami, were auto-picked (RELION3.1) from 2446 micrographs (Fig. 2). Particles were subjected to 2D classification that demonstrated the presence of both empty and DNA origami-filled capsid classes, similarly to the assembly products of DNA origami and VP1 alone.²⁵ The empty capsid class was refined to a resolution of ~ 12 Å (consisting of 9682 particles, with imposed icosahedral symmetry, Fig. 2(B)). The lower resolution of the structure, compared to that of the empty particle produced by VP1 alone,²⁵ despite the larger number of the particles in the dataset and the smaller pixel size, is likely due to structural heterogeneity introduced by the presence of VP2 and VP3. The internal capsid proteins are incorporated asymmetrically into the capsid in a relative arrangement and molar ratio that may vary from particle to particle, resulting in deviation from the idealized icosahedral symmetry.^{30,31} Additionally, VP2 and VP3 are believed to be intrinsically highly flexible,²⁹ further affecting resolution.^{30,31} The $T = 7$ icosahedral organization of



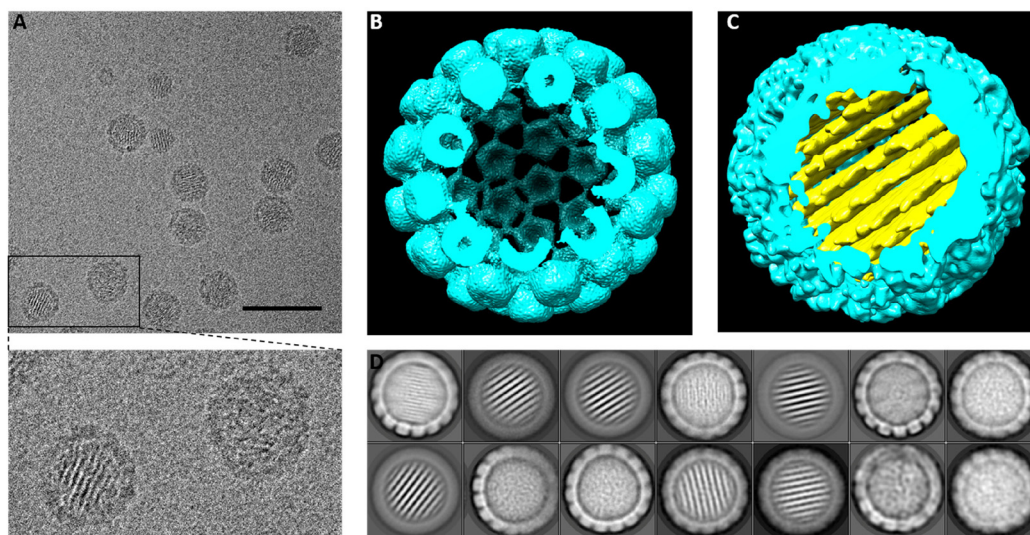


Fig. 2 VP1, VP2 and VP3 containing SV40-like particles assembled on 35 nm DNA origami. (A) Representative micrograph of SV40-like particles composed of VP1, VP2, and VP3. Inset is showing origami-filled (left) and empty (right) particles. (B) 3D reconstruction of the empty particles with imposed icosahedral symmetry at ~ 12 Å, showing a cut-through the capsid surface. (C) 3D reconstruction of the origami-filled particles at 18 Å with no imposed symmetry, showing a cut through the capsid surface (DNA origami in yellow). (D) 2D class averages showing the presence of both empty and DNA origami-filled particles (scale bar 100 nm).

SV40 lattice was readily discernible in the VP1/2/3 empty capsid, however, with some kind of distortion (Fig. 2(B), please see below).

The 2D classes of the SV40-like capsid containing the origami in its cavity were further reconstructed into a 3D map from 11 781 particles, with no imposed symmetry due to the asymmetric nature of the DNA origami, (Fig. 2(C)). The structure, resolved at 18 Å, revealed the DNA origami in its details as originally designed and produced,²⁵ including the parallel helices and distinct honeycomb lattice organization, the structural features previously observed in our earlier study.²⁵ The DNA origami appeared encapsulated by a spherical shell, lacking icosahedral features (Fig. 2(C) and ESI Movie,† please see discussion below).

The ratio between empty and origami-filled particles (observed in 2D classification), 17 770 and 27 020 respectively, suggests $\sim 60\%$ encapsulation efficiency.

The unique intracellular trafficking pathway of SV40, part of which takes place in the ER, an unusual destination in the entry pathway of animal viruses, has been extensively studied (reviewed in ref. 26). To enter cells, SV40 binds to its ganglioside GM1 host cell receptor,^{32–34} internalized mainly *via* caveolae-dependent endocytosis and possibly through other entry mechanisms,^{35–38} transported to endosomal compartments including the early and late endosomes^{39,40} from where it is sorted to the ER.^{39–41}

In the ER SV40 undergoes initial capsid disassembly.^{42,43} As a consequence, the internal hydrophobic proteins VP2 and VP3 become exposed⁴⁴ and are thought to promote insertion of the partially disassembled virion into the ER membrane.^{45,46}

Subsequent steps lead to translocation across ER membrane in order to deliver the genome into the nucleus to cause infection. SV40 induces the formation of membranous foci, a structure where several selected ER membrane proteins including BAP31^{45,46} and other critical host ER membrane proteins,⁴⁷ but not ER membrane proteins dispensable for SV40 infection,⁴⁵ are reorganized. A large body of evidence suggests that these virus-induced foci serve as SV40's cytosolic gateway, from where it escapes into the cytosol,^{48–52} to subsequently reach the nucleus by classical nucleocytoplasmic transport.⁵³

A critical question with respect to our nanoparticle is how closely it mimics the interaction of SV40 with host cells. To function as a gene delivery agent, it should retain the efficient trafficking pathway of the native virus. To examine how closely our nanoparticle mimics SV40 cellular interactions, we constructed fluorescent SV40-encapsulated DNA origami particles by assembling VP1/2/3 capsids around Cy5-labeled 35 nm DNA origami. CV-1 cells were transfected with the fluorescent particles, at a ratio of 15 000 particles per cell, and observed by confocal microscopy. Several observations suggest that the VP1/2/3/DNA origami particle uses the same cellular pathway as the native SV40. When viewed in live cells, 6 hours after transfection, VP1/2/3/DNA origami could be seen as numerous, intracellular, bright foci, of variable sizes, dispersed throughout the cytosol, suggesting that VP1/2/3/DNA origami particles effectively enter CV1-cells, the natural host cells of SV40 (Fig. 3(a)). Larger foci may represent organelles that contain multiple particles, as often observed for SV40 infection when a large number of virions is added to cells.^{38,41}



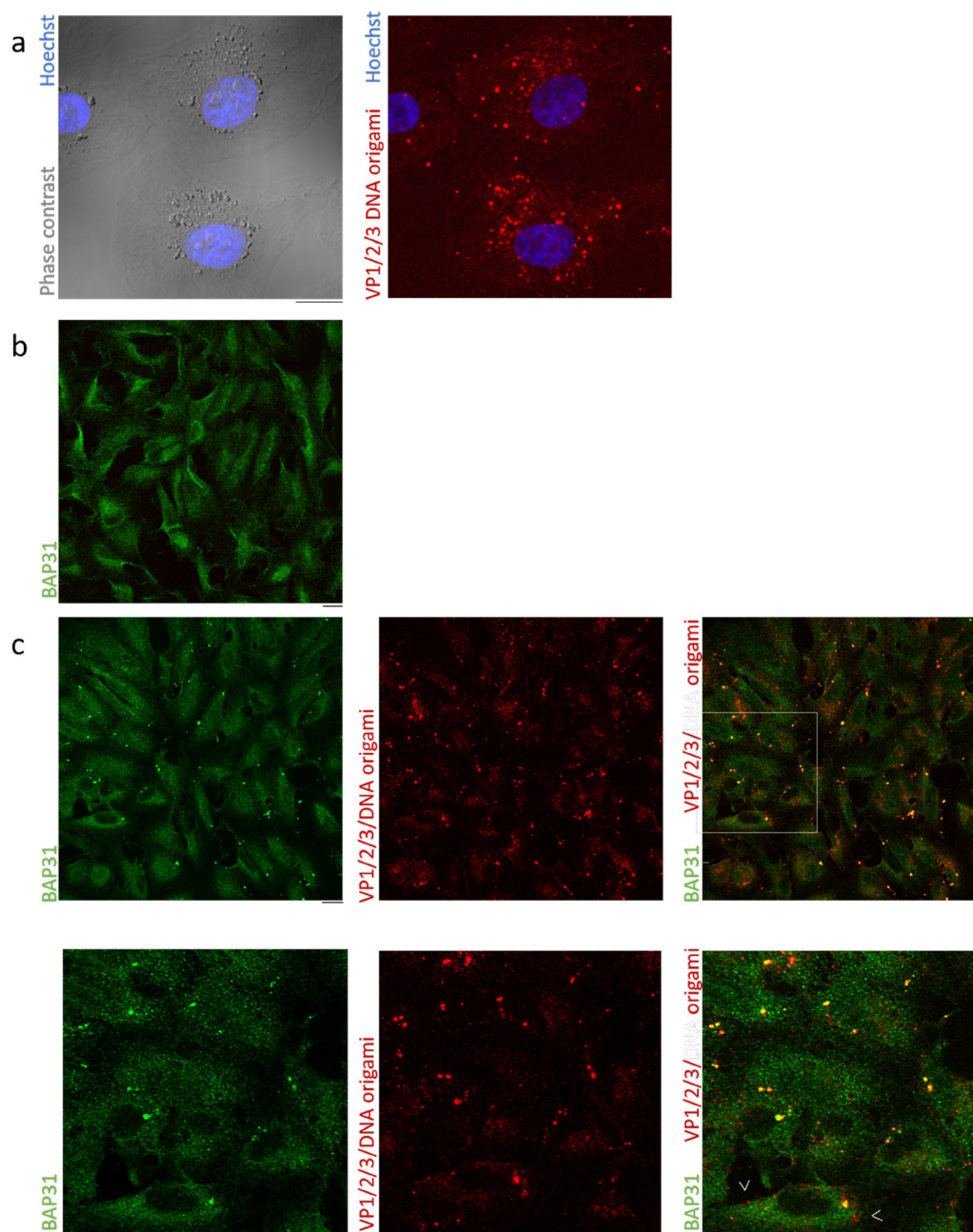


Fig. 3 Fluorescence analysis of CV-1 cells transfected with Cy5 labeled VP1/2/3/DNA origami particle. (a) Live CV-1 cells transfected for 6 h with VP1/2/3/DNA origami particles (red), at a ratio of 15 000 particles per cell, and counterstained with Hoechst nuclear dye (blue), showing effective internalization. A merge of 4 internal cellular sections (scale bar 14 μ m). (b) BAP31 staining (green) in untreated CV-1 cells (scale bar 14 μ m). (c) Top: CV-1 cells transfected for 18 h with VP1/2/3/DNA origami particle (15 000 particles per cell) and stained with BAP31 antibodies (green) showing induction of BAP31 foci. A merge of 4 internal cellular sections (scale bar 14 μ m). Bottom: an enlargement of the square indicated in the merged fluorescence image in the upper row. BAP31 is seen at few spots, mostly perinuclear, in which VP1/2/3/DNA origami particle is strongly colocalized.

To visualize the effects of VP1/2/3/DNA origami particle on BAP31 distribution, CV-1 cells were either left untreated or transfected with the fluorescent particle for 18 hours, and then fixed and stained with BAP31 antibodies. After exposure of cells to VP1/2/3/DNA origami particles, the BAP31 staining dramatically changed with a fraction of the protein now reorganized in discrete foci (compare Fig. 3(b) and (c)). BAP31 foci

were seen in relatively few perinuclear spots (Fig. 3(c) bottom, and Fig. 4(b) below), as often observed for BAP31 foci induced by SV40 infection.^{45,47,48,54} Notably, nearly every detectable BAP31 foci strongly co-localized with the VP1/2/3/DNA origami particle (Fig. 3(c)). In contrast, VP1/2/3/DNA origami foci only partially colocalized with BAP31 foci (Fig. 3(c) bottom, arrowheads in the merged image), consistent with the previous



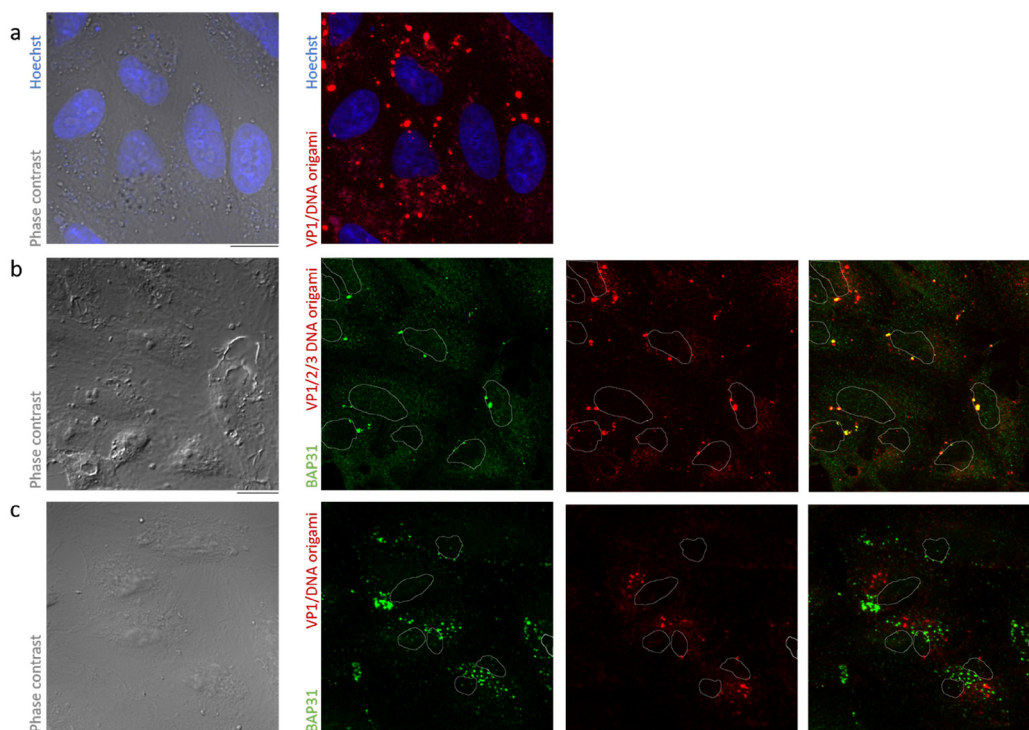


Fig. 4 Fluorescence analysis of CV-1 cells transfected with Cy5 labeled VP1/DNA origami particle. (a) Live CV-1 cells transfected for 6 h with VP1/DNA origami particles (red), at a ratio of 15 000 particles per cell, and counterstained with Hoechst nuclear dye (blue), showing effective internalization, suggesting that VP2/3 is dispensable for the initial stages of cell entry. A merge of 4 internal cellular sections (scale bar 14 μ m). (b) CV-1 cells transfected for 18 h with VP1/2/3/DNA origami particle (15 000 particles per cell) and stained with BAP31 antibodies (green), showing Bap31 as few perinuclear spots, each strongly colocalizes with VP1/2/3/DNA origami. Images are a merge of 3 internal cellular sections (scale bar 14 μ m). (c) CV-1 cells transfected for 18 h with VP1/DNA origami particles (15 000 particles per cell) and stained with BAP31 antibodies showing small and disperse BAP31 foci which are not associated with VP1/DNA origami, suggesting that VP2/3 mediates the interaction with BAP31 foci and may also control their size and location. Images are a merge of 2 internal sections (scale bar 14 μ m).

observations that during SV40 infection, not all the bulk of SV40 that enters cells is contained in the SV40-induced BAP31 foci but only the viral particles in which disassembly has initiated and the internal proteins are exposed.^{47,51}

In previous studies, the abundant ER membrane protein, calnexin, was found to be dispensable for SV40 infection and is not recruited to BAP31 foci.⁴⁵ In agreement, we observed no major reorganization in calnexin staining pattern following transfection with VP1/2/3/DNA origami (compare Fig. S2(a) and S2(b)),[†] suggesting indeed that the dramatic effects of VP1/2/3/DNA origami on ER membrane reorganization are specific to BAP31. Some VP1/2/3/DNA origami foci stained faintly for calnexin (Fig. S2(b)[†] bottom, arrowheads in the merged image).

The interactions of the SV40 with BAP31 foci are thought to be mediated by VP2/3.^{45,47} To examine the effects of depletion of VP2 and VP3 from the capsid on formation of BAP31 foci, we assembled VP1 only capsids around Cy5-labeled 35 nm DNA origami. CV-1 cells were transfected with the fluorescent VP1/DNA origami particles, at a ratio of 15 000 particles per cell, and observed in live cells by confocal microscopy. When cells were viewed 6 hours after transfection, we observed no major differences in intracellular amount, location or appear-

ance of VP1/DNA origami particle compared to the particle that harbors all three proteins (Fig. 4(a)). VP1/DNA origami particle enters CV-1 cells as effectively as VP1/2/3 DNA origami particle, suggesting that VP2/3 is dispensable for the early stages of cell entry. In agreement, SV40 mutant lacking VP3 enters cells and is also properly transported to the ER,^{47,52} suggesting no involvement of VP3 up to ER arrival.

However, when CV-1 cells, transfected with a fluorescent particle devoid of VP2 and VP3, were fixed and immunostained for BAP31 18 hours after transfection, we observed dramatically different effects on BAP31 distribution than observed for the VP1/2/3 particle. Instead of the large perinuclear foci that strongly colocalizes with VP1/2/3/DNA origami particle (Fig. 4(b)), BAP31 foci now lost their perinuclear location and appeared more scattered and smaller (Fig. 4(c)). Strikingly, in the absence of VP2 and VP3, VP1/DNA origami particles were absolutely absent from these foci.

Small and scattered BAP31 foci can be observed in SV40-infected CV-1 cells, in which the expression of the motor protein kinesin-1 has been downregulated,⁵⁴ implicating kinesin-1 in maturation of small BAP31 foci into a large perinuclear one.⁵⁴ Our studies with the synthetic particle may have therefore enlightened an additional and unexpected role for



VP2/3 in mediating the interactions of SV40 with kinesin-1, in addition to affirming their role in engaging BAP31.^{45,47}

Conclusions

Based on the present work, together with our previous work,²⁵ we propose an entirely new type of synthetic gene delivery vectors, enabled by our newly developed technology for *in vitro* packaging of nucleic acid in an authentic viral capsid. The purpose of the capsid is to confer onto the synthetic vector extracellular and intracellular delivery mechanisms, that approach the efficiency of viral delivery, thus overcome many of the limitations of the current synthetic vectors, essentially assemblies of nucleic acid/lipids/polymers that are used for gene delivery today.^{3,5,6,8} We reported previously on the assembly of a prototype, the core of which consists of a large nucleic acid (>7500 nt) folded into a 35 nm DNA origami sphere, encapsulated by a capsid consisting of VP1, the major capsid protein of SV40.²⁵ Here, we made a step further in this direction by incorporating all three SV40 capsid proteins into the particle's capsid and assessing whether the particle preserves some of the functional properties of native SV40.

Our biochemical evidence shows that all three capsid components, are successfully incorporated into the particle's capsid (Fig. S1†). However, the structural analysis of VP1/2/3 capsids by cryo-EM/single particle analysis was not as straightforward as that of a capsid composed of VP1 alone.²⁵ Empty VP1/2/3 capsids are resolved at significantly lower resolution than that of empty VP1 capsids (Fig. 2(B) and ref. 25), which to some extent is expected due to the heterogeneity introduced by VP2 and VP3, as discussed above. Adding to this heterogeneity is the rather unexpected lateral distortion in the pentamers' position, which may be indicative of undesirable interactions within the capsid. Such interactions may stem, for example, from the excess of post-translation modification of VP2 and VP3 produced in insect cells, compared to VP2 and VP3 from mammalian cells (Stanislav Kler, PhD thesis) or from sub-optimal ratio of VP2/VP3 in the capsid.

The lateral distortion in pentamers' coordinates is even more excessive in origami-filled capsids (Fig. 2(C)), an effect that may result from a combination of several factors: first, the two lattices, the DNA origami and the capsid may not be oriented in a fixed position with respect to each other. Our previous study²⁵ of the same DNA origami, encapsulated in a capsid composed of VP1 only, showed that the DNA origami had only limited amount of freedom in its position with respect to the capsid. It is possible that the addition of VP2 and VP3 to the capsid allowed multiple orientations of the DNA origami with respect to the capsid symmetry which may result in the "blurry" capsid observed in the 2D class averages. In addition, interactions within the capsid, including interactions of the capsid proteins with each other and/or their interactions with the DNA origami, a non-native substrate, may further accentuate these blurring effects. Importantly, as VP2/3 directly interact with the viral genome in the native capsid,^{55,56}

they are likely to be also involved in the interactions with the DNA origami in the VP1/2/3/DNA origami particle. In this regard, we would like to acknowledge the cryo-EM study of the native BK polyomavirus, a SV40 family member, in which the icosahedral structure of a native capsid incorporating all three capsid proteins was fully resolved.⁵⁶

Nonetheless, we have made several critical observations that support the notion that VP1/2/3/DNA origami particle closely adopts SV40 intracellular pathway: 1. VP1/2/3/DNA origami particle induces reorganization of the ER membrane protein BAP31 into discrete foci (Fig. 3(c)). 2. VP1/2/3/DNA origami particle strongly localizes in the foci, similar to the native virus (Fig. 3(c)). 3. Induction of foci seems to be selective for ER membrane proteins that promote SV40 infection. The ER membrane protein calnexin which is dispensable for SV40 infection⁴⁵ is not reorganized in these foci (Fig. S2(b)†). 4. VP1/DNA origami particle, devoid of VP2 and VP3, effectively enters CV1 cells (Fig. 4(a)), but is not recruited into the membranal BAP31 foci (Fig. 4(c)), consistent with the role proposed for VP2/3 in mediating virus insertion into the ER membrane^{44,46} and the interactions with BAP31.⁴⁵

This correlation between the entry pathway of our nanoparticle and the native SV40 virus positions our particle as a valuable tool for studying SV40 and may also provide a first proof of concept for feasibility of using synthetic viruses as a general approach for gene delivery. Further studies towards this goal will aim at optimizing the structure of the capsid and modulating the properties of the DNA origami core of our nanoparticle to unfold inside cells to allow access to cell machineries.

Conflicts of interest

IK and SK are employees of Geza Ad Ltd, a biotech company developing gene delivery systems.

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