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Low thermal conductivity in franckeite heterostructures†

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Layered crystals are known to be good candidates for bulk thermoelectric applications as they open new ways to realise highly efficient devices. Two dimensional materials, isolated from layered materials, and their stacking into heterostructures have attracted intense research attention for nanoscale applications due to their high Seebeck coefficient and possibilities to engineer their thermoelectric properties. However, integration to thermoelectric devices is problematic due to their usually high thermal conductivities. Reporting on thermal transport studies between 150 and 300 K, we show that franckeite, a naturally occurring 2D heterostructure, exhibits a very low thermal conductivity which combined with its previously reported high Seebeck coefficient and electrical conductance make it a promising candidate for low dimensional thermoelectric applications. We find cross- and in-plane thermal conductivity values at room temperature of 0.70 and 0.88 W m⁻¹ K⁻¹, respectively, which is one of the lowest values reported today for 2D-materials. Interestingly, a 1.77 nm thick layer of franckeite shows very low thermal conductivity similar to one of the most widely used thermoelectric material Bi₂Te₃ with the thickness of 10–20 nm. We show that this is due to the low Debye frequency of franckeite and scattering of phonon transport through van der Waals interface between different layers. This observation opens new routes for high efficient ultra-thin thermoelectric applications.

Thermoelectric materials are of great interest due to their ability to fabricate devices which convert the waste heat into

electricity. Efficient thermoelectric devices require tuning of the materials Seebeck coefficient, electrical and thermal conductivity.¹ The efficiency of a thermoelectric material is given by the thermoelectric figure of merit, $ZT = (\sigma S^2 T)/k$, and it is proportional to the square of the Seebeck coefficient S and electrical conductivity σ and inversely proportional to the thermal conductivity k .² Therefore, materials combining high S and σ and low k , which are generally rare, are ideal candidates for such devices. Many strategies have been applied to decrease the k without affecting the σ including creation of structural disorders, synthesizing materials with complex crystal structures, and use of organic-hybrid materials or low-dimensional nano-structured materials.³

Layered crystals are known to be good candidates for integration in thermoelectric applications,⁴ such as the Bi₂Te₃-alloys which are among the best performing thermoelectric materials. Exfoliating such crystals, resulting in two dimensional (2D) materials, provide great opportunities to challenge commercially used materials as they offer the unique possibility of engineering their thermal conductivity.^{5–7} By stacking different 2D-materials to create van der Waals (vdW) heterostructures, the phonon mismatch between the layers can be controlled and with the right assembly the thermal conductivity is reduced. Strategies like stacking Bi₂Te₃ exfoliated thin films to form ‘pseudosuperlattice’,^{8,9} stacking graphene and MoS₂ monolayers^{10,11} or inserting different intercalants such as SnS and BiS into TiS₂ vdW gap and creation of superlattices^{12,13} have been successful to decrease the thermal conductivity.

Instead of attempting the often very demanding 2D-materials stacking, another strategy consists in using nature’s ability of creating heterostructures. In contrast to a fabricated 2D-heterostructure, a natural one does not have any issues such as alignment or trapped residues in between the layers, which might cause uncontrolled change of the thermal or electrical resistance. Franckeite is such a material consisting of stacks of SnS₂ – like pseudo-hexagonal (H) and PbS – like pseudotetragonal (Q) layers (see Fig. 1a) which can be isolated by liquid or air exfoliation.^{14,15} It demonstrates high electrical conductance

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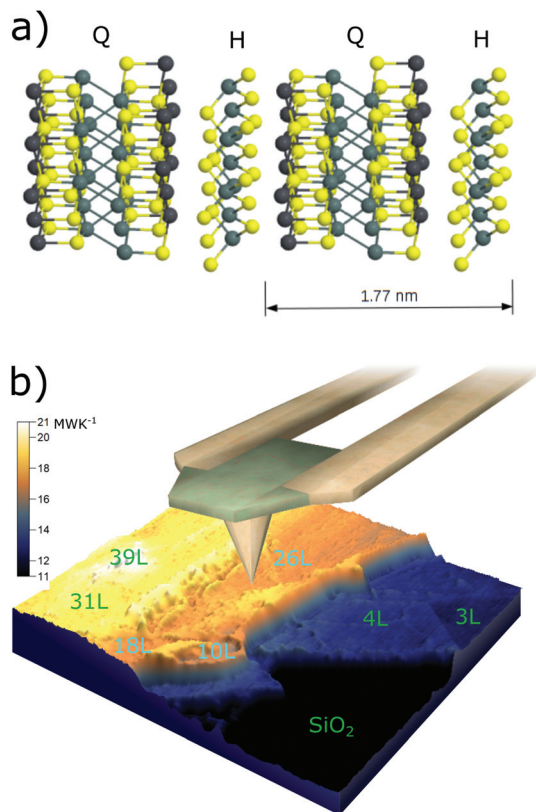


Fig. 1 (a) Crystal structure of Franckeite. (b) Schematic representation of the SThM measurement, with 3D thermal resistance image at $T_s = 156$ K. Number of layers for the different areas are shown on the image (scan dimensions 5×5 μm).

with a narrow bandgap of 0.5–0.7 eV and a Seebeck coefficient of $264 \mu\text{V K}^{-1}$ at room temperature¹⁵ which makes it an attractive candidate for realization of novel thermoelectric devices.

Here, we show that franckeite poses a very low thermal conductivity, which in combination with the high Seebeck coefficient and electrical conductance reported in the literature experimentally and calculated below, make franckeite a very promising candidate for thermoelectric applications. We study the thermal transport properties of thin flakes at various temperatures starting from 150 K up to room temperature with Scanning Thermal Microscopy (SThM). We show that Franckeite H + Q layer has a very low in-plane and cross-plane thermal conductivity compared to other exfoliated or ultra-thin-film materials. This is supported by our Density Functional Theory (DFT) calculations which reveals that Franckeite has a low Debye frequency and therefore has low thermal conductivity.

Fig. 1a shows the molecular structure of layered franckeite. Our calculation using first principle simulations shows that the Debye frequency of franckeite is about $\hbar\omega = 40$ meV. This means that franckeite is a soft material as confirmed by our Ultrasonic Force Microscopy study (see ESI note 2†) and can potentially possess a low thermal conductivity. Motivated by this observation, we isolated franckeite flakes on 280 nm SiO_2

on Si by mechanical exfoliation (see ESI note 5†), resulting in areas of various thicknesses. We thermally characterise the sample by means of high vacuum SThM¹⁶ at sample temperatures, T_s , varying from 150 K–300 K as described elsewhere.¹⁰ Briefly, at each sample temperature, we thermally image the sample and record approach-retract SThM cycles. The tip-sample thermal contact resistance, R_x , for each pixel of the thermal image is obtained from the in-contact SThM image, the out-of-contact SThM signal of the approach-retract curve, and the electrical resistance to temperature SThM probe calibration.¹⁷ Fig. 1b shows a 3D representation of the thermal resistance image acquired at $T_s = 156$ K. Areas with thicknesses varying from 5 to 66 nm can be identified from the topography image (see ESI note 1†). Considering a H + Q layer thickness of about 1.77 nm,¹⁵ we can identify areas consisting of 3, 4, 10, 26, 31, 39 of H + Q layers. The flakes' thermal resistance is higher than the one of Si/SiO_2 substrate and increases with the thickness.

We extracted the average mean thermal resistance for each area and plotted it as a function of thickness at various temperatures (see Fig. 2a). Note, that small local variations in R_x due to some wrinkles formed by the exfoliation process, are reflected in the error bars of the average thermal conductance

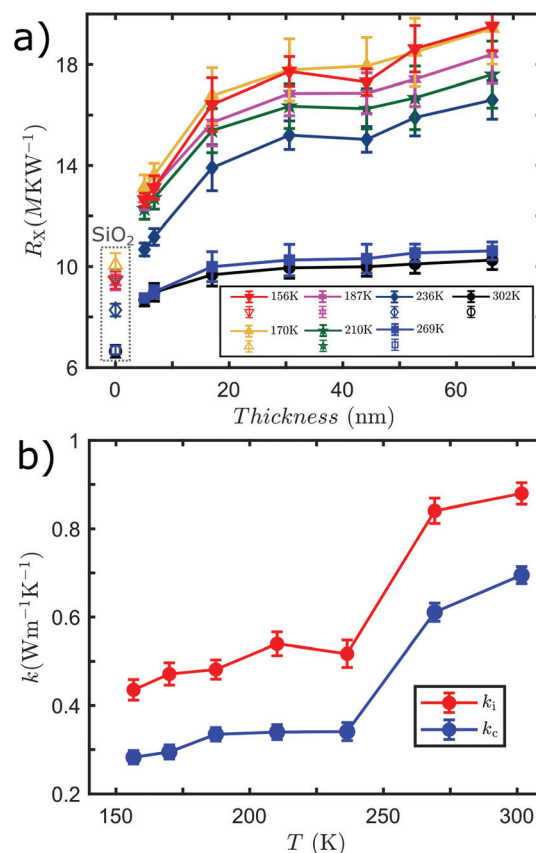


Fig. 2 (a) Thermal resistance R_x as a function of temperature for areas of different thicknesses (b) In-plane k_i and cross-plane k_c thermal conductivity of franckeite H + Q flake of 1.77 nm thickness.



for each area. Furthermore, due to the high resistance of the material itself, the atomic structure of the top layer (H or Q), is not expected to significantly affect the average R_X of the different areas. R_X increases with a high rate for the first 10 layers and then almost saturates, implying that after a certain thickness, we are probing the thermal resistance of bulk franckeite. The increasing resistance with thickness trend is expected for layers with lower or comparable to the substrate thermal conductivity, because they act as extra resistive interfaces for the heat flow to the substrate heat sink. For highly thermally conductive layers, such as graphene, the trend is opposite^{18,19} because they act as extra heat transfer channels. The thermal resistance evolution with thickness could be a purely thickness dependent effect, related with thermal conductivity variation or substrate effect. In general the thermal conductivity of 2D-materials is also affected when they are placed on a substrate due to change in the phonon dispersion and increase of the phonon scattering rate.^{18,20,21}

Regarding the temperature dependence, R_X for all thicknesses decreases with temperature, with the higher rate being for the thicker areas. For thinner areas (less than 10 layers), R_X is dominated by the thermal resistance of SiO₂ as revealed by the similar to SiO₂ thermal resistance (R_{X-S}) trend with temperature (see also ESI note 1†). In contrast, for thicker areas, R_X decreases in a different manner than R_{X-S} . The R_X saturation with temperature for thicker franckeite (more than 10 layers) is different than the SiO₂ trend. This observation implies that for such thicknesses, SThM is more sensitive to the material rather than the substrate properties.

To quantify the thermal conductivity of a single franckeite H + Q layer we assume diffusive thermal transport and thickness independent thermal conductivity. Franckeite, in contrast to other 2D-materials, has a complex structure consisting of heavy atoms which is likely leading to a diffusive thermal transport mechanism.²² For such structures of low thermal conductivity it is not evident that thermal conductivity is strongly influenced by the number of layers.²⁰ Under these assumptions we express the thermal SThM measured resistance as a sum of resistances: $R_X = R_t + R_{int} + R_s$, where R_t is the SThM tip thermal resistance, R_{int} the tip-franckeite thermal boundary resistance and R_s is the sample spreading resistance. R_t and R_{int} are not thickness-dependent and they remain constant for the different sample areas. With the use of a diffusive thermal transport model for layered material on a substrate, we express R_s as a function of the layer thickness and the thermal conductivities of the substrate and the material.^{19,23–26} By fitting the data for each temperature we extract the cross-plane (k_c) and in-plane (k_i) thermal conductivity (see Experimental section and ESI note 3† for more details on the modelling, fitting procedure and accuracy).

In Fig. 2b, k_c and k_i are plotted for each temperature. Both k_c and k_i are found to increase with temperature from 0.28 and 0.44 W m⁻¹ K⁻¹ at 156 K to 0.70 and 0.88 W m⁻¹ K⁻¹ at room temperature, respectively. The thermal conductivity increase rate is much higher for temperatures higher than 240 K, and for temperatures higher than 275 K it tends to saturate. The

anisotropy has a small decrease with temperature, which is possibly related with the activation of some phonon modes with temperature (see also ESI note 4†).

In most solids, at very low temperatures, the phonon mean free path is relatively independent of temperature and thermal conductance increases with temperature until the Debye temperature of the material is reached. Afterward, the thermal conductance increases slightly with temperature. For higher temperatures, due to strong lattice vibrations shortening the phonon mean free path, the conductivity decreases with temperature.²⁷ This behaviour has been reported in different 2D-materials.²⁸ For franckeite, one would expect saturation of thermal conductivity at relatively low temperatures and slight increase afterward due to its low Debye frequency as shown in our k_p calculations in Fig. 3a. However, we observe a sharp increase of the thermal conductivity around 250 K. This behaviour could be related to different mechanisms. First, such behaviour could be the signature of a possible amorphous-crystalline transition. In the case of franckeite, this is very unlikely as franckeite is a crystalline material^{15,29} and no amorphous phase has been reported in our temperature range. Secondly, we cannot exclude the presence of polymers residues, due to the exfoliation process. Such contamination was shown to affect phonon transport of 2D-materials and becomes more important as temperature is decreased.³⁰

To understand the physical mechanisms behind the thermal conductivity values and trends, we calculate the phonon band structure of franckeite (see Fig. 3a) using density functional theory (see ESI note 4†). From the band structure, we calculate the number of open phonon conduction channels in franckeite (Fig. 3b) and its intrinsic thermal conductivity (Fig. 3c). Our calculation shows that there are multiple open phonon channels between 0–16 meV and 20–36 meV but there are very few between 16–20 meV due to a gap in phonon band structure. This gap and relatively low Debye frequency of

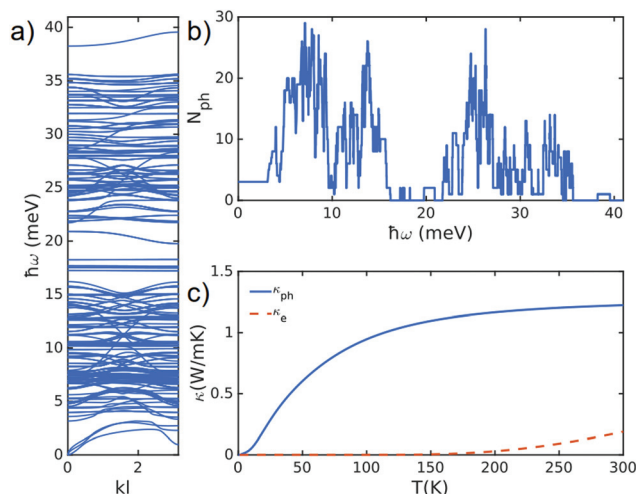


Fig. 3 (a) Phonon band-structure of franckeite with the lattice structure shown in Fig. 1b. (b) Number of open phonon conduction channel and (c) electron and phonon contribution to thermal conductivity.



franckeite leads to a calculated cross-plane thermal conductivity of $\sim 1.2 \text{ W m}^{-1} \text{ K}^{-1}$ at room temperature. This is the intrinsic thermal conductivity of franckeite (upper bound thermal conductivity) because in the calculations, we do not take scattering at the interfaces between electrodes and franckeite layers into account.

In order to calculate Seebeck coefficient and electron contribution to thermal conductance, we perform DFT calculations combined with quantum transport to obtain the number of open conduction channels through franckeite heterostructure. Fig. 4a shows the number of open conduction channels due to electrons. We then use this to calculate Seebeck coefficient in franckeite (see ESI note 4†). Fig. 4b shows the Seebeck coefficient *versus* different Fermi energy of electrodes at room temperature. Around DFT Fermi energy, the calculated Seebeck coefficient approaches values $280 \mu\text{V K}^{-1}$ that is in very good agreement with the measured values³¹ and is similar to the ones of high ZT -value thermoelectric materials for room temperature applications. For example, Bi_2Te_3 synthesized by alloying with Sb, has Seebeck coefficient of $242 \mu\text{V K}^{-1}$ with $ZT = 1.86$ ³² while MgAgSb-based TE materials $285 \mu\text{V K}^{-1}$ with $ZT = 1.1$.³³ Since the thermal conductance in franckeite is smaller than these materials, a higher ZT is expected in franckeite. At DFT Fermi energy, the contribution from electrons to thermal conductance is about $0.1 \text{ W m}^{-1} \text{ K}^{-1}$ which is about 10% of phonon contributions to thermal conductance (Fig. 4c).

The k_c and k_i values at room temperature, to the best of our knowledge, are the lowest values reported up to date for materials with similar thickness including mono- or few-layers of exfoliated materials or ultra-thin films suitable for thermoelectric applications. Fig. 5 shows thermal conductivity values of typical layered thermoelectric materials with thickness in addition to some bulk-materials values. An H + Q franckeite layer has the lowest in-plane and cross-plane thermal conductivities compared to all other materials with similar thickness.

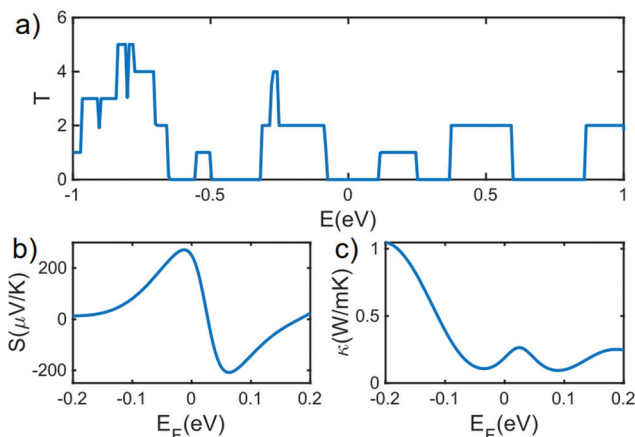


Fig. 4 Electron transport through franckeite. (a) Number of open conduction channels due to electrons calculated using DFT. (b) Calculated Seebeck coefficient at room temperature *versus* Fermi energy of electrodes. (c) Electron contribution to thermal conductance at room temperature *versus* Fermi energy of electrodes.

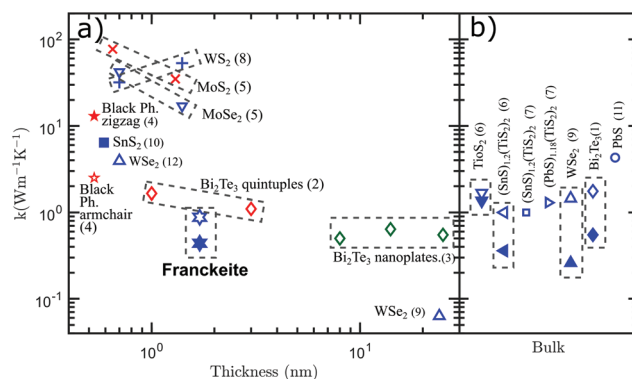


Fig. 5 (a and b) Reported thermal conductivity values of layered materials with thickness (a) and of bulk layered materials (b). Note that, at (b) when two values for the same material are shown they correspond to cross- (filled) and in- (non-filled) plane values. The data comes from: (1),⁸ (2),⁹ (3),³⁴ (4),³⁵ (5),³⁶ (6),¹² (7),¹³ (8),³⁷ (9),³⁸ (10),³⁹ (11),⁴⁰ (12).⁴¹

Interestingly, the thermal conductivity of a 1.77 nm thick H + Q franckeite is similar to that reported for Bi_2Te_3 nanoplates but with a thickness of 10–20 nm as measured³⁴ or calculated theoretically.⁹

Thermal conductivity of a H + Q franckeite is two orders of magnitude lower than WS_2 ³⁷ with this ratio even larger for MoS_2 ³⁶ which is having very high Seebeck coefficient however, being unsuitable for thermoelectrics due to its high thermal conductivity. It is almost an order of magnitude lower than black phosphorous³⁵ which has similar Seebeck coefficient as franckeite. It is just one order of magnitude higher than WSe_2 ,³⁸ which is the lowest thermal conductivity continuous material, but it is lower than its monolayer.⁴¹ Furthermore, franckeite's thermal conductivity is smaller than most bulk layered materials with inserted different intercalants in the vdW gap designed for thermoelectric applications such as $(\text{SnS})_{1.2}(\text{TiS}_2)_2$, $(\text{PbS})_{1.18}(\text{TiS}_2)_2$, $(\text{BiS})_{1.2}(\text{TiS}_2)_2$ and $(\text{SnS})_{1.2}(\text{TiS}_2)_2$. The intercalation method has as a result the creation of superlattices and the decrease of the thermal conductivity of the initial material due to suppressed phonon transport caused by weaker interlayer bonding.¹² In the case of franckeite which has a natural superlattice is interesting to see the relation between the H + Q layers thermal conductivity and H layer itself. The thermal conductivity of SnS_2 layer³⁹ (H layer of franckeite), is almost an order of magnitude higher than the H + Q layers together. This is because of the additional phonon scattering at the interface⁴² between H and Q layers and through Q layer as demonstrated using a tight-binding model in the ESI note 4.†

In summary, with a combined experimental and theoretical study the thermal properties of franckeite natural heterostructure in the nano-scale, for temperatures ranging from 150 to 300 K were studied. In-plane and cross-plane thermal conductivity range from 0.28 and $0.44 \text{ W m}^{-1} \text{ K}^{-1}$ at 156 K to 0.70 and $0.88 \text{ W m}^{-1} \text{ K}^{-1}$, respectively at room temperature. We showed that the low thermal conductivity values are due to the a gap in phonon band structure, the low Debye frequency and



the additional phonon scattering at the interface between H and Q layers of franckeite. These values which are among the lowest reported for 2D-materials and ultra-thin-films, that in combination to the high electrical conductivity and Seebeck coefficient make franckeite a promising candidate for integration to micro-scale thermoelectric applications at room temperature.

Author contributions

Jean Spiece: Writing – original draft, conceptualization, methodology, validation, formal analysis, investigation. Sara Sangtarash: Writing – review & editing, methodology, validation. Marta Mucientes: Investigation, writing – review & editing. Aday J. Molina-Mendoza: Investigation, writing – review & editing. Kunal Lulla: Investigation. Thomas Mueller: Funding acquisition, resources. Oleg Kolosov: Funding acquisition, writing – review & editing, methodology, resources, conceptualization. Hatf Sadeghi: Funding acquisition, writing – original draft, methodology, resources, conceptualization, supervision. Charalambos Evangelis: Writing – original draft, conceptualization, methodology, validation, formal analysis, investigation, supervision.

Conflicts of interest

There are no conflicts to declare.

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