

## PAPER

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# Self-assembly of ultra-small-sized carbon nanoparticles in lipid membrane disrupts its integrity

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Although nanomaterials are widely studied in biomedical applications, the major concern of nanotoxicity still exists. Therefore, numerous studies have been conducted on the interactions of various biomolecules with various types of nanomaterials, including carbon nanotubes, graphene, fullerene *etc.* However, the size effect of nanomaterials is poorly documented, especially ultra-small particles. Here, the interactions of the smallest carbon nanoparticle (NP), C<sub>28</sub>, with the cell membrane were studied using molecular dynamics (MD) simulations. The results show that similar to fullerene C<sub>60</sub>, the C<sub>28</sub> NPs can self-assemble into stable clusters in water. Inside the membrane, the C<sub>28</sub> NPs are more prone to aggregate to form clusters than C<sub>60</sub> NPs. The reason for C<sub>28</sub> aggregation is characterized by the potential of mean force (PMF) and can be explained by the polarized nature of C<sub>28</sub> NPs while the acyl chains of lipids are nonpolar. At the C<sub>28</sub> cluster regions, the thickness of the membrane is significantly reduced by the C<sub>28</sub> aggregation. Accordingly, the membrane loses its structural integrity, and translocation of water molecules through it was observed. Thus, these results predict a stronger cytotoxicity to cells than C<sub>60</sub> NPs. The present findings might shed light on the understanding of the cytotoxicity of NPs with different sizes and would be helpful for the potential biomedical applications of carbon NPs, especially as antibacterial agents.

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## 1. Introduction

With the rapid development of nanotechnology, nanomaterials have attracted enormous attention in various biomedical applications, such as gene detection and gene delivery,<sup>1,2</sup> tumor imaging and therapy,<sup>3–5</sup> biomarkers and probes,<sup>6–8</sup> due to their unique mechanical, optical, and electrical properties.<sup>9–11</sup> Prior to biomedical applications, the major concern of nano-toxicity has attracted extensive attention. Large numbers of theoretical and experimental studies reported the interactions between nanomaterials and biomolecules to understand the mechanistic origins of the bio-toxicity of the nanomaterials. For example, recent studies on the adsorption of proteins onto nanomaterials have shown that both structures and functions

of proteins can be severely affected by nanomaterials such as carbon nanotubes and graphene.<sup>12–23</sup> It is reported that carbon nanotubes can either bind to the receptor protein, thus inhibiting the binding of the native ligand, or directly disrupt the active site of the protein.<sup>12–16</sup> In addition, carbon nanotubes can also affect the dynamic properties of proteins, such as binding to the messenger protein CaM, leading to failure in signaling.<sup>17</sup> Recently, the Zhou group reported that defective graphene can denature protein with a higher speed than ideal graphene.<sup>18,19</sup> There are also many studies on the biosafety of fullerenes and their derivatives. For example, C<sub>60</sub>, the prototype fullerene, is effective in blocking the ion channels of proteins in the membrane.<sup>20</sup> In addition, the Zhao group demonstrated that the metallofullerenol Gd@C<sub>82</sub>(OH)<sub>22</sub> can inhibit the functions of WW/SH3 domains by blocking the ligands binding to the active sites.<sup>21–24</sup> These structural and functional changes of biomolecules can lead to cell death.

As the boundary of the cell to the outside environment, the plasma membrane plays an essential role in controlling the cellular substance exchange. Thus, the interactions of the cell membrane with nanomaterials are possibly the primary origins of nano-toxicity, and thus have been studied extensively. For instance, Zhou *et al.* have demonstrated by experimental and theoretical approaches that graphene nanosheets can penetrate into cell membranes and extract phospholipids from them,

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causing cell death through disrupting the membrane.<sup>25</sup> Very recently, phosphorene has been shown to have similar molecular mechanisms to destruct cell membranes.<sup>26</sup> Due to the nanoscale size of nanomaterials, their interactions with biomolecules are very sensitive to the shape, size and even elemental components of nanomaterials. Besides the interactions of 2D nanomaterials with cell membranes, NPs with different shapes interacting with cell membranes have also been studied. Fullerene C<sub>60</sub> is shaped like a football with a small diameter of 0.7 nm which makes it have unique interactions with the cell membrane interior which is also hydrophobic. Because of the hydrophobic nature, C<sub>60</sub> can easily penetrate into the membrane.<sup>27,28</sup> At high concentrations, C<sub>60</sub> can form clusters in the membrane and cause deformation of the membrane structure.<sup>28,29</sup> Besides C<sub>60</sub>, numerous carbon particles have been reported with different diameters. However, the effects of the size of carbon NPs, especially ultra-sized NPs, which match the size of biomolecules (amino acids, nucleotides, phospholipid headgroups), on membranes have not been documented.

In this work, using C<sub>28</sub>, the experimentally reported smallest NP, as the representative NP, we investigated the binding and distributions of C<sub>28</sub> NPs in lipid bilayer membranes using molecular dynamics (MD) simulations. Our results reveal that, both in water and membranes, C<sub>28</sub> NPs can spontaneously assemble into stable clusters. At high concentrations, the C<sub>28</sub> NPs can aggregate to form clusters inside the membrane. This can cause severe distortion to the membrane structure and cause water translocation through the membrane. This reveals that this ultra-small NP can cause significant strong toxicity which might be even stronger than that of C<sub>60</sub>. This work helps to better understand the size-effect of NPs on their cytotoxicity and could guide the biomedical application studies of nanostructures.

## 2. Methods

### 2.1 Models and simulation setup

The C<sub>28</sub> NP has a diameter of 0.47 nm, which is the smallest experimentally synthesized endohedral metallofullerene.<sup>30</sup> The C<sub>28</sub> NP consists of a C<sub>28</sub> cage and a uranium atom, which is used to stabilize the strongly reactive C<sub>28</sub> cage.<sup>31–33</sup> With the uranium atom, the C<sub>28</sub> cage has net atomic charges. This is different from the C<sub>60</sub> NP which bears no charge and thus is purely hydrophobic.<sup>34</sup> However, while the structures and properties of the C<sub>28</sub> NP have been well-studied by theoretical and experimental approaches, the interaction process of C<sub>28</sub> with biomolecules, like lipid membranes, is yet to be reported. The smallest size of the C<sub>28</sub> particle will bring some diverse results when it interacts with the membrane. Quantum chemical calculations for U@C<sub>28</sub> were performed using the Gaussian 09 program.<sup>35</sup> The geometry of U@C<sub>28</sub> was optimized at the PBE/SDD/6-31G\* level.<sup>36</sup> The standard Gaussian-type basis sets 6-31G\* (ref. 37) were used for C atoms. The Stuttgart/Dresden relativistic effective core potentials (SDD)<sup>38</sup> and their corresponding valence basis sets<sup>39</sup> were applied for the U atom. Single-point energy was calculated for the optimized geometry at the B3LYP/SDD/6-31G\* level<sup>40,41</sup> to obtain the ESP of the

ground state electron density. Subsequently, the atomic charges fit to the ESP at points selected according to the CHelpG scheme<sup>42</sup> were calculated by using Multiwfn program.<sup>43</sup> These atomic charges were then used for the following classical MD simulations.

The membrane model was constructed using the CHARMM-GUI membrane builder,<sup>44</sup> which is composed of 128 POPC lipids with 64 lipids on each leaflet. The membrane was firstly equilibrated for 10 ns at 300 K and 1 bar pressure, and was used as the initial structure for C<sub>28</sub> simulation.

Four different systems were used to explore the interactions of different numbers of C<sub>28</sub> NPs with the membrane: 128 POPC with one C<sub>28</sub> particle (POPC-1C<sub>28</sub>), five C<sub>28</sub> (POPC-5C<sub>28</sub>), ten C<sub>28</sub> (POPC-10C<sub>28</sub>) and twenty C<sub>28</sub> (POPC-20C<sub>28</sub>). Initially, the C<sub>28</sub> NPs were placed with the minimum distance to the lipid membrane surface being larger than 1.0 nm. The complex was then solvated in a water box (6.5 × 6.5 × 10 nm<sup>3</sup>) under periodic boundary conditions. The TIP3P water model was used.<sup>45</sup> The total numbers of atoms are 31 756, 31 749, 31 732 and 31 734 for the four different systems (POPC-1C<sub>28</sub>, POPC-5C<sub>28</sub>, POPC-10C<sub>28</sub>, and POPC-20C<sub>28</sub>) respectively. In addition to C<sub>28</sub>-membrane models, control simulations of pure POPC lipids and POPC lipids with twenty C<sub>60</sub> NPs were also performed for comparison (POPC-20C<sub>60</sub>). In addition, a simulation of twenty C<sub>28</sub> NPs in a water box was also performed to investigate the self-assembly behavior of C<sub>28</sub> NPs.

All the MD simulations were performed with the GROMACS package<sup>46</sup> and the lipids were modeled using the GROMOS53A6 force field.<sup>47</sup> In the simulations, the covalent bonds involving the H atoms were constrained by the LINCS algorithm.<sup>48</sup> The structural configurations were saved every 1 ps for subsequent analysis. The van der Waals (vdW) interactions were calculated with a cutoff distance of 1.0 nm, while the long-range electrostatic interactions were treated with the particle-mesh Ewald (PME) method.<sup>49,50</sup> After energy minimization, the complex systems were equilibrated for 2 ns under the NPT ensemble to achieve 300 K and 1 bar. Then, 2 μs simulation was performed for each system under the NPT ensemble for data collection. The semi-isotropic Berendsen barostat<sup>51</sup> and the v-rescale thermostat<sup>52</sup> were used for pressure coupling and temperature coupling, respectively. Visualizations and analysis were performed using the VMD software package.<sup>53</sup>

### 2.2 Umbrella sampling MD simulation

The association free energies between two C<sub>28</sub> NPs in water and POPC membrane are described as the potential of mean force (PMF) using the umbrella sampling technique. The distance between the center of mass (COM) of two C<sub>28</sub> particles was restrained at certain distance  $d_0$  with a harmonic force

$$F = k \times (d - d_0)$$

where  $k$  is the force constant, 3000 kJ mol<sup>−1</sup> nm<sup>−2</sup>. The distance  $d_0$  (including  $x$ ,  $y$  and  $z$ ) was sampled from 0.60 to 1.50 nm with a step of 0.1 nm. At each distance, 10 ns productive simulation has been generated. The final PMF curve was obtained using the weighted histogram analysis method (WHAM).<sup>54</sup>



The PMF of a single  $C_{28}$  transferring across the lipid monolayer was also calculated with the umbrella sampling technique. The distance between the COM of  $C_{28}$  particle and the COM of the POPC lipids along the normal direction of the membrane was used as a variable. A total of 40 windows were used with a step of 0.1 nm and a force constant of  $2000 \text{ kJ mol}^{-1} \text{ nm}^{-2}$ . For each window, 10 ns simulation has been generated.

### 3. Results and discussion

#### 3.1 The aggregation of $C_{28}$ NPs in water

We firstly simulated the aggregations of twenty  $C_{28}$  particles in water as the preliminary case. As shown in Fig. 1(a)–(c), the  $C_{28}$  NPs were initially well separated and then quickly aggregated to form clusters in three independent simulations. To quantify the self-assembly behavior of  $C_{28}$  NPs, we calculated the number of clusters and monitored the largest cluster size of  $C_{28}$  NPs in POPC-20 $C_{28}$  as a function of the simulation time. Here, the  $C_{28}$  NPs were treated to form a cluster if the minimum distance between the COM of two  $C_{28}$  NPs is within 0.98 nm. Here, the value of 0.98 nm corresponds to the first maximum of the PMF for a  $C_{28}$  dimer to dissociate in water which is presented later. In addition, an isolated  $C_{28}$  particle is treated as the smallest cluster. As shown in Fig. 1(d) and (e), at  $t = 0$  ns, the number of clusters and the largest cluster size were respectively 20 and 1 because the NPs were well separated. From 0 ns to 33 ns, the number of clusters gradually reduces and the largest cluster size shows a stepwise increase. After 33 ns, there is no significant change in both the number of clusters and the largest cluster size. At the end of the simulation, the biggest cluster consisting of 12, 9 and 13  $C_{28}$  NPs was formed in three trajectories respectively. This phenomenon is similar to the self-assembly

behavior of  $C_{60}$  NPs in water because of the generally hydrophobic nature of the two types of NPs. To describe the aggregation behavior of  $C_{28}$  NPs in water, we calculated the PMF of two  $C_{28}$  NPs as a function of their COM–COM distance. As shown in Fig. 2(c), the minimum at a distance of 0.78 nm corresponds to the direct contact pair (DCP) of two  $C_{28}$  NPs. The dissociation energy barrier is about  $11 \text{ kJ mol}^{-1}$ , thus  $C_{28}$  NPs tend to aggregate in water because the DCP state is the global minimum. In addition, we also calculated the PMF of two  $C_{28}$  NPs as a function of their COM–COM distance in the membrane. As shown in Fig. 2(c), at a small distance of less than 0.9 nm, the PMF profiles of two  $C_{28}$  NPs in water and POPC are almost the same. The reason is that two  $C_{28}$  NPs form direct contacts because there is no water or lipid molecules located between them as shown in the 2D density contour maps in Fig. 2(c). Thus the interactions are less affected by the environments. At a larger distance, there are water/lipids between the two  $C_{28}$  which can regulate the interacting energy profile, thus the PMF profiles are different at a large distance.

#### 3.2 The intrusion process of $C_{28}$ NPs into the membrane

To better understand the intrusion process of the  $C_{28}$  particle into the membrane, we firstly simulated one  $C_{28}$  particle interacting with the membrane. The location of  $C_{28}$  (measured from COM) with respect to the membrane is calculated to reflect the  $C_{28}$  position and shown in Fig. 3(a). At the beginning of the simulation, the  $C_{28}$  particle and membrane were well separated, and the  $C_{28}$  particle stayed in the bulk water with a distance 3.0 nm away from the center of the membrane. Then the  $C_{28}$  particle quickly loaded to the surface of membrane at about  $t = 50$  ns, and the COM distance quickly decreases to  $\sim 2.0$  nm.

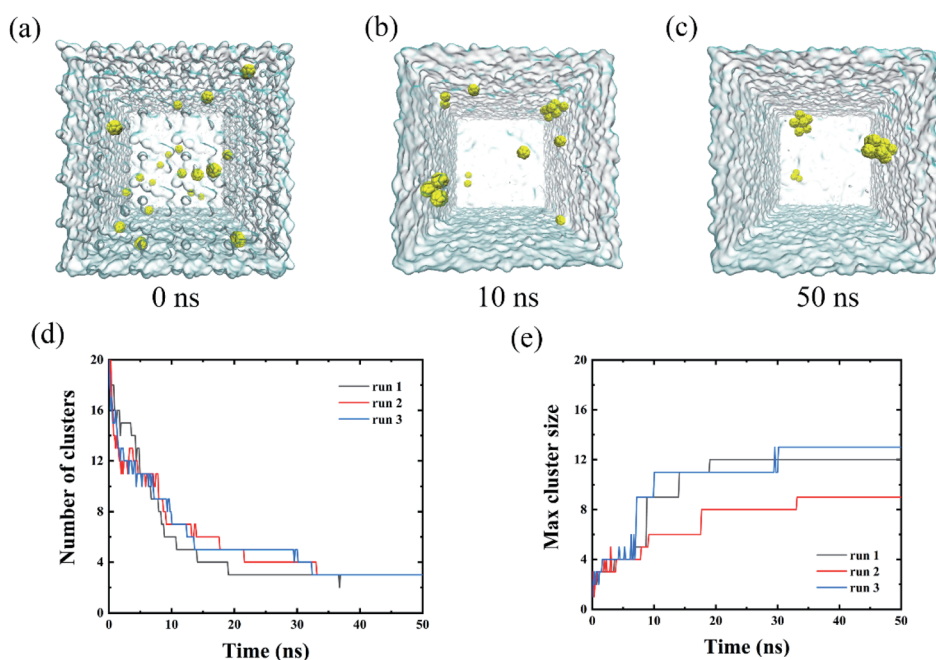


Fig. 1 (a)–(c) Three representative structures at different simulated time points of 20 NPs in water. (d) The number of aggregated clusters and (e) the largest cluster size as a function of simulation time.



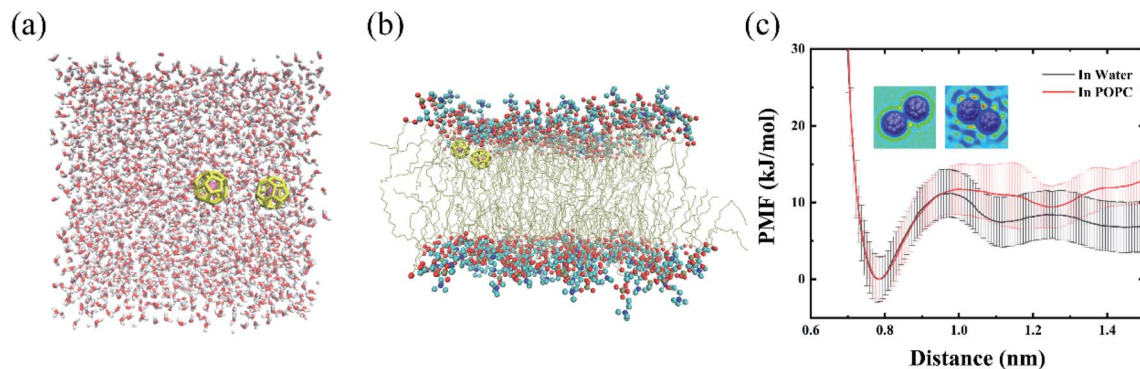


Fig. 2 Snapshots of two  $C_{28}$  NPs in water (a) and membrane (b) used in umbrella sampling simulations. For clarity, the water molecules are not shown in (b). (c) The free energy profiles of two  $C_{28}$  NPs with respect to their COM–COM distance in water and POPC membrane. The direct contacting state (DCS) at 0.78 nm is considered to have a free energy of zero. In (c), the two dimensional density contour maps of the water (left) and lipids (right) of  $C_{28}$  in a cross-section at the COM–COM distance of 0.90 nm are shown.

Then, the curve declines sharply because the  $C_{28}$  particle intruded into the membrane. We noticed that the  $C_{28}$  prefers to stay at the position which is  $\sim 1.0$  nm away from the center of the membrane although random translocations between the two leaflets of the membrane were detected. In detail, three translocation cases happened in 2000 ns simulation. This tendency has also been observed in previous atomistic simulations where  $C_{60}$  particles stayed 1.0 nm away from the membrane center. The dotted line in the middle in Fig. 3(a) is the center of the membrane. In Fig. 3(b), the location of the density peaks of the PCN (the phosphate and part of the choline) can be regarded as the upper and lower boundaries of the membrane which are represented by the two dotted lines in Fig. 3(a).

To better characterize the binding affinity between the  $C_{28}$  and the membrane, we calculated the PMF of the  $C_{28}$  particle during insertion into the membrane. As shown in Fig. 3(c), the PMF is a two-step decrease from bulk water to the membrane interior. The first step is from the water to the membrane

boundary (from 4 nm to 2.25 nm) where the energy well is about  $-14.84$  kJ mol $^{-1}$ . Then the  $C_{28}$  particle overcomes the energy barrier of approximately 2 kJ mol $^{-1}$  to pass through the membrane surface. This phenomenon has been reported for various types of nanoparticles interacting with the membrane. For instance, the Gao Huajian group reported that the energy profiles of NPs with various types of shapes uniformly show a barrier at the water–bilayer interface.<sup>55,56</sup> This is due to the fact that the head groups of lipids are highly charged resulting in high density and high viscosity. The second step is from the inner side of the hydrophobic region to the position 1 nm away from the center of the membrane with an energy decrease of  $-17.54$  kJ mol $^{-1}$ . When the  $C_{28}$  particle further moves to the membrane center (from 1.02 nm to 0 nm), it will be hindered by a  $\sim 9$  kJ mol $^{-1}$  energy barrier. Therefore, the  $C_{28}$  particle prefers to locate at the position which is 1.0 nm away from the center of the membrane. To understand the origin of this PMF barrier, Marrink *et al.* simulated the insertion of  $C_{60}$  into the membrane and found that the stable position of  $C_{60}$  is about 0.7 to 1.3 nm

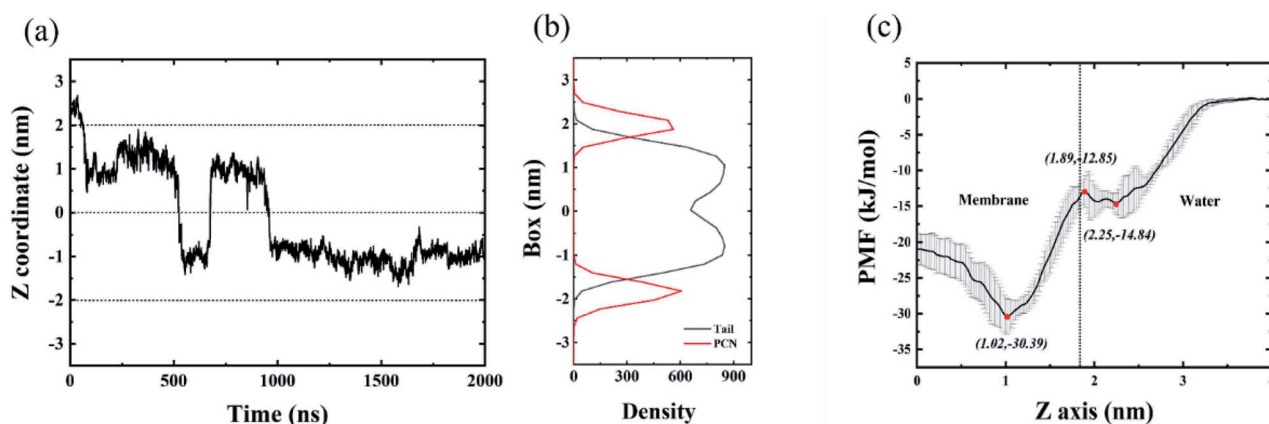
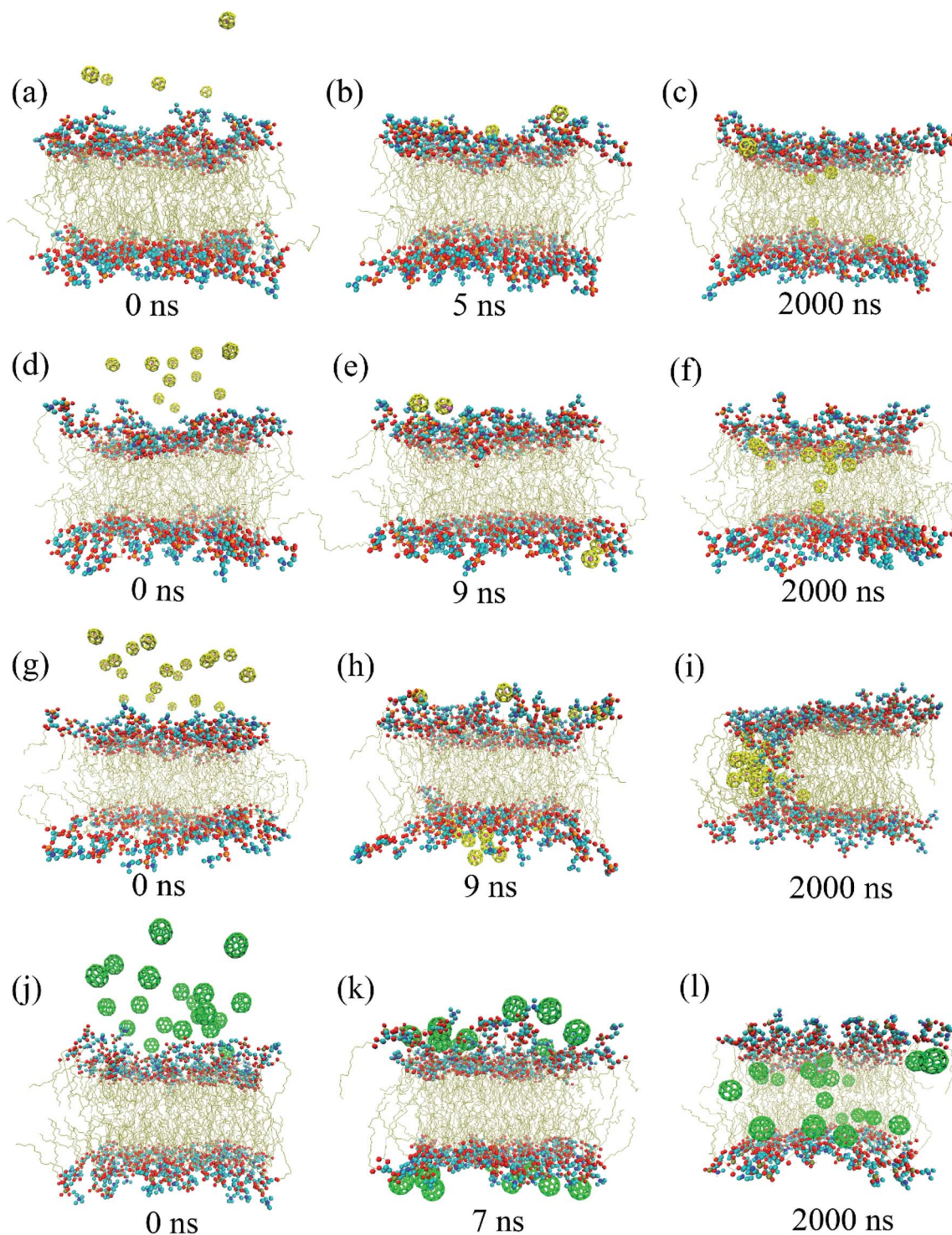


Fig. 3 (a) The z coordinate of the  $C_{28}$  particle in the membrane as a function of the simulation time, the three dashed lines indicate the borderlines of the membrane to water and the center of the membrane which is shown in (b). Density profiles for PCN (the phosphate and part of the choline ( $\text{CH}_2\text{CH}_2\text{N}$ )) and the fatty acid tails of the lipid as a function of the distance from the membrane center ( $z = 0$ ). (c) PMF of a  $C_{28}$  particle from water into the center of the bilayer ( $z = 0$ ). Error bar is also shown.







**Fig. 4** Three representative structures at different simulation times in the POPC-5C<sub>28</sub> (a)–(c), POPC-10C<sub>28</sub> (d)–(f), POPC-20C<sub>28</sub> (g)–(i) and POPC-10C<sub>60</sub> (j)–(l) systems. Oxygen (red), nitrogen (blue), carbon (cyan), and phosphorus (orange) atoms in head groups are shown as spheres, while the phospholipids are represented as a tan line. The C<sub>28</sub> and C<sub>60</sub> NPs are shown in yellow and green color respectively. For clarity, the water molecules are not shown.

away from the center of the membrane.<sup>57</sup> This is similar to our present study of C<sub>28</sub> although the specific position differs. They proposed that the friction coefficient increases upon increasing the penetrant size, making it difficult for the penetrant to pass

through this region. This is well consistent with unbiased MD results in Fig. 3(a).

In order to investigate whether a similar phenomenon occurs when a large number of C<sub>28</sub> intrudes into the membrane,



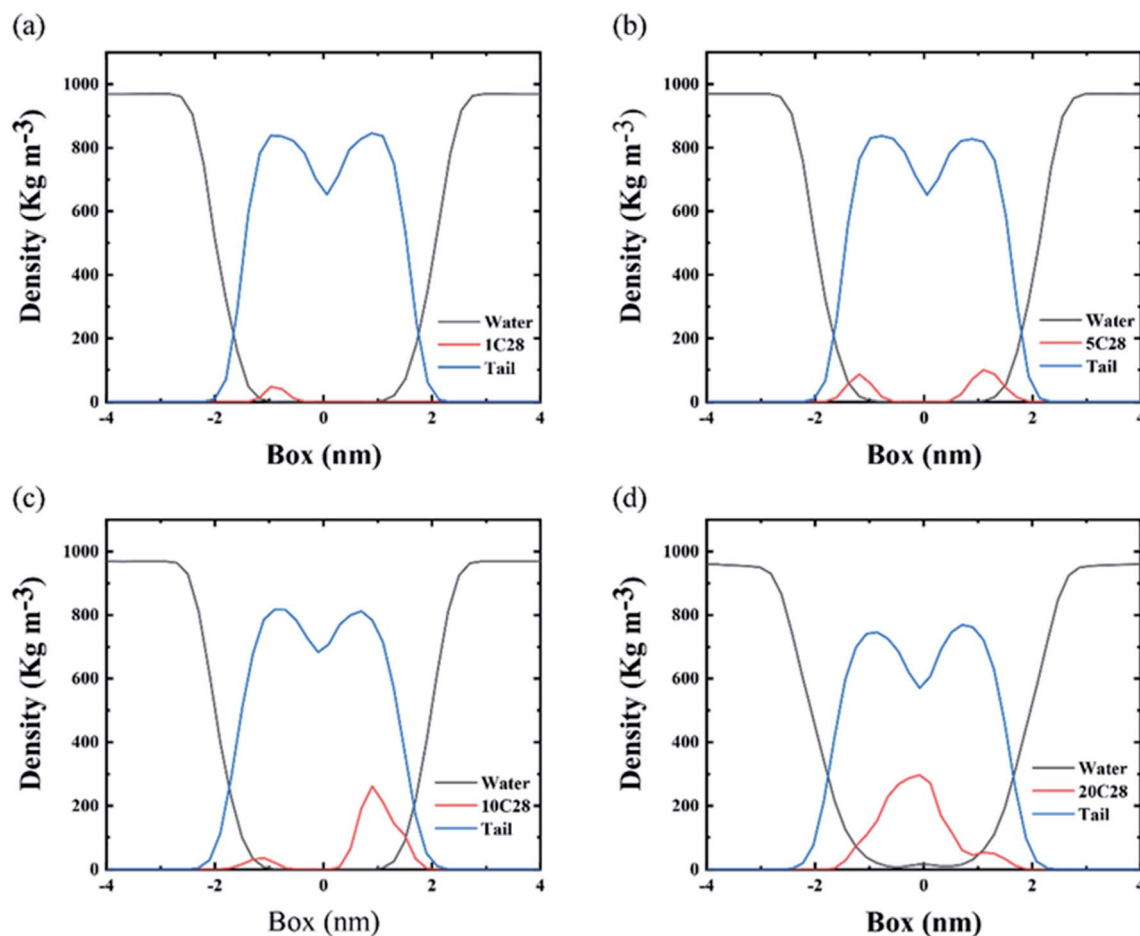


Fig. 5 Density profiles for various parts as a function of the distance from the membrane center ( $z = 0$ ) in the POPC-1C<sub>28</sub> (a), POPC-5C<sub>28</sub> (b), POPC-10C<sub>28</sub> (c) and POPC-20C<sub>28</sub> (d) systems. All the data are averaged over the last 200 ns simulation.

up to 2000 ns simulations were generated for the three systems (POPC-5C<sub>28</sub>, POPC-10C<sub>28</sub>, POPC-20C<sub>28</sub>). Fig. 4 summarizes three representative structures during 2000 ns simulations for the POPC-5C<sub>28</sub> (Fig. 4(a)–(c)), POPC-10C<sub>28</sub> (Fig. 4(d)–(f)) and POPC-20C<sub>28</sub> (Fig. 4(g)–(i)). At  $t = 0$  ns, the C<sub>28</sub> NPs uniformly stayed in the bulk water and away from the membrane. Then the C<sub>28</sub> NPs quickly loaded the surface of the lipid membrane within a few nanoseconds. Thereafter, the C<sub>28</sub> NPs began to intrude into the lipid membrane. Once they penetrated into the membrane interior, the C<sub>28</sub> NPs stayed in the membrane and outward translocation back to the bulk water was never observed.

The three systems reveal different distributions of C<sub>28</sub> in the membrane. For a small C<sub>28</sub> ratio of POPC-5C<sub>28</sub> and POPC-10C<sub>28</sub>, C<sub>28</sub> NPs are generally dispersed in the membrane. In contrast, at a high C<sub>28</sub> ratio, the distribution of C<sub>28</sub> in the membrane is different. As shown in Fig. 4(g)–(i), the C<sub>28</sub> NPs aggregated and formed a large cluster after penetrating into the membrane interior. This phenomenon is different from the two simulations with low C<sub>28</sub> concentration in Fig. 4(a)–(f), which is also in sharp contrast to the C<sub>60</sub> NPs in the membrane, where the aggregation degree of C<sub>60</sub> NPs was significantly lower (Fig. 4(l)). The aggregation behavior of C<sub>28</sub> can be explained by the polarized nature of C<sub>28</sub> NPs while the acyl chains of lipids are

nonpolar. Thus, inside the membrane, C<sub>60</sub> NPs (totally nonpolar) are better dispersed than C<sub>28</sub> (partially polar). More importantly, the C<sub>28</sub> cluster caused severe structure disruption to the membrane as shown in Fig. 4(i) where the polar “heads” of lipids were found in the interior of the membrane.

In order to describe the distributions of different number of C<sub>28</sub> NPs inside the membrane, we calculated the density profiles of various components of the model including water, C<sub>28</sub> and lipid tail across the bilayer normal direction. Here, the density profiles were calculated from the last 200 ns of each simulation. As shown in Fig. 5(a)–(c), at low C<sub>28</sub> ratio, POPC-1C<sub>28</sub>, POPC-5C<sub>28</sub> and POPC-10C<sub>28</sub>, the density peaks of C<sub>28</sub> are mainly distributed at the position which is about 1.0 nm away from the membrane center while at the center of the membrane the existence of C<sub>28</sub> is rare. In contrast, for the POPC-20C<sub>28</sub> system, a clear peak of C<sub>28</sub> appears at the center of the membrane, indicating strong aggregation of 20C<sub>28</sub> inside the membrane.

To quantitatively assess the tendency of C<sub>28</sub> aggregation in the membrane, we compared the PMF of two C<sub>28</sub> NPs as a function of their COM–COM distance in water and the membrane. As shown in Fig. 2(c), the dissociation energy barrier in the membrane is about 12 kJ mol<sup>−1</sup>. At a distance of 1.5 nm (fully separated state), the free-energy in POPC is



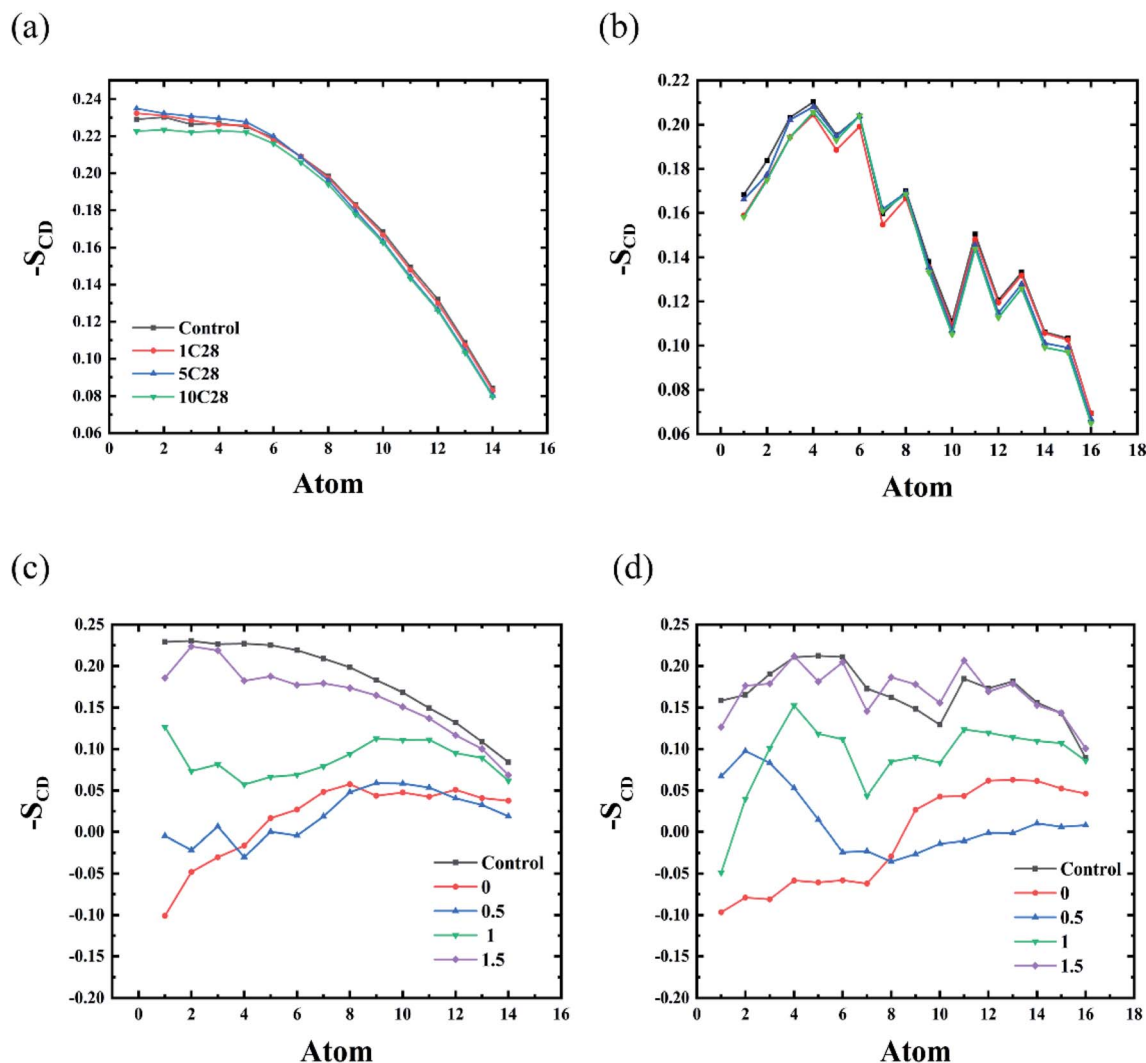


Fig. 6 The deuterium order parameters  $S_{CD}$  of the whole membrane calculated for the sn-1 (a) and sn-2 (b) fatty acyl chains for the pure membrane, POPC-1C<sub>28</sub>, POPC-5C<sub>28</sub> and POPC-10C<sub>28</sub> systems. For POPC-20C<sub>28</sub>, the deuterium order parameters  $S_{CD}$  of lipids at different distances from the cluster were calculated for the sn-1 (c) and sn-2 (d) fatty acyl chains.

approximately  $13 \text{ kJ mol}^{-1}$ , which is larger than  $6.8 \text{ kJ mol}^{-1}$  in water. The results indicate that C<sub>28</sub> NPs have a biased tendency to aggregate into a large cluster in the membrane and the cluster is very stable. After overcoming the dissociation energy barrier, the PMF curve is relatively smooth, indicating that the aggregations of C<sub>28</sub> occur only at high concentrations.

### 3.3 The effect of C<sub>28</sub> and C<sub>60</sub> NPs on POPC membrane structure integrity

Many nanomaterials, such as C<sub>60</sub>, graphene, phosphorene, and phosphorene carbide, can damage the structural integrity of membranes.<sup>25–27,58</sup> The C<sub>28</sub> NPs also can lead to the deformation of the membrane, because of the aggregation of C<sub>28</sub> in the lipid bilayer. In order to investigate the effect of C<sub>28</sub> on the lipid membrane, we firstly calculated the deuterium order parameters  $S_{CD}$  of lipid bilayers in the four simulated systems. It is generally known that  $S_{CD}$  can well describe the average orientation of the deuterium bond vector with respect to the bilayer

normal direction. Here, the  $S_{CD}$  parameters for two carbon chains, sn-1 and sn-2, were calculated according to the following equation<sup>59</sup>

$$S_{CD} = \frac{3\langle \cos^2 \theta \rangle - 1}{2}$$

where  $\theta$  is the angle between the bilayer normal and the vector from C<sub>i</sub> to its deuterium atom, and angular brackets represent an ensemble average.

The deformation induced by C<sub>28</sub> NPs on the membrane depends on its concentration. At low concentrations of C<sub>28</sub> (POPC-1C<sub>28</sub>, POPC-5C<sub>28</sub>, POPC-10C<sub>28</sub>), C<sub>28</sub> NPs are dispersed in the membrane, so we calculated the deuterium order parameter for the whole membrane over the last 200 ns of each simulation. As shown in Fig. 6(a) and (b), compared to the pure membrane, only slight changes of  $S_{CD}$  curves were observed upon the C<sub>28</sub> insertion in the POPC-1C<sub>28</sub>, POPC-5C<sub>28</sub> and POPC-10C<sub>28</sub> systems. Thus, at low concentrations, C<sub>28</sub> NPs caused negligible





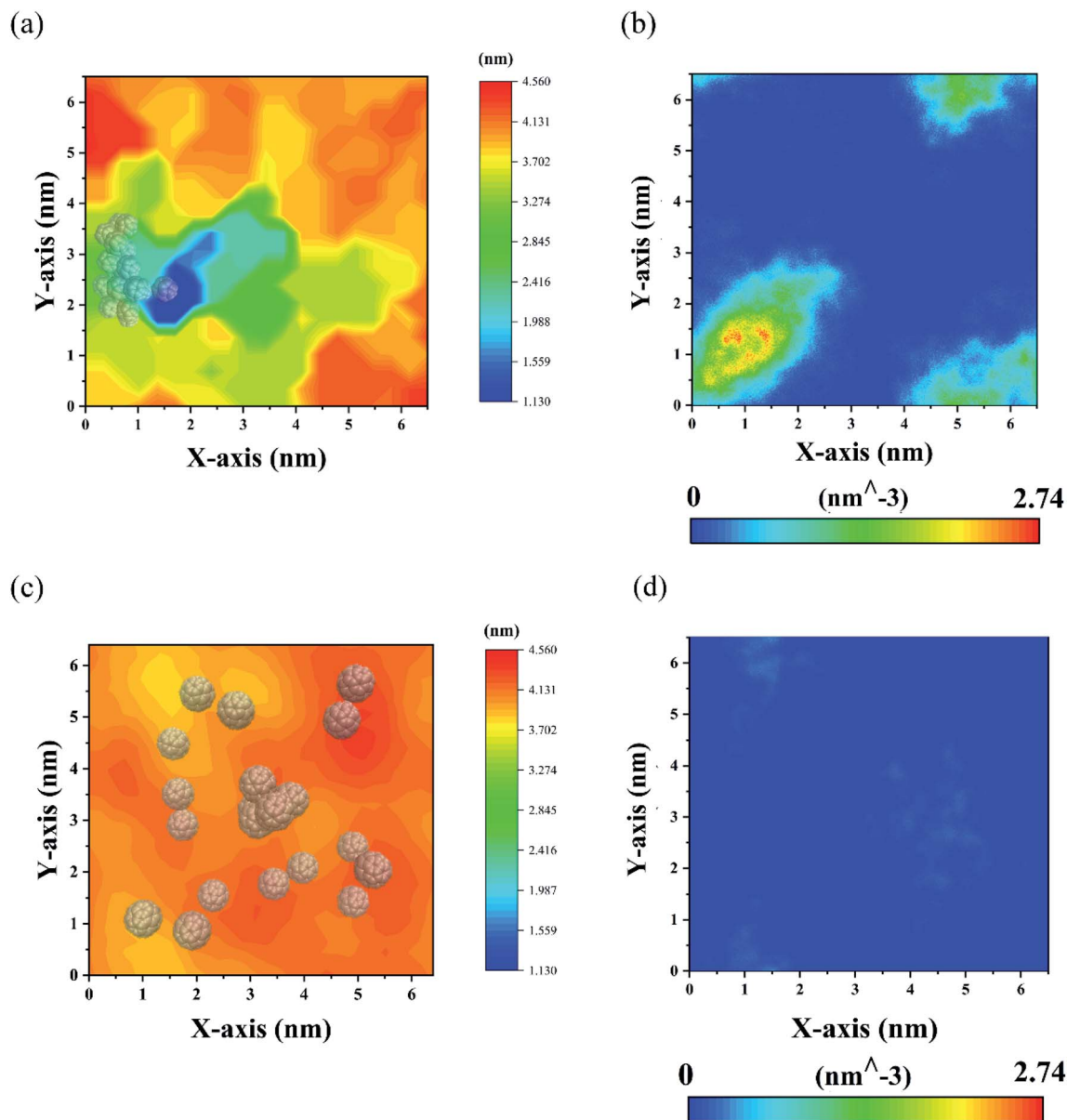


Fig. 7 2D thickness contour of the membrane of (a) POPC-20 $\text{C}_{28}$  and (c) POPC-20 $\text{C}_{60}$ . (b) and (d) depict the relative water density in the membrane. The locations of the  $\text{C}_{28}$  and  $\text{C}_{60}$  NPs are also shown in (a) and (c).

changes to the membrane. This is because of both the ultra-size of  $\text{C}_{28}$  and the disaggregation inside the membrane. In contrast, for the POPC-20 $\text{C}_{28}$  system, the  $\text{C}_{28}$  NPs aggregated into a large cluster, so we calculated the  $S_{\text{CD}}$  of lipids with respect to distances of lipids from the  $\text{C}_{28}$  cluster. As shown in Fig. 6(c) and (d), when the lipids are close to the  $\text{C}_{28}$  clusters, there was a significant change in  $S_{\text{CD}}$ , indicating significant distortion of the membrane. However, the effect of the  $\text{C}_{28}$  cluster on lipids gradually decreases with the increase of distance. At a distance of 1.5 nm, the  $S_{\text{CD}}$  curve is very close to the normal value. This indicates that the effect of  $\text{C}_{28}$  NPs on the membrane structure is local.

The change of membrane structure of POPC-20 $\text{C}_{28}$  can be directly assessed by the membrane thickness which was

calculated by the software GridMAT-MD.<sup>60</sup> As shown in Fig. 7(a), the thickness of the membrane is significantly decreased near the  $\text{C}_{28}$  cluster, which is due to the large number of  $\text{C}_{28}$  NPs invading the membrane and attracting the head groups of lipids toward the center of the membrane. As shown in Fig. 7(b), this usually results in translocations of water through the membrane which can cause cell death during the experiment.<sup>25</sup> However, distant from the  $\text{C}_{28}$  cluster, the thickness of the membrane gradually recovered to the normal value (3.85 nm). This is in line with  $S_{\text{CD}}$  results in Fig. 6(c) and (d) that the  $\text{C}_{28}$  cluster has a significant but localized effect on the lipid structure. In contrast, for twenty  $\text{C}_{60}$  in the membrane, the change of membrane thickness is much less than that of  $\text{C}_{28}$  NPs with the same concentration as can be seen in Fig. 7(c). Moreover, there





are almost no water molecules in the membrane which is in sharp contrast to the case of C<sub>28</sub>. Thus, at high concentrations, the C<sub>28</sub> NPs have a larger effect on the structural integrity of membrane lipids than C<sub>60</sub> NPs. This might point to a stronger cytotoxicity for the cell which surely deserves further experimental validation.

## 4. Conclusion

In summary, we investigated the interactions of the sub-nanometer C<sub>28</sub> particles in a lipid membrane using molecular dynamics (MD) simulations. The results show that similar to C<sub>60</sub>, the C<sub>28</sub> NPs can self-assemble into stable clusters in water. In the membrane, the C<sub>28</sub> NPs are more prone to aggregate into big clusters than C<sub>60</sub> NPs. Thus, C<sub>28</sub> clusters have a larger effect on the structural integrity of membrane lipids than C<sub>60</sub> NPs and the thickness of the membrane is significantly reduced by the C<sub>28</sub> clusters, causing water translocation. The reason for this phenomenon is characterized by the potential of mean force (PMF) and can be explained by the polarization nature of C<sub>28</sub> NPs while the acyl chains of lipids are nonpolar. By comparing the structural changes of the membrane with the existence of C<sub>28</sub> or C<sub>60</sub>, a possibly stronger cytotoxicity of C<sub>28</sub> is proposed which deserves future experimental validation. This finding is of crucial importance in terms of the biosafety of ultra-small carbon NPs.

## Conflicts of interest

There are no conflicts to declare.

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