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# How gap distance between gold nanoparticles in dimers and trimers on metallic and non-metallic SERS substrates can impact signal enhancement†

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The impact of variation in the interparticle gaps in dimers and trimers of gold nanoparticles (AuNPs), modified with Raman reporter (2-MOTP), on surface-enhanced Raman scattering (SERS) intensity, relative to the SERS intensity of a single AuNP, is investigated in this paper. The dimers, trimers, and single particles are investigated on the surfaces of four substrates: gold (Au), aluminium (Al), silver (Ag) film, and silicon (Si) wafer. The interparticle distance between AuNPs was tuned by selecting mercaptocarboxylic acids of various carbon chain lengths when each acid forms a mixed SAM with 2-MOTP. The SERS signal quantification was accomplished by combining maps of SERS intensity from a Raman microscope, optical microscope images ( $\times 100$ ), and maps/images from AFM or SEM. In total, we analysed 1224 SERS nanoantennas (533 dimers, 648 monomers, and 43 trimers). The average interparticle gaps were measured using TEM. We observed inverse exponential trends for the Raman intensity ratio and enhancement factor ratio *versus* gap distance on all substrates. Gold substrate, followed by silicon, showed the highest Raman intensity ratio (9) and dimer vs. monomer enhancement factor ratio (up to 4.5), in addition to the steepest inverse exponential curve. The results may help find a balance between SERS signal reproducibility and signal intensity that would be beneficial for future agglomerated NPs in SERS measurements. The developed method of 3 to 1 map combination by an increase in image transparency can be used to study structure–activity relationships on various substrates *in situ*, and it can be applied beyond SERS microscopy.

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## Introduction

Since its discovery in 1977,<sup>1,2</sup> surface-enhanced Raman spectroscopy (SERS) has become a powerful analytical technique, widely used for the detection of various analytes at low concentrations. According to citation data from Web of Science, the number of publications in this field has increased exponentially from 1979 to 2011.<sup>3</sup> In comparison with many other analytical methods, SERS is a highly sensitive, rapid, humidity-independent analytical method with strong potential for multiplexed detection.<sup>4</sup> Because of these and other advantages, SERS is widely used in molecular biology,<sup>5–8</sup> biomedicine,<sup>9–11</sup> and environmental science.<sup>12</sup> Moreover, SERS is capable of achieving single-molecule detection.<sup>13–16</sup> However, various factors can affect the reproducibility of SERS assays, such as pH, the degree of nanoparticle aggregation, temperature, and substrate composition.<sup>17</sup> Therefore, investigating these effects on the enhancement of the SERS signal is still an important topic for research.

The main principle of SERS lies in the enhancement of Raman scattering using two different mechanisms: (a) an electromagnetic field enhancement and (b) a chemical enhancement. Electromagnetic enhancement arises from light-induced electric fields on the surface of a metal nanoparticle. These enhanced electric fields are generated when the incident light is in resonance with the oscillations of conduction electrons of the metal nanoparticle, which makes the conduction electrons oscillate collectively. This optical phenomenon is called localized surface plasmon resonance (LSPR).<sup>18</sup> Electromagnetic enhancement increases Raman scattering by a factor of at least  $10^4$ . On the other hand, chemical enhancement arises from the localized electronic resonance of adsorbate or charge transfer resonance from a surface to the metal nanoparticle, resulting in an enhancement of  $10^{2-4}$ .<sup>19</sup> Nanoparticle stability, in terms of aggregation, particle metal oxide composition, surface chemistry, *etc.*, plays an important part in the nanoparticle's plasmonic properties,<sup>20</sup> thereby affecting SERS signal reproducibility.

In the last 40 years, the main substrates of choice for SERS and other spectroscopic techniques were noble metals such as gold and silver, as these metals possess the ability to provide and sustain intense plasmon resonances in the visible-near infrared region, where the wavelength range of interest for

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Raman measurements is located.<sup>21</sup> However, rare noble metal films are relatively costly substrates, and they may suffer from contamination with S-containing compounds and/or corrosion (especially Ag film).<sup>22,23</sup> There are other plasmonic metals such as aluminum, which is plasmon tunable in a relatively broad UV/visible range and is passivated by a thin layer of oxide.<sup>24</sup> There have been reports on the application of aluminum as an inexpensive, versatile, and sensitive substrate for SERS, with excitation in the visible range.<sup>24–27</sup> Besides metal substrates, the use of silicon wafer as a SERS substrate is quite widespread and has shown promising results in several studies.<sup>28–30</sup> Silicon wafer is significantly cheaper than plasmonic metal substrates and has remarkable stability that is on par with its biocompatibility. Furthermore, the Si wafer's low plasmonic properties can help analyze the relationship between nanoparticles, excluding the effect from the substrate.<sup>31</sup> Therefore, we would be interested in SERS studies using aluminum film and silicon wafer, as well as SERS studies using gold and silver films.

In 2010, the Van Duyne group found that the highest enhancement of SERS signal results from the creation of strong EM fields between two nanoparticles with subnanometer interparticle distance. These sites of extraordinarily high signal enhancement were named “hot spots”.<sup>32–34</sup> Wustholz *et al.* reported a study on a limited number of SiO<sub>2</sub>-encapsulated Au nanoparticles on a TEM grid, but not on any plasmonic substrate; they found that EFs were hardly correlated with aggregation state. In other words, variability in EFs within each kind of nanoparticle exceeded any possible difference between them. For instance, the average EF for 30 monomers ( $1.0 \pm 0.2 \times 10^8$ ) was nearly the same as for 6 dimers ( $9.9 \times 10^7$ ), 12+ trimers ( $1.1 \times 10^8$ ), *etc.*<sup>32</sup> Silver dimers encapsulated in SiO<sub>2</sub> were studied by Li *et al.* and polarization-dependent EFs of dimers were found to be 1 to 10 times higher than the EFs of monomers, depending on polarization.<sup>35</sup>

According to Savage *et al.*,<sup>36</sup> the behavior of electrons and EM fields for nanoparticles with gap distances higher than 1 nm can be explained by classical electrodynamics. In contrast, this approach cannot be used for sub-nanometer interparticle distances. According to recent studies, the decrease of the interparticle gap below one nanometer increases the effect of quantum tunneling and nonlocal screening, which reduces the enhancement of the electric field.<sup>37–41</sup>

One of the simplest systems in which such “hot spots” occur are nanoparticle agglomerates. By comparing the Raman signal from dimers and trimers to the signal from a single nanoparticle, it is possible to investigate the effect of agglomeration and the influence of “hot spots” on SERS signal enhancement. It was found that the plasmonic coupling in dimers and trimers leads to higher signal enhancement. It was reported by the Lopez group that an array of 60 nm AuNP dimers yielded enhancement factors as high as  $10^9$ .<sup>42</sup> In addition, Tserkezis *et al.* found that a change in the interparticle gap from 2.5 nm to 0.5 nm results in a  $10\times$  EM field enhancement at the hot spot.<sup>43</sup> This phenomenon becomes more powerful as the level of agglomeration increases from dimer to trimer.<sup>44</sup> According to Steinigeweg *et al.*, the SERS intensity of the AuNP trimer is twice as large as that of the AuNP dimer.<sup>45</sup>

The formation of uniform metal nanoparticle agglomerates with small and reproducible interparticle distances would be ideal for developing SERS analytical techniques. One of the methods that can assist in achieving this goal is lithographic techniques. Such techniques can produce highly ordered nanoparticle clusters with a controlled interparticle gap smaller than 10 nm. However, the main disadvantage of this method is the relatively high cost of production.<sup>46–48</sup> On the other hand, the self-assembly method can provide cost-efficient and reproducible metal nanoparticle agglomerates with a tunable interparticle distance. Self-assembled monolayers (SAMs) are employed on the surfaces of metal nanoparticles to change the interface properties of the nanoparticles.<sup>49–51</sup> For example, Lu *et al.* showed controllable interfacial assembly of subnanometer nanogaps in large-scale monolayer gold nanoparticle film by using cross-linkage with photopolymerizing acrylamide at the air–water interface.<sup>52</sup> Similar interfacial assembly approach has been shown by Song *et al.*, where they prepared controllably aligned agglomerates of gold nanorods using mercaptopolyethylene glycol and hydrophobic sulfhydryl molecules in the water–oil interface.<sup>51</sup> Another study by Hill *et al.* showed that by using mercaptocarboxylic acids with different lengths of carbon chain as a monolayer, it is possible to control the interparticle distance of the nanoparticles while modifying the thickness of the layer between substrate and nanoparticles.<sup>53</sup>

Using the map combination technique, we probe the hotspot contribution to SERS signal through a controlled change of the interparticle gap and SAM thickness in more than a thousand particles. There is still not sufficient information in the literature about the effect of these changes on the Raman signal for gold nanoparticles, either on the surface of Au film or on the surface of Ag film, Al film, or Si wafer. Nevertheless, recent works by the Schlucker group have cast light on the plasmonics in ideal gold nanospherical dimers with varying gap distances by using alkanedithiol linkers, displaying the potential use of these particles for precision plasmonics.<sup>54,55</sup> These ideal dimers were characterized in solution and on the surface of glass slides. However, for real-life SERS applications, the use of commercially available (not ideally spherical) metal NPs is still more prevalent. Many sensing applications of SERS use gold nanoparticles on the surface of gold or other metal films as SERS substrates.<sup>11,25,26,56</sup> However, most of the published investigations of individual NP aggregates' SERS properties were performed on the surface of non-metallic substrates like glass,<sup>45,47</sup> silicon-based substrates<sup>35,46</sup> or TEM grids.<sup>32</sup>

Super-resolution SERS imaging developed by the Willet group can achieve a high resolution (<10 nm) in hot-spot imaging, but it is still relatively complicated and not very economical for an ensemble measurement of a statistically significant number of agglomerated/associated nanoparticles.<sup>34</sup> Typically, only one or several dimers/trimers were studied with super-resolution SERS in a single publication.<sup>57</sup> On the contrary, the combination of AFM and Raman maps can be used to collect and average data from many individual nanostructures with reasonable efficiency. Van Hoorn *et al.* investigated the properties of reactive surfaces – they made a SERS substrate from gold evaporated through a TEM grid, producing 100 by 100  $\mu\text{m}$  golden islands and making it easy



to match the AFM image and Raman map of each island.<sup>58</sup> Another approach for AFM and Raman combination was introduced by Sweetenham *et al.*<sup>59</sup> They performed simultaneous AFM-Raman mapping of a large-scale 2D array of metal nanostructures, with bilayers of long-chain fatty acids and phospholipids, on the surfaces of glass and sapphire substrates. One more example of AFM-Raman map combination was performed by the group of Prokopec.<sup>60</sup> They investigated the effect of change in experimental conditions of large surface area substrate synthesis on SERS enhancement, using AFM and Raman spectral mappings. Similarly, using AFM and Raman map combination, Sergiienko *et al.* analyzed SERS signal from 643 single particles, dimers, or trimers.<sup>61</sup>

Sergiienko *et al.* revealed that the agglomeration of gold and silver nanoparticles on the surface of gold substrates does not have a considerable impact on SERS signal, especially for homometallic systems like AuNPs on Au film, particularly for extrinsic Raman labels (ERLs). These ERLs or nanoparticles modified with antibodies may have a SAM height of several nanometers, but TEM measured the gap between ERLs to be about 3–4 nm. However, signal intensity upon dimerization increased significantly only for a plasmonic metal nanoparticle on a non-plasmonic (Si) substrate.<sup>61</sup> In the present paper, we investigate how a controlled change of interparticle distance/gap and SAM thickness impacts SERS signal from dimers and trimers, in comparison to the signal from single gold nanoparticles, by using four different plasmonic substrates (Au film, Ag film, Al film, and Si wafer). To modify an average interparticle gap in the range of about 0.8–2.3 nm, we use variable carbon chain length mercaptocarboxylic acids, which form a mixed SAM with the same kind of Raman reporter (4-MOPT molecule). These SAMs are prepared on the surface of commercial gold nanoparticles of 100 nm average diameter.

## Experimental

### Chemicals and consumables

2-Methoxythiophenol (2-MOTP, 184055 Aldrich, CAS number 7217-59-6), AuNPs (742023 Aldrich,  $d = 100$  nm,  $C = 7.80 \times 10^9$  particles per ml), acetonitrile (34888 Aldrich, CAS number 75-05-8), UP water, 2-mercaptopropionic acid (16583 Aldrich, CAS number 79-42-5), 4-mercaptopbutyric acid (CDS004545 Aldrich, CAS number 13095-73-3), 4-mercaptopbenzoic acid (706329 Aldrich, CAS number 1074-36-8), 6-mercaptohexanoic acid (674974 Aldrich, CAS number 17689-17-7), 8-mercaptooctanoic acid (675075 Aldrich, CAS number 74328-61-3), 12-mercaptododecanoic acid (675067 Aldrich, CAS number 82001-53-4), 16-mercaptohexadecanoic acid (674435 Aldrich, CAS number 69839-68-5). Substrates: gold, silver, and aluminum films of 100 nm thickness on glass slides of 1 mm thickness and silicon wafer were purchased from EMF Corporation, USA.

### Preparation of samples

3 ml pf commercial gold nanoparticles was diluted with 11.6 ml of UP water and sonicated for 5 minutes in an ultrasonic bath. This was then filtered with a 0.45  $\mu\text{m}$  filter. Next, 1050  $\mu\text{l}$  of NP

suspension was mixed with 1020  $\mu\text{l}$  of UP water, 10  $\mu\text{l}$  of MOTP, and 20  $\mu\text{l}$  of 2.5 mM mercaptocarboxylic acid solution (C3, C4, C6, C8, C12, or C16) for 8 hours. Then, each suspension of AuNPs was centrifuged for 5 min; the supernatant was discarded, re-suspended with ultrapure water, and stirred. This cycle of centrifugation/resuspension was repeated three times to eliminate thiols in the suspension. After that, the suspension was diluted 27 times by mixing with water. Finally, 10  $\mu\text{l}$  of each suspension was drop-cast on Au film, Ag film, Al film, or Si wafer substrate (12  $\times$  12 mm each). The same volume of suspension was drop-cast on TEM grids for TEM measurement. After the droplet had dried, it was ready for measurements.

### Measurements and calculations

**Raman measurement.** The map resolution is 161  $\times$  161 pixels, with a step of 0.5  $\mu\text{m}$  and a map size of 80  $\times$  80  $\mu\text{m}$ . Spectrum acquisition time, 0.8 s; range, 800–1400  $\text{cm}^{-1}$ ; 633 nm He–Ne laser; laser power, 5% or 0.3 mW;  $\times 100$  objective; reflection autofocus at each point. Raman mapping was performed with a LABRAM Horiba Raman microscope. The average Raman map acquisition time was about 13–15 hours (the maximum practically available time for standard usage instruments at the NU core facility).

Single-pixel spikes were excluded from the maps by comparing intensity in the suspected spike with the intensity of neighboring pixels, written in the Excel program. The maximum background-adjusted Raman (SERS) signal is taken at one (maximum) point for all measurements by default. However, for samples of dimers and monomers on Al film, the SERS signal at two points (highest and 2nd highest) were used in the calculation of average intensity and EF ratios, since taking two points instead of one would smooth outliers or unfiltered spikes. This would result in smaller error bars and standard deviations in the reported ratios, as compared to the default (1 point maximum calculation).

**AFM measurement.** Map size, 20  $\times$  20  $\mu\text{m}$ ; 2000  $\times$  2000 pixels; scan rate, 0.4 Hz. AFM measurement was performed with a Smart SPM 1000 Scanning Probe Microscope System from AIST NT.

**SEM measurement.** Scan speed – 5, column mode – high resolution, mag – 1.18 KX. SEM was performed with a Zeiss Crossbeam540 SEM (Germany).

**TEM measurement.** Direct measurement of the geometric characteristics of the nanoparticles was carried out using a JEM-2100 transmission electron microscope (Jeol Ltd, Japan). The study was carried out at an accelerating voltage of 200 kV in a magnification range of  $\times 80\,000$ – $\times 120\,000$ . The common zone illuminated by the electron beam was about 2  $\mu\text{m}$ , which allows, if needed, evaluation of the level of irradiation from the beam. For each sample, 15 gap distances in dimers were taken and measured. Fig. 2 shows the most typical dimer and trimer images for each sample.

**Gap distance measurement.** The distances between nanoparticle dimers and trimers were measured by using TEM maps of samples with different mercaptan chain lengths. An example of this measurement can be observed in Fig. 2. For each sample,



the gap distances for 15 different dimers were averaged. It should be noted that, in most cases, nanoparticles in dimers and trimers were in contact with each other by faces, examples of which can be observed in Fig. 2. The results of TEM gap distance measurement are presented in Table S7.† The minimum interparticle gap distance was measured only in those dimers and trimers that have optimal (clear gap view) orientation with relatively transparent interparticle gap (see Fig. S22 of ESI†).

### Combination

1. The Raman map was visualized with OriginPro software, as seen in Fig. 1A and B.
2. Large Raman maps and smaller AFM or SEM maps were transferred to the Adobe Photoshop image manipulation program.
3. The Raman map was made stationary in the program, and AFM or SEM maps were carefully aligned to the Raman map by

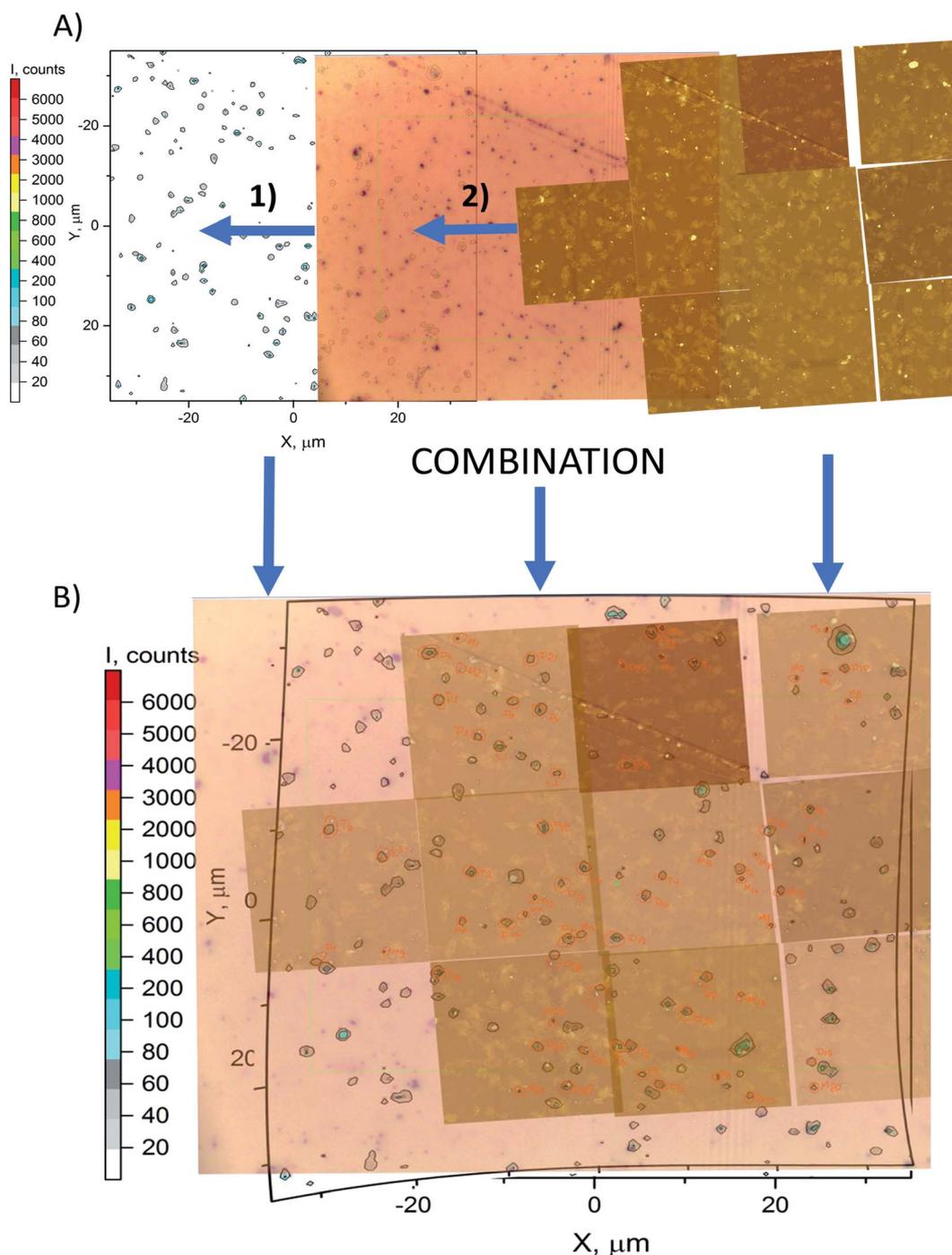


Fig. 1 (A) Map combination process: (1) alignment of optical image on Raman map; (2) alignment of AFM maps on the combination of optical image and Raman map. (B) Result of the combination process.



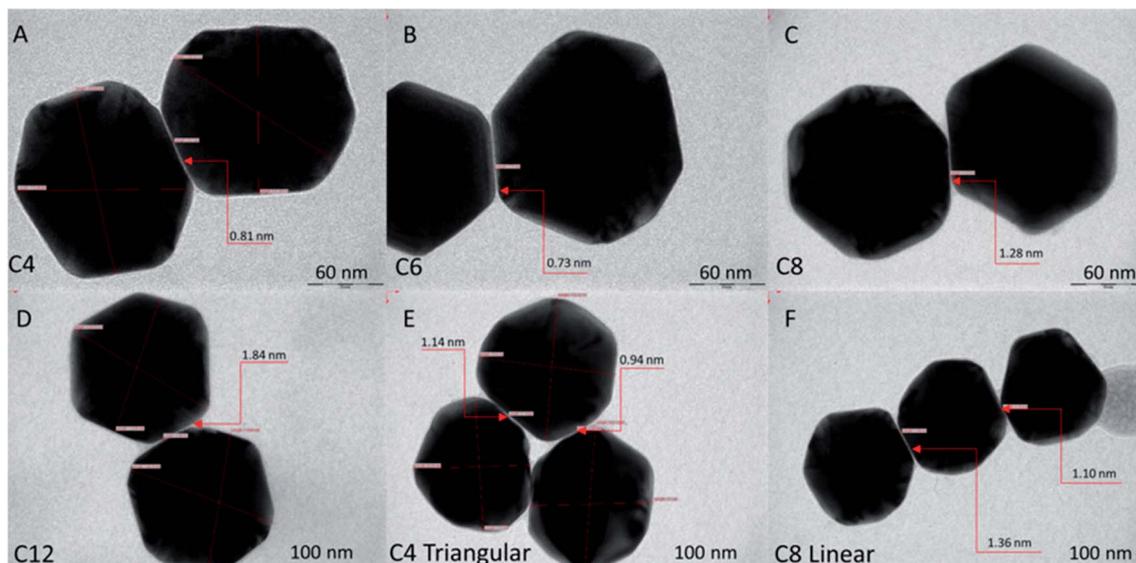


Fig. 2 TEM images of AuNPs modified with mixed SAMs of 2-MOTP and mercaptocarboxylic acid. (A–D) Representative dimers of AuNPs. (E and F) Trimers in triangular and linear conformations.

decreasing their transparency and overlapping Raman signals to the nanoparticles in AFM and SEM maps (see Fig. 1).

4. In the case of AFM overlapping,  $\times 100$  microscope images were used to ensure topographical matching.

5. In the process of overlapping, the discrepancies in map orientations and proportions were compensated by slightly distorting the map images.

6. After alignment, each monomer, dimer, and trimer in the map overlap region was located and marked, as seen in Fig. 1A and B.

7. Then, every marked particle was identified in either AFM software or SEM software and underwent gap and surface area measurements.

8. Next, the Raman signal of each aligned particle was located by the OriginPro program and recorded.

9. Finally, standard data analysis and visualization were performed with spatial and Raman parameters known for each particle in the map region.

## Results and discussion

### Raman spectra and map combination analysis

All Raman spectra for maps were taken by using 633 nm laser excitation. Our previous study found that excitation with a 633 nm laser produces higher Raman intensity and subsequently higher signal-to-noise ratio than 532 nm laser excitation.<sup>61</sup> This was explained by a superior electron-hole generation rate and an increase in the number of electrons and holes interacting with the gap mode upon 633 nm laser excitation.<sup>62</sup> The measured Raman spectra for 2-MOTP Raman reporter on the surface of Au and Ag substrates can be seen in Fig. 3. Spectra of different substrates represent samples modified with different mercaptocarboxylic acids. By analyzing the representative 2-MOTP Raman peak between 1030 and

1045  $\text{cm}^{-1}$ , we can observe that an increase in mercapto chain length leads to a decrease in Raman intensity for monomers, dimers, and trimers. This is the direct effect of changes in nanoparticle-nanoparticle and nanoparticle-substrate gap distance. We can also see that Raman signal enhancement decreases with a reduction of agglomeration, which shows an emergence of “hot spots” in dimers and trimers.

By using the map combination method, we were able to characterize 1224 nanoparticles according to their agglomeration, size and other spatial parameters, and Raman signal intensity. Of these 1224 nanoparticles, 533 are dimers, 648 are monomers, and 43 are trimers. Therefore, on average, the observed nanoparticle association process has produced 44% dimerization and only 4% trimerization.

However, we did not count nanoparticles in agglomerations higher than trimers, which suggests that the ratio of agglomerated nanoparticles to monomers is even higher. Ultimately, the use of this map combination technique provided us with an efficient way to quantify the large amount of numerical and visual data, which was used for subsequent data analysis. High-resolution examples of the combination can be seen in ESI Fig. S12–S31.†

### Raman intensity comparison across four substrates

Hereafter, we report results from measurements on four different substrate materials for SERS: gold and silver films, the most common SERS substrate materials to be referenced, due to their widespread use; aluminum film and silicon wafer, which serve as alternatives for common plasmonic metal substrates. Results of our experiments using these substrates can be observed in Fig. 4–7 and ESI Tables S1–S5, S9.† These tables show that the nanoparticles, both monomers and dimers, show higher signals on the gold substrate. The measurements on silver film substrate were second in intensity, followed by



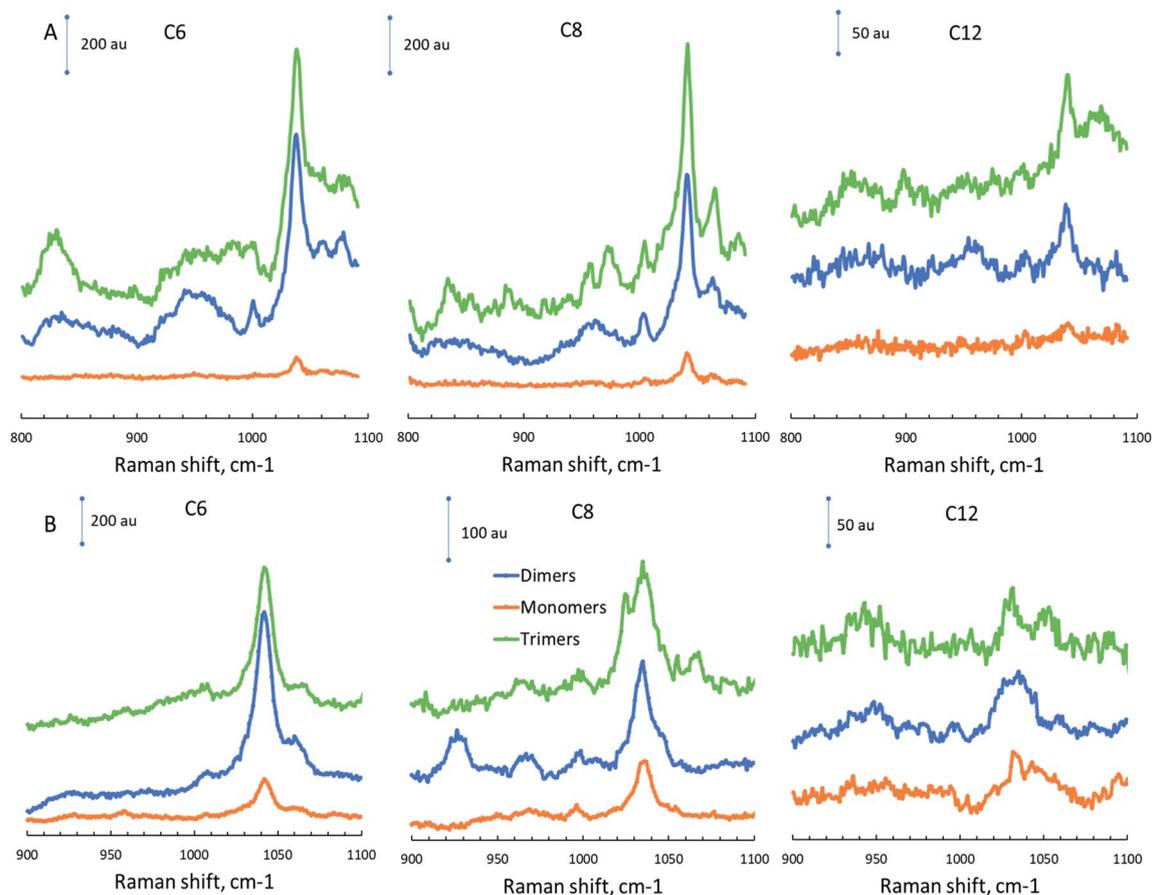


Fig. 3 Raman spectra for AuNPs with different mercaptan chain lengths (C6, C8, C12) on (A) Au substrate and (B) Ag substrate.

measurements on aluminum film and silicon wafer. However, the order of magnitude of signal on gold and silver monomers is the same, and for C8, C12, and C16 monomers, the signal is, on average, about the same on both substrates. A similar slight advantage in SERS EF for ERLs (60 nm diameter AuNPs modified with antibodies and 4-nitrobenzenethiol) on gold film *vs.*

SERS EF for the same ERLs on silver film was reported by Sergiienko *et al.* (EF  $4.4 \times 10^5$  on gold; EF  $3.4 \times 10^5$  on silver).<sup>61</sup>

The advantages of a gold substrate can be explained by its unreactive nature, while both metals have a high electron density of  $5.9 \times 10^{22} \text{ cm}^{-3}$  ( $5.86 \times 10^{22} \text{ cm}^{-3}$  for silver), and a lower onset for the interband transition (620 nm for gold and

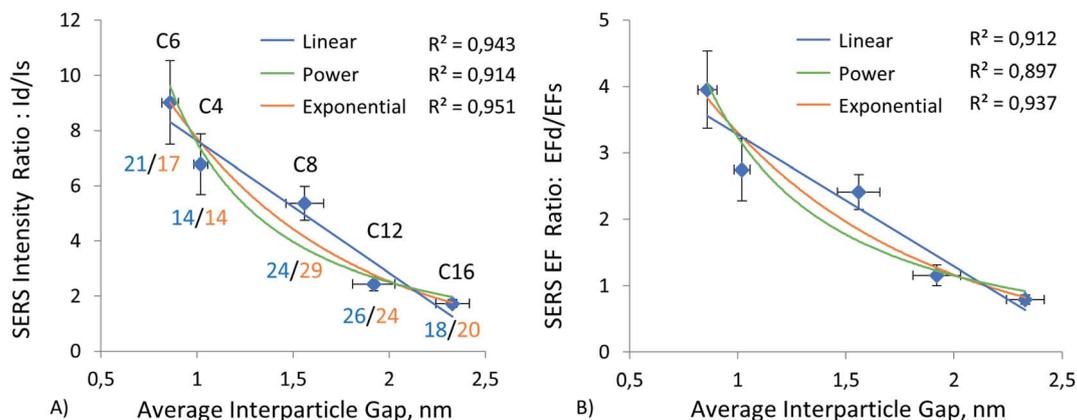


Fig. 4 Data for dimers on Au surface. (A) Plot of SERS intensity against the average interparticle distance. (B) Plot of SERS EF ratio (EF dimer/EF single particle) vs. the average interparticle distance. Number of dimers/number of single particles is shown for each sample (blue, dimers; orange, single particles).



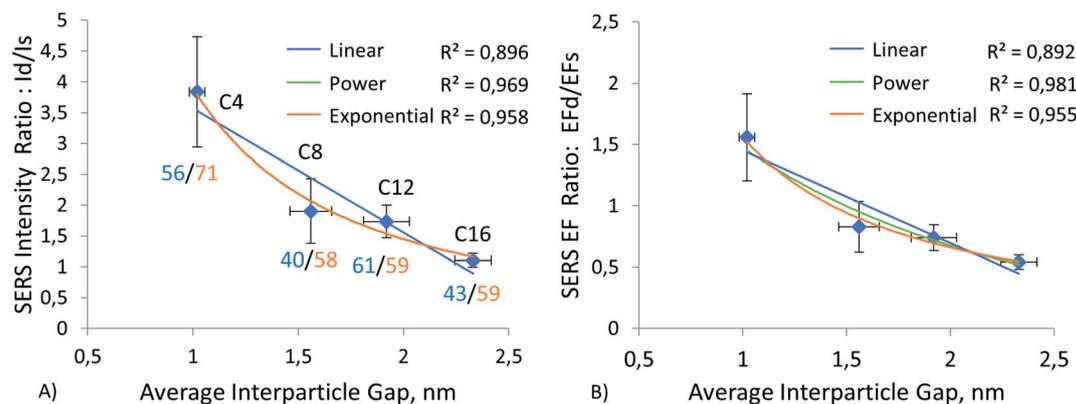


Fig. 5 Data for dimers on Al surface. (A) Plot of SERS intensity against the average interparticle distance. (B) Plot of SERS EF ratio vs. the average interparticle distance. Sums of signal from two pixels with maximum intensity for each dimer or monomer were averaged.

310 nm for silver) than the excitation wavelength.<sup>63,64</sup> The latter parameter determines the energy losses of the metal – the losses increase rapidly for excitation at frequencies higher than the onset for the interband transition. This increasing energy loss helps explain why SERS signals of nanoparticles on gold with 633 nm laser excitation were about 40 times higher than that of the same nanoparticles on gold with 532 nm laser excitation.<sup>61</sup> Furthermore, the LSPR of gold nanoparticles for both monomers ( $\lambda_{\max}$  of about 570 nm) and dimers should be closer to the SPR resonant wavelength of a gold film rather than to the much lower SPR wavelength of silver film. For instance, Suzuki *et al.* observed a resonant wavelength of SPR sensors close to 635 nm, with a gold film thickness of about 80 nm, which would almost ideally match our excitation wavelength.<sup>65</sup> Albeit, the maximum SPR wavelength reported for 10 nm silver film by Mohan *et al.* was about 498 nm.<sup>66</sup>

Beyond this LSPR/SPR mismatch of gold nanoparticles and substrate, which likely increases significantly from gold to silver, there is another factor that makes silver film an unfavorable choice for a SERS substrate, as compared to gold film. This is the potential oxidation of silver film during storage, preparation steps, and measurements. The growth of an oxide

layer on a silver surface was shown to decrease SERS signal by 2.5 times, both theoretically and experimentally.<sup>67</sup> Similarly, the aluminum film substrate has the highest electron density among the measured substrates ( $18.1 \times 10^{22} \text{ cm}^{-3}$ ).<sup>63</sup> However, it typically has several nanometer thick layers of oxide on the surface,<sup>68</sup> which should significantly decrease SERS signal intensity on this material. Finally, silicon semiconductors demonstrated the weakest plasmonic coupling of monomer gold nanoparticles to the substrate, resulting in the lowest signal among the four substrates, as expected.

The trend of decreasing intensity from gold and silver to aluminum and silicon observed for monomers is relevant for SERS sensing applications. For instance, it helps in understanding how substrate choice may impact the SERS signal of ERLs in SERS sandwich immunoassays.<sup>69</sup> The trends for the ratio of intensities between dimers and monomers are visualized in Fig. 4–7. Here, we can observe the number of dimers and monomers in blue and yellow, respectively. According to these numbers, on average, our ratio of characterized dimers to monomers was close to 1. Since *S/N* ratios for both dimers and monomers were relatively low on silicon and we considered the majority but not all particles (taking only monomers and

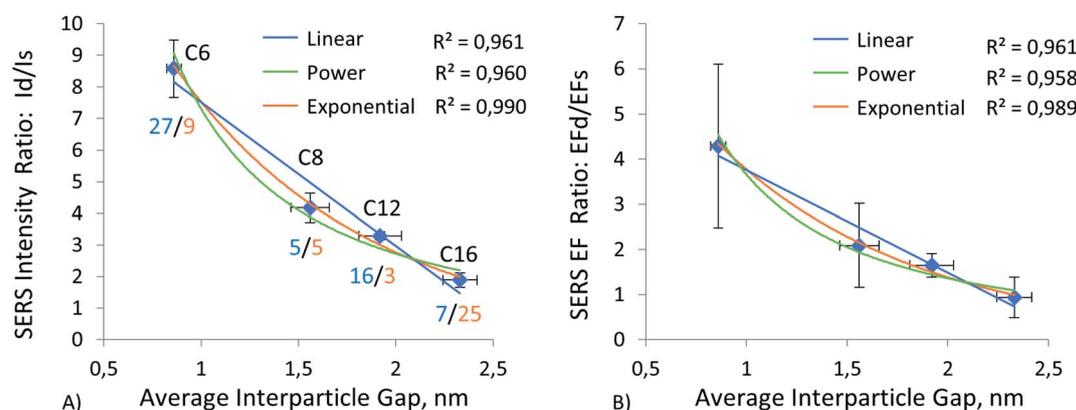


Fig. 6 Data for dimers on Si wafer surface. (A) Plot of SERS intensity against the average interparticle distance. (B) Plot of SERS EF ratio vs. the average interparticle distance.



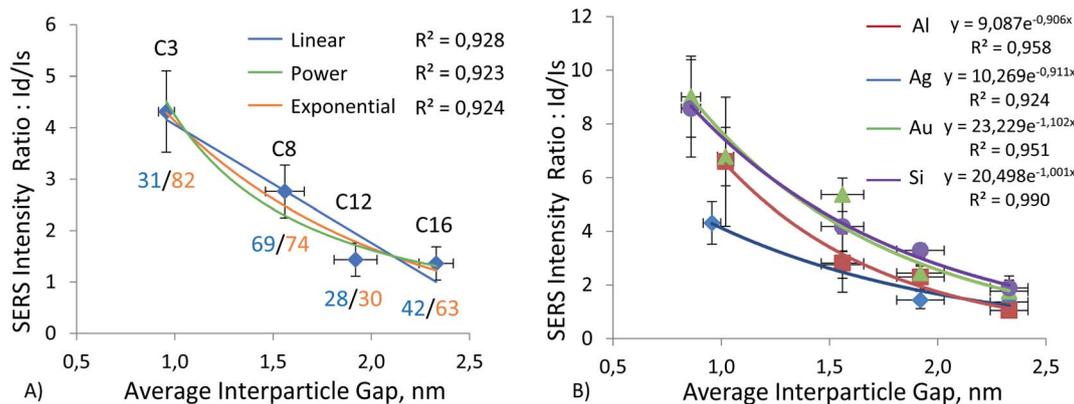


Fig. 7 Data for dimers on Ag surface and comparison of  $I_d/I_s$  ratios between 4 substrates. (A) Plot of SERS intensity ratios  $I_d/I_s$  against the average interparticle gap. (B) Plot of SERS intensity ratios  $I_d/I_s$  against the average interparticle gap for the four substrates.

dimers with a Raman  $S/N$  level above 5), we cannot correctly compare the homogeneity of the signal on silicon to that on other substrates, where all particles were counted.

However, the three metallic substrates can be compared in terms of homogeneity. We found that dimers on gold are more homogenous in terms of Raman signal than those on silver and aluminum films. 20% of dimers on gold are responsible for 35% of the total signal, and the same share of dimers on silver and aluminum produced 54% and 69% of the signal, respectively. This trend is also observed for monomers, where silver was found to be less homogenous than aluminum and gold, with 45%, 37%, and 32% of the signal produced by 20% of monomers, respectively.

The variability of the signal could at least partially be attributed to the surface roughness of metal films, which may have a significant effect on Raman signal.<sup>70–72</sup> The average surface roughness of all four substrates as measured by AFM was about 3–4 nm; the gold film had a slightly lower roughness of about 2.8 nm while Si, Ag, and Al had a roughness in the range of 3.2–3.9 nm.<sup>61</sup> It is likely that the major contribution to this difference may come from the possible variation in oxide layer thickness, which would create fluctuations in the plasmonic coupling between gold nanoparticles and each substrate. Since gold is expected to have a negligible oxide layer relative to Al (at least 5–10 nm thick  $Al_2O_3$  layer), the effect of the oxide layer on gold is expected to be the lowest.<sup>67,73</sup>

As for the deviation in the ratio of EFs for dimers to EF of monomers, or in other words, the relative EF of dimers, we observed the highest standard deviation and the highest error bar for the C4 sample on Al film. When maximum intensity in one pixel was averaged in the same way as intensities, EF ratios were calculated for all substrates (Fig. S11†). Hence, we decided to use the sum of two maximum signals instead of the maximum signal for calculations for samples on Al film. The two-pixel approach that we applied only for samples on Al film decreased  $I_d/I_m$  and  $EF_d/EF_m$  ratios, as shown in Fig. 5 (vs. Fig. S11†). On the other hand, it decreased standard deviations and error bars for Al film samples. The silicon wafer as a substrate, calculated similarly to other substrates by the maximum intensity in one point/pixel, has the second-highest

standard error for relative EF of dimers for a gap of about 0.8–0.9 nm, as shown in Fig. 7B. In this case, by far most of the signal originates from hot spots between nanoparticles, and the signal is sensitive to minor variations in gap distance and variations in the nanoparticle shape.<sup>61</sup> The relative standard deviation of the interparticle gap for the C6 sample measured by TEM was 20%. Therefore, this relatively high error in the average EF ratio is justified. On average, gold and silver showed slightly more uniform Raman signal ratios than aluminum. Presumably, those classic plasmonic metal substrates were less affected by the oxide layer formed on the metal surface than Al film.

Nonetheless, the agglomeration of nanoparticles increases the standard deviation of signal relative to that of single nanoparticles. Thus, overall, the nanoparticle–nanoparticle gap distance significantly impacts the total SERS signal intensity, which is our next topic of discussion.

#### Gap distance dependence across four substrates

To investigate the effect of gap distance variation in dimers, we modified ERLs with mercaptan acids of different chain lengths. This technique helped us to control the gap distance and to achieve sub-nanometer separation. As mentioned in the methods, we measured the gap distance by using TEM images. The detailed procedure for this measurement is available in our previous publication.<sup>61</sup> Results of this measurement can be seen in ESI (Table S7 and graph S8†). These results showed a linear increase in gap distance from C4 to C16, with C6 being a special case. Because it is in cyclic form, the gap distance is even smaller despite the increase in carbon number. Moreover, by using this high linearity between gap distance and mercaptan chain length, we were able to extrapolate the gap distance for C3 mercaptan acid, which was used for particles on the silver surface when our stock of C4 mercaptan acid was depleted, and we used C3 instead of C4 or C6 as short-chain thiol in the experiment using silver film. The results of this gap distance investigation can be observed in Fig. 4–7 and ESI Tables S1–S4.†

Fig. 4A, 5A, 6A, and 7A represent a relationship between relative Raman intensity of dimers and gap distance variation,



where the relative Raman intensity of dimers is represented by the ratio of dimer intensity to monomer intensity. Overall, generalizing the trends observed for the four different substrates, we can see that the relative SERS intensity of dimers decreases upon an increase in the gap between nanoparticles. The steepest negative slope is observed for gold film and silicon wafer substrates, which is partially in line with the observation of a higher relative SERS EF for 60 nm diameter AuNP dimers on silicon than for 60 nm diameter AuNP dimers on other substrates (Au, Ag), as reported by Sergiienko *et al.*<sup>61</sup> The maximum ratio of the dimer to monomer EFs is about 4.3 and this ratio is in general agreement with the polarization-controlled SERS study performed by the Xia group, of an unspecified number of silver monomers and silver dimers, both encapsulated in SiO<sub>2</sub> on the surface of silicon. In that study, the authors reported 1.5 to 17 times (the geometric average of 1.5 and 17 is 5) higher EFs for dimers than for monomers of the same sphere diameter, 80 nm, upon transverse and longitudinal polarization of exciting light, respectively.<sup>35</sup>

This trend can be observed more clearly in Fig. 7B, where results for all four substrates are positioned next to each other. The figure shows that the trend goes from gold and silicon to silver and aluminum in decreasing order. The same conclusion can be drawn by observing enhancement factor ratios between dimers and monomers for all four substrates in Fig. 4B, 5B, 6B. The trend is identical to the trend for the ratio of intensities. Moreover, we can see that a minor change in gap distance can significantly affect both the intensity and enhancement factor in all cases. For example, the decrease of 0.5 nm for the gold film going from C8 to C6 can almost double the intensity and EF, similarly to when going from C12 to C8. However, these drastic changes are less prominent for the other substrates, as the overall signal enhancement decreases because of previously mentioned factors, like surface homogeneity and oxide formation, electronic properties, *etc.* In addition, despite significant differences between these substrates, the Raman intensity ratios start to converge when the gap distance goes beyond 2 nm. This implies that the substrate effect becomes less and less important with an increase in the dimer gap distance.

However, we need to discuss the trend of relative (I dimer/I monomer) Raman intensity against gap distance in more detail, as it varies from substrate to substrate and can help in choosing optimal gap distances for specific applications.

The relationship between gap distance in dimers and Raman signal enhancement is still a topic for discussion. According to the works of the Van Duyne group, this relationship follows a power function, when a simplified sphere model and E<sup>4</sup> approximation are used.<sup>19,74</sup> The group of Van Duyne showed that we should account for both long-range and short-range SERS effects, as it provides a better fit for experimental data.<sup>74</sup> However, Kessentini *et al.* showed that the relationship of SERS enhancement to gap distance could exhibit inverse exponential dependence for gold dimer nanoantennae with slanted gaps.<sup>75</sup> This behavior was explained by the exponential decay of LSPR demonstrated by several studies.<sup>76–78</sup> Hence, we found that the trend may also depend on the substrate, after measuring more than a thousand dimers and monomers. Our results comparing three trends (linear, power, and exponential) can be observed in Fig. 4–8 and ESI Table S9.† We can observe that exponential fitting is more suitable for gold film and silicon wafer, as it gives 1 – R<sup>2</sup> values that are almost two times higher than those of power and linear fits. Contrarily, in the case of the aluminum film, we see that adherence to a power function is better than to exponential and linear fits. As for the silver film, both fits exhibit similar performance.

### Trimers on Au and Al substrates

We were able to measure and analyze the SERS signal for a selection of trimers of AuNPs on two substrates, Au and Al, but those numbers (~50 trimers) were about ten-fold smaller than the number of dimers analyzed from the same maps (478), since trimers were far less common than dimers on every substrate. The results of Raman measurement for the Al substrate can be observed in Fig. 8A.

Again, even for averages of a much smaller number of data points, inverse exponential relationships prevail over an inverse linear relationship, as demonstrated by corresponding R<sup>2</sup>

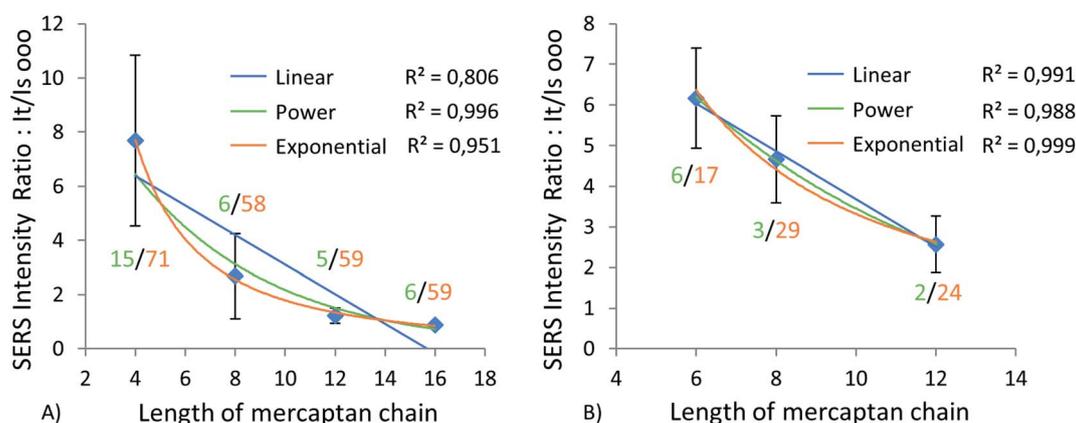


Fig. 8 Data for trimers on Al and Au surfaces. (A) Plot of SERS intensity ratio against the number of carbon atoms in mercaptocarboxylic acid on aluminium. (B) Plot of SERS intensity ratio against the number of carbon atoms in mercaptocarboxylic acid on gold.



values. Thus, the intensity of the Raman signal decreases with an increase in the interparticle gap, as for dimers. Another trend in Fig. 8A is an increase in the  $I_t/I_s$  ratio variance with a decrease in the interparticle gap on the Al surface. The results presented in Fig. 8 are only for the linear configuration of trimers, since only a few triangular trimers were observed on the surface of aluminum. Nevertheless, we can assume from those results that due to their relative rarity, the formation of trimers has a significantly lower impact on the overall enhancement of Raman signal than does dimerization.

## Summary and conclusions

What we found during this study is that even a slight change in interparticle distance can cause a significant difference in the relative signal intensity of dimers *vs.* single AuNPs. For instance, a  $\sim 16\%$  decrease in the interparticle gap boosted EF dimer/EF single particle ratio by 50%, from 2.7 to 4.0. We also found that the gold film substrate creates a more reproducible SERS signal from AuNP dimers than silver film or Al film, which has the broadest distribution of SERS intensity among dimers. This is because trimers are much less common than dimers, and we obtained enough data only for AuNPs on Au and Al substrates. Trimers on both substrates showed the same inverse exponential trend as the trend for dimers. However, the extra enhancement of signal in SERS assays (sandwich immunoassays, *etc.*) is mainly caused by dimer AuNPs, and the obtained data can help predict at what interparticle distance this extra enhancement due to AuNP association in the assay can be minimized. For instance, for gold substrate and 100 nm AuNPs, this gap distance would be about 2.0–2.2 nm (between C12 and C16, when EF dimer/EF single particle  $\sim 1.0$ ). Since EF dimer/EF single particle converges at a gap distance above 2 nm for all four of the studied substrates, we can conclude that the effect of dimerization becomes muted at those distances, regardless of the substrate nature.

This paper demonstrated the effect of interparticle gap variation on the relative SERS signal enhancement on four different substrates. Dimers on all substrates exhibited an inverse exponential trend for the intensity and SERS EF ratios *versus* the interparticle distance increase. This gave us insight into the impact of nanoparticle agglomeration/association on SERS signal in several analytical techniques, such as sandwich immuno- or apto-assays, *etc.* Furthermore, it was found that an increase in the interparticle gap of more than 2 nm can even lead to a decrease in enhancement factors, compared to single particles/monomers, particularly for assays on Al film (EF<sub>d</sub>/EF<sub>s</sub> = 0.7 for C16). On the contrary, a decrease in the interparticle gap from 2.3 to 1.0–0.9 nm leads to a 4–5 times increase in intensity (1.7 to 9) and enhancement (0.8 to 4), compared to single monomers on a gold film substrate. These numbers are lower for the remaining three substrates, but the trend remains the same. Overall, we still observe significant individual variations within the SERS signal of most of the samples on every substrate, particularly for small  $\sim 1$  nm average interparticle gaps, as predicted in the literature.<sup>32</sup> However, there are still clear trends of average SERS enhancement of dimers and

trimers *vs.* enhancement of single nanoparticles as a function of the interparticle gap, even with non-ideally spherical commercial gold nanoparticles, labeled with SERS markers and measured on plasmonic substrates. Those average substrate-dependent trends are revealed in this paper and may help in the selection/prediction of optimal interparticle distance and selection of optimal substrate for specific analytical or other applications.

A novel method for the combination of optical-Raman-AFM or Raman-SEM maps was introduced, where increasing the transparency of overlaid images is used for a robust combination of 3 maps into 1 map. This method can decrease time, effort, and the minimum required qualification of personnel (they no longer have to be an efficient post-doc). It can also make single-particle characterization, realized by combining two or three nano- or microimaging techniques, far more affordable and commonly practiced in research. Those combinations still require plenty of imaging time (including overnight Raman maps), but they are becoming a more widespread and affordable procedure. In total, we have quantified signals from 1224 nanoantennae (533 dimers, 648 single particles, and 43 trimers), using 31 Raman, 81 AFM, and 66 SEM maps/images. For comparison, in a highly cited paper of the Van Duyne group (about 660+ citations to date), TEM, LSPR, and SERS measurements were performed only on 67 individual nanoantennae on a single substrate (TEM grid).<sup>32</sup> Moreover, seminal work by Chen *et al.*, showcasing the effect of NP agglomeration on Raman signal, used 20 nm Au@Ag core-shell NPs with a uniform average gap distance of 0.8 nm and the Raman spectra were collected from the sample solution.<sup>79</sup> Albeit, our methodology more closely replicates the possible real-life applications of analyte detection through SERS by finding a structure-activity relationship on four different substrates, including most commonly used gold and silver films and commercially available AuNPs.

Overall, we can suggest that the controlled agglomeration of nanoparticles can be beneficial for SERS and likely for other surface-enhanced spectroscopic methods used for the detection of trace amounts of analyte, where the relative increase in signal variability can be compensated by an increase in  $S/N$  ratio due to higher enhancement. Finally, the novel combination method between Raman, optical, AFM, or SEM maps can help analyze the spectral properties *vs.* morphology data more efficiently. It may open a new chapter in the study of the structure-activity relationship for surface spectroscopies *in situ*.

## Author contributions

Alexandr Arbuz contributed to development of the 3 to 1 map combination method, which might be the most creative contribution, but also he did all SEM measurements and some Raman microscope measurements and data analysis. Alisher Sultangaziyev contributed to some Raman microscope measurements and data analysis, and he contributed to making figures and tables as well as to writing the draft of the paper and part of the response to reviewers. Alisher Rapikov and Zhanar Kunushpayeva contributed to sample preparation, Raman



microscope measurements and data analysis. Rostislav Bukasov provided funding and idea/plan of the research, contributing to writing of the paper and response to reviewers, and coordination of efforts of all authors.

## Conflicts of interest

Authors are unaware of any conflict of interest related to this paper.

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