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Fabrication of thin solid electrolytes containing a small volume of an Li₃OCl-type antiperovskite phase by RF magnetron sputtering†

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Several attempts to synthesize Li₃OCl – a lithium-rich antiperovskite compound envisaged as a potential solid electrolyte material for lithium metal batteries - have been reported, but few have yielded convincing results. There are two key challenges associated with this synthesis: the thermodynamic instability of LizOCl at room temperature and its extreme hygroscopicity. Therefore, the likelihood of inadvertently forming the structurally similar thermodynamically stable hydroxide halide compound Li₂OHCl is very high. In this report, we demonstrate the stabilization of a small volume fraction of antiperovskite phase with the characteristics expected for Li₃OCl in ~ 0.5 to $\sim 1 \, \mu m$ films fabricated from a Li₂O + LiCl powder target by RF magnetron sputtering. Measures were taken to minimize the presence of moisture at all stages of synthesis and characterization. X-ray diffraction (XRD) experiments showed that reaction between the precursor phases occurred within the growing films to form a volume of antiperovskite phase with an identical lattice parameter to that predicted for cubic Li₃OCl. This antiperovskite phase decomposed into Li₂O and LiCl upon annealing at moderate temperatures. Characterization by Fourier transform infrared spectroscopy (FT-IR) confirmed the absence of O-H bonding in the films, providing further evidence that the antiperovskite phase was Li₃OCl rather than Li₂OHCl. Deposition of films with similar thicknesses from an Li₂OHCl powder target was also performed for comparison. While FT-IR results showed that O-H bonding was present in these films, a small volume fraction of an antiperovskite phase with identical lattice parameter to Li₂OHCl was only detected after heating the films to ~100 °C. Owing to the low phase purities of films deposited from both target types, the Li⁺ conductivities were found to be on the order of 10^{-8} S cm $^{-1}$. For Li₂OHCl in particular, it is expected that further optimization of the processing conditions will lead to a significant increase in Li⁺ conductivity. This is the first reported attempt to synthesize lithium-rich antiperovskite compounds by RF magnetron sputtering.

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1. Introduction

Significant efforts are underway to develop high-performance all-solid-state batteries (ASSBs) as successors to the commercially successful lithium-ion batteries ubiquitous in portable electronic devices and electric vehicles.1 Currently, lithium-ion cells suffer from safety and performance limitations due to the flammable liquid electrolyte, which has a narrow electrochemical

stability window. 2 Replacing the liquid electrolyte with a nonflammable solid lithium-ion conductor could enable the safe use of lithium metal anodes and high voltage cathodes, leading to improvements in energy density, charge capacity, cycle life and high temperature stability.3-7 Although some solid electrolytes possess Li⁺ conductivities on a par with – or in some cases higher than - those of liquid electrolytes, 8,9 these highconductivity electrolytes tend to be unstable in contact with the lithium metal anode and have narrow electrochemical stability windows. 10-12 Furthermore, most solid electrolyte materials investigated to date are unable to block the growth of lithium metal filaments from the anode to the cathode during cycling at the rates required by applications, resulting in short-circuiting and hence cell failure at relatively low current densities. 13-15 Solid electrolyte materials that can overcome these challenges must be found.

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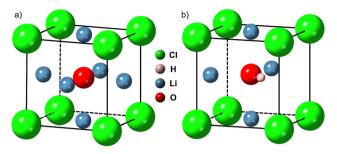


Fig. 1 Crystallographic structures of (a) Li_3OCl ($Pm\overline{3}m$) and (b) Li_2OHCl ($Pmc2_1$ below ~ 32 °C, $Pm\overline{3}m$ above). $^{7.16-18}$ Generated using CrystalMaker $^{(8)}$: CrystalMaker Software Ltd, Oxford, England (**www.crystalmaker.com**).

Lithium-rich antiperovskite (LiRAP) compounds based on Li₃OA oxyhalide, where A is a halogen or mixture of halogens, have attracted considerable attention since the first reports of promising lithium-ion conductivity in Li₃OCl by Reckeweg et al. 19 and Zhao and Daemen 20 a decade ago. These compounds are predicted to have a cubic crystal structure (Pm3m space group), as shown in Fig. 1(a) for Li₃OCl.²¹ Computational investigations into Li₃OCl have revealed that this material - and certain off-stoichiometric derivatives - may be well-suited to application as solid electrolytes. 22-26 The closely related hydroxide halide antiperovskite Li₂OHCl (Fig. 1(b)) has already shown promising performance in several experimental studies. 7,16,17,27-31 Further, Braga and co-workers have published several studies on glassy electrolytes derived from Li₃OCl which seem to show very high Li⁺ conductivity and some unusual, but advantageous, electrical properties.32-38 Here we are concerned with crystalline Li₃OCl since it has been studied more widely as part of the growing research effort in the field of antiperovskite solid electrolytes.

Among the relatively few experimental studies on Li₃OCl, values of ionic conductivity between $1.26 \times 10^{-7} \, \mathrm{S \, cm^{-1}}$ and $8.5 \times 10^{-7} \, \mathrm{S \, cm^{-1}}$ 10⁻⁴ S cm⁻¹ have been reported. ^{20,39-42} This wide range has been attributed to differences in composition and microstructure. 43,44 Indeed, several computational studies have examined the roles of crystallographic defects - in particular Li+ vacancies and interstitials – in facilitating Li^+ conduction in $\mathrm{Li}_3\mathrm{OCl.}^{15,21-24,45-50}$ These defects can be created by adjusting the ratio of Li2O and LiCl precursors used in synthesis or by adding aliovalent dopant ions such as Mg²⁺. A band gap of 3.7-4.4 eV⁴⁴ has been predicted for grain boundaries in Li₃OCl compared to the 1-3 eV band gap measured for 50% of grain boundaries in Li₇La₃Zr₂O₁₂;⁵¹ in both cases the grain boundary band gap is thought to be smaller than that of the bulk material, limiting the electrochemical stability of polycrystalline samples. The wider band gap predicted for Li₃OCl may imply a lower electronic conductivity, which would help to limit self-discharge in ASSBs and could hinder the growth of lithium filaments during cycling, 13 increasing the attainable power density and cycle life. Furthermore, several investigations have indicated that Li₃OCl exhibits good stability in contact with lithium metal. 25,40-42,52,53 This is readily understood by considering that, in contrast to most other solid electrolyte materials, cations reducible by lithium metal are absent.¹⁰ In addition to promising electrochemical properties, Li₃OCl has a low raw materials cost, a low density and is non-toxic.³²

Recently, growing scepticism18,27,54,55 has been directed towards the few published experimental studies on Li₃OCl. This is a result of an increasing awareness of the synthesis challenges presented by this compound, with one recent review even raising the possibility that these challenges are insurmountable.⁵⁴ The synthesis of Li₃OCl is predicted to be difficult for two reasons. First, Li₃OCl is thermodynamically unstable at room temperature, with a driving force for decomposition into Li₂O and LiCl. 15,22,23,46,47,56-58 Indeed, Mouta et al.23 calculated a negative Gibbs free energy change for this decomposition reaction in the 0 to 550 K temperature range, with values of -0.6785 eV at 0 K and -0.6740 eV at 550 K. Nevertheless, some studies propose that after synthesis at elevated temperatures it is possible to "freeze" the structure in a metastable state on cooling to room temperature owing to the kinetically limited decomposition reaction. 26,59 The second issue is that Li₃OCl is extremely hygroscopic: even if it is attained in a metastable state, it readily hydrates and reacts to form other materials via eqn (1.1). 57,58,60 Indeed, Dawson et al. 60 explicitly calculated the hydration enthalpy of this compound using density functional theory and found it to be exothermic.

$$\text{Li}_3\text{OCl} + \text{H}_2\text{O} \rightarrow \text{Li}_2(\text{OH})\text{Cl} + \text{LiOH}$$
 (1.1)

Therefore, unless very careful control of the synthesis and characterization environments is exercised, reaction with atmospheric moisture will occur. Ideally, all syntheses of Li₃OCl would be performed with Li₂O and LiCl precursors *via* eqn (1.2).

$$\text{Li}_2\text{O} + \text{LiCl} \rightarrow \text{Li}_3\text{OCl}$$
 (1.2)

Unfortunately, attempts to induce this reaction have been largely unsuccessful due to low rates of solid-state diffusion within the precursor mixture at the synthesis temperatures used. ^{20,43} While the melting point of LiCl is relatively low (605 °C), the value for Li₂O is somewhat higher (1427 °C) making molten synthesis challenging. Therefore, most studies have used alternative synthesis routes which employ the low melting point precursor LiOH (462 °C) in place of Li₂O.^{20,39,41,42,61} Particular concern is attracted by these studies, which attempt to make use of the reaction in eqn (1.3), since water is produced as a by-product.

$$2\text{LiOH} + \text{LiCl} \rightarrow \text{Li}_3\text{OCl} + \text{H}_2\text{O}$$
 (1.3)

It is imperative that all the water and OH-containing compounds are removed to avoid further reaction to form hydroxide halides such as Li₂OHCl. In practice this may not be possible; moreover, direct reaction between the precursors to form Li₂OHCl, which is thermodynamically stable, ^{30,62} by eqn (1.4) may be the most likely pathway. Indeed, this reaction has been used in several studies to synthesize Li₂OHCl in the molten state. ^{7,16,18,41,63} While reducing agents such as lithium metal and LiH can be added to encourage the removal of hydrogen, ⁴³ attaining a phase pure product requires very careful control of the reducing agent to precursor ratio, uniform reaction and complete removal of the hydrogen evolved.

$$LiOH + LiCl \rightarrow Li_2OHCl$$
 (1.4)

The synthesis challenges are compounded by the fact that Li₃OCl and Li₂OHCl are indistinguishable by X-ray diffraction measurements because of their similar chemical compositions and crystal structures. ^{18,26,41,55} Techniques that detect O-H bonding must be employed for reliable phase identification but are usually absent from published reports. Therefore, in most cases there is insufficient evidence to prove the synthesis of Li₃OCl.

Physical vapour deposition (PVD) techniques such as radio frequency (RF) magnetron sputtering and pulsed laser deposition (PLD) could be used to overcome the synthesis challenges encountered in the conventional ceramic processing routes. These processes create plasmas in which intimate mixing of the sputtered components occurs at the atomic level. $^{64-68}$ This mixing could remove the diffusion limitation and significantly increase the extent of reaction between Li2O and LiCl. Furthermore, these deposition techniques are performed in vacuum chambers free of moisture, preventing hydration during synthesis. 26 Although these techniques are limited to the fabrication of thin films (~ 10 nm to $\sim 10~\mu m$), thin Li3OCl layers could find application as the solid electrolyte in miniature thin-film cells and as electrode-electrolyte interlayers in bulk cells. 42,61,69

The use of PLD to deposit Li₃OCl thin films from a pellet target composed of a 1:1 molar mixture of Li₂O and LiCl was reported previously, 40 but no evidence for the absence of O-H bonding in the synthesized material was provided. Advantages of RF magnetron sputtering over PLD include the ability to use an unpressed and unsintered mixture of the precursor powders as the target, which simplifies processing and allows continual adjustment of the precursor ratio, and easier deployment of the process at an industrial scale. 64,65,67,70,71 In this investigation we developed a radio frequency (RF) magnetron sputtering process to deposit thin film electrolytes containing a quantity of antiperovskite phase from mixtures of Li₂O and LiCl powders, taking rigorous precautions to avoid exposure to moisture at all stages of synthesis and characterization. Deposition of films from Li₂OHCl powder was also performed for comparison. Characterization was carried out using instruments which were either housed within Ar-filled gloveboxes or had air-free sample transfer systems. The precautions taken to avoid air exposure combined with careful and relevant characterization give us confidence that we have succeeded in fabricating thin films containing a quantity of oxyhalide (OH-free) LiRAP phase with a composition close to Li₃OCl.

2. Experimental

2.1. Preparation of substrates and sputtering targets

Soda-lime glass, silicon, stainless steel (Fe–18Cr–8Ni [wt%], 304 stainless steel, Goodfellow Cambridge Ltd) and Waspaloy (58Ni–19Cr–14Co–Mo–Ti–Al–Fe [wt%], HAYNES[®] 282[®] alloy, Goodfellow Cambridge Ltd) substates were used for film deposition. The glass, silicon and alloys were cut from microscope slides, undoped wafers and 250 µm sheets, respectively,

into approximately 10 mm \times 10 mm square pieces. The alloy substrates were ground and polished, finishing on a 3 μ m grade diamond suspension to achieve a surface free of visible scratches. All substrates were cleaned by sonication in distilled water and isopropanol prior to film deposition. Pt and Ni layers were sputter deposited on some of the glass substrates; these substrates were used to create layered glass/metal/electrolyte/metal samples for electrochemical impedance spectroscopy (EIS) measurements.

The design of the sputtering target used to attempt Li₃OCl synthesis was similar to that used by Lü et al.40 for the fabrication of "Li₃OCl" by PLD, except that in the present investigation the powder was not pressed into a pellet. All stages of target preparation were performed inside an argonfilled glovebox (MBraun) with O2 and H2O concentrations below 0.1 ppm to avoid hydration of the reactants. To produce each target, 1.502 g of Li₂O (99.5% purity, Sigma Aldrich) and 2.141 g of LiCl (≥99.0% purity, Sigma Aldrich) powders were mixed by grinding with an agate pestle and mortar for approximately 10 minutes. The powder mixture and the substrates and utensils required for preparing the target were dried in the heated antechamber of the glovebox under vacuum (~ 1 mbar) at 80 °C for at least 2 hours to remove any residual moisture. The powder was then poured into a 2" diameter, \sim 2 mm deep circular copper holder (Fig. S1a, ESI†) and lightly pressed and levelled using a glass microscope slide.

The target used to attempt Li₂OHCl synthesis was prepared from Li₂OHCl powder. To obtain Li₂OHCl, 1.935 g of LiOH (\geq 99.0%, Sigma-Aldrich) and 3.426 g of LiCl (\geq 99.0% purity, Sigma Aldrich) were ground and heated at 350 °C for 30 minutes with a ramp rate of 5 °C min⁻¹. The liquid state Li₂OHCl was immediately cooled to room temperature and the resulting solid mass was ground with a mortar and pestle to produce Li₂OHCl powder. A shallower (\sim 1 mm deep) holder was used for this target to improve thermal conduction and avoid melting of the Li₂OHCl, which has a relatively low melting point (\sim 300 °C). ¹⁶

2.2. Thin film deposition and post-deposition annealing

A PVD system (MB EVAP, MBraun) installed within a glovebox of the type described in Section 2.1 was used for the RF magnetron sputter deposition of metal and electrolyte thin films. This system contained a circular magnetron source (Gencoa Ltd), with a target-to-substrate distance of $\sim\!12$ cm as shown in Fig. S2 (ESI†). Substrate heating was not employed. The chamber was pumped down to achieve a base pressure below 5×10^{-5} mbar or 1×10^{-6} mbar for metal and electrolyte deposition, respectively.

Pre-deposition sputtering was performed (with the substrates shielded) for approximately 30 minutes prior to the start of each deposition from an Li₂O + LiCl target to remove contaminants from the surface. Pre-deposition sputtering was not performed for the Li₂OHCl target due to uncertainty over the length of time the target would remain stable during sputtering. Post-deposition annealing at temperatures between 100 °C and 350 °C for 1 hour was performed on certain samples to see the effect on the film crystallinity and phase properties.

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A muffle furnace (KSL-1100X, MTI Corporation) located within one of the gloveboxes was used for this purpose. A controlled heating rate of 5 °C min⁻¹ was used; after annealing, the samples were allowed to cool within the furnace at its natural rate to avoid the development of excessive stresses, which could lead to film cracking and delamination. The samples were held in an alumina crucible during annealing.

Due to the absence of prior reports on the deposition of LiRAP thin films by RF magnetron sputtering, a suitable set of processing conditions had to be developed from scratch. Initially the focus was on optimizing the sputtering process to achieve target stability, since even moderate values of RF power and process pressure led to target melting or excessive cracking. After stabilizing the Li₂O + LiCl target, the fabrication conditions were optimized further to promote development of the desired antiperovskite structure within the films, as determined by XRD analysis. Similar conditions were also used with the Li₂OHCl target. The conditions used with both target types are listed in Table 1. After processing, all samples were stored within the glovebox prior to characterization.

2.3. Structural characterization

The crystallographic properties of the electrolyte films and powder from the sputtering targets were characterized using a compact X-ray diffractometer (Rigaku Miniflex with Cu K-alpha radiation and a θ -2 θ geometry) located inside a glovebox with O₂ and H₂O concentrations below 1 ppm. Diffraction patterns were viewed in CrystalDiffract software (CrystalMaker Software Ltd) and indexed by comparison with reference powder patterns^{17,30,72-74} from the Inorganic Crystal Structure Database. Lattice parameter values were calculated from all clearly defined peaks for each phase.

Scanning electron microscopy (SEM) was used to observe the electrolyte film morphologies in surface and cross-section views, measure film thicknesses and verify the dimensions of the electrical contacts on the samples used for impedance measurements. The samples were sputter-coated with ~ 30 nm of Pt prior to examination to reduce charging. A plasma focused ion beam (PFIB) instrument (Thermo Scientific Helios G4 PFIB CXe Dual-Beam) with SEM column was used for secondary electron imaging and milling trenches to enable examination of the cross-sections of films deposited from Li₂O + LiCl targets. Pt strap layers were deposited within the PFIB at locations selected for milling to protect the underlying film from damage by the

xenon ion beam. A Zeiss Merlin SEM was used for secondary electron imaging of the films deposited from an Li₂OHCl target; these samples were cross-sectioned by scoring their reverse side with a diamond scribe and fracturing them cleanly into two pieces.

2.4. Chemical characterization

The approximate chemical compositions of certain films deposited from Li₂O + LiCl targets were determined by performing energy-dispersive X-ray spectroscopy (EDX) measurements on film cross-sections within the PFIB instrument at an accelerating voltage of 5 kV. An Oxford Instruments Ultim Max 170 X-ray detector was used for this purpose. The presence of lithium in these films was verified by X-ray photoelectron spectroscopy (XPS) using a PHI VersaProbe III instrument with an Al K-alpha source. Argon ion beam etching through the surface of each sample was carried out at 4 kV for 40 minutes prior to measuring the spectra to remove surface impurities. CasaXPS software (Casa Software Ltd) was used to perform energy calibration of the spectra using the adventitious carbon peak at 284.8 eV.

Fourier transform infrared spectroscopy (FT-IR) was performed in a Thermo Scientific Nicolet iS10 instrument with a single reflection diamond attenuated total reflectance (ATR) module to detect the presence of O-H bonding in the films. The film side of each sample was placed facing the laser beam.

2.5. Electrical conductivity measurements

Electrochemical impedance spectroscopy (EIS) was used to measure the through-plane impedance of glass/metal/electrolyte/metal layered samples, where the electrolyte layer was a film deposited from an Li₂O + LiCl or Li₂OHCl target. Prior to the deposition of certain layers, shadow masks were applied to the samples to create the required layer shapes and areas. To reduce the risk of short circuiting, the overlap area between the top and bottom contacts was made as small as practically possible, minimizing the likelihood of encountering a pinhole in the electrolyte film. For the films deposited from Li₂O + LiCl, this was achieved by depositing the metal layer over the full area of the glass substrate, masking off a narrow border with Kapton tape, depositing the electrolyte layer and then depositing ~1 mm diameter circular metal top contacts spaced apart by several millimetres using a drilled stainless steel sheet as a shadow mask.

A different technique inspired by Yu et al. 75 was employed for the films deposited from Li₂OHCl. Laser cut 'single slot'

Table 1 Typical set of fabrication conditions used for the electrolyte thin films

	Value		
Condition	Li ₂ O + LiCl target	Li ₂ OHCl target	
Process pressure	$1-2 \times 10^{-3} \text{ mbar}^a$		
Gases and flow rates	8 seem Ar, 8 seem O ₂ ^b	5 sccm Ar ^b	
RF power	20 W	22 W	
Deposition duration	12 hours (glass and Si substrates); 24 hours (alloy substrates) ^c	\sim 7 hours 15 min ^d	

^a The pressure fluctuated between these values. ^b BOC Pureshield argon (99.998% purity) and BOC N2.6 Zero Grade O₂ (99.6%) purity were used. ^c Films deposited on alloy substrates were found to be very rough; the longer deposition time was used in an attempt to avoid pinholes. ^d The targeted duration was 12 hours, but the plasma became unstable leading to early cessation of the deposition.

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Kapton film masks (produced by Laser Micromachining Limited) were used to create 8 mm × 1 mm metal strips for the top and bottom contacts. These contacts were oriented at $\sim 90^{\circ}$ to each other so that the area of overlap was $\sim 1 \text{ mm}^2$. Hole-punched aluminium foil was applied after bottom contact deposition to mask an area for the electrolyte layer deposition, ensuring that the ends of the bottom contact remained accessible for electrical connection. Photographs of these masks and the stages of sample fabrication are shown in Fig. S3 (ESI†). This technique was also attempted for films deposited from Li₂O + LiCl targets, but all of these samples failed (shortcircuited or showed no conductivity).

To prevent air exposure during EIS measurements, each sample was inserted into a laminated aluminium pouch, connected to copper foil electrodes and sealed under vacuum within a glovebox. Standard materials and methods for laboratory scale pouch cell construction were used. Each sample was moved in turn from the glovebox to a temperature-controlled climatic chamber connected to an impedance analyser (ITS and MTZ-35, BioLogic Science Instruments) for measurements of impedance at temperatures between 25 °C and 90/100 °C using a voltage amplitude of 10 mV and a frequency range of 3.5 MHz to 0.1 Hz. Equivalent circuit models were fitted to the experimental impedance data using ZView software (Scribner Associates Inc.) to determine the total ionic resistance of the electrolyte layer.

3. Results and discussion

3.1. Stability of the sputtering targets

Sputtering the Li₂O + LiCl target caused it to transform from a loose powder to a sintered mass that could be removed from the copper holder in one piece, as shown in Fig. S1 (ESI†). The onset of sintering was attributed to the low melting temperature of LiCl (605 °C), since even moderate target heating could achieve the homologous temperature required for an appreciable rate of sintering.

Reaction between LiCl and Li2O powders was ruled out by performing XRD on powdered samples from the Li₂O + LiCl target before and after sputtering, as shown in Fig. S1(g), ESI.† Detectable quantities of new phases were not formed during sputtering; the patterns differ only in terms of the relative peak intensities, which could be attributed to a combination of changes in grain orientations and chemical composition. The LiCl peaks from the top surface region of the target have higher intensities than those from the bottom surface region, while the opposite is true for the Li₂O peaks. This indicates that the upper region of the target became enriched in LiCl during sputtering, possibly as a result of relatively low density molten LiCl rising to the target surface. 76,77

The reasonable stability of the target during sputtering facilitated a steady, repeatable deposition process. Even though similar sputtering conditions were used for the Li₂OHCl target, a combination of sintering and partial melting seemed to occur, which eventually caused holes to form (Fig. S4, ESI†). This was likely responsible for the plasma becoming unstable $7\frac{1}{4}$ hours into the 12 hour deposition. It was not possible to use milder sputtering conditions than those in Table 1 since the plasma extinguished shortly after ignition when this was tried.

3.2. Deposition from Li₂O + LiCl targets

3.2.1. Crystallographic properties. Once the deposition conditions had been optimized for target stability, the structural properties of films on a variety of substrates and the effects of post-deposition annealing were investigated. A photograph of

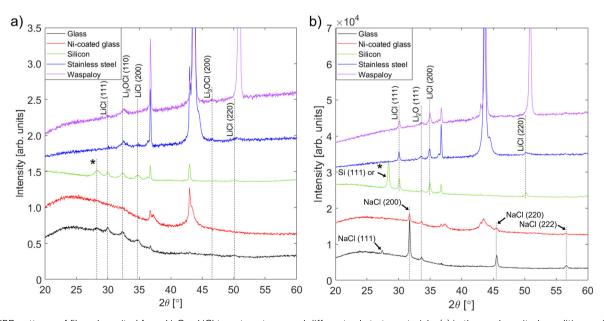


Fig. 2 XRD patterns of films deposited from Li₂O + LiCl targets onto several different substrate materials: (a) in the as-deposited condition and (b) after annealing at 250 °C for 1 hour. Peaks marked * correspond to impurity phases from the precursor mixture such as KCl. Unlabelled peaks are from the substrate or sample holder; the differing intensities of holder peaks are due to differences in the lateral positioning of samples within the holder.

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films on nickel-coated glass substrates is shown in Fig. S5 (ESI†).

Fig. 2 shows XRD patterns from films on glass, nickel-coated glass, silicon, stainless steel and Waspalov substrates. The patterns in (a) were collected from as-deposited films, while those in (b) are from films annealed at 250 °C for 1 hour.

Fig. 2(a) shows that a phase with peaks matching the positions expected for Li₃OCl (a = 3.91 Å)⁷⁴ was present in all as-deposited films, regardless of the substrate type. These positions do not overlap with any of the peaks from the precursors or other possible phases in the Li-O-Cl system, which suggests that the in situ heating of the film by the impinging plasma ions was sufficient to stabilize an antiperovskite phase with composition close to Li₃OCl. The presence of LiCl peaks could reflect incomplete reaction with Li₂O, which may be present in amorphous form. However, EDX analysis (Section 3.2.3) revealed a significant Cl excess in the as-deposited films. This could be a result of LiCl enrichment in the upper regions of the target during sputtering (Fig. S1, ESI†). Further, if there is partial melting of the LiCl phase within the target, combined sputtering and evaporation may enhance the deposition rate of LiCl relative to that of Li₂O.

The low intensities of the film peaks and their broadness compared to most of the substrate peaks is indicative of a semicrystalline film with a small grain size, which makes determination of the antiperovskite phase fraction very challenging. Post-deposition annealing was performed to encourage further reaction and crystallization into the antiperovskite phase. Since the melting temperature of Li₃OCl is not known, an annealing temperature of 250 °C - which is close to the melting point of Li₂OHCl¹⁶ - was chosen. However, after annealing at this temperature no peaks corresponding to Li₃OCl were seen in the XRD patterns from any of the films (Fig. 2(b)). The only peaks from the films on silicon and alloy substrates correspond to Li₂O and LiCl, which suggests that the antiperovskite phase decomposed during annealing. Since the results of prior experimental studies suggest that Li2OHCl is thermodynamically stable between room temperature and 250 °C, 16,18,78,79 the fact that this decomposition occurred is good evidence that the antiperovskite phase attained here was indeed Li₃OCl. Therefore, a quantity of Li₃OCl was preserved in a metastable condition in the as-deposited films by a high rate of natural cooling from the deposition temperature (at which Li₃OCl is thermodynamically stable) to room temperature (at which the decomposition kinetics are vanishingly slow). This demonstrates a key benefit of using RF magnetron sputtering to synthesize Li₃OCl: relatively high cooling rates can be achieved due to the immediate quenching of the plasma at the end of deposition and the inherently high cooling rates of thin films compared with bulk samples such as pellets.80

A recent study⁵⁷ estimated that Li₃OCl is thermodynamically stable above 500 K (227 °C). While our results appear to contradict this, it is important to recognize that complete decomposition of the Li₃OCl phase could have occurred before the annealing temperature was reached or during slow cooling back to room temperature. Evidence for this was provided by annealing films on glass substrates at 100 °C and 200 °C.

As shown in the XRD patterns in Fig. S6 (ESI†), the main antiperovskite peak - (110) - is still present after annealing for 1 hour at 100 °C, but after annealing at 200 °C it is replaced by precursor peaks. Thus, the kinetics of decomposition become sufficiently fast at a temperature between 100 and 200 °C. No evidence for the presence of Li₃OCl was seen in films deposited on silicon and annealed at 300 °C and 350 °C, also plotted in Fig. S6 (ESI†); a volume of Li₃OCl may have re-formed at these temperatures and subsequently decomposed during slow cooling to room temperature.

The changes that occur within the films on glass and nickelcoated glass during annealing are different from those seen in the films on other substrates. Although Li₃OCl peaks are absent from the XRD patterns and the Li₂O peak (111) peak is present, there are no peaks corresponding to LiCl. Instead, the second set of film peaks matches exactly the positions expected for NaCl. EDX measurements performed before and after annealing (Section 3.2.3) indicate that a large volume of sodium (possibly in the form of Na₂O) migrated into the films from the glass substrates during annealing. No Na2O peaks are visible in the XRD patterns, suggesting that the Na₂O reacted with any LiCl present to form NaCl via eqn (3.1).

$$Na_2O + 2LiCl \rightarrow 2NaCl + Li_2O$$
 (3.1)

This reaction would establish the downhill chemical potential gradient required for Na₂O to migrate from the glass to the film until it is present at a much higher concentration in the film than in the adjacent glass. The XRD patterns in Fig. S6 (ESI†) show that the migration of Na2O and reaction with LiCl start to occur at an appreciable rate between 100 °C and 200 °C. As expected, the diffusion of Na2O into the films on nickel-coated glass is impeded by the nickel layer, as shown by the somewhat lower intensities of the NaCl peaks relative to the Li₂O peak in the corresponding XRD pattern. Further evidence for this is provided by the EDX data in Section 3.2.3.

Overall, on all substrate types the as-deposited films contain two principal crystalline phases: Li₃OCl-type antiperovskite and LiCl. The XRD patterns indicate that the ratio of antiperovskite to LiCl volumes was greatest in the films on alloy substrates, while this ratio was very similar for films on glass and silicon substrates. Owing to the structural similarity between the films on glass and silicon substrates and the fact that metal coating layers were found to adhere poorly to silicon without the use of titanium or Al₂O₃ interlayers, the films on silicon were not subjected to further characterization.

3.2.2. Film morphology. The film morphology of the solid electrolyte layer has a critical bearing on the overall performance of the cell, since good contact with the electrode layers is required for a low internal resistance and long-term cycling stability. Therefore, it is important to investigate the impact of the substrate material on the film morphology. Fig. 3 shows SEM micrographs of films on glass, nickel-coated glass, stainless steel and Waspaloy substrates.

On all substrate types, the films have a "particulate" appearance, which reflects an "island-like" or Stranski-Krastanov ("layer plus island") growth mode. 81 Island-like growth occurs in situations where the surface energy of the substrate is low relative to that of the film and the film-substrate interfacial energy. Stranski-Krastanov (S-K) growth occurs if the filmsubstrate interfacial energy is relatively low. Layer growth initially prevails in this situation; however, a transition to island growth occurs after a few monolayers have formed to reduce the bulk strain energy. The films on alloy substrates have much coarser morphologies than those on glass and nickel-coated glass, shown quantitatively in Table 2 by the much greater thickness ranges and standard deviations of these films. Interestingly, although the deposition duration was twice that used for the other substrate types, the mean thicknesses of the films on the alloy substrates are lower than that of the film on uncoated glass. Along with the fact that the

Table 2 Thicknesses of as-deposited films, each calculated from at least eight measurements on tilt-corrected cross-sectional SEM micrographs

Substrate	Film thickness range [nm]	Mean [nm]	Standard deviation [nm]
Glass	640-800	730	58
Ni-coated glass	410-600	520	59
Stainless steel	160-1390	620	360
Waspaloy	90-1410	670	404

film on nickel-coated glass is $\sim 30\%$ thinner than the film on uncoated glass, this indicates that the sticking coefficient is lower on metallic substrates than on uncoated glass. The red circles drawn on the surface view micrographs in Fig. 3(c) and (d) highlight areas where there are large holes in the films on

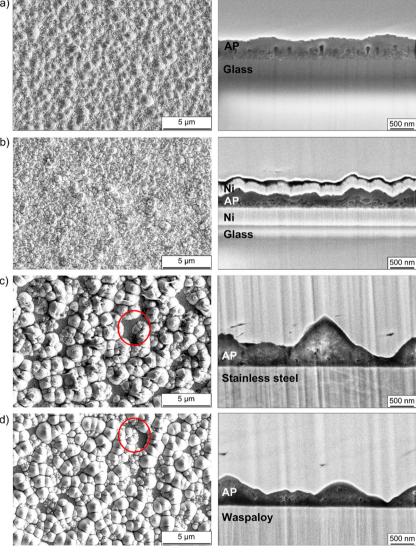


Fig. 3 SEM micrographs of surfaces (left) and cross-sections made by PFIB sectioning (right) of films deposited from Li₂O + LiCl targets onto (a) glass, (b) nickel-coated glass, (c) stainless steel and (d) Waspaloy substrates. The cross-sectional micrographs were taken at a 38° angle to the normal of the section plane, meaning that the scale bars are valid only for horizontal measurements. The electrolyte films are labelled 'AP' since they contain a quantity of antiperovskite phase. The material above the films is Pt that was deposited within the PFIB to protect the underlying films during sectioning. The site of a nickel top contact was chosen for imaging the cross-section in (b). Red circles on the surface view micrographs in (c) and (d) indicate holes in the electrolyte films.

the alloy substrates. Several similar areas can be seen at other locations in these micrographs and were found across the surface of each film. The presence of such features is particularly undesirable in electrolyte films since they could permit contact between the electrode layers.

The reasons for the morphological differences between the films on glass (uncoated and nickel-coated) and alloy substrates are not fully understood. However, one interesting observation is that the lattice parameters of 304 stainless steel and Waspaloy (both $\sim 3.6 \text{ Å}^{82,83}$) are only about 8% smaller than that of Li₃OCl $(\sim 3.9 \text{ Å})$. Therefore, some degree of lattice matching between the alloy substrates and the Li₃OCl phase fraction may occur during film nucleation. Growth would then follow the S-K mode, with the first few monolayers forming in a layer-by-layer fashion before transitioning to an island-like morphology. 81 By contrast, the amorphous structure of glass favours purely island-like growth, with partial coalescence of the islands occurring as growth proceeds to minimize their surface area.

Although the lattice parameter of nickel ($\sim 3.5 \text{ Å}^{84}$) is also close to that of Li₃OCl, the morphology of the film on nickelcoated glass is similar to that of the film on uncoated glass. A possible reason for this is the nanocrystallinity of the nickel layer, as evidenced by the lack of clear peaks corresponding to nickel in the XRD pattern in Fig. 2, which would preclude the lattice matching required for growth by the S-K mode. While the films on the glass-based substrates are much smoother than those on the alloy substrates, they are significantly

rougher than the nickel bottom contact layer visible in the cross-sectional micrograph in Fig. 3(b). This can be attributed in part to the rather "soft" sputtering conditions used for the Li₂O + LiCl target, since the use of low pressure and RF power limit two processes that promote the growth of smooth films: planarization (break-up of surface clusters) and the resputtering of loosely bound ions.81

The severe roughness and high density of holes displayed by the films on stainless steel and Waspaloy substrates renders them unsuitable for solid electrolyte applications. Therefore, subsequent characterization was performed using films on uncoated and metal-coated glass substrates.

3.2.3. Chemical composition. EDX line scans were performed within the cross-sections of films on uncoated and nickel-coated glass substrates, chiefly to enable quantification of the Cl/O atomic ratio. Fig. 4 shows the locations of the line scans along with the associated X-ray spectra and composition tables.

The film on the uncoated glass substrate has a Cl/O atomic ratio of ~ 2.2 , while that of the film on nickel-coated glass is ~ 1.8 . This confirms the presence of an overall Cl excess in the films. However, the quantitative accuracy of the EDX measurements was compromised to some degree by the relatively low accelerating voltage used to avoid beam damage, which limited the intensities of the spectral lines.85 Furthermore, owing to the geometry of the area milled in the PFIB, measurements were performed with the cross-section plane normal tilted by 38° to the SEM beam, which

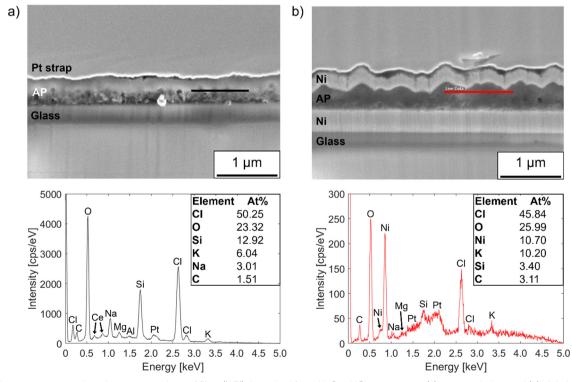


Fig. 4 EDX spectra measured on the cross-sections of films ('AP') deposited from Li₂O + LiCl targets onto (a) uncoated glass and (b) nickel-coated glass substrates. The black and red lines drawn on the SEM micrographs show the respective locations of the line scans. While the elemental concentrations are given in atomic percent, they do not account for the lithium content of the films or elements present at concentrations below 1 at% and are valid only for calculations of atomic ratio

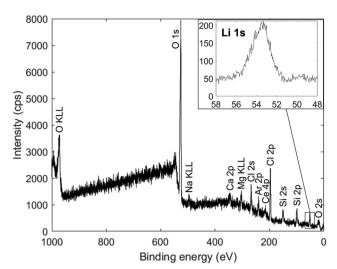


Fig. 5 XPS spectrum of a film deposited from an Li₂O + LiCl target onto a glass substrate, measured after etching the film surface using a 4 kV Ar-ion beam for 40 minutes to remove surface impurities and carbon-rich layers. The inset shows a high resolution plot of the Li 1s peak. Unlabelled peaks do not correspond to any probable film impurities and are thus attributed to contamination from the XPS chamber

may have increased the penetration of the beam into the substrate. This means that the relative concentrations of elements from the substrate such as Si, K and Na measured within the films may be higher than their true values.

EDX line scans were also performed on the cross-sections of annealed films to assess the degree of sodium migration from the glass substrates to the films during annealing. Fig. S7 (ESI†) shows composition profiles from line scans taken across films

on uncoated and nickel-coated glass substrates after annealing for 1 hour at 250 °C. The results confirm observations from the XRD data in Fig. 2 that a significant amount of sodium migrates into the films from the glass substrates during annealing, but the nickel layer blocks the diffusion to a moderate degree. By inspection of the element concentration profiles, the film on uncoated glass has an Na/Cl atomic ratio of ~ 0.8 , whereas that of the film on nickel-coated glass is ~ 0.4 .

XPS was used to verify the presence of lithium and investigate its bonding environment. The spectrum measured from a film on an uncoated glass substrate is shown in Fig. 5.

The strong peaks corresponding to oxygen and chlorine, along with the sodium, calcium, magnesium, cerium and silicon peaks from the substrate, support the results from EDX measurements in Fig. 4. The Li 1s peak spans the energy range expected for the bonding in Li₃OCl⁶⁹ and likely contains components from Li-O (54.04 eV) and Li-Cl (54.87 eV). Overall, the XPS characterization confirms that lithium is present and in the expected bonding environments.

3.3. Comparison to films deposited from an Li₂OHCl target

FT-IR experiments were performed to look for the presence of O-H bonding in the films deposited from Li₂O + LiCl targets. To ensure correct interpretation of the results, reference films containing O-H bonding were deposited from an Li2OHCl powder target. In addition to FT-IR, XRD and SEM were used to investigate the structural properties of the new set of films. The FT-IR spectra and XRD patterns for both sets of films and a sample of Li2OHCl powder are shown in Fig. 6, while surface and cross-section view SEM micrographs taken after performing EIS are shown in Fig. S8 (ESI†).

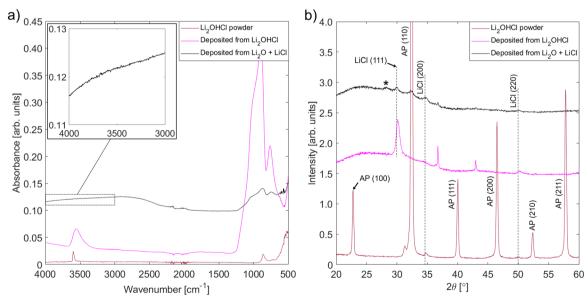


Fig. 6 Characterization of films deposited from Li₂O + LiCl and Li₂OHCl targets onto uncoated glass substrates by (a) FT-IR and (b) XRD. A sample of Li₂OHCl powder was also characterized for comparison. The enlarged IR spectral region covers the wavenumber range over which bands corresponding to O-H stretching vibrations are expected. On the XRD patterns, peaks marked * correspond to impurity phases from the precursor mixture such as KCl, while peaks marked 'AP' correspond to Li₃OCI/Li₂OHCI antiperovskite phases. Unlabelled peaks are from the sample holder; the differing intensities of holder peaks are due to differences in lateral positioning of the samples within the holder.

A band centred at $\sim 3600~\rm cm^{-1}$, which corresponds to the O–H stretching vibration, is present in the IR spectra corresponding to the Li₂OHCl powder and film deposited from this powder. This shows that, like the powder, the film deposited from the powder contains O–H bonding. The absence of bands over the 3200–3600 cm⁻¹ range in the spectrum corresponding to the film deposited from the Li₂O + LiCl target shows that there is no detectable level of O–H bonding in this film. Therefore, the antiperovskite phase identified from the corresponding XRD pattern is likely to be Li₃OCl or an off-stoichiometric variant rather than a hydroxide chloride compound such as Li₂OHCl.

The XRD pattern for the film deposited from the Li_2OHCl target does not contain any antiperovskite peaks: all detectable peaks correspond to LiCl and the sample holder. Amorphous Li_2OHCl , LiOH or another phase containing OH such as $\text{Li}_4(\text{OH})_3\text{Cl}^{58}$ must also be present since the IR spectrum shows that the film contains O–H bonding. The reason that a quantity of antiperovskite phase is present in the film deposited from the Li_2O + LiCl target but not in the film deposited from the Li_2OHCl target is not clear. However, it could be due to the instability of Li_2OHCl at the substrate temperature reached

during deposition. A broader characterization of the properties of the films deposited from the Li₂OHCl target, aside from characterization by EIS, was not performed since this is outside the scope of the present investigation.

The SEM micrographs in Fig. S8 (ESI†) show that the films deposited from the Li₂OHCl target had a much smoother morphology than those deposited from Li₂O + LiCl targets, which suggests that the film-substrate interfacial energy was lower. The thickness determined from 8 locations along the film cross section was (484 \pm 4) nm, which is 2/3 of the thickness of the equivalent film deposited from Li₂O + LiCl, as should be expected since the deposition duration was approximately 40% shorter.

3.3.1. Ionic conductivity. Since very few, if any, reliable reports on the synthesis of Li₃OCl exist, the expected ionic conductivity and activation energy values are uncertain. However, these properties have been measured previously for Li₂OHCl.^{7,16–18} The activation energy for Li⁺ conduction in Li₂OHCl has been found to decrease from ~ 0.8 eV to 0.53–0.57 eV above ~ 32 °C, which is associated with the transformation from an orthorhombic to a cubic crystal structure. The range of values reported for the ionic conductivity at ~ 25 °C is

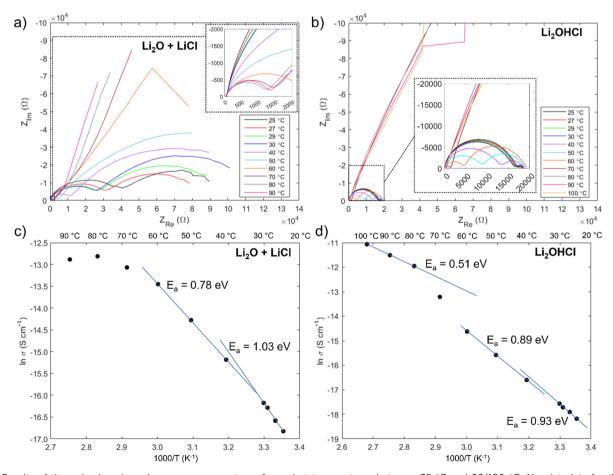


Fig. 7 Results of through-plane impedance measurements performed at temperatures between 25 $^{\circ}$ C and 90/100 $^{\circ}$ C. Nyquist plots for the films deposited from Li₂O + LiCl and Li₂OHCl targets are shown in (a) and (b) respectively; (c) and (d) show the corresponding Arrhenius plots and activation energies calculated from the linear fit lines.

very wide, with four different reports finding values of (6.83 \times $10^{-9} \text{ S cm}^{-1}$), $^{17} (\sim 5 \times 10^{-8} \text{ S cm}^{-1})$, $^{18} (1.93 \times 10^{-6} \text{ S cm}^{-1})^{16}$ and $(\sim 4 \times 10^{-5} \text{ S cm}^{-1})$. Values of $(\sim 5.6 \times 10^{-7} \text{ S cm}^{-1})$, ¹⁷ $(\sim 2.5 \times 10^{-6} \text{ S cm}^{-1})^{18} \text{ and } (\sim 1.1 \times 10^{-5} \text{ S cm}^{-1})^{16} \text{ were}$ measured at 50 °C.

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The results of impedance measurements on films deposited from Li₂O + LiCl and Li₂OHCl targets are shown in Fig. 7, and the equivalent circuits used to fit the Nyquist plots are shown in Fig. S9 (ESI†). Ionic conductivity measurements on both sets of films proved to be extremely challenging: many films contained pinholes at the sites of the electrical contacts, which caused short circuits, and in some cases the interfaces between the contacts and the film were poor, giving purely capacitive behaviour.

It is noteworthy that a low frequency tail is absent from the Nyquist plots of both film samples up to 70 °C. The blocking behaviour of the electrical contacts is not seen in this temperature range because a "soft" electronic short circuit existed in both samples. Further evidence for this is provided by the semicircle at low frequency, which grows with increasing temperature as expected for the electronic component of the overall impedance. The electronic impedance was sufficiently high to enable measurement of the ionic impedance, which was determined from the high frequency semicircle by modelling the behaviour with an appropriate equivalent circuit (Fig. S9, ESI†). The resistance at the intercept of the high frequency semicircle with the real axis, $R_{\rm int}$, is given by $\frac{1}{R_{\rm int}} = \frac{1}{R_{\rm i}} + \frac{1}{R_{\rm e}}$ for the film deposited from Li₂O + LiCl and $\frac{1}{R_{\rm int}} = \frac{1}{R_{\rm i} + R} + \frac{1}{R_{\rm e}}$ for the film deposited from Li_2OHCl , where R_i , R_e and R are the bulk ionic, electronic and additional (e.g. grain boundary or reaction layer) resistance components, respectively. Re corresponds to the intercept of the low frequency semicircle with the real axis. These intercepts are not actually reached by the curves in Fig. 7 due to interference between the high and low frequency behaviour, but the equivalent circuit modelling allows the points at which these intercepts would occur in the absence of interference to be determined. Thus, the value of R_i can be calculated for each temperature and converted to ionic conductivity using the film thickness (Table 2 and Fig. S8, ESI†) and the impedance contact overlap areas listed in Table S1 (ESI†). The values of ionic conductivity measured at 25 °C and 50 °C for both samples are shown in Table 3. On reaching 70 °C a low frequency tail appears in place of the low frequency semicircle in both Nyquist plots, indicating that the short circuits disappear, possibly due to the

The conductivity values are at the lower end of the ranges reported previously for Li₂OHCl, and the low-temperature

formation of insulating reaction layers.

Table 3 Li⁺ conductivities of the films deposited from each sputtering target

Sputtering target	Li ⁺ conductivity of film at 25 °C [S cm ⁻¹]	Li ⁺ conductivity of film at 50 °C [S cm ⁻¹]
Li ₂ O + LiCl Li ₂ OHCl	4.93×10^{-8} 1.27×10^{-8}	$6.29 \times 10^{-7} \\ 1.71 \times 10^{-7}$

activation energies are somewhat higher than measured previously. However, it is important to bear in mind that both sets of films have low antiperovskite phase purity; in the case of the film deposited from Li₂OHCl, no quantity of antiperovskite phase was detected (Fig. 6(b)), which may explain why its ionic conductivity is lower than that of the film deposited from Li₂O + LiCl. Therefore, the overall ionic conductivity will have been supressed by the large volume fraction of low-conductivity secondary phases, including crystalline LiCl and amorphous phases undetectable by XRD. Furthermore, the broad antiperovskite peaks in the XRD pattern in Fig. 2 - and the lack of such peaks in Fig. 6 - suggest that the antiperovskite phases have a very small grain size. Since the grain boundaries of Li₃OCl are predicted to have a significantly lower ionic conductivity than the grains, 86 the large grain boundary areas of these samples should further suppress the overall ionic conductivity.

Nevertheless, the Arrhenius plots in Fig. 7(c) and (d) show the characteristic change in activation energy between 30 °C and 40 °C, which usually reflects the transition from an orthorhombic to a cubic crystal structure. 7,16,17,79 The change is only slight for the film deposited from Li₂OHCl, but it does suggest that some crystalline antiperovskite phase was present or formed during the low temperature heating. A much clearer change is seen for the film deposited from Li₂O + LiCl. This is unexpected as an orthorhombic structure has not been predicted to be stable for Li₃OCl. Nevertheless, it is quite possible that the orthorhombic phase is favoured below ~ 32 °C for both Li₂OHCl and Li₃OCl, particularly if the composition of the Li₃OCl-type phase is off-stoichiometric.

Unlike in previous studies on Li₂OHCl, the activation energy seems to decrease further for both film types above 60 °C. Further structural or chemical changes must therefore occur in both films at the highest measurement temperatures. It was shown in Section 3.2.1 that only very minor structural changes occur in the films deposited from Li₂O + LiCl targets onto uncoated glass substrates during annealing at 100 °C for 1 hour (Fig. S6, ESI†). However, the migration of sodium was not studied at this temperature; if it is significant, it may affect the ionic conductivity. In the case of the film deposited from Li₂OHCl, the activation energy above 70 °C is 0.51 eV, which is slightly lower than the values reported previously for cubic Li₂OHCl and may reflect an increase in the fraction of the cubic antiperovskite phase during heating between ~60 °C and ~ 80 °C.

To investigate the changes that occurred in the film deposited from Li₂OHCl during the EIS measurements, XRD and FT-IR were performed after completing the experiment and cooling to room temperature. The results are shown in Fig. S10 (ESI†). Despite the continued presence of a strong LiCl(111) peak, the XRD patterns show that the main cubic antiperovskite peak -(110) - has appeared. While only a single peak corresponding to the antiperovskite phase can be seen clearly - since the other peaks overlap with those from the Pt electrical contacts - this is reasonable evidence that the antiperovskite phase fraction increased during the impedance measurements. The FT-IR spectrum shows that O-H bonding is still present after

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performing EIS, but interestingly the band intensity around 3600 cm⁻¹ contains a sharp component (as seen in the spectrum from the Li₂OHCl powder) and a broad component (as seen in the spectrum from the as-deposited film). The appearance of a sharp intensity component likely reflects the partial crystallization of the OH-containing phase (Li₂OHCl) and suggests that annealing at a temperature of at least 100 °C will lead to improvements in the properties of the as-deposited films.

4. Conclusions

In this work, the formation of small volumes of LiRAP phases in thin film electrolytes deposited by RF magnetron sputtering was demonstrated for the first time. The XRD results showed that one of the structures present in films deposited from powdered Li₂O + LiCl sputtering targets onto a variety of substrate materials matched that of Li₃OCl. This phase was found to break down into Li₂O and LiCl - the precursors of Li₃OCl - on annealing at moderate temperatures, suggesting that rapid natural cooling from the deposition temperature and sluggish decomposition kinetics at room temperature locked a volume of this phase in a metastable state. By contrast, in films deposited from a powdered Li₂OHCl sputtering target a quantity of thermodynamically stable antiperovskite phase with a structure matching that of Li₂OHCl was partially crystallized on heating to 100 °C. Crucially, FT-IR experiments showed that the films deposited from Li₂O + LiCl did not contain a detectable level of O-H bonding, whereas the thinner films deposited from Li₂OHCl showed clear IR bands corresponding to O-H stretching vibrations. The results therefore suggest that quantities of Li₃OCl and Li₂OHCl type antiperovskite phases were formed in the films deposited from Li₂O + LiCl and Li₂OHCl targets, respectively.

Due to the low phase purities of these films, it was not possible to isolate the antiperovskite phases and determine their precise chemical compositions. However, the fact that the measured lattice parameters were approximately the same as the expected values implies that the actual compositions were similar to the nominal chemical formulae. XRD and EDX analyses revealed a significant overall LiCl excess in the films deposited from Li₂O + LiCl targets. Increasing the molar ratio of Li₂O to LiCl in the target could reduce this excess and increase the volume fraction of the Li₃OCl phase. In the case of the films deposited from Li₂OHCl, the results show that the volume fraction of the Li₂OHCl phase can be increased by annealing at a temperature of 100 $^{\circ}$ C, which is very low compared to most of the synthesis temperatures reported previously. $^{7,16,17,27-29,31}$ For both film types, increasing the volume fraction of the antiperovskite phase should increase the overall Li⁺ conductivity, which may make the films viable as solid electrolytes.

RF magnetron sputtering is a promising fabrication technique for thin solid electrolytes containing LiRAP phases. Aside from further optimization of the processing conditions to maximize the antiperovskite phase fractions, future investigations should measure the film temperature during deposition from an Li₂O + LiCl target and the rate of cooling to room temperature. This will increase understanding of the Li₃OCl phase stability. Investigation of substrate materials that form low energy interfaces with films deposited from Li₂O + LiCl targets and are free from highly mobile ions will also be required to improve electrolyte performance.

Data availability

Source data are available from the corresponding author upon reasonable request.

Conflicts of interest

There are no conflicts of interest to declare.

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