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There is a growing interest in the novel bifunctional electrocatalyst for oxygen reduction (ORR) and evolution reactions (OER), for application in electrochemical energy conversion systems. N-doped NbO_x /CB nanoparticles of approximately 3 nm in size, for the first time, are introduced as an active and stable bifunctional oxygen electrocatalyst in alkaline media. The nanosize control and modified surface of the NbO_x catalyst composed of multivalence, originating from the synthesis route including potentiostatic electrodeposition in a nonaqueous solution and subsequent annealing in a NH_3 flow, largely promote the oxygen electrocatalyses.

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A N-doped NbO_x nanoparticle electrocatalyst deposited on carbon black for oxygen reduction and evolution reactions in alkaline media†

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There is growing interest in novel bifunctional electrocatalysts for oxygen reduction (ORR) and evolution reactions (OER), for application in electrochemical energy conversion systems. While ultrafine oxides have been reported as efficient electrocatalysts for the ORR and OER, there are no reports on ultrafine oxides based on the group 4 or 5 metals as a bifunctional oxygen electrocatalyst. Herein, we present and demonstrate N-doped NbO_x /CB nanoparticles as a highly active and stable, bifunctional oxygen electrocatalyst in alkaline media. The NbO_x nanoparticles approximately 3 nm in size were successfully synthesized on carbon black (CB) by potentiostatic electrodeposition. The employment of a CB support with a large surface area resulted in a loading amount of 20 wt% Nb in the N-doped NbO_x /CB and a uniform distribution of the oxide on CB, leading to an increase of the reaction site. The subsequent annealing in an NH_3 flow decreased the concentration of oxygen and intercalated a small amount of nitrogen in the as-deposited NbO_x particles, largely lowering the overpotentials of the ORR and OER. The surface Nb species on the N-doped NbO_x particles were made of multivalent Nb^{4+} and Nb^{5+} . As a result, the prepared N-doped NbO_x /CB nanoparticles exhibited high catalytic activities for both the ORR and OER in a 0.1 M KOH solution. The potential difference, ΔE , indicating an index for the bifunctional oxygen electrocatalyst, was 1.13 V, which was comparable to those of the commercial 20 wt% Pt/CB and Ir/CB. In addition, the current densities obtained for the nanoparticles were significantly steady for both the long-term ORR and OER in alkaline media. Together these results demonstrate the N-doped NbO_x /CB nanoparticles as potential bifunctional oxygen electrocatalysts.

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1. Introduction

Novel electrocatalysts for the oxygen reduction reaction (ORR) and oxygen evolution reaction (OER) have attracted significant research interest for their application in various energy conversion and storage systems such as regenerative fuel cells, water electrolysis, and metal-air batteries.^{1–3} In addition, the environmental regulations due to global climate change, the depletion of fossil fuels, and increasing energy consumption, have accelerated the exploration of oxygen electrocatalysts that boost the efficiency of the four-electron pathway to drive the ORR or OER. An ideal

electrocatalyst should be made of materials that can withstand the conditions of the energy systems, *e.g.*, a high or low pH level and a wide potential window, for efficient and long-term performance. With this background, many potential candidates including metal oxides and carbon materials have been proposed as promising ORR and OER electrocatalysts.^{1–6}

In particular, bi-functional oxygen electrocatalysts have attracted much attention because they enable cost reduction and simplification of energy systems, and also allow metal-air batteries to operate charge–discharge cycles.^{2,4,5,7} RuO_2 and IrO_2 electrocatalysts have been shown to be very active for the OER in acidic and alkaline electrolytes,⁸ while Pt nanoparticles supported on carbon, as a commercial fuel cell electrocatalyst, have shown high performance for the ORR in acidic media.¹ However, the typical oxygen electrocatalysts are all rare metals leading to an increase in production cost, and have limited activity for the counter oxygen reaction. Initiating from manganese oxide MnO_2 ,^{9,10} transition metal oxides with special crystal structures, such as spinel oxides with a formula of AB_2O_4 (A = divalent metal ion; B = trivalent metal ion) or perovskite oxides with a formula of ABO_3 (A = alkaline-earth metal ion;

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$B =$ transition metal ion), were found to be active for both the ORR and OER in alkaline media.^{2,4,5,11,12} The crystal structures allow the transition metals to be tuned to variable valences separately and activated for each reaction. It therefore causes the oxygen redox electrochemistry of single catalysts.

Cobalt oxide Co_3O_4 in a spinel structure has two different valences of Co^{2+} and Co^{3+} ions, and Co^{3+} is known to act as a donor-accepter reduction site for the ORR.^{13,14} In this case, the electron transfer between the cations with different valences requires low activation energy. In a previous study on $Mn_xCo_{3-x}O_4$, the ORR activity was enhanced by increasing the amount of Mn^{4+}/Mn^{3+} redox pairs, and the surface Co^{3+} was regarded as an active site for the OER.¹⁵ In perovskite oxides described by the formula $A_{1-x}A'_xB_{1-y}B'_yO_3$, the substitution of the A-site by another element influenced the ability to adsorb oxygen, while that of the B-site affected the activity of the adsorbed oxygen during the ORR.^{16,17} $La_{0.6}Ca_{0.4}CoO_3$ exhibited improved ORR and OER catalytic activities simultaneously, and its electrocatalytic properties were enhanced by tuning the oxidation state of Co species.^{18,19} It was also revealed that the higher oxidation state of the surface Co species enhanced the oxygen electrocatalysis.²⁰ These results indicate that the different valences of metals that co-existed on a single catalyst were a requirement to be a bi-functional oxygen electrocatalyst.

Group 4 and 5 transition metals such as Ti, Nb, and Ta are known to have multi-valence, and several Ti-, Nb-, and Ta-based compounds have been reported as ORR electrocatalysts in acidic and alkaline solutions.²¹⁻²⁶ Although nitrides, carbides, and mixed compounds were tested for boosting ORR electrocatalytic activities by doping with additional elements and by applying heat treatments, their short-term stability in acidic electrolytes was an obstacle for polymer electrolyte fuel cell applications. In addition, it was rarely reported that Ta-based catalysts deposited on carbon black (CB) with a predominant crystal phase $Na_2Ta_8O_{21}$, prepared by a microemulsion method, were activated as bi-functional oxygen electrocatalysts in 0.1 M NaOH aqueous solution.²⁷ The electrocatalytic activities using the Ta-based oxides were unsatisfactory, even the anodic current for the OER did not reach 10 mA cm^{-2} at potentials lower than $2.0 \text{ V}_{\text{RHE}}$. The metal oxides with a maximum valence, *e.g.*, Ta_2O_5 and Nb_2O_5 , were chemically the most stable under acidic and alkaline conditions.^{22,23,27,28} Nevertheless, these oxides are insulators in bulk, thus resulting in low electrocatalytic activity. The nanosize control of NbO_x , ZrO_x , and TaO_x particles in $1 \sim 2 \text{ nm}$ diameter dispersed on CB was very effective in improving electrocatalytic activity for ORR in acid media.^{24,25,28} The transition metals in the oxide nanoparticles were composed of two or three different oxidation states according to XPS analyses. The ultrafine TaO_x/CB electrocatalysts activated the ORR in a 0.1 M H_2SO_4 electrolyte *via* nearly a four-electron transfer pathway and also maintained long-term stability for 10,000 cycles.²⁸ However, there are no reports on the ultrafine oxides based on the group 4 or 5 metals as bifunctional oxygen electrocatalysts in alkaline media.

Herein, for the first time, we present N-doped NbO_x nanoparticles highly dispersed on CB (designated as N-doped

NbO_x/CB hereafter) as an active bifunctional oxygen electrocatalyst in alkaline media. The oxide nanoparticles that were approximately 3 nm were successfully synthesized at CB surfaces by electrodeposition in a nonaqueous Nb-based solution followed by annealing treatment in a NH_3 flow. The N-doped NbO_x particles were composed of Nb^{4+} and Nb^{5+} species. The employment of a CB support with a large surface area of $1251 \text{ m}^2 \text{ g}^{-1}$ significantly increased the loading amount of NbO_x particles, and therefore augmented the reaction sites for the oxygen reactions. These physical and surface properties resulted in high activities in both the ORR and OER over the N-doped NbO_x/CB particles in a 0.1 M KOH solution. In particular, the annealing in the NH_3 flow significantly lowered the overpotentials for the ORR and OER due to a decrease in the concentration of oxygen and additional doping of nitrogen in the NbO_x catalyst leading to decreased charge transfer resistance. Also, the current densities over the nanoparticles were stable for both long-term ORR and OER. These results demonstrated that the N-doped NbO_x/CB nanoparticles were active bifunctional oxygen electrocatalysts in alkaline media.

2. Experimental section

2.1. Preparation of N-doped NbO_x/CB powder catalysts

The NbO_x species were loaded on the CB powder surfaces through potentiostatic electrodeposition in a nonaqueous Nb-based solution. Ten mg of CB powder (Ketjen black EC-600JD, Akzo Nobel Functional Chemicals) was sandwiched between two carbon paper sheets (AvCarb MGL190, AvCarb Material Solutions). A home-made electrodeposition cell was used to fix the sandwiched CB assembly, presented in a previous report.²⁸ The cell as a working electrode was mounted on a typical three-electrode system using a potentiostat (CHI700E, CH Instruments, Inc.). A carbon rod and Ag/Ag^+ were used as counter and reference electrodes, respectively. The nonaqueous Nb-based solution consisted of 10 mM $NbCl_5$ (99.9%, Sigma-Aldrich), 10 mM NH_4Cl (99%, Kanto Chemical) as a supporting electrolyte, and an anhydrous ethanol solvent. In order to electrodeposit NbO_x species on the CB, a constant potential of $-0.4 \text{ V}_{Ag/Ag^+}$ was applied to the working cell for 30 s in the stirred Ar-purged $NbCl_5-NH_4Cl$ ethanol solution at 298 K.²⁵ The electrodeposited CB powder was washed with anhydrous ethanol to remove the remaining Nb precursor and then completely dried at 298 K. Subsequently, the resulting powder was annealed in a pure NH_3 (5N grade) or Ar (5N grade) at a flow of 200 ml min^{-1} at 873 K for 1 h with a ramp rate of 10 K min^{-1} .

2.2. Electrochemical measurements

A catalyst slurry was prepared for ORR and OER electrochemical measurements. Two mg of the prepared N-doped NbO_x/CB powder and 12 μl of a Nafion solution (5 wt% in water/aliphatic alcohols, Sigma-Aldrich) were dispersed in 300 μl of isopropyl alcohol (99.9%, Kanto Chemical). The mixture was repeatedly placed in an ultrasonic bath and stirred with a magnetic stirrer to enhance the degree of dispersion. Two point five μl of the



catalyst slurry was dropped on a glassy carbon rotating-disk electrode (RDE; 5 mm in diameter) and dried naturally. The loading amount of catalyst was approximately $82 \mu\text{g cm}^{-2}$. For comparison, a commercial 20 wt% Pt/CB (Premetek Co., Pt on Vulcan XC-72) and 20 wt% Ir/CB (Premetek Co., Ir on Vulcan XC-72) catalyst slurry was also cast on the RDE in the same manner.

Electrochemical measurements were done using the RDE system, composed of Hg/HgO reference and carbon rod counter electrodes at 298 K. Cyclic and linear sweep voltammograms (CVs and LSVs) were scanned in the potential range of 2.0 to 0.10 V_{RHE} at a scan rate of 10 mV s^{-1} in an Ar- or O_2 -saturated 0.1 M aqueous KOH solution. The potential scale referenced by the Hg/HgO electrode was calibrated to a reversible hydrogen electrode (RHE). For rotating ring-disk electrode (RRDE) measurements, the glassy carbon disk electrode (4.0 mm in diameter) was scanned at a sweep rate of 5 mV s^{-1} , and a potential of $1.2 \text{ V}_{\text{RHE}}$ was applied at a Pt ring electrode (5.0 mm in inner diameter; 7.0 mm in outer diameter). The H_2O_2 yield detected at the ring electrode was estimated using the equation,

$$\% \text{H}_2\text{O}_2 = \frac{2i_{\text{ring}}/N}{-i_{\text{disk}} + i_{\text{ring}}/N} \times 100 \quad (1)$$

where i_{disk} and i_{ring} are the disk and ring current, respectively, and N is the current collection efficiency of the RRDE. N was determined to be 0.420 by a reduction of $\text{K}_3[\text{Fe}(\text{CN})_6]$. Electrochemical impedance spectroscopy (EIS; METEK Inc., Versastat3-200) of the prepared catalysts was performed at an applied potential of $0.72 \text{ V}_{\text{RHE}}$ in the frequency range from 10^4 to 10^{-1} Hz with an AC amplitude of 10 mV. The acquired EIS results were fitted using a ZView program (Scribner Associates, Inc.) using an equivalent circuit model.

2.3. Surface and physical characterization

The crystal structure of the prepared N-doped NbO_x/CB catalysts was investigated by X-ray diffraction (XRD; MiniFlex 600, Rigaku) using $\text{Cu K}\alpha$ radiation at 40 kV and 15 mA. The surface morphology of the N-doped NbO_x/CB nanoparticles was characterized by field emission transmission electron microscopy (FE-TEM; JEM-2200FS, JEOL). The loading amount of Nb in N-doped NbO_x/CB was determined by inductively coupled plasma optical emission spectroscopy (ICP-OES; PerkinElmer, Avio 500). The Brunauer-Emmett-Teller (BET) surface area of the prepared catalyst was determined using the BET (ASAP2020, Micromeritics Instrument Corp.) adsorption method based on the adsorption of N_2 gas at 77 K. Its pore size distribution was also obtained by the Barrett-Joyner-Halenda (BJH) method using the desorption branch of the isotherm. The chemical states of Nb, O, and N species on the N-doped NbO_x/CB surface were determined by high-performance X-ray photoelectron spectroscopy (HP-XPS; K-Alpha +, Thermo Scientific), using a monochromatic X-ray source producing $\text{Al K}\alpha$ emission with a current of 6 mA, and an acceleration voltage of 12 kV.

3. Results and discussion

NbO_x particles were synthesized on the CB, with a large surface area, by electrodeposition at a constant potential of $-0.4 \text{ V}_{\text{Ag}/\text{Ag}^+}$ for 30 s in 10 mM Nb-based nonaqueous solution. The resulting particles were annealed in an NH_3 flow at 873 K for 1 h, so as to modify their surface properties. For comparison, it was also placed in an Ar atmosphere at the same temperature. The crystal structures of the as-deposited and annealed particles were measured by XRD analysis (Fig. S1, ESI[†]). The XRD pattern of the as-deposited particles showed no peaks distinguishable from that of bare CB powder. After the annealing in Ar, the XRD pattern of the particles revealed some crystallinity with a weak peak at 26° , nearly assignable to $\beta\text{-NbO}_2$ (220) (ICSD #35181). In contrast, the particles annealed in NH_3 did not show diffraction peaks, indicating that the particles were highly fine or amorphous. Also, the peaks for the bare CB were not shifted, presenting no introduction of nitrogen in the CB support. The surface morphology of the particles was investigated by (S)TEM measurements. The particles annealed in Ar, smaller than roughly 5 nm in size, were more discrete than the as-deposited particles, however the size of particles was largely unchanged after the annealing at 873 K (Fig. S2, ESI[†]). Further advanced analysis using the two samples was technically difficult because the particles were made of light elements such as oxygen and amorphous (or low crystalline). Fig. 1 exhibits STEM and TEM images of the particles annealed in NH_3 . In the STEM image (Fig. 1A), the bright spots made of a relatively heavy element were well dispersed on ball-like supports. As cross-checked with the TEM image (Fig. 1B), the supports showed a typical surface morphology of Ketjen Black as CB, composed of primary particles

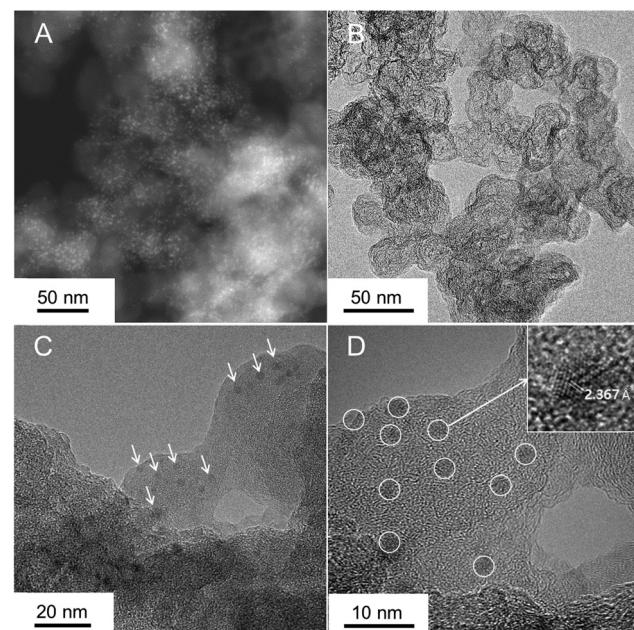


Fig. 1 (A) STEM and (B–D) TEM images of the N-doped NbO_x/CB nanoparticles, prepared by electrodeposition and subsequent annealing treatment in an NH_3 flow at 873 K for 1 h.



smaller than 50 nm size.²⁹ Fine particles, approximately 3 nm size, were well-dispersed on the CB as shown in Fig. 1C and D, and were regarded as Nb-based species. The nanoparticles in the inset of Fig. 1D had lattice fringes, indicating the crystallinity of the material. Although crystalline particles were observed, their crystal structures were varied in the present stage and different from β -NbO₂ (220) observed in the XRD result (Fig. S3, ESI[†]). Therefore, the synthesis of catalysts by electrodeposition and subsequent annealing in NH₃ produced well-dispersed, crystalline Nb-based nanoparticles.

The chemical state and composition of the Nb-based species were determined by XPS analysis. Fig. 2 shows narrow-scanned Nb 3d, O 1s, and N 1s XPS spectra of the as-deposited nanoparticles and the annealed derivatives in Ar and NH₃ atmospheres. The Nb 3d spectra of all the samples broadened and deviated from the double splitting peaks corresponding to the fully oxidized Nb₂O₅ (Nb⁵⁺), indicating the chemical shift to a lower valence state. Accordingly, the broad Nb 3d spectra were deconvoluted with different oxidation states of Nb⁵⁺ and Nb⁴⁺.³⁰⁻³³ The surface composition ratios of the Nb species resulting from the best-fit are summarized in Table S1 (ESI[†]). The convolutions clearly reveal that the electrodeposited Nb species were composed of two oxidation states indicating NbO_x nanoparticles. The surface concentration of Nb⁵⁺ was increased after the annealing treatment, although the presence of multivalent Nb species was still unchanged. However, according to the O 1s spectra, the concentration of oxygen species, including an OH⁻/H₂O group adsorbed on the surface of catalyst, decreased during the annealing in Ar. The annealing of Nb-based nanoparticles in the reducing NH₃ accelerated the decrease in the oxygen level, while promoting the insertion of nitrogen with relatively low content. Besides the desorption of the OH⁻/H₂O species on the surface of catalyst, the crystallization of the amorphous, as-deposited NbO_x decreased the concentration of excess oxygen during the annealing at 873 K,

probably leading to the release of oxygen gas. After the annealing treatments, the decrease in the oxygen contents of catalysts caused more concentrated Nb-based particles, as proved in Fig. 1 and Fig. S2 (ESI[†]) showing the sizes and distributions of the annealed particles more clearly. When CB alone was annealed in NH₃ for comparison, the concentration of nitrogen was undetected, consistent with the XRD result (Fig. S4, ESI[†]). These results indicate that the nitrogen was intercalated exclusively in the NbO_x nanoparticles by the nitridation process in NH₃ exchanging oxygen with nitrogen.^{34,35} During the nitridation, the doped nitrogen and decreased oxygen in NbO_x suppressed the crystallization of the oxide nanoparticles into single phase NbO₂, consistent with the TEM result in Fig. 1D. Therefore, the prepared catalyst was identified as the NbO_x nanoparticles doped with nitrogen, designated thereafter as N-doped NbO_x/CB.

The amount of Nb in the catalyst, prepared by the electrodeposition at -0.4 V_{Ag/Ag⁺} for 30 s, was determined by ICP-OES analysis. 20.1 wt% Nb was deposited on the CB surface in the electrodeposition system. In a previous report, the loading of Nb was limited to 3.6 wt% in the electrodeposition using the CP electrode mixed with Vulcan XC-72.²⁵ Therefore, the high loading amount in this study was remarkable. Moreover, the surface area of the prepared N-doped NbO_x/CB and its pore size distribution were estimated by the BET adsorption method. Fig. 3(A) exhibits a N₂ adsorption-desorption isotherm for the N-doped NbO_x/CB catalyst, which is compared with that for CB powder alone. In this study, Ketjen Black was used as the CB support for the NbO_x nanoparticles because it is known as a conductive, mesoporous carbon with a large BET surface area.²⁹ The hysteresis loop for the CB was typical of the type IV adsorption isotherm according to the IUPAC classification.^{29,36} Its pore size distribution, shown in Fig. 3(B), also exhibited the characteristic of mesoporous materials with pore diameters of 2–50 nm. The isotherm retained the mesoporous property

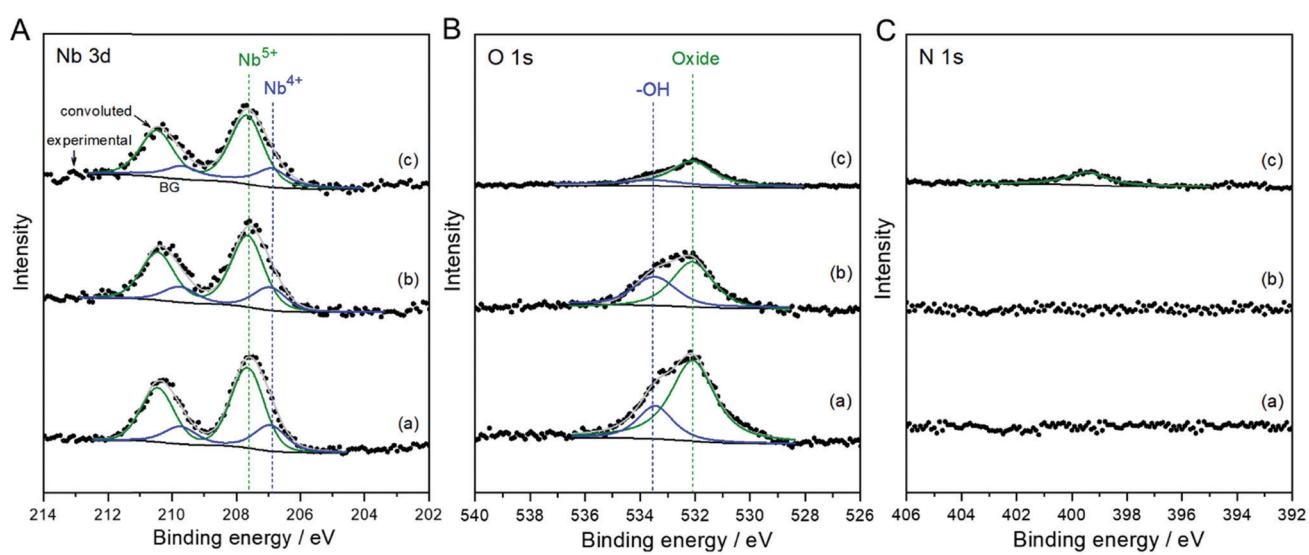


Fig. 2 Narrow-scan (A) Nb 3d, (B) O 1s, and (C) N 1s XPS spectra of NbO_x/CB nanoparticles (a) as-deposited and subsequently annealed in (b) Ar and (c) NH₃ flows at 873 K for 1 h.



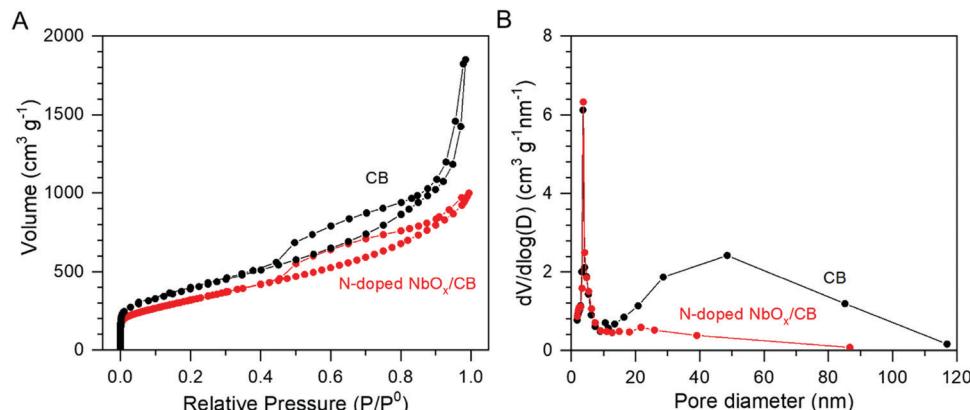


Fig. 3 (A) N₂ adsorption-desorption isotherms at 77 K in bare CB and N-doped NbO_x/CB powder, prepared by electrodeposition and subsequent annealing treatment in NH₃ flow at 873 K for 1 h. (B) Pore size distribution in bare CB and N-doped NbO_x/CB, deduced from N₂ desorption isotherms using BJH procedures.

even after the deposition of NbO_x nanoparticles, although the volume trend against relative pressures became slightly lower. The BET surface areas of the N-doped NbO_x/CB and CB were estimated to be 1158 and 1251 m² g⁻¹, respectively. The coverage of NbO_x particles greater than 20 wt% significantly decreased the pore volume of CB in the range of 10–50 nm, as shown in the pore size distribution. Nevertheless, the surface area of the prepared catalyst was still large because of the loading of NbO_x fine particles in *ca.* 3 nm. Therefore, in this study, the application of CB with a large surface area enhanced the loading amount and uniform distribution of NbO_x nanoparticles, leading to an increase in the reaction site.

Catalytic activities of the N-doped NbO_x/CB nanoparticles for the ORR and OER are shown in Fig. 4(A); it exhibits CVs in Ar- and O₂-purged 0.1 M KOH electrolytes with a scan rate of 10 mV s⁻¹. The ORR was remarkably catalyzed on the nanoparticles, judged by the high cathodic current in an O₂ atmosphere as compared to nearly no current in an Ar atmosphere. It is clear that an O₂ reactant was used in the ORR. The high anodic current responsive to the OER was also observed on the

nanoparticles, regardless of the gas atmosphere. These results demonstrate that the N-doped NbO_x/CB nanoparticles were active for both oxygen reactions in alkaline media. Noble metal Pt/CB, is a representative ORR electrocatalyst, while Ir/CB is a remarkable OER electrocatalyst.¹⁰ For comparison, commercial 20 wt% Pt/CB and Ir/CB catalysts were estimated for the ORR and OER in O₂-saturated 0.1 M KOH electrolytes and the results are shown in Fig. 4(B). The N-doped NbO_x/CB nanoparticles were more active for the ORR than the Ir/CB catalyst and 140 mV less active than the Pt/CB catalyst at the half-wave potential, $E_{1/2}$. Also, the nanoparticles were obviously more active for the OER than Pt/CB and only 90 mV less active than Ir/CB at an anodic current density of 10 mV cm⁻² indicating an OER benchmark. The potential difference, ΔE , between the ORR and OER activities for each catalyst is summarized in Table 1, showing the overall oxygen activities of the bifunctional catalysts. The potential gap for the N-doped NbO_x/CB nanoparticles was 1.13 V, which was comparable to those of the noble metal catalysts. This indicates that the bifunctional activity of the nanoparticles was remarkable. Therefore, the

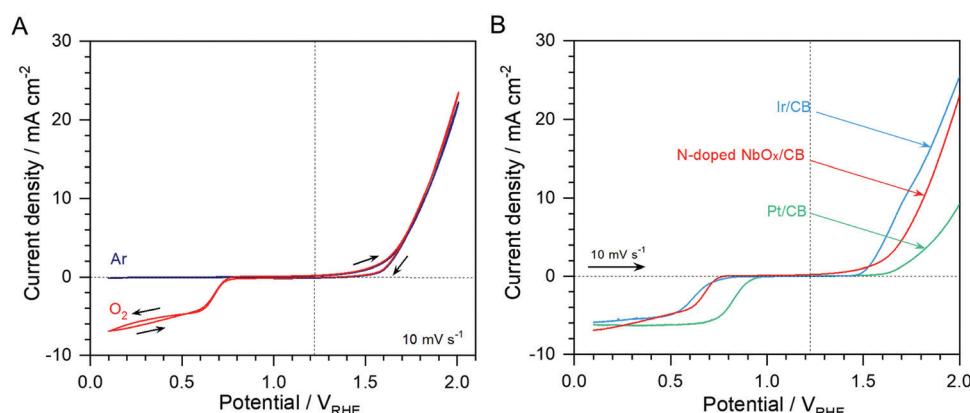


Fig. 4 (A) CVs of the N-doped NbO_x/CB nanoparticles, prepared by electrodeposition and subsequent annealing treatment in a NH₃ flow at 873 K for 1 h. The electrochemical measurements were taken in Ar- and O₂-saturated 0.1 M KOH aqueous solutions. (B) LSVs of the prepared N-doped NbO_x/CB recorded by anodically sweeping the potential from 0.1 to 2.0 V_{RHE} at a scan rate of 10 mV s⁻¹ in an O₂-purged 0.1 M KOH aqueous solution. For comparison, commercial 20 wt% Pt/CB and Ir/CB powders were also measured in the same manner.



Table 1 Bifunctional oxygen activities of N-doped NbO_x/CB electrocatalysts in 0.1 M KOH electrolytes. For comparison, commercial 20 wt% Ir/CB and Pt/CB catalysts were estimated in the same manner

Material	ORR benchmark: $E_{1/2}(\text{V})$	OER benchmark: $E(\text{V})$ at 10 mA cm $^{-2}$	Oxygen electrode $\Delta(\text{OER-ORR}): E(\text{V})$
N-doped NbO_x/CB	0.68 ^a	1.81	1.13
20 wt% Ir/CB	0.62 ^b	1.72	1.10
20 wt% Pt/CB	0.82 ^c	2.02	1.20

* $E_{1/2}(\text{V})$ measured at -2.1 ^(a), -2.5 ^(b), and -3.1 mA cm $^{-2}$ ^(c).

N-doped NbO_x/CB nanoparticles, synthesized by electrodeposition and subsequent annealing, are a potential ideal reversible oxygen electrocatalyst.

To identify the nature of the bifunctional oxygen activity on the N-doped NbO_x/CB catalyst, systematic studies for the ORR and OER were carried out using the nanoparticles with different compositions. The catalytic activities of bare CB and glassy carbon were also evaluated for comparison. Fig. 5(A) shows LSVs of N-doped NbO_x/CB , NbO_x/CB (annealed in Ar), as-deposited NbO_x/CB , CB (annealed in NH_3), and glassy carbon for the ORR in the 0.1 M KOH solution. The current density for ORR, i_{ORR} , was calculated from the difference in cathodic current density between the O_2 - and Ar-saturated atmospheres. CB, used as a support in this study, showed some activity for the ORR as compared to that of glassy carbon. It has been reported that the ORR on the CB occurred to some degree in the alkaline solution, whereas it was almost negligible in an acidic solution.^{28,37,38} The as-deposited NbO_x/CB displayed lower activity for the ORR than CB, although its onset potential was relatively more positive. A similar result was observed in a previous report.³⁹ The lower activity was mainly ascribed to the presence of organic residues including oxygen species, remaining after the synthesis of the catalyst by the electrodeposition in a

nonaqueous electrolyte. This was also observed in the present study, as shown in the XPS spectra. However, annealing of as-deposited catalysts in different gas atmospheres remarkably enhanced the ORR activity, and the NH_3 atmosphere was particularly a superior condition. The onset potential of the N-doped NbO_x/CB was 0.78 V_{RHE} for approximately -0.01 mA cm $^{-2}$, which was significantly shifted from that of the as-deposited nanoparticles. The annealed catalysts produced higher ORR currents with more positive onset-potentials, proving that the NbO_x nanoparticles were activated as the ORR electrocatalyst. Also, it is remarkable that the increase in the loading amount of NbO_x catalyst, resulting from the employment of CB with a large surface area, produced high current density for the ORR, as compared with previous results.^{28,38} The ORR activity of the NbO_x nanoparticles in 0.1 M H_2SO_4 electrolyte was reported in a previous publication.²⁵ Based on these findings, it is certain that the NbO_x/CB nanoparticles can drive the ORR in both acidic and alkaline media.

Fig. 5(B) shows Nyquist plots of the N-doped NbO_x/CB , NbO_x/CB (annealed in Ar), and as-deposited NbO_x/CB catalysts in the 0.1 M KOH solutions at an applied potential of 0.72 V_{RHE}. The EIS measurements were performed to determine the effect of N-doping on the ORR activity of NbO_x/CB , namely, to evaluate the charge transfer behavior of the prepared catalysts during the ORR process. The fitting results of the Nyquist plots using an equivalent circuit model are summarized in Table S2 (ESI†). The electron transfer resistance, R_{ct} , at the interface between the catalyst and solution was significantly reduced after annealing treatments. R_{ct} was minimum in the N-doped NbO_x/CB catalyst, revealing that the annealing in NH_3 efficiently enhanced the electroconductivity of the catalyst. The annealing in Ar was found to modify the surface of catalyst as shown in the XPS result in Fig. 2, indicating the removal of oxygen species such as O^{2-} in oxide and surface-adsorbed $\text{OH}^-/\text{H}_2\text{O}$. The loss of oxygen was accelerated in the reducing NH_3 flow. Also, the small amount of nitrogen in the NH_3

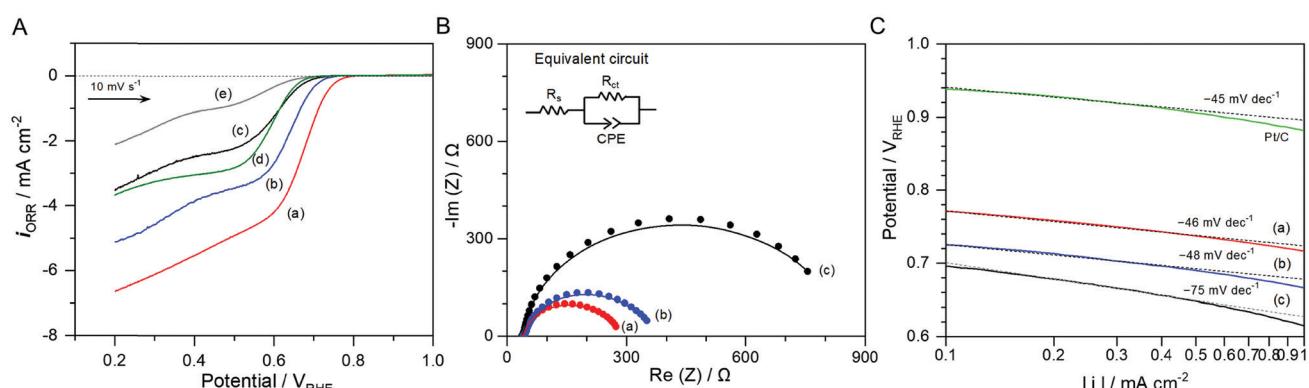


Fig. 5 (A) LSVs for the ORR in O_2 -purged 0.1 M KOH aqueous solutions using (a) the N-doped NbO_x/CB and (b) NbO_x/CB annealed in NH_3 and Ar and at 873 K for 1 h, respectively, and (c) as-deposited NbO_x/CB , (d) CB, and (e) glassy carbon. (B) Nyquist plots of the prepared NbO_x/CB catalyst series. The EIS measurements were performed in the O_2 -purged 0.1 M KOH aqueous solutions at an applied potential of 0.72 V_{RHE}. The equivalent circuit model for the best-fits of Nyquist plots is shown in the inset of the figure. Fitting results are summarized in Table S2 (ESI†). (C) Tafel plots of the prepared NbO_x/CB catalyst series corresponding to the ORR curves shown in (A). The Tafel plot of the commercial 20 wt% Pt/CB powder was also compared in the same manner.



atmosphere was doped into the NbO_x nanoparticles. Typically, the doping of nitrogen in the group 4 or 5 metal oxides, as an insulator inherently, mainly enhanced the electroconductivity of the oxide itself, resulting in improved electrochemical activity for the ORR.^{22,40} In this study, the modified surface of the NbO_x catalyst, originating from the annealing, largely promoted the electrocatalysis. Therefore, the largely enhanced ORR activity was attributed to more concentrated Nb and the doping of nitrogen in NbO_x nanoparticles.

Tafel plots of all the samples at low overpotentials against a thermodynamic potential of 1.23 V, obtained from Fig. 5(A), are shown in Fig. 5(C); the Tafel plot of 20 wt% Pt/CB was also compared. The Tafel slope for the as-deposited NbO_x /CB was approximately -75 mV dec^{-1} . However, it became smaller after the annealing treatment in Ar and NH_3 atmospheres, indicating that ORR kinetics was faster and the rate-determining step for the ORR was changed. These are in good agreement with the Nyquist results showing the annealing effect of the NbO_x catalyst. The Tafel slope was determined as -46 mV dec^{-1} for the N-doped NbO_x /CB, which is similar to -45 mV dec^{-1} for Pt/CB in this study. A Tafel slope of 120 mV dec^{-1} typically suggests that the one-electron transfer reaction is the rate-determining step for the ORR and the surface sites of the catalyst are stable under the measurement conditions. In acidic media, the surface of metallic Pt could be oxidized to PtO so that a smaller slope of *ca.* 60 mV dec^{-1} was observed for the ORR in the low overpotential range in previous reports.^{41,42} The similar electrochemical behavior was also reported in alkaline media.^{43,44} After the annealing, the concentration of surface oxygen on the catalyst was reduced, presumably leading to electroactive NbO_x surface sites for the ORR and thus the decrease in the Tafel slope at low overpotentials. A previous theoretical study on the various surface coverages such as MOO , MOO^- , and MOOH (M = metal) showed different Tafel slopes during the ORR.⁴³ Thus, the small slope for the annealed NbO_x /CB nanoparticles might result from the variable surface states of the non-stoichiometric NbO_x , like a metallic Pt.

The RRDE measurement of N-doped NbO_x /CB nanoparticles was carried out during the ORR in O_2 -saturated 0.1 M KOH electrolyte (Fig. S5, ESI†). The voltammogram was recorded at a sweep rate of 5 mV s^{-1} , although the ORR activity of the catalyst was nearly unchanged from that shown in Fig. 5(A) and scanned at 10 mV s^{-1} . The anodic current was observed on the Pt ring electrode, indicating that a H_2O_2 byproduct was partly produced during the ORR *via* a two-electron transfer pathway. At a potential of $0.5 \text{ V}_{\text{RHE}}$, the H_2O_2 yield originating from the ORR over the catalyst was estimated to be 32%, much lower than 67% in the ORR using bare CB particles. Moreover, the ring current began to be produced at $0.68 \text{ V}_{\text{RHE}}$, close to the onset potential of CB for the ORR. These results imply that the ORR *via* the two-electron transfer pathway was mainly catalyzed by the bare CB support. In addition, hydrodynamic voltammetry of N-doped NbO_x /CB nanoparticles was measured using a RDE at different revolution rates between 400 to 2500 rpm to establish the ORR kinetics (Fig. S6, ESI†). The ORR current at low potentials increased with increasing rotation rate, indicating that it was dominated by the diffusion-limiting current. The Koutecky-Levich plots for the nanoparticles exhibited a linear relationship between $|i|^{-1}$ and $\omega^{-1/2}$ and the slopes at the designated potentials were nearly close to four electron transfer. This indicates that the ORR process over the N-doped NbO_x /CB nanoparticles was governed by the four electron transfer pathway in the mass-transfer region.

Fig. 6(A) shows LSVs of N-doped NbO_x /CB, NbO_x /CB (annealed in Ar), as-deposited NbO_x /CB, CB (annealed in NH_3), and glassy carbon for the OER in the O_2 -purged 0.1 M KOH solution. The LSVs were recorded in a cathodic sweep direction to avoid the self-oxidation of catalysts as shown in Fig. 4(A). The OER activity of the as-deposited NbO_x /CB was significantly low, even far from the OER benchmark value of 10 mA cm^{-2} at an end potential of $2.0 \text{ V}_{\text{RHE}}$. It was also lower than that of CB. The lower activity was mainly attributed to the presence of excess oxygen species maintained after the electrodeposition. The excess oxygen covered on the surfaces of NbO_x /CB particles might suppress the electrocatalysis for the OER. The anodic current was enhanced

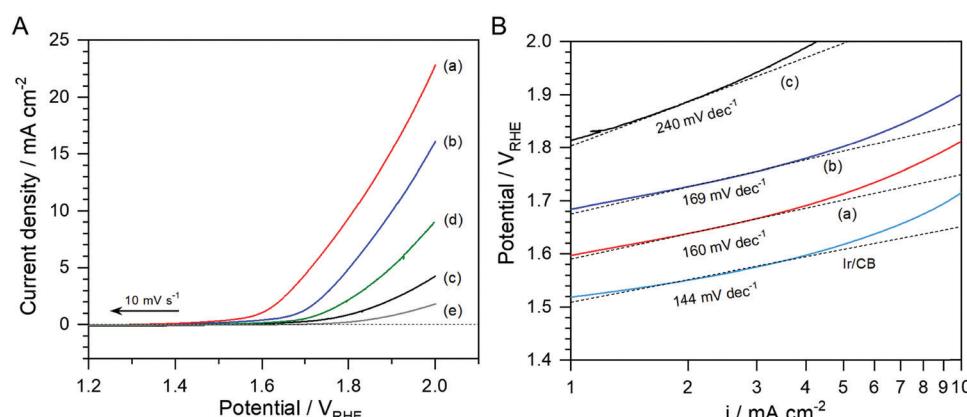


Fig. 6 (A) LSVs for the OER in O_2 -purged 0.1 M KOH aqueous solutions using (a) the N-doped NbO_x /CB and (b) NbO_x /CB annealed in NH_3 and Ar and at 873 K for 1 h, respectively, and (c) as-deposited NbO_x /CB, (d) CB, and (e) glassy carbon. (B) Tafel plots of the prepared NbO_x /CB catalyst series corresponding to the OER curves shown in (A). The Tafel plot of the commercial 20 wt% Ir/CB powder was also compared in the same manner.



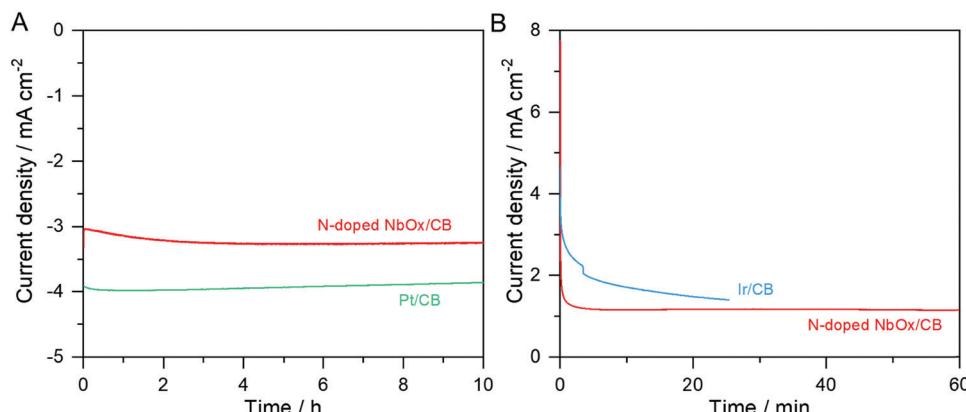


Fig. 7 (A) CA curves of the prepared N-doped NbO_x/CB nanoparticles during the ORR applied at 0.65 V_{RHE} for 10 h and (B) during the OER applied at 1.61 V_{RHE} for 1 h, respectively, in O₂-purged 0.1 M KOH aqueous solutions. For comparison, commercial 20 wt% Pt/CB and Ir/CB catalysts were also measured at 0.80 V_{RHE} (for the ORR) and 1.61 V_{RHE} (for the OER), respectively.

after the annealing in Ar, and it was remarkably increased by the annealing in NH₃. The activity trend in the OER was similar to that in the ORR. The modified surface of the NbO_x nanoparticles, *i.e.*, the removal of excess oxygen and the doping of nitrogen, led to the improvement in the electroconductivity of the catalysts and thus largely activated the catalysis for the OER as well as the ORR. In the Tafel plots, shown in Fig. 6(B), the slope of the N-doped NbO_x/CB nanoparticles, indicating approximately 160 mV dec⁻¹, was rather close to 120 mV dec⁻¹ than 60 mV dec⁻¹. This reveals the N-doped NbO_x during the OER was electrochemically stable at the oxidizing potentials. The nearly one-electron transfer reaction was the rate determining step during the OER process at low overpotentials over the prepared nanoparticles. The OER kinetics on the nanoparticles was slower than that of the commercial Ir/CB catalyst, judged by the Tafel slopes. However, it was clearly distinguishable from 240 mV dec⁻¹ for the as-deposited NbO_x/CB. After the annealing, the significant decrease in the slope for the OER was consistent with that for the ORR. Therefore, the annealing in NH₃ enhanced both the ORR and OER kinetics, resulting from the surface modification of the NbO_x/CB nanoparticles.

Fig. 7 shows CA curves of the N-doped NbO_x/CB catalyst during the ORR and OER in the O₂-purged 0.1 M KOH electrolytes. For comparison, the commercial 20 wt% Pt/CB and Ir/CB were also evaluated in the same manner. In Fig. 7(A), the ORR activity of the N-doped NbO_x/CB nanoparticles applied at 0.65 V_{RHE} was increased at the beginning point and then kept at a constant value of -3.3 mA cm^{-2} for the 10 h reaction, while it was gradually decreased on the Pt/CB catalyst. It is remarkable that the nanoparticles were stable for long-term ORR in alkaline media. The Ta-based oxide nanoparticles were also durable for the ORR in an acidic electrolyte in previous results.²⁸ Therefore, we may conclude that the ORR is steadily catalyzed on the oxide nanoparticles, regardless of the pH level of the electrolyte. In addition, the long-term OER activity of the nanoparticles was measured at a benchmark potential of 1.81 V_{RHE} for 10 mA cm⁻². However, the O₂ evolution was very vigorous to an extent that the catalyst was detached from a

RDE and the catalytic stability could not be measured. The OER activity was thus evaluated at a potential of 1.61 V_{RHE} , which was lower than the benchmark potential, for 1 h. As shown in Fig. 7(B), the anodic current was relatively stable as compared to that of the Ir/CB catalyst. Therefore, these results demonstrate that the catalytic activities over the N-doped NbO_x/CB nanoparticles were stable in both the ORR and OER atmospheres.

4. Conclusions

In this study, for the first time, N-doped NbO_x/CB nanoparticles were introduced as an active and stable bifunctional oxygen electrocatalyst in alkaline media. The NbO_x nanoparticles of approximately 3 nm in size were successfully synthesized on the CB surfaces by potentiostatic electrodeposition in a non-aqueous solution and subsequent annealing treatment in a NH₃ flow. The annealing in the NH₃ significantly decreased the concentration of oxygen in the NbO_x particles and doped additional nitrogen content. Also, the N-doped NbO_x particles were made of Nb⁴⁺ and Nb⁵⁺ species, as confirmed by the XPS results. The employment of a CB support with a large surface area led to a large loading amount of 20 wt% NbO_x particles and highly uniform distribution on the CB, increasing the number of reaction sites. Together, these properties remarkably enhanced the catalytic activities of the N-doped NbO_x/CB nanoparticles for the ORR and OER in a 0.1 M KOH solution. The potential difference, ΔE , indicating an index for the bifunctional oxygen electrocatalyst, was 1.13 V for the N-doped NbO_x/CB particles. The bifunctional oxygen electrocatalysis was comparable to those of the commercial Pt/CB and Ir/CB. According to Koutecky-Levich plots, the ORR process over the N-doped NbO_x/CB nanoparticles was governed by the four electron transfer pathway in the mass-transfer region. In addition, the current densities over the nanoparticles were stable in the ORR for 10 h at a potential of 0.65 V_{RHE} and the OER for 1 h at a potential of 1.61 V_{RHE} . These results demonstrate the N-doped



NbO_x/CB nanoparticles as a novel bifunctional oxygen electrocatalyst that is active and stable for both the ORR and OER in alkaline media.

Conflicts of interest

There are no conflicts to declare.

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