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## Excitation induced asymmetric fluorescence emission in 2D-WS<sub>2</sub> quantum dots

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In this work, we synthesized 3–7 nm diameter tungsten disulfide quantum dots (WS<sub>2</sub> QDs) by pulsed laser ablation in ethanol solvent, and observed strong, stable, excitation independent blue emission. To further verify the luminescence mechanism of WS<sub>2</sub> QDs, EG-WS<sub>2</sub> QDs were prepared using ethylene glycol as a passivating agent in the experiment. Finally, we use theoretical calculations to prove the quantum mechanical mechanism of absorption and fluorescence emission. Theoretical studies have shown that the excellent fluorescence emission of WS<sub>2</sub> QDs is essentially symmetry breaking emission, and this mechanism determines its high fluorescence yield.

## 1. Introduction

In the past ten years, pioneering work on single-atom layer graphene has paved the way for the study of other two-dimensional layered van der Waals crystals.<sup>1,2</sup> Graphene is a kind of semiconductor with a zero-band gap structure, which hinders its application in fluorescence imaging and optoelectronic devices and provides impetus for the research of a new generation of fluorescent materials and electronic materials.<sup>3–6</sup> In addition to graphene, the research on fluorescence emission spectra has been extended to other two-dimensional nanomaterials, such as transition metal disulfides (TMDs),<sup>7</sup> hexagonal boron nitride (h-BN) and black phosphorus (BP).<sup>5</sup> As a typical TMD semiconductor, tungsten disulfide (WS<sub>2</sub>) is a hexagonal structure composed of covalently bonded S–W–S three layers of atoms through van der Waals forces.<sup>7–9</sup> Because W has a large atomic number, it can effectively absorb X-rays and is used as a CT imaging contrast agent. In addition, the large interlayer spacing of WS<sub>2</sub> basically does not destroy its crystal structure when Li<sup>+</sup> is embedded, so it has potential application value in Li<sup>+</sup> batteries. In addition, WS<sub>2</sub> nanosheets have extremely strong friction properties and are widely used as lubricants, often appearing in large-scale machinery and equipment. However, WS<sub>2</sub> nanosheets have poor water solubility and weak fluorescence emission, which limits their further applications.<sup>10,11</sup> When the size of WS<sub>2</sub> nanosheets is reduced to less than 10 nm to form WS<sub>2</sub> QDs, due to the quantum confinement effect, the band gap will change from an indirect band gap (1.3 eV) to a direct band gap (2.1 eV), which can be improved. Fluorescence emission signal has potential application value in biological imaging.<sup>12–15</sup>

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The currently reported preparation methods of WS<sub>2</sub> QDs include ion intercalation, ultrasonic-assisted peeling, electrochemical, solvothermal and hydrothermal methods. However, strict preparation conditions and the use of a variety of chemical reagents often introduce some impurities, which confuses the research of WS<sub>2</sub> QDs fluorescent transmitter. Unlike traditional organic dyes and inorganic quantum dots (such as CdS QDs and PbS QDs),<sup>16–18</sup> WS<sub>2</sub> QDs has less research on the fluorescence emission mechanism, which hinders its further application. In addition, the currently obtained WS<sub>2</sub> QDs quantum dot materials are mainly focused on the study of excitation-dependent fluorescence emission. There are few reports on strong, stable and excitation-independent fluorescence emission of WS<sub>2</sub> QDs, and no clear fluorescence emission mechanism has been obtained.

In this work, we use pulsed laser ablation to prepare water-soluble WS<sub>2</sub> QDs in ethanol solvent. The quantum dots show strong, stable, and excitation-independent fluorescence emission. This is because the electrons of WS<sub>2</sub> QDs are from the lowest unoccupied molecular energy.<sup>19,20</sup> Electronic transition from LUMO level (LUMO) to the highest occupied molecular level (HOMO) lower than the HOMO molecular energy level. The measured fluorescence quantum yield is as high as 26.6%. In the process of preparing WS<sub>2</sub> QDs by laser ablation, the laser beam acts on the ethanol molecules and the bulk WS<sub>2</sub> simultaneously, so that the cracked ethanol molecules are quickly passivated to the surface and edges of the WS<sub>2</sub> QDs, thereby forming a large number of oxygen-containing functional groups. These functional groups donate electrons to the central WS<sub>2</sub> nanodomain, causing its electron density to increase sharply until it reaches saturation. At this time, the band gap of WS<sub>2</sub> QDs is no longer sensitive to the quantum size effect, so that excitation-independent fluorescence emission signals are observed. To further verify the rationality of the fluorescence



emission mechanism of  $WS_2$  QDs, EG- $WS_2$  QDs were prepared using ethylene glycol as the passivation agent in the experiment. The excitation independent fluorescence emission signal was also observed, which verified the correctness of the fluorescence emission mechanism of  $WS_2$  QDs. It provides a theoretical basis for the application of  $WS_2$  QDs in biological imaging, sensing and optoelectronic devices.

## 2. Method

### 2.1 Preparation of $WS_2$ QDs

First, place the  $WS_2$  block on the bottom of a cuvette containing ethanol, fix the cuvette on the three-dimensional control table, and adjust the XYZ direction to focus the pulsed laser beam on the sample surface for ablation, and obtain a yellow-green  $WS_2$  QDs solution. Finally, the  $WS_2$  QDs solution can be obtained by centrifugation and transferred to a glass reagent bottle for sealed storage. In addition, under the condition that other conditions remain unchanged, ethylene glycol is used as a solvent to prepare  $WS_2$  QDs with different fluorescence emission. During the experiment, the output wavelength of the Nd: YAG pulsed laser is 1064 nm, the repetition frequency is 10 Hz, the pulse width is 3–6 ns, the power density is about 200 mW  $cm^{-2}$ , and the ablation time is 20 min.

### 2.2 Characterization technique

The transmission electron microscope uses the JEM2100 transmission electron microscope produced by Japan JEOL to test the morphology, microstructure and size distribution of  $WS_2$  QDs. Pipette 10  $\mu$ L of  $WS_2$  QDs solution and drop it on a 200-mesh ultra-thin carbon support film copper net, and dry it in a vacuum drying oven at 80 °C for 2 hours. The atomic force microscope (AFM) uses the XE-100 atomic force microscope produced by Park Systems in Germany to test the morphology and height of  $WS_2$  QDs. 100  $\mu$ L of  $WS_2$  QDs solution was dropped on the silicon wafer substrate, and then the sample was spin-coated at a spin-coating rate of 1500 rpm. Finally, place the sample in a vacuum drying oven at 60 °C for 24 hours. Raman spectroscopy uses the model H13325 Raman spectrometer produced by British Renishaw Company to analyze the fine structure of  $WS_2$  QDs. The excitation light wavelength is 532 nm, the grating is 2400 gr  $mm^{-1}$ , and the excitation light power is 1.0 mW. X-Ray photoelectron spectroscopy (XPS) uses the ESCALAB 250 X-ray spectrometer produced by Thermo-Fisher in the United States to analyze the chemical element composition and corresponding valence states of  $WS_2$  QDs. The X-ray source is a monochromatic Al target source. The prepared XPS test sample was dropped on the silicon wafer substrate. Fourier infrared spectroscopy (FTIR) uses a Fourier infrared spectrometer model Nicolet iS10 produced by American Thermo Company to analyze the surface functional groups of  $WS_2$  QDs. Drop 50  $\mu$ L of  $WS_2$  QDs solution directly on the KBr sheet, and then place it in the Fourier infrared spectroscopy cell for testing. The test wave number range of Fourier Infrared Spectrometer is 600–4000  $cm^{-1}$ . Ultraviolet-visible absorption

spectroscopy (UV-vis) was tested on the UV-vis absorption spectra of  $WS_2$  QDs with a model TU-1901 ultraviolet-visible absorption spectrophotometer produced by Beijing Purse General Company. Pipette 2 mL of  $WS_2$  QDs solution into a cuvette and use the corresponding solvent as a blank control group to test the UV-vis absorption spectrum of  $WS_2$  QDs. Fluorescence spectroscopy (FL) was tested on the fluorescence emission spectra of  $WS_2$  QDs using a fluorescence spectrophotometer model F-7000 produced by Hitachi, Japan. Pipette 2 mL of  $WS_2$  QDs solution into a fluorescent cuvette, and gradually increase the excitation wavelength to test the fluorescence emission spectrum of  $WS_2$  QDs.

### 2.3 Computational details

The quantum chemical calculations are performed by Gaussian 16.<sup>21</sup> The molecular structure is optimized using the B3LYP functional<sup>22</sup> in the density functional theory (DFT)<sup>23</sup> method in combination with the def2-TZVP basis set<sup>24</sup> with SDD effective core potential<sup>25</sup> and DFT-D3 correction method. The time-dependent DFT (TDDFT) method is used to combine the Cam-B3LYP functional<sup>26</sup> and the def2-TZVP basis set with SDD effective core potential for the excited state calculation by the optimized structure, and all the configuration coefficients were output. Based on this, the Multiwfn 3.6 program<sup>27</sup> is used for frontier molecular orbital drawing with the VMD program.<sup>28</sup>

## 3. Results and discussions

### 3.1 Structure of $WS_2$ QDs

Fig. 1(a) is a transmission electron microscope image of uniformly dispersed  $WS_2$  QDs with a size distribution of 3–7 nm, and the size of most quantum dots is about 4 nm. As shown in Fig. 1(b and c), the  $WS_2$  QDs obtained by pulsed laser ablation still have highly ordered lattice fringes, with fringe spacing of 0.20 nm and 0.61 nm, respectively, which are attributed to the  $WS_2$  crystal. The (006) and (002) crystal planes in the middle indicate that the  $WS_2$  QDs synthesized by the pulsed laser ablation method still have a hexagonal lattice structure. Here, a detailed presentation about the formation process of  $WS_2$

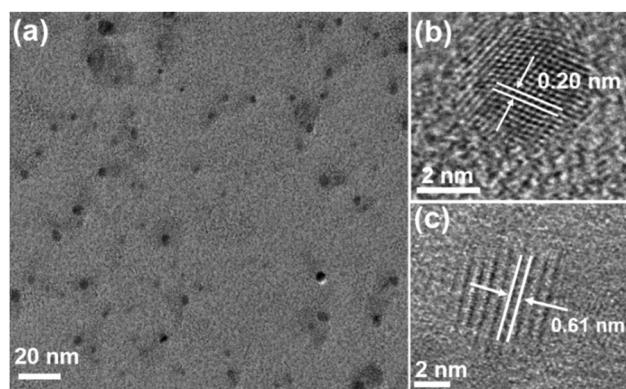


Fig. 1 (a) TEM image of  $WS_2$  QDs; (b and c) HRTEM images of  $WS_2$  QDs respectively.



QDs by pulsed laser ablation is presented as followed. Coulomb explosion occurs, and high thermionic electron emission produces a plasma plume with high temperature, high pressure and extreme high intensity of local electromagnetic fields when the laser beam pulses irradiate the bulk  $WS_2$  dispersed in ethylene glycol solution. The micro zone of the  $WS_2$  nanosheet is cracked and cut to form the  $WS_2$  nano-cluster domain due to absorption of high enough energy. Simultaneously, ethylene glycol molecules adjacent to the  $WS_2$  nano-cluster domain are also pyrolyzed by the high temperature to form oxygen-containing and nitrogen-containing substituents. At this time, C atoms with high surface energy at the periphery of  $WS_2$  nano-cluster domain rapidly combine with pyrolyzed substituents (oxygen-containing and nitrogen-containing substituents). Thus, the  $WS_2$  nano-cluster domain modified by abundant substituents forms  $WS_2$  QDs with a passivation layer.

Fig. 2 is an atomic force microscope image of  $WS_2$  QDs and a statistical diagram of the height distribution along the line. As shown in Fig. 2(a), the height of  $WS_2$  QDs is less than 3 nm. As shown in Fig. 2(b), the heights of  $WS_2$  QDs were tested along the red and green lines in Fig. 2(a). The results showed that the heights of these  $WS_2$  QDs were 1.74 nm, 1.03 nm, 1.49 nm and 1.67 nm, respectively. The height of most quantum dots is about 1.22 nm, which indicates that the  $WS_2$  QDs obtained by ablating the  $WS_2$  block along the direction parallel to the layer have a single-layer or double-layer structure.

To analyze the chemical composition and atomic valence of  $WS_2$  QDs, we performed XPS spectral characterization. As shown in Fig. 3(a), the  $WS_2$  QDs mainly contain four elements: tungsten, sulfur, carbon and oxygen. Among them, carbon and oxygen may come from the passivation of the surface of  $WS_2$  QDs by ethanol solvent molecules. Fig. 3(b) is the  $W^{4+}$  high-resolution XPS spectrum of  $WS_2$  QDs. It can be clearly seen that there are two peaks at 32.8 eV and 34.7 eV, which are related to the binding energy of  $W^{4+}$ , while those near 36 eV and 38.6 eV are related to the binding energy of  $W^{6+}$ . In addition, as shown in Fig. 3(c), the  $S2p_{3/2}$  and  $S2p_{1/2}$  peaks appear at binding energies of 162.2 eV and 163.6 eV, respectively, and they correspond to the characteristic peaks of  $-2$  valence S. The peak at 169.3 eV is related to  $S^{6+}$ , indicating that some S atoms oxidize from  $-2$  to  $+6$ . The above results indicate that W-O bonds and S-O bonds are formed on the surface and edges of  $WS_2$  QDs during laser ablation. In order to

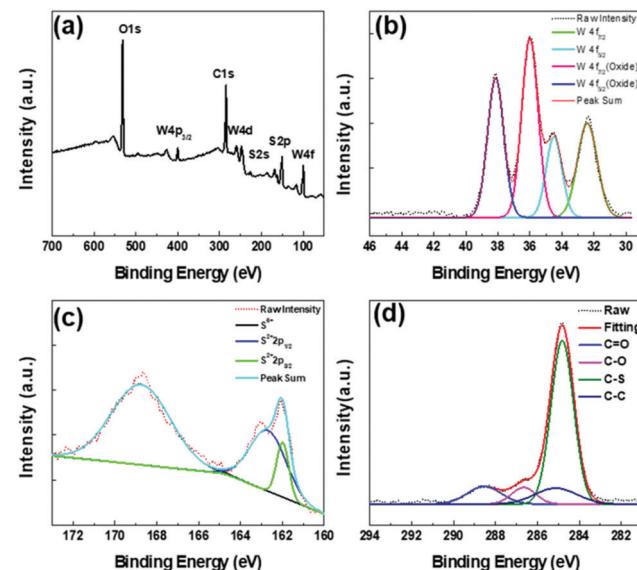


Fig. 3 (a) XPS spectrum of  $WS_2$  QDs; (b) high resolution  $W4f$  spectrum of  $WS_2$  QDs; (c) high resolution  $S2p$  spectrum of  $WS_2$  QDs; (d) high resolution  $C1s$  spectrum of  $WS_2$  QDs.

provide further information on the surface structure of  $WS_2$  QDs, the high-resolution  $C1s$  spectra of  $WS_2$  QDs are also given. As shown in Fig. 3(d), the  $C1s$  high-resolution electron binding energy spectrum of  $WS_2$  QDs is fitted to form four Lorentz singlets, which are located at 284.2 eV, 284.6 eV, 286.8 eV and 288.2 eV, which are attributed in turn the  $C=C$  bond,  $C-S$  bond,  $C-O$  bond and  $C=O$  bond indicate that there are abundant oxygen-containing functional groups and sulfur-containing functional groups in  $WS_2$  QDs.

To further determine the microstructure of  $WS_2$  QDs, we conducted Raman and Fourier infrared spectroscopy tests. As shown in Fig. 4(a), two Raman characteristic peaks can be clearly observed in the Raman spectrum of  $WS_2$  QDs. The front peak is located at  $415\text{ cm}^{-1}$ , which can be attributed to the phonon mode  $E_{2g}$  and the rear peak at  $350\text{ cm}^{-1}$ , it can be attributed to the out-of-plane phonon vibration mode  $A_{1g}$ , which indicates that  $WS_2$  QDs have a hexagonal crystal structure. Compared with the  $WS_2$  block, the Raman peaks corresponding to  $E_{2g}$  and  $A_{1g}$  of  $WS_2$  QDs have redshifts of  $4.1\text{ cm}^{-1}$  and  $3.2\text{ cm}^{-1}$ , respectively, indicating that  $WS_2$  QDs is a

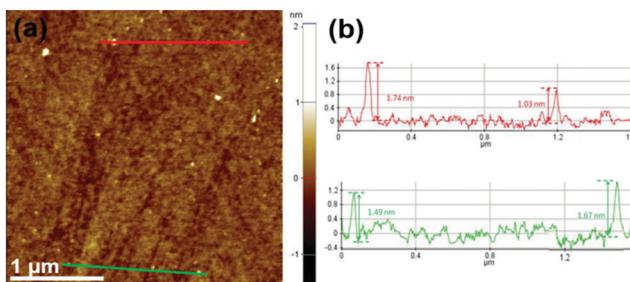


Fig. 2 (a) AFM diagram of  $WS_2$  QDs; (b) height distribution statistics curve of  $WS_2$  QDs along the red and green lines in (a).

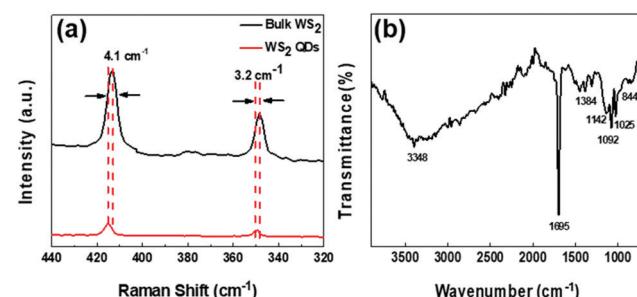


Fig. 4 (a) Comparison of Raman spectra of bulk  $WS_2$  and  $WS_2$  QDs; (b) Fourier infrared spectra of  $WS_2$  QDs.



single-layer structure or its interlayer van der Waals force Decrease. As shown in Fig. 4(b), the broad peak at  $3348\text{ cm}^{-1}$  is attributed to the stretching vibration of the O-H bond. The peak at  $1695\text{ cm}^{-1}$  is attributed to the formation of the C=O double bond. The weaker peaks at  $1142\text{ cm}^{-1}$  and  $844\text{ cm}^{-1}$  are attributed to the stretching vibration of the S-OH bond. In addition, the peaks at  $1092\text{ cm}^{-1}$  and  $1384\text{ cm}^{-1}$  are attributed to the stretching vibration of the W=O double bond and the stretching vibration of the W-OH bond, respectively, indicating that the W atoms at the edge and surface of  $\text{WS}_2$  QDs are partially Oxidation.

### 3.2 Optical properties of $\text{WS}_2$ QDs

As shown in Fig. 5(a), there is an obvious strong peak in the photoluminescence spectrum of  $\text{WS}_2$  QDs at  $445\text{ nm}$ , and another weak shoulder peak at  $422\text{ nm}$ . These two fluorescence emission belong to the blue emission band. By monitoring the fluorescence peak at  $445\text{ nm}$ , the best excitation peak center of  $\text{WS}_2$  QDs can be obtained at  $375\text{ nm}$ . At this time, the shift between the best excitation peak and the best fluorescence emission peak is  $70\text{ nm}$ . As shown in Fig. 5(b), the photoluminescence peak position of  $\text{WS}_2$  QDs prepared by laser ablation method does not move with the increase of excitation wavelength, and the center is always stable at  $445\text{ nm}$ . With the excitation wavelength from  $320\text{ nm}$  to  $380\text{ nm}$ , the fluorescence emission signal of  $\text{WS}_2$  QDs first increases and then decreases. The fluorescence emission intensity reaches the maximum when the excitation wavelength is  $370\text{ nm}$ . In order to further study the source of fluorescence emission, the fluorescence emission excitation spectrum of  $\text{WS}_2$  QDs was fitted with Lorentz to obtain two obvious excitation bands (green lines),

their centers were located at  $365\text{ nm}$  (about  $3.39\text{ eV}$ ) and  $382\text{ nm}$  (about  $3.24\text{ eV}$ ) (as shown in Fig. 5(c)). The fluorescence quantum yield of the tested  $\text{WS}_2$  QDs can reach  $18.64\%$ , which has good luminous efficiency. In addition, as shown in Fig. 5(d), the fluorescence emission spectra of  $\text{WS}_2$  QDs are also fitted to form two emission bands, the centers of which are located at  $419\text{ nm}$  and  $448\text{ nm}$ , respectively. By further calculating the energy band of the excitation spectrum, it can be obtained that the energy difference between  $365\text{ nm}$  and  $382\text{ nm}$  is  $0.15\text{ eV}$ , which is exactly the same as the photoluminescence spectrum at  $425\text{ nm}$  (about  $2.91\text{ eV}$ ) and  $448\text{ nm}$  (about  $2.76\text{ eV}$ ) The energy difference ( $0.15\text{ eV}$ ) is equal.

As mentioned above, the peak positions of PL emission remain un-shifted as the excitation wavelength changes from  $320\text{ nm}$  to  $380\text{ nm}$  (Fig. 5b). In generally, PL emission of  $\text{WS}_2$  QDs is probably derived from electron transitions of the intrinsic state, or the surface/edge state. To clearly clarify PL mechanism of  $\text{WS}_2$  QDs, PLE spectrum is measured by monitoring the PL emission band centered at  $448\text{ nm}$ , and then is fitted into two primary components (green curves) located at  $365\text{ nm}$  ( $\sim 3.39\text{ eV}$ ) and  $382\text{ nm}$  ( $\sim 3.24\text{ eV}$ ) by Lorentzian function in Fig. 5a, respectively. In PLA process, the local high temperature pyrolyzes bulk  $\text{WS}_2$  precursor into  $\text{WS}_2$  nanoclusters which have many dangling bonds on the periphery and surface. Meanwhile, ethanol solvent is pyrolyzed into oxygen-containing functional groups which directly passivate the edges and surfaces of  $\text{WS}_2$  nanoclusters to form passivation layer structures. Which directly passivate the edges and surfaces of  $\text{WS}_2$  nanoclusters to form passivation layer structures. Thus,  $\text{WS}_2$  QDs possess a broad energy band so as to provide more probability in the electron transition process. On the other hand, excited electrons can recombine with holes to generate the strong PL emission after vibrational relaxation. It is necessary to emphasize that the broad absorption band are possibly originated from electron contribution of luminophor, rather than from that of the  $\text{WS}_2$  nanocluster in UV-vis absorption spectrum of  $\text{WS}_2$ . As shown in the Fig. 5(c and d), the energy difference corresponding to the two peaks at  $365\text{ nm}$  ( $3.39\text{ eV}$ ) and  $382\text{ nm}$  ( $3.24\text{ eV}$ ) is  $0.15\text{ eV}$ , and the energy difference corresponding to the two peaks at  $425\text{ nm}$  ( $2.91\text{ eV}$ ) and  $448$  ( $2.76\text{ eV}$ ) nm is  $0.15\text{ eV}$  by calculating the fitted peaks in the PLE spectrum. Thus, it is again certified that double PL emission peaks are originated from transitions of electron from the LUMO energy level to the HOMO energy level and energy levels below the HOMO1, respectively. The double energy levels are possibly related to the luminophors (W=O, S-OH, W-O-C, etc.) which are also called electron-donating functional groups. The oxygen-containing functional groups can donate electrons to the  $\text{WS}_2$  nanocluster to cause the increase of the charge densities. Therefore, the energy levels of  $\text{WS}_2$  QDs rise and the band gap becomes narrow. Ultimately, the band gaps of  $\text{WS}_2$  QDs remain unchanged due to the saturated passivation, and is insensitive to size. Thus, the excitation-wavelength independent PL emission is observed in violet-blue region.

This photoluminescence excitation spectrum composed of dual excitation bands provides a necessary theoretical basis for

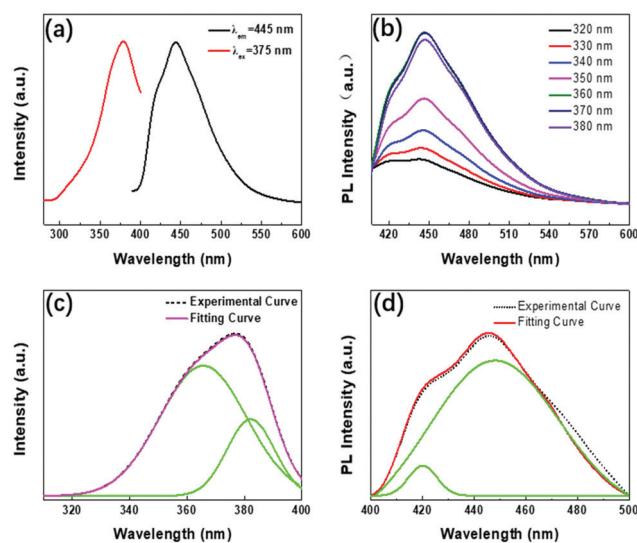


Fig. 5 (a) Absorption and photoluminescence spectra of  $\text{WS}_2$  QDs dispersed in ethanol solvent; (b) photoluminescence spectra of  $\text{WS}_2$  QDs with increasing excitation wavelength; (c) monitoring at  $445\text{ nm}$  Fluorescence emission peak, get the photoluminescence excitation spectrum of  $\text{WS}_2$  QDs (dotted line) and the fitted Lorentz spectrum; (d) the photoluminescence excitation spectrum of  $\text{WS}_2$  QDs (dotted line) and the fitted Lorentz spectrum line.



further understanding of the luminescence mechanism of  $\text{WS}_2$  QDs. Therefore, the fluorescence peak of  $\text{WS}_2$  QDs comes from the transition of electrons from the lowest unoccupied molecular energy level (LUMO) to the highest occupied molecular energy level (HOMO) and the  $\text{H}_1$  energy level lower than the HOMO molecular energy level. Similar to C-QDs and BP-QDs, the fluorescence emission of  $\text{WS}_2$  QDs is likely to be related to oxygen-containing functional groups. When the pulsed laser ablated the bulk  $\text{WS}_2$  in ethanol solvent, the high temperature and high pressure generated by the local high-energy laser beam quickly destroyed the W-S covalent bond in the  $\text{WS}_2$  layer and the van der Waals force between the layers, forming nano- $\text{WS}_2$  domains. At the same time, the oxygen-containing functional groups formed by thermal decomposition of ethanol solvent molecules quickly saturate and passivate on the surface and edge of the  $\text{WS}_2$  domain, forming electron-donating substituents on its edges. Due to the saturation passivation, the electron donating ability of the groups on these surfaces is enhanced, and the electrons are transferred from the functional groups to the  $\text{WS}_2$  QDs, resulting in an increase in the charge density, an increase in the highest occupied orbital, and a decrease in the band gap. Due to the saturation passivation, the size change of the band gap  $\text{WS}_2$  QDs is insensitive and reaches a stable value, so that strong, stable and excited independent blue emission is observed. As shown in Fig. 6.

### 3.3 Luminescence characteristics of passivated $\text{WS}_2$ QDs

To further verify the fluorescence emission mechanism of  $\text{WS}_2$  QDs, EG- $\text{WS}_2$  QDs samples were prepared by replacing ethylene glycol as the passivating agent under the same conditions. Similar to the  $\text{WS}_2$  QDs passivated by ethanol, the EG- $\text{WS}_2$  QDs passivated by ethylene glycol are still uniformly dispersed, with a size distribution of 3–7 nm. The size of most quantum dots is about 4 nm (Fig. 7(a) shows), indicating that the solvent has no major influence on the size of EG- $\text{WS}_2$  QDs. Fig. 7(b and c) are the HRTEM of EG- $\text{WS}_2$  QDs. From the figure, it can be observed that EG- $\text{WS}_2$  QDs have highly ordered lattice fringes. The fringe spacing is 0.21 nm and 0.61 nm, which are attributed to the (006) and (002) crystal planes in the  $\text{WS}_2$  crystal indicate that the lattice structure of the EG- $\text{WS}_2$  QDs passivated by ethylene glycol remains unchanged and still has a hexagonal lattice structure.

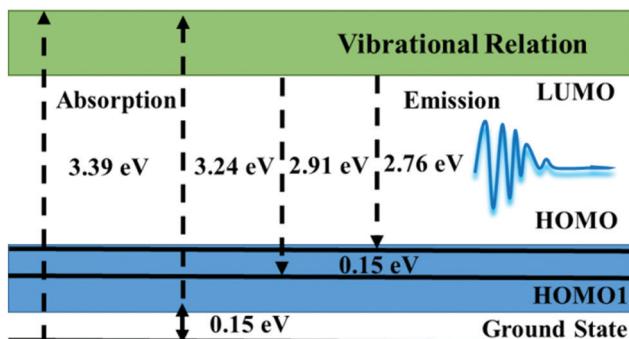


Fig. 6 PL emission mechanism profile of  $\text{WS}_2$  QDs.

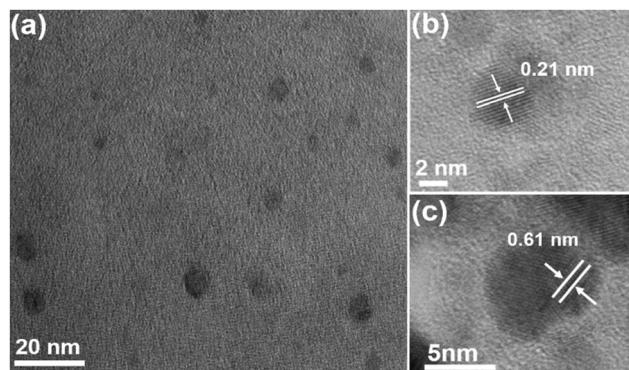


Fig. 7 (a) TEM image of EG- $\text{WS}_2$  QDs; (b and c) HRTEM image of EG- $\text{WS}_2$  QDs respectively.

As shown in Fig. 8(a), similar to the  $\text{WS}_2$  QDs passivated by ethanol solvent, two Raman characteristic peaks can be clearly observed in the Raman spectrum of EG- $\text{WS}_2$  QDs passivated by ethylene glycol, respectively at  $415\text{ cm}^{-1}$  and  $350\text{ cm}^{-1}$ , the EG- $\text{WS}_2$  QDs passivated by ethylene glycol have the same hexagonal structure as the bulk  $\text{WS}_2$ . In order to further analyze the chemical composition and surface structure of EG- $\text{WS}_2$  QDs, we carried out XPS and Fourier infrared spectroscopy tests respectively. In the W4f high-resolution XPS spectrum of EG- $\text{WS}_2$  QDs, two weaker binding energy peaks can be observed, located at 32.6 eV and 34.5 eV, respectively, which are related to the binding energy of  $\text{W}^{4+}$ . The two strong peaks at 36.2 eV and 38.4 eV are both related to the binding energy of  $\text{W}^{6+}$  (as shown in Fig. 8(b)). As shown in Fig. 8(c), the binding energy peaks of  $\text{S}2\text{p}_{3/2}$  and  $\text{S}2\text{p}_{1/2}$  are located at 162.1 eV and 163.8 eV, respectively, which correspond to the characteristic peaks of  $-2$  valence S. At the same time, the binding energy peak at 169.5 eV is related to the characteristic peak of S with  $+6$  valence, indicating that part of the S atoms are also oxidized

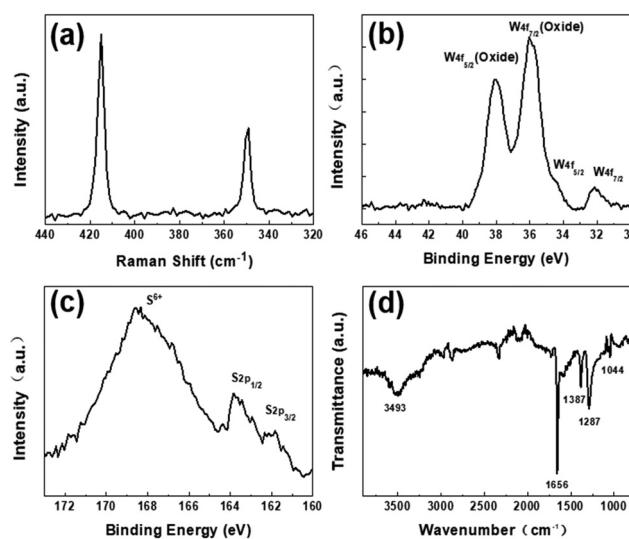


Fig. 8 (a) Raman spectrum of EG- $\text{WS}_2$  QDs; (b) high resolution W4f XPS spectrum of EG- $\text{WS}_2$  QDs; (c) High resolution S2p XPS spectrum of EG- $\text{WS}_2$  QDs; (d) EG-Fourier infrared spectrum of  $\text{WS}_2$  QDs.

by the solvent during the laser ablation process. In addition, as shown in Fig. 8(d), the broad peak at  $3493\text{ cm}^{-1}$  is attributed to the O–H stretching vibration. The peak at  $1656\text{ cm}^{-1}$  is attributed to the stretching vibration of C=O. The peaks at  $1387\text{ cm}^{-1}$ ,  $1287\text{ cm}^{-1}$ , and  $1044\text{ cm}^{-1}$  are all attributed to W–OH stretching vibration. Compared with the EG-WS<sub>2</sub> QDs passivated by ethanol, the W atoms on the surface and edges of the EG-WS<sub>2</sub> QDs passivated by ethylene glycol are passivated by more oxygen-containing groups. At the same time, the EG-WS<sub>2</sub> QDs Without the W=O bond, this difference in surface structure will result in different fluorescence emission.

As shown in Fig. 9(a), the fluorescence emission peak of EG-WS<sub>2</sub> QDs exhibits excitation-independent characteristics, that is, the emission wavelength does not move with the increase of excitation wavelength, and the central peak position is always stable at 450 nm. With the excitation wavelength from 320 nm to 390 nm, the fluorescence emission signal intensity of EG-WS<sub>2</sub> QDs first increases and then decreases. When the excitation wavelength is 380 nm, the fluorescence emission intensity of EG-WS<sub>2</sub> QDs reaches the maximum. Comparing the fluorescence emission peaks of EG-WS<sub>2</sub> QDs and E-WS<sub>2</sub> QDs, it is found that the fluorescence emission peaks of EG-WS<sub>2</sub> QDs have a red shift of 5 nm. Similar to the CQDs in Chapter 3, the structure of EG-WS<sub>2</sub> QDs is composed of WS<sub>2</sub> domains and surface functional groups. In fact, different solvents have no effect on the WS<sub>2</sub> domain with a hexagonal lattice structure in the center. However, the surface functional group chains of EG-WS<sub>2</sub> QDs and E-WS<sub>2</sub> QDs are not the same, leading to differences in their fluorescence emission. When using laser ablation to prepare WS<sub>2</sub> QDs, the laser beam splits the WS<sub>2</sub> crystal and solvent molecules at the same time. Generally speaking, ethylene glycol molecules have longer molecular chains, so the ethylene glycol molecules after cleavage passivation have longer molecular chains on the surface of EG-WS<sub>2</sub> QDs, which means that the size of EG-WS<sub>2</sub> QDs is larger. On the other hand, compared with the energy level of E-WS<sub>2</sub> QDs, the HUMO energy level of EG-WS<sub>2</sub> QDs further increases until it reaches saturation. The band gap widths of EG-WS<sub>2</sub> QDs and E-WS<sub>2</sub> QDs are basically unchanged and very close, The energy level difference is only 0.03 eV. Therefore, the fluorescence emission of E-WS<sub>2</sub> QDs and EG-WS<sub>2</sub> QDs are derived from the transition of electrons from the lowest unoccupied molecular

energy level (LUMO) to the highest occupied molecular energy level (HOMO) and the H1 energy level lower than the HOMO molecular energy level. In addition, the fluorescence quantum yield of the obtained CQDs is as high as 26.6%, showing good luminescence performance, which lays the foundation for further application to cell biological imaging.

### 3.4 Physical mechanism of excitation, fluorescence, and orbitals

Fig. 10(a) shows the absorption spectrum and fluorescence spectrum calculated by DFT. It can be found from the figure that the theoretically calculated spectrum is very close to the experimental spectrum (Fig. 5). Therefore, it can be explained that the theoretical calculation and analysis are basically consistent with the actual situation. Fig. 10b shows the frontier molecular orbitals of WS<sub>2</sub> QDs.

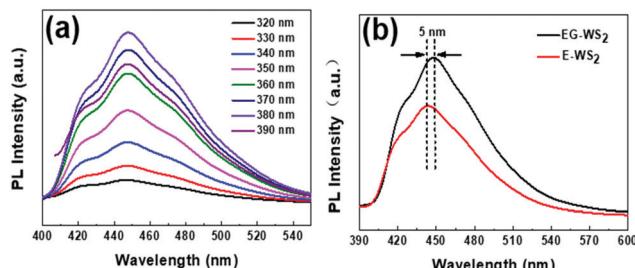


Fig. 9 (a) Photoluminescence spectra of EG-WS<sub>2</sub> QDs with excitation from 320 nm to 390 nm; (b) Fluorescence spectra of EG-WS<sub>2</sub> QDs and ethanol passivated WS<sub>2</sub> QDs (E-WS<sub>2</sub> QDs).

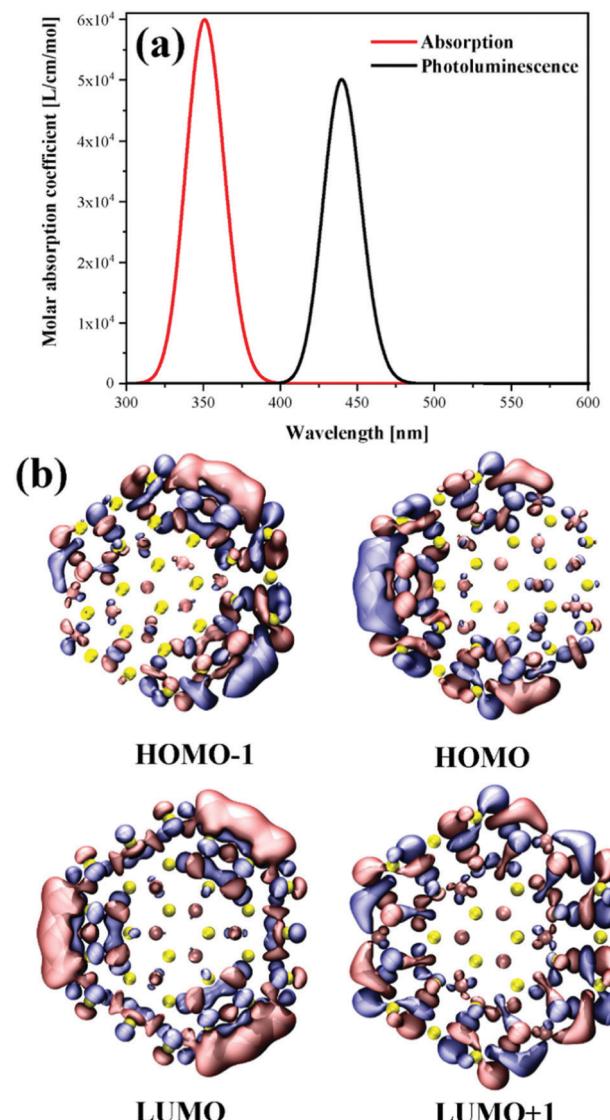


Fig. 10 (a) The calculated absorption and fluorescence spectra. (b) Frontier molecular orbitals of WS<sub>2</sub> QDs.



The upper half is the highest occupied orbits HOMO-1 and HOMO. It can be found that the main orbits are mainly distributed on the boundary, and a relatively large delocalized orbit is gathered on one side. HOMO-1 and HOMO are degenerate. The symmetrical WS<sub>2</sub> QDs length produces asymmetric HOMO orbitals, which is a symmetry breaking phenomenon. However, the two occupied orbitals are clearly degenerate. Because from the formal point of view, the two orbits are extremely similar after a certain symmetry inversion. On the other hand, LUMO and LUMO+1 are completely symmetrical orbitals and are still distributed on the boundary of QDs. This excitation of transition from symmetry broken orbit to symmetric orbit is a kind of light-induced wave function summarization. The fluorescence emission is a symmetry breaking radiation. Therefore, the fluorescence efficiency of WS<sub>2</sub> QDs is very high.

## 4. Conclusions

In this work, firstly, WS<sub>2</sub> QDs were prepared by pulsed laser ablation in ethanol solvent, and strong, stable, excited independent blue emission was observed. This fluorescence emission that does not move with the excitation wavelength is attributed to the electronic transition from the lowest unoccupied molecular orbital (LUMO) to the highest occupied molecular orbital (HOMO). In the process of preparing WS<sub>2</sub> QDs by laser ablation, the laser beam acts on the ethanol molecules and the bulk WS<sub>2</sub> simultaneously, so that the cracked ethanol molecules are quickly passivated to the surface and edges of the WS<sub>2</sub> QDs, thereby forming a large number of oxygen-containing functional groups. These functional groups donate electrons to the central WS<sub>2</sub> nanodomain, causing its electron density to increase sharply until it reaches saturation. At this time, the band gap of WS<sub>2</sub> QDs is no longer sensitive to quantum size effects and exhibits excitation-independent fluorescence emission characteristics. To further verify the luminescence mechanism of WS<sub>2</sub> QDs, EG-WS<sub>2</sub> QDs were prepared using ethylene glycol as a passivating agent in the experiment. Finally, through theoretical calculations, it is found that the transition from symmetry broken orbit to symmetrical orbit is a kind of light-induced wave function summarization. The fluorescence emission is a symmetry breaking radiation. Therefore, the fluorescence efficiency of WS<sub>2</sub> QDs is extremely high.

## Author contributions

Jingang Wang and Fengyang Zhao; conceptualization; methodology, Jingang Wang; investigation, Jingang Wang; writing – original draft preparation, Wenjing, Miao and Xinwen Gai.

## Conflicts of interest

There are no conflicts to declare.

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