SARS-CoV-2 RNA is enriched by orders of magnitude in primary settled solids relative to liquid wastewater at publicly owned treatment works†

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Wastewater-based epidemiology has gained attention throughout the world for detection of SARS-CoV-2 RNA in wastewater to supplement clinical testing. Raw wastewater consists of small particles, or solids, suspended in liquid. Methods have been developed to measure SARS-CoV-2 RNA in the liquid and the solid fraction of wastewater, with some studies reporting higher concentrations in the solid fraction. To investigate this relationship further, six laboratories collaborated to conduct a study across five publicly owned treatment works (POTWs) where both primary settled solids obtained from primary clarifiers and raw wastewater influent samples were collected and quantified for SARS-CoV-2 RNA. Settled solids and influent samples were processed by participating laboratories using their respective methods and retrospectively paired based on date of collection. SARS-CoV-2 RNA concentrations, on a mass equivalent basis, were higher in settled solids than in influent by approximately three orders of magnitude. Concentrations in matched settled solids and influent were positively and significantly correlated at all five POTWs. RNA concentrations in both settled solids and influent were correlated to COVID-19 incidence rates in the sewersheds and thus representative of disease occurrence; the settled solids methods appeared to produce a comparable relationship between SARS-CoV-2 RNA concentration measurements and incidence rates across all POTWs. Settled solids and influent methods showed comparable sensitivity, N gene detection frequency, and calculated empirical incidence rate lower limits. Analysis of settled solids for SARS-CoV-2 RNA has the advantage of using less sample volume to achieve similar sensitivity to influent methods.

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Water impact
Wastewater is composed of solid and liquid fractions. We show that SARS-CoV-2 RNA is preferentially associated with the solid fraction where it is enriched by three orders of magnitude relative to the liquid fraction. The results from this study inform the design of wastewater monitoring programs aimed to better understand the incidence and epidemiology of COVID-19.
Introduction

Wastewater represents a pooled biological sample from the contributing community and is, therefore, a resource for assessing population health. Wastewater-based epidemiology has been used to assess infectious disease occurrence and substance abuse within a population. The COVID-19 pandemic has greatly increased interest in utilizing wastewater-based epidemiology to supplement clinical testing data, which can be limited due to test seeking behavior and test availability. Throughout the pandemic, researchers have successfully detected and monitored SARS-CoV-2 RNA in wastewater and programs have been developed to aid public health decision makers in assessing the disease burden of COVID-19 in their communities. Wastewater may also be a leading indicator of community health when shedding by infectious individuals precedes symptom onset or surveillance data from other methods is delayed.

Raw wastewater consists of liquid and solid fractions; the solid fraction consists of small particles that are suspended in wastewater as it moves through wastewater conveyance systems and into treatment plants. SARS-CoV-2 RNA has been quantified in both the liquid and solid fractions of wastewater. Sewage can be collected from publicly owned treatment works (POTWs) or from access points in the piped sewage network including at the building scale and assayed for virus nucleic acids. The solid fraction can be settled from raw sewage using Imhoff cones or collected from a primary clarifier, a POTW unit process that allows solids to settle as part of the treatment train. Hereafter, we refer to this solid fraction as “solids”.

Ye et al. previously showed that enveloped viruses partition to the solid fraction over the liquid fraction of wastewater to a greater extent than non-enveloped viruses. Motivated by this finding that solids naturally concentrate enveloped viruses, studies have compared the concentration of SARS-CoV-2 RNA in the liquid and solid components of wastewater. Li et al. compared the liquid and solid fraction of wastewater influent, using polyethylene glycol (PEG) precipitation to concentrate viruses from the liquid fraction and performing direct extraction from the solid fraction. They found that the solid fraction contained a considerable proportion of the viral RNA.

In this study, we compare SARS-CoV-2 RNA concentrations recovered from paired raw wastewater influent (referred to as influent in this manuscript) and settled solids (referred to as solids in this manuscript) from five different POTWs in the United States. This work is a collaborative effort among different laboratories that have retrospectively paired SARS-CoV-2 RNA data from influent and solids, some of which have been published previously. These data were collected as part of ongoing wastewater monitoring programs. The goal of this work is to further document differences and relationships between SARS-CoV-2 RNA measurements from the solid and liquid fraction of wastewater. We evaluate concentration ratio on a mass equivalent basis, detection frequency, and correlation with COVID-19 incident case data quantified by clinical testing. This study analyzes the largest number of both solids and influent samples across multiple POTWs, to the best of our knowledge, and is a retrospective integration of multiple data sets that were collected from ongoing monitoring efforts occurring across diverse laboratories, which reflect current realistic best practices for wastewater monitoring. The results from this work will aid decision makers interested in utilizing SARS-CoV-2 wastewater-based epidemiology in selecting the appropriate sample matrix for their needs.

Materials and methods

POTWs and method overview

Influent and settled solids samples were collected from five POTWs as part of on-going SARS-CoV-2 wastewater monitoring programs: South Bay Water Reclamation Plant (SB) in San Diego, California, USA; City of Ann Arbor Wastewater Treatment Plant (AA) in Ann Arbor, Michigan, USA; Oceanside Water Pollution Control Plant (OS) in San Francisco, California, USA; Jones Island Water Reclamation Plant (JI) in Milwaukee, Wisconsin, USA; and Orange County Sanitation District Plant 1 (OC) in Orange County, California, USA (listed in the order of size from smallest to largest). The POTWs treat average daily inflows of approximately 8, 17, 18, 75, and 120 million gallons per day (MGD) serving 125,000, 130,000, 250,000, 470,000, and 1,800,000 people in their sewersheds, respectively. All influent samples were 24 hour composites. Solid samples were taken from the primary clarifier at each POTW. Further details on sampling procedures are outlined in Table S1. Some of the POTWs add chemicals to their waste streams upstream of sample collection for odor control or improved treatment efficiency. The POTWs estimated the residence time of their primary clarifiers to be approximately between 1 to 6 hours (Table S2†). Samples were collected at different intervals from April 2020 to September 2021 at cadences from daily to every other week. Influent and solids samples were matched in that they were collected on the same day. A subset of OS solids and JI influent data were previously published (Table 1).
additional OS solids and JI influent data beyond what was published previously are included.

Below we provide overviews of the pre-analytical processing, nucleic-acid (NA) extraction, and RNA target quantification methods used to measure SARS-CoV-2 RNA concentrations in these samples. Pre-analytical methods include all procedures used to prepare the sample for NA extraction. Analyses were carried out in six different laboratories: two processed solids samples, three influent, and one processed both. The methods varied among laboratories, but have all been described in detail in peer-reviewed publications, so brief methods are provided below with greater details in the ESI, with major differences summarized in Tables S3 and S4. The environmental microbiology minimum information (EMMI) guidelines were followed for reporting of data.22

**Solids: sample collection**

Solid samples were collected by POTW staff from the sludge line, which does not target a specific layer of sludge, using sterile 50 mL falcon tubes; solid samples from SB, AA, and OS were grab samples, and samples from JI and OC were composite samples (Table S1†). Collected samples were then stored at −80 °C until analysis (within 15 months – storage details shown in Table S5†), with the exception of OS solids and AA solids, which were stored at 4 °C and analyzed within six hours (OS) or one week (AA).

**Solids: pre-analytical processing**

Frozen solid samples were thawed at 4 °C for 12–36 hours and processed according to Wolfe et al. In brief, solids were dewatered by centrifugation, then suspended in DNA/RNA shield (Zymo Research, CA) spiked with bovine coronavirus (Bovic, Calf-guard Cattle Vaccine, PBS Animal Health, OH). Bovic was used as a spiked-in internal control to calculate recovery. The resuspended samples were stored at 4 °C (up to 48 hours) until NA extraction. Dry weight of the dewatered solids was also determined.

**Solids: NA extraction**

For AA, 0.5 g of 0.5 mm silica/zirconia beads (Biospec Products, OK) were added to each sample and homogenized by shaking with a Biospec Mini-Beadbeater-96 (Biospec Products, OK). For other POTWs, 5/32” stainless steel grinding balls (OPS Diagnostics, NJ) were added to each sample and homogenized by shaking with a Geno/Grinder 2010 (Spex SamplePrep, NJ). Nucleic acids were extracted using the Chemagic 360 and the Chemagic™ Viral DNA/RNA 300 Kit H96 (Perkin Elmer, MA). Inhibitors were removed with Zymo OneStep-96 PCR Inhibitor Removal Kits (Zymo Research, CA) before storing the RNA in −80 °C for 0–78 days until analysis. Extraction negative controls (water) and positive controls (Bovic spiked in DNA/RNA shield) were included on each plate. 4 μL of poly-A carrier RNA was added to the extraction positive controls before extraction.

**Solids: RNA target quantification**

Nucleic acids were quantified through one-step droplet digital (dd)RT-PCR for SARS-CoV-2 targets (N1 and N2 at all POTWs except OS; N at OS), Bovic, and pepper mild mottle virus (PMMoV), used as a fecal strength indicator and an endogenous internal recovery control. BioRad SARS-CoV-2 droplet digital PCR kits were used with a BioRad XQ200 AutoDG droplet digital PCR system (BioRad, CA). Positive and negative controls were included on all plates. Depending on the laboratory, between three and ten replicate wells were run for each sample. Results were processed using QuantaSoft and QuantaSoft Analysis Pro (BioRad, CA) to manually threshold and export data. The concentration per reaction was converted to copies per gram of dry weight using dimensional analysis (see ESI). Errors are standard deviations as the “total error” from the instrument, which includes errors associated with the Poisson distribution and variability among replicate wells.

**Influent: sample collection**

Influent samples were collected by POTW staff. Large volume composite samples were collected using a 24 hour composite sampler that the POTWs already had installed onsite for routine sample collection and analysis. Aliquots of the composite samples were collected in sterile 50 mL or 500 mL bottles and stored at 4 °C to be processed within 96 hours of collection (SB, AA, JI, OC); or collected in sterile 50 mL falcon tubes containing sodium chloride and buffer, stored at 4 °C, and shipped or driven to the lab on ice on the day of collection to be processed within 3 days (OS).
**Influent: pre-analytical processing**

Filtration-based method (SB, JI, OC). In brief, influent samples from SB and OC were acidified with 20% HCl to achieve a pH of 3.5 or lower following the methods described in Steele et al.\(^{23}\) MgCl\(_2\) was added to all samples to a final concentration of 25 mM, and samples were spiked with BCoV (Bovilis Coronavirus Vaccine, Merck Animal Health, NJ) as an extraction control. The samples were then filtered through a 0.45 μm pore size mixed cellulose ester HA filters (Millipore Sigma, MA) or 0.8 μm pore size cellulose ester HA filters (Millipore Sigma, MA) (JI only), and the filters were stored at −80 °C for between 2 hours and 2 months before NA extraction. Sterile PBS was also filtered to create a filter blank.

Sewage, salt, silica, and SARS-CoV-2 (4S) method (OS). Samples were processed using the 4S protocol.\(^{11}\) In brief, after collection, viruses in the samples were lysed and RNA stabilized by addition of NaCl. After receiving samples in the lab, BCoV (Bovilis Coronavirus Calf Vaccine, Merck Animal Health, NJ) was spiked into the wastewater sample as a positive control and the sample was pasteurized at 70 °C for 45 minutes. The sample was filtered through a 5 μm pore size PVDF filter (Millipore Sigma, MA) and the filtrate was immediately subjected to NA purification and concentration. A negative control (PBS) was also treated with the same procedure.

PEG precipitation method (AA). Samples were processed according to Flood et al.\(^{24}\) using PEG to precipitate viruses. BCoV was spiked into the wastewater as a positive control and water was used as a negative control. The concentrate was used immediately for NA extraction.

**Influent: NA extraction**

Filter-based method (SB, JI, OC). HA filters were added to Zymo BeadBashing beads and beat for a total of two or five (JI only) minutes. After centrifuging, the supernatant was processed using a Nucleic Acid Extraction Kit (BioMerieux, NC) or an RNeasy PowerMicrobiome Kit (Qiagen, Hilden, Germany) by following the protocols provided by the manufacturers. Extraction negative controls (water or PBS) and positive controls (BCoV spiked in water or PBS) were extracted using the same protocol. Extracted NA was stored at −80 °C for up to 24 hours before analysis.

4S method (OS). 40 mL of 70% volume ethanol and 40 mL of filtrate were combined and processed using a Zymo III-P silica spin column (Zymo Research, CA). An extraction control (BCoV spiked in PBS) was extracted using the same protocol. The eluted RNA was stored at 4 °C for same-day use or frozen at −80 °C to be quantified within the next 48 hours.

PEG precipitation method (AA). 200 μL of sample concentrate were extracted using the QIAamp Viral RNA Mini Kit (Qiagen Sciences, MD). Extraction negative controls (water) and positive controls (BCoV) were extracted using the same protocol. RNA was used immediately for quantification.

**Influent: RNA target quantification**

Filter-based and PEG methods (SB, AA, JI, OC). Nucleic acids were quantified through one-step ddRT-PCR for SARS-CoV-2 (N1 and N2), BCoV, and PMMoV using the BioRad QX200 droplet digital PCR systems (BioRad, CA). Depending on the laboratory, between one and four replicates were run per sample. Positive and negative controls were included on each plate. Data was processed and exported using QuantaSoft and QuantaSoft Analysis Pro (BioRad, CA). The concentration per reaction was converted to copies per volume of wastewater using dimensional analysis. For AA, errors are standard deviations of three replicate wells. For all other POTWs, errors are the standard deviations as the “total error” from the instrument, which includes errors associated with the Poisson distribution and variability among replicate wells.

4S method (OS). SARS-CoV-2 N1, BCoV, and PMMoV were measured using one-step RT-qPCR (QuanStudio 3 Real-Time qPCR system, ThermoFisher Scientific, MA) as described by Whitney et al.\(^{11}\) Three replicates were included per sample. Negative controls were included on each plate as well as standard curves, which were also used as positive controls. Inhibition was assessed by either an internal positive control or a serial dilution, where an undiluted well was compared to a 1:5 dilution. The higher adjusted value from the comparison was used. The concentration per reaction was converted to copies per volume of wastewater using dimensional analysis.

**COVID-19 epidemiology data**

Disease occurrence can be tracked as incident or prevalent cases. Incident cases of COVID-19 are recorded by public health officials, whereas prevalence data are difficult to estimate due to the variability in the duration of infectiousness among cases. Previous work has shown that SARS-CoV-2 RNA is well correlated with incident cases in the contributing community.\(^{7,10,15}\) Because shedding of SARS-CoV-2 RNA in stool decreases exponentially over time in infected individuals, it is reasonable to expect new incident cases to dominate SARS-CoV-2 RNA inputs to the sewer system.\(^{25}\) Therefore, we opted to examine the relationship between SARS-CoV-2 RNA and incidence rates in our study.

For AA, daily laboratory-confirmed COVID-19 incident cases from residents of the city of Ann Arbor were obtained from the county health department and normalized by the city population; the sewershed is approximately defined by the city limits and it was assumed that the city level incidence rate well approximated that of the sewershed. For all other POTWs, daily counts of laboratory-confirmed COVID-19 cases with georeferenced residential address within a POTW service area shapefile were provided by the state public health department. All case data are reported as a function of the date of symptom onset (AA) or episode date (earliest of specimen collection or symptom onset date) (all other POTW). A 7 day centered moving average was
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calculated and used in subsequent analyses. Incidence rate was calculated using the estimated population served by each POTW.

Statistical analysis

Statistics were computed using RStudio (version 1.4.1106). Replicates were handled differently by each lab as described in the Materials and methods section. Only one lab (OS influent) chose to identify outliers using a two-sided Grubbs test, details of which are outlined in the ESI. Linear regression was used to verify correlation between measurements for the two SARS-CoV-2 targets, N1 and N2. COVID-19 incidence rates were compared to SARS-CoV-2 RNA concentrations and SARS-CoV-2 RNA concentrations normalized by PMMoV concentrations. Nonparametric Kendall’s tau and Kruskal–Wallis tests were used to assess association and significant difference between measurements, respectively, among influent and solid samples as data were neither normally nor log-normally distributed based on Shapiro–Wilk tests. To account for technical variability of wastewater measurements, Kendall’s tau was calculated using 1000 bootstrap resampling when standard deviations for the measurement were available. JI influent PMMoV, and subset of OS influent N and PMMoV were not reported with errors; therefore, raw measurement values were used without bootstrapping. Each bootstrap replicate was sampled randomly from a uniform distribution between the upper and lower bounds on the measurement. Median tau and empirical p-values were determined using the bootstrapped values.25 For measurements reported as non-detects (NDs), a number between zero and the lower measurement limit sampled from a uniform distribution was substituted for further analysis. Here we use the term “lower measurement limit” to represent the laboratory reported lower limit of quantification or detection (see ESI†). For the influent methods, each sample had a different lower measurement limit depending on the volume processed. χ2 and Fisher’s exact tests compared the frequency of non-detects.

Linear regression was used on log-transformed data to derive slopes and y-intercepts describing empirical relationships between COVID-19 laboratory-confirmed incidence rates and measured SARS-CoV-2 gene concentrations, and between matched solids and influent measurements. Half the lower measurement limit was substituted for NDs. The lowest detectable COVID-19 incidence rate was estimated using the empirical relationships between incidence rate and SARS-CoV-2 RNA concentration at each POTW and calculating the incidence rate corresponding to the lower measurement limit reported by each participating laboratory using the predict.lm function.

Results

Quality assurance (QA)/quality control (QC)

Negative and positive extraction and PCR controls were negative and positive, respectively. For samples that had bovine coronavirus (BCoV) recovery quantified, BCoV recoveries provided evidence against failed RNA extraction and against gross inhibition in quantification. Further quantitative comparisons of BCoV recoveries were not conducted owing to complexity of interpreting surrogate recoveries.26

The lower measurement limits of RNA targets for solids were, on average, between ~900 cp g−1 (OS) and ~6800 cp g−1 (AA); for influent they ranged, on average, from ~0.4 cp mL−1 (JI) to ~27 cp mL−1 (SB) (Table S6†). These lower measurement limits are estimates as the exact lower measurement limit varied among samples processed since different volumes or masses were processed depending on the sample (see ESI†). As such, some measured concentrations could be lower than the reported average lower measurement limits.

Measurement overview

A total of 216 pairs of matched solid and influent samples were collected from five POTWs. Across solids samples, PMMoV ranged from 9.7 × 107 to 6.8 × 109 cp g−1 of dry weight (median = 5.9 × 107); across influent samples, PMMoV ranged from 6.7 × 107 to 2.7 × 108 cp mL−1 of wastewater (median = 6.9 × 107) (Fig. S1†). PMMoV was different between POTWs (Kruskal–Wallis P < 10−15) within the same matrix (i.e., solid or influent); OS tended to have lower PMMoV than other POTWs in solids (by 0.2–0.8 log units), and OS and JI had lower PMMoV than other POTWs in influent (by 1–1.5 log units). The median ratio of PMMoV concentrations in matched solids to influent samples across all POTWs was 6 × 104 mL g−1 (n = 207, 9 influent samples with no PMMoV measurements were omitted; range 4 × 104 mL g−1 to 3 × 105 mL g−1). Ratios were statistically different between POTWs with JI having the highest median ratio (median = 3 × 104 mL g−1) and SB and OC the lowest (median = 1 × 101 mL g−1) (Kruskal–Wallis P < 10−15) (Table S7†).

In solids, N1, N2, and N gene targets were measured; the N target is located in approximately the same location in the SARS-CoV-2 genome as the N1 target.27 SARS-CoV-2 RNA gene concentrations in solids ranged from ND to 2.4 × 106 cp g−1 dry weight (N1 or N) and ND to 2.1 × 106 cp g−1 dry weight (N2). Across influent samples, SARS-CoV-2 RNA concentrations ranged from ND to 7.3 × 102 cp mL−1 (N1) and from ND to 1.2 × 103 cp mL−1 (N2) (Fig. S2†). Across all solids measurements, N1 and N2 were strongly and positively correlated (R2 = 0.99, slope = 1.1, p-value < 10−15) (Fig. S3†). Similarly, across all influent measurements, N1 and N2 were strongly and positively correlated (R2 = 0.94, slope = 0.6, p-value < 10−15) (Fig. S4†). Therefore, further analyses focused on the N assay for OS solids and the N1 assay for all other samples (Fig. 1). All wastewater data presented in the paper is publicly available through the Stanford Digital Repository (https://purl.stanford.edu/kd763fh7892).

Relationship between SARS-CoV-2 RNA in solids and influent

The median ratio of SARS-CoV-2 RNA concentrations in matched solids and influent across all POTWs was 9 × 102
mL g$^{-1}$ ($n = 216$, 25th percentile $3 \times 10^2$ mL g$^{-1}$, 75th percentile $4 \times 10^3$ mL g$^{-1}$). Ratios were statistically different between POTWs, with JI having the highest median ratio and OS the lowest (Kruskal–Wallis $P < 10^{-15}$, Table 2). SARS-CoV2 RNA concentrations in matched solids and influent were positively and significantly correlated at all five POTWs as both aggregated data (Fig. 2, median Kendall’s tau = 0.22, empirical $p$-value < 0.001) and at individual plant level (Table S9†). To derive an empirical relationship between the log10-transformed solids and liquid concentrations, we used linear regression where $Y$ is the log10-transformed solids concentration (cp g$^{-1}$) and $X$ is the log10-transformed influent concentration (cp mL$^{-1}$) consistent with a Freundlich isotherm model, assuming influent concentrations are representative of concentrations in the liquid fraction.28 Slopes ranged from 0.26 to 0.63 and y-intercepts ranged from 2.89 to 4.89 (Table S10†) depending on the POTW, consistent with $n = 2$ to 3, and $K_f = 10^{3}–10^{5}$ ml g$^{-1}$ in the Freundlich model: $C_s = K_f C_l^{1/n}$ where $C_s$ is RNA concentration in solid fraction, $C_l$ is RNA concentration in liquid fraction, $K_f$ is

<table>
<thead>
<tr>
<th>POTW</th>
<th>$n$</th>
<th>25th percentile (mL g$^{-1}$)</th>
<th>Median (mL g$^{-1}$)</th>
<th>75th percentile (mL g$^{-1}$)</th>
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<td>280</td>
<td>530</td>
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</tr>
<tr>
<td>OC</td>
<td>23</td>
<td>3100</td>
<td>5500</td>
<td>7500</td>
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Table 2 SARS-CoV-2 RNA gene concentration ratios in matched solids to influent for the five POTWs, listed as rows. The ratios were calculated on an equivalent mass basis for N1 or N. For samples that resulted in ND, half of the lower measurement limit was used. Number of matched samples and 25th percentile, median, and 75th percentile ratios calculated for the plants are reported.
Freundlich’s constant, and $1/n$ is the exponent of non-linearity.

Detection frequency was calculated for matched solids and influent samples, along with empirical incidence rate lower limit for all samples (Table 3). Overall detection frequency of N1 or N was 96% for solids and 90% for influent: there were eight of 216 solids samples and twenty-one of 216 influent samples that resulted in ND for N1 or N. The frequency of NDs in solids and influent were not significantly different (chi-square test or fisher exact test, $p > 0.05$). Detection limit in terms of incidence rate was similar between solids and influent at all POTWs: in solids, the limit ranged from 0.7 to 20 out of 100 000, and in influent, the limit ranged from 0.9 to 18 out of 100 000. Over the duration of the study, the lowest 7 day smoothed incident rates observed in each plant ranged from 0.4 to 12 cases per 100 000 at OS and SB, respectively.

Relationship between SARS-CoV-2 RNA in wastewater solids and incidence rates

SARS-CoV-2 RNA concentrations in solids correlated positively and significantly to COVID-19 incidence rates. Kendall’s tau between 7 day smoothed incidence rates and SARS-CoV-2 RNA concentrations in solids ranged from 0.07 (SB) to 0.56 (OC) (median = 0.36, empirical $p$-value $< 0.005$ for all) (Table 4). Linear regression was used to derive an empirical relationship between log10-transformed COVID-19 incidence rate and log10-transformed solid concentration. The regression showed that for 1 log10 increase in SARS-CoV-2 N1 or N cp g$^{-1}$, there was between 0.02 and 0.75 log10 increase in incidence rate; there was a similar positive log10 increase when data were normalized by PMMoV (Fig. S5,$^\dagger$ Table 5). The data from all five POTWs appear to fall on a single line (Fig. 3) when plotted as COVID-19 incidence rate.

### Table 3 Detection frequency (‘Frequency’) and incidence rate limit (‘Limit’) for samples from the five POTWs. Detection frequency denotes how many samples were above the lower measurement limit. Incidence rate limit is the incidence rate (out of 100 000) corresponding to the average SARS-CoV-2 RNA lower measurement limit as modeled using linear regression. Errors on the detection limit represent the standard error on the prediction.

<table>
<thead>
<tr>
<th></th>
<th>SB Solid</th>
<th>SB Influent</th>
<th>AA Solid</th>
<th>AA Influent</th>
<th>OS Solid</th>
<th>OS Influent</th>
<th>JI Solid</th>
<th>JI Influent</th>
<th>OC Solid</th>
<th>OC Influent</th>
</tr>
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<tbody>
<tr>
<td>Limit (#/100 000)</td>
<td>20 ± 4</td>
<td>18 ± 1</td>
<td>11 ± 1</td>
<td>13 ± 1</td>
<td>0.7 ± 0.1</td>
<td>0.9 ± 0.1</td>
<td>5 ± 2</td>
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<td>3 ± 1</td>
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versus SARS-CoV-2 RNA concentration (median \( \tau \) = 0.64, \( p < 0.001 \)) or SARS-CoV-2 RNA concentration normalized by PMMoV (median \( \tau \) = 0.58, \( p < 0.001 \)); when data are pooled and analyzed together, the slope of the regression suggests that a 1 log\(_{10}\) increase in SARS-CoV-2 N1 or N cp \( g^{-1} \) corresponds to a 0.62 (± 0.02 standard error) log\(_{10}\) increase in incidence rate \( (R^2 = 0.70, p\text{-value} < 10^{-15}) \); for concentration normalized by PMMoV, there is a 0.64 (± 0.03 standard error) log\(_{10}\) increase in COVID-19 incidence \( (R^2 = 0.61, p\text{-value} < 10^{-15}) \).

Table 4 Median Kendall’s tau correlation between wastewater SARS-CoV-2 RNA N gene concentration (N1 or N) and incidence rate in each sewershed. 1000 instances of Kendall’s tau were calculated by bootstrapping upper and lower confidence intervals for measured concentration of SARS-CoV-2 RNA. Confidence intervals were not available for all OS influent samples, and therefore Kendall’s tau was calculated with raw data points. For samples that resulted in ND, the lower measurement limit and 0 were used as upper and lower confidence intervals respectively. Kendall’s tau was calculated with raw N gene wastewater concentration and with values normalized by PMMoV. Empirical \( p\)-value was lower than 0.005 for all unless otherwise stated in parenthesis.

### Relationship between SARS-CoV-2 RNA in wastewater influent and incidence rates

SARS-CoV-2 RNA measurements from influent positively and significantly correlated to COVID-19 incidence rates. Kendall’s tau between 7 day smoothed incidence rates and SARS-CoV-2 RNA concentrations in influent ranged from 0.33 (SB) to 0.60 (OS) (median = 0.51, empirical \( p\)-value < 0.005) (Table 4). Linear regression between log\(_{10}\)-transformed COVID-19 incidence rate and log\(_{10}\)-transformed influent concentration showed that for 1 log\(_{10}\) increase in N1 concentration, there is between a 0.18 and 0.62 log\(_{10}\) increase in incidence rate across different POTWs. There was a similar positive log\(_{10}\) increase when data was normalized by PMMoV (Fig. S6, Table 6). When data from the five POTWs are pooled and analyzed together, there is a positive association between incidence rate and SARS-CoV-2 RNA concentration (\( \tau = 0.24, p < 0.001 \)); but not for concentration normalized by PMMoV (\( \tau = -0.03, p = 1 \)) (Fig. 3). Linear regressions suggest a 1 log increase in N was associated with a 0.49 ± 0.06 log increase in incidence rate \( (R^2 = 0.22, p < 10^{-11}) \); for N normalized by PMMoV a 1 log increase in N/PMMoV corresponds to a 0.03 reduction in incidence rate (slope = -0.03 ± 0.05, \( R^2 = -0.003, p = 0.58 \)).

### Discussion

We compared measurements of SARS-CoV-2 RNA in wastewater solids collected from the primary clarifier and raw wastewater influent from five POTWs. Across all matched solids and influent samples, the median ratio of SARS-CoV-2 RNA in solids to influent, on a mass equivalent basis was \( \sim 10^3 \). This result suggests that SARS-CoV-2 RNA, present in virions, fragmented virions, or outside of virions, is preferentially associated with the solid fraction of wastewater. We also found that PMMoV RNA is enriched in the settled solids fraction relative to influent; the median ratio of PMMoV RNA in solids to influent, on a mass equivalent basis, was \( \sim 10^4 \). These results support earlier findings that SARS-CoV-2 RNA is enriched in the solids fraction of wastewater by 3–4 orders of magnitude on a mass equivalent basis.\(^3\)\(^,\)\(^,\)\(^5\)\(^,\)\(^6\)\(^,\)\(^16\) Previous reports suggest that other viruses and bacteriophages also have a high affinity for wastewater solids including enteroviruses, rotavirus, murine hepatitis virus, phi 6, and adenovirus.\(^2\)\(^,\)\(^3\)\(^,\)\(^10\)\(^,\)\(^32\) However, given the heterogeneity of virus capsid structures, more research is needed to identify whether there are viruses that do not associate preferentially to wastewater solids.

The settled solids collected in this study for analysis entered the POTWs as solids suspended in the influent, and then settled as primary sludge in the primary clarifier. The suspended solids content of influent is typically on the order of 10\(^3\) mg L\(^{-1}\). Assuming that the concentration of SARS-CoV-2 RNA in settled solids (in units of cp g\(^{-1}\)) is representative of its concentration in suspended solids, and that solids contain three orders of magnitude more SARS-CoV-2 RNA than influent on a per mass basis, the concentration of suspended solids in influent contributes only 10% to the total amount of SARS-CoV-2 RNA in influent. Therefore, the majority of SARS-CoV-2 RNA measured in influent is from the liquid phase (defined as wastewater with solids removed) even when...
suspended solids are retained in the measurement method. If small particles that remain suspended in the wastewater after passing through the primary clarifier contain more SARS-CoV-2 RNA per gram than those that settle, then 10% may be an underestimate, but there is no published data to date that indicate whether or how SARS-CoV-2 RNA preferentially associates with wastewater solid particles of different sizes. This calculation illustrates that in order to take advantage of the fact that viral RNA is enriched in the solid fraction of wastewater to improve measurement sensitivity, efforts to include more solids than those already suspended in wastewater are needed.

The ratio of concentrations in solids and influent can be conceptualized as an empirical partitioning coefficient $K_d$, assuming the majority of SARS-CoV-2 measured in influent is present in the liquid phase (defined as wastewater with solids removed). $K_d$ varied among samples and POTWs. Partitioning characteristics may be influenced by properties of the solid and liquid matrix in the mixture. For example, partitioning of organic chemicals is controlled in part by the organic carbon and mineral content of the solid matrix, the ionic strength of the liquid, pH, and temperature.\textsuperscript{28} Given the complex and variable nature of wastewater, it is not surprising that $K_d$ varies in matched samples among and between POTWs. To

Table 6 Relationship between SARS-CoV-2 RNA N gene (N1 or N) measured in influent and COVID-19 incidence rates. $Y = mx + b$ where $y = \log_{10}$-transformed COVID-19 incidence rates, $m =$ slope, $b =$ intercept, and $x = \log_{10}$-transformed influent concentration. The error on $m$ and $b$ represents standard error. $R^2$ and $p$-value are provided for completeness, but the regression is used to derive an empirical relationship between the variables; to assess association, Kendall’s tau was used (see Table 4)

<table>
<thead>
<tr>
<th>Plant</th>
<th>Linear regression</th>
<th>m</th>
<th>b</th>
<th>$R^2$</th>
<th>p-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SB</td>
<td>Raw</td>
<td>0.18 ± 0.09</td>
<td>-4.00 ± 0.14</td>
<td>0.32</td>
<td>0.04</td>
</tr>
<tr>
<td></td>
<td>Normalized</td>
<td>0.09 ± 0.07</td>
<td>-3.29 ± 0.30</td>
<td>0.03</td>
<td>0.18</td>
</tr>
<tr>
<td>AA</td>
<td>Raw</td>
<td>0.18 ± 0.05</td>
<td>-4.12 ± 0.07</td>
<td>0.31</td>
<td>$&lt;10^{-2}$</td>
</tr>
<tr>
<td></td>
<td>Normalized</td>
<td>0.28 ± 0.07</td>
<td>-2.74 ± 0.28</td>
<td>0.36</td>
<td>$&lt;10^{-3}$</td>
</tr>
<tr>
<td>OS</td>
<td>Raw</td>
<td>0.62 ± 0.05</td>
<td>-5.40 ± 0.07</td>
<td>0.57</td>
<td>$&lt;10^{-13}$</td>
</tr>
<tr>
<td></td>
<td>Normalized</td>
<td>0.37 ± 0.05</td>
<td>-3.39 ± 0.17</td>
<td>0.32</td>
<td>$&lt;10^{-10}$</td>
</tr>
<tr>
<td>JI</td>
<td>Raw</td>
<td>0.42 ± 0.06</td>
<td>-4.12 ± 0.08</td>
<td>0.50</td>
<td>$&lt;10^{-7}$</td>
</tr>
<tr>
<td></td>
<td>Normalized</td>
<td>0.29 ± 0.07</td>
<td>-2.74 ± 0.24</td>
<td>0.29</td>
<td>$&lt;10^{-3}$</td>
</tr>
<tr>
<td>OC</td>
<td>Raw</td>
<td>0.48 ± 0.08</td>
<td>-4.42 ± 0.14</td>
<td>0.53</td>
<td>$&lt;10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>Normalized</td>
<td>0.42 ± 0.05</td>
<td>-1.81 ± 0.21</td>
<td>0.74</td>
<td>$&lt;10^{-9}$</td>
</tr>
</tbody>
</table>

Suspended solids are retained in the measurement method. If small particles that remain suspended in the wastewater after passing through the primary clarifier contain more SARS-CoV-2 RNA per gram than those that settle, then 10% may be an underestimate, but there is no published data to date that indicate whether or how SARS-CoV-2 RNA preferentially associates with wastewater solid particles of different sizes. This calculation illustrates that in order to take advantage of the fact that viral RNA is enriched in the solid fraction of wastewater to improve measurement sensitivity, efforts to include more solids than those already suspended in wastewater are needed.

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collecting and concentrating SARS-CoV-2 RNA from a large diverse pre-analytical and NA extraction steps that involved extraction kits. In contrast, the influent approaches had suspended in a solution, and NA were extracted directly from a small volume of sample: dewatered solids were RNA in the solid and liquid matrices. All of the solids approaches were similar in their pre-analytical and NA extraction approaches because RNA is already concentrated in a small volume of sample: dewatered solids were suspended in a solution, and NA were extracted directly from a small volume (<1 ml) of this solution using commercial NA extraction kits. In contrast, the influent approaches had diverse pre-analytical and NA extraction steps that involved collecting and concentrating SARS-CoV-2 RNA from a large (>20 ml) volume of liquid. \( K_d \) might be lower when influent SARS-CoV-2 is measured with an approach that is more efficient at recovering SARS-CoV-2 RNA from influent than others. Interestingly, the lowest \( K_d \) values were observed at OS, the only plant that used the 4S method. To determine how influent methods compare and whether the low \( K_d \) values observed at OS can be attributed to the method used to measure SARS-CoV-2 RNA in the influent, additional measurements of SARS-CoV-2 RNA in matched solids and influent using the 4S and other influent methods would need to be collected. It is also necessary to acknowledge that although we did our best to match solids and influent samples while taking advantage of ongoing wastewater-based epidemiology sampling efforts, the matching approach is imperfect. For example, solids samples are akin to a 1 to 24 hour composite samples, depending on the collection approach, based on estimations of solids residence time of primary clarifiers provided by POTW staff. On the other hand the influent samples were 24 hour composite samples. In the future, researchers could investigate partitioning of SARS-CoV-2 RNA or other viruses by collecting a large volume of influent that is then split into (1) a sample to be processed using an influent method, and (2) a sample to be settled in an Imhoff cone\(^{19} \) then processed using a solids method. It is important to acknowledge that samples were archived and stored in different ways for different durations; this may also have impacted the enumeration of SARS-CoV-2 RNA; however, each lab followed best practices using storage methods that they have tested previously.\(^{11,23,33} \)

In order to compare the sensitivity of the solids and influent measurements, we determined the COVID-19 incidence rate below which we expect the measurements to yield non-detects. This was accomplished by deriving an empirical relationship between SARS-CoV-2 RNA concentrations and incidence rates at each POTW for solids and influent measurements then calculating the incidence rate corresponding with the average lower measurement limit for the method. Solids and influent methods yielded similar sensitivity across POTWs. Both were able to detect SARS-CoV-2 RNA when incidence rates were between \( \sim 1 \) and \( \sim 10/100 \) 000. Influent and solids measurements were the most sensitive at OS where they could detect \(<1/100\,000\) incidence rate. It is not clear at the present time what sensitivity is needed for wastewater monitoring to be informative for pandemic response. Given that the size of the sewersheds range from \( 10^5 \) to \( 10^6 \) people, it appears wastewater monitoring using these methods can reliably identify when there are between 1 and 100 incident laboratory-confirmed COVID-19 cases in the sewersheds, depending on the POTW. The lower measurement limits of these methods may be reduced further, should public health officials determine that a lower incidence rate threshold is needed to guide public health recommendations.

In a previous study, we suggested that methods for detecting SARS-CoV-2 RNA in wastewater at POTWs should be representative, comparable, sensitive, and scalable in order to provide actionable insight on COVID-19 incidence.\(^{21} \) Representative means that the measurements correlate with COVID-19 incidence. In this study, measurements in solids and influent both are positively associated with COVID-19 incidence, and the positive association held when SARS-CoV-2 measurements were normalized by PMMoV. The magnitude of association varied across POTWs similar to results reported by others.\(^{7,21,25,34} \) The weakest association was observed at SB for both solids and influent. The reasons why associations were weakest at this POTW are unknown, but could be due to the relatively static COVID-19 incidence, which changed by less than one order of magnitude over the duration of sampling or because COVID-19 case data were unreliable early in the pandemic when many of the SB samples were collected. It is also important to note that COVID-19 case data likely under-represent the actual number of infections in the sewersheds\(^35 \) and this may vary among locations and across times, which would affect the associations between incidence rate and wastewater concentrations of SARS-CoV-2 RNA. The apparent power-law relationship between SARS-CoV-2 RNA concentrations and incidence rates is consistent with under-reporting of COVID-19 cases when incidence rates are high.\(^{14} \) In this study, we did not see evidence that addition of chemicals in the POTW treatment train upstream of sample collection had an impact on sample analysis; all POTWs showed positive association with COVID-19 incidence regardless of chemical additions. However, we would need more replication of POTWs, with and without chemical addition, in order to make definitive claims. In addition, both grab solid samples and composite solid samples from clarifiers with diverse residence times had a positive association with COVID-19 incidence rate, suggesting that SARS-CoV-2 RNA concentrations in solids correlates well to incidence rates regardless of collection method. Future work can be conducted to investigate
whether clarifier residence time or sampling method (grab vs. composite) modifies the relationship between incidence rates and RNA concentrations.

Comparable means that samples measured at different POTWs and by different labs can be combined and compared to infer relative incidence rates across communities within POTW service areas. Solids data from the five POTWs from different regions of the United States appear to collapse on a single curve when plotted as incidence rate versus SARS-CoV-2 RNA concentration suggesting a 1 log_{10} increase on SARS-CoV-2 concentrations corresponded to a 0.6 log_{10} increase in incidence rates; this relationship is similar to those published by Wolfe et al.\(^{21,25}\) using different solids data sets that were obtained using different approaches and laboratories. This previous work showed how measurements of SARS-CoV-2 RNA in solids obtained using different pre-analytical methods could be scaled by PMMoV to be comparable.\(^{21}\) Influent data from the five POTWs do not visually appear to fall on the same curve when plotted as incidence rate versus SARS-CoV-2 RNA concentration, perhaps because the different influent methods are not themselves comparable. Different influent methods likely recover different fractions of the SARS-CoV-2 signal;\(^{34}\) at the same incidence rate, a higher wastewater concentration was reported for OS, the only POTW monitored with the 4S method. When influent data from different methods were scaled by PMMoV, the data again did not appear to fall on a single curve. Based on the results of the present study and previous work, solids measurements appear to be comparable. However, the influent measurements presented herein were not comparable. Additional work is needed to better understand how to scale influent measurements obtained from different POTWs or how to normalize diverse influent methods so that they can be compared and used to infer relative incidence rates across sewersheds.

Sensitive describes the lower detection limits of the methods. Numerically, the lowest measurable concentration for the solids methods are higher than the influent methods, but it is inappropriate to compare these numbers directly because they have different units and the target preferentially associates to the solid phase. For the solids methods, the smallest lower measurement limits were obtained from methods that merged the largest number of wells during digital PCR: OS merged ten wells, JI, SB, OC merged six wells, and AA used three wells. Decreasing the lower measurement limit within the solids methods is possible and can be accomplished by increasing the mass of solids suspended per mL in the DNA/RNA shield solution prior to extraction or increasing the number of wells merged. The challenge with the former is that increasing solids concentrations can increase inhibition of the RT-PCR while the challenge with the latter is increasing reagent costs. Within the influent methods, the smallest lower measurement limit was achieved using the JI membrane filtration method and the largest lower measurement limit was achieved using the SB membrane filtration method (both with digital PCR) due to different effective volume processed. Decreasing the lower measurement limits of the influent methods is possible and would require increasing the volume of influent processed in the pre-analytical methods, or increasing the number of merged wells during digital PCR. OS influent samples were the only samples processed by qPCR, and the lower measurement limit could potentially be decreased by using digital PCR. Increasing the influent volume processed may increase inhibition of the RT-PCR and can be difficult or impossible using dead-end filtration due to filter clogging.

Wastewater is a complex and variable matrix with a wide range of RT and PCR inhibitory substances including organic and inorganic molecules.\(^{36,37}\) Future work to characterize and alleviate RT and PCR inhibition using different NA extraction kits, inhibitor removal kits, or mastermixes as well as testing methods that concentrate fewer PCR inhibitory substances, is warranted to improve sensitivity of both solids and influent methods.

Scalable means that methods are amenable to automation and high-throughput processing with the use of automated instruments and liquid handling robots that generate results quickly (i.e., on the day of receiving a sample). The solids methods implemented in this study are scalable; the OS data were generated using automated NA extraction systems, liquid handling robots, and digital PCR methods with results available the same day as sample collection. Measurement of percent solids of each sample may be hard to automate but can be skipped if the final reported RNA concentration is normalized by PMMoV concentration. The influent methods used in this study were not executed in an automated, high throughput format and may be difficult to scale. All require volumes greater than 10 mL and include time and staff-intensive filtration or flocculation steps. The limited influent methods that are scalable use small volumes (at most 10 mL),\(^{38}\) which limits the sensitivity of the methods.

Conclusion

SARS-CoV-2 RNA in wastewater measured using the diverse methods described in this study are representative of COVID-19 incidence and were adequately sensitive to detect the virus when incidence rates were low (~1/10^5). SARS-CoV-2 RNA and PMMoV RNA were enriched in solids relative to influent (on a per mass basis) and thus solids naturally concentrated the viral targets. Owing to the lower concentrations of SARS-CoV-2 RNA in influent, large influent volumes must be processed prior to analysis unless community COVID-19 infection rates are very high. Multiple effective methods for recovering viruses from liquid wastewater are described in this paper, but each likely recovers different fractions of the SARS-CoV-2 RNA signal at different efficiencies and thus are difficult to compare to one another. Since SARS-CoV-2 RNA naturally concentrates in solids, direct extraction of nucleic acids from small masses of solids is possible and an effective way to measure SARS-CoV-2 even when infection rates are low (<1/10^5). All methods were representative and sensitive,
and methods based on solids appear to also be comparable across POTWs and variations in pre-analytical methods, and scalable to a high throughput, robotic format. Further work should be done to determine if these advantages can be realized in SARS-CoV-2 RNA measured in influent methods. Both solids and influent methods can be made more sensitive by altering methods, but inhibition during quantification may represent an obstacle to doing so.

Author contributions


Conflicts of interest

Bradley J. White, Aaron Topol, and Dorothea H. Duong are employees of Verily Life Sciences.

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