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## Atmospheric effects of air pollution during dry and wet periods in São Paulo†

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Air pollutants reach high concentrations in developing countries, such as Brazil. The state of São Paulo is the economic and demographic center of Brazil and presents high levels of urbanization, fleet, and air pollution. Air pollutant concentrations are driven by meteorology, but aerosols present in polluted air also interact and change meteorological parameters due to their primary and secondary feedback effects. The objective of this study is to evaluate the impact of on-road transportation emissions on air pollutant concentrations and meteorology. Vehicular emissions were estimated using the VEIN model with a bottom-up fuel-calibration approach, and air pollutant concentrations were simulated using the WRF-Chem model considering dry and wet periods for Southeast Brazil. A 3 km grid spacing was considered for the inner domain to account for mesoscale circulation. Air pollutant simulations aligned with observations. Regarding the aerosol feedback, stronger interactions were found during the wet period. It was found that the effect of aerosols reduces 1.3% of downward solar radiation and 1.5% of O<sub>3</sub>, during the dry period. Furthermore, indirect effects of aerosols resulted in more precipitation, a higher planetary boundary layer, and lower levels of air pollutants in cities.

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### Environmental significance

Air pollution is an environmental problem, which affects the human health, ecosystems and the atmosphere. Aerosols affect the atmosphere by absorbing and scattering solar radiation and also the genesis and development of clouds. Road transportation is the main source of air pollution in megacities. This work presents a new vehicular emission inventory based on 124 million observations from smart-devices with GPS. The emissions are then input into an air quality model to model air pollutant concentrations. Lastly, this work evaluates the effects of aerosols during dry and rainy periods in South-east Brazil.

## 1. Introduction

The evolution of air pollution is inherently related to meteorology.<sup>1</sup> For instance, concentrations of nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOC) transported downwind generate ozone in the presence of solar radiation.<sup>2,3</sup> Meteorology is also affected by air pollutant concentrations with feedbacks driven by interactions between solar radiation, aerosols and VOCs.<sup>4</sup> Furthermore, aerosols have a direct radiative forcing effect by absorbing and scattering solar radiation and an indirect effect by increasing cloud condensation,<sup>5</sup> thus altering radiative properties of cloud-precipitation.<sup>6,7</sup> For example, several studies have found that aerosols impair solar radiation and surface temperature, and increase cloud droplets.<sup>8,9</sup> Particulate matter

(PM) is an important source of aerosols, largely emitted during biomass-burning, in urban and industrial areas, affecting the atmospheric circulation pattern.<sup>10</sup> Therefore, it is important to enhance the understanding of interactions between air pollution and meteorology, especially in regions with limited environmental information, such as Latin America.

Air pollution is a threat to human health with implications at global, regional, and local scales.<sup>11</sup> As observed by Tsai *et al.*<sup>12</sup> evidence shows that there is no adaption to prolonged exposure to PM<sub>10</sub> levels (particulates with an aerodynamic diameter of less than 10 μm) over time; instead, there is a propagation of the inflammatory process. In December 2019, the Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)<sup>13,14</sup> was first reported in Hubei Province, China, and spread around the world. Its stability is on average 3 hours in aerosols, in addition to being potentially transported long to middle distances.<sup>15,16</sup> Furthermore, transmissions made by aerosols have been highly underestimated, and now there is an active line of research.<sup>17-19</sup> Also, high PM<sub>2.5</sub> poses an additional risk of infection and deaths by COVID-19.<sup>20,21</sup> Therefore, it is very important to study interactions between air pollutant concentrations and the

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physical–chemical aspects of the atmosphere, particularly, in developing countries such as Brazil.

Brazil is the biggest and most populated country in Latin America, with 8.510.295.914 km<sup>2</sup> of territorial area and an estimated population of 211.755.692 in 2020.<sup>22</sup> The biggest cosmopolitan and financial region is located in Southeast Brazil and is formed by the agglomeration of São Paulo city and its surrounding areas that include coastal cities as well. These cities suffer high levels of air pollutants from anthropogenic activities, with on-road vehicles being the main source in metropolitan areas.<sup>23–25</sup> The weather and climate of Southeast Brazil suffer the direct influence of meteorological systems from tropical, subtropical, and extratropical genesis,<sup>26,27</sup> closely linked to low-frequency variability in interseason,<sup>28</sup> interannual,<sup>29,30</sup> and interdecadal<sup>31,32</sup> timescales. Meteorological systems can promote the dispersion of air pollutants or trap them. For example, in the coastal part of this region, the concentrations can interact with mesoscale circulations, such as the sea breeze,<sup>33,34</sup> increasing and transporting air pollutants from and to this region. Many air quality modelling studies conducted over Brazil have focused on the metropolitan areas of São Paulo.<sup>35–39</sup> However, there are many remaining uncertainties about the role that urban emissions from large and

mid-sized cities in Southeast Brazil play in air pollutant levels and meteorology changes in each one of these areas.

This study aims at investigating the relationships among emissions, meteorology, and air quality in Southeast Brazil. Therefore, we analyzed air quality conditions under different weather conditions in 2014, in order to answer the following questions: (i) how is the representation of air pollutant concentrations over dry and wet periods? (ii) What is the impact of aerosol effects on meteorology and air pollution during these periods? Then, we compiled a street-level vehicular emission inventory with activity data based on the Global Positioning System (GPS) using the Vehicular Emissions Inventories model (VEIN).<sup>25,40</sup> Air quality simulations were conducted using the Weather Research and Forecasting (WRF) model coupled with Chemistry (WRF-Chem)<sup>41</sup> in order to understand the impact of vehicular emissions on air quality and the impact of the total emissions on concentrations and their interactions with meteorology through aerosol feedbacks.

## 2. Materials and methods

### 2.1. Study area, periods and data

The study area is located in the domain of 47.94067° W to 45.38934° W and 24.57928° S to 22.41285° S, hereafter referred

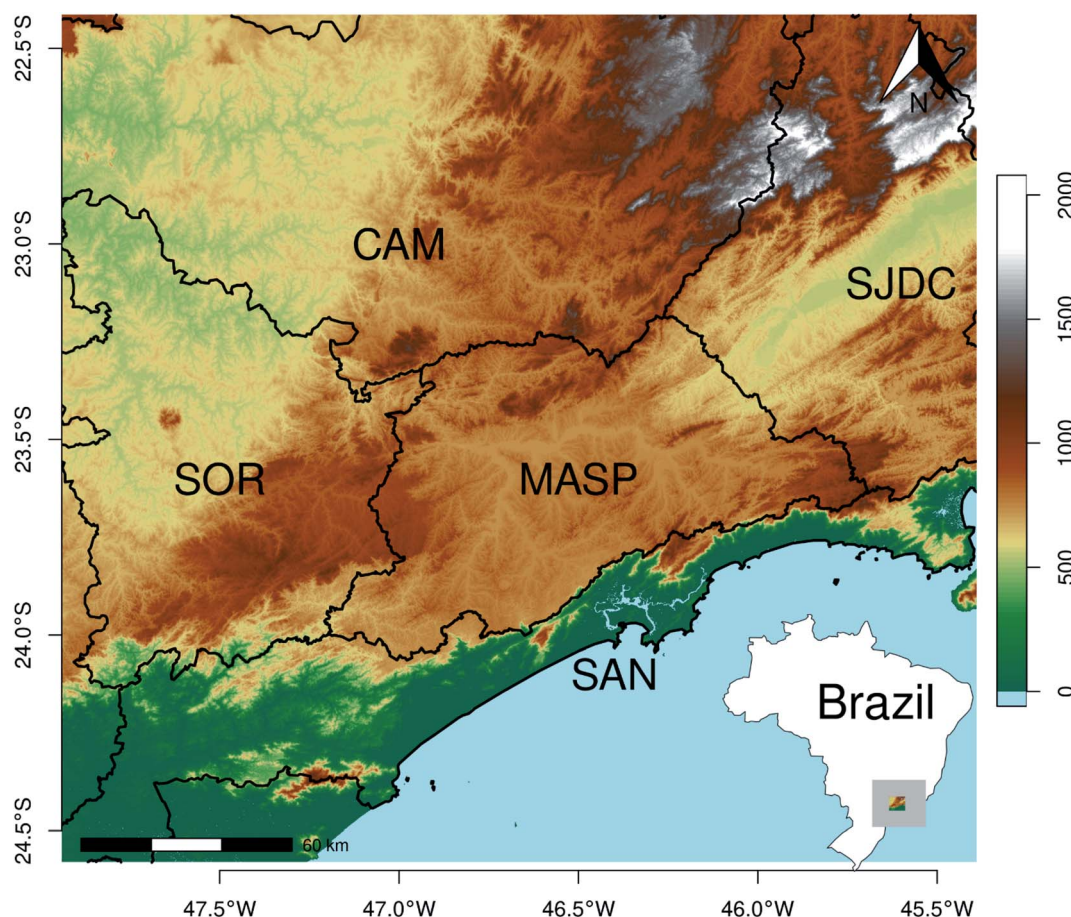


Fig. 1 Area of study including metropolitan areas of Campinas (CAM), São Paulo (MASP), Sorocaba (SOR), São José Dos Campos (SJDC) and Santos (SAN). This figure represents the inner domain for the numerical modeling and the grey box, at bottom left the coarser domain. Digital elevation map from ASTER/NASA Earth Data (<https://earthdata.nasa.gov/>).



to as Southeast Brazil, as shown in Fig. 1. This study area covers the highly urbanized metropolitan areas of São Paulo, Santos, Campinas, São José dos Campos and Sorocaba cities, totaling about 30 million inhabitants.<sup>22</sup> The periods of study were determined firstly by selecting a dry and polluted period, found in October 2014, which was followed by a rainy period in November 2014. Then, wet (rain above 1 mm per day) and dry (rain less than 1 mm per day) periods were detected using the Integrated Multi-satellitE Retrievals for Global Precipitation Measurement (IMERG).<sup>42</sup> In this sense, the dry period covers October 5<sup>th</sup>–10<sup>th</sup>, 2014, and the wet period covers October 31<sup>st</sup>–

November 5<sup>th</sup>, 2014. The temporal evolution of air pollutant concentrations, such as carbon monoxide CO (ppm), nitrogen monoxide NO ( $\mu\text{g m}^{-3}$ ), nitrogen dioxide NO<sub>2</sub> ( $\mu\text{g m}^{-3}$ ), particulate matter with an aerodynamical diameter lower than 10 micrometers, PM<sub>10</sub>, and lower than 2.5 micrometers, PM<sub>2.5</sub> ( $\mu\text{g m}^{-3}$ ), and ozone, O<sub>3</sub> ( $\mu\text{g m}^{-3}$ ), were analyzed using data from the São Paulo State Environmental Company (CETESB). CETESB also provides meteorological data such as temperature ( $^{\circ}\text{C}$ ), atmospheric pressure at the station level (hPa), wind speed ( $\text{m s}^{-1}$ ), and global solar radiation ( $\text{W m}^{-2}$ ). In the case of precipitation, the data were obtained from the National

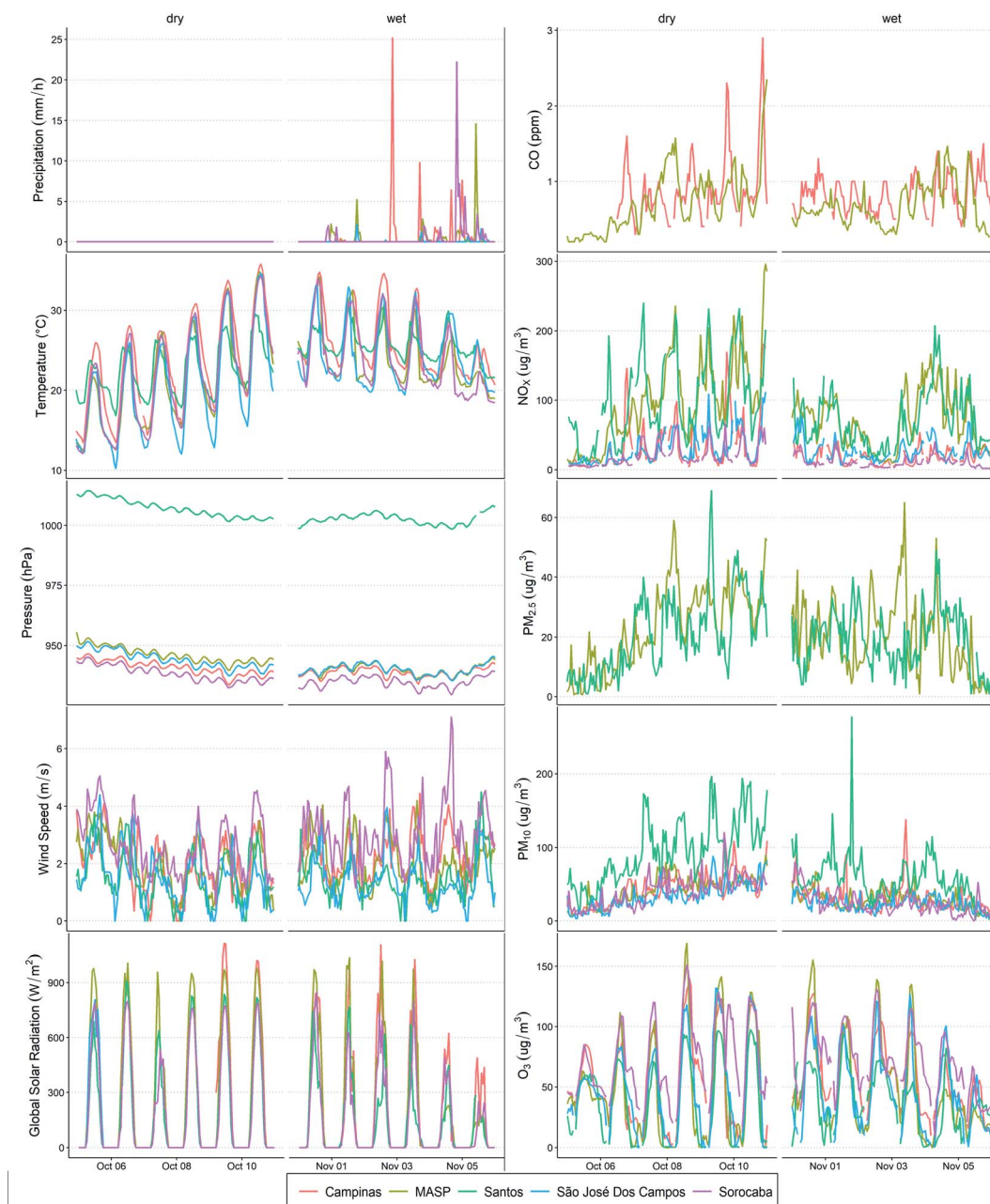


Fig. 2 Hourly meteorological parameters (on the left) and air pollutant concentration (on the right) observations averaged by each metropolitan region for the dry (October 05th–10th, 2014) and wet (October 31st–November 05th, 2014) periods. Campinas was abbreviated as CAM, Santos as SAN, São José Dos Campos as SJDC, Sorocaba as SOR and the metropolitan area of São Paulo as MASP. Precipitation from INMET and other parameters from CETESB.





Table 1 Configuration of the WRF-Chem model

Description	9 km domain	3 km domain
Simulation period	00 Z, 03 October–00 Z, 06 November 2014	00 Z, 03 October–00 Z, 06 November 2014
Bounding box	Longitude: 50.57104°W to 42.13599°W Latitude: 27.05956°S to 19.88816°S	Longitude: 47.94067°W to 45.38934°W Latitude: 24.57928°W to 22.41285°W
Number of points	$x = 95, y = 90$	$x = 90, y = 82$
Vertical levels	34 layers from the surface to 50 hPa (20.5 km, approximately)	34 layers from the surface to 50 hPa (20.5 km, approximately)
Thickness of the first layer	56 m	56 m
Microphysics	51	51
Cumulus	52	None
Longwave radiation	53	53
Shortwave radiation	54	54
Land use	55	55
Boundary layer	56	56
Surface	57	57
Initial condition	GFS <sup>58</sup>	WRF chem run domain 1
Boundary condition	GFS <sup>58</sup>	WRF chem run domain 1
Urban physics	59	59
Topography wind	60	60
Emissions		Vehicular emission inventory <sup>40</sup>
Chemical mechanism	RADM2	48
Inorganic aerosols	MADE	61
Organic aerosols	SORGAM	62

Institute of Meteorology (INMET). The description of the observation sites is available in Table S1 of the ESI†.

## 2.2. Atmospheric conditions

The synoptic analysis was initially made on a daily basis by identifying the weather patterns that impact the climate and weather over São Paulo and actuated each day through the synoptic charts, satellite images, and meteorological conditions at the surface, as shown in ESI Fig. S10, S11† for 00 UTC for brevity, and Fig. 2. The synoptic charts are from the Center for Weather Forecasting and Climate Studies (CPTEC; <http://img0.cptec.inpe.br/~rgptimg/Produtos-Pagina/Carta-Sinotica/Analise/>) for the surface, low, middle and upper levels and for the four synoptic available times (00 UTC, 06 UTC, 12 UTC, and 18 UTC); satellite images are from the Geostationary Environmental Satellite (GOES-13, <http://satellite.cptec.inpe.br/acervo/goes.formulario.logic?i=en>) infrared channel ( $\sim 11.0 \mu\text{m}$ ); meteorological conditions such as 2 m air temperature, relative humidity, wind speed and direction, and solar radiation are from the INMET surface station (<https://bdmep.inmet.gov.br/>). We also compared the pollutant concentrations in both periods with the meteorological conditions using surface stations from INMET and CETESB.

## 2.3. Emissions inventory

Air pollutants are generated by chemical species released by sources to the atmosphere, which vary over time and region. In the study area, the most important source of air pollution is vehicles, except for the Santos city that is mainly impacted by industrial sources. The emission inventory covers on-road sources where traffic flows were derived from a massive dataset of GPS-enabled devices registered in October of 2014 in the

study area.<sup>43</sup> The traffic flows were generated for the morning rush hour and then extrapolated to 24 hours with hourly traffic counts.<sup>25</sup> The VEIN model,<sup>40</sup> which includes Brazilian emission factors from the State Environmental Company,<sup>44</sup> was used to estimate emissions with hourly resolution at the street level. We calibrated traffic activity data to ensure that automotive fuel consumption in each metropolitan area matches fuel sales.

It has been shown that emission factors based on laboratory measurements are lower than those of real-world tunnel measurements.<sup>45</sup> Therefore, we updated the Brazilian emission factors in the VEIN model to represent tunnel measurements made in the year 2018.† The tunnel measurements made in October of 2018 represent fleet-average values, and then, we calculated emission factors weighted by the 2018 respective circulating fleet, and the resulting ratio between the observed and calculated emission factor was the factor to multiply the CETESB emission factors to represent real-world measurements, as shown by Gavidia-Calderon *et al.*<sup>46</sup> and evaluated by Nogueira *et al.*<sup>45</sup> It is important to note that the four aspects make this inventory different from Ibarra-Espinosa *et al.*<sup>25</sup> Here, we extrapolated morning rush hour GPS traffic data and updated the emission factors with tunnel measurements; we did not consider vehicle flow speed in emission factors, and we included resuspension emissions from paved road circulation according to the United States Environmental Protection Agency methods.<sup>47</sup> Furthermore, VEIN emissions were speciated according to the chemical mechanism RADM2/MADE/SORGAM<sup>48</sup> by speciating the organic volatile compounds (VOCs) and then grouping species following Carter's methodology,<sup>49</sup> previously included in VEIN.§

† [https://atmoschem.github.io/vein/reference/ef\\_cetesb.html](https://atmoschem.github.io/vein/reference/ef_cetesb.html)

§ [https://atmoschem.github.io/vein/reference/emis\\_chem2.html](https://atmoschem.github.io/vein/reference/emis_chem2.html)



## 2.4. Air quality modeling and impacts on meteorology

We used the WRF-Chem model<sup>41</sup> to investigate the impacts of emissions on air quality and meteorology with direct and indirect effects of aerosol. The model configuration is shown in Table 1. The meteorological initial condition used comes from the Global Forecast System (GFS 0.5) produced by the National Centers for Environmental Prediction (NCEP), which allowed the inclusion of two domains with 9 and 3 km of grid spacing and representing mesoscale patterns, for instance, explicitly resolving shallow convection.<sup>50</sup> Our modeling area covered the metropolitan areas in Southeast Brazil. Then the outer domain did not include emissions, and the inner domain contained only vehicular emissions. In order to investigate the effects of aerosols, we performed two simulations, one activating their effects and the other without them. Then, the difference in air pollution and meteorology will reflect the direct and indirect aerosol effects.

## 3. Results

### 3.1. Pollutant concentrations and the observed atmospheric conditions

The observed meteorological parameters and air pollutant concentrations, averaged by each metropolitan area, are shown in Fig. 2, which shows the absence of precipitation over all regions during the dry period. According to the synoptic charts produced by the Center for Weather Forecast and Climate Studies (CPTEC) of the Brazilian National Institute for Space Research (INPE),<sup>63</sup> post-frontal conditions were established in the first days of the dry period in our region of interest. Consequently, low temperatures were observed during these days of analysis, with a minimum lower than 15 °C and a maximum around 25 °C. As the post-frontal condition weakens, positive trends in temperature are observed, in contrast to the atmospheric pressure. The observed

atmospheric pressure in this study did not include correction at sea level, presenting a clear altitude effect comparing the pressure at Santos, in the coastal region, with those of the other regions located approximately 750 meters above sea level. Wind speed was slightly similar for all stations during the dry period. The diurnal cycle of global solar radiation was almost constant along the period, except on the third day (October 7<sup>th</sup>) when cirrus and shallow cumulus clouds propagated from south Brazil toward the southeast without causing precipitation or oscillations in temperature.<sup>64</sup> These post-frontal atmospheric conditions, with no precipitation, weak winds, and solar radiation favored the positive trends of air pollutant concentrations. Fig. S10 and S11 in the ESI† show synoptic charts and satellite images for the area and period of study.

During the beginning of the wet period, precipitation occurred intermittently which is associated with a low-pressure system over the Atlantic Ocean adjacent to southeast Brazil.<sup>63</sup> More frequent and intense precipitation occurred from the middle to the end of the period when cloud cover is better organized and persistent over southeast Brazil.<sup>64</sup> The maximum hourly precipitation was 25 mm h<sup>-1</sup> occurring isolated in Campinas in the middle of the wet period (November 2<sup>nd</sup>) at night. The temperature presented a negative trend along this period, with the lowest value observed in São José dos Campos and the highest one in Campinas (see Fig. 1 for the spatial reference of each area). The atmospheric pressure presented a positive trend, in contrast to the temperature behavior. The wind speed oscillated above 2 m s<sup>-1</sup> during most of the wet period with the highest values (7 m s<sup>-1</sup>) in Sorocaba associated with the occurrences of unstable weather patterns. Finally, global solar radiation showed a negative trend in agreement with precipitation. The most remarkable decay occurred from the middle to the end of this period (after November 4<sup>th</sup>) in agreement with the rainiest days registered in all areas. Air pollutant concentrations were in general lower during the wet period compared to the dry one. CO showed an increase at the

Table 2 Emissions from the transport sector in Southeast Brazil in 2014 [t y<sup>-1</sup>]<sup>a</sup>

Region	Study	CO	NMHC	NO <sub>x</sub>	PM <sub>2.5</sub>		PM <sub>10</sub>	SO <sub>2</sub>
		Exhaust	Exhaust evaporative	Exhaust	Exhaust	Paved roads	Paved roads	Exhaust
MASP	This study	279 007	46 945	61 554	2266	15 961	65 971	619
	Ibarra-Espinosa <i>et al.</i> (2020)	177 406	33 999	73 554	2281			
	CETESB	162 896	34 824	54 334	1484			
Santos	This study	26 841	4796	10 426	420	2557	10 568	81
	Ibarra-Espinosa <i>et al.</i> (2020)	24 814	8138	15 724	795			
	CETESB	13 497	2628	6157	182			
Sorocaba	This study	26 399	4590	7603	299	1957	8087	63
	Ibarra-Espinosa <i>et al.</i> (2020)	24 098	7769	11 770	574			
	CETESB	20 203	4070	8832	257			
São José	This study	27 398	4796	8163	313	2079	8594	67
	Ibarra-Espinosa <i>et al.</i> (2020)	26 048	8562	11 661	533			
	CETESB	27 406	5287	27 406	271			
Campinas	This	109 589	19 200	35 049	1368	8762	36 218	279
	Ibarra-Espinosa <i>et al.</i> (2020)	98 073	30 871	98 073	2497			
	CETESB	34 890	7180	34 890	377			

<sup>a</sup> NMHC stands for non-methanic hydrocarbons.



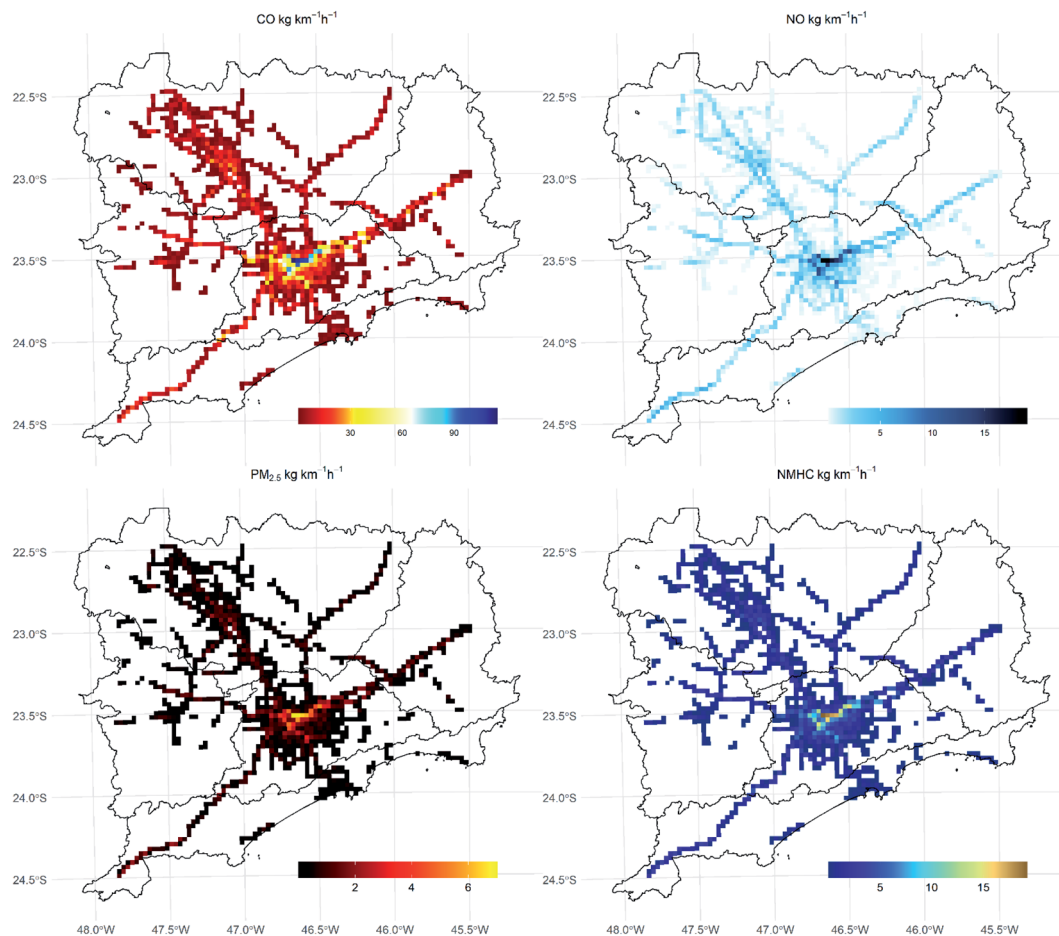


Fig. 3 Emission flux of CO, NO, PM<sub>2.5</sub> and NMHC ( $\text{kg km}^{-2} \text{h}^{-1}$ ) 08:00–09:00. These grids were generated using the numerical grids in WRF with a grid-spacing of 3 km.

end of the period due to the light duty vehicle traffic. NO<sub>x</sub> in MASP and Campinas also showed an increase during this period, but it is lower compared to that of the dry period. Finally, O<sub>3</sub> showed a negative trend for all stations during the wet period in agreement with the presence of precipitation and cloud cover. As the precipitation was not constant over time for all areas, some stations presented higher concentration values than others, but, in general, the concentrations are lower compared to the dry period. The spatial accumulated precipitation from IMERG is shown in Fig. S1, in the ESI,<sup>†</sup> for the two different analyzed periods. As observed, no precipitation occurred in the study area during the dry period of October 5<sup>th</sup> to October 10<sup>th</sup>, 2014. In contrast, during the wet period of October 31<sup>st</sup> to November 5<sup>th</sup>, 2014, intensive precipitation occurred with more than 100 mm.

### 3.2. Emission inventory

The total emissions for 2014 in each metropolitan area along with the comparison with other studies are shown in Table 2. The effect of adjusting the emission factors by real-world tunnel measurements is observed producing a significant change in total emissions in comparison with the 2020 inventory.<sup>25</sup> In effect, CO emissions are higher in all metropolitan areas, while

NO<sub>x</sub> is lower. The NMHC was higher in São Paulo, while lower in the other regions, and the other pollutants were quite similar in comparison with the former inventory. Furthermore, the comparison with the official inventory shows that our results are higher in each metropolitan area.<sup>65</sup>

The spatial representation of emissions is a key input for air quality modeling. This inventory followed the bottom-up approach, which means that the input of the vehicular activity consists of traffic flows at each street to identify a good spatial representation of the emissions. Then, we defined the numerical grids generated by WRF with the R package eixport and used exactly the same grid to grid our street emissions.<sup>66</sup> The emission gridding process was performed with a mass conservative algorithm<sup>¶</sup> which aggregates the street emissions into each grid proportionally to the length of the street. For instance, the resulting emission flux for CO, NO<sub>x</sub>, PM<sub>2.5</sub>, and NMHC ( $\text{kg km}^{-2} \text{h}^{-1}$ ) pollutant groups is shown in Fig. 3. Emission fluxes are higher towards the city center in each metropolitan area. Furthermore, the NMHC emissions were speciated and then grouped to the RADM2 mechanism by applying the methodology of William Carter (Carter, 2015) in VEIN.

¶ [https://atmoschem.github.io/vein/reference/emis\\_grid.html](https://atmoschem.github.io/vein/reference/emis_grid.html)



### 3.3. Air quality and meteorological modeling

The simulation variables precipitation (mm), 2 m relative humidity (%), wind speed at 10 m ( $\text{m s}^{-1}$ ), 2 meter temperature ( $^{\circ}\text{C}$ ), CO (ppm),  $\text{O}_3$  ( $\mu\text{g m}^{-3}$ ),  $\text{PM}_{10}$  ( $\mu\text{g m}^{-3}$ ),  $\text{PM}_{2.5}$  ( $\mu\text{g m}^{-3}$ ), NO ( $\mu\text{g m}^{-3}$ ), and  $\text{NO}_2$  ( $\mu\text{g m}^{-3}$ ) are shown in Fig. 4. The model evaluation is separated by dry and wet periods and includes the metropolitan areas of Campinas (CAMP), São Paulo (MASP), Santos (SAN), São José Dos Campos (SJDC), and Sorocaba (SOR). The surface observations are shown in red for the precipitation data (from INMET) and for the other variables (from CETESB) and in green and blue for the WRF-Chem simulations with and without aerosol feedbacks, respectively. The results in each metropolitan area include the average of all stations including

the standard deviation as semi-transparent. The results show that the simulations are in agreement with observations in most cases as shown in Fig. 3. Furthermore, the correlations between observations and simulations were statistically highly significant ( $p\text{-value} < 0.001$ ). For instance, the mean correlation for 2 m temperature, 2 meter relative humidity, wind speed, and precipitation was 0.92, 0.85, 0.75, and 0.49 for the dry period and 0.80, 0.79, 0.61, and 0.42 for the wet period, respectively. The indices of correlation, mean bias, and root mean square error are available in ESI Fig. S2 to S9† for each region and station. The overall correlation for the pollutants CO, NO,  $\text{NO}_2$ ,  $\text{O}_3$ ,  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  was 0.63, 0.56, 0.63, 0.53, 0.37, and 0.46 for the dry period, while for the wet period it was 0.35, 0.55, 0.57,

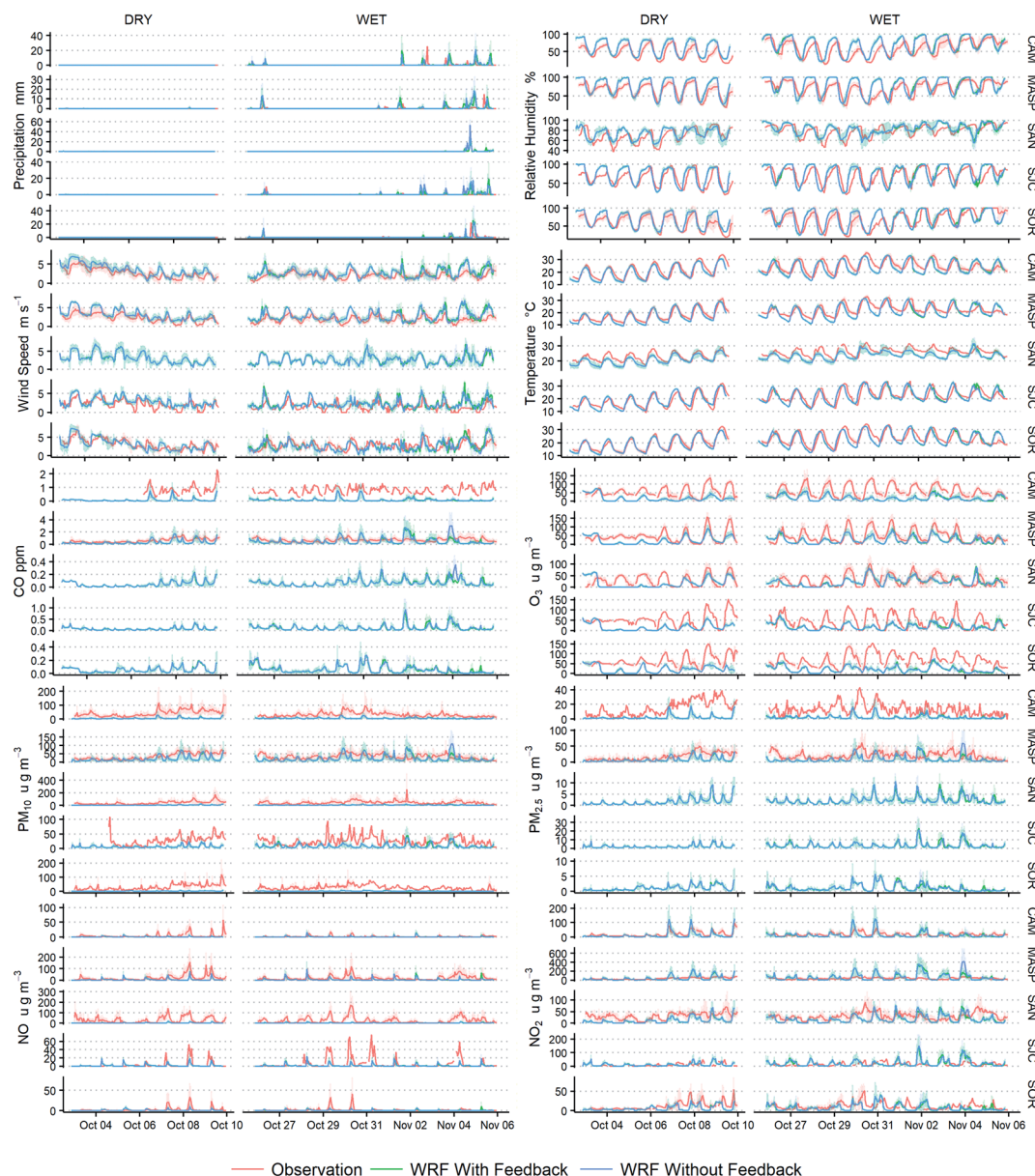


Fig. 4 Observations and WRF simulations with and without radiative feedbacks for precipitation ( $\text{mm h}^{-1}$ ), relative humidity (%), wind speed at 10 m ( $\text{m s}^{-1}$ ), and 2 m temperature ( $^{\circ}\text{C}$ ). Source data: INMET for precipitation and CETESB for the other variables. A two day spin-up time was considered for each period.





0.58, 0.36, and 0.37, respectively. Therefore, in general there is agreement between observations and simulations.

**3.3.1. Analyses of meteorological variables.** Temperature is the variable with the strongest correlation (above 0.8) in all regions but Santos, located at the coast. In fact, the correlation in Santos was 0.74 during the dry period and dropped to 0.49 during the wet period, reflecting the limitation of the WRF model in representing microphysical processes in that region, probably due to its complex topography. The relative humidity reached a better agreement in Sorocaba with a strong correlation of 0.89 and 0.87 during dry and wet periods, respectively. Many stations located in MASP presented temperature correlations around 0.9 during the dry period, which dropped to 0.8 and 0.85 during the wet period. However, there is a pattern of underestimating nocturnal temperature in MASP, which may be related to the representation of the urban heat island effect.<sup>33</sup> The wind speed in MASP had an overall correlation of 0.73 during the dry period and 0.76 during the wet period. The analyses for the MASP area revealed that strong correlations were obtained in the stations of Interlagos, Capão Redondo, Marginal Tietê-Ponte dos Remedios, Santana, and Pinheiros, with values of 0.85, 0.81, 0.84, 0.80, and 0.73, respectively, during the dry period and strong-moderate correlations of 0.69, 0.54, 0.60, 0.45, and 0.51, respectively, were obtained during the wet period, as shown in Fig. S6.† The lowest correlation was found in the Moóca station with the value of 0.51 during the dry period and 0.50 during the wet one. In addition, as seen in Fig. 4, there is a clear pattern of wind speed overestimation in MASP and CAMP, which can disperse the pollutants and force simulated concentrations to be lower than observed ones. In fact, most stations have a positive bias, as shown in Fig. S7.† Finally, precipitation in Sorocaba had a correlation of 0.56 and the precipitation in MASP had a worse correlation of 0.42, during the wet period. Precipitation reached its peak on November 04<sup>th</sup> which was captured by the model producing regional averages of up to 53 mm in Santos and in all regions, precipitation is slightly overestimated.

**3.3.2. Analyses of air pollutants.** The comparison between observation and simulation for air pollutants showed a mixed set of results. In general, the magnitude of simulations is below observations, and the representation of air pollutants was better obtained during the dry period. CO is a strong tracer for vehicular activity; the general correlation for this pollutant was 0.63 for the dry period and 0.35 for the wet period. CO in the MASP region is better represented than that in the CAMP area, as we can see in Fig. 4 and Fig. S2 and S6,† with correlations of 0.7 and 0.56 for the dry period in those regions, respectively. Correlation coefficients in MASP were above 0.7 with the highest performance for Santo Amaro, Cid. Universitária-USP-IPEN, Ibirapuera, and Pinheiros with 0.82, 0.74, 0.72, and 0.72, respectively. O<sub>3</sub> had the highest correlation in MASP with an average of 0.71 for the dry period and 0.69 for the wet one, and on the stations Parque D. Pedro II, Pinheiros (nearest to roadside), Diadema, and Moóca the correlations were 0.78, 0.78, 0.77, and 0.76 for the dry period while 0.68, 0.81, 0.75, and 0.72 for the wet one. These results show how well the vehicular

emissions are characterized in MASP, resulting in relatively good CO and O<sub>3</sub> simulated concentrations. Interestingly, with O<sub>3</sub> being the only pollutant for which the correlation increased during the wet period in some stations, as shown in Fig. S6† the mean bias is in general negative for most of the stations, as shown in Fig. S4 and S8.† NO<sub>2</sub> had higher performance than NO for the dry period, with the overall correlation of 0.63 for NO<sub>2</sub> and 0.56 for NO, while for the wet period, NO<sub>2</sub> had 0.57 and NO 0.55, being slightly higher. Among the regions, the highest correlations were obtained in SJDC, SOR, and MASP. Regarding specific stations, the Paulínia-Sul station located in CAMP had correlations of 0.96 for NO and 0.98 for NO<sub>2</sub> during the wet period. In the MASP region, the stations with the highest performance were Cerqueira César, Parque D. Pedro II, Capão Redondo, and Interlagos with 0.73, 0.56, 0.80, and 0.73 for NO and 0.73, 0.56, 0.8, and 0.73 for NO<sub>2</sub>, respectively. The mean biases presented different results. On average, NO was over-predicted while NO<sub>2</sub> was strongly underpredicted, as shown in Fig. S4 and S8.† Regarding the particulate matter, both PM<sub>10</sub> and PM<sub>2.5</sub> had a reasonable performance. PM<sub>10</sub> was better represented in MASP with the stations Santana, Pinheiros, Cerqueira César, and Marg. Tietê-Pte. Remédios reaching correlations of 0.73, 0.68, 0.64, and 0.66 for the dry period and 0.71, 0.68, 0.50, and 0.49 for the wet period. In the case of PM<sub>2.5</sub>, the performances in the stations Cid. Universitária-USP-IPEN, Pinheiros, and Marg. Tietê-Pte Remédios were 0.79, 0.68, and 0.67 for the dry period, while for the wet one, the corresponding values were 0.54, 0.50, and 0.43. The mean biases show negative values for PM<sub>2.5</sub> and PM<sub>10</sub>, as shown in Fig. S4 and S8.† In summary, better performance was achieved for the MASP area, especially for O<sub>3</sub>, NO, NO<sub>2</sub> and CO.

**3.3.3. Analyses with effects of aerosol feedbacks.** The time-series analyses show that the effects from the aerosol feedbacks are more evident during the wet period than during the dry period, specifically on precipitation as shown in Fig. 4. Indeed, for the wet period the average precipitation with aerosol effects was 0.73 mm and without 0.75 mm, nevertheless, there is high spatial variability. For instance, at the station Mirante de Santana, incorporating the aerosol effect increased the precipitation by 16.64%, but in Sorocaba, it resulted in 7.11% less rain. However, after analyzing all the simulated variables, we found non-significant differences at surface observation sites after applying Wilcoxon's paired test to the observations sites.<sup>67,68</sup> Nevertheless, when we subset the data between 12:00 local time of November 04<sup>th</sup> and 05<sup>th</sup>, which were the days with more precipitation, there was a significant (*p* value < 0.05) aerosol effect on increasing wind speed on Limeira (CAMP region) from 3.45 ms<sup>-1</sup> to 4.06 ms<sup>-1</sup> and vertical wind from -0.001 ms<sup>-1</sup> to -0.007 ms<sup>-1</sup> on Diadema, and 0.0003 ms<sup>-1</sup> to -0.005 ms<sup>-1</sup> in São Bernardo do Campo (south of the MASP area). In Santos, the 2 m relative humidity decreased 1.5% and in Sorocaba O<sub>3</sub> decreased 29.36%, from 12.64 µg m<sup>-3</sup> to 9.68 µg m<sup>-3</sup>. In summary, despite providing valuable insights about the effects of direct and indirect effects of aerosols on meteorology and air pollution, the overall effect of aerosols is not significant at observation sites during the periods of study.

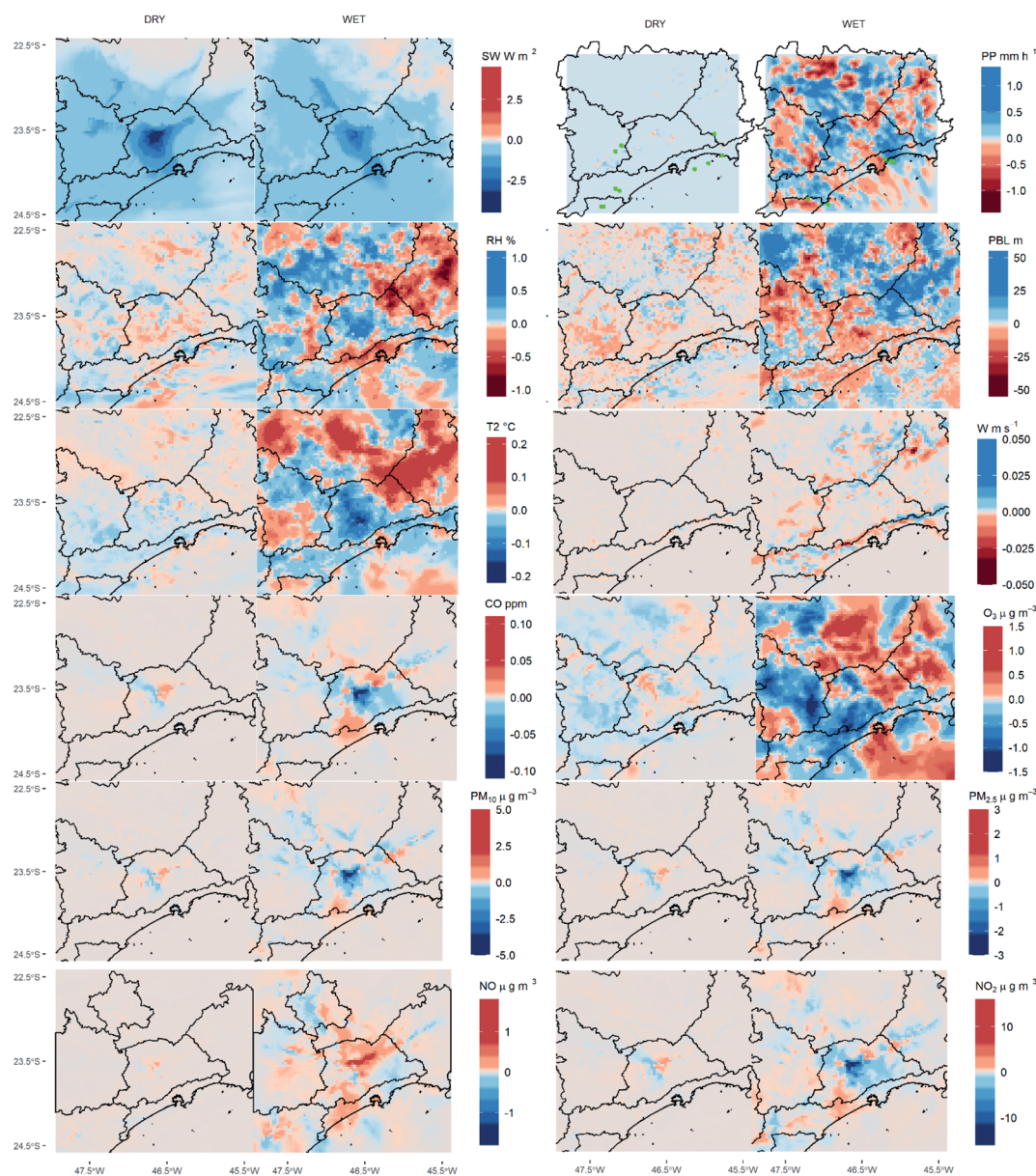




As mentioned before, there is high spatial variability in the results. Fig. 5 reinforces the analysis of the impact of aerosol on meteorological and air pollutant variables, through the environmental variables with the difference of the values after activating the aerosol effect for each study period, including downward shortwave flux at the ground surface (SW), precipitation (PP), relative humidity (RH), planetary boundary layer (PBL), air temperature (T2), and vertical velocity (W). We included polygons denoting areas where the difference was significant applying Wilcoxon's test.<sup>67,68</sup>

The downward solar radiation is more impacted by aerosols during the dry period than the wet ones with a mean difference

of  $-0.45 \text{ W m}^{-2}$  up to  $-4.4 \text{ W m}^{-2}$ . This reduction represents a mean of 0.12% up to 1.27% less solar radiation at the surface. As the main source of particulate matter is resuspension, followed by the exhaust of diesel vehicles, the direct effect of aerosols on radiation follows the spatial distribution, with a stronger effect at the center of each urban area. When we consider the hourly variation at each pixel, the average is  $-0.44 \text{ W m}^{-2}$  up to  $-24 \text{ W m}^{-2}$  at the MASP center, representing 0.2% up to 12.5% less solar radiation during the dry period. The results for the wet period show a smaller effect of aerosols, on average  $-0.42 \text{ W m}^{-2}$ , representing a reduction of only 0.12%. An increase of solar radiation was simulated over



**Fig. 5** Differences of the environmental variables after activating the aerosol effect during the dry and wet periods. The SW, PP, RH, PBL, T2, and W are the downward shortwave fluxes at the ground surface, precipitation, relative humidity, planetary boundary layer, air temperature, and vertical velocity, respectively. A two-day spin-up time was considered for each period.



the northeast part of the domain in response to the distancing from the emission sources inserted as input into the model. During the dry period, all the variables presented small differences but in the case of precipitation, some areas had significant differences. The precipitation presented an increase over MASP, CAMP, and SOR, and also presented a marked decrease over the north of CAMP. Furthermore, there are three small rectangles where the change in precipitation was significant. The average difference in precipitation shows an average increment of 10.73%, shown with green color.

The relative humidity shows a similar pattern of precipitation in most parts of the domain, however, during the dry period it's clear that MASP is less humid, while on the wet days, there is more humidity in MASP and CAMP, and less humidity in SJDC and SAN. However, the magnitude of these changes was only around 1% for the wet period and less than 1% for the dry period. The height of the planetary boundary layer was lower in all areas during the dry period in general, while during the wet period, the height increased up to 50 m in SJDC and decreased also up to 50 m on a location center-south of MASP. On average, the PBL decreased 0.3 m with a minimum of  $-16.15$  m and a maximum of  $13.83$  m during the dry period. However, during the wet period, the average was  $2.44$  m with a minimum of

$-41.88$  m in the west of MASP and an increase of  $54$  m between the east of MASP and SJDC, representing an increment of  $4.9\%$ . The  $2$  m temperature shows a pattern of lower temperature on the west side of the domain and higher temperatures on the east side with the same pattern but stronger during the wet period, with a marked decrease in MASP and an increase in SJDC. During the dry period the change in temperature was negligible, and during the wet period an increase of  $0.01$  °C, with a minimum of  $-0.19$  °C and a maximum of  $0.21$  °C was observed. The vertical component of wind,  $W$ , was more affected during the wet period, with an average percentage change of  $-10.66\%$ .

Some changes in meteorological variables resulted in important changes in air pollutant concentrations, more evident during the wet days. The changes over the dry days are primarily due to changes in radiation and the changes during the wet days are due to changes in PBL triggered by the indirect effect of aerosols on clouds. During the dry days, CO only changed in the MASP center, with a slight increase by  $3\%$  in the center-to-east and a decrease by  $3\%$  in the east, approximately. During the wet period, CO decreased strongly over the urban centers ( $0.1$  ppm) and increased in the south and north of MASP (also  $0.1$  ppm) by around  $10\%$  and  $20\%$ , respectively. The  $O_3$

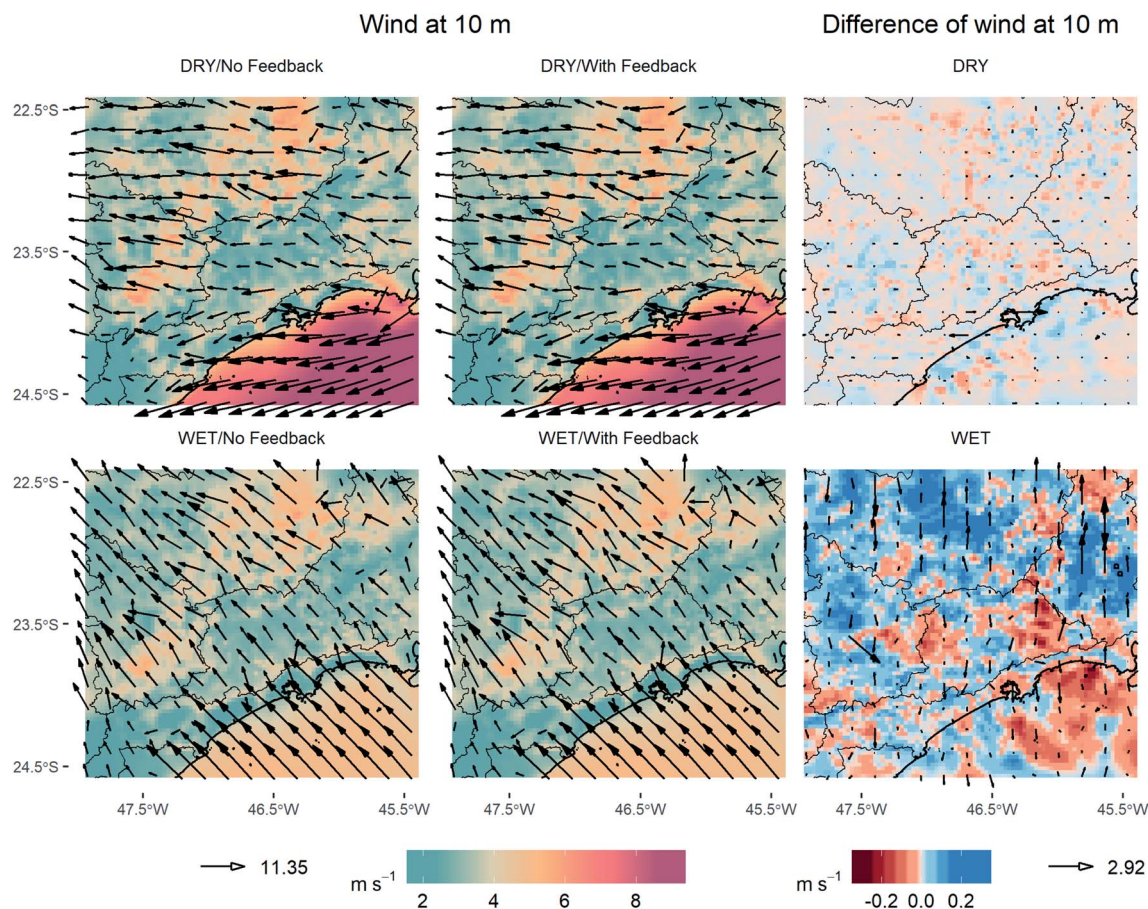


Fig. 6 Wind speed ( $\text{m s}^{-1}$ ) and wind direction (degrees) averages over dry (top) and wet (bottom) periods associated with the simulations without (left) and with (middle) aerosol effects as well the differences among them (right).





concentration decreased in the northwest and west parts of the domain during the dry period, while for wet ones there was a pattern of less  $O_3$  in the west part of the domain and higher concentrations of  $O_3$  in the northeast with changes up to  $1.5 \mu\text{g m}^{-3}$ . A stronger reduction of  $O_3$  of about 1.5% during the dry period occurs in the north of the domain while over the center of MASP, reductions of less than 1% and increments surrounding the center of about 1% were observed. During the wet period, the pattern of less  $O_3$  persists with an average reduction of  $0.017 \mu\text{g m}^{-3}$  and  $1.5 \mu\text{g m}^{-3}$  in Sorocaba and in the southwest of MASP. However, there were some increments in the northern part of the domain where the  $O_3$  concentrations were very small, which led to more than 10% of increment in that area.  $PM_{10}$  and  $PM_{2.5}$  presented a similar pattern with lower concentrations over downtown in each region, with more strong magnitudes up to  $-5 \mu\text{g m}^{-3}$  for  $PM_{10}$  and  $3 \mu\text{g m}^{-3}$  for  $PM_{2.5}$ . However, there are local increments of particulate matter. During the dry period,  $PM_{10}$  presented a modest increase over the center-eastern part of MASP of about  $1 \mu\text{g m}^{-3}$  and less  $PM_{10}$  in other areas. As a result, the average percentage change was a reduction of 0.04% with a strong reduction of 20% on the coastline in the SAN region. During the wet period, the average reduction was  $0.03 \mu\text{g m}^{-3}$  and about  $5 \mu\text{g m}^{-3}$  over MASP downtown. In addition, the average percentage change was 1.14% over the Atlantic Ocean and also over other two locations, one in the western region between MASP and SAN and another in the northern part of the domain. The  $PM_{2.5}$  values followed the same pattern as  $PM_{10}$  for both periods. The changes of NO and  $NO_2$  were clearly simulated during the wet days, with higher NO concentrations over MASP, followed by urban motorways Marginal-Tiete and Ayrtton-Senna with increases up to  $1 \mu\text{g m}^{-3}$  while  $NO_2$  decreased substantially (by more than  $10 \mu\text{g m}^{-3}$ ) at MASP downtown.  $NO_2$  during the dry period increased in general over the domain, and stronger reductions with more than 10% were found over the coastline in SAN. During the wet period, there was also an average reduction of  $0.1 \mu\text{g m}^{-3}$ . Regarding the percentage change, there were reductions of about 15% over downtown regions of MASP and SJDC and also over the eastern part of SAN, while stronger increments of about 25% were found over the Atlantic Ocean and the northern part of MASP. Finally, NO presented a strong increment during both periods. During the dry period, there was a modest increment in the MASP center, of more than  $0.1 \mu\text{g m}^{-3}$ , which represents on average 2.21% more NO.

The average wind speed and direction are shown in Fig. 6 for dry (top) and wet (bottom) periods, including also the difference with and without aerosol feedbacks. During the dry days, dominant wind flowed from the east quadrant over the analyzed regions while for the wet ones, the predominant direction is from the southeast. The wind speed over the ocean was above  $8 \text{ m s}^{-1}$  during the dry period and about  $5 \text{ m s}^{-1}$  during the wet period, while over the continent, winds are slower, with values of about  $2\text{--}5 \text{ m s}^{-1}$ . It is possible to see that during the wet period the wind follows the coastline, in the division between MASP and SAN which represents a sea-breeze region. The effect of aerosols is weaker during the dry period, while during the wet ones, stronger differences are found in the north part of the

domain, over SOR and also, over the southwest part. Furthermore, over the wet period, the band of higher speed between MASP and SOR is located slightly in the northeast part of the domain.

## 4. Discussion

Two WRF-Chem simulations were performed to study the aerosol feedback effects on meteorology and air quality over southeastern Brazil. To that end, study periods with (wet) and without (dry) rain between October and November of 2014 were selected for the model simulations. Even though the particulate matter is underrepresented due to missing bottom-up emission estimates from other sources (construction sites, fires, etc.), the effect of aerosols on radiation was noticed, being stronger during the dry period, as expected. In this sense, a mean reduction of  $0.44 \text{ W m}^{-2}$  occurred during the dry period. For other more polluted regions and including all aerosol sources, a stronger reduction, as in Forkel *et al.* (2015), of  $-1 \text{ W m}^{-2}$  during boreal summer over Europe or  $-10 \text{ W m}^{-2}$  in India can be found.<sup>69</sup> In addition, solar radiation reduction over urban centers was up to 1.27%, while Zhang *et al.*<sup>70</sup> found reductions of 4.1–5.6% in Texas, USA, a region that exceeds the national  $O_3$  air quality standards, similar to the cities in our study area. The simulation including the aerosol feedbacks showed that the precipitation presented an average enhancement of 10.73%, which is in line with other studies such as the study conducted by Guo *et al.* (2014) where an increment of 17% was found over China. In the case of PBL, Forkel *et al.* (2015) found a reduction of 5–8 m over Europe while in our study we found a decrease of  $-0.3 \text{ m}$  with a minimum of  $-16.15 \text{ m}$  and a maximum of  $13.83 \text{ m}$ . 2 m temperature presented variations between  $-0.19$  and  $0.21 \text{ }^\circ\text{C}$  during the wet period while Zhang *et al.* (2010) found variations between  $-1.3$  and  $1.4 \text{ }^\circ\text{C}$  over Texas, USA. Wind speed presented stronger variations due to aerosol effects during the wet period, with magnitudes up to  $3 \text{ m s}^{-1}$ , with increments in most urban centers with the exception of MASP. In Quito, Ecuador, Parra<sup>71</sup> found that including aerosol effects decreased solar radiation, increased surface temperature, enhanced PBL and decreased  $O_3$  concentrations. In a study made in the Iberian Peninsula centered in Portugal, Silveira *et al.*<sup>72</sup> found that aerosols decrease solar radiation between  $20 \text{ W m}^{-2}$  and  $40 \text{ W m}^{-2}$ , which resulted in about  $-0.5 \text{ }^\circ\text{C}$ . Finally, a study which investigated the effects of the Eyjafjallajökull volcano in south Iceland found that aerosols decrease the temperature between 1 and 2 because of less solar radiation in Europe.<sup>73</sup> In general, we can see that our results align with the literature. However, further research is needed to evaluate the effects of aerosols including a comprehensive emission inventory for Brazil. The lack of comprehensive local emission inventories is seen in many countries in Latin America and Africa. Therefore, more efforts are needed to fill these gaps to improve the understanding of atmospheric chemistry.

Air pollutant concentrations simulated with WRF-Chem align with observations in general. However, biases shown in the supplementary material indicate that the emissions need to be adjusted to further improve agreement observations. Although





the main source of pollution in this region is vehicles, the inclusion of other sources is needed to better characterize air pollution. For instance, it has been shown that local restaurants may be responsible for a great part of PM emissions.<sup>74</sup> Currently, there is ongoing work to develop a comprehensive and multi-year inventory for Brazil, being done by the authors.

Air pollutant concentrations are directly and indirectly affected by the effects of aerosols. In our study, stronger variations were found during the wet period. NO<sub>2</sub> was underpredicted in most regions, with the exception of MASP, while NO is under-represented in all regions. However, our results are consistent with Forkel *et al.* (2015), who found reductions of CO, NO<sub>2</sub>, O<sub>3</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> in the west part of urban centers. In addition, Vara-Vela *et al.* (2016) found a 2% reduction of O<sub>3</sub> associated with the inclusion of the aerosol radiation feedback effect while we found a 1.5% reduction. NO<sub>2</sub> presented a reduction, similar to the results found by Parra.<sup>71</sup> NO was the only pollutant with a considerable increment over urban centers during both periods.

During the wet days, there was a dipole-type spatial pattern with less precipitation and humidity and more O<sub>3</sub> over SJDC while more precipitation and humidity and less CO, NO<sub>2</sub>, PM<sub>2.5</sub>, and PM<sub>10</sub> over MASP downtown were observed. This spatial pattern was modulated by the precipitation organization during this period, but more studies are needed to confirm if this pattern persists. It is important to highlight that this study aims at investigating the impact of vehicular emissions on air quality and the feedback effect of aerosols, but obviously, the next step would be to include all possible missing sources with a bottom-up estimation to account for spatial variability. We found no significant differences with the inclusion of aerosol feedback effects with the exception of enhanced precipitation over some locations in our study area during the wet period. We did find significant differences in precipitation, wind speed and direction, and in concentrations of CO and O<sub>3</sub>.

## 5. Conclusions

It has been shown that urbanization and air pollutant concentrations play a key role in daily precipitation extremes over wet periods in MASP.<sup>75</sup> The updated urban vehicular emission inventory allowed a numerical representation of air pollutants which aligned with observations. It was found that the aerosols decreased solar radiation, temperature and O<sub>3</sub> concentrations, as documented in previous studies. However, the aerosol effect was stronger during the wet period associated with the enhanced PBL. Specifically, after selecting the rainiest days, we did find a significant effect of aerosols, which means that more research is needed to cover a longer time span. Severe weather and mesoscale convective systems are atmospheric mechanisms responsible for a large part of precipitation over Southeast Brazil.<sup>76–78</sup> In addition, the interactions of mesoscale convective systems, precipitation and air pollution need to be better understood, especially in urban areas.

## Conflicts of interest

None.

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