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# A theoretical investigation of uranyl covalency via symmetry-preserving excited state structures†

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Time dependent density functional theory (TDDFT) calculations have been performed on a series of symmetry-preserving excited states of the uranyl dication,  $\text{UO}_2^{2+}$ . The simulated excited state electronic structures are compared to that of the ground state at both ground and excited state-optimised geometries. For the first time, the Quantum Theory of Atoms in Molecules (QTAIM) has been applied to the excited states electronic structures of uranyl in order to quantify the variation in bond covalency upon electronic excitation. QTAIM analysis of vertical excitations at the ground state geometry demonstrated an inverse relationship between the orbital mixing coefficient,  $\lambda$ , and the excitation energy. Furthermore, it was found that, for MOs with U 5f character,  $\lambda$  was more dependent on the metal–ligand Hamiltonian matrix element  $H_{\text{ML}}$ , whereas for those with U 6d character,  $\lambda$  became increasingly dependent on the difference in fragment orbital energy levels,  $\Delta E_{\text{ML}}$ . Charge transfer from O to U reduced as the excitation energy increased, as did the degree of electron sharing between the centres. When considering the relaxed excited state geometries, a relationship between excitation energy and bond elongation was established, commensurate with the large magnitude of  $\lambda$  and its dependence on  $H_{\text{ML}}$  for MOs with U 5f character, and enhanced charge transfer otherwise.

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## 1. Introduction

While covalency is well-established for the majority of the periodic table, understanding bonding interactions for actinide complexes is a continuously evolving area of research. Rationalising covalency in actinide complexes remains a challenging experimental and computational problem and deeper understanding is of great importance.<sup>1–3</sup> From a fundamental perspective, understanding the bonding interactions within actinide complexes aids in the assessment of the viability of novel synthetic complexes,<sup>4,5</sup> while in a practical application, variation in the bonding character is of particular importance for spent fuel reprocessing in the nuclear power industry. Indeed, selective complexation exploits the variation in the bonding character of complexes and is used in the chemical separation of the chemically similar trivalent actinides and lanthanides.<sup>6,7</sup>

Covalency, as defined by Heitler and London,<sup>8</sup> is fundamental to our understanding of chemical bonding. In transition metals, metal–ligand orbital mixing and overlap as descriptors

of covalent character is well established; however, the prevalence of covalent bonding in actinides is heavily debated.<sup>9–11</sup> Therefore, understanding the role of the 5f and 6d orbitals in covalent bonding of actinides remains an important goal in fundamental actinide chemistry.<sup>12,13</sup> While the concept of covalency is well-established, there is no formal physical definition. At the theoretical ionic limit there is no mixing among the valence orbitals, deviations from this idealised picture can be considered through perturbation theory. The mixing between a metal ( $\phi_{\text{M}}$ ) and ligand ( $\phi_{\text{L}}$ ) orbital, with energies  $E_{\text{M}}$  and  $E_{\text{L}}$ , in a molecular orbital  $\varphi$  can be expressed as:

$$\varphi(\mathbf{r}) = \phi_{\text{M}}(\mathbf{r}) + \lambda\phi_{\text{L}}(\mathbf{r}) \quad (1)$$

where the mixing coefficient  $\lambda$  is given to first order by:<sup>14</sup>

$$\lambda = \frac{H_{\text{ML}}}{\Delta E_{\text{ML}}} \quad (2)$$

Here  $H_{\text{ML}}$  is the Hamiltonian matrix element between the two orbitals and is approximately proportional to the overlap ( $S_{\text{ML}}$ ).<sup>14</sup> Since  $S_{\text{ML}}$  is correlated to the bond length, it follows that the same is true for  $H_{\text{ML}}$ .

In uranyl,  $H_{\text{ML}}$  can be taken as a measure of the strength of interactions between the uranium 5f/6d and oxygen 2p orbitals, whereas,  $E_{\text{ML}}$  is the energy difference between the metal and ligand orbitals. The mixing coefficient ( $\lambda$ ) is a measure of the covalent character of the bond. Values of  $\lambda$  are, in general,

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nonzero and maximum covalency is achieved when  $\lambda = 1$ , *e.g.* in a homonuclear diatomic at its equilibrium geometry.

Eqn (2) indicates that covalency can be driven by two factors:<sup>15</sup>

- Large values of  $H_{ML}$ , corresponding to overlap-driven covalency.
- Small values of  $\Delta E_{ML}$ , corresponding to (energy) degeneracy-driven covalency.

The inherent properties of actinides makes characterising the bonding interactions, and therefore the origins of covalency, challenging for both experimentalists and theorists. Actinide complexes exhibit strong relativistic effects, weak crystal fields and strongly correlated valence electronic structures.<sup>16,17</sup> These strongly-correlated systems result in ambiguous orbital-based descriptions of the electronic structure and as such, analysis using orbital-based methods should be contextualised with other approaches.<sup>18–23</sup> Analytical methods based on the experimentally observable electron density provide a robust and unambiguous alternative. In this contribution, the Quantum Theory of Atoms in Molecules (QTAIM), developed by Bader,<sup>24</sup> will be utilised. QTAIM divides a molecule up into a contiguous set of space-filling atomic basins. This method provides insight into the bonding interactions *via* both topological and integrated properties of the electron density, which can be used to build up a picture of the covalency in a molecule. We direct the reader to a recent article which gives a detailed overview of this analysis.<sup>15</sup>

QTAIM has been successfully used to characterise the bonding interactions in many f-element complexes.<sup>21,23,25–34</sup> One of the earliest applications of QTAIM analysis in f-element chemistry was conducted by Kaltsoyannis and co-workers.<sup>25,26</sup> In these contributions, the covalency in  $AnCp_4$  and  $AnCp_3$  complexes ( $An = Th-Cm$ ) were studied. The densities were generated using density functional theory (DFT) and the covalency was assigned using the magnitude of the topological QTAIM metric,  $\rho_{BCP}$ . This metric indicated that, in these organometallic complexes, the interaction between the actinide and coordinating carbon was mainly ionic in character and this ionic character increased with atomic number. This trend was also established by our own group<sup>32</sup> in studies of  $AnCOT_2$  complexes ( $COT = \eta^5-C_8H_8$ ) where, in contrast to the previous contributions, the complete-active-space self-consistent-field (CASSCF) method was utilised to generate the simulated density. We also analysed the degree of electron sharing between the actinide and coordinating carbon species *via* the delocalisation index, an integrated QTAIM metric. Both integrated and topological metrics gave the same broad trend.

Of more direct relevance to the present study, QTAIM has also been utilised to study the covalency of uranyl and its complexes. Vallet *et al.*<sup>23</sup> found that free uranyl ( $UO_2^{2+}$ ) has strongly covalent interactions between the uranium and oxygen as indicated by the large  $\rho_{BCP}$  and DI metrics. They also concluded that there is a significant decrease in the magnitude of these metrics ( $\rho_{BCP}$  and DI) after ligation to the equatorial plane, indicating a decrease in covalency. Comparable bonding characteristics were also established by our group<sup>4</sup> using similar techniques. In this contribution, we investigate covalency in

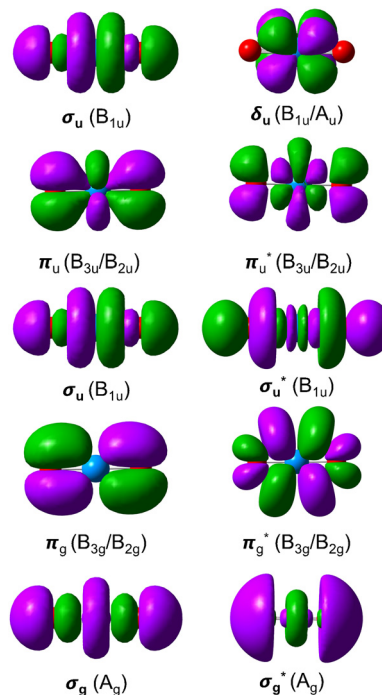


Fig. 1 MOs involved in the excitations considered in this study. Irreps are those of the  $d_{2h}$  point group. For degenerate MOs, only excitations between those of the same irrep were considered to ensure  $A_g$  symmetry was maintained in the electronic structure.

uranyl *via* the exploration of excitations which maintain symmetry of the electronic wavefunction, considering both ground and electronically excited state geometries. These excitations were chosen specifically to ensure that linearity of the uranyl unit is maintained since excitations are largely characterised as being from bonding to anti-bonding MOs of the same irreducible representation (Fig. 1), giving relatively simple excited state electronic structures. Throughout this work, singlet excitations comprised of transitions from bonding to antibonding orbitals of the same character (*e.g.*  $\sigma_u \rightarrow \sigma_u^*$ ) will be investigated, along with the optically accessible triplet excitation ( $\sigma_u \rightarrow \delta_u$ ), which is allowed *via* spin-orbit coupling.<sup>35–39</sup>

The excitation energy, as well as the energy difference between a bonding ( $\varphi$ ) and antibonding ( $\varphi^*$ ) MO of the same irrep can be linked with the terms in eqn (2). These energy differences would be expected to be large when either charge transfer character is large (implying a small value of  $\lambda$ ) and/or  $H_{ML}$  is large (which implies a large value  $S_{ML}$  but doesn't fully characterise  $\lambda$ ).

## 2. Computational details

Initially, simulations employed version 7.3 of the TURBOMOLE code<sup>40</sup> to explore the excitations of  $A_g$  symmetry within the  $d_{2h}$  point group. Once excitations of interest were identified, Gaussian09 (Revision E.01)<sup>41</sup> was employed and, unless explicitly stated, all presented results are derived using Gaussian. All simulations were performed at the hybrid Density Functional



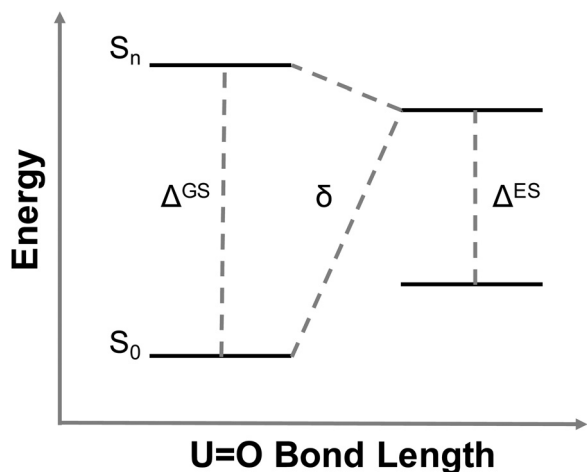


Fig. 2 Representation of comparative quantities considered in this contribution, along with nomenclature used to represent them.  $\Delta^{\text{GS}}$  compares ground and excited state properties at the ground state geometry,  $\Delta^{\text{ES}}$  compares the same at the excited state geometry, and  $\delta$  compares ground and excited state properties at their corresponding equilibrium geometries.

Theory (DFT) level, employing the B3LYP exchange-correlation functional.<sup>42,43</sup> The aug-cc-pVDZ basis set<sup>44,45</sup> was employed for oxygen along with Stuttgart RSC 1997 basis set and associated small core effective core potential for uranium.<sup>46–48</sup> Geometry optimisations were performed for both ground and electronic excited state geometries and structures characterised as minima by vibrational frequency analysis. Electronic excitations were calculated at both the ground and excited state geometries employing the Tamm–Dancoff Approximation (TDA)<sup>49</sup> to Time Dependent-DFT.<sup>50,51</sup> Version 19.02.13 of AIMAll<sup>52</sup> and version 3.6 of Multiwfn<sup>53</sup> were used in the density-based analysis of the simulated electronic structures. The latter was also employed in the generations of density difference data.

Throughout this contribution, variations in different properties (denoted  $\delta(A)$ ) are evaluated by subtracting the ground state property from the excited state property. A schematic for the specific differences considered is shown in Fig. 2.

### 3. Results and discussion

#### 3.1 Electronic properties at the ground state geometry

Geometry optimisation of  $\text{UO}_2^{2+}$  produced a U–O bond length of 1.70 Å, in excellent agreement with previously reported values (1.68–1.72 Å).<sup>54–56</sup> Electronic excitations in which the dominant orbital transitions were between MOs of the same irrep and same bonding character were identified and are summarised in Table 1. The  $\pi \rightarrow \pi^*$  excitations are comprised of two symmetry-equivalent transitions. The character of each excited state was assigned through a single dominant transition (>75%), with the exception of  $\sigma_u \rightarrow \sigma_u^*$  which, while the most dominant character, also presented significant (33%)  $\pi_g \rightarrow \pi_g^*$  character.

Excitations involving transitions between MOs of u (ungerade) symmetry, possessing U 5f character, are shown to occur at lower

Table 1 Characterisation of electronic excitations at the ground state geometry of uranyl

Excitation	$\Delta^{\text{GS}}E$ (eV)	Contribution (%)
$\pi_u \rightarrow \pi_u^*$	7.38	88
$\sigma_u \rightarrow \sigma_u^*$	12.75	44
$\pi_g \rightarrow \pi_g^*$	13.41	78
$\sigma_g \rightarrow \sigma_g^*$	16.65	98

energies than those of g (gerade) symmetry, possessing U 6d character.

It then follows that transitions involving MOs with U 5f character maintain greater covalent character, as measured by  $\lambda$ , and/or smaller values  $H_{\text{ML}}$  when compared to those involving MOs with 6d character. Clark and co-workers<sup>12</sup> investigated the covalency of actinide chlorides and concluded that the 6d-orbitals were available for orbital mixing to a larger extent than the 5f-orbitals. They also stated that this observation is consistent with other accounts,<sup>57–59</sup> which all suggest that 5f-orbitals have a small  $H_{\text{ML}}$  and hence limited participation in covalent bonding.

In order to further rationalise the difference in U 5f/6d bond character, integrated properties of the electron density were evaluated within the Quantum Theory of Atoms in Molecules (QTAIM) framework. Specifically, the atomic charge ( $q$ ), as well as the localisation (LI) and delocalisation (DI) indices were considered (Table 2).<sup>4,18,32</sup> While the atomic charge is a one-electron property obtained *via* integration of the total electron density in an atomic basin, the localisation and delocalisation indices are formally two-electron properties. LI provides a measure of the number of electrons localised on a given atom while DI measures the number of electrons shared between two atoms and, in the absence of bond polarisation, acts as a bond order metric. Combined, these metrics can provide detailed information regarding the nature and magnitude of bonding interactions, highlighting variation in both charge transfer and covalency. Here, we consider the variation in a metric,  $M$ , by comparing its value in the excited state to that in the ground state, such that:

$$\Delta^{\text{GS}}M = M(\text{ES}) - M(\text{GS}) \quad (3)$$

where  $M(\text{GS/ES})$  indicates the value of the metric in the ground/excited state.  $\Delta^{\text{GS}}$  is reported in Table 2, with  $M(\text{GS/ES})$  values provided in the ESI.†

Atomic charge data shows that, as excitation energy increases, increasing electronic charge is transferred to the uranium. From an orbital perspective, this implies that the bonding MO has

Table 2 Variation in charge ( $q$ ), localisation (LI) and delocalisation (DI) indices between the ground and excited state electronic structures

Excitation	$\Delta E$ (eV)	$\Delta^{\text{GS}}q(\text{U})$ (a.u.)	$\Delta^{\text{GS}}q(\text{O})$ (a.u.)	$\Delta^{\text{GS}}\text{LI}(\text{U})$	$\Delta^{\text{GS}}\text{LI}(\text{O})$	$\Delta^{\text{GS}}\text{DI}(\text{U,O})$
$\pi_u \rightarrow \pi_u^*$	7.38	−0.050	+0.025	+0.395	+0.186	−0.353
$\sigma_u \rightarrow \sigma_u^*$	12.75	−0.106	+0.053	+0.323	+0.094	−0.228
$\pi_g \rightarrow \pi_g^*$	13.41	−0.181	+0.090	+0.278	−0.016	−0.102
$\sigma_g \rightarrow \sigma_g^*$	16.65	+0.215	−0.107	−0.345	−0.103	+0.129



more oxygen character and the antibonding MO more uranium character. A partial exception to this trend is the high energy  $\sigma_g \rightarrow \sigma_g^*$  excitation, where, while the direction of charge transfer is reversed, the excitation has the largest magnitude charge transfer character, and so a correlation between charge transfer character and excitation energy is established. This is commensurate with the excitation energy data which suggest reduced orbital mixing,  $\lambda$ , with increasing excitation energy. This observation further verifies the analysis given that charge transfer would be expected to be minimal when  $\lambda = 1$ .

We have previously highlighted the fact that reliance on charge data alone can lead to incorrect interpretations with regard to variation in uranyl bonding.<sup>4</sup> Here, while LI(U) qualitatively mirrors the atomic charge data, with  $\Delta^{\text{GS}}\text{LI} > 0$  when charge is transferred to the uranium and  $\Delta^{\text{GS}}\text{LI} < 0$  when charge is transferred from the uranium, the overall trend is not replicated, e.g. the increase in electron localisation is largest for the lowest energy  $\pi_u \rightarrow \pi_u^*$  excitation. This is perhaps unsurprising, since the LI alone is insufficient to characterise the electron population,  $N$ , of an atom, which can be formally defined in QTAIM as:

$$N(A) = \text{LI}(A) + \frac{1}{2} \sum_{B \neq A} \text{DI}(A, B) \quad (4)$$

A change in the LI of an atom can therefore be due to charge transfer from another atom, a change in the DI between it and other atoms, or a combination of the two. Given that the variation in DI(U,O) opposes that of LI(U) for all excitations and shows greater sensitivity to the excitation energy for the three lowest energy excitations, the atomic charge data can be rationalised. Charge transfer from O to U, as defined by the variation in LI, actually reduces with increased excitation energy, with the increase in  $\Delta^{\text{GS}}q(\text{U})$  strongly affected by a smaller reduction in DI(U,O) (note that the  $\sigma_g \rightarrow \sigma_g^*$  excitation shows qualitatively different behaviour, although the same general reasoning applies).

In a monodeterminantal wavefunction, the DI can be interpreted in terms of the overlap between MOs<sup>60</sup> and, as such,  $\Delta^{\text{GS}}\text{DI}$  would be expected to be largest when  $\lambda$  is closest to 1. Since DI(U,O) reduces with increasing excitation energy, with the exception of the  $\sigma_g \rightarrow \sigma_g^*$  state, this further supports the assertion of the anticorrelation of  $\lambda$  with  $\Delta E$ .

Returning our attention to the  $\sigma_g \rightarrow \sigma_g^*$  excitation, it can be noted from Fig. 1 that the  $\sigma_g^*$  MO has rather diffuse character with significant O contribution. This observation allows us to better understand the electronic structure data. As previously mentioned, the large excitation energy correlates with the substantial charge transfer character of the excitation, albeit with the charge being transferred to the oxygen centres. Here, both LI(U) and LI(O) reduce upon excitation and so the apparent charge transfer is explained by an increase in DI(U,O). As we have discussed above, this is unexpected behaviour for an excitation into a formally antibonding MO and so we suggest that the increase in electronic charge on the (already anionic) oxygen centres has a substantial secondary effect on the electronic structure, causing the doubly occupied  $\sigma_u$  and  $\pi_{g/u}$  MOs to adopt more covalent character leading to the net increase in

DI(U,O). This dramatic behaviour suggests that  $\lambda$  is smallest in  $\sigma_g$ , again strengthening the relationship between  $\lambda$  and  $\Delta E$  that we have already identified.

In a simple two-level model, we would expect the energy difference between the bonding and antibonding MOs, and therefore the  $\varphi \rightarrow \varphi^*$  excitation energy, to increase monotonically with the magnitude of the Hamiltonian matrix element between the relevant metal and ligand levels. From our analysis above, this would imply an inverse relationship between  $\lambda$  and  $H_{\text{ML}}$ . However, eqn (2) states the opposite, leading us to deduce that  $\Delta E_{\text{ML}}$  increasingly outweighs  $H_{\text{ML}}$  as the excitation energy increases, implying that  $\Delta E_{\text{ML}}$  dominates in covalent interactions involving U 6d contributions, whereas  $H_{\text{ML}}$  dominates for covalent interactions involving U 5f orbitals. Since delocalisation indices themselves are unable to differentiate between overlap- and degeneracy-driven covalency,<sup>15</sup> we turn our attention to topological properties of the electron density.  $\rho_{\text{BCP}}$  measures the electron density at the bond critical point (BCP) between two chemically bonded species and gives an indication of the charge accumulated at the BCP. This metric would be expected to reflect the degree of overlap-driven covalency, with a common rule of thumb being that  $\rho_{\text{BCP}} > 0.2$  a.u. is indicative of a covalent interaction. However, this will only identify contributions due to  $\sigma$ -type interactions since  $\pi$ -interactions are characterised by a nodal plane in which the BCP typically lies. The Laplacian of  $\rho_{\text{BCP}}$  is a complementary metric which can further aid in the characterisation of a bonding interaction. Finally, the magnitude of the energy density,  $H$ , which is negative for interactions which have significant electron sharing, can reflect the covalency of an interaction.

The results presented in Table 3 are surprising in that  $\rho_{\text{BCP}}$  increases in the electronically excited state. Since the excitations are into formally antibonding MOs, one would expect  $\rho_{\text{BCP}}$ , which reflects the degree of covalent character, to decrease in the excited state. However,  $\rho_{\text{BCP}}$  also correlates strongly with bond length and so the small increases found here may simply be reflective of the constrained geometry associated with the vertical excitation (an analogous argument can also be made for  $H$ ). We therefore delay our analysis of topological properties until excited state molecular structures have been considered.

### 3.2 Electronic properties at excited state geometries

Geometry optimisations were performed for each of the electronically excited states discussed in Section 3.1 and the results of these optimisations are presented in Table 4. Fig. 3 compares

**Table 3** Changes in topological QTAIM metrics in electronically excited states when compared to the ground state

Excitation	$\Delta^{\text{GS}}\rho_{\text{BCP}}$ (a.u.)	$\Delta^{\text{GS}}\nabla^2\rho_{\text{BCP}}$ (a.u.)	$\Delta^{\text{GS}}H$ (a.u.)
$\pi_u \rightarrow \pi_u^*$	0.026	−0.217	−0.048
$\sigma_u \rightarrow \sigma_u^*$	0.028	0.036	−0.048
$\pi_g \rightarrow \pi_g^*$	0.032	−0.293	−0.057
$\sigma_g \rightarrow \sigma_g^*$	0.006	−0.148	−0.010





**Table 4** Characterisation of electronic excitations at the excited state geometries of uranyl and the elongation of the U–O bond compared to the ground state geometry

Excitation	$\Delta E^{\text{ES}}$ (eV)	$\Delta r$ (Å)	Stokes shift (eV)	Contribution (%)
$\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$	5.55	0.135	1.83	47
$\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$	10.16	0.166	2.59	23
$\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$	9.91	0.194	3.50	66
$\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$	16.37	0.051	0.28	98

the density difference generated at both the ground and excited state geometries for each excitation.

Table 4 reveals an increase in bond length upon excitation, as expected. Again, the  $\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$  state exhibits a quantitatively different behaviour, with only a modest bond elongation. Inspection of density differences (Fig. 3) reveals that the  $\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$  state exhibits a qualitatively different redistribution of charge and consequently a variation in the interplay between ionic and covalent bond character, providing a potential origin for the calculated bond length.

For the three excited states with qualitatively similar excitation character, the variation in bond length follows the same trend as found for the vertical excitation energies in Section 3.1 and provides further evidence that the reduction in  $\lambda$  is due to an increasingly dominant  $\Delta E_{\text{ML}}$  contribution, rather than a decrease in  $H_{\text{ML}}$ , since only the latter would be expected to directly impact on bond stability. Diabatic excitations in which  $\Delta r$  is large imply that  $H_{\text{ML}}$  is also substantial as  $H_{\text{ML}}$  is approximately proportional to the overlap ( $S_{\text{ML}}$ ) which is in turn correlated to bond length.

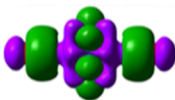
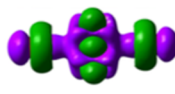
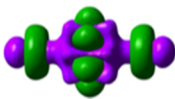
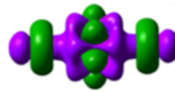
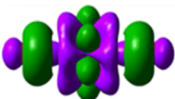
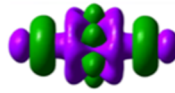
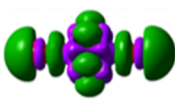
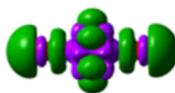
Excitation energies, along with corresponding orbital contributions, at the relevant excited state minima are presented in Table 4. This contribution remains unchanged for the  $\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$  state but is significantly reduced for the other states, presumably

reflecting the deviation from monodeterminantal character as the bond is stretched. The  $\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$  state has contributions from  $\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$  orbital transitions and both the  $\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$  and  $\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$  states include contributions from other  $\pi \rightarrow \pi^*$  transitions.

Excitation energies are all reduced in magnitude when compared to those at the ground state minima, indicating relative destabilisation of the ground state, and so the Stokes shift, calculated here as the difference between the vertical excitation energy at the excited and ground state geometry, describes a red shift for each excited state. The Stokes shift may be utilised to provide further bonding insight, *e.g.* a small Stokes shift may be indicative of the dependence of  $\lambda$  on  $\Delta E_{\text{ML}}$  rather than  $H_{\text{ML}}$ , however, it also correlates strongly with variation in bond length (see Table 4), and for the states under consideration here this latter correlation restricts further interpretation in terms of electronic structure.

We now again turn our attention again to QTAIM metrics (Table 5), comparing the ground and excited state electronic structures at their corresponding equilibrium geometries ( $\delta$  in Fig. 2). For completeness, corresponding energy differences ( $\delta E$ , see Fig. 2) at the excited state minima are also included. Trends in all metrics are qualitatively similar to those found at the ground state geometry (cf. Table 2) although the magnitudes vary. For example, the redistribution of charge is accentuated, with enhanced electron localisation as might be expected at longer bond lengths. Uranium localisation is substantial for the three lowest energy excited states and while this is accompanied by an increase in oxygen localisation for the excitations which involve MOs with U 5f character, commensurate with enhanced ionic character,  $\delta \text{LI}(\text{O})$  is negative (and substantially larger than that seen at the ground state geometry) in the  $\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$  state, indicative of enhanced charge transfer character in the excitation.

Table 6 summarises the variation in topological QTAIM parameters between ground and excited state electronic

Excitation	Ground State Geometry	Excited State Geometry
$\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$		
$\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$		
$\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$		
$\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$		

**Fig. 3** Comparison of density differences at ground (left) and excited (right) state geometries. Purple/green represents positive/negative electron density.



**Table 5** Variation in charge ( $q$ ), localisation (LI) and delocalisation (DI) indices between the ground and excited state electronic structures at their corresponding equilibrium geometries

Excitation	$\delta E$ (eV)	$\delta q(\text{U})$ (a.u.)	$\delta q(\text{O})$ (a.u.)	$\delta \text{LI}(\text{U})$	$\delta \text{LI}(\text{O})$	$\delta \text{DI}(\text{U}, \text{O})$
$\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$	6.45	-0.122	+0.061	+0.527	+0.177	-0.410
$\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$	11.45	-0.307	+0.153	+0.807	+0.153	-0.509
$\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$	11.61	-0.414	+0.207	+0.562	-0.110	-0.152
$\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$	16.52	+0.165	-0.082	-0.277	-0.112	+0.110

**Table 6** Variation in topological QAIM between the ground and excited state electronic structures at their corresponding equilibrium geometries

Excitation	$\delta \rho_{\text{BCP}}$ (a.u.)	$\delta \nabla^2 \rho_{\text{BCP}}$ (a.u.)	$\delta H$ (a.u.)
$\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$	-0.066	-0.196	0.130
$\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$	-0.082	-0.082	0.159
$\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$	-0.096	-0.179	0.182
$\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$	-0.032	-0.119	0.064

structures at their corresponding equilibrium geometries. In contrast to Table 3,  $\rho_{\text{BCP}}$  decreases and  $H$  increases in the excited states, as would be expected for excitations into formally antibonding MOs. The trends in these variations correlate strongly with the increase in bond length, with the strength of this correlation meaning that further bond characterisation is not possible.

These data allow us to make further observations regarding  $\lambda$  for the orbital excitations associated with each state. At the ground state geometry, it was deduced that for the  $\pi_{\text{g}}$  MO,  $\lambda$  was strongly dependent on  $H_{\text{ML}}$  and relatively small. The  $\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$  state provides the largest values of both  $\Delta r$  and the Stokes shift. This state also has the largest redistribution of charge, opposing localisation indices and a low variation in electron delocalisation. This provides further evidence that  $\lambda$  is indeed significantly dependent on  $H_{\text{ML}}$  in the  $\pi_{\text{g}}$  MO and that there is a degree of charge transfer character in the excitation, particularly apparent when considering the electronic structure at the excited state minima. This latter point again supports the view of a small value of  $\lambda$  in the  $\pi_{\text{g}}$  MO.

The  $\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$  and  $\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$  excited state minima give similar, albeit enhanced, changes in QAIM metrics to those found at the ground state geometry. The variation in delocalisation index is largest in these two states and this, along with the small redistribution of charge, again supports the view that  $\lambda$  is largest for the  $\pi_{\text{u}}$  and  $\sigma_{\text{u}}$  MOs. Since the excitation energies are lowest for these states, we tentatively suggest that  $H_{\text{ML}}$  is relatively small (although still substantial, as evidenced by the pronounced increase in bond lengths) and the large value of  $\lambda$  is due to the interplay between  $H_{\text{ML}}$  and  $\Delta E_{\text{ML}}$ .

### 3.3. Symmetry decomposition of QAIM metrics

The high symmetry of uranyl allows the DIs to be decomposed into their symmetry elements. We have previously employed such analysis to differentiate between 5f and 6d contributions to

**Table 7** Ground state symmetry-decomposed DIs evaluated at the equilibrium geometries of the ground and excited states

State geometry	$r$ (Å)	DI(U,O)				
		Total	$\sigma_{\text{u}}$	$\pi_{\text{u}}$	$\sigma_{\text{g}}$	$\pi_{\text{g}}$
GS	1.700	2.282	0.578	0.418	0.317	0.275
$\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$	1.751	2.278	0.577	0.419	0.312	0.275
$\pi_{\text{u}} \rightarrow \pi_{\text{u}}^*$	1.835	2.273	0.573	0.425	0.304	0.273
$\sigma_{\text{u}} \rightarrow \sigma_{\text{u}}^*$	1.866	2.272	0.572	0.426	0.302	0.273
$\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$	1.894	2.270	0.570	0.429	0.300	0.271

bonding interactions.<sup>15,18,32,61,62</sup> These symmetry-decomposed DIs are used here to understand how electrons are shared in the ground state as the U=O bond is elongated. Table 7 orders the excited states in terms of increasing bond length and summarises the symmetry-decomposed DIs.

The total DI reduces with increasing bond length, as would be expected. This behaviour is mirrored in the  $\sigma_{\text{u}}$  and  $\sigma_{\text{g}}$  components, however the  $\pi_{\text{u}}$  component increases with increasing bond length. Since orbital overlap (and therefore  $H_{\text{ML}}$ ) necessarily decreases with increasing bond length, these data can be interpreted in terms of the relative positions of the energy levels of the fragment orbitals comprising the MO. We can therefore deduce that  $\Delta E_{\text{ML}}$  decreases more rapidly for the  $\pi_{\text{u}}$  MO in comparison to the others, suggesting that the fragment are brought more closely into resonance as the bond is elongated.

### 3.4 The optically active excited state

Previous computational studies<sup>35,37–39,56</sup> have determined that the lowest lying optically active excited state in uranyl can be primarily described as a triplet excitation from the  $\sigma_{\text{u}}$  into the nonbonding 5f<sub>g</sub> orbital, allowed *via* spin-orbit coupling. This excitation was simulated using the same model chemistry as that employed for our studies of symmetry-preserving excitations, and a vertical excitation energy of 2.43 eV was calculated, in reasonable agreement with the CASPT2 literature value of 2.79 eV calculated in the absence of spin-orbit coupling<sup>56</sup> and our own CASSCF-calculated value of 2.95 eV. Relaxation of the excited state geometry produced an increase in bond length to 1.747 Å, again in reasonable agreement with the CASPT2 literature value of 1.765 Å, and an excited state energy of 2.18 eV at the excited state minimum. QAIM metrics at both the ground and excited state geometries are summarised in Table 8.

All QAIM metrics report little to no change in electronic structure upon excitation into the U based 5f<sub>g</sub> orbital, which would only be expected if the  $\sigma_{\text{u}}$  MO were also entirely localised on the U centre. Since our previous analysis has revealed this not to be the case, with the  $\sigma_{\text{u}}$  MO having substantial contributions from both U and O centres, these data can therefore be interpreted as showing a reorganisation amongst the other MOs to accommodate the charge localised in the 5f<sub>g</sub> orbital. Since this reorganisation must therefore involve charge transfer from the U centre, and noting that DI(U,O) increases upon excitation, we can deduce that  $\lambda$  must increase amongst the



**Table 8** Variation in charge ( $q$ ), localisation (LI) and delocalisation (DI) indices between the ground and excited state electronic structures at both the ground and excited state geometries. All QTAIM metrics are in a.u. with the exception of the (de)localisation indices, which are dimensionless

Geometry	$\Delta q(\text{U})$	$\Delta q(\text{O})$	$\Delta \text{LI}(\text{U})$	$\Delta \text{LI}(\text{O})$	$\Delta \text{DI}(\text{U}, \text{O})$	$\Delta \rho_{\text{BCP}}$	$\Delta \nabla^2 \rho_{\text{BCP}}$	$\Delta H$
Ground state	−0.002	+0.001	−0.068	−0.073	+0.064	+0.003	−0.018	−0.004
Excited state	−0.049	+0.025	−0.013	−0.103	+0.055	−0.035	−0.041	+0.068

other MOs, although our methodology cannot be used to determine which specific MOs experience this increase.

## 4. Conclusions and outlook

Electron-density based analyses of f-element complexes have grown in utility in recent years.<sup>5,12,15,18,57,61,63</sup> In this contribution, QTAIM analysis has been applied, for the first time, to probe the excited state electronic structure of a uranyl complex. This analysis has been shown to be able to characterise bond covalency in this compound, as well as its origins. Investigations of vertical excitations at the ground state geometry demonstrated an inverse relationship between the orbital mixing coefficient,  $\lambda$ , and the excitation energy. Furthermore, it was found that, for MOs with U 5f character (e.g.  $\sigma_{\text{u}}$  and  $\pi_{\text{u}}$ ),  $\lambda$  was more dependent on the metal–ligand Hamiltonian matrix element  $H_{\text{ML}}$ , whereas for those with U 6d character (e.g.  $\sigma_{\text{g}}$  and  $\pi_{\text{g}}$ ),  $\lambda$  became increasingly dependent on the difference in fragment orbital energy levels,  $\Delta E_{\text{ML}}$ . Further analysis of the electronic structure showed that charge transfer from O to U reduced as the excitation energy increased, as did the degree of electron sharing between the two centres. The  $\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$  excited state often exhibited quantitatively different behaviour, and this was characterised as being due to a very low value of  $\lambda$  in the  $\sigma_{\text{g}}$  MO and a difference in the dominant atomic contribution to this MO, leading to significant redistribution of charge amongst the other orbitals in this state.

When considering the relaxed excited state geometries, a relationship between excitation energy and bond elongation was established. This was commensurate with the large magnitude of  $\lambda$  and its dependence on  $H_{\text{ML}}$  for the  $\sigma_{\text{u}}$  and  $\pi_{\text{u}}$  MOs, however further analysis revealed that the large bond elongation associated with the  $\pi_{\text{g}} \rightarrow \pi_{\text{g}}^*$  state could be understood by also recognising enhanced charge transfer character in the excitation. The  $\sigma_{\text{g}} \rightarrow \sigma_{\text{g}}^*$  excitation was again an outlier, where the charge transfer character of the excitation was balanced by the redistribution of charge discussed above.

The dependence of  $\lambda$  on  $H_{\text{ML}}$  for excitations involving 5f MOs has also been investigated previously, in particular for actinide halides.<sup>12,61</sup> Tanti *et al.*<sup>61</sup> concluded that the 5f contributions to overlap-driven covalency were larger than 6d for uranyl/uranium halide complexes. Similar findings were also observed across the actinide series by Clark and co-workers;<sup>12</sup> they suggest that as you traverse the actinides, “the positive effects from  $\Delta E_{\text{ML}}$  outweigh negative impacts from  $H_{\text{ML}}$  and covalency increases.” This contribution complements these findings and suggests that these trends also extend into the excited state.

In contrast to previous literature however, here we conclude that excitations involving the U 5f orbitals have a larger  $\lambda$  than excitations involving U 6d orbitals.<sup>57–59</sup> This is largely due to the anticorrelation between  $\lambda$  and excitation energy, with the large  $\lambda$  value due to the interplay between  $H_{\text{ML}}$  and  $\Delta E_{\text{ML}}$ , as indicated previously.<sup>12</sup>

The calculated ground state delocalisation indices were decomposed into their symmetry-distinct components. It would be expected that delocalisation indices would decrease with increasing bond length, but the  $\pi_{\text{u}}$  component exhibited the opposite behaviour, suggesting enhanced degeneracy-driven covalency with increasing bond length for this component.

Finally, the leading orbital contribution to the lowest energy optically accessible state in uranyl was investigated. QTAIM metrics revealed little difference between ground and excited state electronic structures, which could be interpreted in terms of the previously analysed states as an increase in covalent character amongst the bonding MOs which offset the charge localisation due to excitation into the non-bonding U 5f<sub>g</sub> orbital.

This contribution has demonstrated that analysis of excited state electronic structures can be used to characterise properties of the ground state that would be otherwise challenging to access. Future studies will apply these techniques to more complicated f-element systems to better understand the relative contribution of overlap- and degeneracy-driven covalency to bonding in these compounds.

## Conflicts of interest

There are no conflicts to declare.

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