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Multifunctional lignin-based nanocomposites and nanohybrids

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Significant progress in lignins valorization and development of high-performance sustainable materials have been achieved in recent years. Reports related to lignin utilization indicate excellent prospects considering green chemistry, chemical engineering, energy, materials and polymer science, physical chemistry, biochemistry, among others. To fully realize such potential, one of the most promising routes involves lignin uses in nanocomposites and nanohybrid assemblies, where synergistic interactions are highly beneficial. This review first discusses the interfacial assembly of lignins with polysaccharides, proteins and other biopolymers, for instance, in the synthesis of nanocomposites. To give a wide perspective, we consider the subject of hybridization with metal and metal oxide nanoparticles, as well as uses as precursor of carbon materials and the assembly with other biobased nanoparticles, for instance to form nanohybrids. We provide cues to understand the fundamental aspects related to lignins, their self-assembly and supramolecular organization, all of which are critical in nanocomposites and nanohybrids. We highlight the possibilities of lignin in the fields of flame retardancy, food packaging, plant protection, electroactive materials, energy storage and health sciences. The most recent outcomes are evaluated given the importance of lignin extraction, within established and emerging biorefineries. We consider the benefit of lignin compared to synthetic counterparts. Bridging the gap between fundamental and application-driven research, this account offers critical insights as far as the potential of lignin as one of the frontrunners in the uptake of bioeconomy concepts and its application in value-added products.

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1. Introduction

In the last ten years the subject of “lignin” has re-surfaced in the fora of renewable polymers and associated materials. A reason for such interest relates to the impending availability of lignins from the next generation bioproduct mills or biorefi-

neries, whose economic viability will hinge on finding new uses for this abundant biomacromolecule.^{1,2} Lignins are in fact one of the most important streams in the forest industry.^{3,4} Not surprisingly, the most recent publications related to lignin utilization include widespread opportunities going from chemical engineering, energy and fuels, green sustainable chemistry and technology, polymer science, physical chemistry, biochemistry and materials science.⁵ In such diverse fields, consideration has been given to lignin depolymerization,⁶ e.g., to produce platform chemicals in large volumes.^{7,8} The opposite extreme, equally relevant, proposes the uses of lignin as a colloidal material or in the form of a polymeric matrix that can lead to lignin-based functional materials.⁹ In both cases, the so-called lignin-centered biomass fractionation and transformation or modification, using green chemistry routes or otherwise, are central aspects under scrutiny. Recent investments to pulp mills signal lignin extraction in addition to its utilization as a renewable option to energy generation. Such efforts are aimed at reducing the dependency on fossil carbon feedstocks and to fully utilize the plant-based resources, for example, in products relevant to the resin and plastic industries. Many applications such as bio-

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medical and nutritional materials under development strive to take advantage of lignins' antioxidant activity,^{10–13} or its high carbon content attractive to carbonaceous materials in the form of fibers and electroactive components.¹⁴

Owing to its amphiphilic structure, lignins have been shown to be surface-active and are able to improve the strength at interfaces, for example, in adhesives.⁴ In these and other applications, however, there is the challenge of substituting molecules that are in current use, in established processing units, which should be adapted or retooled. In addition, a major roadblock is the chemical and structural variability of technical lignins, depending on the plant source, the nature of the process used in their extraction and other variables.^{15–17} Lignin complexity, variability and its elusive chemical structure, which remains a subject of discussion, compounds such challenges. On the other hand, the expected availability and low cost of lignin represent an incentive, together with the fact that lignin's property profiles can be tailored by controlling the variables listed before, paradoxically the same that have delayed lignin's wider adoption.

The complex phenolic makeup of lignin is quite challenging, if not impossible to achieve synthetically and one has to wonder why such complexity has not been frequently exploited in polymer architecture and functional groups. Along with its high density in hydroxyl and carboxyl substituents, there are plenty of reasons to consider when taking lignin as a precursor and as a reactive scaffold that can unleash development in the next generation materials. This consideration is particularly timely under the emerging concept of the circular bioeconomy. Lignin's own features are further expanded if one considers the bonding patterns and linkages with carbohydrates, for instance, in lignin-carbohydrate complexes,^{18,19} which are finding traction in exploiting the advantage that each component bring in given applications.

Lignin has attracted and increasing attention from both fundamental and applied fields as it is available in large quantities from renewable sources and can be potentially applied in many fields thanks to its special physico-chemical properties. In this review, as schematically summarized in Fig. 1, we focus on the fundamentals and prospective application of lignin as an added value material. As requirements and mechanistic of lignin-based materials significantly vary, the discussion is structured by lignin fundamentals, lignin-nanocomposites and lignin-nanohybrids, to finalize with the most common applications of those materials. Besides its presentation in the so-called black liquors or in solution, lignin solids are produced upon drying, as amorphous powder. Moreover, recent efforts have been applied in the production of lignin in other forms, such as spherical particles, responding to the possibility of capitalizing the interrelation that is known to exist between shape and function. Together with the exquisite opportunities expected from lignin, as a polyphenolic molecule, one should add its self-assembly and supramolecular organization, alone or in combination with polysaccharides, for example in composites. These aspects are discussed in this review in the section "Lignin fundamentals". In the next section, "Lignin-nanocomposite approach", we consider lignin synergies with polysaccharides, proteins, other biopolymers and synthetic polymers in the form of the most traditional types of lignin-based materials, where lignin is applied as a reinforcing phase to develop (nano)composites. We also consider the recent works highlighting the many new opportunities arising from the formation of hybrid-materials involving lignin interactions at the nano- to micro-scale with a secondary organic or inorganic component at the nanometer or molecular level. Accordingly, in the section "Lignin-nanohybrid approach" we show how lignin is used as a renewable matrix to host either inorganic or organic nanoparticles, are addressed. Due to the sheer volume of work in



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2. Lignin Isolation and Fundamentals

✦ I. Types II. Native III. Technical ✦ IV. Supramolecular Chemistry

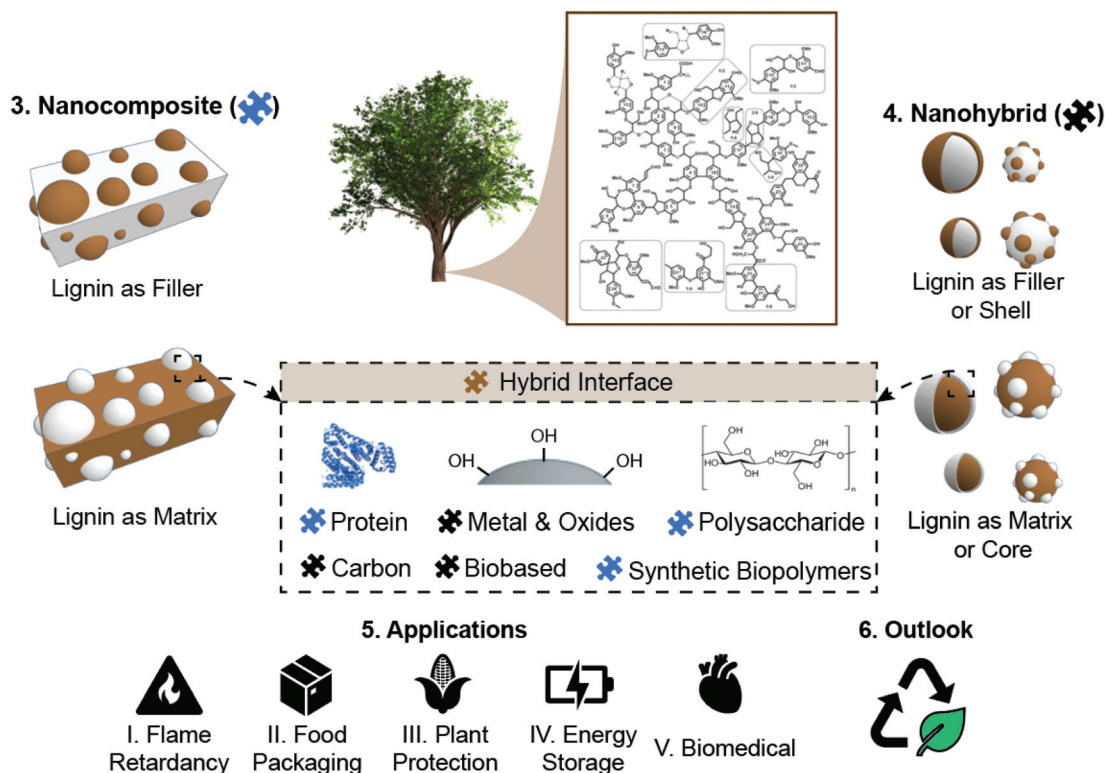


Fig. 1 Schematic representation of the valorization of lignin in the form of nanocomposites and nanohybrids, as well as its associated production and fundamental considerations and applications. The main sections of the Review are summarized, with specific subsections for the main chapters.



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this area, lignin-nanohybrids are here grouped into those bearing metal oxides, zero-valent metals, carbonaceous nanoparticles and biobased nanoparticles. Hereafter, the more imminent realm of applications is highlighted, covering the reduced flammability of lignin-based materials, food packaging applications, plant and crop protection ability, energy storage, and health. Finally, the full utilization of lignin, in these and other applications, is justified considering the environmental benefits that can be gained, as shown by gains in net carbon storage and in the reduction of greenhouse gases. This specially applies when lignin fractionation and associated bioproduct developments are integrated under the biorefinery concept, following the purpose of fully utilizing the component of plants that are sustainably harvested to fulfill most of our current and future material needs.

During the recent years, there have been many reviews on lignin nanoparticles and their applications,^{9,20–28,29} chemical and microbial transformation of lignin to chemicals and polymer precursors,^{30,31} and chemical functionalization and processing of lignin into various materials (not in the nanoscale).^{2,32–40} Though many of the prior reviews discuss formulation of lignin-based polymers and composite systems,^{41–49} the literature is lacking a review on nanocomposites and hybrid materials based on lignin. These two classes of materials are quickly gaining momentum. The present work is a comprehensive review of lignin building on the recent progress that links (1) progresses in fundamental understanding of the structure and properties of technical lignins as a function of their isolation and modifications and (2) their assembly to nano- and microscaled building blocks with (3) the formation of lignin-based composites, inclusive of hybrid nano- and microparticles. We thereafter thoroughly review recent progresses in lignin-based nanocomposites and nanohybrids

when lignin is compounded with the main classes of inorganic and organic materials.

2. Lignin fundamentals

2.1. Lignin types

Understanding the molecular structures of lignins is of primary importance for fundamental reasons, and to access lignin reactivity and valorization. Specifically to lignin particles, it has been shown that lignin structure and other characteristics affect LMNP properties.⁵⁰ Thus, it is critical to discuss variations in different lignin types, from native ones to those obtained by commercial-scale processes as well as new emerging technologies (lab or pilot scale).

Lignin is an irregular aromatic polymer consisting of *p*-hydroxyphenyl (H), guaiacyl (G) and syringyl (S) type phenylpropane (or C₉) units, correspondingly (Fig. 2a).¹⁵ Softwood (SW) lignins are mainly G-type with small amounts of H-units, while hardwood (HW) lignins have different proportions of S- and G-units (S/G ratio) (Table 1). Non-wood lignins are often called of HGS-type. These monomeric units are linked in lignin macromolecule by various ether and C–C bonds (Fig. 2b, 3 and Table 1).^{15,16}

2.2. Native lignins

The main linkages in native lignins are β -O-4 linkages, followed by 5-5, β -5 (SW), β - β (HW) *etc.* (Fig. 2b, 3 and Table 1).^{51–53} Milled Wood Lignin (MWL) and cellulolytic enzyme lignin (CEL) preparations are most commonly used to access the structure of native lignins (Table 1) when the analysis requires a soluble lignin preparation (*e.g.*, for various high-resolution NMR methods).⁵⁴ However, one should con-



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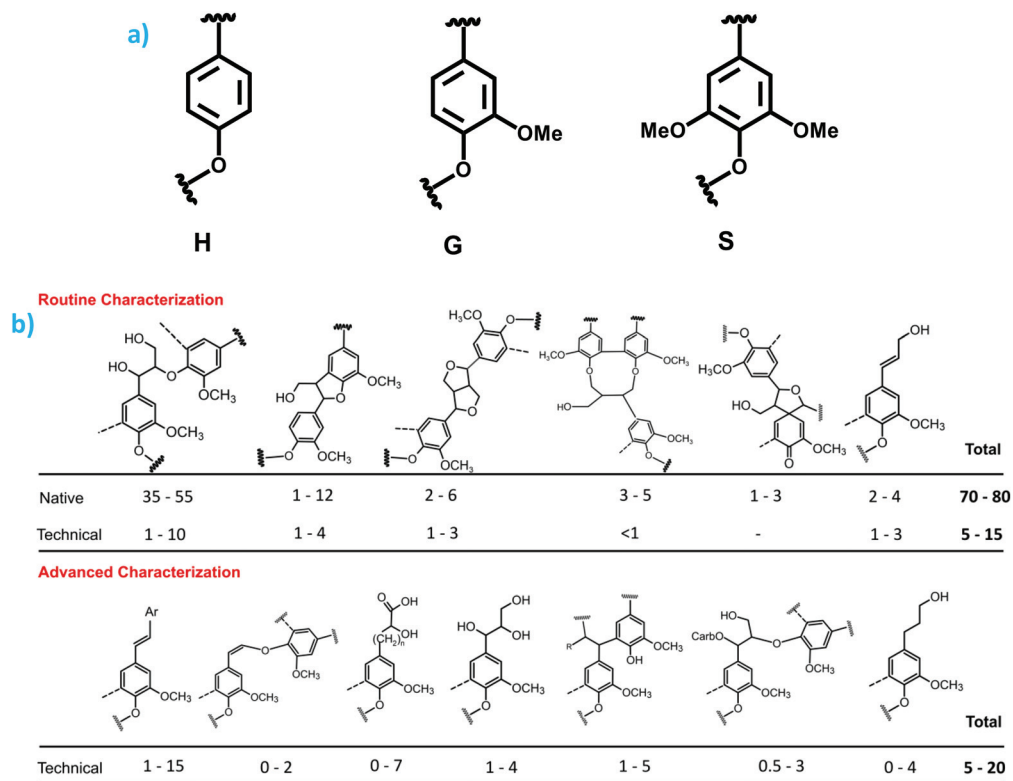


Fig. 2 (a) Main monomeric lignin blocks of *p*-hydroxyphenyl (H), guaiacyl (G) and syringyl (S) type.; (b) main structures in native and technical lignins (by a semiquantitative HSQC NMR method). Numbers indicate the amounts of specific units per 100 Ar (*i.e.*, mol%).²

sider specific differences between *in situ* native lignin (and isolated lignin preparations).^{55,56}

Despite the lack of direct evidence, lignin is usually considered as a three-dimensional, cross-linked macromolecule.⁵⁷ However, this classical vision has been challenged; spruce MWL was proposed to be linear.⁵⁸ Related propositions of

rather linear lignins have been forwarded in a recent review by Ralph *et al.*, who assumed models of native lignins, based on the knowledge available on lignification theory.⁵² However, the data on the absolute molecular mass of spruce milled wood lignin (MWL) along with the quantification of terminal groups, clearly indicate that MWL is heavily branched and cross-linked (with ~36% of lignin units partaking in these linkages) (Fig. 3).⁵³ The very branched/crosslinked lignin character will likely remain and be even intensified after biomass processing, which result in so-called technical lignins.

2.3. Technical lignins

Most of the lignins available for practical and commercially relevant applications are technical lignins, obtained after chemical processing of biomass. Technical lignins differ dramatically from the corresponding native ones as a result of a combination of multiple reactions, including catalyzed biomass hydrolysis, condensation of lignin fragments, decrease in native lignin functional groups, formation of new functional groups, and others. The technical lignins are appreciably more heterogeneous in terms of chemical structure and molecular mass than the native lignins, possessing a high variety of structural moieties present in rather small amounts (Fig. 2b and Table 1).^{60,61}

Technical lignins can be classified from different points of view (Table 2). From a practical point of view, there are technical lignins that originated from industrially available pulp and paper processes. These are kraft and soda lignins (from kraft



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Table 1 Amounts of various lignin moieties (per 100Ar)

| Moieties/range | Alcell | Indulin | AKL | SEPL | Sucrolin | SBL | AMWL | PMWL |
|---------------------|--------|---------|------------------|------|----------|------|------|------|
| Total CO | 29 | 15 | 21 | 23 | 30 | 19 | 16 | 20 |
| Non-conj. CO | 15 | 7 | 11 | 11 | 17 | 7 | 3 | 3 |
| Conj. CO | 14 | 8 | 10 | 12 | 13 | 11 | 13 | 17 |
| Total COOR | 21 | 17 | 28 | 22 | 38 | 37 | 13 | 6 |
| Non-conj. COOR | 17 | 15 | 25 | 18 | 27 | 27 | 8 | 4 |
| Conj. COOR | 4 | 2 | 3 | 4 | 11 | 10 | 5 | 2 |
| Total OH | 103 | 115 | 107 | 124 | 92 | 96 | 156 | 140 |
| Aliph. OH | 33 | 49 | 31 | 61 | 43 | 37 | 134 | 107 |
| OHpr | 19 | 31 | 17 | 33 | 19 | 17 | 72 | 67 |
| OHsec | 14 | 18 | 14 | 28 | 24 | 20 | 62 | 40 |
| Phenolic OH | 70 | 66 | 76 | 63 | 49 | 59 | 22 | 33 |
| S : G-ratio | 1.18 | NA | 1.31 | 1.63 | 0.53 | 0.51 | 2.11 | NA |
| OMe | 103 | 81 | 120 | 126 | 81 | 92 | 164 | 97 |
| ArH | 202 | 234 | 199 | 201 | 207 | 213 | 221 | 253 |
| DC, % | 44 | 66 | 44 | 37 | 58 | 53 | 11 | 43 |
| β -5 | 3 | 4 | 2 | 2 | 1 | 1 | 2 | 10 |
| β - β^b | 3 | 4 | 5 | 4 | 2 | 1 | 8 | 4 |
| β -O-4 | 7 | 7 | 1 | 17 | 4 | 2 | 52 | 42 |
| Oxygen. Aliph. | 82 | 93 | 93 | 128 | 64 | 52 | 237 | 214 |
| Saturated Aliph. | 149 | 109 | 145 | 116 | 161 | 140 | 56 | 32 |
| Side chain length | 281 | 233 | 269 ^a | 289 | 293 | 248 | 322 | 272 |
| Alkyl ethers | 50 | 44 | 54 ^a | 68 | 21 | 15 | 103 | 107 |
| Sugars | <1 | ~1 | 4 | <1 | <1 | ~1 | <1 | ~1 |
| M _{Ar} | 178 | 173 | 201 | 194 | 203 | 195 | 218 | 180 |

^a Corrected for sugar content. ^b The number of C₉-units involved in resinol structures; as the structure is symmetric, the number of resinol structures is $\frac{1}{2}$ of the C₉-units involved. Abbreviations: AKL – aspen Kraft lignin, SEPL – steam explosion poplar lignin, SBL – soda bagasse lignin, AMWL – aspen MWL, PMWL – pine MWL⁵⁹

and soda pulping, respectively) and lignosulfonates (sulfite pulping). On the other hand, there is a big group of technical lignins from various emerging biorefining processes, such as those obtained from the different variations of acid hydrolysis (AH), steam explosion (SE), and organosolv (OS) pretreatment.

In terms of process chemistry and, correspondingly, the lignin chemical structure, lignins can be derived from acidic or alkaline-based processes. In addition to the process nature, the origin of the feedstock has naturally an important impact on the structure of technical lignins. Another factor to classify technical lignins, especially in view of their application, is the presence or absence of sulfur in their structure (see Table 2).

All pulping and biorefinery processes result in significant lignin degradation. These reactions are always accompanied with a decrease in oxygenated aliphatic moieties in general, specifically β -O-4 units and aliphatic OH (primary and especially secondary ones), and an increase in phenolic OH, COOH, saturated aliphatic moieties, degree of condensation (DC) when compared to native lignins (Table 1).⁵⁹ However, there are significant structural differences between various technical lignins. The degree of lignin degradation is dependent on the process utilized and its severity. Among ten different pulping and biorefinery lignins investigated, SE lignins are the least degraded while aspen kraft lignin (AKL) underwent the most severe degradation. A specific characteristic of the acid-derived lignins (organosolv ones and Sucrolin isolated from acid hydrolysis of bagasse) was high amounts of CO groups. Also, a typical feature of the ethanol-based organosolv lignins is the presence of EtO-groups.⁶²

It is worthy to address rather popular recent claims on production of “native-like” lignins in different biorefinery processes. This conclusion came from the methodology based on relative proportion of β -O-4 units vs. other native lignin units remaining in technical lignins, detected in 2D spectra when a few major native lignin units (see Fig. 2b, first row) were assumed as 100%. Very minor lignin transformation during a process is concluded from still predominant relative amount of β -O-4 structures in this normalized approach. However, absolute quantification (per monomeric lignin unit or/and in mmol g⁻¹ lignin) often provides a completely different picture; although β -O-4 are still major lignin units, their amounts decrease dramatically, in one order of magnitude (Fig. 2b and Table 1). This was recently confirmed with an appropriate 2D NMR methodology. Although the authors claimed a “new concept” for biorefinery lignin structure,⁶³ extensive degradation of lignin during different chemical processes is a well-known fact consistently reported for many decades using various analytical methods.¹⁷

2.3.1. Upgrade of technical lignins. As high-value applications often require high purity, fractionated or/and derivatized lignins, pulping and crude biorefinery lignins can be further upgraded by various simple and potentially cost-efficient processes. In particular, lignins with narrower MWD and otherwise more suitable properties can be obtained by solvent fractionation or ultrafiltration. It is noteworthy that fractionation of Kraft lignin by molecular weight is usually accomplished by significant changes in the lignin structure.⁶⁴ In contrast, fractionation of biorefinery lignins does



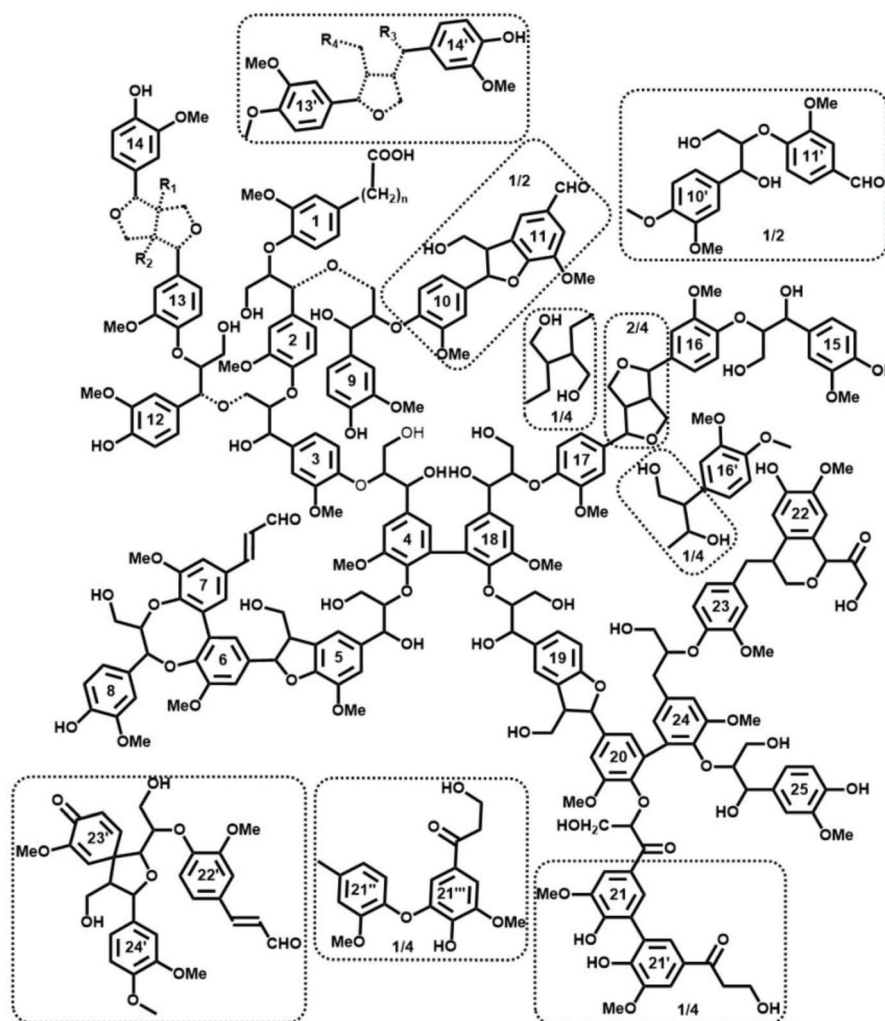


Fig. 3 Tentative quantitative structural model of spruce MWL, in agreement with currently available structural data on lignin moieties and their occurrence frequencies. Reproduced with permission from ref. 53. Dashed bond: different structure agreeing with available information; the exact structure of the Alk–O–Alk moieties (units 2–9, 3–12, 13–14) is not well defined, therefore the model indicates a general type of units rather than insisting on a precise structure. Minor structures (below 4/100 Ar) are given in the dotted boxes.

Table 2 Classification of technical lignins. SE – steam-exploded lignin; AFEX – ammonia fiber expansion lignin

| Lignin type | Scale | Chemistry | S-content | Purity |
|-----------------|------------------|-----------|-----------|--------------|
| Kraft | Industrial | Alkaline | Moderate | Moderate |
| Soda | Industrial | Alkaline | Free | Moderate-low |
| Lignosulphonate | Industrial | Acid | High | Low |
| Organosolv | Pilot/demo | Acid | Free | High |
| Hydrolysis | Industrial/pilot | Acid | Low-free | Moderate-low |
| SE | Pilot | Acid | Low-free | Moderate-low |
| AFEX | Pilot | Alkaline | Free | Moderate-low |

not result in significant structural differences between the fractions.⁶⁵ This information can be used in lignin engineering if specific characteristics required for a selected application are known. General process aspects and issues of lignin fractionation were comprehensively reviewed very recently.⁶⁶

Certain lignin modifications, for instance alkylation and acylation, are able to upgrade lignin towards important applications, such as thermoplastic blends, carbon fibers, surfactants, and sorbents.^{3,4,67–70} Usually, a degree of substitution (DS) of 100% is targeted, meaning that all available hydroxyl groups are functionalized. However, such complete lignin modifications typically require expensive or/and toxic chemicals and therefore they may not be easily viable on an industrial scale. In contrast, a simpler process for partial lignin derivatization (alkylation or acylation) has been proposed recently.^{71,72} Although only partial derivatization occurs, it can be sufficient for achieving the targeted lignin performance. Other characteristics of lignin, such as molecular weight, glass transition temperature (T_g), and molecular structure, can be tuned by the process variables. The combination of lignin modification and extraction in a very simple approach (reactive extraction) that uses inexpensive chemicals allows for a



process of significantly lower costs compared to other known processes for functionalized lignins.

An approach to improve lignin reactivity in various applications *via* its depolymerization is very popular. In spite of great technical progress in the area, significant challenges are still remained. Even more importantly, this direction looks very problematic from the economic point of view due to low yields of the target products resulting in low revenue for the lignin feedstock used.² In contrast, the use of spherical lignin particles (LPs) in different high-value applications can overcome low lignin reactivity without complex and expensive lignin depolymerization and thus looks as a more reasonable alternative.⁹

2.3.2. Correlations between lignin structure, properties and performance. Robust correlations between process conditions, lignin structure and properties and their application performance are critical for purposeful lignin engineering for specific end-use products. Therefore, extensive efforts are reported in the literature claiming these types of correlations. However, very habitually these claims are based on “educated guesses” (very generic consideration of certain features of reaction mechanisms and the role of specific lignin functionalities) rather than on unambiguous experimental data, which often result in erroneous conclusions. Currently, the most common approach towards lignin structure–property–performance relationships is comparing the performance of a few lignins of different types in a specific application. However, these lignins have a large number of different characteristics

including chemical subunits ((see Table 1 for example), molecular weight, physical characteristics *etc.*), and it is extremely difficult to attribute the difference in their performance to specific lignin characteristics. Therefore, some approaches have used model films of lignin to unveil basic properties,⁷³ as well as other approaches or/and a broader set of different lignin samples have been combined with more sophisticated modeling to establish these correlations.²

2.3.3. Combination of biorefinery lignin purification, fractionation, and reactive extraction with LMNP production. The production and use of lignin particles may avoid the need for typical lignin fractionation, as reported recently,⁷⁴ as used in the production of lignin particles and chemical modification.² Specifically, many technical lignins are (nearly) fully soluble in binary aqueous–organic solvent mixtures that can be used for the preparation of colloidal lignin particles. Alternatively, if lignin derivatization positively affects particle properties, the processes can be easily combined in a simple method. The solutions from purification, fractionation or reactive extraction of crude biorefinery lignins usually contain only the lignin part without any significant amounts of carbohydrates or process chemicals. These solutions can therefore be directly used for production of LMNPs (Fig. 4).^{2,50} Integration of nano-lignin production to biorefineries would benefit from the use of single solvents for biomass pretreatment and preparation of lignin nanoparticles. The obvious benefit from such an advanced biorefinery is its relative low capital expenditure and reduced number of separation processes, both of which

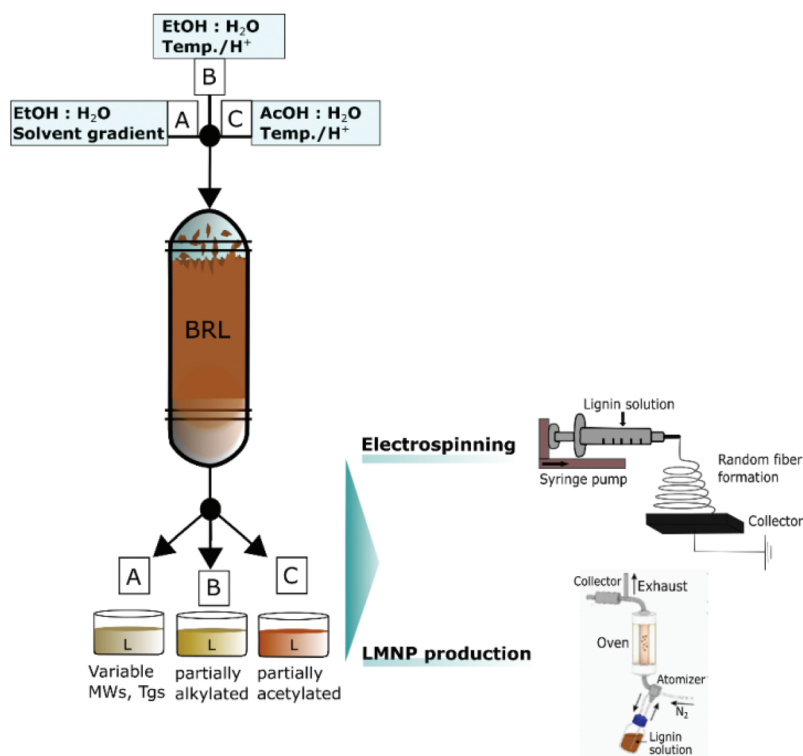


Fig. 4 One-pot purification, fractionation and functionalization of crude biorefinery lignins (BRL) and direct use of these solutions for LMNP production or nanofiber production by electrospinning. Reproduced with permission from ref. 2.



should contribute to the overall economic feasibility. To this end, aqueous acetone and ethanol,^{2,50,75} as well as deep eutectic solvent (DES),⁷⁶ have been proposed as process solvents for direct preparation of LMNPs. However, the use of DES, being very interesting from the fundamental point of view, is not practical. Overall, the use of low-cost biorefinery lignins for LMNP production is an attractive option to develop tailored particulate products which will be cost efficient for medium-to-large market size applications.²

2.4. Self-assembly/supramolecular-assembly

Contrary to conventional synthetic polymers, lignins are extremely diverse in terms of functional groups, chemical bonds, and overall architecture as associated with their yet undefined branched structure. While this limits their fine-tuned engineerability and bulk processability, due to difficulties in predicting their behavior or the properties of their constructs, a vast variety of non-covalent interactions can be achieved with lignins. These will significantly affect the properties of materials they form. Thereafter, supramolecular interactions will occur between lignin macromolecules, with other polymers, particles, and at interfaces with varying surface chemistry (*i.e.* type of functional groups and their surface topology). Herein, we introduce the various functional groups of lignins relevant to their interactions in material formation. We also discuss findings on how the preparation of nanoparticles can affect the functional groups displayed and their size and compaction. The latter are key parameters in the formation of composites, and nanobiohybrids, and the vast majority of the studies put forward in sections 3 to 5 are exploiting these non-covalent interactions to form materials as detailed across the review.

2.4.1. Supramolecular interactions of lignins. Lignin macromolecules resemble dendrimeric and branched architectures,⁵³ whereby a high diversity in the dissolved morphology is expected. Importantly, their molecular structure generally differs from their structure in the plant as a result of the extraction process.³² The type of functional groups and their ability to interact supramolecularly is highly dependent on the extraction process as well as post-treatment. For instance, sulfonate, phenolates, carboxylate groups can be present, which highly affect their water solubility. The aliphatic linkages between aromatic groups, where two to four successive carbons can be found, also highlight the amphiphilic nature of lignins. Typically, organosolv, kraft, and alkali lignins are incompletely soluble in water below pH 10 and present a range of phenolic groups resulting in a distribution of electron density that enables a wide range of non-specific interactions. The main non-covalent interactions that lignins can undergo are H-bonding, π - π , π -cation, electrostatic, hydrophobic and van der Waals interactions (Fig. 5a). The extremely heterogeneous range of structure and polarity make their constructs guided by broad assembly rules,²¹ rather than by specific macromolecule-macromolecule arrangements, for instance as is the case of cellulose. However, they also enable a good interaction with a wide range of matrices and fillers, ranging from

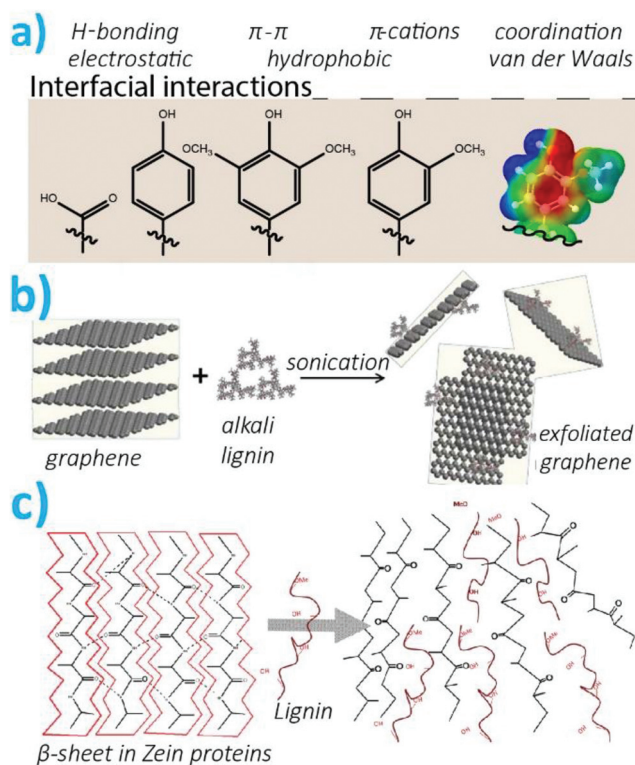


Fig. 5 (a) Interfacial supramolecular interactions as driven by the functional groups present on lignins. On the right-hand side, the electrostatic potential of a guaiacyl group is shown, illustrating the complex potential interactions offered by lignins (blue = positive, red = negative). Reproduced with permission from ref. 21 (b) Schematic illustration of graphene exfoliation as promoted by alkali lignin. Reproduced with permission from ref. 89 (c) Schematic illustration of the disruption of the quaternary structure of proteins by lignin. Reproduced with permission from ref. 91.

amphiphilic carbonaceous building blocks to a broad range of highly polar oxide surfaces.^{77,78} Additionally, interactions with multivalent metal ions are enabled both by electrostatic interactions and coordination-based bonds.⁷⁹ Lastly, their self-affinity is also relevant to their dissolved state, where self-complexation involving π - π stacking occurs.⁸⁰⁻⁸² The latter was put forward as a principal actor in parallel stacking of chains under idealized conditions.^{83,84} Similarly, the heterogeneous supramolecular affinity of lignins with carbohydrates, as also observed in plants in their native state, is also relevant.^{85,86}

The supramolecular interactions between lignins and other polymers or interfaces can be optimized by judicious choice of the type of lignin, their modification, or the approach chosen to synthesize the particles. Such supramolecular complexation will play an important role on the cohesion of the composites they form. For instance, when interfacing with polylactic acid (PLA), H-bonding and hydrophobic interactions with lignins will determine the uniform response of the composite and hybrid materials under stress.⁸⁷ Furthermore, toughening mechanisms from the dynamics of supramolecular interactions can result in extreme enhancement of mechanical pro-



erties. This was demonstrated with the combination of ligno-sulfonates and polyvinyl alcohol (PVA) reaching extreme toughness to strain ratios.⁸⁸ Substituted aromatics of lignins are auspicious to π - π interactions between carbonaceous materials and lignins. This was demonstrated for the exfoliation and stabilization of graphenes nanosheets (Fig. 5b).⁸⁹ In another effort, introduction of lignins to compatibilized carbon additives within a polypropylene matrix improved the interfacial shear by up to two-fold.⁹⁰ As most polyphenols, lignins can interact strongly with proteins through non-specific interactions. Lignins were shown to denature quaternary motifs in proteins, specifically, the lignins intercalated within beta-sheets and alpha-helices in zein proteins (Fig. 5c).⁹¹

When considering oxide surfaces, however, lignin has a rather high specificity towards metal oxides. For instance, the adhesion strength of alkali lignins (purified from pulping black liquor) with metallic oxides, such as alumina or manganese oxide, was up to three folds higher than that with non-metallic oxides such as silica.⁹² This was attributed to the π -cation interaction overcoming electrostatic repulsion. Other hydroxyl-surfaces such as cellulose or chitosan were also shown to have a strong interaction with lignins, although these are considered to be the result of a specific interaction configuration involving multiple binding sites oriented in three dimensions. Such supramolecular interaction was exploited to form cellulose-lignin hydrogels used for fractionation of lignins based on specific interactions.⁹³ Furthermore, there are many examples of lignin-silica hybrid materials and lignin-capped metal oxide nanoparticles such as magnetite, as recently reviewed.⁷⁹ One recent example is the use of lignin to coat silica particles that greatly increased their adsorption capacity towards cobalt(II) ions from water.⁹⁴ The adsorption capacity of 18 mg Co(II) per gram of dry adsorbent at room temperature as three times as high as that of the lignin or silica starting materials together.

2.4.2. Self-assembly of lignins in bulk and at interfaces.

The self-assembly of lignin at interfaces, for instance with other solid components, and to form new interfaces in bulk will determine surface chemistry of the materials they form and their mechanical properties. Additionally, the morphology of the interface is a significant factor when forming nanocomposites and nanohybrids with lignins. As such, particles obtained with an increasing surface area to volume are spherical particles,^{95,96} sheet-like particles (obtained by freezing),⁹⁷ hollow particles,^{98,99} crumpled particles,^{100,101} and fractal aggregates.¹⁰²

In the supramolecular interaction of lignins with other interfaces, the functional group exposed and their configuration will be of key importance. This will completely determine the development of the interphase in nanocomposites but also the mechanical properties of the composite as associated with the adhesive properties at the hybrid interfaces. For lignin films formed with a root mean-square roughness below 1.5 nm contact angles of 46, 52.5, and 55.5° were measured for softwood Kraft, and hardwood milled wood lignins, respectively. These values suggest an intermediate wettability,

however, they are only indicative of the groups self-assembled at the interface, which can be influenced by residual contaminants.¹⁰³ Thereafter, the surface decoration of lignins with functional groups is related to the assembly strategy, as associated with the amphiphilic nature of lignins. For instance, with the hydrophilic group of lignins being oriented towards the outside of the surface for precipitation-driven strategies in polar solvents,¹⁰⁴ and with the hydrophilic groups of lignins being oriented towards the inside of the material for aerosol-formed particles, as a result of the air-water interface.⁹ However, even in the case of the aerosol-formed particles an anionic zeta potential in the range of -30 mV is reported, which suggest charge migration upon exposure to solvent.⁹⁵ The latter is likely to occur as a result of the small molecular weight of lignins, for instance compared with that of polystyrene in latex particles. This phenomenon is likely to be true for most lignin particles preparation as a zeta potential between -40 mV and -60 mV were reported. In fact, low molecular weight lignin molecules enriched in carboxylic groups form the outer surface of lignin nanoparticles.¹³ This observation is true even for particles obtained from separated fractions of the same lignins.¹⁰⁵ For most particles, the zeta potential will have a principal sigmoidal inclination around pH 4 indicating that the carboxylate groups are responsible for their colloidal stabilization. Interestingly, a secondary inflection is observed above pH 11, corresponding to desorption of the more hydrophilic chains from the particles, resulting to an overall decrease in surface charges.⁹⁶ The latter is combined with an increase in solubility as the pH increase beyond the pK_a of the phenolic acids. When hydrolysates obtained by solvo-thermal processing of corncob, corncob residue, vinasse, pubescens, pine, and birch were used to form lignin particles by the solvent-shifting method. Only corncob residue and pine could form stable spherical nano/microparticles.¹⁰⁶ This was attributed to the predominant presence of unoxidized C_{α} , the abundance of guaiacyl units, and the amphiphilic, polydisperse, nature of the macromolecules. These were shown to have a significantly higher impact than the average molecular weight of the lignins.

Self-assembly kinetics of lignins into nano- and microparticles significantly impact the surface chemistry of the constructs.¹⁰⁷⁻¹¹⁰ The assembly conditions have been found to significantly affect the homogeneity of the particles distribution, their charges, and their sizes. The two approaches which have been evaluated the most for particle preparation are acid neutralization, or pH-shifting, and solvent-shifting. In the first case, smaller particle sizes were obtained, as small as 21 nm and typically below 150 nm in diameter. The size was also found to decrease when fractionating Kraft lignins, with fractions with a higher M_w leading to smaller particles, as obtained from pH-shifting (Fig. 6a).¹¹¹ A similar trend, albeit with larger sizes was observed with a similar fractionation process but with organosolv lignins.¹⁰⁵ However, the zeta potential (*ca.* -50 mV) and the polydispersity index (PDI) below 0.1 was measured to be nearly identical for particles obtained from each fractions. Increasing the rate of acidifica-



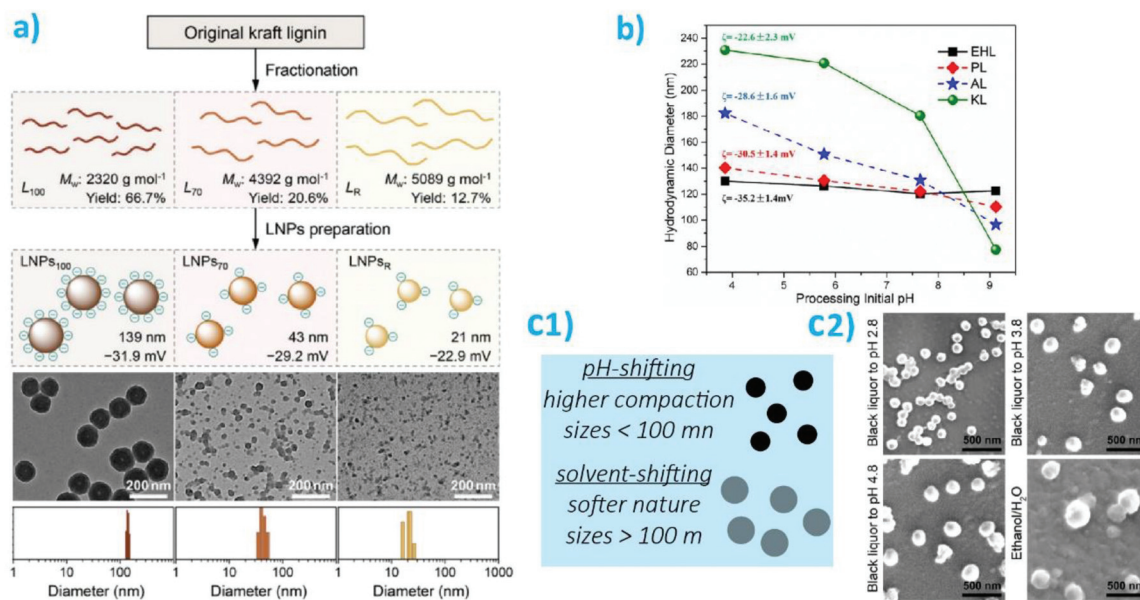


Fig. 6 Illustration of the physico-chemical properties of lignin nanoparticles as a function of preparation parameter. (a) Fractionation followed by pH-shifting (acid precipitation) and resulting nanoparticles as represented schematically and via transmission electron microscopy images. Reproduced with permission from ref. 111. LNP correspond to lignin nanoparticles; (b) illustration of diameter and zeta potential of particles obtained by acid precipitation of enzymatic hydrolysis lignins (EHL), spent liquor lignins (PL), Kraft lignins alkalized (sodium, AL), or Kraft lignins (KL) as a function of pH in the precipitation bath. Reproduced with permission from ref. 113; (c1) depiction of main differences between pH-shifting and solvent-shifting preparations; (c2) Representative scanning electron microscopy images of particles obtained from acidification of black liquor or solvent-shifting. Reproduced with permission from ref. 116.

tion of lignins led to larger particles while increasing the concentration above the overlap concentration led to a reduction in particle size.⁸⁴ Noteworthy, with stronger acids, the larger non-spherical particles are formed from smaller aggregates.¹¹² The same trend was noted across a range of lignins including kraft lignins, alkali lignins, enzymatic hydrolysis lignins and pretreated (hot acid extraction) poplar lignins, when comparing the final pH of precipitation. Lower pH values lead to larger particles. Larger sizes of particles obtained at a pH < 4 were observed for Kraft lignins compared with the three others lignins evaluated, while a smaller size was obtained for the particles formed at a final pH of 9.2 for Kraft lignins (Fig. 6b). This suggests that a smaller subset of lignins may be included in the formation of particles at a higher pHs.¹¹³ Interestingly, pH-shifting (decrease) in conjugation with solvent-shifting led to a decrease in size with increased acidity.¹¹⁴ The latter seminal work induced a wide range of studies on the effect of pH-shifting on particles formation.²³ Importantly, these particles present the optimal properties as filler for nanocomposites as they are expected to present the most compact morphology and the smallest sizes, hence maximizing the available interphase to modulate the mechanical properties of the mixed systems.

In contrast with pH-shifting, the particles obtained by using solvent-shifting, where dissolved lignins are colloiddally precipitated by reducing the volume fraction of the “good” solvent, are typically significantly larger, often 100–300 nm in radius.^{13,115} These results were independent on the lignin

source. These particles generally present a less compact structure, as indicated by the outward diffusion of molecules and macromolecules from their core.^{13,115} In a different effort, fractionation of Kraft lignins led to the same trend in terms of particle size, although the particles were obtained by solvent-shifting.¹¹¹ Solvent shifting led to a lower zeta potential for the smaller particles (from larger M_w fractions), suggesting that fractionation can effectively increase the hydrophobicity of the particles given the adequate particle formation mechanism (Fig. 6c). This trend was further confirmed in a study that compared pH-shifting with solvent shifting, where particles precipitated from black liquor in water ethanol mixtures had sizes of ca. 260 nm while those obtained by acidification reached sizes of ca. 150 nm (Fig. 6a).¹¹⁶ In this effort, the presence of remaining hemicelluloses was found to positively impact colloidal stability. It is expected that particles formed by acidification or solvent polarity shifting of dissolved lignins will have sharply different properties. Although, importantly, while the plant-source for the lignins mattered little on the properties of the formed particles, particularly their surface chemistry, the final properties of the self-assembled particles such as size morphology and surface chemistry, were more significantly affected by the functional groups, pH-response, and solvent-dependent solubility as imparted by the extraction process.²⁷

For integrated processes (*i.e.* one main step), for instance, where steam explosion is combined with organosolv extraction, the particle size decreased from 3–5 μm with a PDI above 0.48 to particle sizes as low as 200 nm and a PDI of 0.34.¹¹⁷



Additionally, the largest well-defined spherical particles were obtained by a one-step aerosol flow reactor ($>20\ \mu\text{m}$), which enable a high versatility in composition of the particles albeit a reduced control over size and surface chemistry, as associated with the receding air–water interface during rapid solidification.^{95,118}

The assembly regime of lignins also provides important insights on the extent of compaction of the particles, and therefore their softness. For instance, rapid diffusion limited aggregation will lead to the formation of looser particles than the slower reaction limited aggregation, for instance when lignin solution undergoes slow solvent exchange.¹¹⁹ The type of lignin will substantially affect compaction too, with the higher repulsions between highly charged lignins or the presence of larger branched chains leading to less compact assemblies. For instance, softwood Kraft lignins were found to form less compact aggregates than hardwood organosolv lignins when evaluating concentrated bulk solutions.¹²⁰ Also, model coniferyl alcohol and coniferyl aldehyde polymerized into dehydrogenation polymers (using peroxidation) formed more compact aggregates than softwood kraft lignin.¹²¹ Furthermore, reducing charge repulsion, for instance by addition of acids, results in a compaction proportionally improved by increasing the acidification rate.¹⁰² Overall, decreasing self-repulsion and aggregation rate while increasing self-interaction will result in the most compact assemblies, with the potential to tune the mechanical properties of the resulting nanoparticles and the nanocomposites as well as nano-biohybrids they form. In accounting for the kinetics of self-assembly, sequential nucleation-growth mechanisms may have detrimental effects on the mechanical properties of the particles due to the formation of discontinuous network. However, this mechanism favors encapsulation of secondary cargo for controlled release applications.¹³ For the commercially obtained powder of alkali lignin, elastic moduli of up to 14 GPa were measured in dry conditions, which were reduced to *ca.* 2 GPa upon adsorption of 18% moisture, suggesting significant swelling and softening.¹²² However, this upper bound of mechanical properties suggest their potential to fine tune the mechanical rigidity of the constructs formed from nanoparticles across a broad range, when compaction of the lignins is maximized and hygroscopic behavior is minimized.

3. Lignin-based nanocomposites

Composites cover a wide range of component dimensions, while hybrids entail constituents at the nanometer and molecular level. Dealing with lignin, functionality is key to access both composite and hybrid materials. Blending of lignin with separate fractions in composites will be introduced in this section, while the preparation of nanosized lignin hybrids will be described in section 4. Composite materials offer feasible routes to large scale applications, in several product categories, including 3D printing.¹²³ In this context, scalability and environmental sustainability is of central importance. A recent

review on life cycle assessment (LCA) of lignin and derived products concluded that lignin offers advantages over fossil-based products when it comes to climate change impact.¹²⁴ To date, only a few techno-economic (TEA),¹²⁵ and LCA¹²⁶ studies have focused on LNPs. The key hotspots appear to be solvent recycling and concentration or drying of LNPs. In addition, wastewater treatment deserves more attention even if the ultimate target is to develop closed-loop processes. In the short and long term, the application of lignin nanoparticles will be further advanced and LCA of final products containing LNP will be essential for process development. The data and insights obtained *via* LCA and related models will be essential to support critical decisions as far as technology and process developments. In this chapter, we discuss selected examples of lignin and nanolignin in different types of nanocomposites.

3.1. Polysaccharide biobased blends and complexes (lignin/cellulose, lignin/starch, lignin/chitosan) – composites and nanocomposites

3.1.1. Lignin/cellulose. Lignin is associated with cellulose and hemicelluloses *via* covalent linkages and non-covalent interactions in plant cell walls. This intimate co-existence of lignin and polysaccharides has sparked interest in lignin/cellulose blends and composites. Cellulose is the most abundant natural polymer and the most common polysaccharide component in lignin-based composites and blends. There are also more complex materials consisting of lignin, a polysaccharide, and a synthetic polymer, but here these ternary composites are not discussed in detail. In all studies published to date on lignin/cellulose blends, cellulose is the matrix component and lignin the filler or surface-modifying material. In general, lignin has a strong affinity to cellulosic materials, which again reflects their close association within native plant cell walls. Cellulose–lignin composite and nanocomposite membranes and films have received increased interest in the past five years (Table 3).^{127,128} Interestingly, cellulosic materials in the micro/nanoscale (cellulose nanofibers or cellulose nanocrystals, CNF and CNC, respectively) as well as soluble cellulose derivatives (carboxymethyl cellulose, cellulose acetate, hydroxypropyl cellulose) but not pulp fibers have been used to fabricate composite films and membranes.¹²⁹ One of the reasons for this could be the relative ease of physically entrapping lignins in the nanofibrillar matrices with relatively small pore sizes compared to those in pulp fiber sheets.¹³⁰ Nevertheless, the stability of lignin against leaching from cellulosic composites is often neglected, with a few exceptions.^{127,131}

The functionality provided by lignin to cellulosic composite films typically includes UV-barrier,^{127,135,136} mechanical reinforcement,^{132–134} water resistance,^{140,143} antioxidant activity,¹² adsorption and antifouling capacity,^{11,128} and antimicrobial activity.¹⁴⁴ Many of these properties can be associated with the complex aromatic structural units of the lignin oligomer or polymer chains rich in substituted phenolic hydroxyl groups. Colburn *et al.* prepared composite membranes containing sodium lignosulfonate in regenerated cellulose matrix.¹²⁸ The composite membranes showed a higher



Table 3 Cellulose–lignin composite membranes and films

| Lignin | Lignin content (wt%) | Cellulose | Composite type | Functionality | Ref. |
|--|----------------------|---------------------------|----------------|---|------|
| Na-LS | 33 | MCC | Membrane | Antifouling, adsorption | 128 |
| LPs | 50–100 | CNF | Membrane | UV barrier, antioxidant activity | 12 |
| Na-CML, Al-CML | n.a. | Cellulose acetate | Membrane | Mechanical reinforcement | 132 |
| SKL, OSL, HL (propionated) | 1.0 | Cellulose acetate | Membrane | Mechanical reinforcement, water resistance, improved metal ion removal (lignin-dependent) | 133 |
| SKL, OSL, HL (as such and propionated) | 1.0 | Cellulose acetate | Membrane | Mechanical reinforcement | 134 |
| KL, AL, OSL ^a | 4.8 | MCC | Film | UV barrier | 135 |
| Propargylated lignin | 0.5–2.0 | MCC (azide-modified) | Film | UV barrier | 136 |
| SKL LNPs | 1.0–50 | CNF | Film | UV barrier, mechanical reinforcement, water resistance, antioxidant activity | 127 |
| Carbonized SKL LPs | 15 | CNF | Film | Electrical resistance | 137 |
| KL, Na-LS | 1.6–25 | TEMPO-CNF | Film | Mechanical reinforcement | 138 |
| SKL (raw and acetylated) | 1.0–9.1 | CNC | Film | UV barrier | 139 |
| OSL | 9.1–91 | CNC | Film | UV barrier | 140 |
| SKL, HKL | 2.4 | CNF + starch | Film | Thermal stability | 141 |
| OSL esters | 0–100 | Cellulose acetate, oleate | Film | Water resistance | 142 |
| OSL (corn cob, HT + EtOH cook) | 0–33 | CMC | Film | Water resistance, reduction of water vapor permeability | 143 |
| KL, OSL (various grades) | n.a. | HPMC | Film | Antioxidant, antimicrobial | 144 |
| OSL (aspen) | 0–100 | hydroxypropyl cellulose | Film | Filler | 145 |

^a Isolated by extraction from steam-pretreated poplar wood. SKL, softwood kraft lignin. HKL, hardwood kraft lignin. KL, kraft lignin (unspecified origin). OSL, organosolv lignin. LS, lignosulfonate. LPs, lignin particles (nano/micro), CML, carboxymethylated lignin. LNPs, lignin nanoparticles. HT, hydrothermal. MCC, microcrystalline cellulose. HPMC, hydroxypropylmethylcellulose. CNF, cellulose nanofibrils. TEMPO, (2,2,6,6-tetramethylpiperidin-1-yl)oxidanyl. CMC: carboxymethyl cellulose.

volumetric flux for water and aqueous humic acid solution (0.1 g L⁻¹) compared to that of the membrane consisting of cellulose only. Manjarrez Nevárez *et al.* fabricated composite membranes by solvent casting 1 wt% propionated lignin (either softwood kraft lignin (SKL), organosolv lignin (OSL), or HL) in cellulose acetate matrix.¹³³ Incorporation of propionated SKL doubled the Young's modulus (1.9 GPa) compared to the value (0.94 GPa) of the pure cellulose acetate membrane, while propionated HL had only a minor impact on the Young's modulus. On the other hand, without propionation, hydrolysis lignin resulted in highest Young's modulus (2.98 GPa) and stress at break (128 MPa) among the films containing crude lignins. Although it was not elucidated if these differences are related to compositional variations between the lignins, propionation seem to reduce the strength of lignins for supramolecular interactions. The results also show that even a low concentration of lignin (1 wt%) can alter the mechanical properties of cellulosic membranes.

One of the classical challenges of cellulosic composites has been to achieve high lignin content and uniform distribution of lignin in the fibrous matrix. The advent of lignin nano/microparticles has greatly facilitated overcoming these challenges by enabling preparation of well-dispersed composites without severe aggregation of the lignin particles (Fig. 7). Farooq *et al.* prepared CNF-colloidal lignin particle (CLP) composite films with theoretical lignin content from 1 wt% to 50 wt%.¹²⁷ They showed that 10 wt% of CLPs maximized ductility of the films prepared from mechanically fibrillated CNFs,

regardless of the surface charge of the particles (anionic or cationic) (Fig. 7a and b). CLPs were superior to the crude lignin as a reinforcing component in films with respect to their capability to improve toughness and selective UV-barrier properties (Fig. 7c). The composite films exhibited antioxidant activity that increased non-linearly with increasing (theoretical) lignin content (Fig. 7d). The authors concluded, based on the multi-scale visualization and analysis of the films (Fig. 7e–g), that CLPs interacted with CNF mainly *via* weak hydrogen bonding interactions. In the presence of moisture, a ball bearing lubrication effect was responsible for the increased ductility of the composite films. Later, Cusola *et al.* also prepared composite films consisting of CNF and spherical lignin particles (Fig. 7h–k).¹² In their case, the LPs originated from an aerosol process were larger and less monodisperse than the CLPs used by Farooq *et al.* Another difference is the lignin content of the composite films. Cusola *et al.* used 50–100 wt% of LPs while the purpose of CNF was to reinforce the particulate network by wrapping adjacent LPs within the nanofibrils. The same effect was observed by Mattos *et al.*¹⁴⁶ where the authors also showed that the polydispersity in size of particles was significantly impairing the cohesion of the composites, where particle sizes <50 nm were reducing the constructs' toughness. The authors showed that a combination of LPs, CNF, and the wet strength agent polyamidoamine-epichlorohydrin resin at respective weight percentages of 90%, 7%, 3% formed cohesive films that did not visibly disintegrate when submerged in water. In addition, their use as filtration membranes for the



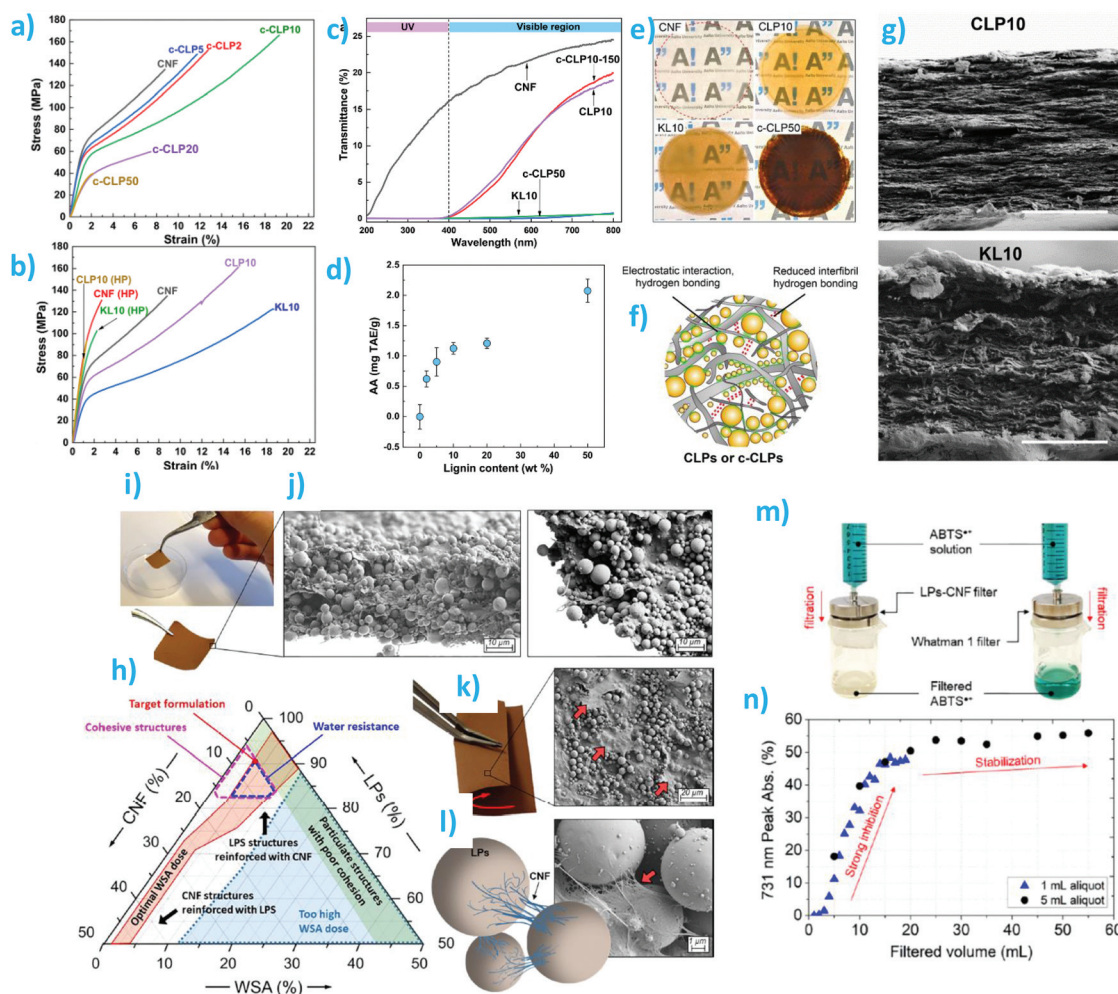


Fig. 7 Composite films and membranes from nanocellulose and spherical lignin particles. CNF-CLP nanocomposite films: (a and b) tensile stress-strain curves of various film compositions. (c) Optical transmittance of CNF film and nanocomposite films containing different lignin contents and morphologies. (d) Antioxidant activity of the films as a function of their theoretical lignin content. (e) Photographs of the CNF film and CNF-lignin composite films. (f) Schematic overview of possible interactions of cationic CLPs and CNF. (g) SEM images of composite films containing 10 wt% of CLPs or crude softwood kraft lignin. Reproduced with permission from ref. 127 Lignin nano/microparticle-CNF films: (h) Ternary phase diagram of CNF, LPs, and wet strength additive. (i) Photograph of the self-standing membrane at 8 wt% CNF content. (j and k) SEM images of the cross sections and top surfaces of the membrane. (l) Schematic diagram and SEM images of bridging of LPs by CNF. (m) Use of the membranes as antioxidant filtration membranes for ABTS^{•+} radical cation solution. (n) Evolution of absorbance reduction as a function filtration volume. Reproduced with permission from ref. 12.

rejection of radical cation dye solution was demonstrated (Fig. 7m and n).

Chemical cross-linking is a common approach to prepare hydrogels,¹⁴⁷ which represent another type of cellulose-lignin composite,^{148–150} in contrast to the composite films and membranes presented above (fabricated using non-covalent methods). Hydrogels are often cross-linked and dewatered to give rise to aerogels that preserve the porous morphology of the original hydrogel. A summary of these cellulose-lignin composites is presented in Table 4. In the case of hydrogels, covalent cross-linking is often used to increase the stability of lignin in the hydrated cellulose fiber matrix. As mentioned above, the cross-linked hydrogels are also viable precursors for aerogels or xerogels. Regardless of the

fabrication method or material type, mechanical, thermal, and chemical stability of the composite are often important for practical applications such as adsorption,^{151–153} controlled release of loaded cargo,¹⁵⁴ fractionation of lignin,⁹³ and enzyme immobilization.¹⁵⁵

Dai *et al.* prepared composite hydrogels by using epichlorohydrin (ECH) to cross-link alkali lignin and microcrystalline cellulose (MCC) (Fig. 8a). MCC was first dissolved in aqueous sodium hydroxide-urea (6 wt% NaOH, 4 wt% urea) solution using a freeze-thaw method and subsequently cross-linked with ECH in the presence of lignin.⁹³ The weight percentages of lignin in three different formulations were 1.3%, 2.6%, and 5.2% relative to the total dry weight of hydrogel. The purified hydrogels were characterized for rheological properties and



Table 4 Lignin as filler and surface modifying agent in cellulosic hydrogels, aerogels, and particles

| Lignin | Matrix | Material | Functionality | Ref. |
|--|----------------------------|-------------------|--|------|
| AL | Cellulose pulp fibers, CNF | Hydrogel | Adsorption (Cu ²⁺) | 151 |
| SEL | MCC | Hydrogel | Controlled release (tannins) | 154 |
| AL (HT-pretreated corncobs) | MCC | Hydrogel | Fractionation of lignin | 93 |
| AL | MCC | Hydrogel | Enzyme immobilization | 155 |
| AL-PEG-NH ₂ | TEMPO-CNF + PNIPAM | Hydrogel | pH and T responsiveness | 147 |
| AL (soda pulping of pine and eucalyptus), CCL, EHL | HEC + PVA | Hydrogel | Adsorption of dye | 152 |
| SL | WS soda pulp fibers | Aerogel | Adsorption of dye | 153 |
| LCC | CNC | Aerogel | Cell culture medium | 156 |
| SL | Wood fiberboard | Fiberboard | Binder | 157 |
| Willow lignin (extracted with IL) | Cellulose pulp fibers | Microfibers | Filler, increased thermal stability and carbon yield | 158 |
| TOFA-esterified SKL LNPs | Cellulose pulp fibers | Suspension | Antimicrobial | 148 |
| LS + chitosan | Cellulose pulp fibers | LbL-coated fibers | Antibacterial | 159 |
| Hydrotropic lignin | Dissolving pulp fibers | Beads | Antimicrobial | 131 |
| Eucalyptus lignin and nanolignin | CNC | Microparticles | Water resistance (hydrophobized particles) | 149 |
| Pine acetic acid lignin (acetylated) | Cellulose acetate | Powder | Filler | 150 |

AL, alkali lignin. LCC, lignin-carbohydrate complex. EHL, enzymatic hydrolysis lignin. PEG, polyethylene glycol., SEL, Swelled Enzyme Lignin. TOFA, tall oil fatty acid. CCL, choline lactate-lactic acid. LS, Lignosulfonate. SKL, softwood kraft lignin.

thermal stability, and subsequently used to fractionate the same alkali lignin that was used in its synthesis (Fig. 8b). The rheological tests of the lignin-containing hydrogels revealed elastic network structure in the low strain range, while a collapse of the material was observed beyond a strain of 3%, which was ascribed to the gel-sol transition. The appearance and microscaled morphology of the hydrogels changed depending on the lignin content. Higher lignin content rendered the hydrogels darker and decreased the pore cell diameter (Fig. 8c). In turn, when these hydrogels were used to adsorb and filter aqueous ethanol solution of alkali lignin, separation of low and high molecular weight lignin fractions increased as the lignin content of the hydrogel increased (Fig. 8d). However, despite comprehensive characterization by NMR spectroscopy, the authors could not explain the association of the lower molecular weight fraction in the hydrogels since the two separated fractions was almost identical with respect to their content and type of functional groups.

3.1.2. Lignin/starch. Starch is one of the most abundant polysaccharides and is available from multiple plant sources. Besides its food use, technical starches are used in the pulp and paper industry, textile industry, and more.¹⁶⁰ Gelatinized starch or soluble starch grades are attractive due to their processability and thermoplastic behavior. It follows that lignin-starch composites and blends have been intensively studied. A large majority of the starch-lignin studies have reported an increase in water resistance of the composite materials compared to those made of starch alone.^{161,162}

Baumberger and co-workers have made a significant contribution to the fundamental understanding, processing, and applications of lignin-starch composites and blends.¹⁶³ One of the key questions has been the compatibility of lignin in a starch matrix. Due to the heterogeneity and broad molecular weight distribution of lignin, incomplete

compatibility has been reported in many cases. Changes observed in mechanical properties suggest a certain level of molecular interactions between various starches and lignin. It has been found that the interactions of lignin and linear amylose differ from those of lignin and branched amylopectin in that in the latter case the association is stronger.¹⁶³ However, the structural heterogeneity of lignin and the variation of molecular weight of lignin from different plant sources and processes make it difficult to predict these interactions. For instance, despite their usual incompatibility, a low molecular weight kraft lignin fraction isolated at early stages of delignification was found to act as a starch plasticizer, increasing elongation at break while decreasing stress at break.¹⁶⁴

There are a number of more recent studies that have pushed the frontier by introducing new and modified lignins to starch-based composites. Kaewtatip and Thongmee compared kraft lignin and acetylated kraft lignin as fillers in thermoplastic starch (TPS) composites at 5 wt% lignin content.¹⁶⁵ They found that tensile strength of the composite containing acetylated lignin was 32% higher than that of the pristine TPS. This increase was almost two times as high as that obtained with the non-acetylated lignin, and indicated that H-bond acceptors (as in acetates) favored plasticizing over H-bond donors (as in hydroxyl groups). The respective water absorption capacities of TPS, TPS-lignin, and TPS-acetylated lignin were 23.8%, 18.6%, and 14.3%. The highest water resistance was due to the acetylation of the aliphatic and phenolic hydroxyl groups that were no longer available for hydrogen bonding with water. Stevens *et al.* prepared starch-lignin foams by dissolving softwood kraft lignin in ammonium hydroxide in the presence of corn starch, and heating the mixture first at 70 °C to gelatinize the starch and then at 170 °C to evaporate water.¹⁶¹ The cellular structure of the



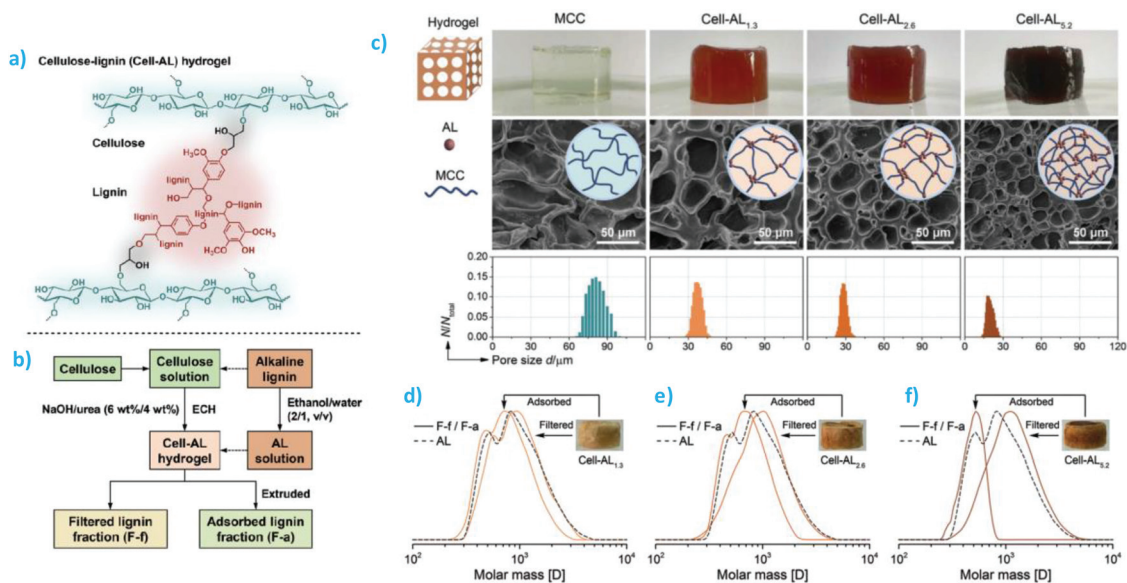


Fig. 8 Synthesis of cellulose–lignin hydrogels for fractionation of lignin. (a) Cross-linking of cellulose and lignin using ECH. (b) Flow chart of synthesis and application of the composite hydrogels. (c) Appearance of MCC and the MCC-AL hydrogels with different lignin contents. (d) Size-exclusion chromatography traces showing fractionation of the original AL solution using the hydrogels with (d) 1.3%, (e) 2.6%, and (f) 5.2% of lignin. Reproduced with permission from ref. 93.

resulting foams did not reveal lignin aggregates on the walls, indicating an absence of any deleterious effects on morphology when 20% of the starch was replaced with lignin.

3.1.3. Lignin/chitosan. Chitosan is obtained by alkaline extraction of chitin from shrimp and crab shells in a process that causes a 75–100% degree of deacetylation and exposes the primary amine group at the 2-position of the glucosamine repeating unit. It is one of the few natural cationic polymers

and there is a rich literature on the preparation of electrostatically stabilized complexes by combining chitosan and lignin. An overview of these studies is given in Table 5. It is apparent that lignosulfonates are common lignin components in these composites, but also other technical lignins such as alkali and soda lignins, kraft lignins, and organosolv lignins have been used to prepare pellets, powders, films, membranes, and hydrogels. Chitosan is a well-known for its antimicrobial

Table 5 Lignin-chitosan composites. HT, hydrothermal

| Lignin | Chitosan | Material geometry | Functionality | Ref. |
|-----------------------------|--|----------------------|---|------|
| AL | 95% deacetylation. | Extruded pellets | Adsorption of dyes | 171 |
| AL | 95% deacet. | Powder | Adsorption of dyes | 172 |
| KL | 85% deacet. | Film | Antioxidant, antimicrobial | 144 |
| Acetic acid-soluble lignin | M_w 340 kDa, 90% deacet. | Film | Thermal stability, ductility | 173 |
| SL | M_w 270 kDa, 90% deacet. | Film | Antioxidant | 174 |
| SL | M_w 270 kDa, 90% deacet. | Film | Antioxidant | 175 |
| HKL, OSL | M_w 500 kDa, 98% deacet., microcrystalline | Film | UV barrier, mechanical reinforcement | 166 |
| Ca-LS | M_w 300 kDa, 95% deacet., microcrystalline | Film | Controlled WCA | 176 |
| SL | M_w 190–310 kDa, 79% deacet. | Film | Antimicrobial food packaging | 177 |
| Na-LS | ≥90% deacet. | Membrane | Proton exchange | 178 |
| AL | M_w 890 kDa, 90% deacet. | Hydrogel, film | Wound healing | 167 |
| LS | Chitosan + PVA | Hydrogel | Wound healing | 179 |
| AL (HT-pretreated corneobs) | Carboxymethyl chitosan (DS ≥80%) | Hydrogel | Encapsulation (trans-resveratrol) | 180 |
| Na-LS | M_w 190–310 kDa, 75–85% deacet. | Hydrogel | Adsorption (Cu^{2+} , Co_2^+) | 181 |
| LS | 97% deacet. | Aerogel | Adsorption of dyes | 182 |
| AL | M_w 190 kDa, 100% deacet. | Microfibers | N/A | 183 |
| SL | M_w 190–310 kDa, 75–85% deacet. | Electrospun fibers | N/A | 184 |
| LS | Medium M_w | Nanoparticles | N/A | 185 |
| Ca-LS | >75% deacet. | Nano/micro particles | Carrier for RNase A | 186 |
| OSL | Low M_w , 80% deacet. | | | 170 |
| Na-LS | M_w 50–190 kDa, 75–85% deacet. | Nanospheres | Antimicrobial | 187 |
| SKL | M_w 100–300 kDa, ≥90% deacet. | Pickering emulsion | Encapsulation (ciprofloxacin) | 168 |
| Na-LS | M_w 30 kDa, 90–94% deacet. | Nanoemulsion | Encapsulation (deltamethrin) | 169 |
| $\text{NH}_4\text{-LS}$ | 95% deacet. | Viscous solution | Adhesive | 188 |



activity and its combination with antioxidant lignin is attractive from the viewpoint of food packaging,¹⁶⁶ wound healing,¹⁶⁷ and different carrier systems for small molecules,^{168,169} and enzymes.¹⁷⁰

An interesting development is the use of chitin nanofibers to nucleate and grow lignin nanoparticles.¹⁸⁹ In this vein, other interesting applications is that of chitosan–lignin composites for emulsion stabilization and encapsulation of active payload. Nguyen *et al.* used high pressure homogenization to prepare corn oil nanoemulsions encapsulating deltamethrin.¹⁶⁹ The nanoemulsion was stabilized by coating chitosan at the oil–water interface and thereafter adsorbing lignosulfonate as an outermost polyelectrolyte layer that provided efficient photoprotection of deltamethrin. The amount of non-degraded deltamethrin after 60 min UV irradiation was approximately 95% in chitosan–lignin coated nanoemulsion droplets compared to only 59% in the case of the free-form deltamethrin.

3.1.4. Lignin/other polysaccharides. Lignin has also been used with other polysaccharides to prepare hydrogels, aerogel, films, granules, filaments, and other morphologies. There are two predominant approaches to prepare these composite materials. The first is based on the formation of calcium chelation complexes of negatively charged polysaccharides, sodium alginate or pectin, in the presence of lignin. The resulting hydrogels have been used as such for instance to give drought

resistance to plants in agriculture,¹⁹⁰ or dried either by freeze-drying to form aerogels,¹⁹¹ or ambient drying to give compact films or granules. Sipponen *et al.* formed lignin–alginate composite beads by mixing enzyme-coated cationic LNPs (based on SKL) with sodium alginate and dropping the hydrogel mixture in calcium chloride solution.¹⁹² The composite hydrogel beads were let to evaporate at room temperature, which gave rise to compact granules with a diameter of about 1 mm (Fig. 9). These granules were demonstrated as efficient and reusable biocatalysts for the synthesis of butyl butyrate in aqueous biphasic mixture.

The second prominent approach to form lignin–polysaccharide hydrogels involves chemical cross-linking of the two components with epichlorohydrin. Many different types of lignins have been cross-linked this way with polysaccharides such as hyaluronan,¹⁹⁴ xanthan gum,¹⁹⁵ and agarose.¹⁹⁶ Another approach is to entrap lignin and polysaccharides, such as hemicellulose maleinate, within a covalent polymer network synthesized from acrylic acid monomers.¹⁹⁷ There are also a few examples of composite manufacture without any ionic or covalent cross-linkers when using alginate, carrageenan, and agar.^{193,198}

The functionality provided by lignin to these composites includes increased adsorption capacity to dyes,¹⁹⁷ and other organic pollutants,¹⁹⁹ carrier systems for active compounds,¹⁹³ thermal stability and thermal insulation,^{191,198,200} as well as

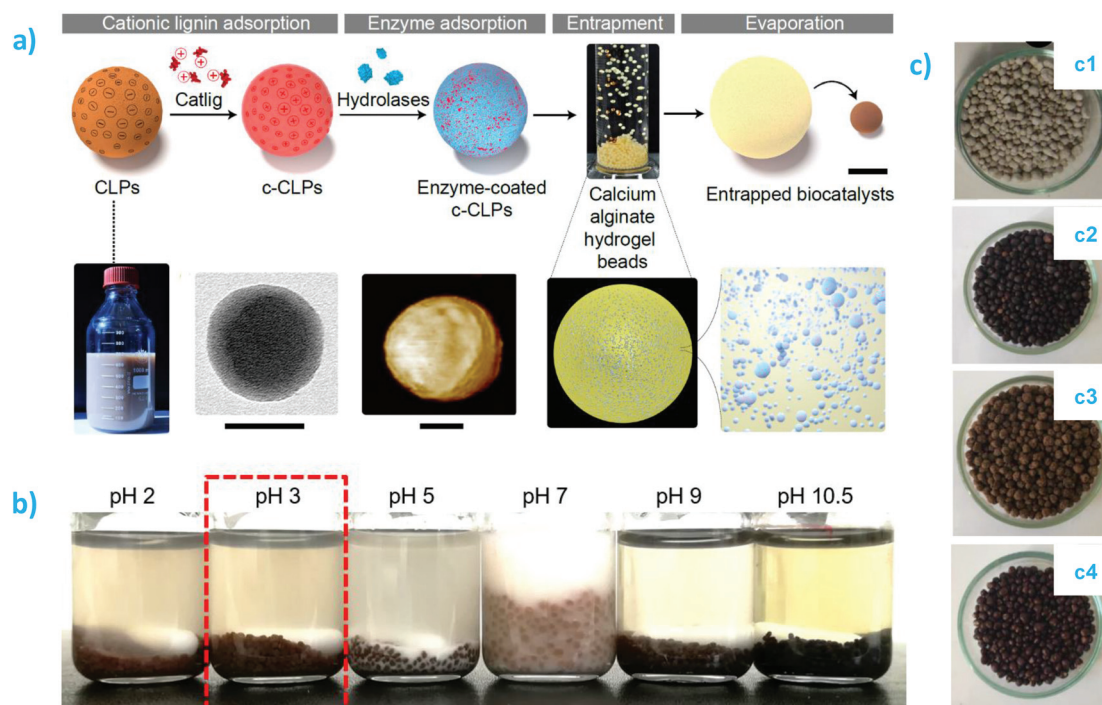


Fig. 9 Lignin–polysaccharide composites: (a) Preparation of biocatalytic composite beads containing enzyme-coated cationic lignin nanoparticles embedded in calcium alginate matrix. The scale bars are 1 mm (composite bead, top row) and 50 nm (TEM and AFM images); and (b) pH stability of lipase@cationic LNP–alginate beads (0.2 M aqueous buffer, 72 h, RT). Reproduced with permission from ref. 192 (c) Appearance of composite coatings on triple superphosphate fertilized granules (TSP, c1) and TSP-coated with lignin–alginate (c2), lignin–carboxymethyl cellulose (c3), and lignin–carrageenan (c4). Reproduced with permission from ref. 193.



mechanical reinforcement.^{196,201} Although not emphasized in most of these studies, the incorporation of lignin in the composites additionally imbues the composites with antioxidant activity and UV-barrier properties. Lignin content is the most prominent factor that defines many functional properties of the composites. The weight fraction of lignin varies broadly from 1 to 90% relative to the total dry weight of the composite. Another important determinant is the type of lignin, and more studies should be made to compare, side by side, SKL, AL, lignosulfonates, and non-industrial lignins isolated from different biomass sources to draw conclusions on the most suitable lignin sources as well as structure–function relationships.

Lignin and lignin-derived biopolymers may offer opportunities for commercial exploitation. They can be used in widely different areas, pulp and paper, plastic, agriculture and others, such as water remediation, biopolymer-based blends (with chitosan, starch and cellulose–lignin composites), all of which have shown promising application potential.

3.2. Protein biobased blends (lignin/plant and animal proteins) – composites and nanocomposites

Protein–lignin blends have also been utilized for the fabrication of composites and nanocomposites. Interest in blending lignins with proteins comes not only from the sustainability aspect, but also from the unique structural characteristics of proteins. Proteins consist of peptide assemblies, which are formed from the vast combination of amino acids.²⁰² Such structural diversity confers proteins and their assemblies a nearly limitless range of possible structures, functions and properties that are also key to sustaining vital biological roles. This well-known fact makes proteins abundant across the natural world, being found in essentially all living organisms. Wider utilization of such materials, however, is mostly hindered by challenges related to their extraction,²⁰³ synthesis and purification, or assembly into functional materials.^{204,205}

Three main strategies, or a combination thereof, are often used for assembling protein-based materials, *i.e.* solvent casting, thermoforming and extrusion.²⁰⁶ Certain solvents, pH conditions or high temperatures are often used for denaturing proteins, thus promoting conformational changes and new interactions previously inexistent to the native protein. Temperature and shear stresses are also known to result in higher plasticity, with the former also contributing to increasing the degree of cross-linking.²⁰⁵ Regardless of the processing strategy, additives are essential for improving processability and materials properties, as brittleness and poor water resistance are recurring challenges. In such a context, lignins have been utilized as a sustainable additive for protein-based biodegradable and functional materials. Overall, the most common types of animal- and plant-based proteins investigated for bionanocomposites, especially for packaging and bioplastics applications, are gelatin and soy proteins.²⁰⁵

3.2.1. Gelatin- and collagen/lignin. Gelatin, derived from the partial hydrolysis of collagen, has a triple-helix structure containing multiple carbonyl and amine groups. Glycine,

proline and hydroxyproline are the main amino acids that form gelatin. The exact composition and sequence, however, vary depending on the source and result in different critical gelation temperatures.²⁰⁷ When cooling an aqueous suspension of gelatin through the gelation temperature (*ca.* 35–40 °C for bovine gelatins), a transition from coil to helix structure occurs and results in physically cross-linked gels. Gelatin can form gels at many different concentrations and has good film forming properties. Such characteristics, allied to biodegradability, make it an attractive material for packaging applications. High brittleness, high moisture absorption and low thermal stability, however, are detrimental factors often hindering good performance.^{208,209} Therefore, lignin blends are utilized to provide better mechanical and barrier properties to gelatin and collagen-based materials in dry and in wet conditions.

Ojagh *et al.* investigated fish gelatin–soda lignin (Protobind 1000) films for the preservation of salmon muscle during high hydrostatic pressure treatment.²¹⁰ This treatment is used for extending the shelf-life for additive-free foods and utilizes pressures above 200 MPa. The films were prepared using sorbitol and glycerol as plasticizers and at pH 11 for solubilizing lignin. It was shown that such films were effective in reducing lipid oxidation during 23 d of refrigerated storage and could be easily separated from the fish meat. Furthermore, Núñez-Flores *et al.* showed that lignin-containing gelatin films presented antioxidant capacity at non-cytotoxic concentrations for fibroblast 3T3 cells.²¹¹ In fact, the concentration at which lignosulfonate was shown to be cytotoxic was 15- to 20-fold higher than that required for producing the antioxidant effect. Better water resistance was also achieved, as the gelatin–lignosulfonate blends containing 20 wt% lignin decreased water solubility in *ca.* 50% when compared to lignin-free gelatin films. Moreover, in the study by Belgodere *et al.*, lignosulfonate and alkali lignin also did not show cytotoxicity against adipose-derived stromal cells, while resulted in gels with higher stiffness and attenuated shear-thinning behavior.²¹²

From the mechanical properties perspective, gelatin–lignin films can have robust performance, mostly because of H-bonding and hydrophobic interactions.²¹³ In the work of Mehta & Kumar, gelatin–alkali lignin blends were mixed with a biobased ionic liquid (choline citrate) additive, resulting in high tensile strength (*ca.* 70 MPa) and large elongation at break (*ca.* 200%).²¹⁴ The ionic liquid was said to increase the amount of hydrogen-bonds in the system and to induce antimicrobial activity. Although such antimicrobial activity might have been influenced by leaching of the ionic liquid, choline-based ionic liquids are considered of low to negligible toxicity and good biodegradability.²¹⁵ The same study also observed the UV-blocking properties of the composite film, which is another important performance aspect needed for packaging materials. 98% blocking efficiency for UV-B light was achieved by the addition of 1.5 wt% lignin, resulting in a sun protection factor of about 45.²¹⁴

Interestingly, gelatin–lignin blends have also been used for their binding capacity at high temperatures. Such blends



were used for binding anthracite fines into robust bricks used for substituting foundry coke.^{216,217} As shown by the compressive strength of the bricks after pyrolysis, hardwood lignin performed better than softwood lignin (*ca.* 6.5 MPa against *ca.* 3.2 MPa). The good mechanical stability and char formation capacity brought by lignin was key for making anthracite bricks of high energy density that displayed comparable or higher combustion efficiency than coke in full scale tests involving *ca.* 8 tons of anthracite.²¹⁷ Zhao *et al.* recently studied the synergistic interactions occurring between lignin and collagen during pyrolysis.²¹⁸ In short, they concluded that collagen effectively enhance the fusing propensity of lignin, resulting in more aromatic rings and char yield than pyrolyzed lignin or collagen alone. Such efforts have also been used for the development of other lignin-gelatin or lignin-collagen precursors for carbon-based materials, such as in the case of specialty graphites and graphite electrodes.²¹⁹

3.2.2. Soy proteins/lignin. Soy proteins are among the most abundant plant-based proteins, and they are widely available for utilization. Despite the importance of soy-based products in human nutrition, soy proteins are produced in excess and may be considered a byproduct from the soybean oil industry.²²⁰ Soy proteins, mainly a mixture of albumins and globulins, are abundant in soybeans and are present in three different types of protein-rich products, *i.e.* soy flour (*ca.* 50 wt% protein), soy protein concentrate (*ca.* 70 wt% protein) and soy protein isolate (SPI – *ca.* 90 wt% protein). Processing of soy proteins is generally difficult if compared to conventional thermoplastic polymers because of the lack of a clear melting point.²²¹ Therefore, most commonly, soy proteins are processed *via* solution casting. Resulting materials may be water sensitive and susceptible to microbial growth. Such considerations motivate the use of additives such as lignin.²²⁰

Processing of lignin-soy protein composite films and coatings can be carried by aqueous solution casting using nearly ambient temperature,²²² or by compression molding at temperatures exceeding 100 °C.²²³ For adhesives, hot pressing at high temperatures is also often utilized.^{224–226} For such application, Pradyawong *et al.* tested kraft lignin particles ball milled to different sizes (*i.e.* 35, 19 and 10 μm) as reinforcement fillers.²²⁴ They observed that the smaller particles at 20 wt% resulted in the best performance, with a 53% higher wet strength in relation to pure soy protein adhesives without reinforcement.

Interestingly, nanofibers could also be produced *via* solution electrospinning (Fig. 10a).^{227,228} Salas *et al.* used an aqueous solvent consisting of 10 vol% acetonitrile in 0.1 M NaOH to dissolve polyethylene oxide (PEO), soy protein (or glycinin, one of the main constituents of soy proteins) and kraft lignin.²²⁷ PEO (400 kDa) was used at a concentration of *ca.* 10 wt% of the total mass of the lignin-protein mixture for improving miscibility and hydrogen bonding in the system. At increasing lignin amounts, from *ca.* 20 to 80 wt%, the diameter of the nanofibers increased from about 124 nm to 400 nm. Furthermore, wetting properties of the fiber mats were similar to those from adhesives reported by Pradyawong *et al.*²²⁴ with lower lignin amounts having higher contact angles (Fig. 10b). Such results were attributed to the rearrangement of the hydrophobic parts of the denatured proteins towards the surface of the composite fibers.²²⁷ The small differences between glycinin and the soy protein isolate might be explained by structural and conformational differences of the two main protein components of soy (glycinin and β-conglycinin) upon adsorption.²²⁹

3.2.3. Other lignin/protein composites and future remarks. Other sources besides gelatin and soy proteins have also been used for composites with lignin, such as gluten,²³⁰ zein,²³¹

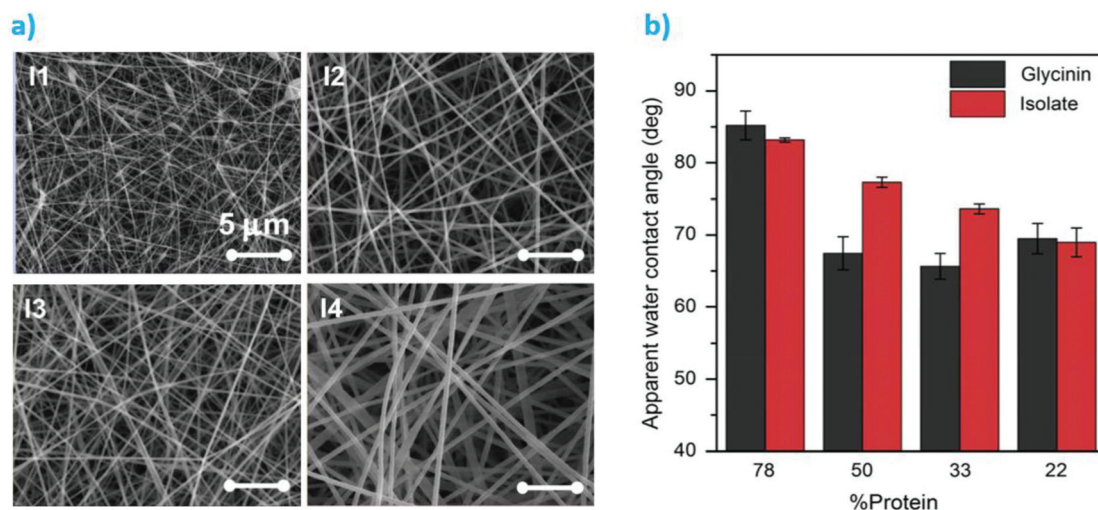


Fig. 10 (a) Electrospun soy protein isolate-kraft lignin fibers containing 10 wt% PEO. I1, I2, I3 and I4 correspond to increasing lignin concentrations of 22, 50, 67 and 78 wt% (lignin + protein), respectively. (b) Water contact angles of the electrospun materials produced from soy protein isolate or from glycinin at different protein–lignin ratios. Reproduced with permission from ref. 227.



and keratin.²³² Most of such lignin-protein-based blends have been utilized for the production of 2D materials – *i.e.* films, adhesives and coatings – aimed at substituting synthetic, non-biodegradable plastics.²⁰⁶ More recently, energy-related applications and carbon-based materials have also been evaluated.²³³ Such applications are expected to become increasingly relevant due to the good char-forming properties of lignins assembled with proteins. For large scale implementation of such alternatives, it is important that future research focuses on using processing methods that can be easily translated to industrial scale. For instance, solvent casting methods are often impractical, while extrusion and thermoforming are most often preferred by industry.²⁰⁵ Furthermore, resource optimization for generating the least possible environmental impact will become increasingly important. Thus, proteins offer great opportunities in biodegradable sustainable materials. For large-scale implementation, however, the source of proteins should be carefully evaluated. Wide availability and non-competing interests to human nutrition in the short and long term are of utmost importance, remembering that current demand landscape for food proteins may change significantly in the near future.

Recent advances in the field of biopolymer processing are highly promising for the design of lignin based, sustainable, low cost composite materials. Rational next steps in sought developments for biobased polymers/lignin composites need to be focused in facile methods that offer effective lignin fractionation and facile chemical modifications. All of the above need be coupled with detailed evaluations of the polymer and other physicochemical characteristics of resulting materials. Research on lignin-based composite should be conducted to break through the biggest limitation of polymer material blending “trade-off” effect, and to allow for its large-scale application, to protect the environment, save resources, and for green and sustainable development. If this is properly done, it is anticipated that lignin-based materials will continue to see a rapid expansion towards novel advanced and demanding applications. We strongly believe this could lead to the creation of a wave of lignin-based bioproducts.

3.3. Lignin and synthetic biopolymers in composites and nanocomposites

Herein the use of synthetic biopolymers is beneficial since besides carbon cost considerations, the environmental friendliness of the formed materials might be positively impacted. Thereafter, three aspects of the technical issues associated with lignin based composites and nanocomposites and their prospective applications should be considered, namely, the use of lignin (modified or not) to fabricate lignin-based thermoplastics, the theoretical basis for blends with high lignin contents, and the methods for realizing efficiently biodegradable lignin-based plastic materials.²³⁴ Actually, there is a considerable confusion in the literature about the definition of the polymer/lignin combination. Authors identify it either as a composite or as a blend. The differences should be considered depending on the interactions, which determine the

mutual solubility of the phases and the strength of interfacial adhesion in heterogeneous systems.²³⁵

Looking at blend preparation, we will also consider here the development of lignin-based thermoplastics with prevalent lignin component or, at least, high lignin content (more than 30 wt%), to identify lignin as a matrix and not as a filler. To achieve large-scale application of thermoplastic materials with high lignin content, the inherent thinking must be fundamentally novel. As stated earlier by Falkehag *et al.*, “in the attempted uses of lignin to meet polymer or materials needs, one should not just try to ‘replace’ a synthetic component, but to take new innovative approaches where the uniqueness of lignin as a macromolecule should be exploited”.²³⁶

Lignin contains a variety of potential polymerization sites (abundant phenolic and aliphatic hydroxyl groups), with different polarities, that should be considered when improvement of miscibility needs to be reached.²³⁷ It is known that lignin molecule is relatively polar, due to its numerous hydroxyl groups, and generally presents good compatibility with polar polymer matrices. Therefore, lignin is immiscible with most polymers: this is often related to strong intermolecular interactions of lignin which result in poorer interactions between the polymer and lignin. Moreover, lignin tends to aggregate in biopolymers, such as biomass based or petroleum-based biomaterials, owing to the π - π stacking of hydrogen bonding, its aromatic rings and van der Waals forces between the polymer chains, which can impair the properties of the resulting composites. At present, there are three major approaches to enhance the miscibility of two polymeric matrices that remain useful even when one of the two components, actually the prevalent one, is lignin-based.

Even being at the limit of considering lignin as a filler instead of a matrix, excluding the chemical modification of the lignin to reduce its polarity, two useful approaches can be considered to enhance the compatibilization with biobased polymers: graft copolymerization of lignin with other biopolymers, and addition of compatibilizers to improve interfacial compatibility with biobased matrices.^{238,239} Reducing the analysis to the last two methodologies, graft copolymerization of lignin is certainly a common modification method to form, in general, stable covalent bonds between lignin and polymers. Graft copolymerization provides possibilities to combine advantages of physical and chemical properties of both natural lignin and synthetic polymers:²⁴⁰ generally, well-defined graft copolymers can be prepared *via* a “grafting through” process, a “grafting from” controlled polymerization process or a “grafting to” process such as “click chemistry” (Fig. 11a). After activation, the hydroxyl functional groups in lignin form seeding sites for the subsequent graft copolymerization using lignin macromolecules as initiators. The graft copolymer is usually composed of lignin as the backbone chain and one or more types of polymers as the branches linking to the backbone by covalent bonding. The properties of the graft copolymer depend on the graft groups, the graft length, and the graft density.²⁴⁰



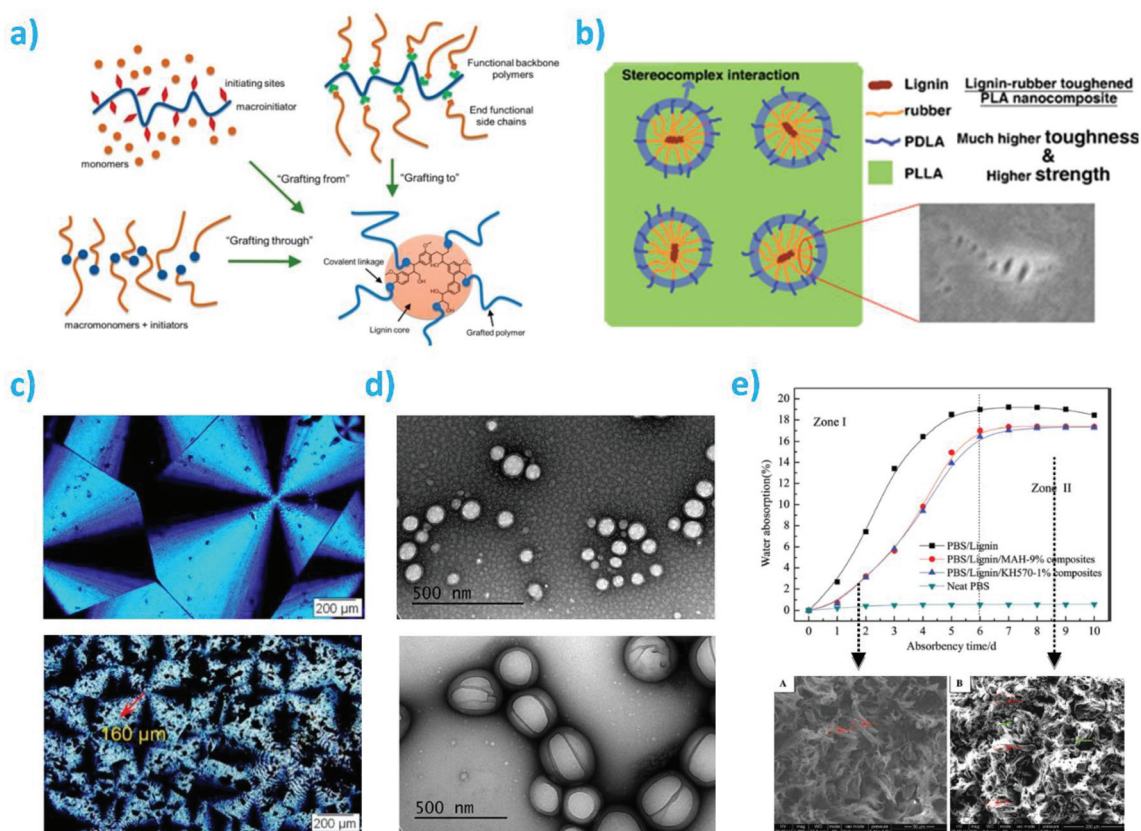


Fig. 11 (a) Strategies for lignin based graft copolymers synthesis; reproduced with permission from ref. 252; (b) PDLA segments contribution to interfacial interactions between lignin-rubber-PDLA and PLLA matrix by stereocomplexation: poly(ϵ -caprolactone-co-lactide) (PCLLA) rubbery layer formed via lignin-initiated ring opening copolymerization of an ϵ -caprolactone/L-lactide mixture, followed by the formation of poly(D-lactide) (PDLA) outer segments via the polymerization of D-lactide; reproduced with permission from reproduced with permission from ref. 242; (c) POM micrographs of PHBV and PHBV-*g*-lignin crystallized at 90 °C, reproduced with permission from ref. 243; (d) TEM pictures of biopolymeric nanoparticles from LGN/PLGA 1 : 2 w/w and LGN/PLGA 1 : 6 w/w ratios; reproduced with permission from ref. 246; (e) water absorption profiles of polybutylene succinate (PBS):lignin at 50 : 50 wt/wt in presence of silane and maleic anhydride (MAH) compatibilizers and their related morphologies PBS/lignin and PBS/lignin + MAH (9%). Reproduced with permission from ref. 253.

Grafting from ring opening polymerization (ROP) of cyclic ester on lignin was performed by Ren *et al.*²⁴¹ and Sun *et al.*²⁴² Poly(ϵ -caprolactone-co-L-lactide) (PCLLA) was grafted first on a lignin in the presence of tin(II)-2-ethylhexanoate (Sn(Oct)₂) as catalyst. Then, D-lactide (DLA) was further polymerized on lignin *graft*-PCLLA outer segments. The prepared lignin-*graft*-PCLLA showed rubber-like behavior that is mainly induced from characteristic soft properties of PCLLA without lignin. Subsequently polymerized DLA possesses a high degree of crystallites which yields overall semicrystalline properties of finally produced lignin-*graft*-(PCLLA-co-PDLA). The stereocomplex crystallites region of the lignin graft polymer accounts for the superior mechanical properties and thermal resistance compared with homo PLA. Therefore, complexation between the PDLA and PLLA matrix contributes to strong interactions at the interface of the lignin-rubber copolymer and PLLA (Fig. 11b).

In situ free radical reaction has been also considered as a suitable methodology to graft biopolymers to lignin. In this sense, Luo *et al.*²⁴³ reacted softwood kraft lignin to another

radical containing poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV). For both PHBV and lignin, dicumyl peroxide (DCP) was mixed to the polymers at 185 °C to generate radicals. The incorporation of lignin improved the Young's modulus as compared with PHBV homopolymer, while both tensile strength and strain at break decreased. A key reason for the improved properties was the reduction in the crystallinity degree through grafting, as revealed by polarized optical microscope (POM) observation, where it was found that spherulites in grafted biopolymers were significantly greater in number and smaller in size than those found in neat PHBV and simple blend (Fig. 11c). Panesar *et al.* reported their attempts at the synthesis of poly(vinyl acetate) grafted kraft lignin copolymers.²⁴⁴ The thermal stability of the grafted copolymer was slightly inferior to that of the pure poly(vinyl acetate). However, the glass transition temperature (T_g) increased substantially after the introduction of aromatic rich rigid lignin structures. Later, Chung and coworkers also reported an increase in the T_g of polylactic acid when grafted on lignin. They described a 40 °C increase in T_g when the lignin content was increased from



1 wt% to 50 wt%.²⁴⁵ They developed a catalytic and solvent-free method for the synthesis of a lignin-*g*-poly(lactic acid) copolymer to improve the miscibility of lignin with other biopolymers. In their method, graft polymerization of lactide onto lignin was catalyzed by triazabicyclodecene (TBD). Pre-acetylation treatment or varying the lignin/lactide ratio demonstrated to be useful to control the PLA chain length. It was evident that a high grafting efficiency and preferential grafting on lignin was on aliphatic hydroxyls over phenolic hydroxyls. In all cases enhanced elasticity, superplasticizer capability, UV absorbent capabilities and surfactant capabilities have been found for lignin-based graft copolymers.²⁴⁰

Lignin has been also covalently linked to poly(lactic-*co*-glycolic acid) (PLGA) for the synthesis of polymeric nanoparticles without the need for surfactants for stabilization purposes.²⁴⁶ Lignin-*graft*-PLGA NPs were made in a wide range of sizes (Fig. 11d) and surface charges by changing the lignin/PLGA ratio, addition of more PLGA resulted in an increase in the nanoparticle diameter. Nanofibers were also produced starting from PHBV grafted lignin: in Kai *et al.*,²⁴⁷ β -butyrolactone was grafted onto the lignin core by using solvent-free ROP, and then different amounts of lignin-PHB copolymers were blended into PHB and then engineered into nanofibers *via* electrospinning. A further evolution of grafting procedures relies in the possibility of using hybrid lignin (in combination with silica) as a polyol macroinitiator for ROP of ϵ -caprolactone monomer.²⁴⁸ The authors observed that decreasing the monomer/OH ratio from 22 to 5.5, melting temperatures (T_m) and degree of crystallinity (X_c) drop from 57 °C and 70% to 55 °C and 57%, respectively.

If the grafting procedures are at the boundaries of considering lignin as a matrix in the blend or composite, lignin has been indeed successfully blended with many different biodegradable polyesters, such as poly(lactic acid), poly(caprolactone), poly(hydroxybutyrate), poly(butylene succinate) and poly(3-hydroxybutyrate-*co*-hydroxyvalerate), in presence of different compatibilizers,²⁴⁹ to produce environmentally friendly materials. Actually, due to its inherent fragility, most of the cited papers considered the widespread use of the synthetic biopolymers, instead of prevailing lignin. Few examples can be found where lignin was effectively the predominant matrix. Examples of thermoplastic polymers made with 85 wt% kraft lignin content and even 100 wt% alkylated lignin can be found.²⁵⁰ The 85 wt% kraft lignin polymer was a blend of underivatized industrial kraft lignin with poly(vinyl acetate) (90 000 g mol^{-1}) and diethyleneglycol dibenzoate in a 16 : 2 : 1 weight ratio; in those works, the authors demonstrated that the properties of these high lignin content thermoplastic polymers can be assimilated to the performance of currently existing petroleum-based polymers.

As stated before, one strategy to be used for improving blend compatibility between lignin and polymers is the use of compatibilizers based on esterified version of the same synthetic fraction. For instance, maleated polycaprolactone was shown to result in blends with better properties than when no compatibilizer was used.²⁵¹ It was shown that thermal-molded blend sheets of organosolv lignin esters of $n = 3-5$ with PCL

attained $\geq 500\%$ of elongation at rupture at 20 °C even when the blends contained 50 wt% of the respective esterified lignin components, reflecting the good miscibility of these organosolv lignin esters with PCL.

Nitz *et al.* melt-blended biodegradable aromatic polyester with hardwood organosolv lignin and soda lignins from sisal and abaca to obtain materials with up to 50 wt% lignin contents.²⁵⁴ The blends of the polyester and any of the lignins at 30% or lower exhibited elongation at break above 500% and very high impact strength. At up to about 40% lignin content, the materials had about the same or slightly higher Young's modulus and yield stress as the neat polyester. At 50% lignin content modulus and yield stress were significantly higher, particularly in the case of lignin from sisal.

Polycaprolactone and hardwood organosolv lignin showed an increase in Young's modulus (particularly when lignin content was 60–70 wt%), a moderate decrease in yield stress, a significant decrease in impact stress, while maintaining a high strain.²⁵⁵ The effect of a relatively high amount of grafted lignin (20%) was also considered by Kumar *et al.*:²⁵⁶ the combination of E-beam irradiation in the presence of 3 phr triallyl isocyanurate (TAIC) gave, as a result, the formation of PLA-TAIC-Lignin crosslinked structures which act as an interface between the dispersed lignin phase and PLA matrix and hence improved their compatibility in the resulting blend.

Polybutylene succinate (PBS) was also considered in combination with 50 wt% of lignin,²⁵³ where the authors demonstrated that maleic anhydride (MAH) grafted polymers and silanes induced significant improvements in flexural and tensile behavior. Furthermore, both lignin treatment and MAH addition can lead to a reduction in composites hydrophilicity (Fig. 11e). A recent work was published dealing with the incorporation of high fractions of lignin (50–70 wt%) in different bio-polyamides,²⁵⁷ demonstrating that an organosolv hardwood lignin can be successfully blended, with the maximum processable lignin/PA blend ratio being 70/30 wt%, justifying, on the basis of reduced crystallinity and viscosity, the use of these blends as precursors for carbon fiber production. Blends containing poly(hydroxybutyrate) (PHB) and L-ER (variable content between 10–35 wt%) were also prepared and the interaction of epoxy groups of L-ER either with the end groups of the PHB or with diaminodiphenylmethane (a cross-linking agent), achieved a satisfactory homogeneity of the blends, totally degradable after 45 days.²⁵⁸

Rare examples of biopolymeric nanocomposites containing grafted or high lignin content can be found, essentially related to the mixing of clay, such as in the case of organo-modified clay.²⁵⁹ The prepared lignin/organoclay mixtures were mechanically mixed and subjected to subsequent melt intercalation. In general, thermal extrusion of the lignin/organoclay mixtures led to a slight increase in T_g , while the result of lignin condensation reactions increased the interaction with the organoclay and caused a significant intercalation of lignin into the galleries of the silicate layers of the organoclays.

In conclusion, although the physiochemical properties of lignin do not render it the best candidate for simple blending



with other polymers, the simplicity of this concept has led to attempts of its blending for various uses. Romhányi and colleagues shed some light on the resulting properties of these systems,²⁶⁰ by concluding that miscibility, structure, and properties can be predicted with simple theories that preliminary look at the interaction strength parameters, such as in the case of evaluation of Flory–Huggins interaction parameter. Several efforts indicate the use of lignin in composites and blends, either as a matrix material, additive, filler, or strengthening agent. Mixtures involving lignins have been shown with bio/synthetic polymers. In most lignin/polymer blends, poor mechanical features are observed, even when compatibilizer are used. Efforts have been made to chemically modify lignin, *e.g.*, with given functional groups targeting enhanced miscibility, compatibility, and superior performance in lignin-polymer systems.

3.4. Lignin as filler in natural matrices – composites and nanocomposites

Lignin has been applied to natural matrices originated from multiple sources, from animal to plant origin. However, cases where natural materials are isolated in large scale and utilized in material production that outperform synthetic ones are not very common. One recent example relates to the synthesis of elastomers based on castor oil and lignin.²⁶¹ Natural rubber (NR) is also a prime example of a material with such characteristic and is the focus of this session where lignin is used as an alternative filler in natural rubber composites. NR has been traditionally produced from *Hevea Brasiliensis* in regions of South America, South East Asia and small areas in Africa. It is mostly composed of poly(*cis*-1,4-isoprene) particles of *ca.* 1 μm and 0.2 μm stabilized by rubber elongation factor and small rubber particle protein (respectively, HbREF and HbSRPP) assembled into a monolayer at the particle surface. Such proteins are also known to promote rubber biosynthesis.²⁶² Despite of the vast number (>2.500) of plants species from where NR can be extracted, many of them do not generate high enough yields or materials with the necessary quality. The molecular weight of poly(*cis*-1,4-isoprene) produced by *H. Brasiliensis* is about 1.3 MDa, while that produced by other plants may be much lower (*e.g.* <10 kDa), which may impair mechanical properties.²⁶³ Alternative sources of NR, such as *Parthenium argentatum*, exist and are under frequent evaluation.

Considering production volume and function, tires are among the most important products containing NR. Tires are mostly composites having a range of additives, such as sulfur, metal wires, carbon-black, inorganic particles and others intended to aid processing or to improve durability and performance. Importantly, not only for tire applications, vulcanization is widely utilized for enhancing mechanical properties. This process is made by adding elemental sulfur and processing at temperatures around 140–200 °C, resulting in the NR crosslinking. Enhancement of mechanical performance is also achieved by adding *ca.* 20 parts per hundred rubber (phr – conventional measure used for NR composites) of carbon

black (CB) as a reinforcing filler. CB is composed of roughly spherical particles of a few tens to a few hundreds of nanometers organized in the form of particle aggregates surrounded by a thin layer (*ca.* <3–10 nm) of tightly bound NR.²⁶⁴ Interestingly, such layer has been reported to have a much larger elastic modulus (*ca.* 1 GPa) compared to the neighboring NR layer (*ca.* 60 MPa) or to the main NR matrix (8 MPa).²⁶⁵ When CB accounts for less than *ca.* 40 phr, the composite mechanical properties are dominated by hydrodynamic interactions between the CB aggregate and the matrix. On the other hand, when the CB content of the composition is higher than that, such aggregates form a network that further reinforce the composite.²⁶⁴

CB has been traditionally produced from fossil fuel-based sources and is also known to release considerable amounts of CO₂ during production. Therefore, since the 1940s, lignins have been evaluated as a possible replacement for CB.²⁶⁶ Different mixing methods have been tested for achieving proper dispersion of lignin in the NR matrix. Optimal mixing is usually achieved by a coprecipitation method, where lignin is dissolved in an alkaline aqueous solution and then mixed with the NR latex. Such mixture is then precipitated by slowly adding an acid (*e.g.* H₂SO₄).^{267,268} The precipitate is then used for further drying and vulcanization. This method results in composites with smaller and more homogeneous particles aggregates than *via* dry mixing, as shown by Barana *et al.* (Fig. 12a1,2).²⁶⁷ Also, Ikeda *et al.* demonstrated that such better dispersion directly affected the tensile strength of composites having 5, 10, 20 or 40 phr of Kraft lignin (Fig. 12b1,2).²⁶⁸ In fact, it was observed that the lignin particles dispersed through coprecipitation had a similar network-forming behavior and reinforcing mechanism to that of CB. The importance of surface functional groups and interfacial affinity was demonstrated in the work of Jiang *et al.* They made hybrid cationic colloids by slowly adding an alkaline solution (pH 12) of sulfate lignin to an equally alkaline solution of poly(diallyldimethylammonium chloride) under vigorous stirring. The resulting cationic particles suspension was added to the latex and further processed into vulcanized NR composites containing 1, 3, 5 and 7 phr of filler. When compared to unmodified sulfate lignin processed through the same coprecipitation method, the cationic particles resulted in better dispersion, mechanical properties, thermal stability and degree of crosslinking.

Antioxidant properties have been shown to correlate with lignin type and network formation. Barana *et al.* compared the performance of five different types of lignins (*i.e.* soda grass, softwood kraft, hardwood kraft, steam exploded wheat straw, and rice husk from a mild sodium hydroxide extraction) at 15 phr in composites produced through the coprecipitation method.²⁶⁷ They noticed that, despite of the different botanical origin and extraction process, smaller molecular weight and higher content of phenolic hydroxyl groups resulted in higher oxygen induction time (Fig. 12c1). These results were mostly attributed to the well-known antioxidant activity of phenolic hydroxyl groups as well as to the higher mobility and



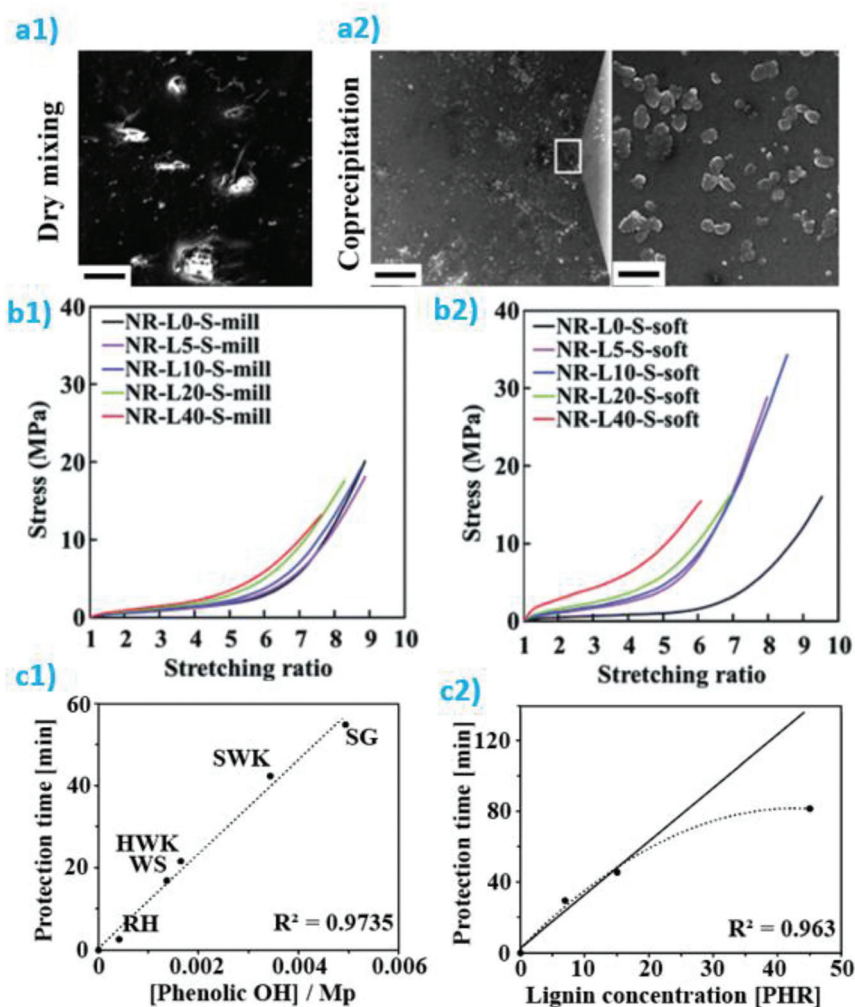


Fig. 12 SEM images of a NR composite reinforced by 15 phr soda grass lignin particles mixed *via* (a1) dry mixing or (a2) coprecipitation. Reproduced with permission from ref. 267. The scale bars are 40 μm (left and center) and 4 μm (right), respectively. Tensile tests for NR composites having 0, 5, 10, 20 and 40 phr sodium lignosulfonate and processed *via* (b1) dry mixing or (b2) coprecipitation. Reproduced with permission from ref. 268. Oxygen induction time results depicting the onset of exothermal peak of samples heated to 170 $^{\circ}\text{C}$ in nitrogen and further isothermally exposed to oxygen atmosphere: (c1) results as a function of phenolic hydroxyl groups and molecular weight from lignins of different origins (*i.e.* RH = rice husk, WS = wheat straw, HWK = hardwood from Kraft process, SWK = softwood from Kraft process, and SG = soda grass). (c2) results as a function of SWK lignin concentration. Reproduced with permission from ref. 267.

solubility of low molecular weight lignins in the rubber matrix. Upon heat exposure during the processing, such antioxidant effect protected the composite and ultimately resulted in better mechanical properties. Importantly, improvement in antioxidant activity did not exactly correlate with the loading of lignin particles at concentrations higher than 15 phr (Fig. 12c2). At such high concentrations, upon particle addition, the size of aggregates increases and network formation results, similarly to CB. When this occurs, particles addition does not effectively translate to increased interfacial area between filler and matrix, which is of fundamental importance for the antioxidant effect.

In conclusion, lignin can be effectively used for improving mechanical and thermal properties of NR composites. Surface functionalization can be effective for aiding in the vulcaniza-

tion process. The coprecipitation method showed some of the best results for achieving proper dispersion, similar to that of CB fillers. This method, however, may be impractical for industrial application.²⁶⁹ Therefore, further research is needed aiming at refining the processing conditions not only for optimal performance, but also for industrial implementation. For further insights on the state of the art on such composites, the reviews by Roy *et al.* and Aini *et al.* are suggested.^{269,270}

3.5. Lignin as filler in biobased synthetic matrices – composites and nanocomposites

As summarized in a recent review on lignin valorization,⁴⁵ lignin can enhance the mechanical and thermal stability of polymer blends or composites, acting as an adsorbing agent, reactive component in various resins, UV blocker, anti-



microbial agent or flame retardant. However, it has been observed that the use of irregular lignin powders hinders high loads in composites, mostly because of the natural poor compatibility, so it has been concluded that controlled surface shape and chemistry could overcome these issues and win on miscibility crucial effect with the matrix.⁹ Many literature works reported the combination of lignin fillers, both at the micro and nanoscale, all of them claiming that blending with lignin is a reasonable way to improve both the compatibility and the mechanical properties of reference biopolymers and reduce, at the same time, the costs. Results on PLA,²⁷¹ polybutylene adipate terephthalate (PBAT),²⁷² PHB,²⁷³ are examples of how lignin can be largely effective in enhancement of thermal and mechanical behavior of biopolymers.

To deepen the analysis and limit the discussion to innovative results on biopolymeric matrices, we here considered the combinations of lignin with synthetic biopolymers in a hybrid configuration, where lignin filler was even added in the presence of organic or inorganic counterparts. Organic–inorganic hybrid materials have received wide-ranging attention in recent years, so the preparation of lignin/inorganic nanocomposites provides a new approach for high-value application of industrial lignin, potentially combining the advantages of all components to access complementary properties and synergistic effects.^{10,79} Emphasis is put largely on the chance of using lignin as a precursor for metal or metal oxide synthesis to be further incorporated in polymeric matrices,²⁷⁴ although a few works are available dealing with the incorporation of lignin,²⁷⁵ in the presence of other inorganic nanoparticles, with the main aim of synergistically combine fillers to enhance structural and functional properties of biodegradable polymer matrix.

When SiO₂ was mixed with macro-scaled lignin particles and combined in PLA,²⁷⁶ the results evidenced how the presence of hybrid filler in PLA matrix influences the formation of transcrystalline layer and thereafter the supramolecular structure of composites. The same authors investigated the biodegradation behavior of SiO₂–lignin/PLA composites,²⁷⁷ showing how composite with 2 : 1 hybrid filler was the most thermally stable (comparable to unfilled PLA) and underwent biodegradation process most effectively. This combination is also effective from the point of view of fire retardancy.²⁷⁸

At the nanoscale, Fal *et al.* prepared nanocomposites based on plasticized PLA and various mass fractions of silica–lignin (SiO₂L) by melt blending and injection molding:²⁷⁹ experimental results clearly showed that, depending on the mass fraction, the electrical conductivity of the systems can increase by several orders of magnitude. The most favorable effect of SiO₂L nanoparticles was observed at the two highest mass fractions tested (10 and 15 wt%). Also TiO₂ was considered in an hybrid configuration: as separated filler in polyurethane,²⁸⁰ or a decoration for lignin particles (TiO₂@lignin), that were synthesized successfully by hydrothermal method in aqueous solution to improve the UV shielding performance of lignin particles. Poly(propylene carbonate) (PPC) composite films with different contents of TiO₂@lignin were prepared *via* a

blade-casting method.²⁸¹ The results confirmed that the presence of TiO₂@lignin could significantly improve the thermal stability of the PPC based composite films.

Results of how hybrid polymeric composites containing metal oxide and lignin can perform are available in the works of Klapiszewski *et al.*,^{282–284} where the authors showed that the use of lignin did not deteriorate the mechanical properties of the composites and contributed to enhanced antimicrobial activity of the films. From the same group we have information on hybrid MgO/lignin,²⁸⁵ and organically modified silica with gold nanoparticles in the presence of lignosulfonate,²⁸⁶ where it was shown that lignosulfonate can be used as an effective reducing agent for gold ions and simultaneously as a stabilizing agent for gold nanoparticles (AuNPs).

With the main aim of designing and preparing lignin and other biomass-based polymer nanocomposites, graphene oxide (GO)–lignin hybrids were considered as reinforcement in PVA matrix *via* solvent casting method. Due to the strong interfacial interaction and the synergistic effect generated from the combination of the GO and AL, thermal stability, Young's modulus and tensile strength of all the resulting PVA/GO–AL nanocomposites were largely enhanced.²⁸⁷ 3D porous graphene/lignin/sodium alginate nanocomposites were also successfully synthesized *via* a green hydrothermal self-assembly of a mixture of GO solution in the presence of lignin and alginate, followed by ionic (Ca²⁺) cross-linking.²⁸⁸ The as-prepared material was a three-dimensional porous graphene that could be used as adsorbent for removing heavy metal ions from aqueous solutions, providing an extensive and facile route to prepare high-performance adsorption material.

Organosolv lignin was also used as a reducing agent for the preparation of silver NPs to be introduced in PLA. In that work, Shankar *et al.*²⁷⁵ confirmed that mechanical and water vapor barrier properties of the composite films increased after the incorporation of lignin and AgNPs. The films containing AgNPs exhibited also potent antibacterial activity against *Escherichia coli* and *Listeria monocytogenes*. A novel and facile method to synthesize antibacterial microencapsulated phase-change materials (microPCMs) decorated with silver particles, where lignin was acted as Pickering emulsion stabilizer and as a reducing agent for silver, was considered by Li *et al.*²⁸⁹ Their results showed that the lignin particles, embedded in the microPCMs shell and utilized to reduce silver ions, resulted in silver particles decorated microPCMs (Ag/lignin microPCMs) (Fig. 13a). These microparticles exhibited a well-defined core–shell spherical morphology and presented good antibacterial activity, showing great potential in industrial applications such as biomedical, textile and construction areas. Furthermore, lignin, when mixed with PVA to prepare electrospun nanofilaments, was combined with AgNPs, revealing good miscibility of the lignin and silver NPs with PVA.²⁹⁰ The antimicrobial activity of the PVA–lignin nanofibers containing silver nanoparticles revealed growth inhibition against *Bacillus circulans* and *Escherichia coli*. These results suggest that PVA–lignin nanofiber containing silver nanoparticles may have potential applications as mem-



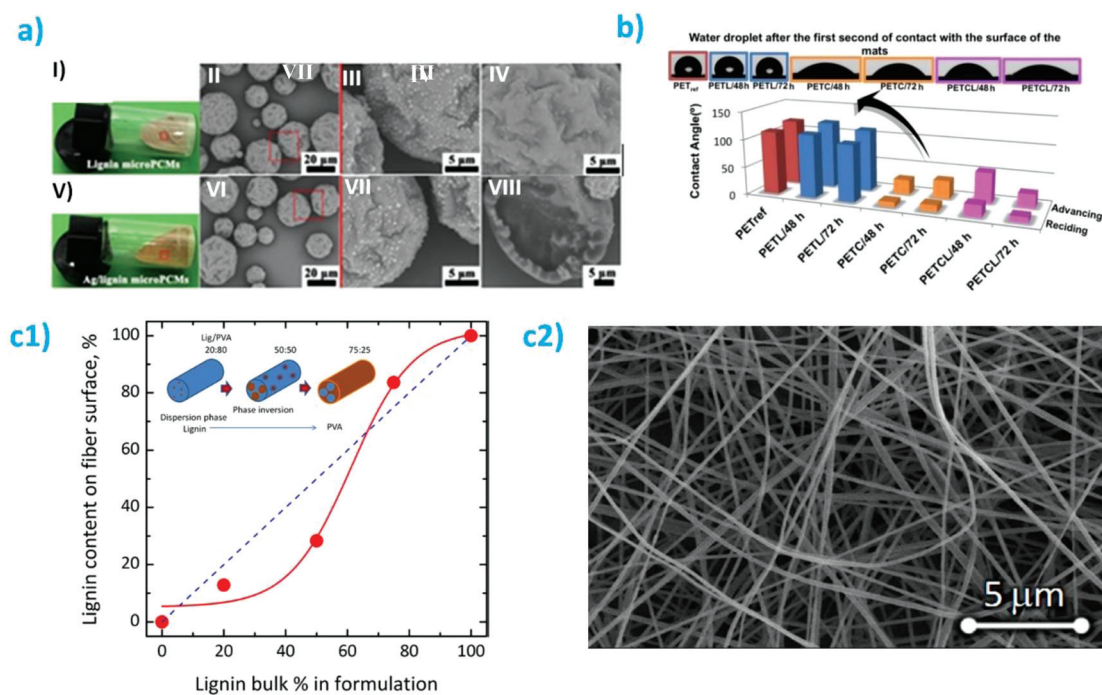


Fig. 13 (a) Optical images of (I) lignin microPCMs and (V) Ag/lignin microPCMs; SEM images of lignin microPCMs and (II and III) before and (IV) after washing with NaOH; SEM images of Ag/lignin microPCMs before (VI and VII) and (VIII) after washing with NaOH, reproduced with permission from ref. 289. (b) Advancing and receding contact angles, and snapshots taken after the first second of contact between a water droplet and the surface of the mats, reproduced with permission from ref. 291. (c) Schematic representation of surface composition of composite electrospun lignin/PVA fibers as a function of bulk lignin content, FESEM micrograph of 20 : 80/15% lignin/PVA/CNC fibers. Reprinted with permission from. Reproduced with permission from ref. 292.

brane filtration, antimicrobial fabrics, and wound dressing material in other biomedical applications, which will be explored in the near future.

Many contributions are definitely available on the combination of cellulose and lignin, both at micro and the nanoscale. The works of De Oliveira Santos *et al.*^{291,293} investigated the final surface properties of mats based on polyethylene terephthalate (PET) that can be tuned by adding cellulose and/or lignin to the electrospun solutions as well as by varying the dissolution time (Fig. 13b), conditions that could be exploited to develop bio-based mats with different properties. Defect-free electrospun fibers from aqueous dispersions of lignin, PVA, and CNCs were produced:²⁹⁴ the results revealed that the thermomechanical performance of lignin: PVA electrospun mats was improved upon the addition of lignin, because of the strong molecular interaction with PVA. The addition of CNCs further improved such properties. It was observed that CNCs also lead to the stabilization of the matrix against water absorption. The same group investigated the surface polymer distribution, with conclusions on the effect of lignin and CNCs concentration on fiber radii and identification of a threshold around 50 wt% bulk composition for partitioning of PVA and lignin components on the surface below and above this value (Fig. 13c).²⁹²

Recent years have seen several developments toward the production of lignin-based hybrid materials with controlled architecture, down to the nanoscale. This field, which is still

largely underexploited for lignin-based materials, is of particular interest to bring lignin to high added-value applications.

4. Lignin-based nanohybrids

4.1. Metal oxide–lignin hybrids

Thanks to its many oxygen functionalities provided by the phenol, carbonyl, hydroxyl, aldehyde and methoxyl groups, lignin shows a high adsorption capacity towards metal ions and organic dyes. Taking advantage of such adsorption ability, most of the developed metal oxides–lignin nanohybrids have been aimed to wastewater treatment. In this context, Li *et al.* prepared magnetic lignin spheres (MLS) by attaching 11 nm diameter Fe₃O₄ nanoparticles onto lignin hollow microspheres of 500–1700 nm diameter *via* electrostatic interactions with the electron-rich oxygen and hydroxyl groups of lignin (Fig. 14a).²⁹⁵ The rough surface and tiny holes onto lignin spheres provide further immobilization points for Fe₃O₄ NPs, yielding lignin hybrids with magnetization saturation (M_s) values of 22.7 emu g⁻¹. Thanks to the high adsorption capacity of lignin towards organic dyes and the associated M_s , low cost and reusable highly adsorptive magnetic materials for organic dye removal (methylene blue and Rhodamine B) were obtained, solving the often difficult recovery process of adsorbents from the polluting medium. Similarly, Geng *et al.* used a



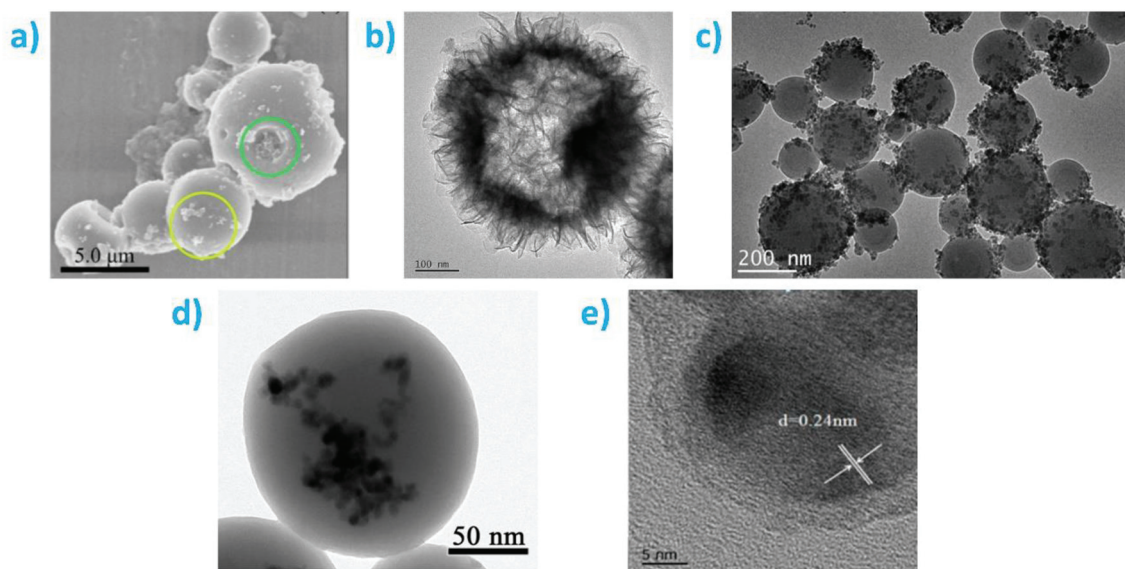


Fig. 14 Morphology of diverse metal oxide@lignin NPs: (a) SEM image of magnetic lignin spheres having Fe_3O_4 NPs attached on the smooth surface (yellow circle) and into surface holes (green circle). Reproduced with permission from ref. 295; (b) TEM image depicting the sunflower morphology of magnetic lignosulfonate NPs comprising Fe_3O_4 . Reproduced with permission from ref. 296; (c) TEM image of Fe_2O_3 @lignosulfonate@polystyrene composite latex particles prepared by Pickering miniemulsion polymerization. Reproduced with permission of Elsevier from ref. 301; (d) HRTEM image on an individual Fe_3O_4 @lignin NP. Reproduced with permission from ref. 303 and (e) HRTEM image showing the lignin coating onto a Cu_2O NP. The lattice spacing is about 0.24 nm, in agreement with the crystal lattice theoretical for the (111) plane of Cu_2O . Reproduced with permission from ref. 305.

one-step fabrication approach to obtain magnetic lignosulfonate with a M_s value as high as 44 emu g^{-1} for pollutant treatment. To that end, $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$ and $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ were added into sodium lignosulfonate dissolved in water and after NaOH addition and subsequent ultrasonication, magnetic lignin with a curious sunflower morphology comprising homogeneously distributed Fe_3O_4 NPs was obtained (Fig. 14b).²⁹⁶ Interestingly, this process avoids the need of an oxygen-free environment to protect Fe(II) from oxidation, while no acid was added to preserve Fe(II) and Fe(III) from hydroxide formation. Thanks to the negatively charged surface provided by the sulfonate, magnetic lignin showed a great potential to adsorb positively charged substances such as the highly toxic and carcinogenic Cr(VI) metal ion.

Pollutant removal can be also performed through catalysis, where the intended pollutant is decomposed into harmless moieties. To that end, palladium was coated onto Fe_3O_4 -lignin NPs to obtain magnetically reusable materials for the catalytic reduction of Cr(VI).²⁹⁷ Lignin was activated to yield calcium lignosulfonate to facilitate its bonding to Fe_3O_4 , while PdCl_2 was used as precursor in the presence of Fe_3O_4 -lignin to obtain $\text{Pd}@ \text{Fe}_3\text{O}_4$ -lignin without the need of additional reducing agents or surfactants. NPs of 20–25 nm having M_s values of $\sim 30 \text{ emu g}^{-1}$ were obtained. Provided by the three-dimensional amorphous structure of lignin, obtained nanohybrids are stable, present a high surface area and can be easily recovered using external magnets. Such nanohybrids were proven efficient for Suzuki–Miyaura reactions, showing superior reaction yields compared with other catalysts.

Lignin can be used as stabilizer in oil-in-water emulsions.^{298–300} As shown in Fig. 14c, Fe_2O_3 @lignosulfonate@polystyrene composite latex particles were prepared through Pickering miniemulsion polymerization.³⁰¹ Negatively charged lignosulfonate (−34 mV) and positively charged 23 nm (diameter) $\gamma\text{-Fe}_2\text{O}_3$ NPs (+45 mV) were combined through electrostatic interactions to modify the amphiphilicity of $\gamma\text{-Fe}_2\text{O}_3$ and allow its use as Pickering miniemulsion polymerization stabilizers with no need of auxiliary surfactants. After polymerization, latex particles with interesting strawberry-like morphologies and superparamagnetic properties (M_s of 30.9 emu g^{-1}) were obtained. The size of the latex particles could be controlled in the 121 to 245 nm range with increasing $\gamma\text{-Fe}_2\text{O}_3$ concentration.

The numerous and diverse functional groups comprising carbonyl, methoxy, carboxyl and hydroxyl groups of lignin provide a unique platform for the design of advanced sensing materials. In this sense, nanohybrids based on 8–12 nm Fe_3O_4 spherical NPs coated with lignin and polydopamine having a zeta potential of −27.5 mV were used as multifunctional substrates to immobilize glucose oxidase (GOx). Thanks to the notable immobilization effectiveness of $29.44 \pm 2.39 \text{ mg}$ enzyme per g of support, a biosensor with a Limit Of Detection (LOD) of 10 mM through a photometric assay was constructed by mixing synthesized nanohybrids with a carbon paste electrode and ferrocene.³⁰² Fe_3O_4 and lignin were also combined *via* self-assembly to obtain materials for colorimetric H_2O_2 detection with a LOD of $2 \mu\text{M}$.³⁰³ The size of the spherical lignin coated with tiny Fe_3O_4 NPs changed from 153 to 764 nm as a function



of lignin concentration (see Fig. 14d). Lignin provides high dispersion stability of magnetic NPs in water as a result of the electrical double layer repulsion arising from the deprotonation of phenolic hydroxyl and carboxyl groups of lignin. Thanks to such dispersion stability, resulting nanohybrids showed a good peroxidase mimic activity, improving the LOD of bare Fe₃O₄ NPs. Magnetic microspheres having a silica-coated poly(styrene-co-acrylic acid) core and Fe₃O₄/Au shell were modified with aminated lignin using a two-step carbodiimide coupling process for surface enhanced Raman scattering (SERS) sensing of 2,4,6-trinitrotoluene (TNT).³⁰⁴ Au NPs can act as efficient SERS active nanostructures as they remain closely packed on the surface of the microspheres. Because of the high affinity of lignin towards TNT through hydrogen bonding, its presence provided a high selectivity and a significant enhancement of the SERS signal (8.6×10^8 , two orders of magnitude above the NPs without lignin), reaching a LOD as low as 1 pM.

Several pH-responsive nanohybrids based on lignin and metal oxide NPs have been developed so far. In this context, magnetic lignin NPs useful for Cellic CTec2 cellulase immobilization and recovery were obtained by coating quaternized lignosulfonate onto Fe₃O₄ NPs using a simple co-precipitation approach.³⁰⁶ Due to the presence of both negatively and positively charged groups of quaternized lignosulfonate, the obtained magnetic nanohybrid showed different binding capacity towards cellulase at different pH values. Moreover, the quaternary ammonium group provided a strong attraction towards cellulose, allowing easily recoverable materials through external magnetic fields with immobilization values as high as 55.5%. In another work, Fe₃O₄ NPs were coated with lignin and lignin amine *via* a one-step co-precipitation and complexation to obtain magnetic materials with pH-dependent adsorption capacity.³⁰⁷ By coordination, the phenolic groups of lignin and lignin amine can adsorb Fe ions, avoiding NP aggregation during nucleation and subsequent growth. The thickness of the lignin grafting layer could be controlled by modifying the amount of incorporated lignin during the synthesis. Because of the interaction of dyes with lignin *via* π - π stacking and electrostatic attraction interactions, and the large active surface area of the obtained nanohybrids, adsorption capacities as high as 211 mg g⁻¹ for methylene blue were achieved. Interestingly, 90% of adsorbates can be released by simply changing the solution pH due to the fact that a pH change modifies the ionization degree of lignin and lignin amine coating.

Other lignin@metal oxide nanohybrids have also been prepared. For instance, Liu *et al.* functionalized cerium oxide (Ce₂O) NPs with aminated lignin through an *in situ* precipitation approach to obtain materials for wastewater treatment.³⁰⁸ The prepared nanohybrids showed a diameter of 15 nm and a BET surface area of 90 m² g⁻¹, providing a 14-fold increase in phosphate adsorption capacity in comparison with bare lignin. Moreover, the inherent adsorbent capacity of Ce₂O towards phosphate was upgraded using lignin as a support material, which avoids NP agglomeration and provides functional groups for the complexation between the Ce-OH of the nanohybrids and phosphate *via* Ce-O-P coordination bonds.

In another work, the abundant oxygenous hydroxyl groups of lignin were used as a reducing agent for Cu(II) ions into cuprous oxide (Cu₂O) NPs, bypassing the use of environmentally toxic reducing agents required to synthesize Cu₂O NPs.³⁰⁹ At the same time, the oxygen moieties in lignin play a stabilizing role, yielding broccoli-like NPs of 100–200 nm wrapped by a lignin layer. Obtained nanohybrids showed a good bactericidal activity against *E. coli* and *S. aureus* and a low cytotoxicity. Zhou *et al.* used 605 ± 5 nm lignin nanospheres as a supporting material to prevent catalyst deactivation resulting from Cu₂O agglomeration.³⁰⁵ The polar phenolic and alcoholic hydroxyl groups in lignin reduce cupric sulfate in water media at room temperature to yield 20 nm Cu₂O NPs, while the 3D molecular structure of lignin provides a stable support for catalysis, resulting in raspberry-like catalysts covered by a thin lignin layer (Fig. 14e). When cuprous oxide was used as a catalyst for “click” reactions (involving the formation of irreversible carbon-heteroatom and carbon-carbon bonds), yields up to 99% were obtained under solvent-free conditions. Moreover, catalytic efficiency remained unchanged after several cycles, highlighting the stable structure provided by the lignin nanospheres.

Lignin could be used as a template to synthesize mesoporous titania (TiO₂) NPs with increased photo-catalytic activity.³¹⁰ To that end, a hydrolysis precipitation method using TiCl₄ as a reactant and lignin as a template followed by calcination at 500 °C was applied. The presence of lignin creates mesoporous catalysts having a loose structure with abundant uniform nano-pores, reaching specific surface areas (SSA) of 166 m² g⁻¹. This structure proved beneficial not only to enhance the surface permeability for pollutants but also to allow a quick transfer of light-excited carriers to the TiO₂ surface, limiting carrier recombination rate and boosting photocatalytic reactions. Additionally, the presence of trapped lignin and its pyrolyzed carbon was found to delay the anatase-to-rutile transition during calcination, providing a larger fraction of the more active anatase within the mesoporous titania. As a result, when irradiated with UV light, 98% of phenols can be degraded in 120 minutes in comparison with the extent of 76% degradation when no lignin was used. Conversely, lignin can also provide a protecting layer to quench the photocatalytic activity of metal oxides, which is of prime interest in sunscreen formulations, which incorporate TiO₂ to reflect and scatter UV radiation but should avoid undesired photocatalytic reactions which produce reactive oxygen species (ROS) which can damage living tissues. Accordingly, Morsella *et al.* coated anatase (20–40 nm) and rutile (80–100 nm) TiO₂ NPs by co-precipitation of lignin under appropriate pH values in the presence of titania NPs.³¹¹ A complete inhibition of the production of singlet oxygen by TiO₂ was observed, while its photo-protection character was kept unchanged. Such results were ascribed to the dissipation of photogenerated electrons upon UV irradiation by the lignin phase, where lignin acted as a free radical scavenger layer.

Similarly to TiO₂, mesoporous ZnO NPs with high sunlight photocatalytic activity were fabricated using lignin-amine as a



template.³¹² Following a solid-state reaction between zinc nitrate [$\text{Zn}(\text{NO}_3)_2$], sodium oxalate ($\text{Na}_2\text{C}_2\text{O}_4$) and lignin-amine, and a subsequent calcination process, ZnO NPs with a SSA up to $35.5 \text{ m}^2 \text{ g}^{-1}$ (in comparison with $14 \text{ m}^2 \text{ g}^{-1}$ with no lignin-amine) and a red-shifted absorption wavelength were obtained, enhancing the absorption of visible-light. These improvements were ascribed to a combination of the electrostatic attraction for zinc ions and oxalate ions of lignin-amine with the steric hindrance effects of lignin, which ensures small and uniform ZnO NPs. As a result, 96.5% of methyl orange was degraded after 6 h of solar illumination. ZnO is also widely used as a sunscreen additive to prevent skin damage as it shows a good UVA radiation blocking ability. The skin photo-protection of ZnO was upgraded by combining flake shaped ZnO NPs with spherical lignin NPs.³¹³ Lignin NPs provided a marked absorption in the UV-B and UV-C regions (reaching sun protection factors up to 8.5), resulting in hybrid materials which can absorb throughout the entire UV region.

4.2. Metal–lignin hybrids

The general preparation of metal NPs involves the reduction of metal ions into the zero-valent state followed by a stabilization step. Typically, these syntheses are performed in the presence of toxic agents (such as hydranize or dimethyl formamide) and/or capping agents, making their fabrication tedious, expensive and non-sustainable. In this context, lignin can be used to minimize the environmental impact of NP synthesis as it can act as a reducing, capping and stabilizing agent. Additionally, lignin provides a substrate where NPs could be anchored, avoiding their aggregation. Therefore, many efforts have been carried out to develop zero-valent metal NPs with the help of lignin.

Owing to their strong antibacterial property and wide use in the biomedical and packaging field, silver (Ag) NPs are the most common noble metals synthesized in the presence of lignin. The antibacterial activity of Ag NPs is ascribed to the biologically active Ag^+ ions, which can be released during the surface oxidation of silver atoms.³¹⁴ Ag NP synthesis usually comprises a bottom-up reduction of silver salts in the presence of capping agents to control particle size and shape while favoring a good dispersion of the particles. Hydroxyl-containing groups present a great potential to reduce silver cations into silver nanospheres,³¹⁵ opening the path to the use of lignin as a binding, complexing, reducing or capping agent for the synthesis of silver NPs. For instance, Hu *et al.* used AgNO_3 as a precursor and alkali lignin as dual reducing and capping agent to obtain polydispersed Ag NPs at $\text{pH} < 7.5$ (slow pseudo-first order kinetic reaction), while pH values > 8.7 allowed the fabrication of monodisperse and large Ag NPs (quick self-catalyzed reduction of Ag^+ onto Ag_2O surfaces).³¹⁶ Lignin has been used as environment-friendly reducing and stabilizing agent to synthesize spherical Ag NPs with diameters of 24 nm under microwave conditions (10 min at $60 \text{ }^\circ\text{C}$ using 50 mg of lignin and 0.3 mM of Ag^+).³¹⁷ During microwave irradiation, the phenolic lignin of α -aryl ether and alkyl ether changed promoted the fracture of the ether bond,

yielding a quinone methide and eventually formaldehyde, which reduces Ag^+ ions to Ag^0 , while its spatial three-dimensional structure provides lignin a great stabilizing function, preventing Ag NPs from aggregation even after centrifugation. As a result of the complexation between the carboxyl/hydroxyl groups of lignin and Hg^{2+} , lignin-capped Ag NPs presented a LOD of 23 nM for Hg^{2+} . Interestingly, the shift in the surface plasmon resonance (SPR) band of silver was highly selective to Hg^{2+} , as no color changes were observed in the presence of 19 other metal ions.

In spite of the broad use of Ag NPs in the biomedical field, their use raises serious concerns as they could persist in the environment for long times, resulting in hazards to living organisms and diverse ecosystems.³¹⁸ In this context, Richter *et al.* infused silver ions into degradable lignin (Indulin AT) NP cores using an aqueous solution of AgNO_3 . These NPs were then coated with a cationic polyelectrolyte to limit the inherent toxicity of Ag while ensuring a good adhesion of the nano-hybrids to bacterial cell membranes, killing a broad spectrum of bacteria.³¹⁹ In comparison with Ag NPs, which release Ag^+ ions during post-utilization (Fig. 15a), prepared lignin-Ag nano-hybrids coated with a cationic polyelectrolyte layer ($84 \pm 5 \text{ nm}$ in diameter) attached well onto negatively charged bacterial cell walls through electrostatic attraction (Fig. 15b). The prepared materials lost their antimicrobial activity after use and can be degraded once disposed. Interestingly, NPs exhibited broad-spectrum biocidal action using 10 times less silver than when using conventional silver NPs. Following a similar approach, lignin NPs were coated with a cationic polymer layer and infused with silver.³²⁰ Spherical silver carboxylate colloidal lignin NPs with sizes ranging from 60 to 200 nm were prepared after a deprotonation reaction of an organic solution of anhydrous lignin and subsequent ion exchange with silver nitrate and solvent exchange. During reaction, carboxylic and phenolic groups of lignin are deprotonated with sodium methoxide to yield sodium carboxylates and phenolates where Ag is ionically bound *via* ion exchange with AgNO_3 . Prepared NPs can be stored as aqueous dispersions for long periods of time with no loss of their antibacterial activity as they only release Ag^+ ions in the presence of counter-cations, *i.e.*, under physiological conditions. As a result of the strong binding of Ag^+ with the carboxylated groups present onto lignin NPs, longer and sustained activity times are obtained against Gram-positive and Gram-negative strains.

Combining the good adsorption capability of silica and lignin, hybrid Ag NPs were grafted onto silica/lignin nano-hybrids to prevent undesired desorption of silver ions and obtain stable antibacterial materials.³²⁴ Silica was modified with aminosilane and then lignin was oxidized using a sodium periodate solution to afford a better Ag–lignin interaction. The cost-efficiency of the process was improved by the presence of lignin, which favors silver NP dispersion due to the steric repulsion provided by its negatively charged surfaces, affording the use of less quantity of Ag NPs for a given antimicrobial activity. As the nanosilver remains trapped inside the lignin, its excessive exposure with microbes is reduced,



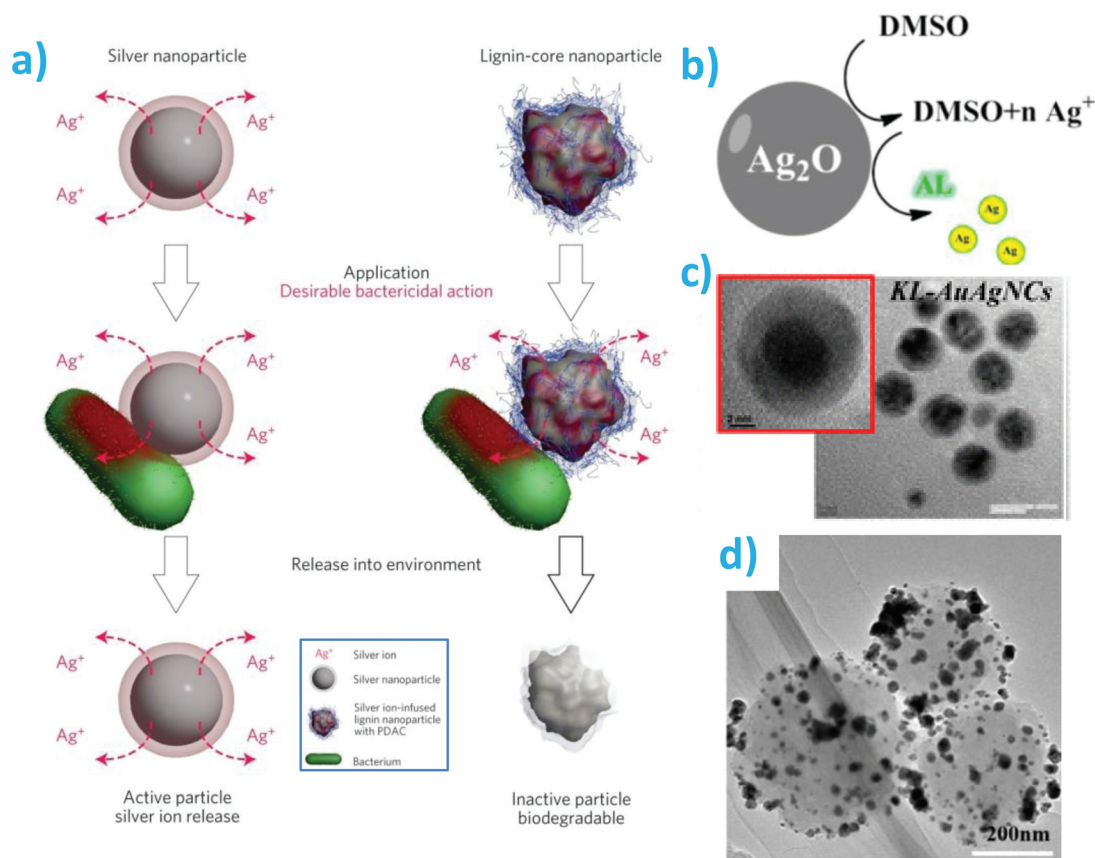


Fig. 15 Lignin for the synthesis of noble metal NPs: (a) Scheme summarizing the bactericidal action of Ag (left column) and lignin-Ag NPs (right column). Reproduced with permission of Nature Publishing Group from ref. 319. (b) Schematic diagram for the reaction mechanism of alkali lignin and Ag_2O in DMSO solvent to yield Ag NPs. Reproduced with permission from ref. 321. (c) HRTEM images of kraft lignin-AuAg core-shell NPs. Reproduced with permission from ref. 322. (d) SEM image of Pd@LPR nanocomposite. Reproduced with permission from ref. 323.

providing materials with extended longevity of antibacterial activity. In another work, the tendency to form large-size aggregates of carrier-free nanocrystalline metal NPs was solved using a polyacrylamide-modified lignin hydrogel as a catalyst carrier.³²⁵ The abundant amino groups can disperse Ag^+ ions homogeneously to limit the growth of silver NPs and avoid their large-scale agglomeration during complexation and reduction of AgNO_3 with NaBH_4 .³²⁶ As a result, spherical Ag NPs of 3–6 nm in diameter were synthesized, which presented good catalytic activity towards the dynamic hydrogenation reduction of *p*-nitrophenol (97% conversion after 10 cycles). Although typically salts such as AgNO_3 are used as precursors for Ag NP formation, Ag_2O could be also used. In this context, spherical 20 to 24 nm silver NP were synthesized for Hg^{2+} colorimetric sensing using alkali lignin (M_w : 4.300 g mol⁻¹; -COOH content of 2.01 mmol g⁻¹) as reducing and stabilizing agent and Ag_2O as silver precursor and catalyst for the reduction process of Ag^+ due to the metal-metal oxide interactions.³²¹ After 12 h reaction at 25 °C in dimethyl sulfoxide (DMSO), a reduction capacity of alkali lignin to Ag^+ up to 8 mM g⁻¹ was reached, which is one of the highest values reported so far. This fact arises from the Lewis-based character

of DMSO, which reactivates hydroxyl derivatives and enhances the reducing capacity of alkali lignin (Fig. 15b). Importantly, this process avoids the use of inorganic ions and replaces the traditional NaOH during Ag NP synthesis by lignin.

Inspired by dynamic plant catechol chemistry, lignin combined with Ag NPs was applied as a new design strategy to create long-lasting reductive-oxidative microenvironments. 12–145 nm core-shell Ag-lignin NPs generated free radicals and triggered self-gelation of a polymeric hydrogel under ambient environment through a redox catechol chemistry.³²⁷ In this approach, the phenol or methoxy groups in lignin reduce Ag^+ to metallic silver NPs, while the functional groups of the lignin were oxidized to the corresponding redox-active quinone/hydroquinone. Ag NPs form photogenerated electrons because of their SPR, which convert the quinone/hydroquinone groups in lignin into catechol groups. As a result, mechanically tough hydrogels with good adhesion to many material surfaces and tissues arising from the catechol functional groups were obtained. Interestingly, catechol groups were continuously generated, endowing long-term and repeatable adhesiveness to the hydrogel, which also shows bactericidal ability from the Ag^+ .



Although most of the works on noble metal NP synthesis have been focused on silver, other elements have also been investigated. Given its non-toxic character, therapeutic activity, antioxidant/antimicrobial activity and special optical properties, gold is one good example.³²⁸ Unfortunately, gold NPs tend to aggregate into bulkier materials, limiting their SSA and thus effectiveness. Therefore, gold NPs were anchored to lignocelluloses fibers removing the need for external immobilizing agent for active packaging applications.³²⁹ When chloroauric acid (HAuCl₄) is added into aqueous unbleached softwood kraft pulp slurry, the aromatic methoxy and phenol groups of lignin reduce Au³⁺ to Au⁰ at the same time that they bind formed NPs onto the surface of the fibers. NP formation can be easily followed by naked eye as the color gradually changes from light brown to dark purple due to the SPR of the Au NPs at 537 nm. In another work, a one-pot thermal and photochemical synthetic approach was followed to obtain colloidal lignin-stabilized gold NPs, where lignin acted as a reducing and capping agent.³³⁰ HAuCl₄ was reduced to Au in few minutes to yield NPs having 12 to 25 nm in diameter and Zeta-potential values from -54 to -27 mV. Thanks to the stabilizing effect provided by lignin, the obtained nanohybrids remained well-dispersed in different biological media such as phosphate buffered saline, Luria Bertani (LB) broth and Dulbecco's Modified Eagle's Medium (DMEM) for more than a week, allowing their use in diverse clinical settings. Importantly, obtained nanohybrids showed photoinduced antimicrobial activity and the outer lignin layer enhanced the interaction with the bacterial cell wall, providing a good selectivity towards different type of bacteria.

To enhance the catalytic performance, selectivity and stability of gold NPs, lignin has also been used to prepare gold-based bimetallic nanohybrids. These hybrids present combinatorial interactions between two or more metallic electronic states which are different from the individual metals, providing unique physicochemical and surface characteristics to the resulting hybrids. For instance, Au-Pd nanoalloys with a core-shell structure were obtained after HAuCl₄ reduction in the presence of an aqueous basic lignin solution and subsequent Pd(NH₃)₂Cl₂ reduction under microwave.³³¹ This method avoids the use of toxic reducing agents or additional stabilizers, resulting in nanoalloys ranging from 20 to 50 nm. Moreover, the obtained NPs outperformed the single-component catalysts in reduction of 4-NP to 4-AP, and were stable in aqueous dispersions thanks to the stabilizing effect of lignin. Lignin-stabilized Au-Ag bimetallic nanocomplexes were synthesized using lignin as the sole source for reducing, capping and stabilizing effect towards the nanoagents.³²² Following a seed mediated growth, pre-synthesized lignin-Au was used as seeds for the reductive deposition of Ag, and yielding 36 nm core-shell Au-Ag nanohybrids (see Fig. 15c for the corresponding HRTEM image). The phenolic and flavonoid groups provided by the outer lignin layer, together with the bimetallic character of the nanohybrids resulted in materials displaying half maximal inhibitory concentration (IC₅₀) values against tested microbial strains 28 times lower than those

compared with monometallic silver NPs, highlighting the remarkable antimicrobial activity obtained.

Palladium (Pt) and platinum (Pd) NPs were synthesized using lignin and fulvic acid as both reducing and stabilizing agents.³³² As water-soluble lignosulfonate derivatives contain easily oxidizable functional groups bearing -OH moieties, which can reduce high-valent metal ions such as Pt^{IV} and Pd^{II} into their zero-state, H₂PtCl₆ and PdCl₂ were used as precursors to obtain 16–20 nm Pd and Pt NPs (80 °C in water under aerobic conditions). The obtained materials were effective towards the catalytic reduction of 4-nitrophenol and aerobic oxidation of alcohols. Spherical Pd NPs can be prepared using water-soluble lignin as a reducing and stabilizing agent under green conditions (short time, moderate temperature, water as a solvent and aerobic conditions).³³³ The reduction of Pd^{II} to zero-valent Pd proceed in 3 h at 80 °C, yielding NPs of 8–14 and 16–20 nm when Kraft lignin and lignosulfonate are used as reducing and stabilized agents, respectively. The obtained materials were useful for carbon coupling reactions under mild conditions, reaching conversions higher than 90% at yields of 72%. Pd NPs have also been supported onto lignin-based phenolic resin (LPR) nanospheres after an *in situ* reduction of PdCl₂.³²³ The amphiphilic character of lignin decreases the surface tension of phenolic resin spheres and dissociates during curing, yielding small (200–500 nm) and rough LPR nanospheres where Pd²⁺ can be then reduced (*via* binding to the negatively charged nanosphere groups *via* electrostatic interactions) (Fig. 15d). The SSA of 23 m² g⁻¹ provided by lignin nanospheres and the good reducing activity of lignin resulted in a high-density coating of 30 nm spherical Pd NPs, which showed a high efficiency for the elimination of heavy metal and organic pollutants (reduction of highly toxic Cr(VI) into inert Cr(III) and two model dyes).

Regarding lignin-assisted multicomponent hybrids, spherical 5 nm bimetallic lignocelluloses-stabilized Pd-Fe NPs with different Fe contents (1–75 at%) were grown onto lignocelluloses fibers through wet chemical synthesis.³³⁴ The obtained NPs were composed by a zero-valent Pd-Fe alloy covered by a thin Fe₃O₄ layer. Importantly, lignin lowers the tendency of nano zero-valent iron (nZVI) to oxidation, thus lowering its catalytic and magnetic performance. Thanks to the tiny size of the synthesized materials and the protecting role of lignin against oxidation, the obtained nanohybrids present remarkable superparamagnetic properties, where the magnetic moment of the material fluctuates in response to thermal energy while keeping the internal ordered structure.³³⁵ Lintinen *et al.* prepared iron-lignin nanohybrids upon the reaction of iron isopropoxide and lignin in a THF solution.³³⁶ Synthesized Fe : lignin nanohybrids were stable in aqueous dispersions, and depending on the condensation and hydrolysis reaction parameters (molecular ratio, concentration and temperature), nanoparticles presenting a fishnet-type open network structure, small solid particles with a diameter of 10–30 nm, spheres of 50–400 or hollow structures were achieved.

Other zero-valent metal NPs (25 nm) such as Cu, Ni, Co, Ag and Zn have been synthesized using lignocellulosic biomass as



support and CuSO_4 , NiSO_4 , CoNO_3 , AgNO_3 and ZnNO_3 as precursors.³³⁷ The combination of salts ($\text{CuSO}_4 + \text{NiSO}_4$, $\text{CuSO}_4 + \text{CoNO}_3$, $\text{CuSO}_4 + \text{AgNO}_3$ and $\text{CuSO}_4 + \text{ZnNO}_3$) was also investigated to afford Cu + Co, Cu + Ag, Cu + Ni and Cu + Zn NPs, respectively. Overall, lignin serves not only as a reducing agent but also as a supporting material to prevent the zero-valent NPs from oxidation and agglomeration, keeping their catalytic performance intact. In another work, a low energy and solvent-free mechanochemical grinding (29.5 Hz, 90 min) has also been pursued to obtain highly monodispersed Au, Pd, Ag, ruthenium (Ru) and rhenium (Re) NPs using lignin as both reducing and stabilizing agent.^{338,339} It was shown that mechanical breakdown of lignin yields phenoxy radicals which can be oxidized and behave as reducing agents. Au NPs of 15 nm (HAuCl_4 precursor), Pd of 3 nm (PdCl_2 precursor), Ag of 1–30 nm (AgNO_3 precursor), Ru of 7 nm (RuCl_3 precursor) and Re of 6 nm ($\text{Re}(\text{CO})_5\text{Br}$ precursor) were obtained. Upon milling inorganic precursors in the presence of lignin, organometallic precursors yielded NPs encapsulated by lignin, while ionic precursors resulted in matrix-free NPs. As mechanochemical synthesis is atom economical, requires no solvent and needs a lower energy input, this procedure presents a bright future to develop lignin-metallic zero-valent NPs, albeit energy consumption required for milling should be considered.

The unique benefits/advantages of low cost, renewable lignin as support of metal/metal oxide nanomaterials and nanocomposites make them tremendously attractive for a diversity of catalytic applications. Associated systems have been shown for their adsorption capacities/efficiencies that are strongly dependent on the surface properties of the nanoparticles. Lignin and lignin-derived (nano)materials can efficiently uptake, adsorb and/or remove toxic heavy metal ions and organic/azo dyes *via* chelation, ion exchange, π - π stacking, hydrogen bonding, and/or electrostatic interaction. As the low cost of fabrication is crucial for a wider utilization, future efforts need to be in place to refine the economic viability of these renewable systems.

4.3. Carbon–lignin hybrids

Similar to noble metals and metal oxides, lignin has been hybridized with diverse carbonaceous nanofillers to improve their multifunctional properties. On itself, lignin can be used as a precursor to carbon nanotubes (CNTs),³⁴⁰ that is, quasi-1D allotropes of carbon that have attracted a great deal of attention since their discovery by Iijima in 1991,³⁴¹ as well as graphene, a 2D allotrope of carbon with remarkable electrical conductivity, high mechanical strength and high SSA.³⁴² Unfortunately, the application of these carbon allotropes has been limited due to their tendency to form bundled structures. Many different de-bundling strategies have been pursued to obtain dispersible nanocarbons, which mainly rely on the application of complex chemical routes for surface functionalization or use of non-renewable surfactants as dispersing agents.³⁴³ In this context, lignin has been used as an additive to improve the dispersion of CNTs in water.³⁴⁴ When compared with the commonly used sodium dodecylbenzenesulfo-

nate (SDBS) surfactant, the use of lignin allows conducting fluids with lower viscosities, facilitating its processing. This improvement arises from the efficient dispersing and stabilizing effect of lignin, which avoids the formation of aggregated networks at high volume fractions. In another work, CNTs were functionalized with kraft lignin to improve their dispersion in organic (DMSO) and aqueous (0.1 M NH_3) solutions.³⁴⁵ A dense and uniform adsorption of lignin on CNT surface was observed, where the aromatic moieties of lignin interact *via* π -stacking with the hydrophobic CNT surface while the hydrophilic backbones and phenolic –OH groups confer water solubility at high pH values. Because of the combination of the lignin-derived quinone moieties and CNTs, the obtained nanohybrid presented persistent reversible redox behavior, providing a 100% increase in the capacitance in comparison with non-functionalized CNTs. CNTs have also been modified with lignin to improve their inherently poor compatibility with polymeric matrices.³⁴⁶ To that end, sulfonated lignin was used as a natural polyelectrolyte to modify CNT surfaces *via* non-covalent functionalization, where the lignin's aromatic structures are highly compatible with CNTs through strong van der Waals and π - π stacking forces (Fig. 16a shows the lignin coating layer). As a consequence, when incorporated into PVA, modified CNTs composites displayed enhanced mechanical properties. Lignin has been mixed with CNTs not only to enhance their dispersability but also to provide additional functionalities. For instance, CNTs were modified with lignin in the presence of acid chloride under basic conditions to obtain materials which selectively absorbed oils and up to 10 different organic solvents but not water.³⁴⁷ Lignin was covalently linked to CNTs, which induced superhydrophobicity to lignin, thereby improving its oil/water selectivity, reaching sorption capacities up to 23 g g^{-1} . Fe_3O_4 NPs were then incorporated into the CNT-g-lignin to enable an easy recovery of the absorbent *via* external magnetic fields.

Owing to the reversible redox reactions in lignin, graphene in combination with lignin has been used to develop materials for energy storage. To simultaneously solve the low electric conductivity of lignin and its tendency to dissolve in the aqueous media, a hierarchical graphene cage was synthesized to capture lignin similarly to the carnivorous Venus flytrap plant (Fig. 16b).³⁴⁸ Lignin was trapped and wrapped into the graphene structure, preventing its dissolution by the electrolyte and providing efficient electron transport pathways for electrochemical reactions. Strong non-covalent π - π interactions were achieved because of the large number of aromatic rings in lignin. Interestingly, the estimated fabrication cost was as low as 4 Euro cents per g as only graphite, 30% H_2O_2 and FeCl_3 are required. Another simple, quick and environmentally-friendly approach for the fabrication of stable graphene dispersion was patented in 2015.³⁴⁹ A redox reaction of lignin liquor dissolved in an alkaline solution with an aqueous graphene oxide solution at 110 °C was applied, where lignin behaved as a reduction and stabilizing agent. Other forms of graphene have been hybridized with lignin to novel multifunctional materials. For instance, reduced graphene oxide (RGO)



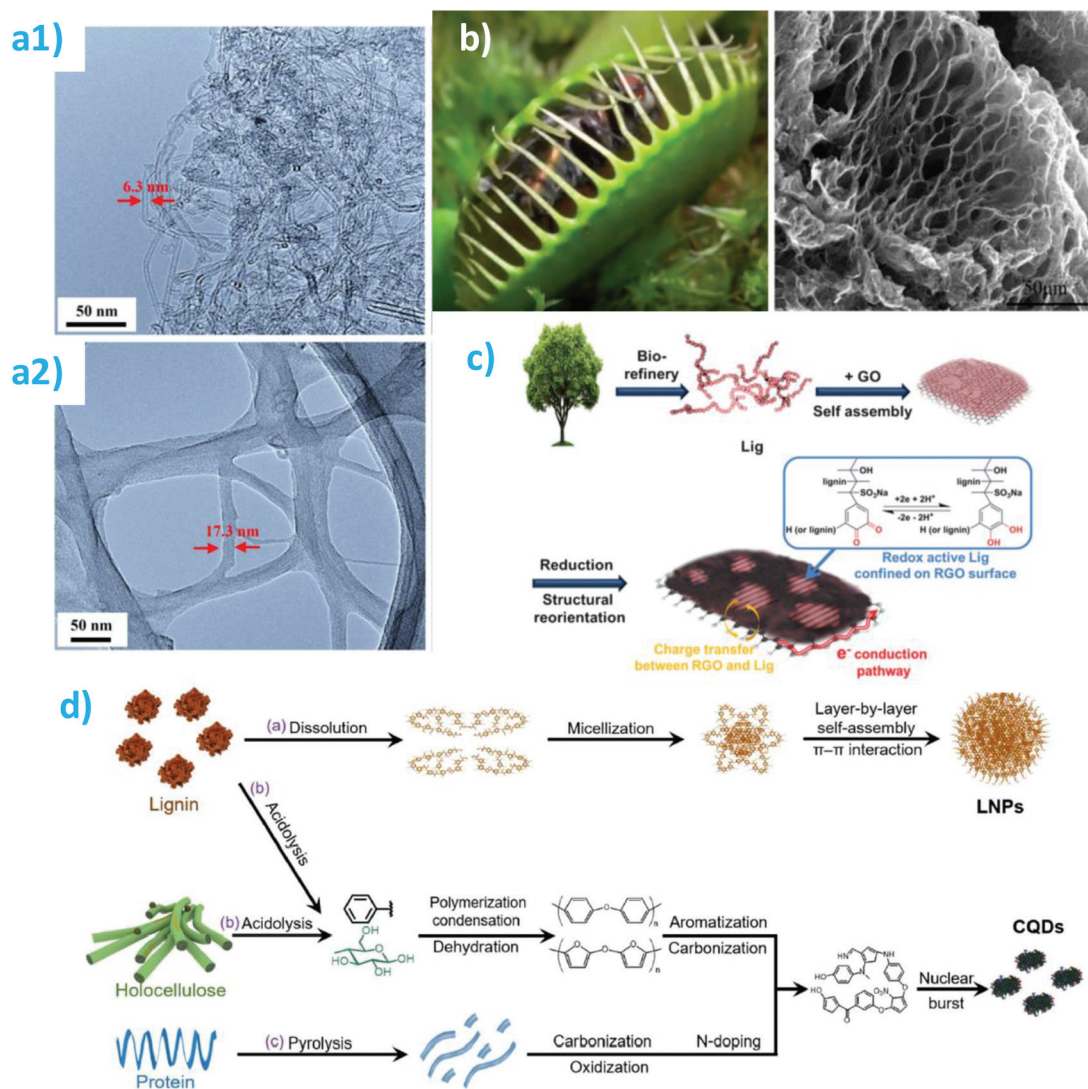


Fig. 16 Carbon–lignin nanohybrids: (a1) HRTEM images of pristine and (a2) lignin functionalized CNTs. Reproduced with permission from ref. 346. (b) Photograph of the carnivorous Venus flytrap plant and SEM image of the graphene used to confine lignin. Reproduced with permission from ref. 348. (c) Scheme showing preparation process together with the interaction interactions between lignin nanocrystals and RGO. Reproduced with permission from ref. 350. (d) Schematic mechanisms for the synchronous preparation of LNP and CQDs from lignocelluloses via a one-pot microwave synthesis. Reproduced with permission from ref. 352.

has been combined with electroactive lignin nanocrystals to fabricate pseudocapacitor materials exploiting the fast and reversible redox charge transfer of surface-confined quinone and the interaction with highly-conducting RGO (Fig. 16c).³⁵⁰ The irreversible aggregation of RGO after the conversion of exfoliated graphene oxide (GO) was prevented by lignin nanocrystals, which remained strongly confined on the surface of RGO through combined π - π and hydrophobic interactions. Therefore, charges were transferred from the electron-withdrawing groups of lignin to RGOs, leading to fast/reversible pseudocapacitance and long-term stability. A protective layer of graphene nanoplatelets (GnPs) and CNTs with pre-adsorbed alkali lignin was coated onto flexible papers to provide self-extinguishing properties to the substrate.³⁵¹ Lignin

functionalization had a dual role of improving dispersion of GnP/CNT onto hydrophilic cellulose substrate (providing a physical barrier to limit the permeation of combustion gases) and providing a material with good char-forming capacity (limiting the transfer of oxygen and heat), resulting in fire-retardant properties (burned area was decreased from 100% to 21% after 15 s of ignition).

It should be considered that most of the reported works rely on the modification of pre-synthesized carbon nanomaterials with lignin, while the synchronous preparation of lignin and carbonaceous materials has received little attention so far. In this context, Si *et al.* used natural lignocelluloses as a source to obtain monodisperse LNPs and nitrogen-doped carbon quantum dots (CQDs) via a one-pot microwave syn-



thesis.³⁵² During the reaction, an acid catalytic ethanol-water co-solvent system dissolves the lignin fraction to form LNPs of 100 nm in diameter (formed *via* layer-by-layer self-assembly from inside to outside based on π - π interactions) (Fig. 16d). At the same time, an acidolysis process releases monomolecular compounds which acted as precursors for 2–3 nm CQD formation after dehydration, polymerization, condensation, aromatization and carbonization. Interestingly, LNPs were highly stable due to an electrical double-layer repulsion provided by the surface phenolic hydroxyl and carboxyl groups, while CQDs had upconversion photoluminescence.

The calcination of biopolymers such as cellulose and chitin has been widely explored to obtain nanoscale carbonized replicas for diverse applications.^{353,354} Thanks to its high carbon content (nearly one third of the organic carbon in earth), a high concentration of hydroxyl groups, low-cost and bio-renewable character, lignin has been used as a precursor to obtain carbonaceous structures. Using Pluronic P123 and silica NPs as templates, hierarchical porous carbon monoliths with tunable mesopore sizes and large SSA were obtained by carbonization of kraft lignin under an argon environment at 900 °C followed by template removal with 2 M NaOH.³⁵⁵ During self-assembly, Pluronic P123 and silica NPs acted as a scaffold to support the framework during pyrolysis, while the micelle domains (in the case of lignin/P123) and silica NPs were responsible for producing the mesopores in the resulting carbon. As shown in Fig. 17a, pore-morphology can be con-

trolled upon the incorporation of silica NPs with different sizes. The obtained monolith was mechanically stiff, presented SSAs up to 635 m² g⁻¹ and showed an electrical conductivity of 1300 S m⁻¹. As a result, such porous structures facilitate ion diffusion and enhance electrolyte/electrode contact area, making these materials suitable for energy storage.

Not only monolithic carbon but also several carbon-metal and carbon-metal oxides hybrids have been synthesized from lignin. Co@C core-shell NPs for catalysis were prepared through a low temperature carbonization using cobalt nitrate as Co precursor and lignin as the carbon source.³⁵⁶ Co NPs were wrapped by several defective graphitic layers (16 nm thick shell) to yield nano hybrids of 20–150 nm having SSAs up to 236 m² g⁻¹ (see Fig. 17b for a representative HRTEM image). Such core-shell structure is especially useful for catalysis as the graphitic shell enhances the electronic conductivity between the Co catalyst and the surrounding environment, avoids NP aggregation during reaction and provides an increased adsorption space. Similarly, Fe NPs have been encapsulated by a graphitic carbon shell derived from lignin to obtain catalytic materials.³⁵⁷ To that end, lignin and ferric nitrate were mixed and submitted to a flash pyrolysis process, yielding 9 nm diameter metal cores wrapped by 1 to 5 graphitic layers (SSAs of 158 m² g⁻¹). Core-shell nano hybrids comprising a lignin-derived carbon external layer have been also developed for other applications. Microporous magnetic carbon materials derived from lignin were fabricated by coating lignin

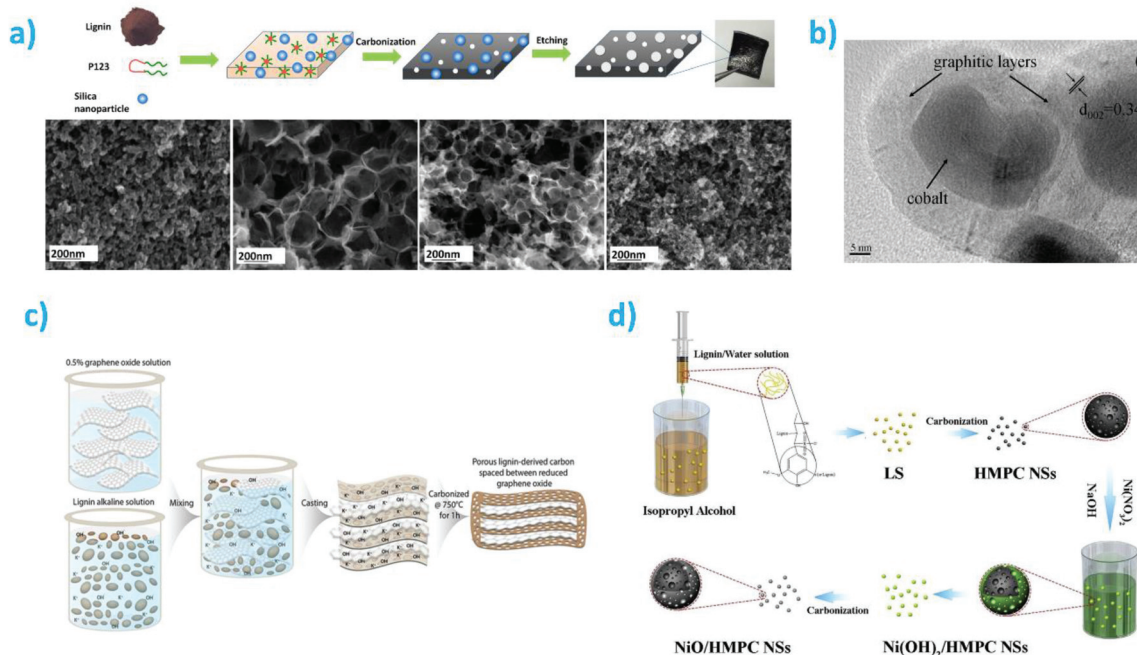


Fig. 17 Carbon structures obtained from lignin: (a) Schematic representation of the porous carbon monolith formation using silica as pore-forming agent. SEM images corresponding to following samples are shown from left to right: no silica, 200 nm silica, 100 nm silica, 7 nm silica. Reproduced with permission from ref. 355. (b) HRTEM image of Co@C catalyst. Reproduced with permission from ref. 356. (c) Schematic representation summarizing lignin-intercalated GO film fabrication. Reproduced with permission of John Wiley & Sons Ltd from ref. 362. (d) Scheme showing the fabrication for the preparation of NiO/hierarchical mesoporous carbon nanospheres derived from lignosulfonate. Reproduced with permission from ref. 363.



onto iron oxide NPs *via* precipitation and subsequent calcination of the complex under a nitrogen atmosphere at 500 °C for 3 h.³⁵⁸ Such carbonization conditions do not remove the O–H groups and C–C bonds of the native lignin from the surface and allow the formation of amorphous carbon with abundant pores of 77 nm in average, providing suitable supports for the removal of pollutants (*e.g.*, adsorption of methyl orange of 113 mg g⁻¹).

Because of their inherent catalytic activity, metal oxides such as TiO₂ and ZnO have a good potential for environmental remediation applications. However, their performance is hampered by a fast recombination of photogenerated carriers, limited absorption within the solar spectrum and marked aggregation. Several works have faced these issues by supporting such NPs onto a porous carbon framework derived from lignin. TiO₂/carbon nanohybrid fibers were prepared through electrospinning followed by carbonization under nitrogen atmosphere.³⁵⁹ The highly graphitized fibers had 207 nm in diameter and presented a homogeneous TiO₂ NP deposition of 13.65 wt%. Good photocatalytic performance was obtained thanks to the combination of highly conducting and adsorbent carbonaceous support and catalytically active TiO₂. Using a solvothermal approach, flower-like carbon consisting of 2D corrugated nanosheets were applied to support 10 nm ZnO NPs with Mg(OH)₂ as structure inducer, providing materials with a SSA of 852 m² g⁻¹ but avoiding the characteristic stacking of many 2D materials.³⁶⁰ The oxygen-rich functional groups of the produced carbon provided an intimate contact with the pollutant, shifted the optical absorption into the visible region, and enhanced the separation of photogenerated electron–hole pairs as a result of the ZnO–carbon interface, increasing the catalytic activity 2.1 times in comparison with pure ZnO. Wang *et al.* synthesized nanohybrids containing highly dispersed ZnO NPs embedded onto lignin-derived carbon nanosheets for catalytic decomposition of organic pollutants.³⁶¹ To enhance the interaction between lignin and the negatively charged ZnO, lignin was quaternized. Using zinc oxalate (ZnC₂O₄) as the precursor, lignin carbonization and ZnO growth occurred simultaneously at a relatively mild temperature of 550 °C (in comparison with 700–900 °C shown in other works). Interestingly, the CO₂ and H₂O gas originating from the ZnC₂O₄ decomposition resulted in a loose structure with a SSA of 140 m² g⁻¹, while the UV light absorption was markedly increased thanks to the benzene ring structure and C=C and C=O bonds originating from lignin, reaching a 5-fold enhancement of photocatalytic activity when compared with pure ZnO.

Other lignin-derived carbon nanohybrids have also been reported. For instance, alkali lignin was transformed into 1–4 nm luminescent graphene quantum dots (GQDs) *via* a two-step bottom-up approach involving hydrothermal refluxion.³⁶⁴ Alkali lignin was fractionated with simultaneous S, N co-doping by esterification to generate lignin NPs which were catalytically cleaved *via* hydrolysis. Further hydrothermal treatment led to additional π – π stacking that boosted C–N, C–S and –C–SO_x– bond formation, resulting in the development of

S, N co-doped GQDs. Obtained GQDs exhibited a bright fluorescence with a quantum yield of 21%, water-dispersability and long photostability. Because of the sulfinyl group at the defect sites in GQDs could bind H₂O₂, this peroxide could be detected at concentrations as low as 0.13 nM through a luminescent quenching process. Pd NPs were supported onto a porous carbon framework using Pd(NH₃)Cl₂ as a precursor and lignin as a reducing/stabilizing agent and a carbon source.³⁶⁵ Using silica NPs as a hard-template, 3D interconnected mesoporous–macroporous structures with SSAs up to 1.467 m² g⁻¹ were achieved. An 80–100 nm thick highly graphitized layer composed of graphene-like lignin carbon sheets was deposited onto a copper foil by annealing lignin at 1000 °C in an argon atmosphere to obtain composites with a thermal conductivity of 478 W m⁻¹ K⁻¹, which is 43% higher than the neat copper foil.³⁶⁶ The graphitization process of lignin resulted in deoxygenation and dehydrogenation, while copper acted as support to enhance lignin graphitization.

Several reports discuss lignin carbonization to develop materials for energy storage applications.³⁶⁷ Although related efforts are addressed in detail in section 5.4, their synthetic approach is described here. A composite film of lignin and GO was simultaneously carbonized and activated at 750 °C under nitrogen atmosphere for 1 h to reduce GO and pyrolyze lignin to carbon (Fig. 17c).³⁶² KOH serves to dissolve lignin and activate the obtained carbon generating 30–80 μ m thick films with a remarkable SSA of 1.744 m² g⁻¹. In such binder-free hybrids, lignin-derived amorphous carbon acts as an intercalating agent to avoid restacking and agglomeration of the graphene sheets. Thanks to the large surface areas and absence of graphene stacks, the obtained hybrids were attractive for supercapacitor applications. Zhou *et al.* prepared hierarchical mesoporous carbon nanospheres hybridized with NiO NPs with SSAs of 852 m² g⁻¹ (Fig. 17d).³⁶³ Lignosulfonate nanospheres were treated at 800 °C in a nitrogen atmosphere and after *in situ* loading of Ni(OH)₂ onto carbon spheres, and additional carbonization at 450 °C in a nitrogen atmosphere was applied to obtain highly graphitized porous carbon with some defects and sp² hybrid structure, providing active sites for further reaction. The synergetic effect from the NiO transition metal, which presents a high theoretical capacity with the large conductivity and surface area provided by the carbon nanospheres enhanced the electrochemical performance of the resulting material.

Lignin-based carbon materials is a cross-cutting subject involving chemistry, chemical engineering, physics, engineering and other disciplines. How to control the preparation of lignin-carbon hybrids with excellent performance and good stability is still a challenging subject.

4.4. Biobased nanoparticles–lignin hybrids

4.4.1. Coated lignin nanoparticles. Coated lignin nanoparticles are emerging as the next generation of renewable nanoparticles among functional and smart lignin-based materials.⁴² One of the obvious benefits of restricting the chemical or physical modification at the particle surfaces is



the lower amount of chemicals required compared to the covalent modification in solution.

Sipponen *et al.* reported an “all-lignin” approach for the preparation of cationic colloidal lignin particles.³⁶⁸ Softwood kraft lignin was cationized by an epoxy ring-opening reaction with glycidyltrimethyl ammonium chloride in aqueous sodium hydroxide solution. The water-soluble fraction of cationic lignin was thereafter adsorbed on spherical lignin nanoparticles to reverse their surface charge from anionic to cationic. The minimum amount of cationic lignin needed to obtain stable dispersions was 40 mg g⁻¹ relative to the LNPs. These cationic particles were later demonstrated as efficient (91%) adsorption of Lipase M for an enzymatic synthesis of butyl butyrate.¹⁹² Cationic LNPs have also been prepared by using synthetic cationic polymers such as poly(diallyldimethylammonium chloride),^{97,170} as well as natural polymers like chitosan,¹⁷⁰ Concanavalin A (lectin),³⁶⁹ and a variety of proteins.³⁷⁰ LNPs with a cationic surface have been found to exhibit benefits in stabilization of Pickering emulsions,^{168,368} enzyme immobilization by adsorption,^{192,371} and more recently as agglomeration agents that facilitate virus removal from contaminated water.³⁷² A new frontier in the context of LNPs is the synthesis of particles that resist dissolution in organic solvents and under extreme pH conditions. Zou *et al.* co-precipitated bisphenol A diglycidyl ether with softwood kraft lignin to produce hybrid particles that could be thermally cross-linked for improved stability.³⁷³ Recently, Moreno *et al.* showed that particles analogous to LNPs, but prepared using lignin oleate, contain a hydration barrier layer resulting from the hydrophobic acyl chains that hinder protonation and deprotonation of carboxylic acid and phenolic hydroxyl groups under acidic and alkaline conditions.³⁷⁴ The OLNPs (oleic-lignin nanoparticles) were surface-modified without any cross-linking directly in aqueous dispersions using epoxy ring-opening reactions at pH 2 and pH 12. The resulting methacrylated and cationized particles showed competitive performance as curable anticorrosion coatings and pH-controlled switchable cationic/anionic adsorbents for rapid water purification.

One of the most interesting properties of LNPs is their ability to act as interfacial stabilizers. Zou *et al.* developed Pickering emulsion capsules that retain their integrity upon drying and rewetting.¹⁶⁸ The amount of chitosan required to coat LNPs and to obtain stable emulsions was 50–100 mg g⁻¹ relative to the dry weight of LNPs (Fig. 18a). The coating of LNPs with chitosan increased the particle diameter from 97 nm to 120–130 nm, while the net charge was reversed from anionic to cationic with 10–20 mg g⁻¹ chitosan to LNP mass ratio (Fig. 18b). Only 0.2 wt% of the particles was required relative to the weight of olive oil to stabilize Pickering emulsions. This concentration was notably lower compared to 1.7 wt% of cationic lignin-coated LNPs that gave only partial stabilization of olive oil/water Pickering emulsion.³⁶⁸ The Pickering emulsions stabilized by chitosan-coated LNPs were characterized for their macroscopic or microscopic appearance (Fig. 18c–e), and could be stored at room temperature for prolonged time

without significant changes in size distribution of the emulsion droplets after 60 d (Fig. 18f). Moreover, the Pickering emulsion droplets could be electrostatically stabilized by using sodium triphosphate, which allowed for drying and rewetting of the emulsions while retaining their spherical microdroplet shapes (Fig. 18g). Moreno and Sipponen recently reported the use of chitosan-coated LNPs for the adsorption of glucose oxidase, and further use of the GOx-chi-LNPs for the stabilization of Pickering emulsion polymerization of a series of vinyl monomers.³⁷⁵ These multifunctional hybrid particles enabled *in situ* degassing (oxygen removal) by the catalytic activity of GOx and synthesis of well-defined polymers using single electron transfer-living radical polymerization (SET-LRP). Later, the same group extended this biocatalytic hybrid LNP approach to the synthesis of polymer nanocomposites in polystyrene and polybutylmethacrylate matrices.³⁷⁶ The thermally reformable composites contained up to 35 wt% of lignin and surpassed the pristine polymers in mechanical properties (tensile strength and toughness).

Besides enzymes, non-catalytic proteins are interesting biological macromolecules to modify the surface of LNPs. Proteins form a corona over particles in biological tissues, and therefore it is of fundamental importance to understand protein-LNP interactions. Leskinen *et al.* studied adsorption of various proteins on colloidal lignin particles.³⁷⁰ Using QCM-D the authors found that the adsorbed mass of random coil proteins gelatin and casein on softwood kraft lignin was higher compared to the globular folded proteins conalbumin and albumin. Bovine serum, a protein mixture, was in between the two groups with respect to the adsorption capacity of the lignin particles. However, the adsorption studies using QCM-D were conducted on spin-coated model films prepared from lignin, similar to other efforts aimed to elucidate the effect of composition and electrostatic screening on enzyme binding.³⁷⁷ As LNPs are known to have more hydrophilic surfaces compared to bulk lignin, further studies on protein adsorption would benefit from the recently described model films from colloidal lignin particles.¹⁰⁴ There are many opportunities for fundamental studies to shed more light on lignin-protein interactions, which is becoming increasingly important as the biomedical applications of LNPs are rapidly developing.^{48,378}

4.4.2. Lignocellulosic nanoparticles. Among the biobased nanoparticles–lignin hybrids, those comprising the combination of cellulose and lignin have been attracting increasing attention in the past couple of decades. Importantly, instead of blending separate fractions of lignin and cellulose into composites (addressed in section 3.1), hybrid lignocellulosic micro and nanoparticles have been achieved without prior fractionation of the two biomass components. Such hybrids can be interesting alternatives for materials applications.

Nanocellulose–lignin hybrids are produced *via* deconstruction of unbleached or partially bleached pulp, making the process attractive from an environmental and economic standpoint. Such advantages derive mostly from the high yield, reduced chemical processing and lower energy input required for defibrillation, if compared to the production of purified



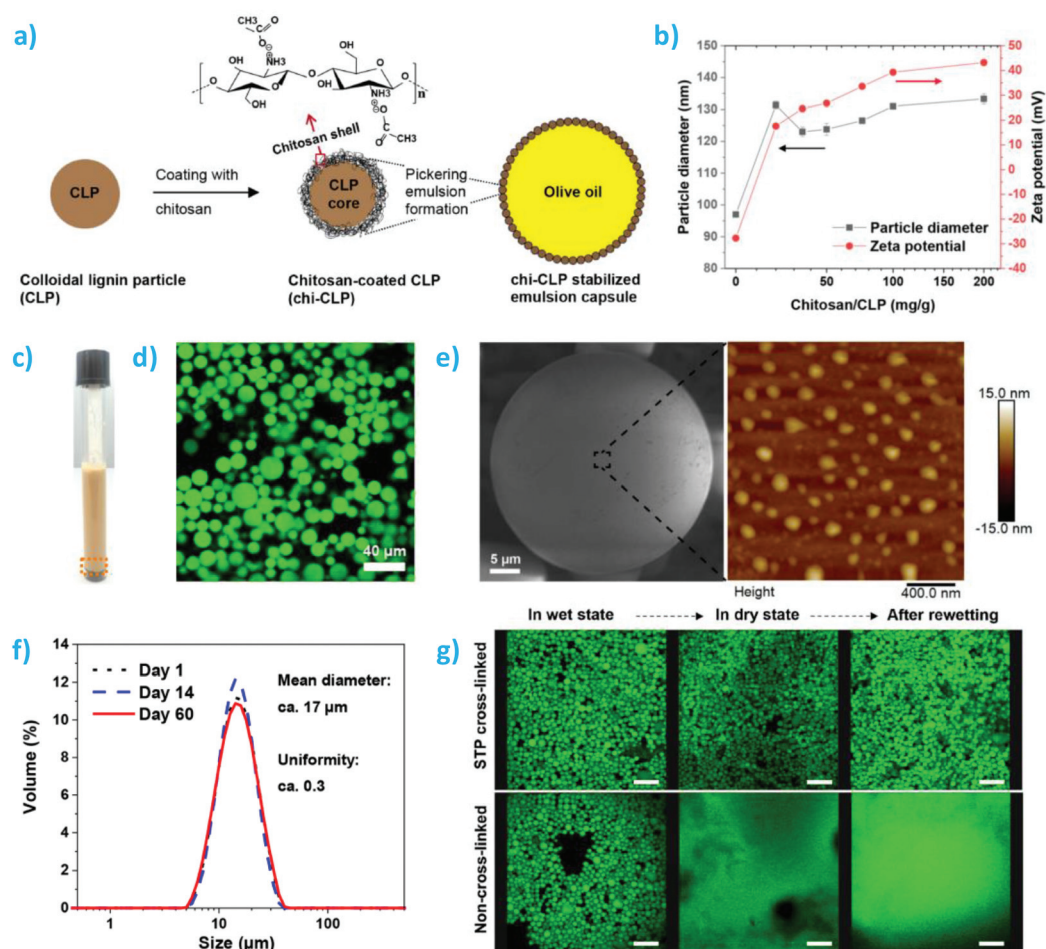


Fig. 18 Chitosan-coated LNPs and their use for stabilization of olive oil/water Pickering emulsions: (a) Schematic of the preparation of chitosan-LNPs in capsules obtained from Pickering emulsions. (b) Change of nanoparticle diameter and zeta-potential as a function of chitosan to colloidal lignin particle mass ratio. (c) Appearance of the Pickering emulsion directly after its preparation by ultrasonication. (d) Confocal laser scanning microscopy (CLSM) images of the Pickering emulsion. (e) Cryo-SEM image of a single emulsion droplet and Atomic force microscopy image of the surface of a single emulsion droplet revealing the presence of chi-LNPs embedded at the oil–water interface. (f) Storage stability of the Pickering emulsion as followed measuring droplet size distribution at within 60 d, (g) CLSM images of the emulsion droplets stabilized by sodium triphosphate (STP) that withstand drying and rewetting in contrast to non-cross-linked emulsion droplets. All the images are reproduced with permission from ref. 168.

nanocelluloses.³⁷⁹ Among other reasons, such lower energy input is often attributed to the presence of lignin and hemicelluloses in unbleached or incompletely bleached pulps, which helps decreasing cellulose–cellulose interactions that counteract defibrillation efforts. There is no consensus, however, on the effect of lignin on ease of defibrillation. A detailed review discussing such aspects related to the effect of lignin and hemicelluloses on fibrillation has been recently published by Albornoz-Palma *et al.*³⁸⁰

Importantly, composition–processing correlations affect not only the economics of pulp deconstruction, but also the lignin–nanocellulose hybrid morphology. By controlling the bleaching and pretreatment time or severity, biomass of varying lignin and hemicellulose content can be used for further deconstruction. Interestingly, lignin-rich spherical nano and microparticles can be observed on the surface of

hydrothermally pretreated biomass.^{381,382} Selig *et al.* and Donohoe *et al.* attributed the formation of such particles to the heating of lignin above the glass transition temperature, leading to the coalescence of lignin into droplets that migrate outside of the cell wall (Fig. 19a),^{381,382} where they eventually get deposited. Such hypothesis was also later confirmed by Pingali *et al.*³⁸³

Although the spherical particles are mostly composed of lignin, they may contain trace amounts of carbohydrates and humins and can be generated in different preprocessing conditions. Organosolv treatment, for instance, have also been observed to form such spherical particles, whereas dissolved lignin may precipitate during the washing or cooling steps.³⁸⁴ Furthermore, lignin–carbohydrate complexes, known to originate from covalent linkages between lignin and hemicelluloses,³⁸⁵ may form core–corona structures, where lignin par-



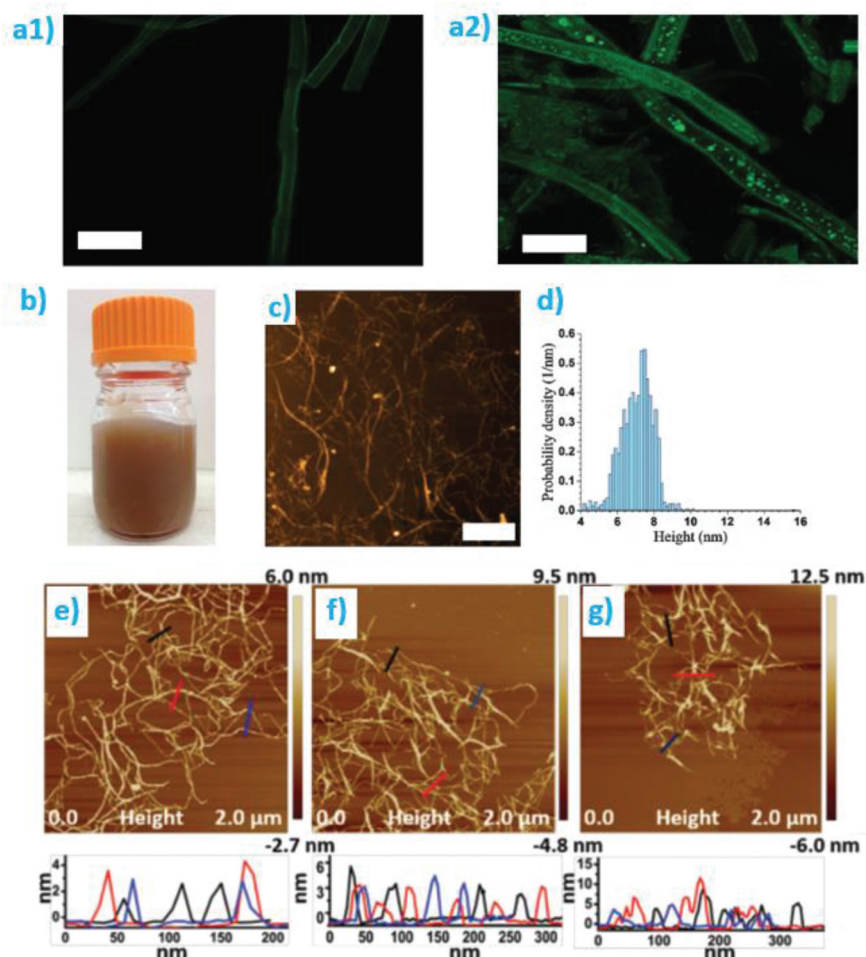


Fig. 19 Confocal microscopy of *E. Globulus* treated by autohydrolysis at two different severities (S), (a1) $S = 3.89$ and (a2) $S = 4.78$, showing the formation of lignin aggregates at the fiber surface for $S = 4.78$. Reproduced with permission from ref. 398. The S factor is a function of treatment temperature and time. Scale bars are $40\ \mu\text{m}$. (b) Usual appearance of LCNF suspensions. Reproduced with permission from ref. 392. (c) AFM image and (d) height distribution of LCNF having 17 wt% lignin produced from unbleached kraft pulp, treated with maleic acid ($120\ ^\circ\text{C}$ during 120 min) and via microfluidization. Reproduced with permission from ref. 392. Spherical particles were attributed to lignin coalescence. AFM images from LCNF samples containing (e) 13.6 wt% lignin, (f) 9.5 wt% lignin, (g) 7.3 wt% lignin, produced from reed flour via ball-milling at room temperature in 1, 4 and 7 wt% NaOH (samples were sonicated to further improve dispersion). Reproduced with permission from ref. 393. Lignin particles are not clearly visible as in the pretreated LCNF.

particles are coated by a polysaccharide corona.³⁸⁶ Spherical particles can also be generated through the degradation of carbohydrates (mostly hemicelluloses) during biomass pretreatment, leading to formation of lignin-like aromatic structures.^{387,388} The terms pseudo-lignin or humins are generally used to describe such degradation products that resemble lignin not only structurally, but also in terms of solubility properties. Pseudo-lignin is acid-insoluble and not easily distinguishable from Klason lignin.³⁸⁸ Importantly, similarly to lignin, it readily binds enzymes and negatively affects enzymatic hydrolysis of biomass.^{388–391} The precise identification of these distinct types of spherical particles, having similar morphology and originating from similar processing conditions, may not be promptly assumed solely based on microscopy evidence, as in some cases they may coexist, depending on the pretreatment applied.

Lignocellulose hybrid particles are most often used in the form of lignocellulosic nanocrystals (LCNC) or lignocellulosic nanofibers (LCNF). LCNF and LCNC are fabricated using similar methods to those traditionally employed for nanocellulose production, but result in suspensions having the brownish coloration usually observed in lignin (Fig. 19b). LCNF are produced through fibrillation, which is commonly achieved through mechanical methods, e.g. microfluidization,³⁹² or milling.³⁹³ For LCNC, acid hydrolysis is used.³⁹² Although lignin and residual hemicelluloses may negatively affect the yield of conventional acid hydrolysis used for bleached pulp, yields of up to 66% are observed through the use of deep eutectic solvents.³⁹⁴

Interestingly, spherical colloidal particles surrounding the nanocrystals and nanofibers are often observed in both LCNC and LCNF (Fig. 19c and d). Considering the wide range of raw



materials and processing conditions utilized across literature, it is difficult to precisely evaluate the origin and composition of such spherical colloids merely based on morphological observations. Nevertheless, given their similarity to those nano and microspheres obtained in the pretreatment of biomass, it is reasonable to assume that they are rich in lignin, pseudo-lignin or a mixture of both and that their composition should be carefully evaluated on a case-by-case basis depending on the processing conditions. Kumagai *et al.*³⁹⁵ for instance, observed an increased Klason lignin content (indicating the formation of pseudo-lignin) in relation to the untreated biomass and also an increased amount of spherical particles when severe pretreatment temperatures were used.³⁹⁶ Such spherical particles, however, are not always observed in LCNF suspensions, particularly when high temperature treatments are not employed. Liu *et al.*³⁹³ reported the preparation of LCNF through a method involving ball-milling of reed straw under mild-alkaline conditions, resulting in hybrid nanofibers containing high amounts of lignin (*i.e.* from 7 to 16 wt% depending on the concentration of NaOH) and no clear occurrence of spherical particles (Fig. 19e, f and g). The lignin derived from such process retained a native-like structure with minimal degradation or condensation. In the study by Diop *et al.*³⁹⁷ processing at ambient conditions had similar results, with no clear occurrence of spherical particles. Such results indicate that hybrid lignocellulosic nanoparticles might also exist with a morphology and structure resembling that occurring in nature, with lignin more uniformly coating nanocellulose if compared to the case where large segregated spherical particles occur.

Regardless of morphological aspects, lignocellulosic hybrid nanoparticles have shown good performance for a wide range of applications. Although lignin nanoparticles alone can be used as reinforcing fillers,³⁹⁹ the outstanding mechanical properties of nanocelluloses – outperforming most natural and synthetic polymers – make them more suitable for sustainable nanocomposites.⁴⁰⁰ The high polar character of cellulose, however, hinder good compatibility with hydrophobic polymeric matrixes, making necessary the use of compatibilizers (coupling agents).⁴⁰¹ In such a context, the amphiphilic nature of lignins provides a better interface between cellulose nanoparticles and the more hydrophobic polymers. Therefore, LCNs have been tested as reinforcing fillers for a wide range of natural and synthetic polymeric nanocomposites, including polypropylene (PP),⁴⁰² PS,⁴⁰³ epoxy,⁴⁰⁴ PLA,⁴⁰⁵ PVA,⁴⁰⁶ polycaprolactone,⁴⁰⁷ or starch,⁴⁰⁸ and others. For such applications, proper dispersion of the filler in the matrix is essential for improving mechanical and thermal properties, *e.g.* as shown in the work by Iwamoto *et al.*⁴⁰⁹ Good dispersion was also achieved by Ballner *et al.* through the use of Pickering emulsions.⁴⁰³ In such a case, LCNF was used to stabilize styrene in water emulsions that were further polymerized into PS microspheres coated with the LCNF. The microspheres were hot-pressed to produce reinforced polymer sheets. The bending strength and impact toughness of the composites were superior to that of neat polystyrene sheets. Such approach ele-

gantly highlights the use of the amphiphilic nature of lignocellulosic hybrid particles in two possible applications, *i.e.*, emulsions and composites. Other important applications include adhesives,⁴¹⁰ superhydrophobic coatings,⁴¹¹ oil barrier coating,⁴¹² nanopapers,⁴¹³ and others.

In summary, understanding the effects of pretreatment and deconstruction methods in the preparation of lignocellulosic hybrid particles is crucial for optimizing materials performance and applications. For instance, if lignin spheres coat nanocellulose particles, the overall surface properties (*e.g.* surface charge, wettability and adhesion properties) may largely differ from the case where lignin more uniformly surround nanocellulose. Both of such morphologies may occur depending on the pretreatment and process parameters used for particle formation. Therefore, this consideration is of fundamental importance as lignocellulosic particles applications largely rely on the surface properties brought by the reduced polarity and amphiphilicity of lignin, as investigated by AFM force measurements of lignocellulosic fibers.⁴¹⁴ Furthermore, much can be learned from the rich literature on parallel efforts related to the morphological evolution in biomass pretreatments aiming at lignin and hemicellulose redistribution or removal.^{396,398} Importantly, expanding our understanding on how pretreatment conditions and raw material composition affect the morphology and properties of lignocellulosic nanoparticles is needed. This knowledge could thus be translated into materials with optimized properties.

5. Applications of lignin composites and hybrids

Lignins have found use in a range of applications such as fuels, superplasticizers, precursor of aromatic flavoring agents, *etc.* Much of these applications rely on the macromolecular properties of lignins and their functional groups. Thereafter, controlling the aggregation of lignins into nano- and microparticles, including hybrids, enables a wider range of applications. In this section we put forward a subset of these applications and highlight the main developments towards these types of materials, where lignins enable to increase their sustainability, overall performance, or both.

5.1. Flame retardancy

Owing to its oxygenated aromatic nature, lignin is a natural char-forming compound and as such of interest for flame retardant materials. Progress in lignin-based flame retardants was reviewed by Yang *et al.*⁴¹⁵ There is a renewed interest in this research area as indicated by the studies published since their recent review.^{416,417} To contribute to the development of scalable materials, synthesis of lignin-based flame retardants should increasingly rely on green and sustainable chemistry. In particular, the number of synthetic steps should be minimized along with the solvents and reagents used, and the proportion of lignin in the multicomponent flame retardants should be as high as possible.



The most straightforward approach is to use lignin as such in fire retardant composites and coatings. The combustion of solid material involves the generation of flammable gases that ignite and burn and cause simultaneous thermochemical changes in the condensed phase. A fire-retardant material can inhibit the generation of flammable gases or promote the formation of a protective char layer over the bulk material. Due to their rigid aromatic structures, many different types of lignins are known to form a high proportion of char upon thermal decomposition. It is thus not surprising that there are many studies describing lignin as a char forming agent in fire retardant systems.^{418,419}

Lignosulfonates seem to be more thermally stable compared to all other technical lignin types when judged based on the percentage of char residues by thermogravimetric analysis. For example, the char yield at 500 °C of a sodium lignosulfonate was 58% compared to 41% for SKL. These differences in char formation from different lignin types might also be related to the content of inorganic impurities arising from the lignin extraction processes. It is evident, however, that the thermal stability of lignin is due to the formation of polycyclic aromatic hydrocarbons under oxygen-limited conditions,⁴²⁰ and is reflected to the fire retardancy of various polymer composites containing lignin. For instance, when 20 wt% of lignin was mixed within a polyamide matrix, the highest char yield at 600 °C was obtained with sodium lignosulfonate (13.2%) and the lowest with a purified SKL (9.8%).⁴²¹ Soaking of a cotton fabric in sodium lignosulfonate at 30 wt% loading concentration decreased the peak heat release rate (PHRR) and total heat release (THR) in a cone calorimeter test by 26% and 58%, respectively.⁴¹⁹ When melt extruded with PLA, the presence of 5 wt% of SKL resulted in a char residue fraction of 4.2% at 500 °C, whereas PLA alone degraded completely under the same conditions.⁴²²

Lignin has also been chemically modified to improve its fire-retardant performance. These modified lignin polymers typically carry nitrogen (N), phosphorous (P), and boron (B) elements. These compounds release non-combustible gases that increase the limited oxygen index (LOI), *i.e.*, the volume fraction of oxygen required to ignite the material. The majority of these lignin-based fire-retardant polymers are P-lignin,⁴²³ N-lignin,⁴²⁴ and PN-lignins.^{417,425} There are also works incorporating siloxane,⁴²⁶ and boron⁴²⁷ in lignin-based fire retardant materials. Though lignin itself has been found to exhibit intumescent behaviour,⁴²⁸ mainly chemically modified lignins have been evaluated in intumescent coating systems that swell upon thermal exposure and form a protective carbonaceous char layer.^{424,429} Overall, there is room for more studies towards improving the fire retardant properties of lignin, for instance, to enable development of coatings that are stable in outdoor conditions.

5.2. Food packaging

The continuous demand for antimicrobial packaging and the use of semi-prepared fresh foodstuffs with high nutritional value have promoted the present tendency in food packaging

application to design new systems with modulated characteristics, in order to improve the shelf-life of packaged food products.⁴³⁰ The increasing responsiveness regarding synthetic antioxidant application not only for food packaging, but also for stabilization of polymers, stimulated the interest towards natural antioxidants, in particular natural polyphenols. This demand for natural polyphenols is spotlighted by their biodegradability and low toxicity and lignin, as natural product with antiparasitic, antifungal, and antioxidant properties, take the active role in this specific sector.¹⁴⁴

Lignin has been considered as active ingredient in polymeric formulations, both at the micro and nanoscale, that has been properly reviewed in several contributions.⁴³¹ On the other hand, less attention has been given to the possibility of combining lignin in a hybrid configuration, so this section will only report on the available literature related to the synergic use of lignin in the presence of other organic/inorganic reinforcements for packaging purposes. Thinking about natural based reinforcements, the use of cellulose was unsurprisingly aligned with lignin. In this specific case, cellulose/lignin formulations in the absence of a polymeric substrates, such as in the case of the work of Tayeb *et al.* are out of the scope of this specific section, so the analysis will be mainly devoted to the use of these lignocellulosics fillers in polymeric substrates.^{127,412} Example of this approach can be found in the work of Yang and co-authors, that investigated the effect of adding lignin nanoparticles and cellulose nanocrystals (1 and 3 wt%) in PLA based films prepared by extrusion.⁴³² This work evaluated the antioxidant, antimicrobial, migration and disintegrability activities of ternary nanocomposite films. Data from antimicrobial tests revealed a capacity to inhibit the Gram-negative bacterial growth of *Xanthomonas axonopodis pv. vesicatoria* and *Xanthomonas arboricola pv. pruni* over time, offering innovative control against dangerous bacterial plant pathogens. The same authors studied also the effect of adding lignin nanoparticles in binary and ternary nanocomposites composed by PVA and chitosan polymeric blend:⁴³³ antimicrobial test revealed a capacity of these composites to neutralize the bacterial growth of Gram-negative bacterial plant/fruit pathogens.

Lignin has also been combined in a core-shell configuration with melanin (LMNP), to synthesize nanoparticles through spontaneous deposition of polydopamine (PDA) on the surface of lignin nanoparticles *via* a nanoprecipitation method, to be integrated in a series of PBAT-based nanocomposites.⁴³⁴ PBAT-LMNP films exhibited a clear superiority over the reference system, both in maintaining the UV shielding efficiency and the desirable mechanical performance, providing a promising solution to obtain novel cost-effective nanofillers with attractive functions and biodegradability.

Flower-like lignin/ZnO hybrids were also used as active fillers in polyurethane,⁴³⁵ where a simple, sustainable, and environmentally friendly *in situ* precipitation method was developed to prepare lignin/ZnO polyurethane composite (Fig. 20a and b). The results showed the excellent interfacial contact between lignin and ZnO, which resulted in excellent



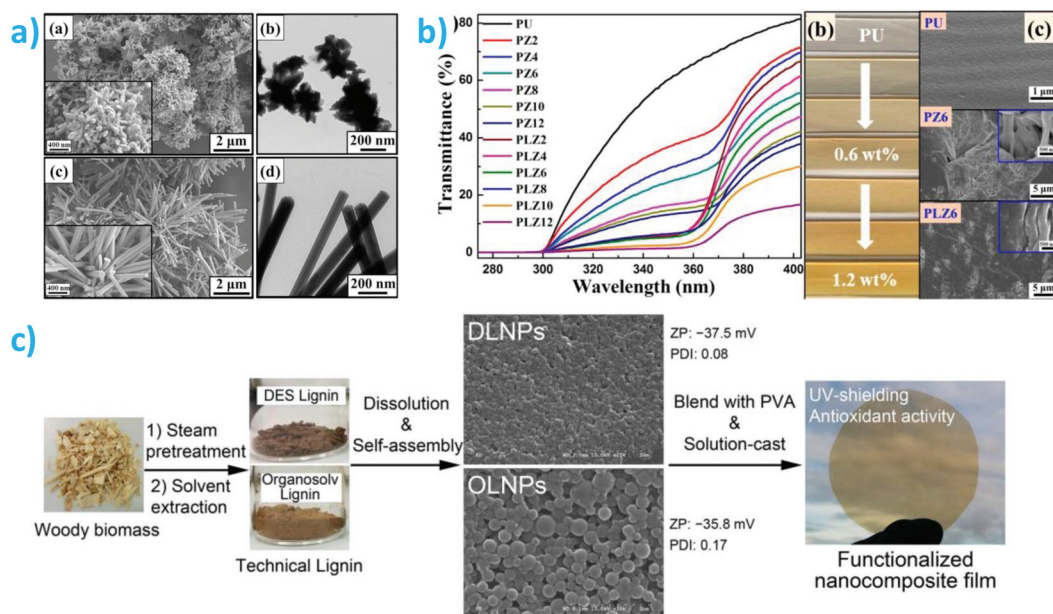


Fig. 20 (a) (a and c) SEM images of (a) quaternized alkali lignin (QAL)/ZnO composite and (c) ZnO. (b and d) TEM images of (b) QAL/ZnO composite and (d) ZnO. Reproduced with permission from ref. 435. (b) UV light transmittance curves, digital photos, and SEM images of PU, PU + ZnO, and PU + QAL/ZnO films, Reproduced with permission from ref. 435. (c) Process for incorporation of deep eutectic solvent and ethanol-organosolv extracted technical lignins into PVA, reproduced with permission from ref. 399.

synergistic UV-absorption properties. Furthermore, the mechanical properties of the polyurethane (PU) were also greatly improved when blended with only 0.6 wt% quaternized alkali lignin (QAL)/ZnO composite.

Other examples of hybrids lignin based formulations for specific applications can be found in,³⁹⁹ where the authors, inspired by the example of nanocellulose biopolymer, considered lignin nanoparticles, prepared through self-assembling of deep eutectic solvent and ethanol-organosolv extracted technical lignins, and incorporated them into poly(vinyl alcohol) (Fig. 20c). The films showed additional UV-shielding efficacy (~80% at 400 nm with 4 wt% of LNPs) and antioxidant functionalities. An approach for obtaining antioxidant active packaging to be used in food preservation was the grafting of metallic particles on lignocellulosic pulp. In details, lignocellulose fibers were grafted with AuNPs, directly synthesized and anchored without an external immobilizing agent on unbleached softwood pulp.³²⁹ As a consequence, AuNP-pulp nanocomposite paper showed exceptional antioxidant performance with a radical scavenging rate of over 98%, which is attributed to the synergistic effects of adsorption by the fiber-fiber network and subsequent catalytic activity of the AuNPs. Enhancements in terms of the film flexibility were obtained by combining lignin nanoparticles and boron nitride (BN)-OH/PVA composite film:⁴³⁶ these films, fabricated through vacuum filtration in conjunction with chemical cross-linking, showed multiple improved properties, confirming their promising role as packaging material.

These works confirm how the addition of lignin, especially nano-scaled, is able to address and solve the concerns associ-

ated with poor thermal stability, low mechanical strength, and brittleness of conventional polymeric composite films. Moreover, the synergy with other inorganic nanoparticles opens new outstanding use to a broad spectrum of applications that range from the traditional food sector to electronic and thermal resistant packaging that may count on inherent thermal stability of the lignin component.

5.3. Plant protection

The increasing demand for plant protection products, and the emerging problems related to the environmental impacts, have stimulated academic researchers to develop bio-based systems for plant protection.⁴³⁷ In this context, natural phenolic compounds and lignin-based materials have gained much attention due to their interesting properties: lignin-based controlled release of pesticides takes the advantage of lignin fragmentation and re-polymerization, that allows a precise control with flexibilities, helping to achieve desired release rates.²⁶ As a phenolic compound, lignin presents a chemical structure able to act as a plant protector against external environmental aggressions, such as insects, weather, bacteria and fungi.⁴³⁸ Yang and co-authors were among the first researchers to study the antimicrobial activity of lignin nanoparticles against plant pathogenic bacteria.¹¹² In this work, the authors demonstrated that the lignin nanoparticles positively acted as an antimicrobial agent towards *Pseudomonas syringae* and *Xanthomonas axonopodis* *pv.* *vesicatoria* strains when the aqueous concentration of lignin nanoparticles increased from 5% to 8 wt%, and *Pseudomonas syringae* was found to be the most vulnerable strain at both ratios. Lignin-based nanocap-



sules (LNCs) have been also applied as biocompatible vectors to deliver bioactive compounds, such as gibberellic acid (GA), to modulate the plant-growing process, as proposed by Falsini and co-authors.⁴³⁹ Cargo release and cytotoxicity assays were carried out in two model plants, *Eruca vesicaria* and *Solanum lycopersicum*, using lignin concentrations up to 1% w/v. The different seeds were treated with Ga-loaded LNCs, and the seedlings showed tolerance without symptoms of toxicity. Additionally, fluorescently-labeled LNCs showed the capacity to enter and accumulate in seeds and seedlings. The hydrophilic groups in the lignin chemical structure, which are localized on the external surface of LNCs in aqueous system, could positively influence the processes associated to plant germination and growth by increasing water availability.

An innovative valorization of a lignin nanocarrier was proposed as curative treatment of the grapevine trunk affected by Esca infection by Fischer *et al.*⁴⁴⁰ that fabricated an enzyme-responsive lignin nanocarrier loaded with a minimal quantity of fungicide (pyraclostrobin) *via* miniemulsion polymerization, providing an aqueous solution with a high encapsulation efficiency (Fig. 21a and b). These nanosystems were first studied *in vitro*, and then injected in planta (*in vivo*) into *Vitis vinifera* L. cv. ‘Portugieser’ plants. The study reported that a single trunk injection resulted in a significant reduction of Esca-associated pathogens, including the tracheomyctotic

Phaeoacremonium minimum and *Phaeoconiella chlamydospora*, and the evaluation was maintained over a period of five years. This curative treatment could also be used as a preventive treatment, since no drug is released without the secretion of lignin-degrading enzymes during the Esca infection. This general strategy can be extended for other plant diseases, and decrease environmental pollution induced by the overuse of agrochemicals.

Machado *et al.*⁴⁴¹ prepared chemically cross-linked lignin nanocarriers (NCs) by azo-Michael addition in miniemulsion, followed by solvent evaporation. The cross-linking of lignin was achieved with the bio-based amines (spermine and spermidine). Several fungicides were encapsulated *in situ* during the miniemulsion polymerization, demonstrating the versatility of the method. Lignin NCs with diameters of 200–300 nm (determined by dynamic light scattering) were obtained, with high encapsulation efficiencies (70–99%, depending on the drug solubility). Lignin NCs successfully inhibited the growth of *Phaeoconiella chlamydospora* and *Phaeoacremonium minimum*, which are ligninase-producing fungi associated with the worldwide occurring fungal grapevine trunk disease Esca. In planta studies proved their efficiency for at least four years after a single injection into *Vitis vinifera* (“Portugieser”) plants on a test vineyard in Germany. The lignin NCs are of high interest as biodegradable delivery vehicles to be applied by

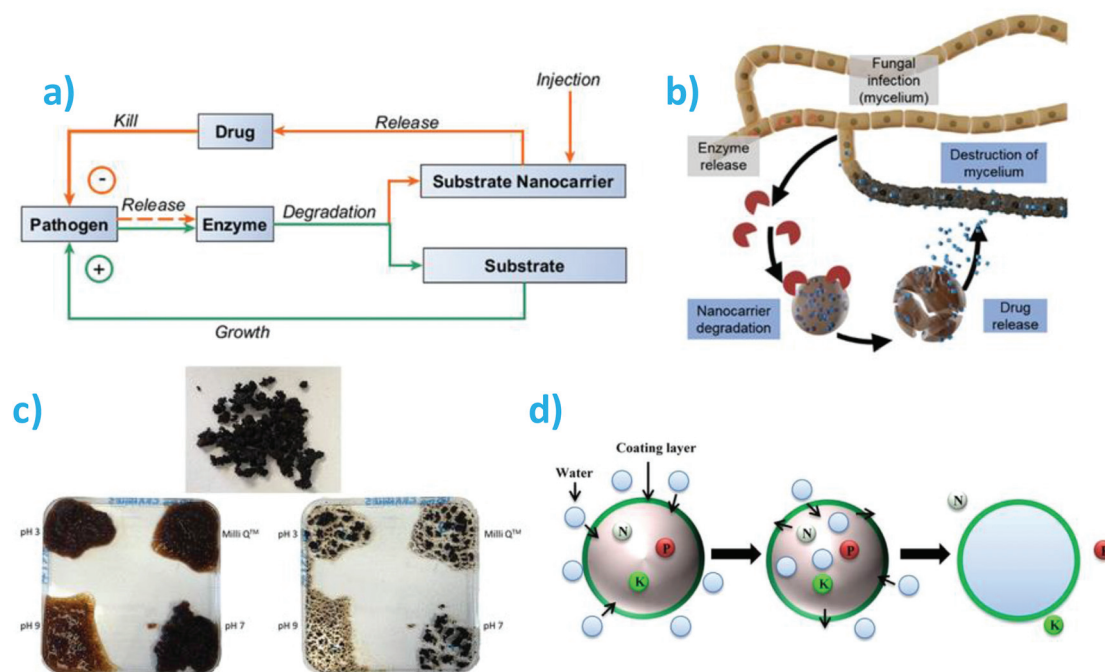


Fig. 21 (a) Concept of the nanocarrier-mediated drug delivery: green pathway: degradation of a substrate leads to growth of the pathogen (infection, disease); orange pathway: a negative feedback loop is initiated by masking a drug that fights the pathogen in nanocarriers based on the food source of the pathogen, reproduced with permission from ref. 440. (b) Concept shown in (a) used to fight the fungal disease “Esca”: fungicide-loaded lignin NCs release the drug load only when the Esca fungi release lignin-degrading enzymes, Reprinted with permission from ref. 440. (c) Lignosulfonate granule production using *Myceliophthora thermophila* laccase as a biocatalyst, loaded with 2 g kg^{-1} 3,6-dichloro-2-methoxybenzoic acid (Dicamba), a broad-spectrum herbicide, images of the granules before and after release experiments in different conditions, and after drying, Reproduced with permission from ref. 444. (d) Potential nutrient release mechanism of coated-lignin slow-release fertilizer. Reproduced with permission from ref. 449.



trunk injection against the devastating fungal disease Esca but might also be promising against other fungal plant diseases. Peil *et al.* encapsulated the *Trichoderma* spores in lignin-based polyelectrolyte shells *via* the LbL technique creating a surfactant-free, self-stabilizing spore dispersion.⁴⁴² The lignin shell transfers the spores in a resting state without inflicting damage. The resulting spore dispersion was colloidally stable over several months and applicable *via* trunk injection. Once injected, encapsulated spores fulfill the “Trojan horse” role. If the plant is infected by Esca pathogens, lignin-degrading enzymes, produced by the pathogens themselves, degrade the lignin shell and trigger the germination process. An extensive review on methods for active loading of lignin-based materials can be found in Sipponen *et al.*⁴⁴³

Besides the use of lignin as a carrier to deliver plant growth regulators, herbicides and pesticides, lignin-based materials can also be used to control the release of different fertilizers⁴⁴⁴ (Fig. 21c). In this context, Legras-Lecarpentier *et al.* proposed the synthesis of 100% lignosulfonate-based granules as fertilizer storage and controlled and sustained release systems, using an enzymatic polymerization approach.⁴⁴⁵ Release studies indicated that the lignosulfonate-based granules released more than 94% of the potassium nitrate and potassium phosphate during the first 10 d. Adding 1% w/w alginate into the polymerized lignosulfonates allowed obtaining bigger and perfectly stable granules. Moreover, the presence of increasing alginate concentrations allowed for the prolonged release rate of both fertilizers over 25 d, due to the different swelling behaviors of the coatings. This study showed for the first time the possibility of synthesizing fully biodegradable fertilizer delivery systems using completely green enzymatic technology. Luo *et al.* encapsulated pyraclostrobin in a lignin-modified polymer nanocapsule (NCS) to produce a nanoscale-delivery system with excellent soil mobility.⁴⁴⁶ These nanocapsules exhibited a stable core-shell structure and rapid release performance. In addition, they also increased the distribution of particles on the surface of target organisms and enhanced the soil mobility of pyraclostrobin, mainly benefitting from the nanocapsule's negatively charged polymer shell and nanoscale size. Pot experiments showed that, compared to treatment with nanosized emulsion in water and micron-grade microcapsule suspension (CS) of pyraclostrobin, the NCS provided improved control efficacy on tomato crown and root rot. They also showed lower pesticide residue in the soil than CS treatment.

In the case of hybrid lignin composites, examples of use can be found in Sun *et al.*⁴⁴⁷ where a novel composite porous hydrogel (LBPAA) based on lignin and polyacrylic acid was developed for use as the support frame of a pore structure for water delivery. The LBPAA was analyzed to determine its water-swelling and slow release properties. The controlled release properties of LBPAA were evaluated through experiments in relation to the cumulative release of pesticides, with particular emphasis on environmental effects and release models. The porous LBPAA hydrogel showed improved properties compared to the sole polyacrylic acid, and could therefore be considered

an efficient material for application in controlled release systems in agriculture. Flores-Céspedes *et al.* successfully entrapped an insecticide, imidacloprid, in lignin-PEG and ethylcellulose to obtain imidacloprid monolithic systems and coated granules, respectively, demonstrating the existence of a uniform film able to supply the appropriate amount of imidacloprid in each specific agronomic practice to increase the efficiency of this bioactive material and minimize the risk of environmental pollution.⁴⁴⁸

Li *et al.* prepared a lignin/clay composite material with a porous structure that acted as an efficient slow-release agent for urea.⁴⁵⁰ Leaching experiments showed that when lignin/clay/urea was 2:1:4, the prepared lignin/clay composite materials exhibited more efficient slow-release of the urea molecules. Furthermore, the lignin/clay composite material could adsorb water from the air, which may aid water retention by dry soil. Soil urease activity inhibition experiments showed that the composite materials could reduce the urease activity, leading to the transformation of urea into volatile ammonia. Jiang *et al.* prepared an alkaline lignin-poly(vinyl alcohol)-urea (AL-PVA-U) film by crosslinking with formaldehyde.⁴⁵¹ The results showed that the addition of alkali lignin limited the release of nitrogen in the AL-PVA-U film and resulted in an increase of the span for controlled release. Jiang *et al.* also measured the release of K and Mg from an alkaline lignin/PVA cross-linked slow-release film by using the leaching experiment.⁴⁵² It was found that the addition of alkali lignin was unfavorable for K release, whereas an appropriate amount of alkali lignin favored Mg release, compared with the cross-linked PVA film alone. The mechanism of the slow-release of K from the film mainly involved the physical barrier effect of the molecular structure, whereas for Mg release, in addition to the physical barrier effect, the chelation of lignin also played a part. Ma *et al.* prepared polyacrylic acid-grafted alkali lignin-based (ALS-G-P(AA)) iron fertilizer by grafting an acrylic monomer onto amorphous alkali lignin.⁴⁵³ Under the conditions of 60% neutralization degree of acrylic acid, 0.06 g of initiator, 0.03 g of cross-linking agent, temperature of 60 °C, and alkaline lignin grafting ratio of 25%, the water absorption of the resulting ALS-G-P(AA) iron fertilizer was 1017 g g⁻¹ and the iron ion content was 7.74 mg g⁻¹. The effective period of the water-saturated ALS-G-P(AA) iron fertilizer was twenty-two d.

Novel hybrid organic-inorganic materials obtained by combining copper(II) salts with two types of technical lignins were tested for their antifungal and antibacterial profile against a vast panel of pathogens of agronomical interest.⁴³⁷ Furthermore, preliminary tests on crops in a greenhouse were performed, showing that lignin@Cu had better performances than a commercial pesticide based on copper(II) hydroxide on tomato plants against *Rhizoctonia solani*, indicating a great potential of these materials as plant protection products.

In a recent review from Chen *et al.*⁴⁴⁹ the preparation principles of lignin-based slow/controlled release fertilizer were summarized (Fig. 21d). Although the evaluation standards and methods of lignin-based slow/controlled release fertilizers need to be further improved, it is believed that more and more



scholars will pay more attention to them to accelerate the development and application of lignin-based slow/controlled release fertilizers, so as to improve their relevant standards, since lignin-based slow/controlled release fertilizer has a very broad application prospect in agro-ecological environmental protection and sustainable agricultural development.

5.4. Energy storage applications

Energy storage is a highly relevant area of research as efficient energy storage materials and devices will boost the transition towards a decarbonized society; *i.e.* powering electric vehicles (EVs) or storing the electricity generated from renewable resources. In this field, electrochemical energy storage (EES) systems are especially relevant. Depending on their energy/power densities and energy storage mechanism, they can be categorized into three main systems comprising capacitors, supercapacitors, and batteries. Because of their high energy density, wide operational voltage range, low self-discharge rate and long cycle life, lithium-ion batteries (LIBs) dominate the battery market, although other emerging alternatives such as sodium-ion batteries (NIBs) or zinc-ion batteries (ZIBs) could be found. Typically, such batteries are composed by two electrodes (the active anode and cathode) separated by a porous membrane (usually glass fiber or polyolefin-based) soaked into a liquid electrolyte, which physically isolates both electrodes but permits ion transfer between them.⁴⁵⁴

Regarding separators, a high-porosity nonwoven lignin/polyacrylonitrile composite was fabricated by electrospinning to obtain a porosity of 74% with 0% dimensional shrinkage at

150 °C (42 and 32% for the commercial PP separator, respectively).⁴⁵⁵ Lignin markedly enhances the electrolyte affinity of the separator, reaching electrolyte uptake as high as 790%. As a result, the ionic conductivity is enhanced by two orders of magnitude, yielding a capacity of 110 mA h g⁻¹ at 2C (~90 mA h g⁻¹ for the PP separator) when mounted into a Li/LiFePO₄ battery. Similarly, a nonwoven lignin-polyvinyl alcohol nanofiber separator showing a 1 M LiBF₄ EC/DMC electrolyte uptake of 533% (108% for commercial Celgard) and small thermal shrinkage has been prepared for LIBs using a water-based process.⁴⁵⁶ Li/Li(Ni_{0.33}Mn_{0.33}Co_{0.33})O₂ half cells with the lignin-based separator delivered a capacity of 91 mA h g⁻¹ at 2C (48 mA h g⁻¹ for Celgard). Interestingly, lignin protects the separator against electrochemical side reactions that may increase interfacial resistance. Besides increasing electrolyte uptake and providing an increased thermal stability to the separator, lignin can be used as a functional coating to suppress dendrite formation. Accordingly, lignin-Nafion porous membranes have been used to enhance the life cycle of ZIBs.⁴⁵⁷ Lignin increases ionic conductivity from 4.6 × 10⁻⁶ to 1.4 × 10⁻³ S cm⁻¹ while promoting the formation of a zinc layer hydroxide as a result its interaction with Zn²⁺. In turn, the latter provides a stable solid-electrolyte interface, which extends the cycle life over 400 h in comparison with <200 h for commercial separators (see Fig. 22a). Other use of lignin as a functional coating was shown by Zhang *et al.*, who deposited lignin NPs onto a Celgard separator by simple filtration to prevent the worrisome shuttle effect caused by soluble polysulfides in lithium-sulfur (Li-S) batteries.⁴⁵⁸ Such an effect was

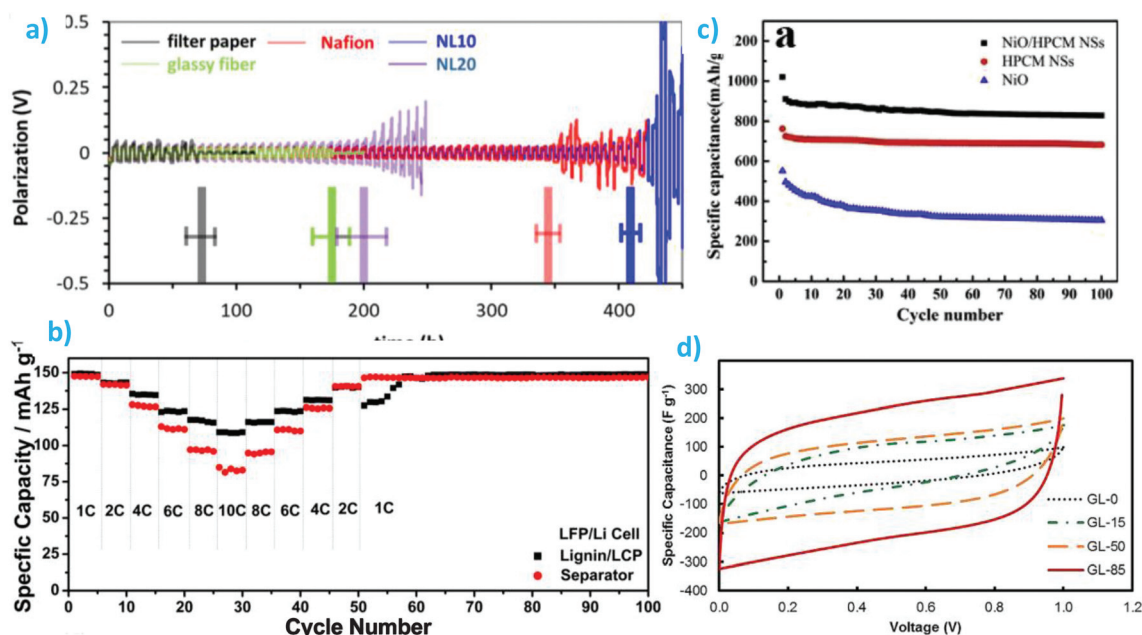


Fig. 22 Lignin for energy storage applications: (a) Stripping/plating for the separator/membranes to assess their life cycles. Reproduced with permission from ref. 457. (b) Rate capacity of lignin-based electrolyte in Li/LiFePO₄ cell compared with commercial separator. Reproduced with permission from ref. 459. (c) Comparative cycling performance at a current density of 0.1 A g⁻¹ of pure NiO, hierarchical mesoporous carbon (HMPC) and NiO/HMPC. Reproduced with permission from ref. 363. (d) Cyclic voltammetry curves of GO/lignin supercapacitors measured at 20 mV s⁻¹. Numbers represent initial lignin % concentration. Reproduced with permission from ref. 362.



ascribed to the abundant electron-donating groups of lignin that induce chemical binding of polysulfides and hinder the shuttle effect, delivering $\sim 487 \text{ mA h g}^{-1}$ at 2C in comparison with 424 mA h g^{-1} for the non-coated Celgard.

A step forward in the development of efficient and safer separators may come from the development of gel polymer electrolytes (GPEs), which are considered an effective solution to build safer batteries. In this context, a free-standing lignin-based GPE was obtained mixing lignin and poly(*N*-vinylimidazole)-*co*-poly(poly(ethylene glycol) methyl ether methacrylate) in water and subsequent casting and drying.⁴⁵⁹ After activation by organic electrolyte, a remarkable lithium ion transference number of 0.63 (0.27 for the commercial separator), ionic conductivity of $6.3 \times 10^{-4} \text{ S cm}^{-1}$ at 30 °C, and electrochemical window up to 4.7 V *vs.* Li/Li⁺ were obtained. Lignin-electrolyte showed a discharge capacity of 150 mA h g^{-1} at 1C into a Li/LiFePO₄ cell for more than 450 cycles (see Fig. 22b for the rate capacity). Thanks to the large number of hydroxyl groups in lignin that promote anion dissociation from lithium salt and its GPE character, a remarkable rate capacity of 110 mA h g^{-1} at 10C and Li dendrite growth inhibition were observed.

The large volume expansion suffered by different host during charge–discharge and their poor intrinsic conductivity remains one of the most relevant challenges when designing high-performance anodes. This problem can be solved incorporating active materials, usually transition metal oxides, into a porous carbon host derived from lignin. In this context, ZnO NPs were supported onto porous carbon from lignin by solvothermal method to fabricate a LIB anode with high electrical conductivity and stability during lithiation/delithiation.⁴⁶⁰ The structure of the porous carbon provides shorter diffusion distance of Li⁺ and electrons and protects against volume changes, while it also acts as an active material of lithium storage, providing a discharge capacity of 898 mA h g^{-1} at 0.2 C after 100 cycles (84 mA h g^{-1} for pure ZnO). Similarly, lignin-derived hierarchical mesoporous carbon loaded with NiO NPs were applied as LIB anodes.³⁶³ As shown in Fig. 22c, obtained micropore-to-mesopore distribution and SSA of $852 \text{ m}^2 \text{ g}^{-1}$ provides additional reactive interfaces and electron/ion channels for electrolyte ions, delivering a discharge capacity of 863 mA h g^{-1} at 0.1 A g^{-1} after 100 cycles, which is even above the NiO theoretical capacity of 718 mA h g^{-1} and surpasses the poor $\sim 300 \text{ mA h g}^{-1}$ delivered by the NiO anode.

The redox quinone/hydroquinone moieties of lignin have been exploited to store an electric charge. Lignin was mixed with a conjugated polymer, poly(3,4-ethylenedioxythiophene) (PEDOT) *via* oxidative polymerization to fabricate cathodes for NIBs.⁴⁶¹ Conductive PEDOT allows electron transfer and the presence of lignin provides an extra redox process increasing the specific capacity of the PEDOT electrodes, yielding a cathode material which keeps its redox activity and stability for over 150 cycles. Further, lignin-based anode materials for NIBs with a reversible capacity of 347 mA h g^{-1} were obtained after carbonization of lignin-nanospheres at $1300 \text{ }^\circ\text{C}$ under nitrogen atmosphere.⁴⁶² The moderate interlayer distances and rich defect sites obtained provide enhanced Na⁺ storage by interca-

lating into interlayers, while the nanoscale morphology reduced ion diffusion length.

Supercapacitors are being explored for energy storage as they show high-power density, rapid charging/discharging ability, safe operation and long cycle life. To date, the quinone redox function of lignin has been used for supercapacitor fabrication as quinone/hydroquinone couples provide a reversible single step two-electron two-proton redox reaction $2\text{H}^+/2\text{e}$, giving a charge density of 1787 C g^{-1} . In their pioneering work, Milczarek and Inganäs prepared a composite cathode electrode comprising lignin and polypyrrole, where the quinone groups can reversibly accept and release electrons and protons during successive charge and discharge cycles.⁴⁶³ Similar performance has also been proven for other conducting polymers such as PEDOT, which reached specific capacitance values 170.4 F g^{-1} .⁴⁶⁴ Regarding lignin nanohybrids comprising inorganic NPs, CNTs were functionalized with kraft lignin to exploit the reversible redox activity of lignin.³⁴⁵ An enhanced gravimetric capacitance from 75 for bare CNTs to 143 F g^{-1} for lignin/CNT nanohybrid was obtained due to the electrical double-layer capacitance (EDLC) contribution from CNTs and pseudocapacitance of quinone (CNTs provide a conducting network for electron transport to the potentially electroactive groups of lignin). To enhance the short life cycle, low cycling efficiency and high self-discharge rate of lignin originating from its dissolution by common electrolyte solvents, lignin has been confined into a graphene cage.³⁴⁸ Besides protecting lignin against dissolution, graphene provides efficient electron transfer pathways during electrochemical reaction while buffering the strain relaxation and volume changes, thus delivering a capacitance of 211 F g^{-1} at 1.0 A g^{-1} and allowing a pseudocapacitance retention of 88% after 15 000 cycles at 2.0 A g^{-1} . Transition metal oxides can be also used for supercapacitor applications. In this context, NiWO₄ NPs were decorated with lignin and deposited onto an aluminum substrate to obtain a supercapacitor anode with a pseudocapacitance behavior, which resulted in an energy density of 2 Wh cm^{-2} and a 97% capacitance retention after 1000 cycles.⁴⁶⁵ Lignin nanocrystals were confined on RGO to combine the fast and reversible redox charge transfer of surface-functionalized quinones and their interplay with electron-conducting RGOs.³⁵⁰ Interestingly, this work focused on the development of pseudocapacitors, which show improved capacitance over the supercapacitors that deliver charges through non-faradaic means (*i.e.*, electrochemical double-layer capacitors). Through nanoscale confinement manipulation, a strong interaction of lignin with RGO is obtained *via* strong π - π and hydrophobic interactions between the aromatic backbone of lignin and RGO sheet, allowing a fast redox charge transfer which results in a pseudocapacitor with a maximum capacitance of 432 F g^{-1} at 1.0 A g^{-1} (six times larger than that of pure RGO).

Carbonaceous materials have been widely used as electrode materials for uses as (micro)electrodes,⁴⁶⁶ and supercapacitors, which take advantage of processability, low cost and good chemical stability. Hierarchical porous carbon monoliths derived from lignin carbonization have been used directly as a



supercapacitor electrode with no need of binders. Rectangular cyclic voltammetry curves indicating good EDLC behavior were observed. The obtained hierarchical 3D porous structure provides large surface area to enhance the ion storage space while affording ion buffering reservoirs to minimize the ion diffusion distance to the inner surfaces, resulting in a specific gravimetric capacitance of 200.2 F g^{-1} at 0.1 A g^{-1} (aqueous 6 M KOH electrolyte).³⁵⁵ A bacterial activation method has been applied to optimize the pore-structure of carbon from lignin and promote its graphitization, yielding carbon with a remarkable SSA of $1831 \text{ m}^2 \text{ g}^{-1}$.⁴⁶⁷ The micropores formed during activation enable charge accumulation, the mesopores accelerate the ion diffusion and the macropores provide space for buffering/storing electrolyte ions. Moreover, the obtained carbon presented oxygen-containing functional groups, improving electrolyte wettability, decreasing interfacial charge transfer resistance and providing additional pseudocapacitance through the Faraday reaction that can combine with EDLC. Consequently, a capacitance of 428 F g^{-1} at 1.0 A g^{-1} was obtained in an aqueous 6 M KOH electrolyte (capacitance retention 96.7% after 10,000 cycles at 5.0 A g^{-1}).

To further increase the capacitance, porous carbon from lignin has been used as a structural framework to host active NPs. In this context, ZnO NPs have been incorporated into lignin-derived porous carbon to obtain a maximum specific gravimetric capacitance of 193 F g^{-1} at 0.5 A g^{-1} (PVA/KOH gel electrolyte).⁴⁶⁸ Superior cycling was achieved owing to the carbon host that allowed quick transfer and diffusion for electrolyte ions, provided more active sites for charge accumulation, enhanced ZnO conductivity and relieved ZnO volume expansion during charge/discharge. Importantly, the obtained EDLC behavior with good reversibility resulted in a capacitance of 161 F g^{-1} at 2.0 A g^{-1} after 10,000 cycles. Similarly, a 30–80 μm thick free-standing porous carbon/graphene hybrid prepared after KOH activation with a SSA of $1744 \text{ m}^2 \text{ g}^{-1}$ has been applied as a binder-free supercapacitor electrode.³⁶² Amorphous carbon avoids graphene aggregation, exhibiting a near-ideal capacity behavior with a capacitance of 162 F g^{-1} at an ultrahigh scan rate of 20.0 A g^{-1} (aqueous 1 M H_2SO_4 electrolyte, Fig. 22d). Ternary nanohybrids have also been investigated to enhance the capacitance (*i.e.* MnO_2 NPs, reduced graphene oxide and porous carbon from lignin to yield 135 F g^{-1} at 2.0 A g^{-1}),⁴⁶⁹ although the presence of further active materials does not *per se* guarantee an increased capacitance.

Although energy storage has been mainly focused on EES, harvesting and storing other energy types is of prime interest. Phase change materials (PCMs) are emerging as a suitable choice as they can store and deliver thermal energy during a solid-liquid transition.⁴⁷⁰ Lignin nanocapsules containing fatty acids prepared by co-precipitation have been employed as PCMs for thermal energy storage.⁴⁷¹ Using water as a non-solvent, core-shell NPs having 122 to 190 nm in diameter and a controlled concentration of tall oil fatty acid, oleic acid or lauric acid stabilized by a 18 nm thick softwood Kraft lignin shell were fabricated. The nanoconfinement provided by lignin avoided phase separation during melting stage, resulting in

enthalpy retention values of 99.7 and 98.1% for the solidification and melting after 290 cycles (in the dry form). Such stability was ascribed to the confinement effect of fatty acids provided by the lignin shell, resisting broadening and separation of the phase transition peaks. Interestingly, synthesized nanocapsules could also be used in aqueous systems, opening new opportunities in thermal energy management.

Solar energy harvesting is a prominent mean of sustainable power generation. Over the last years considerable efforts have been devoted to the development of efficient dye-sensitized solar cells (DSSCs), which show a photo-electricity conversion by photo-excitation of dyes.⁴⁷² To avoid the use of scarce materials, lignin and its derivatives have been applied into solar cells. For instance, various types of ligninophenol have been combined with nano-porous TiO_2 to increase light adsorption (originating from the aromatic rings) and fabricate sensitized solar cells with a photo-electricity conversion efficiency of 3.61% under visible light irradiation.⁴⁷³ Carbon nanofiber mats having a SSA of $941 \text{ m}^2 \text{ g}^{-1}$ and electric conductivity of 502 S m^{-1} obtained from lignin carbonization at $1500 \text{ }^\circ\text{C}$ were applied as DSSC counter electrodes to achieve a efficiency of 7.60%, matching the 7.67% showed by conventional Pt counter electrode (lignin-derived carbon efficiently collects electrons thanks to its low charge transfer resistance).⁴⁷⁴ In another work, modified alkali lignin was used as a p-type dopant for PEDOT to increase its conductivity.⁴⁷⁵ The electron transfer property resulting from lignin's aromatic structure can be exploited to obtain materials that act as a transfer medium for the conversion of photonic energy. Wu *et al.* showed that the rich phenolic hydroxyl groups in alkali lignin provide a hole mobility as high as $2.27 \times 10^{-6} \text{ cm}^2 \text{ V}^{-1} \text{ S}^{-1}$, which is comparable to the mobility shown by conventional conjugated polymers. Therefore, perovskite solar cell anodes were prepared by combination of PEDOT and alkali lignin, reaching a power conversion efficiency of 14.94% in comparison with the 12.6% obtained for the common PEDOT:PSS based devices.

5.5. Biomedical

Lignin-based composites in various different morphologies have been identified as promising biomedical materials with antioxidant and antimicrobial activity, compatibility with mammalian cells, and physicochemical properties mimicking those of biological tissues. The literature includes antimicrobial composites in aerogel,⁴⁷⁶ nanofibers,⁴⁷⁷ and film,⁴⁷⁸ forms. Among these, Domínguez-Robles *et al.* recently reported softwood kraft lignin-poly(butylene succinate) composites that exhibited antioxidant activity and antibacterial properties.⁴⁷⁸ The extent that *Staphylococcus aureus* cells adhered on the injection molded composites was reduced approximately 90% regardless of their lignin content (2.5–15%). Instead, a linear increase in the melt flow index at $175 \text{ }^\circ\text{C}$ was found as a function of the increasing lignin content.

Lignin-based composites have been proven compatible with mammalian cell lines including mouse fibroblasts,¹⁶⁷ a rat neuronal cell line PC12,⁴⁷⁹ human dermal fibroblasts,⁴⁷⁹



human hepatocytes,¹⁵⁶ human cardiac artery endothelial cells,⁴⁸⁰ human osteogenic sarcoma cells SaOS-2,⁴⁸¹ a human hepatocellular carcinoma cell line HepG2,⁴⁸² and human mesenchymal stem cells.⁴⁷⁹ Zhang *et al.* recently developed nanocomposites consisting of CNF, sodium alginate, and colloidal lignin particles and demonstrated their use as hydrogel inks for three-dimensional printing using a pneumatically driven extrusion process.⁴⁸² The authors found that the incorporation of 1–17 wt% of CLPs (dry basis) increased viscosity of the hydrogels at a low shear rate and consequently provided a better shape fidelity and printing resolution to the scaffolds. The nanocomposite hydrogels showed no negative effect of CLPs on proliferation of HepG2 cells on the scaffolds that contained uniformly dispersed CLPs on their surfaces and within the matrix (Fig. 23a–c).

Spiridon and Tanase prepared PLA-lignin composites containing 7 or 15 wt% of birch wood organosolv lignin or softwood kraft lignin.⁴⁸¹ The composites with 15 wt% lignin showed similar better mechanical properties compared to the ones with 7 wt% lignin. Tensile strength of the composites

with 15 wt% of lignin was similar to that of the neat PLA but higher after 30 d immersion of the materials in a simulated body fluid. Despite these differences, all composites performed equally well in a cell culture test that measured metabolic activity of the SaOS-2 cell line. These results demonstrated that the incorporation of lignin did not cause any noticeable cytotoxic effects.

Kai and co-workers made a substantial contribution to the development of lignin-containing nanofibers.⁴⁸⁴ The lignin content in these materials was varied from 6% to 50%. For instance, lignin-based copolymers (lignin-poly(ϵ -caprolactone-co-lactide)), were synthesized *via* solvent-free ring-opening polymerization and blended with polyesters such as poly(ϵ -caprolactone) (PCL) and poly(L-lactic acid) (PLLA) by means of electrospinning. The resulting nanofibers formed fibrous scaffolds in which the fibril diameter was less than one micrometer (Fig. 23d–g). These composite nanofiber scaffolds were demonstrated as non-cytotoxic culture medium for attachment and proliferation of NIH/3T3 cells (Fig. 23h and i).⁴⁸³

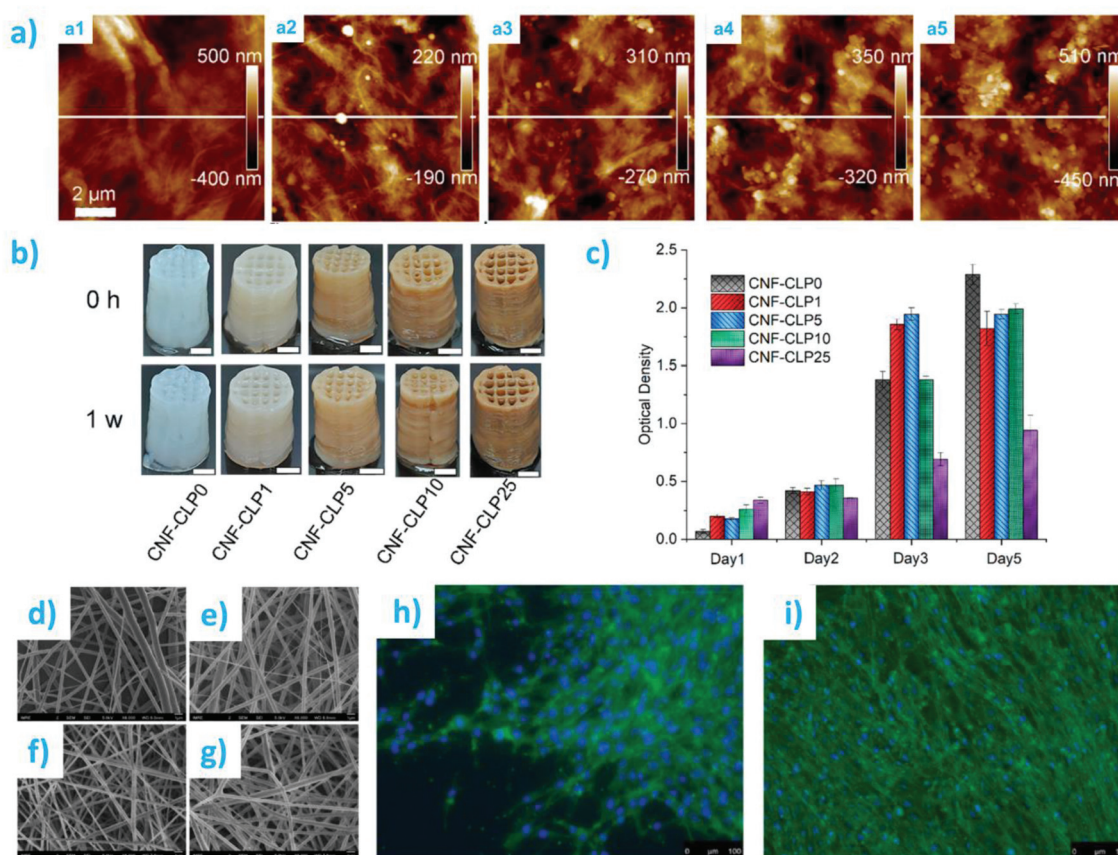


Fig. 23 Lignin-based composites for cell culture. CNF-alginate-CLP nanocomposites: (a) AFM height images of CNF-alginate (a1) and CNG-alginate-CLP hydrogel composites within lignin contents 1%, 4%, 7%, and 17% on dry basis (a2–5). (b) Photographs of the corresponding three-dimensionally printed hydrogel scaffolds. (c) Cell culture in the scaffolds as followed by optical density designating the extent of *in vitro* HepG2 cell proliferation. Reproduced with permission from ref. 482 SEM images of nanofibers produced from (d) polycaprolactone (PCL), (e) PCL blended with alkali lignin copolymer, (f) poly(L-lactic acid) (PLLA). (g) PLLA blended with alkali lignin copolymer. Fluorescence microscopy images of NIH/3T3 cells on the nanofibers: (h) PCL-alkali lignin, (i) PLLA-alkali lignin. Reproduced with permission from ref. 483.



6. Outlook

6.1 Current state

The main prospects of lignins are associated with their bio-sourced nature, high availability as by-products and co-products, and possible reintegration into the biospheres. While their biodegradability is the slowest among natural biopolymers,^{485,486} their end of life cycle highly benefit from their reduced hazards upon introduction into the ecosphere when compared with synthetic polyolefins. Commercially available lignins are separated in sulfur containing lignins, such as lignosulfonates (up to 8% sulfur) and kraft lignins (below 3% sulfur), and sulfur-free lignins such as soda lignin and other lignins obtained from modern biorefinery concepts.³² The largest volume of lignins extracted from biomass is Kraft lignins (55 Mton), followed by soda lignins (6 Mton), and lignosulfonates (1 Mton). Thereafter it is important to consider the fraction of lignin that is processed (*i.e.* dissolved and burned) or isolated as a biopolymer for further use. For instance, Kraft lignins are currently isolated at a yearly rate of *ca.* 0.2 Mton, whereas the rest is processed due to their high calorific value making them an excellent fuel for the classic Kraft mills. Lignosulfonates are almost entirely isolated, while Soda lignins are isolated at a considerably smaller rate, *i.e.* below 15 kton yearly. As a bioeconomical endeavor, the valorization of lignins has a high priority.⁴⁸⁷ Many lignins have been used in niche market and a wide range of applications is currently being explored. However, for their realization, performance-to-price considerations are always central. Thus far, lignosulfonates are the most exploited commercially, being heavily used as additives in a variety of applications. Kraft lignins are seeing a rise in interest for the development of materials, due to their relatively low cost, high potential availability, and versatile polymeric interactions.

Economic strategies for valorization of different lignin types for different applications are illustrated in a plot showing estimated lignin production costs and potential production volume *vs.* the volume of potential applications and the potential revenue (Fig. 24). Biorefinery lignins (BRLs) have a big cost advantage over other lignin types. Crude BRLs can be easily upgraded (as described in section 2.4.1) and the low costs of the starting material result in different opportunities economically feasible.²

High-value/small market size applications (top left corner of the diagram in Fig. 24) would be interesting for specific customers and producers of lignin specialties, such as biomedical and active delivery applications. Lignin particles can be successfully used there.⁴⁴³ Although these applications are very attractive due to high value of the end-use products, the market size is rather limited as compared to potential lignin production market. The “sweet spot” for lignin producers, such as Kraft lignin and potential biorefinery lignin producers, would be in the areas of higher volume and still high value, such as replacement of phenol in PF resins (phenol replacement during resin formulation or direct PF resin replacement with lignin), polyols, emulsions or thermoplastic. Thermoplastics applications are of special interest due to their high market size and good value. Technically, lignin particles can be used very successfully in these applications. However, the LP production costs are close to the value of the final products. Therefore, further reduction in the production costs, *e.g.*, *via* a few options described above, is critical for the economic feasibility.^{2,488}

For the formation of nanocomposites and biohybrids, polymeric lignins are readily available as side streams and their cost is still “negligible” compared with their benefits in specific, potential applications. However, in the formation of materials with high performances, a significant challenge is imposed by the heterogeneous structure and low purity of

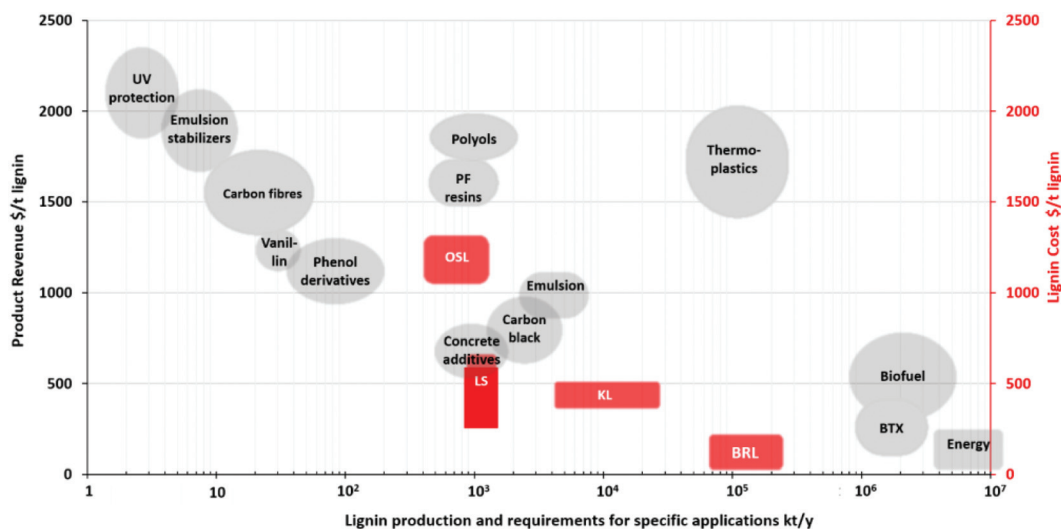


Fig. 24 Evaluation of lignin market potentials based on publicly available information. Abbreviations: OSL – organosolv lignins, LS – lignosulfonates, KL – Kraft lignins, BRL – (crude) biorefinery lignins. Reproduced with permission from ref. 2.



some of the readily available lignins, which leads to the formation of materials with poor cohesive properties. As a result, in the formation of composites, a volume fraction substantially below 40% has to be maintained to optimize the benefits of the added lignins.⁴⁸⁹ However, beyond mechanical strength and toughness, lignins can improve thermal, flame, UV, and oxidative resistances.^{490,491} In the case of lignins used as a filler, fractionation and, potentially, subsequent particle formation are some of the most promising approaches for value added applications given that the properties can be more easily tethered through a more defined lignin chemistry and morphology. In turn, this may lead to materials with higher mechanical performances. In that endeavor, dry nano- and microparticles are readily usable in a variety of applications. These can be obtained from aerosol flow reactor or spray drying of dispersed particles. Both have a high potential for valorization of lignins in the context of composites and hybrids.^{125,488} Furthermore the environmental impact of the lifecycle of colloidal lignin particles was recently investigated taking the case of wheat straw lignins. Although limited data were available to build from, the biorefinery model, the main issues regarding environmental impacts were associated with the use and recovery of solvents (therein, water and ethanol) that impact wastewater generation and waterways contaminations beyond increasing general operating and purification costs. Regarding carbon costs, as with other studies including techno-economical assessment, the use of lignin as a substitute to synthetic polymers improved net carbon costs. Integration of the biorefinery in conjunction with other bio-products further improved the carbon impact.¹²⁶ Another life cycle assessment, this time considering Kraft lignin in blends concluded that replacement of synthetic phenols by lignin resulted in *ca* six fold decrease in aquatic acidification and tenfold decrease on impact on climate change.⁴⁹²

Despite the abundance of lignin as a renewable biopolymer, to date few applications have been successfully translated into commercialization, beyond those associated with lignin's high calorific value. However, lignin as a complex phenolic macromolecule presents a vast range of opportunities for the formation of advanced sustainable materials. These opportunities should be associated with extraction-dependent structure and fractionation approaches⁴⁵⁰ that are established under the biorefinery concepts, as a function of the sustainability of the process. As discussed herein, many of these opportunities are associated with the formation of hybrid-materials involving lignin interactions at the nano- to the micro-scales with secondary organic or inorganic components. We discussed how the strength and function of the materials formed can be connected by the component choice and the processes leading to material formation, *i.e.*, when considering polysaccharides, proteins, carbonaceous interfaces, or metal oxides. Some of the impending applications are highlighted in this review, including those related to fire retardancy and flammability, food packaging, plant and crop protection, energy storage, and therapeutics. With the upcoming biorefinery concepts and the growing bioeconomy, a vast range of uses are expected to

emerge provided that cost-performance and sustainability are fully balanced. The new developments in the area can benefit from by-design valorization of lignins, when compared with pulp and paper products that typically include lignin as a by-product. As these developments develop, studies on the sustainable sourcing of lignins and understanding the fundamental connection between its polymeric structure and its properties are required.

6.2 Challenges and future research directions

The potential of lignin as a sustainable material for different applications is highlighted through this Review. However, it is essential to accurately determine the environmental impacts of the processes involving lignin production and use, which demand specific and unambiguous metrics. In this context, life cycle assessment allows the quantification of the environmental burdens of a product or service throughout its life-cycle, from the raw material extraction to its EoL.⁴⁹³ To that end, the environmental impacts need to be divided between the co-products of the system, referred to as allocation. Accordingly, the multi-output character of lignin-centered biorefineries (many different products can be obtained), and the different possible allocations (functional unit or the unit to which impacts are normalized) make any comparison somehow difficult. Additionally, assessing the impacts is challenging due to data scarcity and the evolving character of the technology, particularly when the time from bench to market is measured in multiple years. Accordingly, above 85% of the LCA works provide the impacts in a cradle-to-gate perspective, leaving out of the scope the EoL.¹²⁴ Although LCA allows determining additional impact categories such as eutrophication, land use, water use, or toxicity the attention has been mainly focused on the global warming potential (GWP) determination.⁴⁹⁴

Among the different possible allocations, those associated with economic, energy, mass or allocation based on substituted impacts are of particular relevance. For instance, they allow a cross-comparison with other materials. Mass-allocation is commonly used because it is straightforward. A GWP of nearly 0.5 kg CO₂ eq. per 1 kg of Kraft lignin has been achieved with a mass allocation approach.⁴⁹⁵ Other works have provided similar values for dry Kraft lignin, with impacts in the range of 0.1 to 2.7 kg CO₂ eq. Cradle-to-gate Life Cycle Assessment of bio-adhesives for the wood panel industry.⁴⁹⁶ Organosolv lignin (from bark) presents a GWP of 1.4–2.1 kg CO₂ eq. kg⁻¹, where the type of solvent used significantly affects the results.⁴⁹⁷ To get a bigger picture, these results should be compared with the impacts originating from conventional polymers. For example, for 1 ton of polypropylene production, GWP values of 1.58–1.60 kg CO₂ eq. kg⁻¹ have been obtained using LCA,⁴⁹⁸ while polyethylene terephthalate encompasses ~5.5 kg CO₂ eq. emissions per 1 kg.⁴⁹⁹

Regarding lignin nanoparticles, Koch *et al.* recently reported GWP values ranging from 36.6 to 199 kg CO₂ eq. for 1 kg of lignin nanoparticles suspended in water (obtained from wheat straw), depending on the amount of virgin ethanol



and the thermal energy demand for the recovery.¹²⁶ This impact is notably lower than the 800 kg CO₂ eq. for 1 kg of nanofibrillated cellulose using TEMPO oxidation.⁵⁰⁰ Considering that most of the non-degradable plastic waste ends up incinerated/landfilled, or recycled (encompassing additional CO₂ or CH₄ emissions), renewable/biodegradable polymers, such as lignin, can contribute to decrease the carbon footprint of industries. Also noteworthy is the great potential of lignin to reduce CO₂ emissions when replacing other materials. For instance, 23 kg CO₂ eq. emissions per 1 kg of lignin could be saved when lignin replaces cotton.⁴⁹⁵ Generally, lignin-based products offer improved environmental performances in comparison with fossil-based and other bio-based products.

Short and mid-term commercial opportunities for lignins relate to uses such as those in the field of dispersants, wood adhesives, bitumen, polyols in polyurethanes, thermosets, animal feed, thermoplastics, BTX (benzene, toluene and xylenes) and lignin fibers, among others. Some of these applications represent relatively low-value products that may not be sufficient to justify the cost of lignin recovery and possible fractionation and modification. Hence, higher value products represent an opportunity. However, there is a tradeoff between cost of product and volume, often implying that process integration and multi-products are desirable. Here, we covered a segment of lignin utilization, namely, “Multifunctional lignin-based nanocomposites and nanohybrids”, which entails a high valorization potential but also challenged by the economy of scale. Regardless, it is clear that to make the upcoming biorefineries economically viable, all streams need to be optimized, demanding consideration of lignin beyond its energy value.²

A generic aspect that affects the possibility of adopting lignins in bioproducts relates to the economic feasibility and the barriers that exist to enter the marketplace. For instance, from the cost point of view, an obvious consideration beyond the access to lignin, is its physical state (dry *versus* wet), and the possible needs for packaging and transportation. Hence, any effort to upgrading the molecule at the source site is desirable. Meanwhile, the more technical challenges involve the requirement of producing lignin with uniform quality, for example, from black liquors and biorefinery streams. Indeed, owing to its biological origins and its processing history, lignin is a heterogenous, polydisperse polymer or a complex mixture of polymers with a wide range of molar masses. As reviewed here, there is a significant variation in structures (monomeric units and prevalence, interunit linkages), and potential diverse distributions of various functional groups (*e.g.*, primary, secondary, and phenolic hydroxyl and carboxylate groups). Altogether, these features contribute to differences in lignin behavior, including its solubility and reactivity, both affecting its application in composites and hybrid materials. It is for this reason that lignin fractionation and modification with controlled substitution are necessary. Such processes are significant in adding value to the molecule. Producing more uniform molecular profiles and physical pro-

erties opens the possibility to fit target applications, most relevant to composites and hybrid products. Unfortunately, any added processing steps for lignin derivatization also increase the cost and environmental burden. For these reasons, it is important to factor the performance advantage that is achieved when lignin-based bioproducts displace those based on fossil carbon. So far, there is indication that from the industrial perspective, lignin-based biocomposites are in the upward trend, with several units integrating lignin with nanocelluloses and conducting successful trials where lignin has been used as adhesives, combined with bio-components in extrusion processes or to improve the performance of various thermoplastic materials. Likewise, uses in batteries are coming from extensive research, in the form of electrolyte-separator pair or in applications as those known for graphite, for example, in lithium-ion battery anodes. In fact, lignin can be considered as a precursor for carbon nanotubes and graphene. Finally, in composites or in hybrid forms, lignin is being applied in paints and coatings, to provide waterproofing and other properties.

Before ending this review, it is worth mentioning a quite timely aspect, *i.e.*, the fact that plant-derived materials are at the center stage of innovation, in efforts to mitigate negative environmental impacts of traditional petroleum-based materials. In this vein, and even if not discussed herein, it is relevant to mention the possibility of harnessing nature's capacity to generate biopolymers for specific functions. This can be leveraged to isolate building blocks with given properties, which is best accomplished by following biocatalytic pathways that can yield materials with superior properties.⁵⁰¹ While still in its infancy, this field is expected to expand and eventually offer interesting alternatives affecting the use of lignin.

We started the review by introducing lignin as a matrix component or as the dispersed phase (micro and nanoparticles) in composite and hybrids. In the latter cases, we discussed the synergies that can be exploited when combining lignin with proteins, metals and oxides, carbons, polysaccharides and synthesis polymers. Such combinations can lead to applications, for instance, relevant to the development of bioproducts that display flame retardancy or food packaging, plant protection, energy storage, and advanced materials, including biomedical. We hope the reader shares our appreciation that such developments require close consideration of lignin's properties (in solution or as particulate systems) and surface and interfacial interactions. They enable the design of materials, as discussed in the review, which offer great advantages considering circularity, end of life and the impact of substitution. As highlighted in this section, lignin processing and functionalization needs to be factored along with other metrics that include scalability, cost-performance balance, product-value and volume tradeoff (see Fig. 25). From the opportunity perspective, the current megatrends are noted to drive interest in lignin. As stated in a recent market report published at the time of the writing of this review, “2021 may well be a pivotal year for development of lignin-based products and



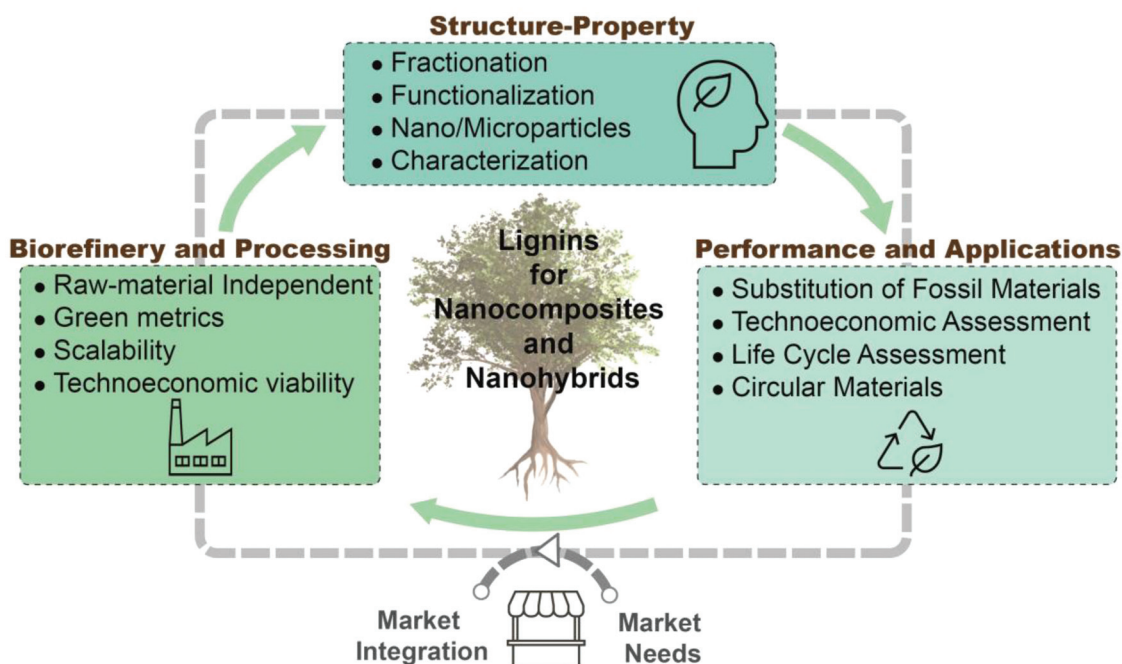


Fig. 25 Schematic representation showing the main benefits arising from the use of lignin in nanocomposites and nanohybrids and the future challenges we envision toward practical implementation.

markets".⁵⁰² However, any success in this direction will also depend on legislative mandates and incentives that support the development of bioproducts, applications, and markets. This includes composites and hybrid materials that might require either crude lignin or fractions subjected to processes that lead to the assembly of lignin into particles (bottom-up constructions enabled but supra-molecular and colloidal interactions) as well as other forms that benefit from derivatization, functionalization or depolymerization (top-down approaches).

Abbreviations

| | | | |
|------|--|-------|--|
| ABTS | 2,2'-Azino-bis(3-ethylbenzothiazoline-6-sulfonic acid) | CNC | Cellulose nanocrystal |
| AFEX | Ammonia fiber expansion lignin | CNF | Cellulose nanofiber |
| AFM | Atomic force microscopy | CNTs | Carbon nanotubes |
| AH | Acid hydrolysis | CQDs | Carbon quantum dots |
| AKL | Aspen kraft lignin | DC | Degree of condensation |
| AL | Alkali lignin | DCP | Dicumyl peroxide |
| AMWL | Aspen milled wood lignin | DES | Deep eutectic solvent |
| BRL | Biorefinery lignins | DMEM | Dulbecco's modified Eagle's medium |
| CB | Carbon black | DMSO | Dimethyl sulfoxide |
| CCL | Choline lactate-lactic acid | DS | Degree of substitution |
| SDBS | Sodium dodecylbenzenesulfonate | DSSCs | Dye-sensitized solar cells |
| CLP | Colloidal lignin particle | ECH | Epichlorohydrin |
| CLSM | Confocal laser scanning microscopy | EDLC | Electrical double-layer capacitance |
| CMC | Carboxymethyl cellulose | EES | Electrochemical energy storage |
| CML | Carboxymethylated lignin | EHL | Enzymatic hydrolysis lignin |
| | | FESEM | Field emission scanning electron microscope |
| | | GA | Gibberellic acid |
| | | GnPs | Graphene nanoplatelets |
| | | GO | Graphene oxide |
| | | GOx | Glucose oxidase |
| | | GPEs | Gel polymer electrolytes |
| | | GQDs | Graphene quantum dots |
| | | HKL | Hardwood kraft lignin |
| | | HMPC | Hierarchical mesoporous carbon |
| | | HPMC | Hydroxypropylmethylcellulose |
| | | HRTEM | High-resolution transmission electron microscopy |
| | | HW | Hardwood |
| | | HWK | Hardwood from Kraft |
| | | HT | Hydrothermal |



| | | | |
|-----------|---|-------------|--|
| MAH | Maleic anhydride | ROS | Reactive oxygen species |
| MCC | Microcrystalline cellulose | RT | Room temperature |
| MLS | Magnetic lignin sphere | SG | Soda grass |
| microPCMs | Microencapsulated phase-change materials | SBL | Soda bagasse lignin |
| Mw | Weight average molecular weight | SE | Steam explosion |
| MWD | Molecular weight distribution | SEM | Scanning electron microscopy |
| MWL | Milled wood lignin | SERS | Surface enhanced Raman scattering |
| NMR | Nuclear magnetic resonance | SEL | Swelled enzyme lignin |
| NCs | Nanocarriers | SET-LRP | Single electron transfer-living radical polymerization |
| NP | Nanoparticles | SKL | Softwood kraft lignin |
| NR | Natural rubber | SPI | Soy protein isolate |
| KL | Kraft lignin | SPR | Surface plasmon resonance |
| LCC | Lignin carbohydrate complex | SSA | Specific surface area |
| LCNC | Lignocellulosic nanocrystals | STP | Sodium triphosphate |
| LCNF | Lignocellulosic nanofibers | SW | Softwood |
| LIBs | Lithium-ion batteries | SWK | Softwood from Kraft |
| LMNPs | Lignin micro-nano particles | TAIC | Triallyl isocyanurate |
| LNCs | Lignin-based nanocapsules | TBD | Triazabicyclodecene |
| LNPs | Lignin nanoparticles | TEA | Techno-economic assessment |
| LOD | Limit of detection | TGA | Thermogravimetric analysis |
| LOI | Limited oxygen index | THF | Tetrahydrofuran |
| LPs | Lignin particles | THR | Total heat release |
| LS | Lignosulfonate | T_g | Glass transition temperature |
| NIBs | Sodium-ion batteries | T_m | Melting temperature |
| OS | Organosolv | TEM | Transmission electron microscopy |
| OSL | Organosolv lignin | TNT | 2,4,6-Trinitrotoluene |
| PBAT | Polybutylene adipate terephthalate | TOFA | Tall oil fatty acid |
| PBS | Polybutylene succinate | TPS | Thermoplastic starch |
| PCL | Polycaprolactone | UC | Unconfined compressive |
| PCLLA | Poly(ϵ -caprolactone- <i>co</i> -L-lactide) | UV | Ultra violet |
| PCMs | Phase change materials | WCA | Water contact angle |
| PDA | Polydopamine | WS | Wheat straw |
| PDI | Polydispersity index | X_c | Degree of crystallinity |
| PEDOT | Poly(3,4-ethylenedioxythiophene) | ZIBs | Zinc-ion batteries |
| PEDOT:PSS | Poly(3,4-ethylenedioxythiophene) sulfonate | polystyrene | |
| PEG | Polyethylene glycol | | |
| PEO | Polyethylene oxide | | |
| PHB | Poly(hydroxybutyrate) | | |
| PHBV | Poly(3-hydroxybutyrate- <i>co</i> -3-hydroxyvalerate) | | |
| PHRR | Peak heat release rate | | |
| PLA | Poly(lactic acid) | | |
| PLGA | Poly(lactic- <i>co</i> -glycolic acid) | | |
| PLLA | Poly(L-lactic acid) | | |
| PU | Polyurethane | | |
| PVA | Polyvinyl alcohol | | |
| PMWL | Pine milled wood lignin | | |
| POM | Polarized optical microscope | | |
| PP | Polypropylene | | |
| PS | Polystyrene | | |
| QAL | Quaternized alkali lignin | | |
| ROP | Ring opening polymerization | | |
| QCM-D | Quartz crystal microbalance with dissipation monitoring | | |
| RGO | Reduced graphene oxide | | |
| RH | Rice husk | | |

Author contributions

The manuscript was written through the contributions of all authors. All authors have given approval to the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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