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Reversible redox reactions in metal-supported porphyrin: the role of spin and oxidation state†

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On-surface molecular functionalization paved the way for the stabilization of chelated ions in different oxidation and spin states, allowing for the fine control of catalytic and magnetic properties of metalorganic networks. Considering two model systems, a reduced Co(I) and an open-shell Co(II) metal-supported 2D molecular array, we investigate the interplay between the low valence oxidation and unpaired spin state in the molecular reactivity. We show that the redox reaction taking place at the cobalt tetraphenylporphyrin/Cu(100) interface, stabilizing the low-spin Co(I) state with no unpaired electrons in its valence shell, plays a pivotal role in changing the reactivity. This goes beyond the sole presence of unpaired electrons in the valence state of the Co(II) metal-organic species, often designated as being responsible for the reactivity towards small molecules like NO and NO₂. The reversible Co-NO₂ interaction, established with the Co(I) leads to the stabilization of the Co(III) oxidation state.

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Introduction

Coordination chemistry offers unique possibilities to create new local bonds with metal-organic molecules. By extending this approach to surface chemistry, the tailoring of the electronic and, consequently, the chemical properties of the metal-organic layer is made possible by the interaction with the substrate.¹ The on-surface stabilized arrays of metal ions in an unusual coordination environment may exhibit enhanced catalytic activity compared to the free molecules.^{2,3} Among others, tetrapyrrolic compounds, such as porphyrins, offer the possibility to chelate at the center of their macrocycle a wide

plethora of transition metal ions offering an unsaturated site for functionalization. In fact, the addition of axial ligands, such as O₂, NO, NO₂ and CO, can be exploited for inducing further changes in the electronic structure and, in particular, in the spin state of the central metal ion.^{4–12} The unsaturated character of the metal ions in the porphyrins is also the key to the reactivity of a large number of enzymes. Specifically, the coordination of small diatomic molecules with metalloporphyrins are essential for biological processes.^{13–16}

In surface chemistry, however, low-temperature conditions are often required for the stabilization of the ligand-metal porphyrin interaction and, currently, the room temperature (RT) coordination is still a challenge. Overcoming this obstacle will allow us to tune the oxidation and spin state of chelated metal ions supported by a metal electrode, thus giving a chance to adjust the desired properties of the organic-based devices in working conditions.

One wonders what promotes the reaction of a metal-organic molecule with gaseous species? On the one hand, it was claimed that unpaired electrons in the valence shell of the chelated metal ion are a key factor in ligand coordination.^{1,6,17} On the other hand, some of the most challenging reactions in organisms are catalyzed by chelated metal ions, where unexpected reactivity corresponds to low valence oxidation states.^{18–20} In this regards, cobalt tetraphenylporphyrin (CoTPP) can be a suitable model system to shine a light on the molecular reactivity, as it can be stabilized either in the reduced Co(I) or Co(II) open-shell arrangements. In fact, the choice of the metal substrate strongly influences the CoTPP electronic

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structure upon deposition. While on poorly reactive substrates, such as Au(111),²¹ the Co(II) ion in Co-porphyrins (3d⁷ configuration) is stabilized in its low spin (LS) state with a single unpaired electron in the valence shell, on more interacting surfaces, *e.g.* silver and copper substrates, the Co ion is reduced (Co(II) → Co(I)) and stabilized in a LS 3d⁸ configuration.²² Indeed, the Co(I)-porphyrin systems supported by silver and copper surfaces show null or very weak XMCD signal.^{22,23} The Co(I) supported by Ag(111) enables the binding of nitric oxide (NO) at 140 K, weakening the Co-Ag interaction because of the surface *trans*-effect.^{4,24,25}

In this communication, we examine more deeply the axial ligand binding of NO₂ to a CoTPP network grown on bare and oxygen-modified Cu(100), disentangling the relation between oxidation and spin state of the metal ion, as well as elucidating the electronic configuration that promotes the reaction with the gaseous species. We demonstrate that, while the presence of the unpaired electrons in the valence shell of Co(II) is not a crucial factor in this ligand coordination, the presence of the reduced Co(I) metal ion in the molecular array facilitates the formation of the new Co-NO₂ chemical bond already at RT. In particular, we show that the Co(III) ion is stabilized in a 2D molecular array of CoTPP on Cu(100) by the *trans*-effect localized along the Cu-Co-N axis. The functionalization of the metal-organic array at RT is then pivotal for a fine-tuning of the desirable electromagnetic properties of molecular-based devices in working condition.

Results and discussion

A detailed comprehension of the changes induced by the surface in the supported CoTPP network may take advantage of a few words about the free molecule. Consistently with the

presence of a LS Co(II) 3d⁷ central ion (*S* = 1/2), the CoTPP static magnetic moment is $\mu^s = 1.73 \mu_B$, while its effective magnetic moment is $\mu^e = 1.92 \mu_B$.²⁶ The square planar coordination of Co(II) in free CoTPP (*D*_{4h} symmetry²¹) lifts the five-fold degeneracy of the Co 3d atomic orbitals (AOs) to generate a_{1g} (d_{z²}), b_{1g} (d_{x²-y²}), b_{2g} (d_{xy}) and e_g (d_{xz,yz}) 3d-based MOs, whose relative energy positions and 3D contour plots (CP) are displayed in the left panel of Fig. S1 (ESI†). In a multilayer phase and when deposited on an inert substrate (O-Cu(100) in the present study), the free molecule properties are preserved. The oxygen dosing is a consolidated protocol to passivate reactive substrates, including metals.^{27,28} At saturation, Cu(100) displays a characteristic ($\sqrt{2} \times 2\sqrt{2}$)R45°-O reconstruction, where a significant quenching of the charge transfer at the organic-metal interface is expected.²⁹⁻³¹ Similarly to the free molecule, CoTPP deposited on O-Cu(100), has a LS state with a single unpaired electron occupying the 3d_{z²}-based MO (Fig. S1, right panel, ESI†). Such an unpaired electron in the molecular electronic structure has attracted great attention in coordination chemistry studies^{4-6,17} and it is also a key point in the present investigation (details about the stability of the different spin states and MOs compositions in CoTPP are reported in ESI†). Upon deposition on a metal substrate, the molecular layer in direct contact with the surface can experience chemical changes induced by the charge transfer at the metal/organic interface. At variance with CoTPP/O-Cu(100), where the Co ion preserves the 3d⁷ configuration of the free molecule, Co(II) is expected to reduce to Co(I) on more reactive surfaces, such as silver and copper, which implies a 3d⁸ configuration with no unpaired electrons in 3d-based MOs.^{4,32} In order to confirm the stabilisation of Co(I) and Co(II) oxidation states in the CoTPP monolayer deposited on the reactive Cu(100) and passivated O-Cu(100), respectively, we performed X-ray photoemission

Fig. 1 (a) Co 2p_{3/2} XPS core level spectra of CoTPP on bare (bottom panel) and oxygen modified (upper panel) Cu(100). (b) Energy level diagram for selected MOs, Co(3d) and Cu(4s), for LS Cu-CoTPP. 3D plots displayed isosurfaces correspond to $\pm 0.03 \text{ e}^{1/2} \text{ \AA}^{-3/2}$. Grey and white spheres are representative of C and H atoms, respectively.

spectroscopy (XPS) at the Co 2p core level (see Fig. 1a). The Co 2p_{3/2} spectrum of CoTPP deposited on O-Cu(100) shows a shape similar to that of the CoTPP multilayer.^{4,22} More specifically, the intensity maximum is peaked at 779.25 eV in binding energy (BE) and the spectrum displays the characteristic multiplet structure of LS Co(II) with one unpaired electron. On the other hand, the shape and BE (778.4 eV) of the CoTPP/Cu(100) Co 2p_{3/2} peak are similar to those reported for CoTPP/Ag(111),⁴ suggesting the Co(II) → Co(I) reduction upon chemisorption of CoTPP on Cu(100).

Further information about the interaction of CoTPP with the Cu(100) surface can be gained by means of density functional theory (DFT) calculations. Previous results obtained by exploiting a periodical model^{21,32,33} will be here extended by combining the molecular cluster approach^{34,35} with DFT based calculations, which ultimately allows to gain information about AOs and MOs in the metal-molecule complex.^{4,36} This approach has been used to correctly reproduce the magnetic properties of supported metal porphyrins and phthalocyanines.^{4,30,37}

Indeed, the molecular cluster approach has the great advantage of accurately describing the oxidation and spin states of the central ion in metalorganics,³⁸ being thus best suited to investigate the Co metal ion chelated in CoTPP, involved herein in the axial coordination. In addition, when combined with first principle calculations, it provides a quantitative analysis on the symmetry restricted covalency (the effect associated with the dilution, ruled by the complex symmetry, of d orbitals making them a linear combinations of AOs-LCAO-MOs³⁹). Moreover, the molecular cluster approach gives an information about the charge redistribution in the MOs and AOs formed upon interaction and it stands at the basis of XAS simulations, which ultimately give a theoretical feedback on the metal spin state and the metal oxidation state modification.

Our calculation shows that both Cu(0) and Co(II) in the Cu-CoTPP cluster are characterized by the presence of a single unpaired electron occupying the Cu 4s AO and the Co 3d_{z²}-based MO (see Fig. 1b), and the molecular complex can be stabilized either in a LS (*S* = 0) or a high spin (HS) state (*S* = 1) configuration. As such, energy deviations (ΔE) from the Cu-CoTPP ground state (GS) reported in Fig. S2 (see ESI†) clearly show the LS higher stability for Cu-Co distances smaller than 3.6 Å. A short intermetallic distance appears then to favor the Co(II) + Cu(0) → Co(I) + Cu(I) on-surface redox reaction. Theoretical outcomes reported in Fig. 1 strongly support the presence of a Cu(I)-Co(I)TPP cluster at a Co-Cu distance of 2.5 Å,^{21,32,33} in fact, the (CoTPP + Cu) system is less stable than the Cu(I)-Co(I)TPP cluster by 1.04 eV. In particular, the charge transfer that causes the reduction of the cobalt is clearly visible on the MOs CoTPP-Cu compositions in Fig. 1b: the fully occupied MOs is mainly localized on the Co 3d_{z²}-based MO (27%), while the LUMO is localized (54%) on Cu 4s MO. This confirms that the direct interaction of the CoTPP molecule with the copper substrate leads to the stabilization of a reduced Co(I), with no unpaired electrons in the valence states, contrary to the CoTPP/O-Cu(100) system, where the open-shell Co(II) state, characteristic of the free CoTPP molecule, is preserved. The present theoretical output is fully in agreement with the interpretation of the XPS data reported on Fig. 1a.

After stabilizing the Co chelated ion in the two desired configurations, namely, reduced Co(I) and open-shell Co(II) on bare and oxygen-modified Cu(100) substrates, respectively, in the next we elucidate the interplay between spin and oxidation state in the molecular reactivity towards axial ligands. To do so, both the CoTPP arrays have been exposed to a low dose of nitrogen dioxide at RT.

The possible anchoring of the axial ligand to the Co ion in the Co(I)TPP and Co(II)TPP networks formed on the bare and oxygen-modified surfaces, respectively, was monitored by means of XPS. Both the Co 2p_{3/2} and N 1s core-level spectra of Co(II)TPP deposited on the O-Cu(100) substrate remained unchanged after the exposure at RT to the NO₂ gas (see Fig. S3, ESI†). This result indicates that the sole presence of an unpaired electron in the Co(II) ion may not be the key requirement for anchoring gas molecules to the metal-organic network, as previously discussed in the literature,^{4,6} or, at least, it is not sufficient.

In contrast with the CoTPP/O-Cu(100) system, the Co 2p_{3/2} and N 1s spectra of CoTPP deposited on the bare Cu(100) substrate show well-evident changes after the exposure of the metalloporphyrin network to NO₂. The N 1s spectrum exhibits a new peak at 402.8 eV associated with NO₂ and a small energy shift to lower BE of the main peak associated with the tetrapyrrolic nitrogen atoms (see Fig. 2). For what concerns the Co 2p_{3/2} spectrum, the intensity maximum is shifted by 1.95 eV to higher BE and a different distribution of satellites is observed after exposing the system to NO₂. This spectral behavior is consistent with the Co(I) oxidation induced by the interaction with the axial ligand and the formation of a Co-NO₂ bond. However, the Co 2p_{3/2} core level and the Co L-edge near-edge X-ray absorption fine structure (NEXAFS) spectra of the Co-NO₂ system are different from the one of CoTPP/O-Cu(100) where the LS Co(II) is present (see Fig. S4, ESI†). Hence, the stabilization of the Cu(100)/CoTPP-NO₂ complex probably leads to the Co(III) oxidation state.

Fig. 2 N 1s and Co 2p_{3/2} XPS core level spectra of CoTPP deposited on the bare copper substrate, before and after 6 L NO₂ dose.

To support these experimental observations and to provide a detailed insight into the stabilization of Co(III) ions in the metal porphyrin array, further DFT-based numerical simulations and additional NEXAFS simulations have been performed.

The NO₂ interaction with the Co(I)TPP array has been modeled by exploiting the Cu–CoTPP–NO₂ cluster, whose constrained optimization has been carried out by assuming either 3 or 1 unpaired electrons and freezing the Cu–Co distance to 3 Å; *i.e.*, a value close to the one (2.93 Å)²¹ optimized for the Cu–Co bond by means of DFT periodic calculations for similar interfaces. As such, it can be useful to anticipate that bond-length variations smaller than 0.1 Å do not significantly affect the modeled NEXAFS spectra reported herein. Thus, since the LS Cu–CoTPP–NO₂ cluster is more stable than the HS one by 1.06 eV, only the former has been considered in the forthcoming discussion.

Upon the Co–NO₂ bond formation, the energy of the Cu–Co bond decreases from 1.06 eV (Cu–CoTPP) to 0.33 eV (Cu–CoTPP–NO₂) (see Fig. S5, ESI†) and the same holds when CoTPP–NO₂ (see Fig. S7 in the ESI†) and the Cu–CoTPP–NO₂ are compared, in particular, the Co–NO₂ bonding interaction decreases from 1.31 eV to 0.77 eV. Beside the recognition of the orbitals mainly contributing to the Cu–CoTPP–NO₂ interaction (Cu 4s and Co 3d_{z²} AOs and the NO₂ π* MO), the analysis of the Cu–CoTPP–NO₂ electronic structure reveals that the singly occupied MO (SOMO) is strongly localized on the Cu 4s AO. This suggests that the *trans*-effect induced by the NO₂ coordination implies the weakening of the Cu–Co interaction and the reduction of the Cu oxidation number to

its original value (0). It has to be noted that upon adsorption of CoTPP on the bare copper, the strong interaction between Co(II) and the surface, beside inducing the Co(II) → Co(I) reduction, could also introduce a mechanical strain within the molecule. After the NO₂ *trans*-coordination to the supported CoTPP, being NO₂ a stronger ligand than the copper surface, the Co–Cu distance increases of 0.5 Å, thus reducing possible molecular strains. Moreover, the doubly occupied MO accounting for the Co–NO₂ bonding is mainly localized on NO₂ with a negligible Cu participation (see Fig. 3, right panel), while the Co 3d_{z²} AO mainly contributes to the lowest unoccupied MO. As a whole, the NO₂ local electronic structure of the Cu–CoTPP–NO₂ cluster corresponds to a closed-shell with Cu in its elemental oxidation state and the “octahedrally” coordinated Co ion having a formal +3 oxidation state and a LS 3d⁶ electronic configuration with the 3d_{x²–y²} and 3d_{z²} as empty orbitals.

As such, it has to be noted that Co(III), here observed in the surface supported 2D metal–organic array, has been formerly described only for metal–organic complexes in solution.⁴⁰ To single out perturbations induced by the surface on the CoTPP–NO₂ MOs, an analysis of the CoTPP–NO₂ and Cu–CoTPP–NO₂ frontier orbitals has been performed (Section S6, ESI†). Theoretical results indicate that, even though Co 3d-based and NO₂-based MOs (see Fig. S7, ESI†) are quite similar in the two clusters, both their energies and localizations are different, hence a Cu–Co weak interaction is still present in the Cu–CoTPP–NO₂ cluster. The calculated binding energies and geometrical structures are further supported by the analysis of the Nalewajski–Mrozek bond multiplicity indexes (^{NMI}),⁴¹ which provide a quantitative estimate of bond strengths.

Fig. 3 (a) Cu–CoTPP (top) and Cu–CoTPP–NO₂ (bottom) Co L₃-edge NEXAFS spectra for s- and p-polarizations and corresponding optimized geometries. To match the experimental data, the simulated spectra for Cu–CoTPP–NO₂ (dashed line) are shifted by 10.6 eV and have a Gaussian broadening of 2 eV. (b) Energy level diagram for selected molecular orbitals for LS Cu–CoTPP–NO₂ with a fixed Co–Cu distance (3 Å). Both α and β spin are reported. 3D plots displayed isosurfaces correspond to ±0.03 e^{1/2} Å^{−3/2}. Orbitals with a contribution below 1% are shaded. Vertical dots indicate that some levels, mainly localized on TPP fragment and/or Cu(3d) orbitals, are omitted. Grey, white, and yellow spheres are representative of C, H and Cu atoms, respectively.

In both Cu–CoTPP and CoTPP–NO₂ clusters, the Co species (Co(I) in the former, Co(III) in the latter) is penta-coordinated and the quite large $^{NM}I_{Co-Cu}$ and $^{NM}I_{Co-NO_2}$ values (0.95 and 0.69, respectively) are indicative of a quite strong Co–Cu and Co–N_{NO₂} bond. Interestingly, $^{NM}I_{Co-Cu}$ values are 0.95 to 0.16 in Cu–CoTPP and Cu–CoTPP–NO₂, respectively, suggesting that the coordination of the sixth ligand (NO₂) dramatically weakens the Cu–Co bonding. At the same time, calculations indicate a not significant decreasing of the $^{NM}I_{Co-NO_2}$ going from the CoTPP–NO₂ (0.69) to the Cu–CoTPP–NO₂ (0.61) complex. As a whole, Co is esa-coordinated in the Cu–CoTPP–NO₂ cluster, but the Co–Cu interaction is significantly weakened by the NO₂ presence, while negligible bond order variations affect the Co–ligand interactions taking place in the macrocycle (see Table S8 in the ESI†).

Further information about the Co(III) stabilization at the metal–organic interface has been gained by recording, modelling and assigning the Co L₃-edge absorption spectra (see Fig. 3a) after exposure of the CoTPP/Cu(100) interface to 6L of NO₂. Clear differences can be observed in the spectra reported in Fig. 3 with respect to the ones measured for CoTPP/O–Cu(100) reported in Fig. S4 (ESI†).

Co L₃-edge spectra for s- and p-polarizations have been modelled by exploiting the optimized Cu–CoTPP–NO₂ cluster (see Fig. S5, ESI†) for which a LS state (1 unpaired electron) has been assumed. As already mentioned, 3d_{xz}, 3d_{yz} and 3d_{xy} orbitals for the 3d⁶ Co(III) species are fully occupied, while the others MOs are empty and the Cu–CoTPP–NO₂ SOMO, as stated above, is strongly localized on the Cu 4s AO. The lower excitation energy (EE) side of the single peak characterizing the modelled Co L₃-edge spectrum in p-polarization includes both $\Delta S = 0, +1$ electronic states. $\Delta S = 0$ states are generated by single electronic excitations from the Co 2p orbitals to the Co-based d_{z²} and d_{x²–y²} virtual MOs (VMOs), while both Co-based and TPP-based VMOs are involved in electronic excitations generating $\Delta S = +1$ states. At variance to that, the higher EE side of the peak only includes $\Delta S = 0$ electronic states, which are determined by electronic transitions from the Co 2p AOs to the Cu 4s-based SOMO and Co-based VMOs. Only $\Delta S = 0$ states, mainly generated by Co 2p → Co-based d_{x²–y²} single electronic excitations, contribute to the s-polarized spectrum. The overall agreement between experimental and modelled spectra, in particular the energy position of the main peaks and the linear dichroic behaviour observed in the spectra, fully supports the stabilization of the Co(III) species upon the interaction of the NO₂ ligand with the Co(I)TPP species stabilized on the Cu(100) substrate.

The reversibility of the molecular–surface interaction and, accordingly, the Co(I) ↔ Co(III) redox reaction has been tested here by annealing the NO₂–CoTPP/Cu(100) interface to 450 K under UHV conditions. The possible thermal desorption of NO₂ and restoring of the Co–Cu interaction has been monitored by valence band spectroscopy (see Fig. 4). This, being an experimental technique sensitive to the changes in charge transfer and interaction strength at the metal–organic/metal interface, allows to confirm the presence of the surface *trans*-effect, as suggested by calculations.

Fig. 4 Bottom panel: Photoemission spectra of CoTPP/Cu(100), after NO₂ dosing and after annealing of NO₂–CoTPP/Cu(100) to 450 K; top panel: experimental 2D momentum patterns of CoTPP/Cu(100) corresponding to LUMO/LUMO+1 and LUMO+3 MOs. The sharp inner features are related to the sp band of the copper surface.

The valence band spectrum of the clean copper is dominated by the sp band and has a rather featureless plateau, while the CoTPP/Cu(100) spectrum shows two prominent features at BEs of 0.15 eV and 0.80 eV. To clarify the origin of these features we measured momentum resolved photoemission maps at constant BE and compared them with the square modulus of the Fourier transform (FT) of the real space MOs provided by DFT calculation,⁴² within the photoemission tomography (PT) approach (see Fig. S9, ESI†). Based on the excellent match between the experimental and theoretical data, the features at 0.15 eV and 0.80 eV BE can be assigned to the emissions from the gas-phase LUMO/LUMO+1 and the LUMO+3, respectively. These molecular levels, delocalized over the entire molecular macrocycle of CoTPP, are filled due to the charge transfer occurring at the organic/metal interface, as a result of the strong anchoring of the Co ion to the copper substrate.^{43,44} As discussed above, in the Cu–CoTPP–NO₂ complex the Co–Cu interaction is weakened by the surface *trans*-effect, as evident from the quenching of the LUMOs features (see Fig. 4). The annealing of the interface to 450 K induces the thermal desorption of the NO₂ ligand in the Cu–CoTPP–NO₂ complex, thus restoring the pristine interaction and the charge transfer between the copper surface and the CoTPP molecule. As a result, both LUMO states reappear in the valence band spectra. The Co 2p_{3/2} and N 1s spectra further confirm the

full reversibility of the $\text{Co(I)} \rightleftharpoons \text{Co(III)}$ conversion (see Fig. S10, ESI†).

Conclusions

Using XPS and NEXAFS data supported by DFT calculation highly sensitive to the atomic and molecular characters of metalorganic complexes, we have studied the axial ligand coordination with the cobalt tetraphenylporphyrin array deposited on bare and oxygen passivated copper surfaces. The data show that the Co-NO_2 chemical interaction is taking place on the CoTPP network supported by the copper electrode, where the Co ion is in a low and unusual oxidation state (I). Instead, this reaction has not been observed at the CoTPP/O-Cu(100) interface where Co(II) ions have a LS state and a single unpaired electron in the valence shell. The *trans*-effect observed along the Cu-Co-N axis in the Cu-CoTPP- NO_2 complex suppresses the Cu-Co interaction, as confirmed by the valence band data, and stabilizes the Co(III) chelated ion in the metal-organic array. The $\text{Co(I)} \rightleftharpoons \text{Co(III)}$ conversion is fully reversible, as the thermal-induced desorption of NO_2 taking place at 450 K restores the pristine Co-Cu interaction.

Our experiment demonstrates that the on-surface reactivity towards axial coordination to the metal center of porphyrin 2D arrays is driven by the oxidation state, rather than by the presence of an unpaired electron in the d_{z^2} atomic orbital. Further, the reduction of the chelated metal by surface *trans*-effect may be reversed by the axial coordination to NO_2 through a push-pull charge transfer mechanism. This evidence lets us envisage the possibility of tuning the metal spin state of 2D arrays of metal-organic molecules by suitable choice of the substrate and reacting gas.

We remark that the combination of surface *trans*-effect and axial coordination to NO_2 allowed us to manipulate the Co oxidation and spin states at room temperature. This is a key aspect for the generalization of our approach to ferromagnetic substrates, as well as for the practical use in molecular-based spintronic devices.

Author contributions

I. C. and V. F. performed the experiments and drafted the manuscript with essential contributions from S. C., M. C. and L. F.; all the authors strongly participated in the discussion of the results and revision of the manuscript. S. C. and M. C. performed the computational calculations. L. S. and L. F. gave significant assistance in the XPS and NEXAFS experiments.

Conflicts of interest

There are no conflicts to declare.

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